

Programming Fundamentals

Dafny - week 7

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Proof rules for a while-loop

From

- $vf \in \mathbb{Z}$
- $J \wedge B \Rightarrow vf \geq 0$
- $\{J \wedge B \wedge vf = V\} S \{J \wedge vf < V\}$

we may conclude

$\{J\} \text{ while } B \text{ do } S \text{ end } \{J \wedge \neg B\}$

- 1 Choose an invariant J and a guard B such that $J \wedge \neg B \Rightarrow Q$
- 2 Initialization: Find a command T_0 such that $\{P\} T_0 \{J\}$.
Note that if $P \Rightarrow J$, then this step can be skipped.
- 3 Variant function: choose a $vf \in \mathbb{Z}$ and prove $J \wedge B \Rightarrow vf \geq 0$
- 4 Body of the loop: Find a command S such that
 $\{J \wedge B \wedge vf = V\} S \{J \wedge vf < V\}$
- 5 Conclude that $\{P\} T_0; \text{ while } B \text{ do } S \text{ end } \{Q\}$

Example: integer square root

In the previous lecture, we proved the correctness of the following program fragment that computes the integer square root of x .

```
const  $x : \mathbb{N}$ ;  
var  $y : \mathbb{Z}$ ;  
 $y := 0$ ;  
   $\{J : y \geq 0 \wedge y^2 \leq x\}$   
   $( * vf = x - y^2 * )$   
while  $(y + 1) * (y + 1) \leq x$  do  
   $y := y + 1$ ;  
end;  
   $\{Q : y \geq 0 \wedge y^2 \leq x < (y + 1)^2\}$ 
```

But, choosing a different invariant leads to a much more efficient program.

We start with the post-condition $y \geq 0 \wedge y^2 \leq x < (y + 1)^2$.

This time, we choose the following invariant and guard:

$$\begin{aligned} J &: 0 \leq y \leq z \wedge y^2 \leq x < (z + 1)^2 \\ B &: y \neq z \end{aligned}$$

Clearly, $J \wedge \neg B \Rightarrow Q$.

Initialization is easy with $y := 0; z := x;$.

It is clear that $x \in \mathbb{N} \Rightarrow \mathcal{WP}(y := 0; z := x, J)$.

Variant function: We choose $vf = z - y \in \mathbb{Z}$. Clearly, $J \wedge B \Rightarrow vf \geq 0$

Binary search: continued

Body of the loop: $\{J \wedge B \wedge vf = V\} S \{J \wedge vf < V\}$

$$\{0 \leq y \leq z \wedge y^2 \leq x < (z + 1)^2 \wedge y \neq z \wedge z - y = V\}$$

$$(* (y \leq z \wedge y < z) \equiv (y + y \leq y + z \wedge y + z < z + z) \equiv 2 \cdot y \leq y + z < 2 \cdot z *)$$

$$\{0 \leq y \leq (y + z) \text{ div } 2 < z \wedge y^2 \leq x < (z + 1)^2 \wedge z - y = V\}$$

$m := (y + z) \text{ div } 2;$

$$\{0 \leq y \leq m < z \wedge y^2 \leq x < (z + 1)^2 \wedge z - y = V\}$$

if $(m + 1) * (m + 1) > x$ **then**

$$\{(m + 1)^2 > x \wedge 0 \leq y \leq m < z \wedge y^2 \leq x < (z + 1)^2 \wedge z - y = V\}$$

(* logic; calculus; prepare $z := m$ *)

$$\{0 \leq y \leq m \wedge y^2 \leq x < (m + 1)^2 \wedge m - y < V\}$$

$z := m;$

$$\{0 \leq y \leq z \wedge y^2 \leq x < (z + 1)^2 \wedge z - y < V\}$$

else

$$\{(m + 1)^2 \leq x \wedge 0 \leq y \leq m < z \wedge y^2 \leq x < (z + 1)^2 \wedge z - y = V\}$$

(* logic; calculus; prepare $y := m + 1$ *)

$$\{0 \leq m + 1 \leq z \wedge (m + 1)^2 \leq x < (z + 1)^2 \wedge z - (m + 1) < V\}$$

$y := m + 1;$

$$\{0 \leq y \leq z \wedge y^2 \leq x < (z + 1)^2 \wedge z - y < V\}$$

end (* collect branches; definitions J and vf *)

$$\{J \wedge vf < V\}$$

Binary search: continued

Conclusion: binary search algorithm

```
const x :  $\mathbb{N}$ ;  
var y, z, m :  $\mathbb{N}$ ;  
y := 0; z := x;  
  {J :  $0 \leq y \leq z \wedge y^2 \leq x < (z+1)^2$ }  
  (* vf = z - y *)  
while y  $\neq$  z do  
  m := (y + z) div 2;  
  if (m + 1) * (m + 1) > x then  
    z := m;  
  else  
    y := m + 1;  
  endif ;  
endwhile ;  
  {Q :  $y^2 \leq x < (y+1)^2$ }
```

Binary search: continued

In Dafny, the binary search algorithm looks like this:

```
method Isqrt(x: nat) returns (y: nat)  
ensures y*y <= x < (y+1)*(y+1)  
{  
  y := 0;  
  var z := x;  
  while y != z  
  invariant 0 <= y <= z && y*y <= x < (z+1)*(z+1)  
  decreases z - y  
  {  
    var m := (y + z)/2;  
    if (m+1)*(m+1) > x {  
      z := m;  
    } else {  
      y := m + 1;  
    }  
  }  
}
```

Binary search: efficiency

Claim: The binary search algorithm needs no more than $\log x$ iterations.

[Note: usually, in computing science we mean with \log the logarithm with base 2 (i.e. $\log_2 x$).]

Proof: Let r be the smallest integer such that $x \leq 2^r$.

Initially, $j = 0$ and $k = x$, so the size of the search interval is $\# [j, k) = k - j = x \leq 2^r$.

In each iteration of the loop the interval $[j, k)$ is replaced by the interval $[1 + (j + k) \text{ div } 2, k)$ or $[j, (j + k) \text{ div } 2)$.

It is clear that the loop is executed at most r times (i.e. $\log x$) if we can prove that $\# [1 + (j + k) \text{ div } 2, k) \leq 2^{r-1}$ and $\# [j, (j + k) \text{ div } 2) \leq 2^{r-1}$.

$$\begin{aligned} & \# [1 + (j + k) \text{ div } 2, k) \leq 2^{r-1} \\ \equiv & \{ \text{size of half-open interval} \} \\ & k - 1 - (j + k) \text{ div } 2 \leq 2^{r-1} \\ \equiv & \{ \text{calculus} \} \\ & k - 1 - 2^{r-1} \leq (j + k) \text{ div } 2 \\ \equiv & \{ \text{rule: } z \leq x \text{ div } y \equiv z \cdot y \leq x \} \\ & 2(k - 1 - 2^{r-1}) \leq j + k \\ \equiv & \{ \text{calculus} \} \\ & k - j - 2 \leq 2^r \\ \equiv & \{ k - j \leq 2^r \} \\ & \text{true} \end{aligned}$$

$$\begin{aligned} & \# [j, (j + k) \text{ div } 2) \leq 2^{r-1} \\ \equiv & \{ \text{size of half-open interval} \} \\ & (j + k) \text{ div } 2 - j \leq 2^{r-1} \\ \equiv & \{ \text{calculus} \} \\ & (j + k) \text{ div } 2 < 1 + j + 2^{r-1} \\ \equiv & \{ \text{rule: } x \text{ div } y < z \equiv x < z \cdot y \} \\ & j + k < 2(1 + j + 2^{r-1}) \\ \equiv & \{ \text{calculus} \} \\ & k - j - 2 < 2^r \\ \equiv & \{ k - j \leq 2^r \} \\ & \text{true} \end{aligned}$$

How to find a good invariant?

