

# Semiconductor opto-electronic components

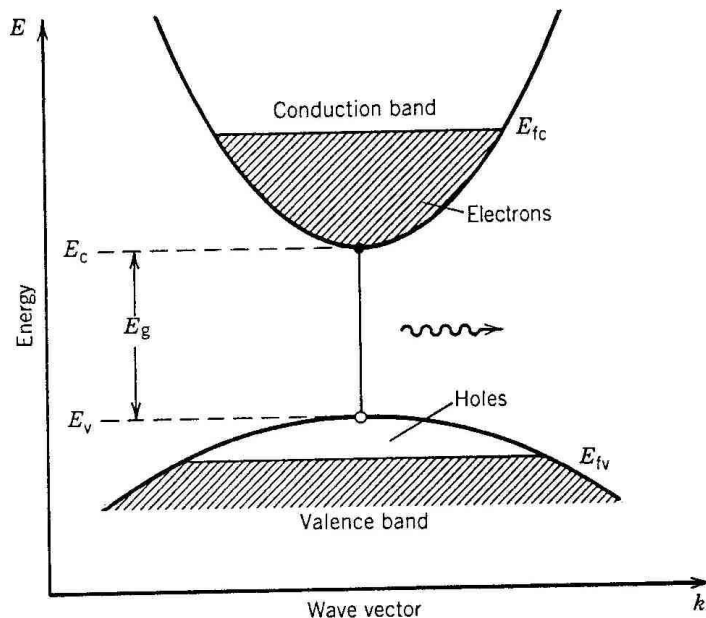
## Conduction and valence bands in semiconductors

The occupation probabilities of states with energy  $E_2$  and  $E_1$  by electrons in the conduction and valence bands is given by the probability density functions (FERMI-DIRAC DISTRIBUTIONS):

$$f_c(E_2) = \left\{ 1 + \exp \left[ (E_2 - E_{fc}) / k_B T \right] \right\}^{-1},$$

$$f_v(E_1) = \left\{ 1 + \exp \left[ (E_1 - E_{fv}) / k_B T \right] \right\}^{-1}$$

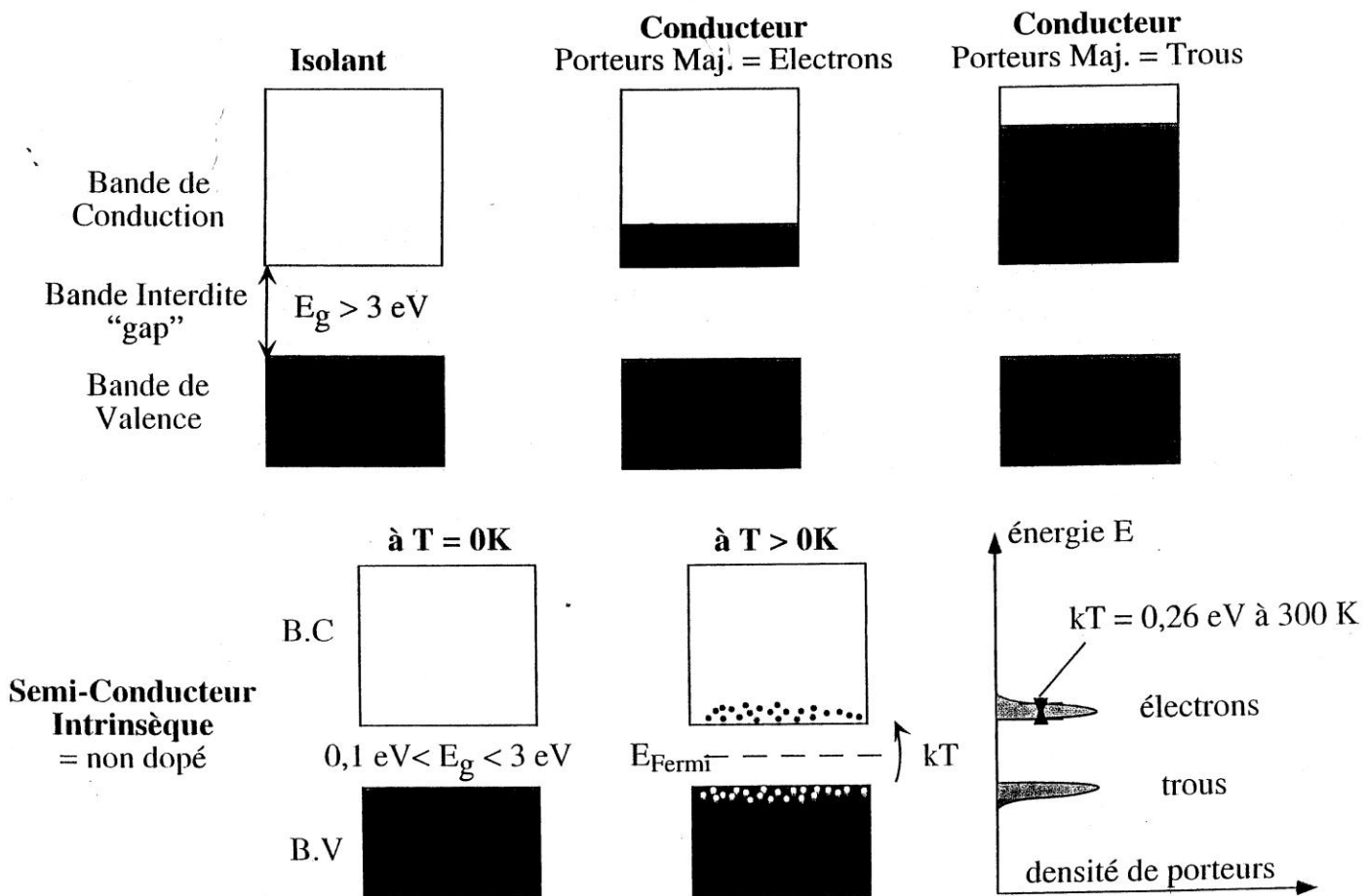
$E_{fc}$ ,  $E_{fv}$  = Conduction and valence Fermi levels



