

# Sorting in linear time

**Counting sort:** No comparisons between elements.

- *Input*:  $A[1 \dots n]$ , where  $A[j] \in \{1, 2, \dots, k\}$  .
- *Output*:  $B[1 \dots n]$ , sorted.
- *Auxiliary storage*:  $C[1 \dots k]$  .

# Counting sort

```
for  $i \leftarrow 1$  to  $k$   
    do  $C[i] \leftarrow 0$   
for  $j \leftarrow 1$  to  $n$   
    do  $C[A[j]] \leftarrow C[A[j]] + 1$   $\triangleright C[i] = |\{\text{key} = i\}|$   
for  $i \leftarrow 2$  to  $k$   
    do  $C[i] \leftarrow C[i] + C[i-1]$   $\triangleright C[i] = |\{\text{key} \leq i\}|$   
for  $j \leftarrow n$  downto  $1$   
    do  $B[C[A[j]]] \leftarrow A[j]$   
         $C[A[j]] \leftarrow C[A[j]] - 1$ 
```

# Counting-sort example

	1	2	3	4	5
<i>A</i> :	4	1	3	4	3

	1	2	3	4
<i>C</i> :				

<i>B</i> :					
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# Loop 1

	1	2	3	4	5
<i>A</i> :	4	1	3	4	3

<i>B</i> :					
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	1	2	3	4
<i>C</i> :	0	0	0	0

**for**  $i \leftarrow 1$  **to**  $k$   
    **do**  $C[i] \leftarrow 0$

# Loop 2

	1	2	3	4	5
<i>A</i> :	4	1	3	4	3

	1	2	3	4
<i>C</i> :	0	0	0	1

<i>B</i> :					
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**for**  $j \leftarrow 1$  **to**  $n$

**do**  $C[A[j]] \leftarrow C[A[j]] + 1$   $\triangleright C[i] = |\{\text{key} = i\}|$

# Loop 2

	1	2	3	4	5
<i>A</i> :	4	1	3	4	3

	1	2	3	4
<i>C</i> :	1	0	0	1

<i>B</i> :					
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**for**  $j \leftarrow 1$  **to**  $n$

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# Loop 2

	1	2	3	4	5
<i>A</i> :	4	1	3	4	3

	1	2	3	4
<i>C</i> :	1	0	1	1

<i>B</i> :					
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**for**  $j \leftarrow 1$  **to**  $n$

**do**  $C[A[j]] \leftarrow C[A[j]] + 1$   $\triangleright C[i] = |\{\text{key} = i\}|$

# Loop 2

	1	2	3	4	5
<i>A</i> :	4	1	3	4	3

	1	2	3	4
<i>C</i> :	1	0	1	2

<i>B</i> :					
------------	--	--	--	--	--

**for**  $j \leftarrow 1$  **to**  $n$

**do**  $C[A[j]] \leftarrow C[A[j]] + 1$   $\triangleright C[i] = |\{\text{key} = i\}|$



# Loop 2

	1	2	3	4	5
<i>A</i> :	4	1	3	4	3

	1	2	3	4
<i>C</i> :	1	0	2	2

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**for**  $j \leftarrow 1$  **to**  $n$

**do**  $C[A[j]] \leftarrow C[A[j]] + 1$   $\triangleright C[i] = |\{\text{key} = i\}|$

# Loop 3

	1	2	3	4	5
<i>A</i> :	4	1	3	4	3

<i>B</i> :					
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	1	2	3	4
<i>C</i> :	1	0	2	2

<i>C'</i> :	1	1	2	2
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**for**  $i \leftarrow 2$  **to**  $k$

**do**  $C[i] \leftarrow C[i] + C[i-1]$      $\triangleright C[i] = |\{\text{key} \leq i\}|$

# Loop 3

	1	2	3	4	5
<i>A</i> :	4	1	3	4	3

<i>B</i> :					
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	1	2	3	4
<i>C</i> :	1	0	2	2

<i>C'</i> :	1	1	3	2
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**for**  $i \leftarrow 2$  **to**  $k$