Let P be the pre-condition and Q the post-condition.

Rule of thumb:

Use a predicate that can easily be initialized, and is obtained by *weakening* Q .

Informally, we could say that J is a predicate that is sort of 'in between' P and Q .

Next, choose a guard B such that $J \wedge \neg B \Rightarrow Q$.

In general, choosing a proper invariant requires experience and training.
But, there are a number of heuristics that can be helpful.

If Q is of the form $Q_0 \wedge Q_1$ then we could try $J \equiv Q_0$ and $B \equiv \neg Q_1$ (or vice versa).

Clearly, we must be able to initialize J , and B must be a valid test (i.e. without specification constants).

Sometimes, Q is a single conjunct while it still can be expressed as two conjuncts. For example $x < y$ can be expressed as $x \leq y \wedge x \neq y$.

We actually used this heuristic already twice: in `Isqrt` and in `GTpow2`.

Example split conjuncts: integer square root

Recall the following specification:

```
const x : ℕ;  
var y : ℤ;  
  { P : true }  
T  
  { Q :  $y \geq 0 \wedge y^2 \leq x < (y + 1)^2$  }
```

We found the invariant (and guard) by conjunct-splitting:

$$\begin{aligned} J : & \quad y \geq 0 \wedge y^2 \leq x \\ B : & \quad (y + 1)^2 \leq x \end{aligned}$$

Example split conjuncts: Powers of 2

Recall the following specification:

```
const  $x : \mathbb{Z}$ ;  
var  $i, y : \mathbb{Z}$ ;  
   $\{P : x > 0\}$   
 $T$   
   $\{Q : x < y \leq 2 \cdot x \wedge y = 2^i\}$ 
```

We found the invariant (and guard) by conjunct-splitting:

$$J : y \leq 2 \cdot x \wedge y = 2^i$$
$$B : x \geq y$$

Heuristic invariant finding: Replace an expression by a variable

If Q contains some expression E then we could try to replace some (or all) occurrences of E in Q by a new variable i , such that $J \wedge i = E \Rightarrow Q$.

Next, we choose the guard $B : i \neq E$. Of course, B should not contain any specification constants.

Usually, it is a good practice to augment J with some conjunct that indicates which range of values i may attain. We call such a conjunct a *domain predicate*.

Heuristic invariant finding: Replace a constant by a variable

A special case of the heuristic “*replace an expression by a variable*” is the heuristic “*replace a constant by a variable*”.

If Q contains some constant n then we could try to replace some (or all) occurrences of n in Q by a new variable i , such that $J \wedge i = n \Rightarrow Q$.

Clearly, we choose the guard $i \neq n$.

Again, it is good practice to augment J with a domain predicate.

We actually used this heuristic when we computed x^n using:

```
method Pow(x: int, n: nat) returns (y: int)
ensures y == exp(x, n)
{
  var i := 0;
  y := 1;
  while i != n
  invariant 0 <= i <= n && y == exp(x, i)
  {
    y := x*y;
    i := i + 1;
  }
}
```



Heuristic invariant finding: Split a variable

The heuristic called “*split a variable*” is actually also a special case of the heuristic “*replace an expression by a variable*”.

If Q contains some variable k multiple times, then we could try to replace some (but not all) occurrences of k in Q by a new variable i , such that $J \wedge i = k \Rightarrow Q$.

Clearly, again we choose the guard $i \neq k$.

Again, it is good practice to augment J with a domain predicate.

We applied this heuristic in the binary search algorithm for integer square roots:

$$\begin{aligned} Q: & y \geq 0 \wedge y^2 \leq x < (y+1)^2 \\ J: & 0 \leq y \leq z \wedge y^2 \leq x < (z+1)^2 \\ B: & y \neq z \end{aligned}$$



Heuristic invariant finding: Generalization

Let P be of the form $P : X = E$, and Q of the form $Q : x = X$.

It is often the case that we can find a suitable invariant by generalizing E in the pre-condition by a more general expression E' .

- Example 1: $J : X = x + E$ where $\neg B \Rightarrow E = 0$.
- Example 2: $J : X = x \cdot E$ where $\neg B \Rightarrow E = 1$.

One could argue that this is actually the heuristic “Replace a constant by a variable” applied to the following (rewritten) pre-conditions:

- Example 1: $P : X = 0 + E$
- Example 2: $P : X = 1 \cdot E$

Examples: Generalization (Exponentiation)

```
const x : ℤ;  
var y : ℤ, n : ℤ;  
  {P: n ≥ 0 ∧ xn = Y}  
S  
  {Q: y = Y}
```

We found the invariant (and guard) using generalization:

$$J : n \geq 0 \wedge y \cdot x^n = Y$$
$$B : n \neq 0$$

```
method Pow(x: int, e: nat) returns (y: int)  
ensures y == exp(x,n)  
{  
  var n := e;    // e is an in-parameter, so e := e - 1 is invalid  
  y := 1;  
  while n > 0  
  invariant n >= 0 && y*exp(x,n) == exp(x,e)  
  {  
    y := y*x;  
    n := n - 1;  
  }  
}
```

Examples: Generalization (Factorial)

We aim for a command S that satisfies:

var $x, n : \mathbb{Z};$
 $\{P : n \geq 0 \wedge X = n!\}$
 S
 $\{Q : x = X\}$

- 1 Choose an invariant J , and guard B such that $J \wedge \neg B \Rightarrow Q$.
We use the heuristic *generalization*.

$$J : \quad x \cdot n! = X \wedge n \geq 0$$
$$B : \quad n \neq 0$$

Clearly, J and $n = 0$ implies Q , since by definition $0! = 1$.

Examples: Generalization (Factorial)

- 2 Initialization: Find a command T_0 such that $\{P\} T_0 \{J\}$

$\{P : X = n! \wedge n \geq 0\}$
(* calculus *)
 $\{X = 1 \cdot n! \wedge n \geq 0\}$
 $x := 1;$
 $\{J : x \cdot n! = X \wedge n \geq 0\}$

- 3 Variant function: $vf \in \mathbb{Z}$ and $J \wedge B \Rightarrow vf \geq 0$

Clearly, we must decrease n until $n = 0$. So, we simply choose $vf = n \in \mathbb{N}$. The invariant contains the conjunct $n \geq 0$, so trivially $J \wedge B \Rightarrow vf \geq 0$.

