COMP 445
Data Communications & Computer networks
Winter 2022

Application Layer

- ✓ Principles of network applications
- ✓ Web and HTTP
- ✓ Electronic mail
- ✓ DNS
- ✓ P2P applications
- ✓ Video streaming and CDN
- ✓ Sockets

Application Layer – Part 1

- ✓ Principles of network applications
  - ✓ Client-server architecture
  - ✓ Peer-to-peer architecture
  - ✓ Communication of processes
- ✓ Web and HTTP
  - ✓ Overview
  - ✓ Persistent and non-persistent HTTP
  - ✓ Web caching

Learning objectives

- To describe client-server and P2P architectures
- To identify the services provided (and demanded) by an application-layer protocol and describe the way processes in different machines communicate
- To understand implementation aspects of network-based applications (and protocols)
- To define and quantify the performance of HTTP in persistent and non-persistent mode
- To describe the web caching operation

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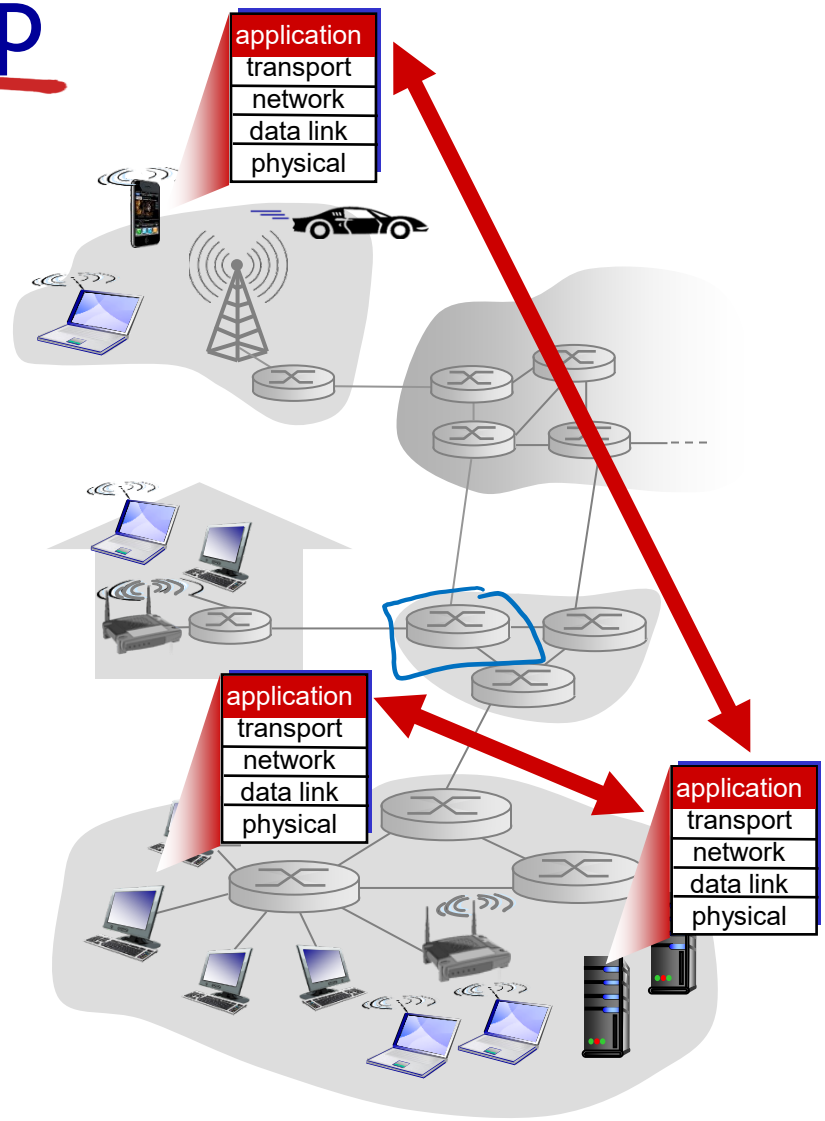
Some network apps

- e-mail
- web
- text messaging
- remote login
- P2P file sharing
- multi-user network games
- streaming stored video (YouTube, Hulu, Netflix)
- voice over IP (e.g., Skype)
- real-time video conferencing
- social networking
- search
- …
- …

Creating a network app

write programs that:

- run on (different) *end systems*
- communicate over network
- e.g., web server software communicates with browser software



no need to write software for network-core devices

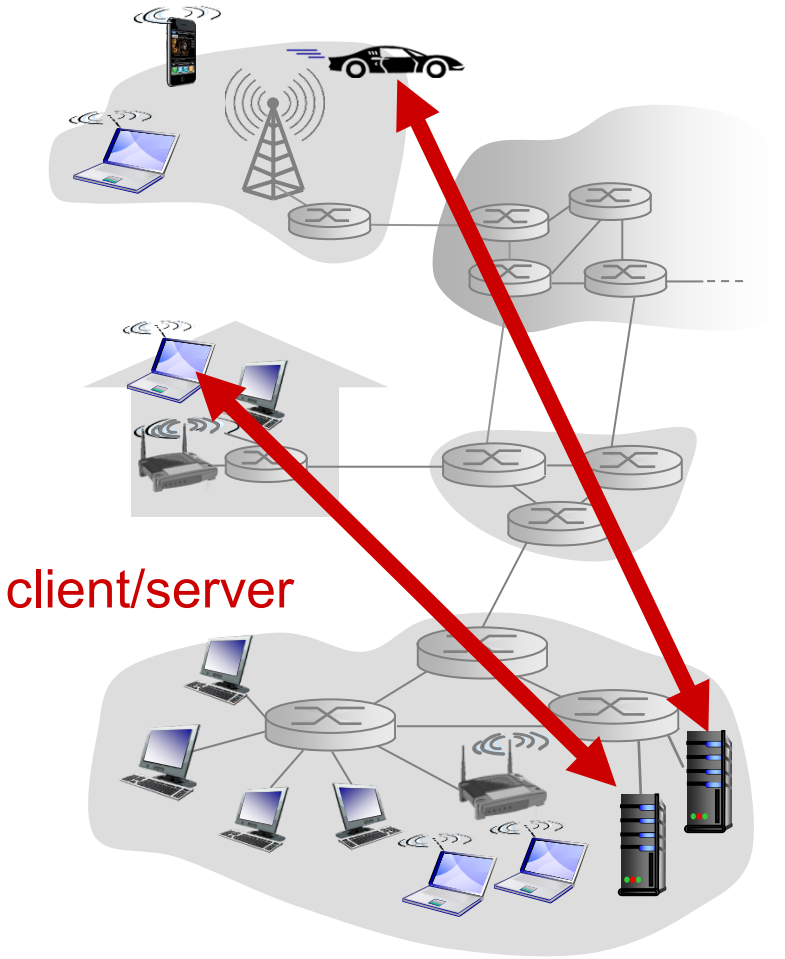
- network-core devices do not run user applications
- applications on end systems allows for rapid app development, propagation