# Energy bands and charge distributions: intrinsic SC

LP6

## Bandes d'énergie et distribution des porteurs



# PN Junctions

## **A SC optical source is based on the PN JUNCTION:**

- **INTRINSIC SC:** There is a SINGLE Fermi level, it is situated half-way between the conduction and the valence bands
  - **N type SC:** doped with impurities that have an extra valence electron → The Fermi level moves towards the conduction band → For strong doping, the Fermi level is situated INSIDE the conduction band
  - **P type SC:** doped with impurities that have a missing valence electron → The Fermi level moves towards the valence band → For strong doping, the Fermi level is situated INSIDE the valence band
- **PN Junction:** Contact between a P-type SC and a N-type SC
- **At thermal equilibrium:** there is only one Fermi level, which must be continuous through the junction → one obtains a charge diffusion between the two sides of the junction → As a result, positive and negative charges are stored on both sides of the junction → a DC electric field results that, at the equilibrium, stops any further charge diffusion through the junction

# Energy bands and charge distributions: doped SC

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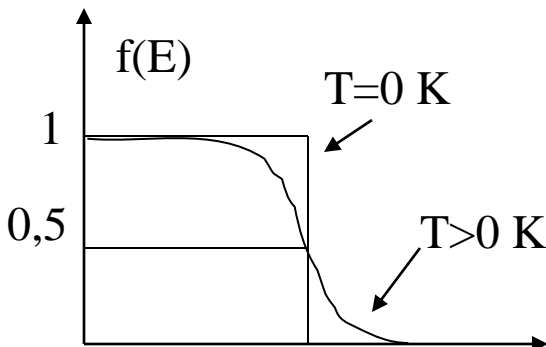
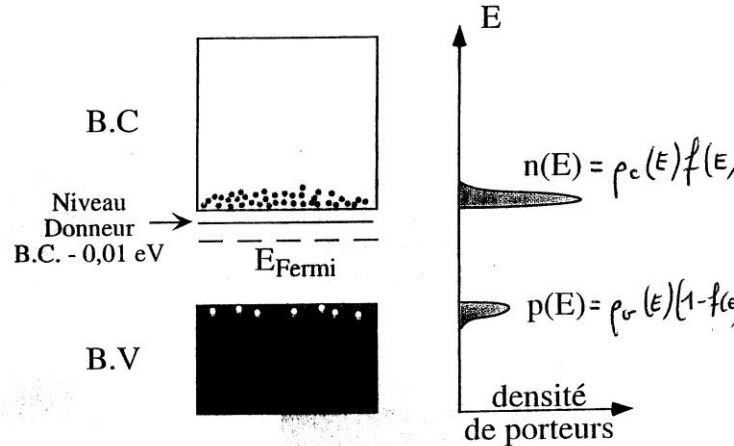
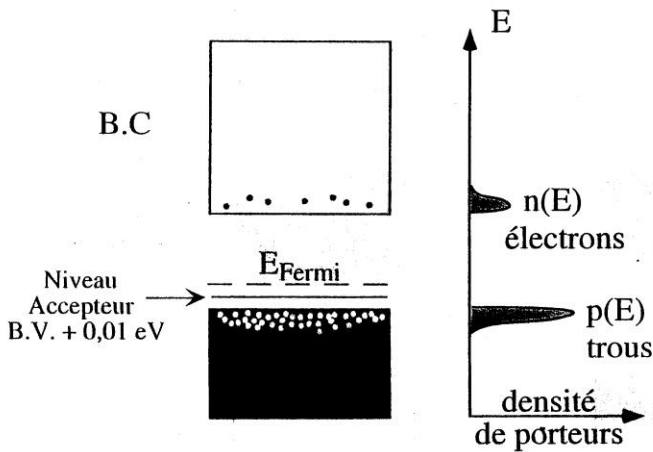
## Semi-Conducteur dopé