4 Body of the loop: $\{J \wedge B \wedge \text{vf} = V\} S \{J \wedge \text{vf} < V\}$

$$\begin{aligned} & \{J \wedge B \wedge \text{vf} = V\} \\ & \{x \cdot n! = X \wedge n \geq 0 \wedge n \neq 0 \wedge n = V\} \\ & \quad (* n = V > 0 \Rightarrow n! = n \cdot (n-1)! \wedge n-1 \geq 0 \wedge n-1 < V *) \\ & \{x \cdot n \cdot (n-1)! = X \wedge n-1 \geq 0 \wedge n-1 < V\} \\ & x := x * n; \\ & \{x \cdot (n-1)! = X \wedge n-1 \geq 0 \wedge n-1 < V\} \\ & n := n - 1; \\ & \{x \cdot n! = X \wedge n \geq 0 \wedge n < V\} \\ & \{J \wedge \text{vf} < V\} \end{aligned}$$

Examples: Generalization (Factorial)

5 Conclude that $\{P\} T_0; \text{ while } B \text{ do } S \text{ end } \{Q\}$ solves the problem.

```
var x, n : ℤ;
  {P : X = n! ∧ n ≥ 0}
x := 1;
  {J : x · n! = X ∧ n ≥ 0}
  (* vf = n *)
while n ≠ 0 do
  x := x * n;
  n := n - 1;
end;
  {Q : x = X}
```

Factorial (in Dafny)

```

include "io.dfy"

function factorial(n: nat): nat {
  if n == 0 then 1 else n*factorial(n-1)
}

method Factorial(m: nat) returns (x: nat)
ensures  x == factorial(m)
{
  var n := m;
  x := 1;
  while n != 0
  invariant 0<=n && factorial(m)==x*factorial(n)
  {
    x := x*n;
    n := n - 1;
  }
}

method Main()
{
  var n := IO.ReadNat();
  var f := Factorial(n);
  print n, "! = ", f, "\n";
}

```

Dafny reports

Dafny program verifier finished with 3 verified, 0 errors

Example: recurrence to iteration

The function f is defined by the recurrence:

$$\begin{aligned}
 f(0) &= 0 \\
 n > 0 \Rightarrow f(n) &= 5 \cdot f(n \text{ div } 3) + n \bmod 4
 \end{aligned}$$

Find a command S that satisfies the following specification:

var $n, x: \mathbb{Z};$
 $\{P: n \geq 0 \wedge Z = f(n)\}$
 S
 $\{Q: Z = x\}$

Invariant and guard: We introduce a variable y .

$$\begin{aligned}
 J: Z &= y \cdot f(n) + x \wedge n \geq 0 \\
 B: n &\neq 0
 \end{aligned}$$

$$\begin{aligned}
 &J \wedge \neg B \\
 \equiv & \\
 &Z = y \cdot f(n) + x \wedge n = 0 \\
 \Rightarrow &\{f(0) = 0; \text{logic}\} \\
 &Z = y \cdot 0 + x \\
 \equiv &\{\text{calculus}\} \\
 &Q: Z = x
 \end{aligned}$$

$$J : Z = y \cdot f(n) + x \wedge n \geq 0$$

Initialization of the invariant is easy:

$$\begin{aligned} &\{P : Z = f(n) \wedge n \geq 0\} \\ &\quad (* \text{ calculus } *) \\ &\{Z = 1 \cdot f(n) + 0 \wedge n \geq 0\} \\ &x, y := 0, 1; \\ &\{J : Z = y \cdot f(n) + x \wedge n \geq 0\} \end{aligned}$$

Next, we need to choose a $vf \in \mathbb{Z}$. The invariant ensures that $n \geq 0$, and we chose $B : n \neq 0$. We will decrease n until $n = 0$. So, we choose $vf = n \in \mathbb{Z}$. Clearly, $J \wedge B \Rightarrow vf \geq 0$, since $vf \geq 0$ is a conjunct of J .

Recurrence to iteration (Continued)

$$\begin{aligned} f(0) &= 0 \\ n > 0 \Rightarrow f(n) &= 5 \cdot f(n \text{ div } 3) + n \text{ mod } 4 \end{aligned}$$

For the body of the loop we find:

$$\begin{aligned} &\{J \wedge B \wedge vf = V\} \\ &\{Z = y \cdot f(n) + x \wedge n \geq 0 \wedge n \neq 0 \wedge n = V\} \\ &\quad (* n > 0 \Rightarrow f(n) = 5 \cdot f(n \text{ div } 3) + n \text{ mod } 4 \wedge 0 \leq n \text{ div } 3 < n *) \\ &\{Z = y \cdot (5 \cdot f(n \text{ div } 3) + n \text{ mod } 4) + x \wedge 0 \leq n \text{ div } 3 < V\} \\ &\quad (* \text{ calculus } *) \\ &\{Z = 5 \cdot y \cdot f(n \text{ div } 3) + y \cdot (n \text{ mod } 4) + x \wedge 0 \leq n \text{ div } 3 < V\} \\ &x := y * (n \text{ mod } 4) + x; \\ &\{Z = 5 \cdot y \cdot f(n \text{ div } 3) + x \wedge 0 \leq n \text{ div } 3 < V\} \\ &y := 5 * y; \\ &\{Z = y \cdot f(n \text{ div } 3) + x \wedge 0 \leq n \text{ div } 3 < V\} \\ &n := n \text{ div } 3; \\ &\{Z = y \cdot f(n) + x \wedge 0 \leq n < V\} \\ &\{J \wedge vf < V\} \end{aligned}$$

Recurrence to iteration (Continued)

In conclusion, we derived the following program fragment:

```
var  $x, y, n: \mathbb{Z}$ ;  
  { $P: Z = f(n) \wedge n \geq 0$ }  
 $x, y := 0, 1$ ;  
  { $J: Z = y \cdot f(n) + x \wedge n \geq 0$ }  
  (*  $\text{vf} = n$  *)  
while  $n \neq 0$  do  
   $x := y * (n \bmod 4) + x$ ;  
   $y := 5 * y$ ;  
   $n := n \text{ div } 3$ ;  
end;  
  { $Q: x = Z$ }
```

Recurrence to iteration (Dafny version)

```
function f(n: nat): nat {  
  if n == 0 then 0 else 5*f(n/3) + n%4  
}  
  
method computeF(m: nat) returns (x: nat)  
ensures x == f(m)  
{  
  var n, y;  
  n, x, y := m, 0, 1;  
  while n != 0  
  invariant 0 <= n && f(m) == y * f(n) + x  
  {  
    x := x + y * (n % 4);  
    y := 5 * y;  
    n := n / 3;  
  }  
}
```

Another example: From recurrence to a while-loop

The function f is defined by the recurrence:

$$\begin{aligned}y \leq 0 &\Rightarrow f(y, z) = z \\y > 0 &\Rightarrow f(y, z) = 10 \cdot f(y \text{ div } 10, z) + y \text{ mod } 10\end{aligned}$$

Find a command S that satisfies the specification:

```
var  $y, z : \mathbb{Z}$ ;  
  { $P : Z = f(y, z)$ }  
 $S$   
  { $Q : Z = z$ }
```

We introduce auxiliary variables m and n , and $J : Z = m \cdot f(y, z) + n$
Initialization is easy:

```
{ $P : Z = f(y, z)$ }  
  (* calculus *)  
{ $Z = 1 \cdot f(y, z) + 0$ }  
 $m := 1; n := 0;$   
{ $J : Z = m \cdot f(y, z) + n$ }
```

Example: From recurrence to a while-loop (Continued)

$$\begin{aligned}y \leq 0 &\Rightarrow f(y, z) = z \\y > 0 &\Rightarrow f(y, z) = 10 \cdot f(y \text{ div } 10, z) + y \text{ mod } 10 \\J : Z &= m \cdot f(y, z) + n \\Q : Z &= z\end{aligned}$$

The next step is to choose the guard B .

From the definition of f we see that we can determine $f(y, z)$ directly if $y \leq 0$.
Therefore, we choose the guard $B : y > 0$.

We need (active) finalization to establish Q :

```
{ $J \wedge \neg B$ }  
{ $Z = m \cdot f(y, z) + n \wedge y \leq 0$ }  
  (* definition  $f$  *)  
{ $Z = m \cdot z + n$ }  
 $z := m * z + n;$   
{ $Z = z$ }
```

Example: From recurrence to a while-loop (Continued)

variant function: The guard is $y > 0$, so we need to decrease y until $y \leq 0$.