Application architectures

possible structure of applications:

- client-server
- peer-to-peer (P2P)

Client-server architecture



server:

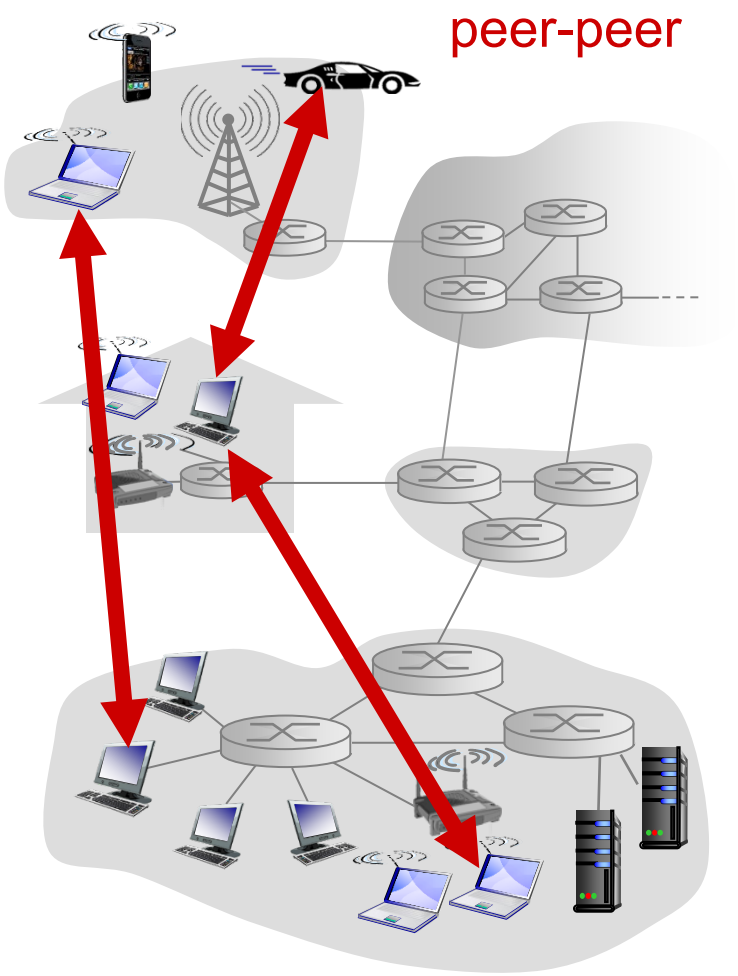
- always-on host
- permanent IP address
- data centers for scaling

clients:

- communicate with server
- may be intermittently connected
- may have dynamic IP addresses
- do not communicate directly with each other

P2P architecture

- *no* always-on server
- arbitrary end systems directly communicate
- peers request service from other peers, provide service in return to other peers
  - *self scalability* – new peers bring new service capacity, as well as new service demands
- peers are intermittently connected and change IP addresses
  - complex management



Processes communicating

process: program running within a host

- within same host, two processes communicate using inter-process communication (defined by OS)
- processes in different hosts communicate by exchanging messages

clients, servers

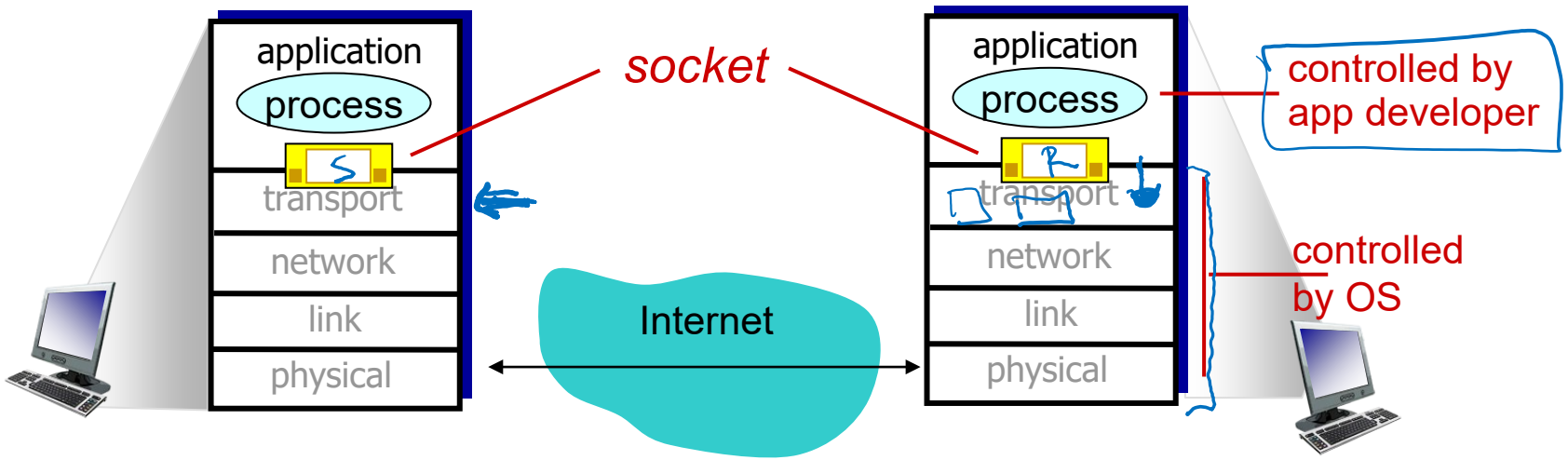
client process: process that initiates communication

server process: process that waits to be contacted

- aside: applications with P2P architectures have client processes & server processes