**do**  $C[i] \leftarrow C[i] + C[i-1]$      $\triangleright C[i] = |\{\text{key} \leq i\}|$

# Loop 3

	1	2	3	4	5
<i>A</i> :	4	1	3	4	3

<i>B</i> :					
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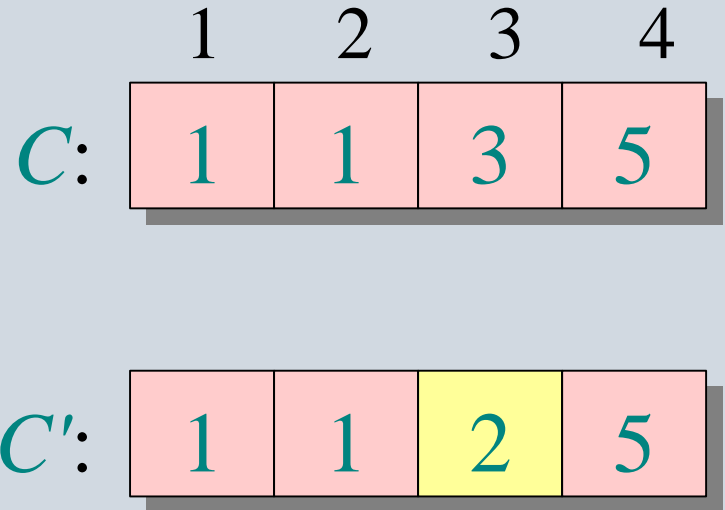
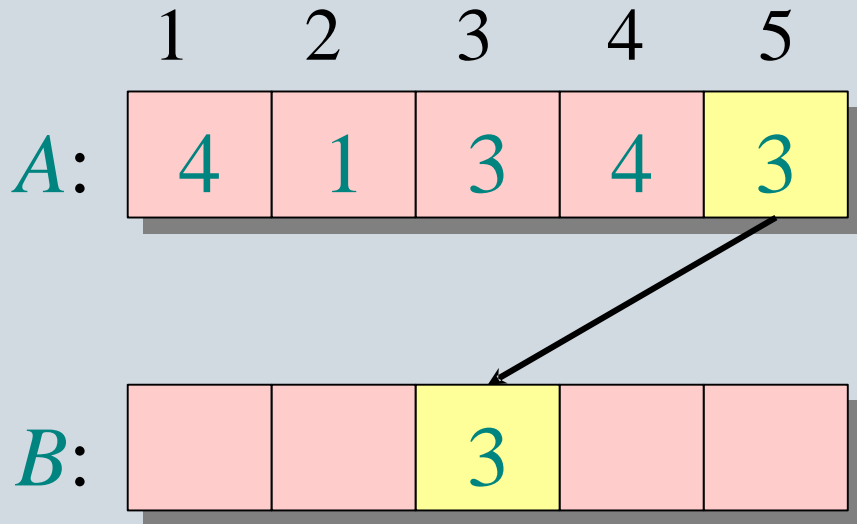
	1	2	3	4
<i>C</i> :	1	0	2	2

<i>C'</i> :	1	1	3	5
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**for**  $i \leftarrow 2$  **to**  $k$