We choose $vf = y \in \mathbb{Z}$.

Since $B \equiv vf > 0$, it is clear that $J \wedge B \Rightarrow vf \geq 0$.

For the body of the loop we find:

```
{J ∧ B ∧ vf = V}
{Z = m · f(y, z) + n ∧ y > 0 ∧ y = V}
(* y = V > 0 ⇒ y div 10 < V; definition f *)
{Z = m · (10 · f(y div 10, z) + y mod 10) + n ∧ y div 10 < V}
(* calculus *)
{Z = 10 · m · f(y div 10, z) + m · (y mod 10) + n ∧ y div 10 < V}
n := m * (y mod 10) + n;
{Z = 10 · m · f(y div 10, z) + n ∧ y div 10 < V}
m := 10 * m;
{Z = m · f(y div 10, z) + n ∧ y div 10 < V}
y := y div 10;
{Z = m · f(y, z) + n ∧ y < V}
{J ∧ vf < V}
```

Example: From recurrence to a while-loop (Continued)

Conclusion: we derived the following program fragment:

```
var n, m, y, z : ℤ;
  {P : Z = f(y, z)}
m := 1;
n := 0;
  {J : Z = m · f(y, z) + n}
  (* vf = y *)
while y > 0 do
  n := m * (y mod 10) + n;
  m := 10 * m;
  y := y div 10;
end;
z := m * z + n;
  {Q : z = Z}
```


Example: From recurrence to a while-loop (Dafny)

Let us try to convert the program fragment into a Dafny program.
A first attempt might look like this:

```
method ComputeF(y: int, z: int) returns (z: int)
ensures z == f(y,z)
{
  var m,n := 1,0;
  while y > 0
  {
    n := m*(y%10) + n;
    m := 10*m;
    y := y/10;
  }
  z := m*z + n;
}
```

Dafny reports

Error: Duplicate parameter name: z

Example: From recurrence to a while-loop (Dafny)

Ok, so we introduce a variable `r` to return the result.

```
method ComputeF(y: int, z: int) returns (r: int)
ensures z == f(y,z)
{
  var m,n := 1,0;
  while y > 0
  {
    n := m*(y%10) + n;
    m := 10*m;
    y := y/10;
  }
  r := m*z + n;
}
```

Dafny reports

Error: LHS of assignment must denote a mutable variable

The problem is that `y` is an in-parameter, and cannot be modified in the body of the method.

Example: From recurrence to a while-loop (Dafny)

So, we end up with:

```

ghost function f(y: int, z: int): int
{
  if y <= 0 then z else 10*f(y/10, z) + y%10
}
method ComputeF(y: int, z: int) returns (r: int)
ensures r == f(y, z)
{
  var m, n, a := 1, 0, y;
  while a > 0
  invariant f(y, z) == m*f(a, z) + n
  {
    n := m*(a%10) + n;
    m := 10*m;
    a := a/10;
  }
  r := m*z + n;
}

```

Dafny reports

Dafny program verifier finished with 2 verified, 0 errors

Exercise: Mid exam Program Correctness 2015

The function $f : \mathbb{N} \rightarrow \mathbb{N}$ is defined recursively as follows:

$$\begin{aligned}
 n = 0 &\Rightarrow f(n) = 1 \\
 n > 0 \wedge n \bmod 2 = 0 &\Rightarrow f(n) = 1 + 2 \cdot f(n \operatorname{div} 2) \\
 n > 0 \wedge n \bmod 2 = 1 &\Rightarrow f(n) = 2 \cdot f(n \operatorname{div} 2)
 \end{aligned}$$

Design a command S that satisfies $\{ P : n \geq 0 \wedge X = f(n) \} S \{ Q : X = a \}$

- 1 Invariant and guard: we choose the invariant $J : X = a + b \cdot f(n) \wedge n \geq 0$.
The recursion stops if $n = 0$, so we choose the guard $n \neq 0$.
We will need active finalization to establish Q .

$$\begin{aligned}
 &\{ J \wedge \neg B \} \\
 &\{ n = 0 \wedge X = a + b \cdot f(n) \} \\
 &\{ X = a + b \} \\
 &a := a + b; \\
 &\{ Q : X = a \}
 \end{aligned}$$

- 2 Initialization:

$$\begin{aligned}
 &\{ P : n \geq 0 \wedge X = f(n) \} \\
 &\{ n \geq 0 \wedge X = 0 + 1 \cdot f(n) \} \\
 &a := 0; b := 1; \\
 &\{ J : n \geq 0 \wedge X = a + b \cdot f(n) \}
 \end{aligned}$$

- 3 Variant function: Choose $vf = n \in \mathbb{Z}$. Clearly, we have $J \wedge B \Rightarrow vf \geq 0$.

Exercise: Mid exam Program Correctness 2015 (Continued)

4 Body of the loop:

```
{  $X = a + b \cdot f(n) \wedge n = V > 0$  }
if  $n \bmod 2 = 0$  then
  {  $n \bmod 2 = 0 \wedge X = a + b \cdot f(n) \wedge n = V > 0$  }
  (*  $n > 0 \wedge n \bmod 2 = 0 \Rightarrow f(n) = 1 + 2 \cdot f(n \text{ div } 2)$  *)
  {  $X = a + b \cdot (1 + 2 \cdot f(n \text{ div } 2)) \wedge n = V > 0$  }
  {  $X = a + b + 2 \cdot b \cdot f(n \text{ div } 2) \wedge n = V > 0$  }
   $a := a + b;$ 
  {  $X = a + 2 \cdot b \cdot f(n \text{ div } 2) \wedge n = V > 0$  }
else
  {  $n \bmod 2 = 1 \wedge X = a + b \cdot f(n) \wedge n = V > 0$  }
  (*  $n > 0 \wedge n \bmod 2 = 1 \Rightarrow f(n) = 2 \cdot f(n \text{ div } 2)$  *)
  {  $X = a + 2 \cdot b \cdot f(n \text{ div } 2) \wedge n = V > 0$  }
  skip;
  {  $X = a + 2 \cdot b \cdot f(n \text{ div } 2) \wedge n = V > 0$  }
end; (* collect branches *)
{  $X = a + 2 \cdot b \cdot f(n \text{ div } 2) \wedge n = V > 0$  }
 $b := 2 * b;$ 
{  $X = a + b \cdot f(n \text{ div } 2) \wedge n = V > 0$  }
(* calculus;  $n > 0 \Rightarrow 0 \leq n \text{ div } 2 < n$  *)
{  $X = a + b \cdot f(n \text{ div } 2) \wedge n \text{ div } 2 \geq 0 \wedge n \text{ div } 2 < V$  }
 $n := n \text{ div } 2;$ 
{  $X = a + b \cdot f(n) \wedge n \geq 0 \wedge n < V$  }
```

Exercise: Mid exam Program Correctness 2015 (Continued)

```
var  $a, b, n : \mathbb{N};$ 
  {  $P : n \geq 0 \wedge X = f(n)$  }
 $a := 0; b := 1;$ 
  {  $J : X = a + b \cdot f(n) \wedge n \geq 0$  }
  (*  $\forall f : n$  *)
while  $n \neq 0$  do
  if  $n \bmod 2 = 0$  then
     $a := a + b;$ 
  end;
   $b := 2 * b;$ 
   $n := n \text{ div } 2;$ 
end;
 $a := a + b;$ 
  {  $Q : X = a$  }
```