Sockets

- process sends/receives messages to/from its socket
- socket analogous to door
  - sending process shoves message out the door
  - sending process relies on transport infrastructure on other side of door to deliver message to socket at receiving process



Addressing processes

- to receive messages, process must have *identifier*
- host device has unique 32-bit IP address
- *Q:* does IP address of host on which process runs suffice for identifying the process?
  - *A:* no, *many* processes can be running on same host

- *identifier* includes both IP address and port numbers associated with process on host.
- example port numbers:
  - HTTP server: 80
  - mail server: 25
- to send HTTP message to gaia.cs.umass.edu web server:
  - IP address: 128.119.245.12
  - port number: 80
- more shortly…

App-layer protocol defines

- types of messages exchanged,
  - e.g., request, response
- message syntax:
  - what fields in messages & how fields are delineated
- message semantics
  - meaning of information in fields
- rules for when and how processes send & respond to messages

open protocols:

- defined in RFCs
- allows for interoperability
- e.g., HTTP, SMTP

proprietary protocols:

- e.g., Skype

What transport service does an app need?

data integrity

- some apps (e.g., file transfer, web transactions) require 100% reliable data transfer
- other apps (e.g., audio) can tolerate some loss

timing

- some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

throughput

- some apps (e.g., multimedia) require minimum amount of throughput to be "effective"
- other apps ("elastic apps") make use of whatever throughput they get

security

- encryption, data integrity, …

Transport service requirements: common apps

application	data loss	throughput	time sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video:10kbps-5Mbps	yes, 100's msec
stored audio/video	loss-tolerant	same as above	
interactive games	loss-tolerant	few kbps up	yes, few secs
text messaging	no loss	elastic	yes, 100's msec yes and no

Internet transport protocols services

TCP service:

- *reliable transport* between sending and receiving process
- *flow control:* sender won't overwhelm receiver
- *congestion control:* throttle sender when network overloaded
- *does not provide:* timing, minimum throughput guarantee, security
- *connection-oriented:* setup required between client and server processes

UDP service:

- *unreliable data transfer* between sending and receiving process
- *does not provide:* reliability, flow control, congestion control, timing, throughput guarantee, security, or connection setup,

Q: why bother? Why is there a UDP?

Internet apps: application, transport protocols

application	application layer protocol	underlying transport protocol
e-mail	SMTP [RFC 2821]	TCP
remote terminal access	Telnet [RFC 854]	TCP
Web	HTTP [RFC 2616]	TCP
file transfer	FTP [RFC 959]	TCP
streaming multimedia	HTTP (e.g., YouTube), RTP [RFC 1889]	TCP or UDP
Internet telephony	SIP, RTP, proprietary (e.g., Skype)	TCP or UDP

Firewalls (middleboxes)

Securing TCP

TCP & UDP

- no encryption
- cleartext passwds sent into socket traverse Internet in cleartext

SSL

- provides encrypted TCP connection
- data integrity
- end-point authentication

SSL is at app layer

- apps use SSL libraries, that "talk" to TCP

SSL socket API

- cleartext passwords sent into socket traverse Internet encrypted
- see Chapter 8

Deprecated

TLS — TCP

DTLS → UDP

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Web and HTTP

First, a review…

- *web page* consists of *objects*
- object can be HTML file, JPEG image, Java applet, audio file,…
- web page consists of *base HTML-file* which includes *several referenced objects*
- each object is addressable by a *URL*, e.g.,

Uniform Resource Locator

`www.someschool.edu/someDept/pic.gif`

host name | path name

HTTP overview

HTTP: hypertext transfer protocol

- Web's application layer protocol
- client/server model
  - *client:* browser that requests, receives, (using HTTP protocol) and "displays" Web objects
  - *server:* Web server sends (using HTTP protocol) objects in response to requests

HTTP overview (continued)

uses TCP:

- client initiates TCP connection (creates socket) to server, port 80
- server accepts TCP connection from client
- HTTP messages (application-layer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- TCP connection closed

HTTP is "stateless"

- server maintains no information about past client requests

aside

protocols that maintain "state" are complex!

- past history (state) must be maintained
- if server/client crashes, their views of "state" may be inconsistent, must be reconciled

HTTP connections

non-persistent HTTP

- at most one object sent over TCP connection
  - connection then closed
- downloading multiple objects required multiple connections

persistent HTTP

- multiple objects can be sent over single TCP connection between client, server

Non-persistent HTTP

suppose user enters URL:
`www.someSchool.edu/someDepartment/home.index`

(contains text, references to 10 jpeg images)

1a. HTTP client initiates TCP connection to HTTP server (process) at www.someSchool.edu on port 80

TCP handshake

1b. HTTP server at host www.someSchool.edu waiting for TCP connection at port 80. "accepts" connection, notifying client

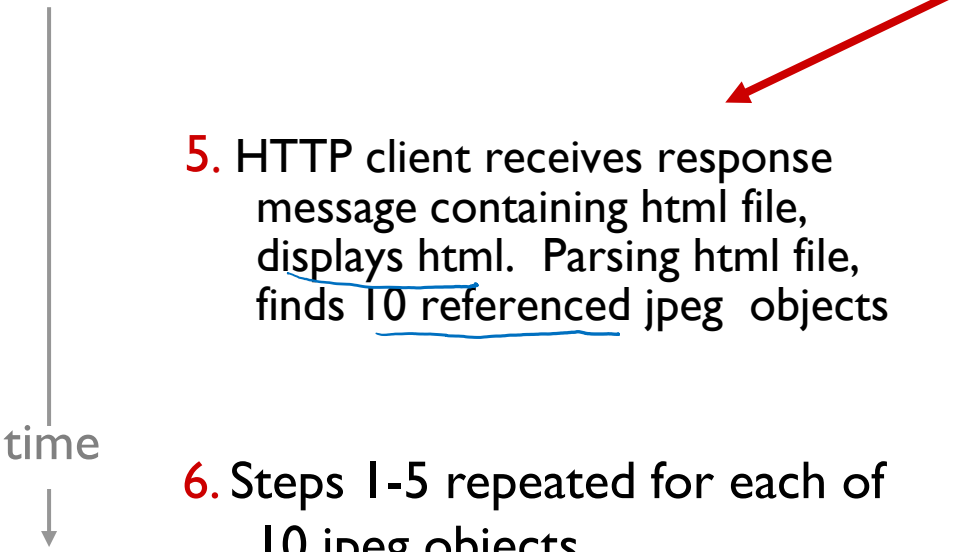
1 RTT Round trip time

2. HTTP client sends HTTP *request message* (containing URL) into TCP connection socket. Message indicates that client wants object someDepartment/home.index

3. HTTP server receives request message, forms *response message* containing requested object, and sends message into its socket

time

Non-persistent HTTP (cont.)



