

# Notes FYP

George W. Kirby

*200328186*

November 2, 2025

**Supervisor:** Dr. Ross Drummond

# 1 Constraining the research

Spent a fair amount of time learning about the basic machine learning techniques, then NN methods, then applying with pytorch. Managed to get a basic raw NN to work for fashion sets, then began looking at CNNs and LSTMS for learning data, in the hopes it could predict battery degradation over time. However, given the sheer data needed, as well as a very large possible set of outputs and too many inputs to consider, it did not look feasible to continue down this route. At least for a black box approach, to parameterise the current state of health, perhaps this could be used to live tune the current profile.

It was also found [1] that differences of only 2% can have large effects in the degradation states over time, meaning the ability for a NN to generalise well enough and capture these differences would be hard and more specifically, beyond the ability of the author of this paper.

Instead of a *black box battery* model, the goal is now to focus on the actually optimal charging method themselves, to reduce degradation. Specifically the constant current stage of the charging cycle, as this is where most of the heat is generated, research shows this to be a large factor of degradation alongside instantaneous applied voltages.

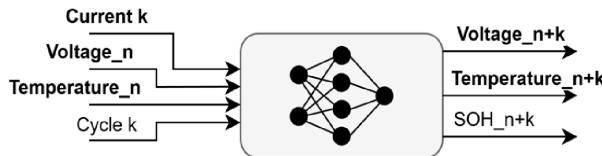


Figure 1: Original end objective: Black Box Battery to allow for discovery & testing of optimal charging profiles

## 2 Data Analysis on Dans Data

Gave a good insight to the degradation patterns on an array of lithium batteries, data was analysed and plotted on jupyter notebook. Despite not complete draining etc, resting points, internal resistance and *importantly* temperature were able to be extracted from the data too

## 3 Lithium Battery Modelling

Starting off, only knowing the basics of batteries, i.e the resistance increases over time, capacity drops etc. I'm continuing learning the various battery models, behaviours etc.

- For the most part, at least within the context of the problem, the dynamics of the battery can be modelled with an equivalent circuit model (ECM). Subject to vary between cycles
- Looking at dans data, parameters will be different between cells, as well as cycle degradation, but if the degradation can be modelled based off initial parameters, then an optimal charging method can be found for a given battery at a given time.
- Degradation causes:
  - SEI layer growth via pores  $\approx$  not really solvable, grows square root over time and cycle number
  - Lithium plating
    - Causes increased ageing and safety risks, its the deposition of metallic lithium on the anode surface, happens at high charging currents and low temperature. Since during charging, the lithium ions move, through the sei into the anode, if the ions cannot intercalate fast enough, they deposit and can become metallic lithium. Especially when charging is forced, local overpotential can cause the lithium plating, can cause dendrites **this is one of the main constraints for the charging profile**

- Active material loss (from parts mentiones above)
- SEI Brakeages
 

Charging too **high** of a temperatures causes mechanical stress on the sei layer, causing it to crack and reform, consuming more lithium ions in the process. Loose sei material can also float in the electrolyte, causing further issues.
- Electrolyte decomposition
- 

Superlinear battery degredadion known as "Knee" is where degradation drops rappidly over later cycles. Appears the multistage cc is advantagous for keeping charge time down, yet reducing degredation by ensuring most of the current is applied at lower states of charge, where the battery is less prone to lithium plating and high internal resistance heating.

## 4 Current work and Results

## 5 Current plan

- Look at existing charging methods, including the complex ones and continous ones (explain complexity and non generalisability).
- Look at the different SOC estimation methods, since the cc high current section works good for 20-60 % soc [2]
- If this is adaptive over the ageing, since R and C values change, need to look at maybe live cc tuning methods, maybe a form of MPC? , see the feasability of implamenting on actual hardware.
- Run the experiment against standard cc-cv methods, look at temp, internal resistance and capacity over time.

## 6 Questions

- Deciding on the constraints, besides the total charge volume, does the charge time need to be minimised also? Or keeping that constant and purrley investigating the degredation effects compared to standard cc-cv method
- Enquire about dans temperature controll side, is the abient area controlled, can the temp be controlled?
- General guidance on the controll method, is this entire plan okay, any suggested reading? Some of the heavy matrices are a bit over my head. (Happy with the idea of matracies transforming vectors, some basic forms of matrices w properties ect)

## References

- [1] P. R. Chinnam, A. M. Colclasure, B.-R. Chen, T. R. Tanim, E. J. Dufek, K. Smith, M. C. Evans, A. R. Dunlop, S. E. Trask, B. J. Polzin, and A. N. Jansen, "Fast-Charging Aging Considerations: Incorporation and Alignment of Cell Design and Material Degradation Pathways," *ACS Applied Energy Materials*, vol. 4, no. 9, pp. 9133–9143, Sep. 2021, publisher: American Chemical Society. [Online]. Available: <https://doi.org/10.1021/acsaem.1c01398>
- [2] A. B. Khan, V.-L. Pham, T.-T. Nguyen, and W. Choi, "Multistage constant-current charging method for Li-Ion batteries," in *2016 IEEE Transportation Electrification Conference and Expo, Asia-Pacific (ITEC Asia-Pacific)*, Jun. 2016, pp. 381–385. [Online]. Available: <https://ieeexplore.ieee.org/document/7512982>