Example: Mid exam Program Correctness 2015 (in Dafny)

```
include "io.dfy"

function f(n: nat): nat {
  if n == 0 then 1
  else if n%2 == 0 then 1 + 2*f(n/2)
  else 2*f(n/2)
}

method F(m: nat) returns (a: nat)
ensures a == f(m)
{
  var n, b: nat;
  a, b, n := 0, 1, m;
  while n != 0
  invariant 0 <= n && f(m) == a + b*f(n)
  {
    if n%2 == 0 {
      a := a + b;
    }
    b := 2*b;
    n := n/2;
  }
  a := a + b;
}

method Main() {
  var n := IO.ReadNat();
  var f := F(n);
  print "f(", n, ")=", f, ".\n";
}
```



Fibonacci (once more)

The Fibonacci numbers are defined by the recurrence relation:

$$\begin{aligned} f(0) &= 0 \\ f(1) &= 1 \\ f(n) &= f(n-2) + f(n-1) \text{ for } n > 1 \end{aligned}$$

We aim for a command S that satisfies: $\{P : n \geq 0\} S \{Q : x = f(n)\}$

It seems natural to aim for a loop in which a variable k runs from 0 to n which keeps $x = f(k) \wedge y = f(k-1)$ invariant.

In that case $f(k+1) = f(k-1) + f(k) = y + x$.

However, this is difficult to initialize.

If we initialize with $k := 0$ then we need $f(-1)$, which is undefined.

A better choice for the invariant is $J : 0 \leq k \leq n \wedge x = f(k) \wedge y = f(k+1)$.

Clearly, Q holds if $J \wedge k = n$, so we choose $B : k < n$ (or $k \neq n$).

Next, we choose a variant function.

The guard suggests to choose $vf = n - k \in \mathbb{Z}$.

The requirement $vf \geq 0$ follows trivially from B .



Fibonacci (once more)

$$J : 0 \leq k \leq n \wedge x = f(k) \wedge y = f(k+1)$$

Initialization is easy: $k := 0; x := 0; y := 1;$

For the body of the loop we find:

```
{0 ≤ k < n ∧ x = f(k) ∧ y = f(k+1) ∧ n - k = V}
(* prepare k := k + 1 *)
{0 < k+1 ≤ n ∧ x = f(k+1-1) ∧ y = f(k+1) ∧ n - (k+1) < V}
k := k + 1;
{0 < k ≤ n ∧ x = f(k-1) ∧ y = f(k) ∧ n - k < V}
(* k > 0 ⇒ f(k+1) = f(k-1) + f(k) = x + y *)
{0 < k ≤ n ∧ x = f(k-1) ∧ x + y = f(k+1) ∧ n - k < V}
y := x + y;
{0 < k ≤ n ∧ x = f(k-1) ∧ y = f(k+1) ∧ n - k < V}
(* k > 0 ⇒ f(k) = f(k+1) - f(k-1) = y - x *)
{0 ≤ k ≤ n ∧ y - x = f(k) ∧ y = f(k+1) ∧ n - k < V}
x := y - x;
{0 ≤ k ≤ n ∧ x = f(k) ∧ y = f(k+1) ∧ n - k < V}
```

Fibonacci (Continued)

In conclusion, we derived the following program fragment:

```
const n : ℤ;
var k, x, y : ℤ;
  {P : n ≥ 0}
k := 0; x := 0; y := 1;
  {J : 0 ≤ k ≤ n ∧ x = f(k) ∧ y = f(k+1)}
  (* vf = n - k *)
while k < n do
  k := k + 1;
  y := x + y;
  x := y - x;
end;
  {Q : x = f(n)}
```

Fibonacci (in Dafny)

```
function fib(n: nat): nat {
  if n <= 1 then n else fib(n-1) + fib(n-2)
}

method Fib(n: nat) returns (x: nat)
ensures x == fib(n)
{
  var k, y;
  k, x, y := 0, 0, 1;
  while k < n
  invariant 0 <= k <= n && x == fib(k) && y == fib(k+1)
  {
    k := k + 1;
    y := x + y;
    x := y - x;
  }
}
```

Dafny reports

Dafny program verifier finished with 3 verified, 0 errors

Dijkstra's Fusc function

$$\begin{aligned} f(0) &= 0 \quad \wedge \quad f(1) = 1 \\ f(2 \cdot n) &= f(n) \\ f(2 \cdot n + 1) &= f(n) + f(n + 1) \end{aligned}$$

We aim for a program fragment that satisfies the following specification:

```
var n, x :  $\mathbb{Z}$ ;
  {  $P : n \geq 0 \wedge Z = f(n)$  }
S;
  {  $Q : x = Z$  }
```

1 Invariant and guard:

$$\begin{aligned} J : \quad & n \geq 0 \wedge Z = y \cdot f(n) + x \cdot f(n + 1) \\ B : \quad & n \neq 0 \end{aligned}$$

$$\begin{aligned} f(0) &= 0 \quad \wedge \quad f(1) = 1 \\ f(2 \cdot n) &= f(n) \\ f(2 \cdot n + 1) &= f(n) + f(n + 1) \end{aligned}$$

2 Initialization: Find a command T_0 such that

$$\{n \geq 0 \wedge Z = f(n)\} T_0 \{n \geq 0 \wedge Z = y \cdot f(n) + x \cdot f(n + 1)\}$$

$$\begin{aligned} &\{P : n \geq 0 \wedge Z = f(n)\} \\ &\quad (* \text{ calculus } *) \\ &\{n \geq 0 \wedge Z = 1 \cdot f(n) + 0 \cdot f(n + 1)\} \\ &y := 1; x := 0; \\ &\{J : n \geq 0 \wedge Z = y \cdot f(n) + x \cdot f(n + 1)\} \end{aligned}$$

3 Variant function: $\text{vf} = n \in \mathbb{Z}$.

The invariant contains the conjunct $n \geq 0$, so $J \wedge B \Rightarrow \text{vf} \geq 0$.



Fusc: [4] loop body $\{J \wedge B \wedge \text{vf} = V\} S \{J \wedge \text{vf} < V\}$

```
{0 < n = V ∧ Z = y · f(n) + x · f(n + 1)}
if n mod 2 = 0 then
  {2 ≤ n = V ∧ Z = y · f(2(n div 2)) + x · f(2(n div 2) + 1)}
  (* logic; definition f(n) for n ≥ 1 *)
  {0 < n = V ∧ Z = y · f(n div 2) + x · (f(n div 2) + f(n div 2 + 1))}
  {0 < n = V ∧ Z = (x + y) · f(n div 2) + x · f(n div 2 + 1)}
  y := x + y;
  {0 < n = V ∧ Z = y · f(n div 2) + x · f(n div 2 + 1)}
else
  {0 < n = V ∧ Z = y · f(2(n div 2) + 1) + x · f(2(n div 2) + 2)}
  {0 < n = V ∧ Z = y · f(2(n div 2) + 1) + x · f(2(n div 2) + 1)}
  (* definition f(n); Note: f(1) = 1 = 0 + 1 = f(0) + f(0 + 1) = f(2 · 0 + 1) *)
  {0 < n = V ∧ Z = y · (f(n div 2) + f(n div 2 + 1)) + x · f(n div 2 + 1)}
  {0 < n = V ∧ Z = y · f(n div 2) + (x + y) · f(n div 2 + 1)}
  x := x + y;
  {0 < n = V ∧ Z = y · f(n div 2) + x · f(n div 2 + 1)}
end; (* collect branches *)
{0 < n = V ∧ Z = y · f(n div 2) + x · f(n div 2 + 1)}
(* 0 < n = V ⇒ 0 ≤ n div 2 < V *)
{0 ≤ n div 2 < V ∧ Z = y · f(n div 2) + x · f(n div 2 + 1)}
n := n div 2;
{0 ≤ n < V ∧ Z = y · f(n) + x · f(n + 1)}
{J ∧ vf < V}
```