4. HTTP server closes TCP connection.

5. HTTP client receives response message containing html file, displays html. Parsing html file, finds 10 referenced jpeg objects

6. Steps 1-5 repeated for each of 10 jpeg objects

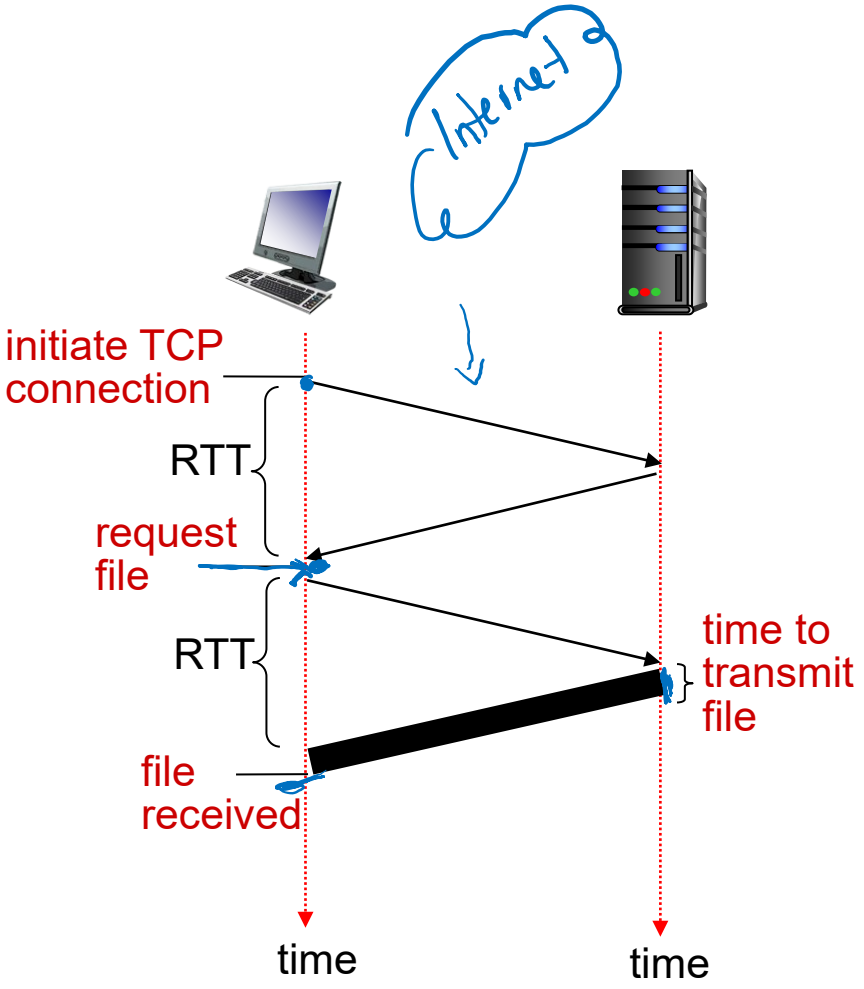
Non-persistent HTTP: response time

RTT (definition): time for a small packet to travel from client to server and back

HTTP response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- file transmission time
- non-persistent HTTP response time =

  2RTT+ file transmission time



Persistent HTTP

HTTP 1.1

non-persistent HTTP issues:

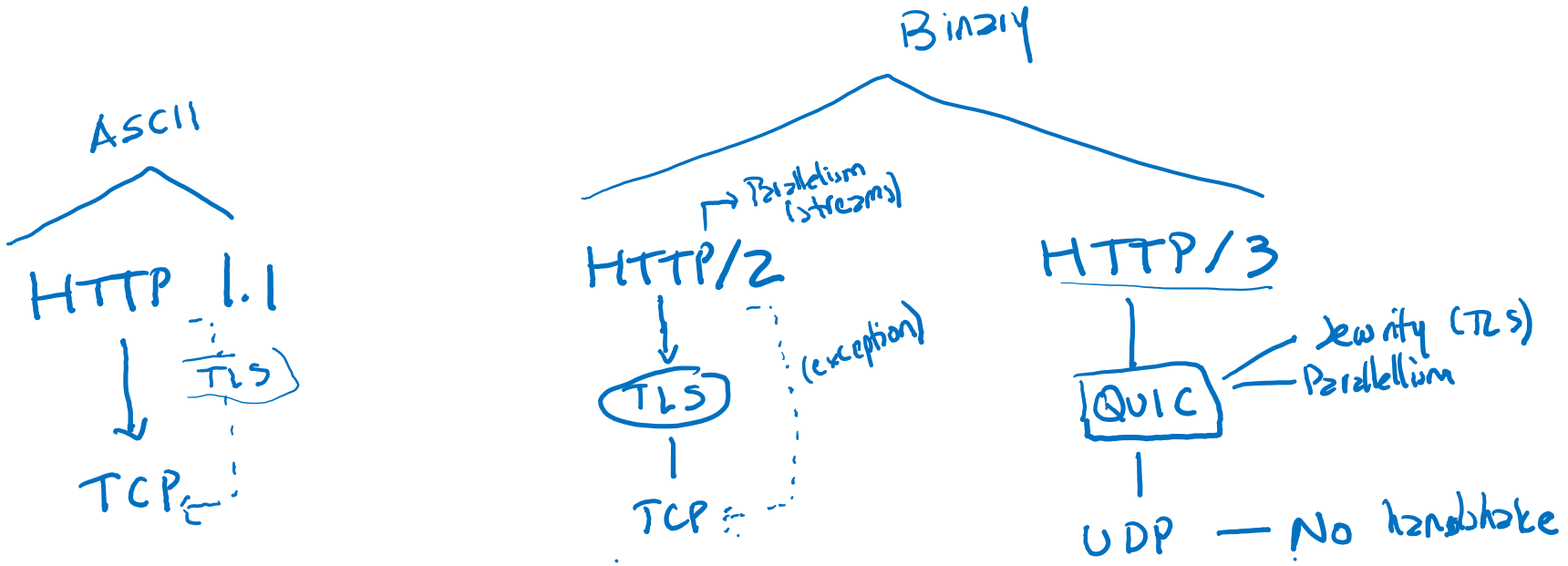
- requires 2 RTTs per object
- OS overhead for *each* TCP connection
- browsers often open parallel TCP connections to fetch referenced objects