Dopé P

Groupe III : B, Al, Ga, In

Dopé N

Groupe V : N, P, As, Sb

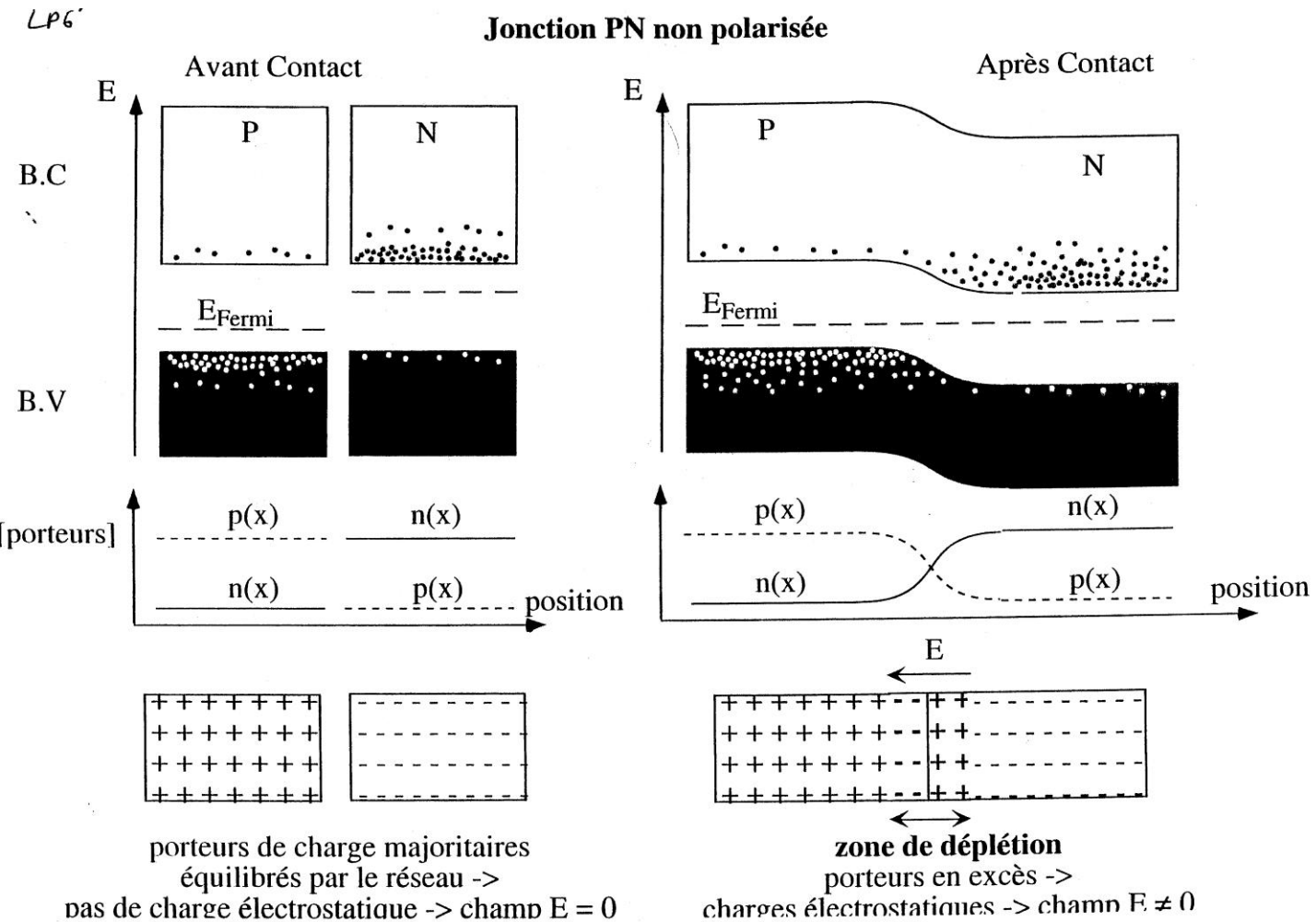