5 Conclusion: We derived the following program:

```
var  $n, x, y : \mathbb{Z}$ ;  
  { $P : n \geq 0 \wedge Z = f(n)$ }  
 $y := 1$ ;  
 $x := 0$ ;  
  { $J : n \geq 0 \wedge Z = y \cdot f(n) + x \cdot f(n+1)$ }  
  (*  $\forall f = n$  *)  
while  $n \neq 0$  do  
  if  $n \bmod 2 = 0$  then  
     $y := x + y$ ;  
  else  
     $x := x + y$ ;  
  end;  
   $n := n \text{ div } 2$ ;  
end;  
  { $Q : x = Z$ }
```

Fusc (in Dafny)

$$\begin{aligned} f(0) &= 0 \quad \wedge \quad f(1) = 1 \\ f(2 \cdot n) &= f(n) \\ f(2 \cdot n + 1) &= f(n) + f(n + 1) \end{aligned}$$

We first need to rewrite the definition of the function `fusc`:

```
function fusc( $n : \text{nat}$ ) :  $\text{nat}$  {  
  if  $n \leq 1$  then  $n$   
    else if  $n \% 2 == 0$   
      then  $\text{fusc}(n/2)$   
      else  $\text{fusc}(n/2) + \text{fusc}(1 + n/2)$   
}
```


Fusc (in Dafny)

```
method {:timeLimit 40} Fusc(m: nat) returns (x: nat)
ensures x == fusc(m)
{
  var n := m;
  var y := 1;
  x := 0;
  while n != 0
  invariant 0 <= n && fusc(m) == y * fusc(n) + x * fusc(n+1)
  {
    if n % 2 == 0 {
      y := x + y;
    } else {
      x := x + y;
    }
    n := n / 2;
  }
}
```

Dafny reports

Dafny program verifier finished with 3 verified, 0 errors

Lemmas and Proofs

So far, most programs were accepted by Dafny without much effort. However, for more complicated programs the verifier needs our help.

This can be done by writing *lemmas*, which you can use in a larger proof.

Consider the following function, which returns something larger than its argument:

```
function More(x: int): int {
  if x <= 0 then 1 else More(x - 2) + 3
}
```

Next, we introduce the lemma *Increasing*:

```
lemma Increasing(x: int)
ensures x < More(x)
{
}
```

The body of a lemma may help Dafny to complete the proof. In this particular case, Dafny is able to prove the lemma itself, so we can leave the body empty.

Lemmas and Proofs

Next, consider the following method `UseLemma`:

```
method UseLemma(a: int) {
  var b := More(a);
  var c := More(b);
  assert 2 <= c - a;
}
```

Clearly, the method computes `More(More(a))` into variable `c`.

Since `More(a) >= a + 1`, we must surely have `More(More(a)) >= a+2`.

Still, Dafny fails to verify the program.

The reason is that the verifier does know the definition of `More` but not its properties.

In particular, it needs the `Increasing` lemma:

```
method UseLemma(a: int) {
  var b := More(a);
  Increasing(a);
  Increasing(b);
  var c := More(b);
  assert 2 <= c - a;
}
```



Lemmas and Proofs

Why did the verifier accept the latter version? Let us zoom in:

```
function More(x: int): int {
  if x <= 0 then 1 else More(x - 2) + 3
}
```

```
lemma Increasing(x: int)
ensures x < More(x)
{
}
```

```
method UseLemma(a: int) {
  var b := More(a); // Dafny knows that b == More(a)
  Increasing(a);    // Dafny knows that More(a) > a, so b > a
  Increasing(b);    // Dafny knows that More(b) > b > a
  var c := More(b); // Dafny knows that c == More(b) > b > a
  assert 2 <= c - a; // c > b > a, so c - a >= 2
}
```



Proving a lemma

Dafny was able to prove the [Increasing](#) lemma, because it used *automatic induction*.

You can turn automatic induction off:

```
function More(x: int): int {
  if x <= 0 then 1 else More(x - 2) + 3
}

lemma {:induction false} Increasing(x: int)
ensures x < More(x)
{
}
```

Dafny reports

Error: a postcondition could not be proved on this return path

Proving a lemma

We need to help the verifier. We start with the following attempt:

```
lemma {:induction false} Increasing(x: int)
ensures x < More(x)
{
  if x <= 0 {
    // this case verifies without help (base case)
  } else {
    // this case needs our help (inductive case)
  }
}
```

Dafny reports

line 7: a postcondition could not be proved on this return path

Proving a lemma

We need to help the verifier. We start with the following attempt:

```
lemma {:induction false} Increasing(x: int)
ensures x < More(x)
{
  if x <= 0 {
    // this case verifies without help (base case)
  } else {
    // x > 0 implies More(x) == More(x - 2) + 3
    // so x < More(x) is the same as x - 3 < More(x - 2)
    // that follows clearly from x - 2 < More(x - 2)
    Increasing(x-2);
  }
}
```

Dafny reports

Dafny program verifier finished with 0 errors

This completes the proof, but we need to realize that Dafny still took care of a detail.

Dafny used the `decreases x` clause to prove termination.



Proving a lemma (termination)

Proving termination is important, because it prevents proof attempts like this one:

```
lemma {:induction false} Increasing(x: int)
ensures x < More(x)
{
  Increasing(x); // this gives us x < More(x)
}
```

Dafny reports

cannot prove termination; try supplying a decreases clause



Proving a lemma (termination)

In Dafny, we can 'program' a proof:

```
lemma {:induction false} Increasing(x: int)
ensures x < More(x)
{
  if x > 0 {
    var y := x - 2;
    Increasing(y);
  }
}
```

Dafny reports

Dafny program verifier finished with 0 errors

Proof calculations

Dafny also supports calculations within a proof. They look like this:

```
calc {
  5*(x + 3);    // note the semicolon!
  == // distribute * over +
  5*x + 5*3;
  == // calculus
  5*x + 15;
}
```

Here is another example (n is of type `nat`):

```
calc {
  3*x + n + n;
  == // n + n == 2*n
  3*x + 2*n;
  <= // 2*n <= 3*n, since n >= 0
  3*x + 3*n;
  == // distribute * over +
  3*(x + n);
}
```

Proof calculations

A proof using `calc` looks like this:

```
lemma {:induction false} Increasing(x: int)
ensures x < More(x)
{
  if x <= 0 {
    calc {
      x;
      <= // guard says x <= 0
      0;
      <  // arithmetic
      1;
      == // definition More for x <= 0
      More(x);
    }
  } else {
    calc {
      More(x);
      == // definition More for x > 0
      More(x - 2) + 3;
      > { Increasing(x-2); } // hints to Dafny in curly braces
      x - 2 + 3;
      > // calculus
      x;
    }
  }
}
```

Proof calculations

Here is a variation on the proof:

```
lemma {:induction false} Increasing(x: int)
ensures x < More(x)
{
  if x <= 0 {
    calc {
      x;
      <= // guard says x <= 0
      0;
      <  // arithmetic
      1;
      == // definition More for x <= 0
      More(x);
    }
  } else {
    calc {
      x < More(x);
      <== // calculus
      x + 1 < More(x);
      == // definition More for x > 0
      x + 1 < More(x - 2) + 3;
      == // calculus
      x - 2 < More(x - 2);
      <== Increasing(x - 2);
      true;
    }
  }
}
```

Wishful thinking: Fibonacci squared (Dafny)

```
function fib(n: nat): nat {
  if n <= 1 then n else fib(n-1) + fib(n-2)
}
```

We aim for the following method:

```
method SquaredFib(N: nat) returns (x: nat)
ensures x == fib(N)*fib(N)
{
  x := 0;
  var n := 0;
  while n != N
  invariant 0<=n<=N
  invariant x == fib(n)*fib(n)
  {
    ??????
    assert x == fib(n+1)*fib(n+1);
    n := n + 1;
    assert x == fib(n)*fib(n);
  }
}
```

Let us *wish* that we have `fib(n+1)` already computed in a variable `y`.