2015 HTTP/2 (RFC 7540)

2021 HTTP/3 (approved) (RFC)

persistent HTTP: Pipelining

- server leaves connection open after sending response
- subsequent HTTP messages between same client/server sent over open connection (TCP)
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects


ASCII
HTTP 1.1
TLS
TCP
Binary
HTTP/2
Parallelism (streams)
TLS
(exception)
TCP
HTTP/3
QUIC
Security (TLS)
Parallelism
UDP — No handshake

HTTP request message

- two types of HTTP messages: *request, response*
- HTTP request message:
  - ASCII (human-readable format) → No longer true in later versions

request line (GET, POST, HEAD commands)

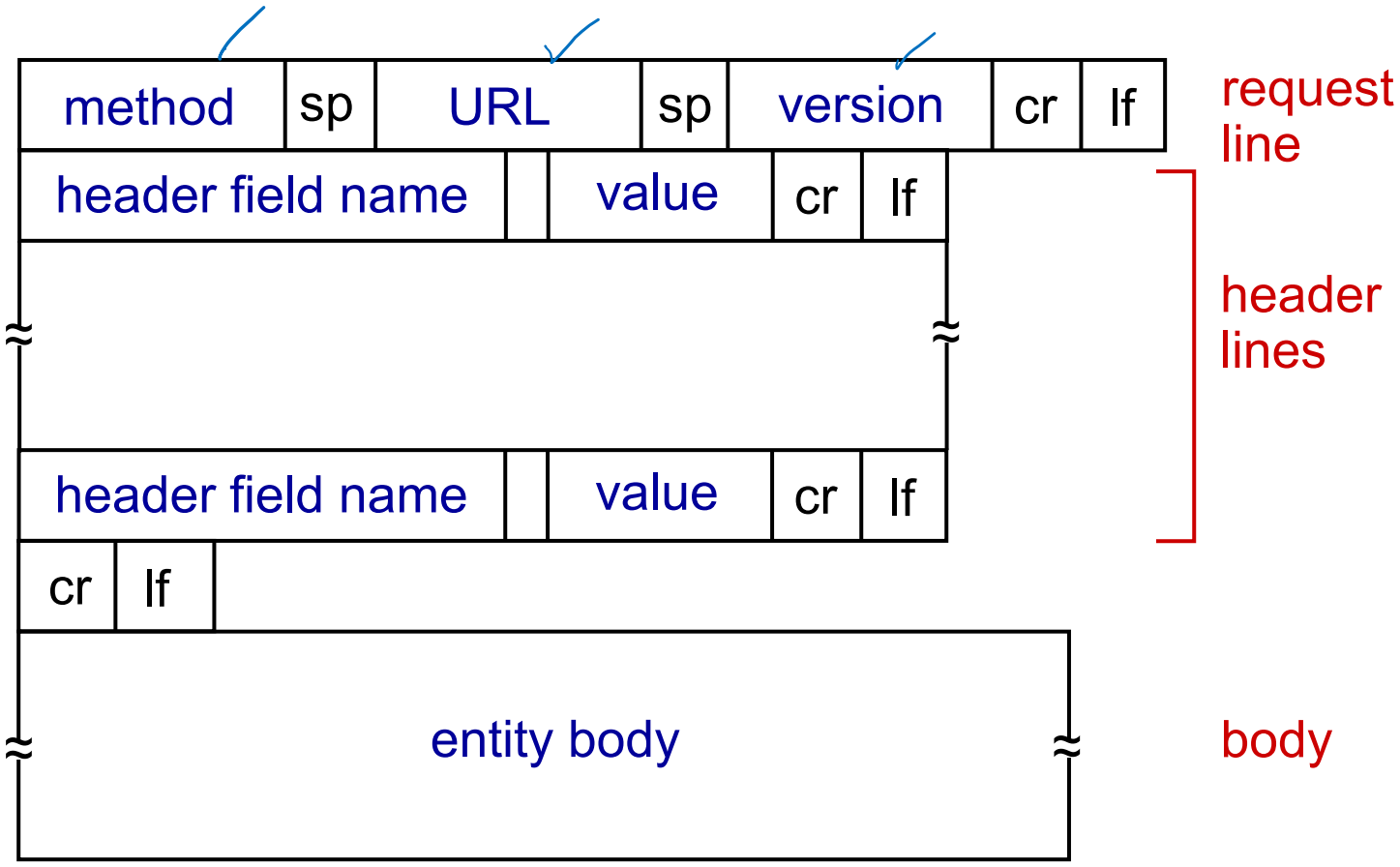
header lines

carriage return, line feed at start of line indicates end of header lines

```
GET /somedir/page.html HTTP/1.1
Host: www.someschool.edu
Connection: close
User-agent: Mozilla/5.0
Accept-language: fr
```

* Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose_ross/interactive/

HTTP request message: general format



Uploading form input

POST method:

- web page often includes form input
- input is uploaded to server in entity body

URL method:

- uses GET method
- input is uploaded in URL field of request line:

```
www.somesite.com/animalsearch?monkeys&banana
```

Method types

HTTP/1.0:

- GET
- POST
- HEAD
  - asks server to leave requested object out of response

HTTP/1.1:

- GET, POST, HEAD
- PUT
  - uploads file in entity body to path specified in URL field
- DELETE
  - deletes file specified in the URL field