- [3] M.-K. Tran, M. Mathew, S. Janhunen, S. Panchal, K. Raahemifar, R. Fraser, and M. Fowler, "A comprehensive equivalent circuit model for lithium-ion batteries, incorporating the effects of state of health, state of charge, and temperature on model parameters," *Journal of Energy Storage*, vol. 43, p. 103252, Nov. 2021. [Online]. Available: <https://www.sciencedirect.com/science/article/pii/S2352152X2100949X>
- [4] T. Kalogiannis, M. S. Hosen, M. A. Sokkeh, S. Goutam, J. Jaguemont, L. Jin, G. Qiao, M. Berecibar, and J. Van Mierlo, "Comparative Study on Parameter Identification Methods for Dual-Polarization Lithium-Ion Equivalent Circuit Model," *Energies*, vol. 12, no. 21, p. 4031, Jan. 2019, publisher: Multidisciplinary Digital Publishing Institute. [Online]. Available: <https://www.mdpi.com/1996-1073/12/21/4031>
- [5] J. Tebbe, A. Hartwig, A. Jamali, H. Senobar, A. Wahab, M. Kabak, H. Kemper, and H. Khayyam, "Innovations and prognostics in battery degradation and longevity for energy storage systems," *Journal of Energy Storage*, vol. 114, p. 115724, Apr. 2025. [Online]. Available: <https://www.sciencedirect.com/science/article/pii/S2352152X25004372>
- [6] L. Chen, C. Chang, X. Liu, J. Jiang, Y. Jiang, and A. Tian, "Physics-informed neural networks for small sample state of health estimation of lithium-ion batteries," *Journal of Energy Storage*, vol. 122, p. 116559, Jun. 2025. [Online]. Available: <https://www.sciencedirect.com/science/article/pii/S2352152X25012721>
- [7] Y. Li, W. Guo, D.-I. Stroe, H. Zhao, P. Kjær Kristensen, L. Rosgaard Jensen, K. Pedersen, and L. Gurevich, "Evolution of aging mechanisms and performance degradation of lithium-ion battery from moderate to severe capacity loss scenarios," *Chemical Engineering Journal*, vol. 498, p. 155588, Oct. 2024. [Online]. Available: <https://www.sciencedirect.com/science/article/pii/S1385894724070797>
- [8] M. Lucu, E. Martinez-Laserna, I. Gandiaga, K. Liu, H. Camblong, W. Widanage, and J. Marco, "Data-driven nonparametric Li-ion battery ageing model aiming at learning from real operation data – Part A: Storage operation," *Journal of Energy Storage*, vol. 30, p. 101409, Aug. 2020.
- [9] M.-K. Tran, M. Mathew, S. Janhunen, S. Panchal, K. Raahemifar, R. Fraser, and M. Fowler, "A comprehensive equivalent circuit model for lithium-ion batteries, incorporating the effects of state of health, state of charge, and temperature on model parameters," *Journal of Energy Storage*, vol. 43, p. 103252, Nov. 2021. [Online]. Available: <https://www.sciencedirect.com/science/article/pii/S2352152X2100949X>
- [10] L. Mattia, H. Beiranvand, W. Zamboni, and M. Liserre, "Lithium-ion battery thermal modelling and characterisation: A comprehensive review," *Journal of Energy Storage*, vol. 129, p. 117114, Sep. 2025. [Online]. Available: <https://www.sciencedirect.com/science/article/pii/S2352152X25018274>
- [11] Q. Guo, S. Liu, J. Zhang, Z. Huang, and D. Han, "Effects of charging rates on heat and gas generation in lithium-ion battery thermal runaway triggered by high temperature coupled with overcharge," *Journal of Power Sources*, vol. 600, p. 234237, Apr. 2024. [Online]. Available: <https://linkinghub.elsevier.com/retrieve/pii/S0378775324001885>
- [12] X. Lin, H. E. Perez, S. Mohan, J. B. Siegel, A. G. Stefanopoulou, Y. Ding, and M. P. Castanier, "A lumped-parameter electro-thermal model for cylindrical batteries," *Journal of Power Sources*, vol. 257, pp. 1–11, Jul. 2014. [Online]. Available: <https://www.sciencedirect.com/science/article/pii/S0378775314001244>
- [13] M. Usman Tahir, A. Sangwongwanich, D.-I. Stroe, and F. Blaabjerg, "Overview of multi-stage charging strategies for Li-ion batteries," *Journal of Energy Chemistry*, vol. 84, pp. 228–241, Sep. 2023. [Online]. Available: <https://www.sciencedirect.com/science/article/pii/S2095495623003091>
- [14] P. Keil and A. Jossen, "Charging protocols for lithium-ion batteries and their impact on cycle life—An experimental study with different 18650 high-power cells," *Journal of Energy Storage*, vol. 6, pp. 125–141, May 2016. [Online]. Available: <https://www.sciencedirect.com/science/article/pii/S2352152X16300147>