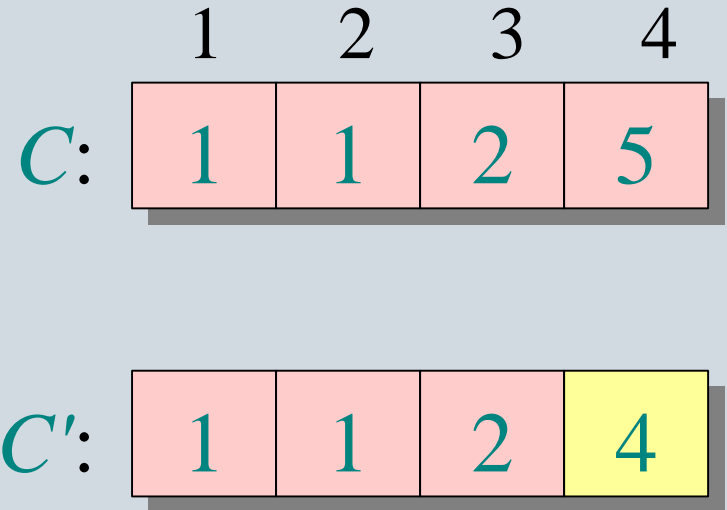
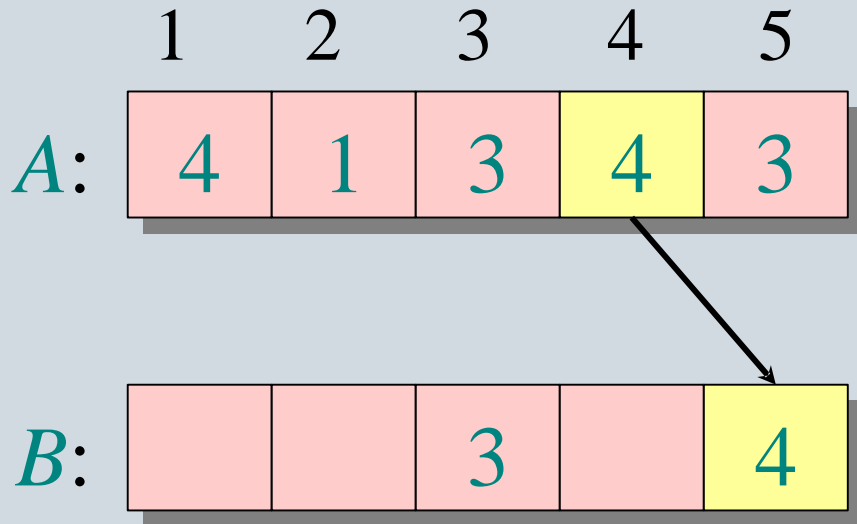
**do**  $C[i] \leftarrow C[i] + C[i-1]$      $\triangleright C[i] = |\{\text{key} \leq i\}|$

# Loop 4



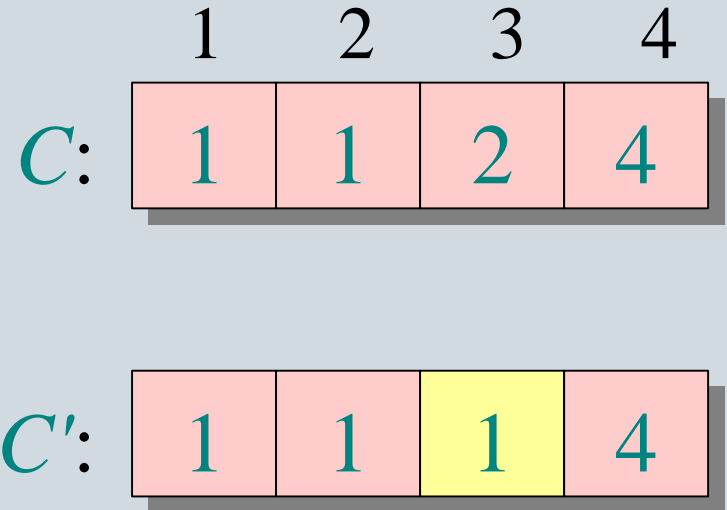
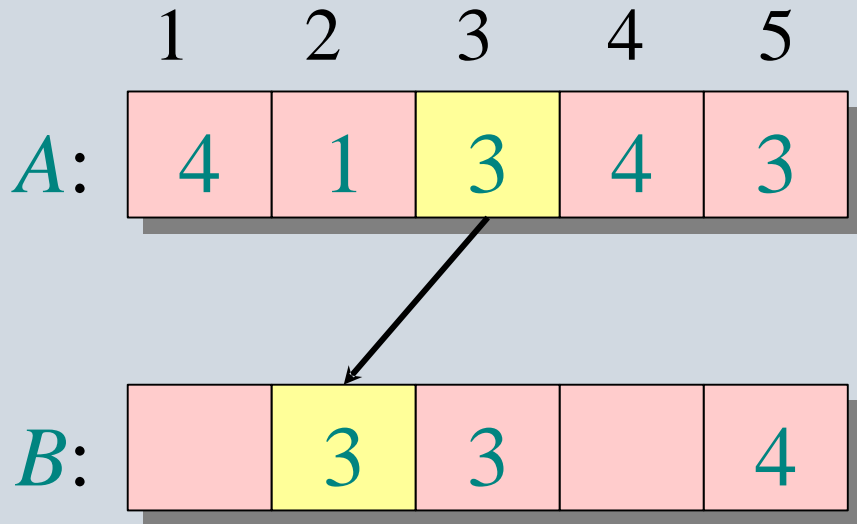
```
for  $j \leftarrow n$  downto 1  
do  $B[C[A[j]]] \leftarrow A[j]$   
    $C[A[j]] \leftarrow C[A[j]] - 1$ 
```