Fibonacci squared (Dafny)

```
method SquaredFib(N: nat) returns (x: nat)
ensures x == fib(N)*fib(N)
{
  x := 0;
  var n := 0;
  while n != N
  invariant 0<=n<=N
  invariant x == fib(n)*fib(n)
  invariant y == fib(n + 1)*fib(n + 1)
  {
    x,y := y, ??;
    assert x == fib(n+1)*fib(n+1) && y == fib(n + 2)*fib(n + 2)
    n := n + 1;
    assert x == fib(n)*fib(n) && y == fib(n + 1)*fib(n + 1)
  }
}
```



Fibonacci squared (Dafny)

It is time for some calculation:

```
while n != N
invariant 0<=n<=N
invariant x == fib(n)*fib(n)
invariant y == fib(n + 1)*fib(n + 1)
{
  calc {
    fib(n+2)*fib(n+2);
    == // definition fib
      (fib(n)+fib(n+1))*(fib(n)+fib(n+1));
    == // calculus
      fib(n)*fib(n) + 2*fib(n)*fib(n+1) + fib(n+1)*fib(n+1);
    == // invariant
      x + 2*fib(n)*fib(n+1) + y;
  }
  x,y := y, x + ?? + y;
  assert x == fib(n+1)*fib(n+1) && y == fib(n + 2)*fib(n + 2)
  n := n + 1;
  assert x == fib(n)*fib(n) && y == fib(n + 1)*fib(n + 1)
}
```



Ok, we have another *wish*: $k == 2 * \text{fib}(n) * \text{fib}(n + 1)$.

Fibonacci squared (Dafny)

```
while n != N
invariant 0<=n<=N
invariant x == fib(n)*fib(n)
invariant y == fib(n + 1)*fib(n + 1)
invariant k == 2*fib(n)*fib(n + 1)
{
  calc {
    2*fib(n+1)*fib(n+2);
    == // definition fib
      2*fib(n+1)*(fib(n) + fib(n+1));
    == // calculus
      2*fib(n+1)*fib(n) + 2*fib(n+1)*fib(n+1);
    == // invariant
      k + 2*y;
  }
  calc {
    fib(n+2)*fib(n+2);
    == // definition fib
      (fib(n)+fib(n+1))*(fib(n)+fib(n+1));
    == // calculus
      fib(n)*fib(n) + 2*fib(n)*fib(n+1) + fib(n+1)*fib(n+1);
    == // invariant
      x + k + y;
  }
  x,y,k := y, x + k + y, k + 2*y;
  n := n + 1;
}
```



In conclusion, we have found the following method implementation:

```
method SquaredFib(N: nat) returns (x: nat)
ensures  x == fib(N)*fib(N)
{
  x := 0;
  var n, y, k := 0, 1, 0;
  while n != N
  invariant 0<=n<=N
  invariant x == fib(n)*fib(n)
  invariant y == fib(n + 1)*fib(n + 1)
  invariant k == 2*fib(n)*fib(n + 1)
  {
    x, y, k := y, x + k + y, k + 2*y;
    n := n + 1;
  }
}
```

Notation

Before we continue, we need some extra notation.

This notation lacks in the book, but it makes manual proofs easier.

- equivalence (\equiv): $P \equiv Q$ is notation for $(P \Rightarrow Q) \wedge (Q \Rightarrow P)$
- $\forall x \in V : P(x) \equiv \forall x(x \in V \Rightarrow P(x))$
- $\exists x \in V : P(x) \equiv \exists x(x \in V \wedge P(x))$

Notation: Sets

- standard notation: $\{x \mid P(x)\}$
For example $\{x \mid 0 \leq x^3 \leq 100\} = \{0, 1, 2, 3, 4\}$
- alternative notation: $\{E(x) \mid x : P(x)\}$, e.g.
 $\{2 \cdot x \mid x : 0 \leq x \leq 5\} = \{0, 2, 4, 6, 8, 10\}$
- notations are equivalent: $\{E(x) \mid x : P(x)\} = \{y \mid \exists x(y = E(x) \wedge P(x))\}$
- empty set: \emptyset
- element: $w \in V$ means “ w is member of the set V ”
- $\#V$: number of elements of the set V
- $\#\emptyset = 0$
- singleton rule: $\#\{a\} = 1$
- split rule: $\#V = \#(V \cap W) + \#(V \setminus W)$
- $\#\{x \in V \mid x = a\} = \text{ord}(a \in V)$, where $\text{ord}(\text{true}) = 1$ and $\text{ord}(\text{false}) = 0$

- Segment/interval: consecutive subset of \mathbb{Z}
- closed: $[m..n] = \{k \mid m \leq k \leq n\}$
- open: $(m..n) = \{k \mid m < k < n\}$
- half open: $[m..n) = \{k \mid m \leq k < n\}$
- half open: $(m..n] = \{k \mid m < k \leq n\}$
- Advice: use half open intervals!
Why? Well, $\#[m..n) = \#(m..n] = n - m$

Notation: Maxima/Minima Sets/Segments

- Max V : maximum element of the set V
- Min V : minimum element of the set V
- For $V \neq \emptyset$ we have
$$a = \text{Max } V \equiv a \in V \wedge \forall x (x \in V \Rightarrow x \leq a)$$
$$a = \text{Min } V \equiv a \in V \wedge \forall x (x \in V \Rightarrow a \leq x)$$
- operator **max**: $x \text{ max } y = \text{Max } \{x, y\}$
- operator **min**: $x \text{ min } y = \text{Min } \{x, y\}$
- singleton rules: $\text{Max } \{a\} = a$ and $\text{Min } \{a\} = a$
- empty set rules: $\text{Max } \emptyset = -\infty$ and $\text{Min } \emptyset = \infty$
- split rule: $\text{Max } V = \text{Max } (V \cap W) \text{ max } \text{Max } (V \setminus W)$
- split rule: $\text{Min } V = \text{Min } (V \cap W) \text{ min } \text{Min } (V \setminus W)$

Notation: Families/Bags/Multi sets

- $(f(i) \mid i \in V)$ is the *family* consisting of the values $f(i)$ for all $i \in V$.
- Alternative notation: $(f(i) \mid i \in V : P(i))$
- Note that the (above) family differs from the set $\{f(i) \mid i \in V\}$
- $\{i \text{ div } 2 \mid i \in [0..5)\} = \{0, 1, 2\}$
- $(i \text{ div } 2 \mid i \in [0..5)) = (0, 0, 1, 1, 2)$
- In a family, the order of elements is relevant, so
 $(1, 0, 0) \neq (0, 1, 0)$, $(1, 0, 0) \neq (0, 0, 1)$, and $(0, 1, 0) \neq (0, 0, 1)$.