Fermi distribution

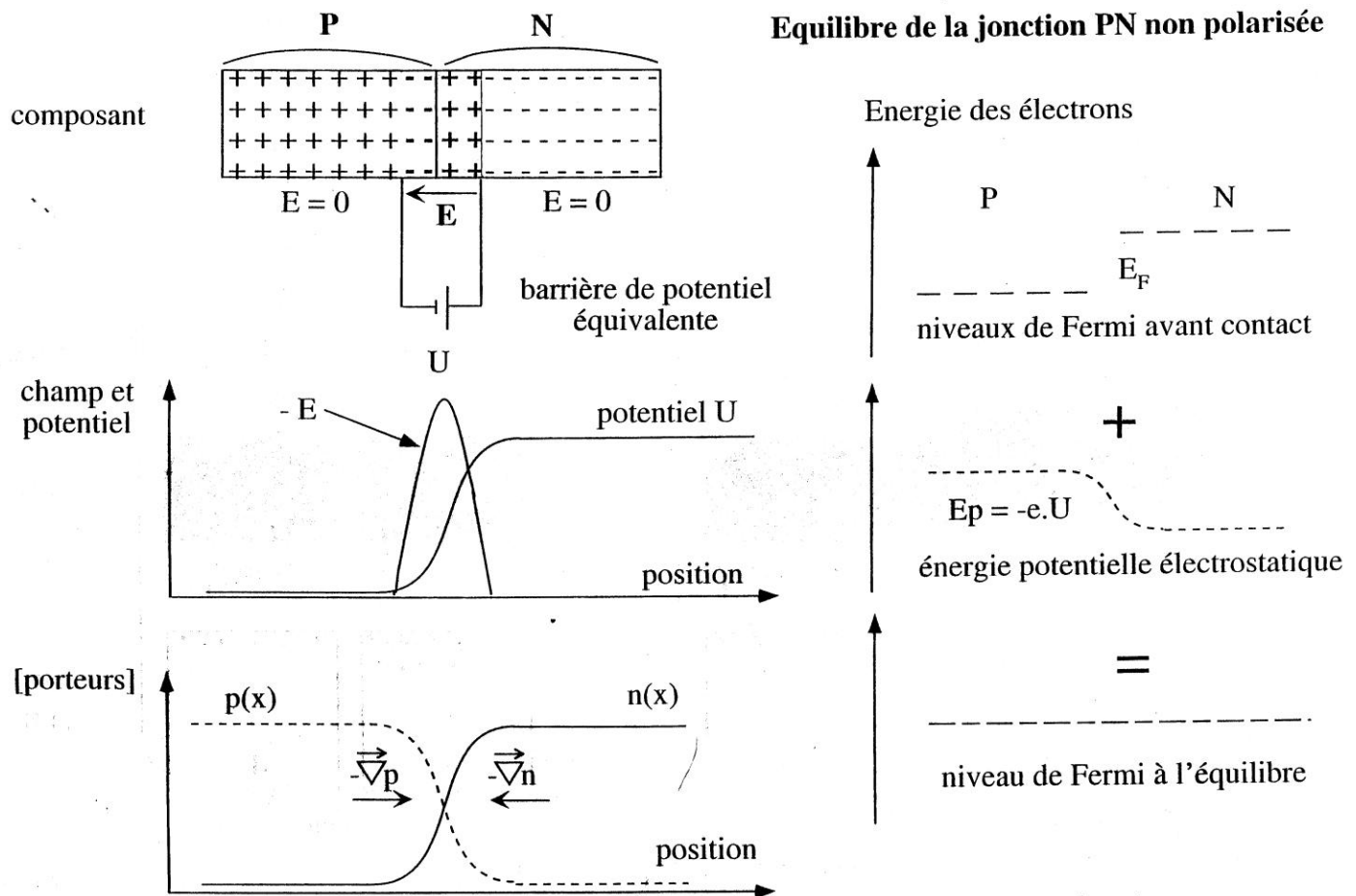
$$f(E) = \frac{1}{1 + \exp\left(\frac{E - E_f}{k_B T}\right)}$$