# Loop 4



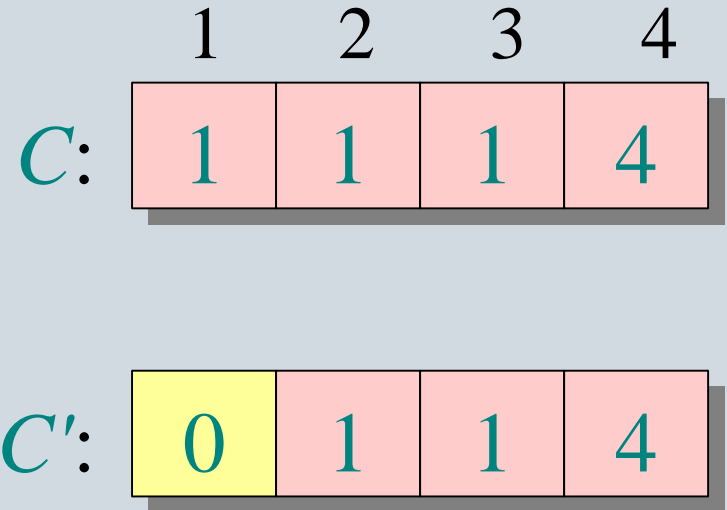
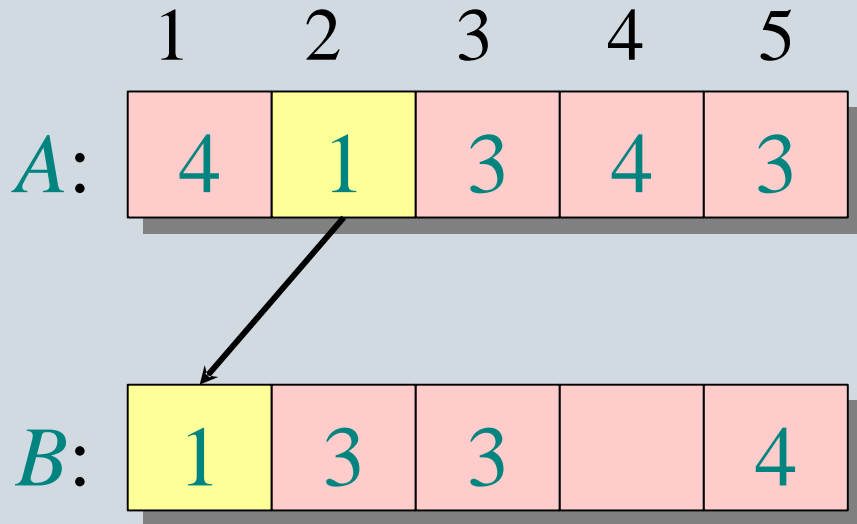
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# Loop 4