HTTP response message

status line (protocol status code status phrase)

header lines

data, e.g., requested HTML file

```
HTTP/1.1 200 OK
Connection: close
Date: Tue, 18 Aug 2015 15:44:04 GMT
Server: Apache/2.2.3 (CentOS)
Last-Modified: Tue, 18 Aug 2015 15:11:03 GMT
Content-Length: 6821
Content-Type: text/html

(data data data data data ...)
```

* Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose_ross/interactive/

HTTP response status codes

- status code appears in 1st line in server-to-client response message.
- some sample codes:

200 OK

- request succeeded, requested object later in this msg

301 Moved Permanently

- requested object moved, new location specified later in this msg (Location:)

400 Bad Request

- request msg not understood by server

404 Not Found

- requested document not found on this server

505 HTTP Version Not Supported

Trying out HTTP (client side) for yourself

1. Telnet to your favorite Web server:

```
telnet gaia.cs.umass.edu 80
```

opens TCP connection to port 80 (default HTTP server port) at gaia.cs.umass. edu. anything typed in will be sent to port 80 at gaia.cs.umass.edu

2. type in a GET HTTP request:

```
GET /kurose_ross/interactive/index.php HTTP/1.1
Host: gaia.cs.umass.edu
```

by typing this in (hit carriage return twice), you send this minimal (but complete) GET request to HTTP server

3. look at response message sent by HTTP server!

(or use Wireshark to look at captured HTTP request/response)

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User-server state: cookies → RFC 6265

many Web sites use cookies

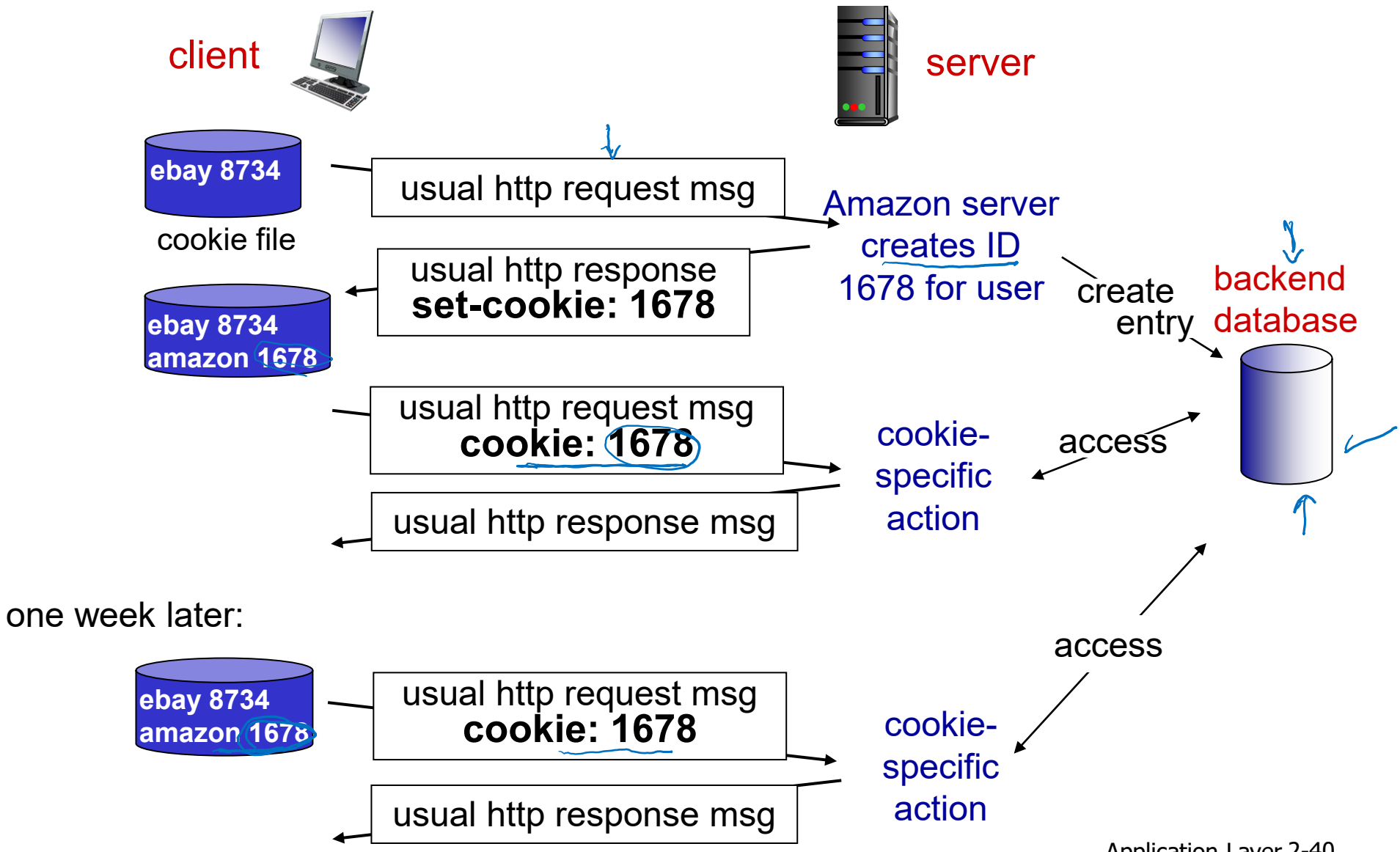
four components:

1) cookie header line of HTTP *response* message
2) cookie header line in next HTTP *request* message
3) cookie file kept on user's host, managed by user's browser
4) back-end database at Web site

example:

- Susan always access Internet from PC
- visits specific e-commerce site for first time
- when initial HTTP requests arrives at site, site creates:
  - unique ID
  - entry in backend database for ID

Cookies: keeping "state" (cont.)