$$f(E_f) = \frac{1}{2}$$

# Un-biased PN junction



# Equilibrium of un-biased PN junction



# Biasing of PN junctions

**A PN junction may be biased by applying an external voltage**

- In the case of direct biasing, the DC field in the junction is reduced
- As a consequence, one observes a diffusion of electrons and holes through the junction
- This leads to an electrical current  $I$  that increases with the external voltage  $U_g$  according to

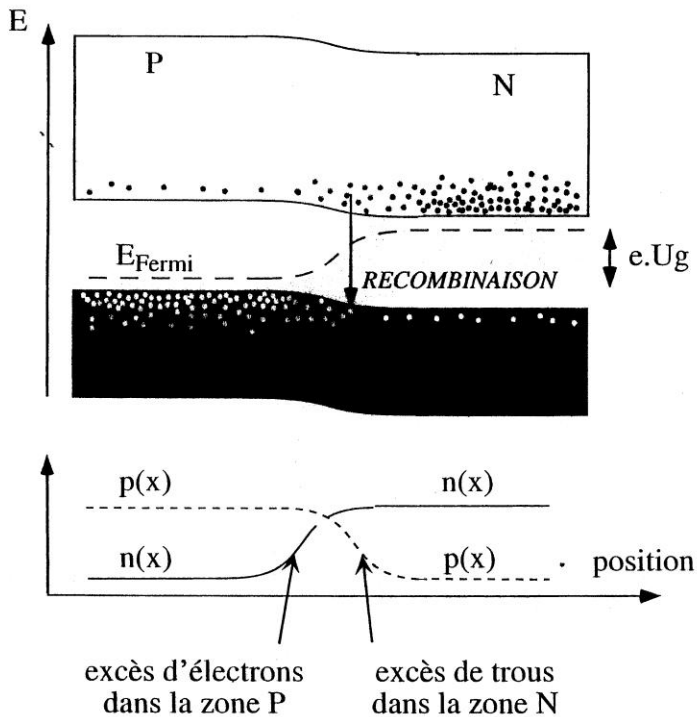
$$I = I_s \left[ \exp(qU_g / k_B T) - 1 \right]$$

Where  $I_s$  is a saturation current that depends upon the diffusion properties of the carriers

- In a junction with direct bias, one obtains extra electrons and charges inside the junction → their recombination through either spontaneous or stimulated emission leads to photons