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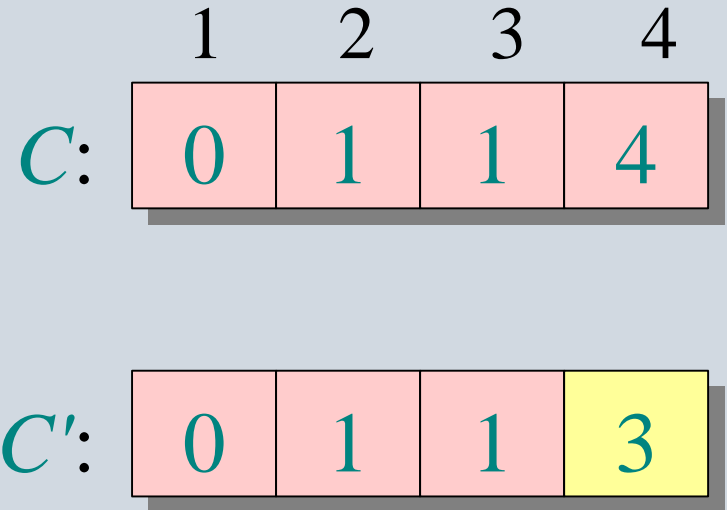
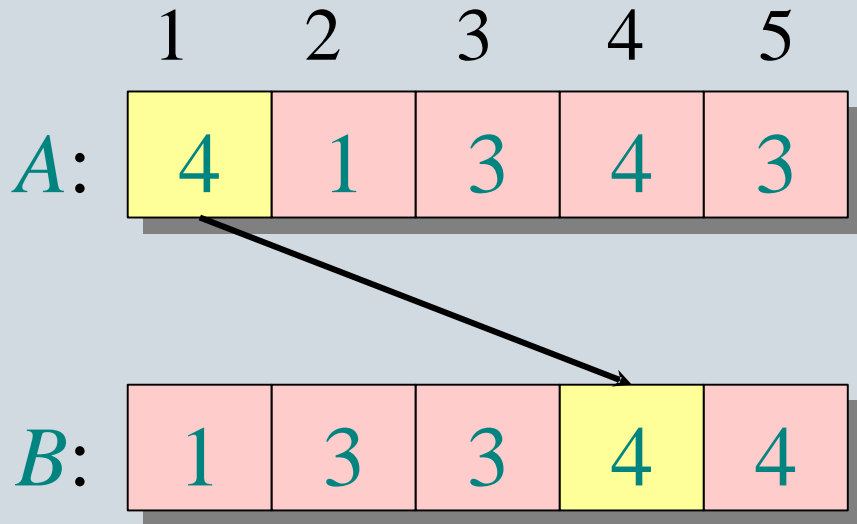
# Loop 4



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# Loop 4



```
for  $j \leftarrow n$  downto 1  
do  $B[C[A[j]]] \leftarrow A[j]$   
    $C[A[j]] \leftarrow C[A[j]] - 1$ 
```

# Analysis

$\Theta(k)$  { **for**  $i \leftarrow 1$  **to**  $k$   
          **do**  $C[i] \leftarrow 0$

$\Theta(n)$  { **for**  $j \leftarrow 1$  **to**  $n$   
          **do**  $C[A[j]] \leftarrow C[A[j]] + 1$

$\Theta(k)$  { **for**  $i \leftarrow 2$  **to**  $k$   
          **do**  $C[i] \leftarrow C[i] + C[i-1]$

$\Theta(n)$  { **for**  $j \leftarrow n$  **downto**  $1$   
          **do**  $B[C[A[j]]] \leftarrow A[j]$   
               $C[A[j]] \leftarrow C[A[j]] - 1$

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$\Theta(n + k)$

# Running time

If  $k = O(n)$ , then counting sort takes  $\Theta(n)$  time.