Notation: Sum operator on families

- $\Sigma(f(i) \mid i \in V)$ is the sum of the elements of the *family*.
- $\Sigma(f(i) \mid i \in \emptyset) = 0$
- $\Sigma(f(i) \mid i \in \{a\}) = f(a)$
- $\Sigma(f(i) \mid i \in V) = \Sigma(f(i) \mid i \in V \cap W) + \Sigma(f(i) \mid i \in V \setminus W)$

- $\prod(f(i) \mid i \in V)$ is the product of the elements of the *family*.
- $\prod(f(i) \mid i \in \emptyset) = 1$
- $\prod(f(i) \mid i \in \{a\}) = f(a)$
- $\prod(f(i) \mid i \in V) = \prod(f(i) \mid i \in V \cap W) \cdot \prod(f(i) \mid i \in V \setminus W)$

Notation: Distributive laws

- multiplication over addition: $\Sigma(x \cdot f(i) \mid i \in V) = x \cdot \Sigma(f(i) \mid i \in V)$
- addition over Max : $\text{Max}(x + f(i) \mid i \in V) = x + \text{Max}(f(i) \mid i \in V)$
- addition over Min : $\text{Min}(x + f(i) \mid i \in V) = x + \text{Min}(f(i) \mid i \in V)$
- multiplication over Max :
 $x \geq 0 \wedge V \neq \emptyset \Rightarrow \text{Max}(x \cdot f(i) \mid i \in V) = x \cdot \text{Max}(f(i) \mid i \in V)$
- multiplication over Min :
 $x \geq 0 \wedge V \neq \emptyset \Rightarrow \text{Min}(x \cdot f(i) \mid i \in V) = x \cdot \text{Min}(f(i) \mid i \in V)$

But,

- $x < 0 \wedge V \neq \emptyset \Rightarrow \text{Max}(x \cdot f(i) \mid i \in V) = x \cdot \text{Min}(f(i) \mid i \in V)$
- $x < 0 \wedge V \neq \emptyset \Rightarrow \text{Min}(x \cdot f(i) \mid i \in V) = x \cdot \text{Max}(f(i) \mid i \in V)$

Example: Summing an array

```
const n : ℕ, a : array [0..n) of ℤ;  
var x : ℤ;  
  { P : true }  
S  
  { Q : x = Σ(a[i] | i : i ∈ [0..n)) }
```

To reduce the size of our formulas we introduce (for $0 \leq k \leq n$):

$$S(k) = \Sigma(a[i] \mid i : i \in [0..k))$$

Next, we rewrite the postcondition: $Q : x = S(n)$

Example: $S(k) = \Sigma(a[i] \mid i : i \in [0..k))$

It is clear that $S(0) = 0$, since the domain of the sum is empty.

For $0 \leq k < n$, we compute $S(k + 1)$:

$$\begin{aligned} & S(k + 1) \\ = & \{ \text{definition} \} \\ & \Sigma(a[i] \mid i : i \in [0..k + 1)) \\ = & \{ \text{split domain: } i = k \vee i < k \} \\ & a[k] + \Sigma(a[i] \mid i : i \in [0..k)) \\ = & \{ \text{definition} \} \\ & a[k] + S(k) \end{aligned}$$

So, we found the following recurrence:

$$\begin{aligned} & S(0) = 0 \\ 0 \leq k < n \quad \Rightarrow \quad & S(k + 1) = a[k] + S(k) \end{aligned}$$

Summing an array: step-by-step

- 1 Choose an invariant J , and guard B .

The postcondition is $Q : x = S(n)$.

We use the heuristic *replace the constant n by a variable k* and augment the invariant with the domain condition $0 \leq k \leq n$.

$$J : 0 \leq k \leq n \wedge x = S(k)$$

$$B : k \neq n$$

$$\begin{aligned} & J \wedge \neg B \\ \equiv & \{ \text{definition } J \text{ and } B \} \\ & 0 \leq k \leq n \wedge x = S(k) \wedge k = n \\ \Rightarrow & \{ \text{substitute } k = n; \text{ logic} \} \\ & Q : x = S(n) \end{aligned}$$

Summing an array: step-by-step

- 2 Initialization: Find a command T_0 such that
 $\{P : \text{true}\} T_0 \{J : 0 \leq k \leq n \wedge x = S(k)\}$

This is easy: since $S(0) = 0$ we choose $k := 0; x := 0$;

$$\begin{aligned} & \{P : \text{true}\} \\ & \{0 \leq 0 \leq n \wedge 0 = S(0)\} \\ & k, x := 0, 0; \\ & \{J : 0 \leq k \leq n \wedge x = S(k)\} \end{aligned}$$

- 3 Variant function: choose a $vf \in \mathbb{Z}$ and prove $J \wedge B \Rightarrow vf \geq 0$.
Since initially $k = 0$ and the guard is $k \neq n$, we need to increase k .
So, we choose $vf = n - k \in \mathbb{Z}$. Clearly, $J \wedge B \Rightarrow J \Rightarrow k \leq n \equiv vf \geq 0$

- 4 Body of the loop: $\{J \wedge B \wedge vf = V\} S \{J \wedge vf < V\}$.

$$\begin{aligned} & \{0 \leq k \leq n \wedge x = S(k) \wedge k \neq n \wedge n - k = V\} \\ & \quad (* \text{ calculus; } k < n; \text{ prepare } k := k + 1; \text{ use recurrence } *) \\ & \{0 \leq k + 1 \leq n \wedge x + a[k] = S(k + 1) \wedge n - (k + 1) < V\} \\ & x := x + a[k]; \\ & \{0 \leq k + 1 \leq n \wedge x = S(k + 1) \wedge n - (k + 1) < V\} \\ & k := k + 1; \\ & \{0 \leq k \leq n \wedge x = S(k) \wedge n - k < V\} \end{aligned}$$

5 Conclusion: we derived the following program fragment:

```
const  $n : \mathbb{N}$ ,  $a : \text{array } [0..n] \text{ of } \mathbb{Z}$ ;  
var  $x : \mathbb{Z}$ ;  
  { $P : \text{true}$ }  
 $k := 0$ ;  
 $x := 0$ ;  
  { $J : 0 \leq k \leq n \wedge x = S(k)$ }  
  (*  $\text{vf} = n - k$  *)  
while  $k \neq n$  do  
   $x := x + a[k]$ ;  
   $k := k + 1$ ;  
end;  
  { $Q : x = \Sigma(a[i] \mid i : i \in [0..n])$ }
```

Summing an array (Dafny version)

```
ghost function sum( $a : \text{array}<\text{int}>$ ,  $\text{upb} : \text{int} := a.Length$ ) : int  
requires  $0 \leq \text{upb} \leq a.Length$   
reads  $a$   
{  
  if  $\text{upb} == 0$  then 0  
  else  $a[\text{upb} - 1] + \text{sum}(a, \text{upb} - 1)$   
}
```

```
method sumArray( $a : \text{array}<\text{int}>$ ) returns ( $x : \text{int}$ )  
ensures  $x == \text{sum}(a)$   
{  
   $x := 0$ ;  
  var  $k : \text{int} := 0$ ;  
  while  $k < a.Length$   
    invariant  $0 \leq k \leq a.Length$   
    invariant  $x == \text{sum}(a, k)$   
    decreases  $a.Length - k$   
  {  
     $x := x + a[k]$ ;  
     $k := k + 1$ ;  
  }  
}
```