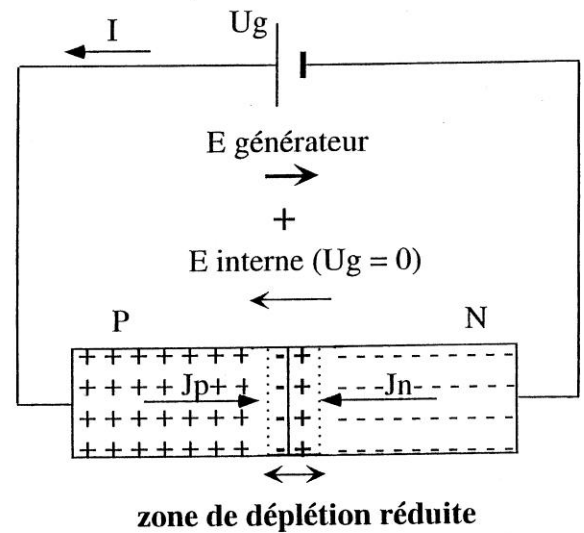
# DIRECT BIASING of PN junction

Jonction PN polarisée DIRECTE



→ RECOMBINAISON  $e + t$  dans la zone de déplétion

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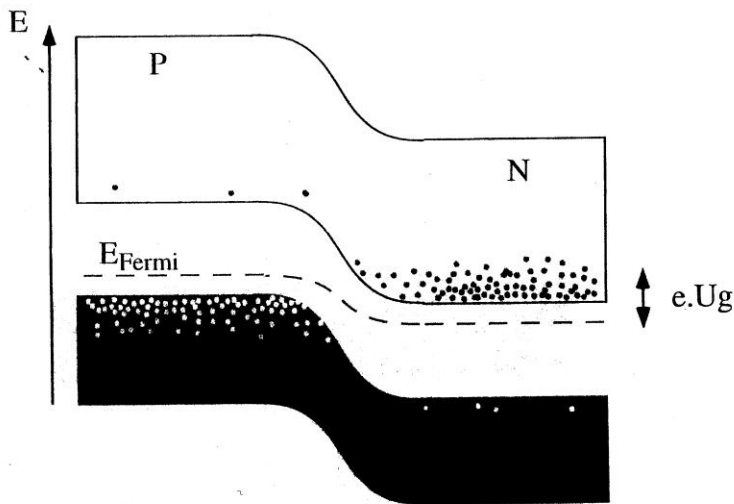
Jonction HORS ÉQUILIBRE ( $E_{Fermi} \neq cste$ )  
 -> Circulation d'un courant électrique  $I$



# INVERSE BIASING of PN junction

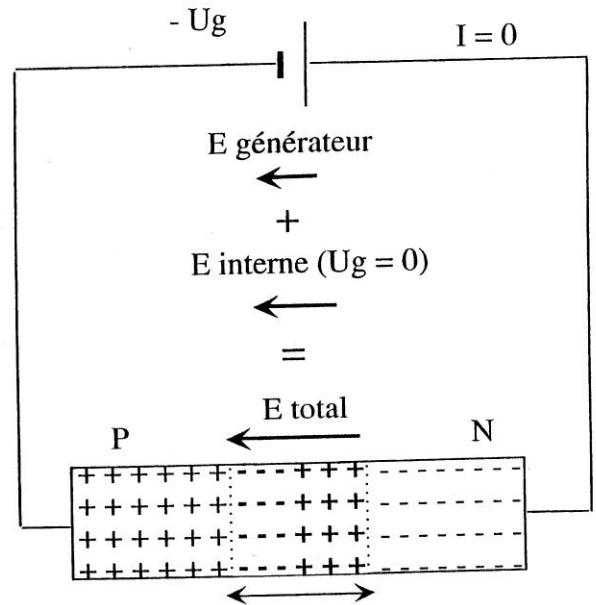
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Jonction PN polarisée INVERSE



barrière de potentiel  $\rightarrow$  n . p faible dans la ZD  
 $\rightarrow$  pas de recombinaison  $\rightarrow I = 0$  : diode bloquée

jamais de courant...? à suivre : Photodiodes !