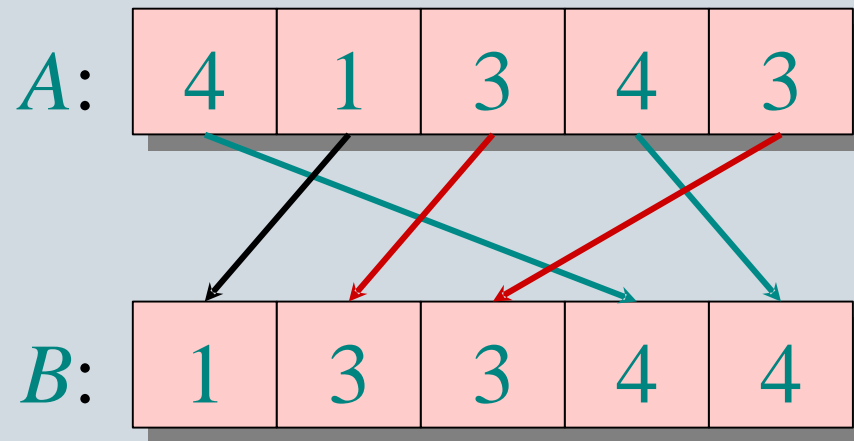
- But, sorting takes  $\Omega(n \lg n)$  time!
- Where's the fallacy?

## Answer:

- *Comparison sorting* takes  $\Omega(n \lg n)$  time.
- Counting sort is not a *comparison sort*.
- In fact, not a single comparison between elements occurs!

# Stable sorting

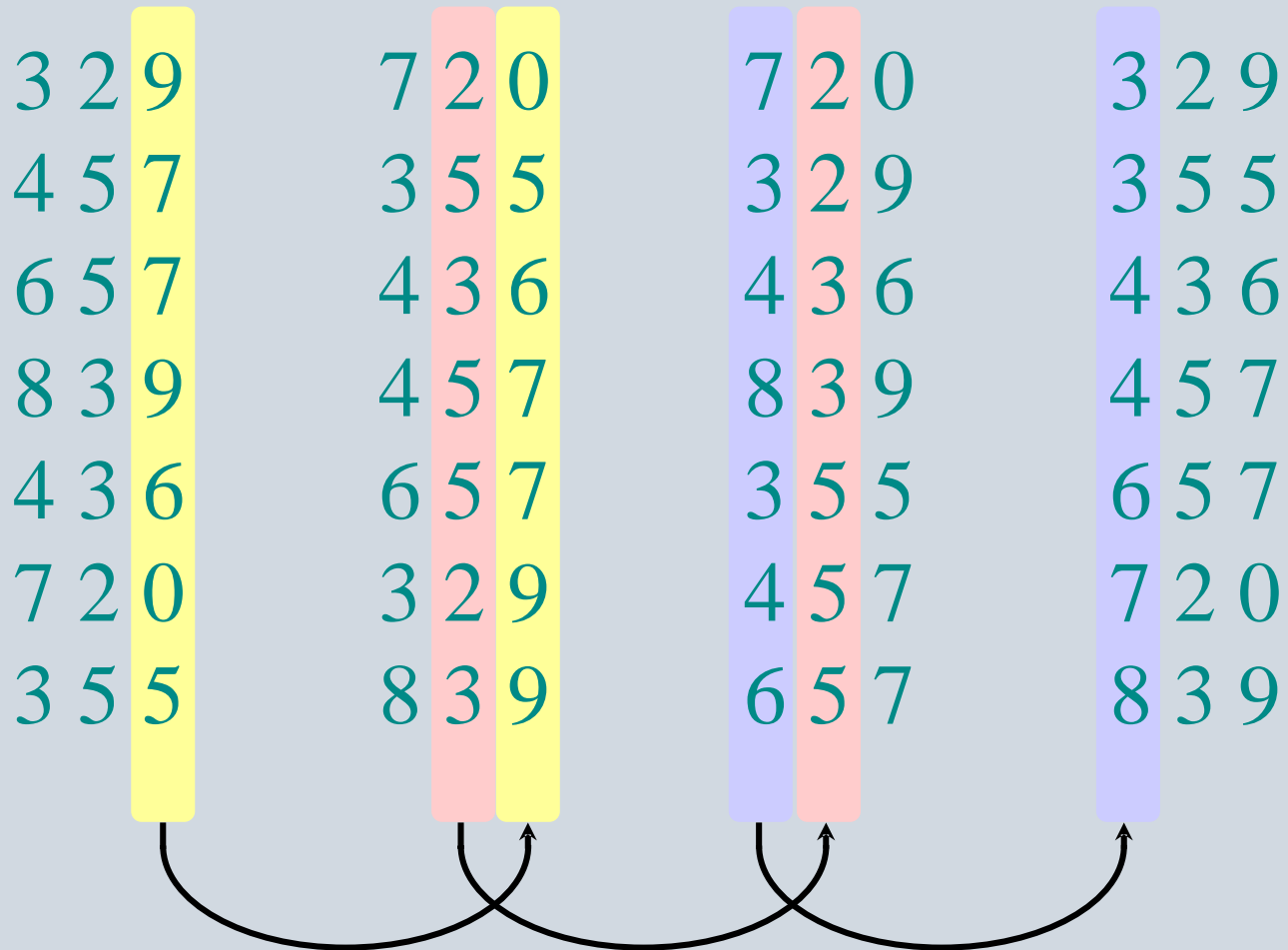
Counting sort is a *stable* sort: it preserves the input order among equal elements.



# Radix sort

- *Origin*: Herman Hollerith's card-sorting machine for the 1890 U.S. Census.
- Digit-by-digit sort.
- Hollerith's original (bad) idea: sort on most-significant digit first.
- Good idea: Sort on *least-significant digit first* with auxiliary *stable* sort.

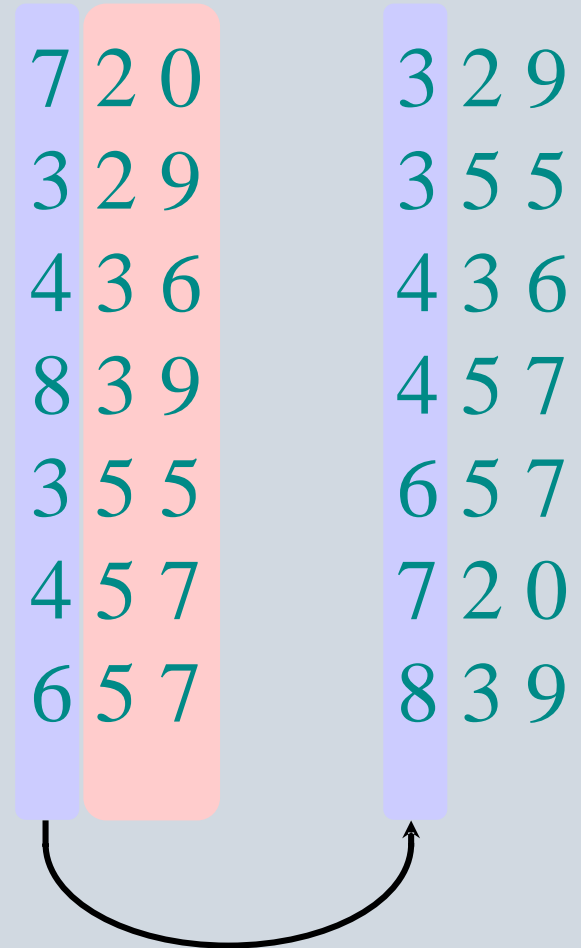
# Operation of radix sort



# Correctness of radix sort

*Induction on digit position*

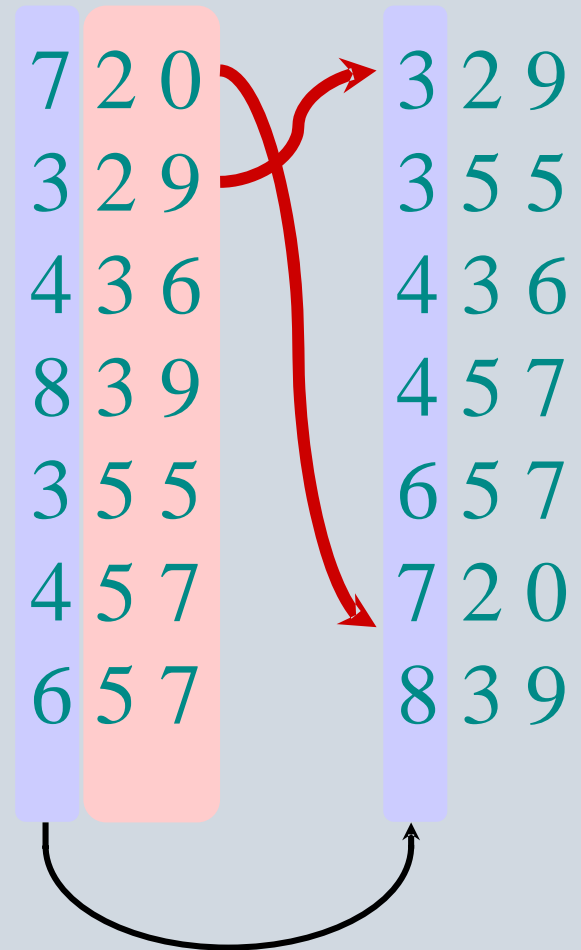
- Assume that the numbers are sorted by their low-order  $t-1$  digits.
- Sort on digit  $t$