Zone de Déplétion (ZD) ELARGIE

# Characteristics of a SC laser

Characteristics of SC lasers: described by the « rate equations » for photons and electrons

## Continuous wave (CW) properties

For a monomode laser, one obtains the equations for the time evolution of the number of photons  $P$  and electrons  $N$

$$\frac{dP}{dt} = GP + R_{sp} - \frac{P}{\tau_p},$$

$$\frac{dN}{dt} = \frac{I}{q} - GP - \frac{N}{\tau_c},$$

$$\text{where : } G = \Gamma v_g g_m = G_N (N - N_0) = \Gamma v_g \sigma_g (N - N_0)/V$$

$G$ : rate of stimulated emission;  $R_{sp}$ : spontaneous emission rate; one has  $R_{sp} = n_{sp} G$ , with  $n_{sp}$ : spontaneous emission factor  $\sim 2$ ;  $v_g$ : group velocity,  $N_0 = N_T V$ ;  $\tau_p$ : lifetime of photons in the cavity:

$$\tau_p^{-1} = v_g \alpha_{cav} = v_g (\alpha_{mir} + \alpha_{int})$$

Term proportional to  $GP$ : stimulated emission-induced recombination of electrons and holes

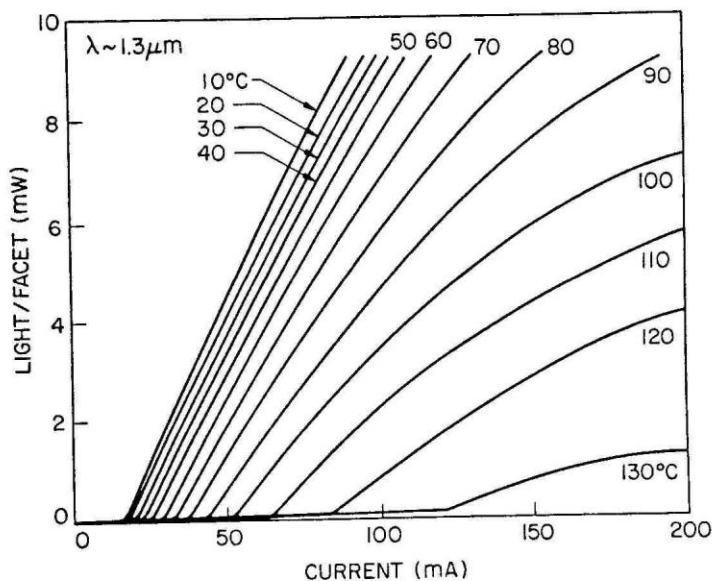
Term proportional to  $1/\tau_c$ : loss of electrons due to spontaneous emission and non radiative recombination

# Characteristics of a SC laser

## P-I curve of a SC laser

Consider a InGaAsP laser at 1,3  $\mu\text{m}$  operating at temperatures between 10° and 130°.

At room temperature, threshold is obtained for  $\sim 20$  mA,  $\rightarrow 10$  mW of optical power for 100 mA of electrical current



The threshold is a function of temperature:

$$I_{th} = I_0 \exp(T / T_0)$$

$T_0$ : Characteristic temperature,  $\sim 50$ -70 K for InGaAsP,  $\sim 120$  K for GaAs; this means it is necessary to control the T of a SC laser!

# Characteristics of a SC laser

## CW operation of a SC laser

In CW (« continuous wave ») mode:

$I = \text{cnst}$ ,  $d/dt = 0$ ; supposing also  $R_{sp} = 0 \rightarrow$

- if  $G\tau_p < 1 \rightarrow P = 0$ ,  $N = \tau_c I / q$
- If  $G\tau_p = 1$  (laser threshold)  $\rightarrow$  the number of charges is fixed at  $N = N_{th} = N_0 + (G_N \tau_p)^{-1}$ ;  $I_{th} = qN_{th} / \tau_c$ ;
- If  $I > I_{th} \rightarrow P = (\tau_p / q)(I - I_{th})$  (photon number)

Power emitted from one of the two faces of laser:

$$P_e = \frac{1}{2} (v_g \alpha_{mir}) \hbar \omega P = \frac{\hbar \omega}{2q} \frac{\eta_{int} \alpha_{mir}}{\alpha_{mir} + \alpha_{int}} (I - I_{th})$$

Here  $\eta_{int}$  = « internal quantum efficiency » = fraction of electrons converted into photons by means of stimulated emission ( $\sim 100\%$ )