# Correctness of radix sort

*Induction on digit position*

- Assume that the numbers are sorted by their low-order  $t-1$  digits.
- Sort on digit  $t$ 
  - ③ Two numbers that differ in digit  $t$  are correctly sorted.

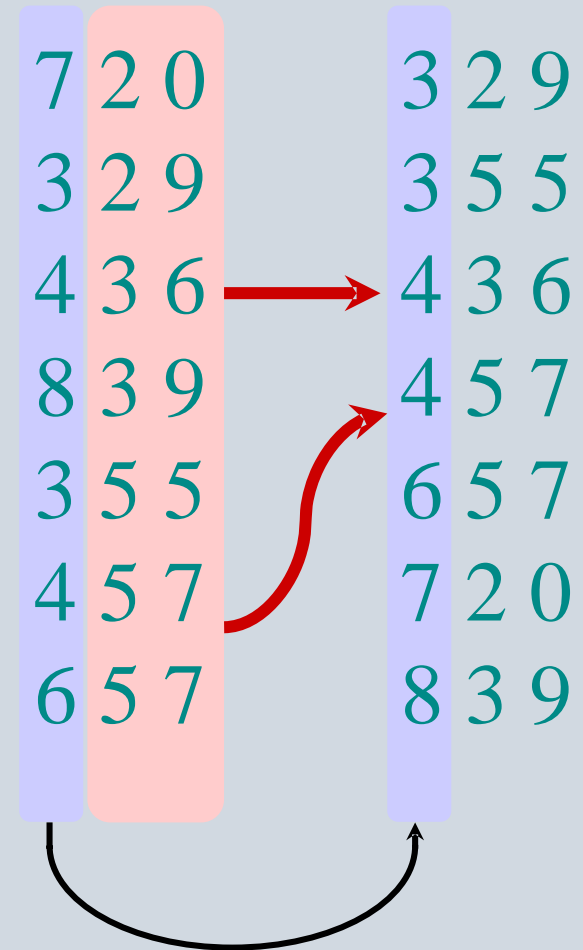




# Correctness of radix sort

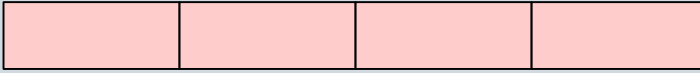
*Induction on digit position*

- Assume that the numbers are sorted by their low-order  $t-1$  digits.
- Sort on digit  $t$ 
  - ③ Two numbers that differ in digit  $t$  are correctly sorted.
  - ③ Two numbers equal in digit  $t$  are put in the same order as the input  $\Rightarrow$  correct order.



# Analysis of radix sort

- Assume counting sort is the auxiliary stable sort.
- Sort  $n$  computer words of  $b$  bits each.
- Each word can be viewed as having  $b/r$  base- $2^r$  digits.

**Example:** 32-bit word 

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$r = 8 \Rightarrow b/r = 4$  passes of counting sort on base- $2^8$  digits; or  $r = 16 \Rightarrow b/r = 2$  passes of counting sort on base- $2^{16}$  digits.

*How many passes should we make?*

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Charles E. Leiserson*