Cookies (continued)

what cookies can be used for:

- authorization
- shopping carts
- recommendations
- user session state (Web e-mail)

aside

cookies and privacy:

- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites

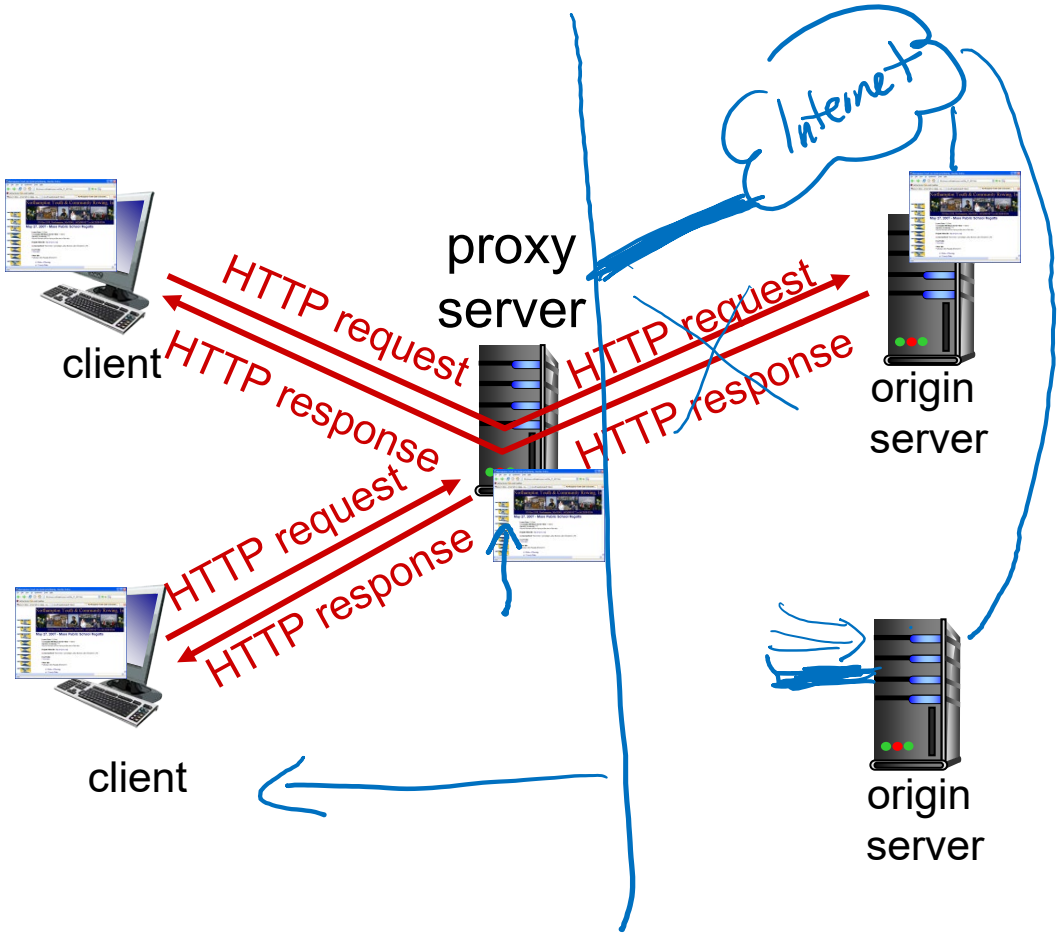
how to keep "state":

- protocol endpoints: maintain state at sender/receiver over multiple transactions
- cookies: http messages carry state

Web caches (proxy server)

goal: satisfy client request without involving origin server

- user sets browser: Web accesses via cache
- browser sends all HTTP requests to cache
  - object in cache: cache returns object
  - else cache requests object from origin server, then returns object to client



More about Web caching

- cache acts as both client and server
  - server for original requesting client
  - client to origin server
- typically, cache is installed by ISP (university, company, residential ISP)

why Web caching?

- reduce response time for client request
- reduce traffic on an institution's access link
- Internet dense with caches: enables "poor" content providers to effectively deliver content (so too does P2P file sharing)

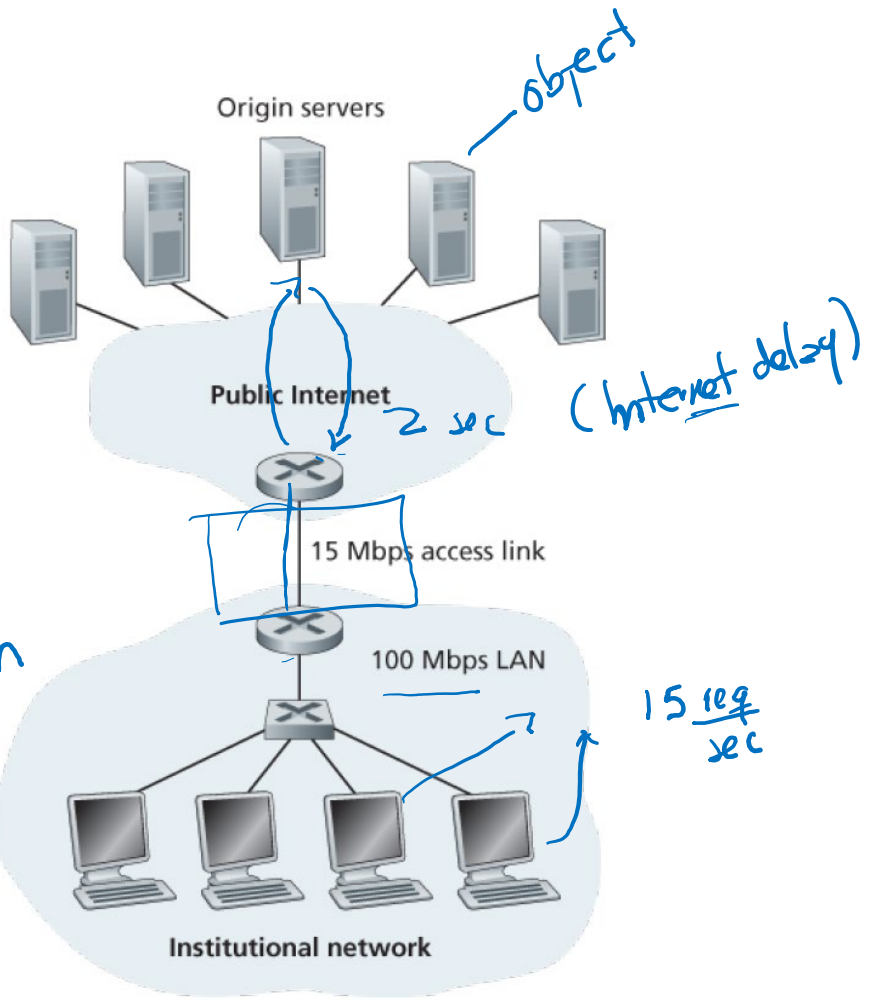
Caching example:

assumptions:

- avg object size: 1 Mbits
- avg request rate from browsers to origin servers:15/sec
- RTT from Internet router to any origin server: 2 sec
- access link rate: 15 Mbps

consequences:

- LAN traffic intensity= 0.15
- access link traffic intensity = 1
- total delay = Internet delay + acces delay + LAN delay
  = 2 sec + minutes + μsecs



problem

$$\frac{15 \frac{req}{sec} \times 1 \text{ Mbits}}{100 \text{ Mbps}} = 0.15$$

$$\frac{15 \frac{req}{sec} \times 1 \text{ Mbits}}{15 \text{ Mbps}} = 1$$

Caching example: fatter access link

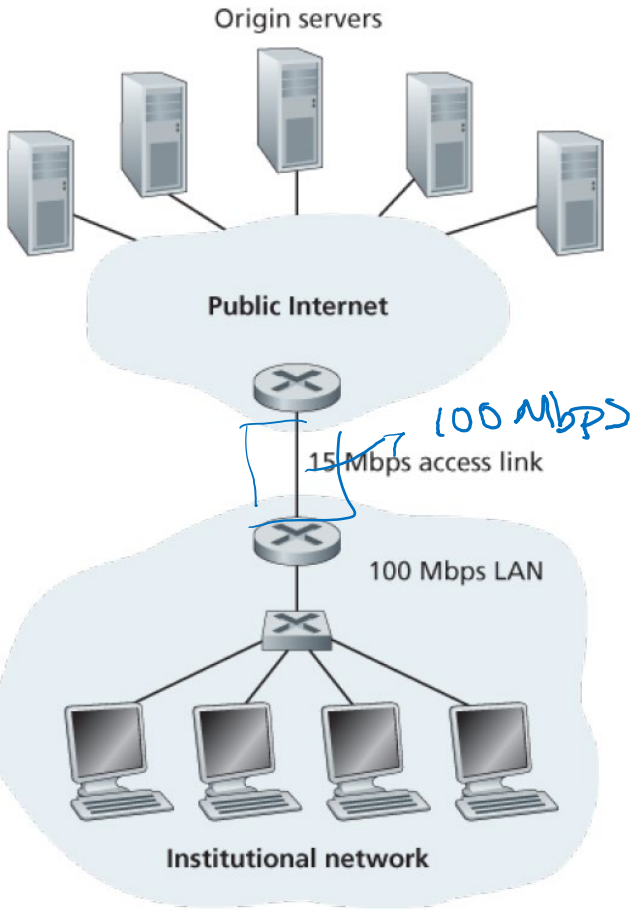
assumptions:

- avg object size: 1 Mbits
- avg request rate from browsers to origin servers:15/sec
- RTT from Internet router to any origin server: 2 sec
- access link rate: 15 Mbps → 100 Mbps

consequences:

- LAN traffic intensity= 0.15
- access link traffic intensity = 1 → 0.15
- total delay = Internet delay + access delay + LAN delay

  = 2 sec + minutes + μsecs → msecs

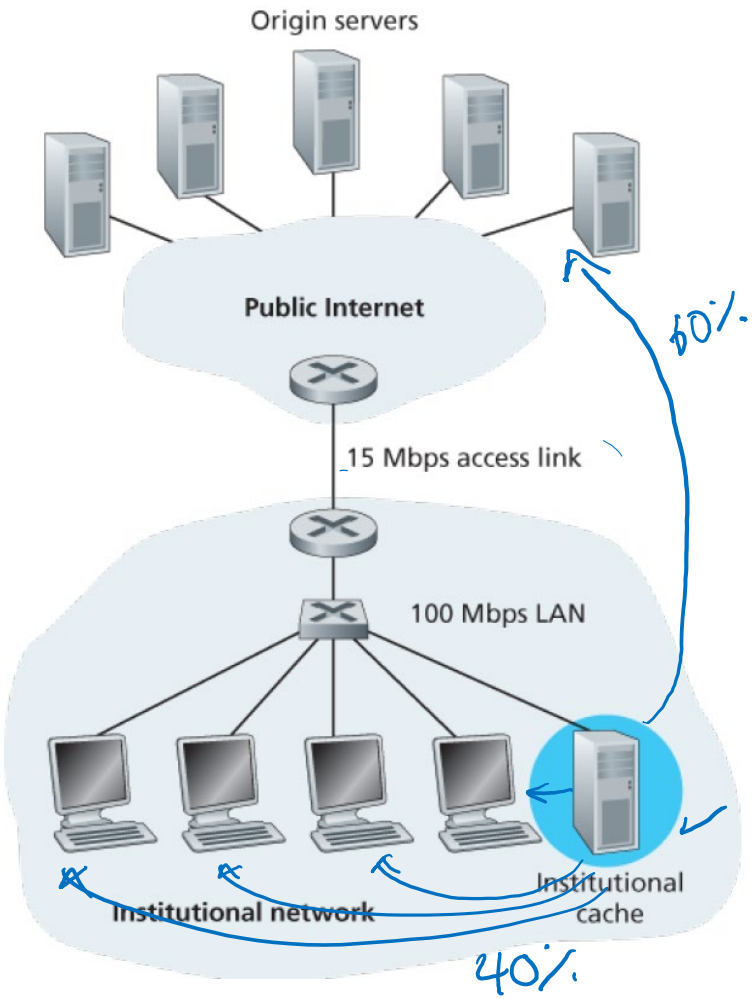


Cost: increased access link speed (not cheap!)

Caching example: install local cache

Calculating access link utilization, delay with cache:

- suppose cache hit rate is 0.4
  - 40% requests satisfied at cache, 60% requests satisfied at origin
- access link utilization:
  - 60% of requests use access link
- total delay
  - = 0.6 * (delay from origin servers) +0.4 * (delay when satisfied at cache)
  - = 0.6 (2.01) + 0.4 (~msecs) = ~ 1.2 secs
  - less than with 150 Mbps link (and cheaper too!)

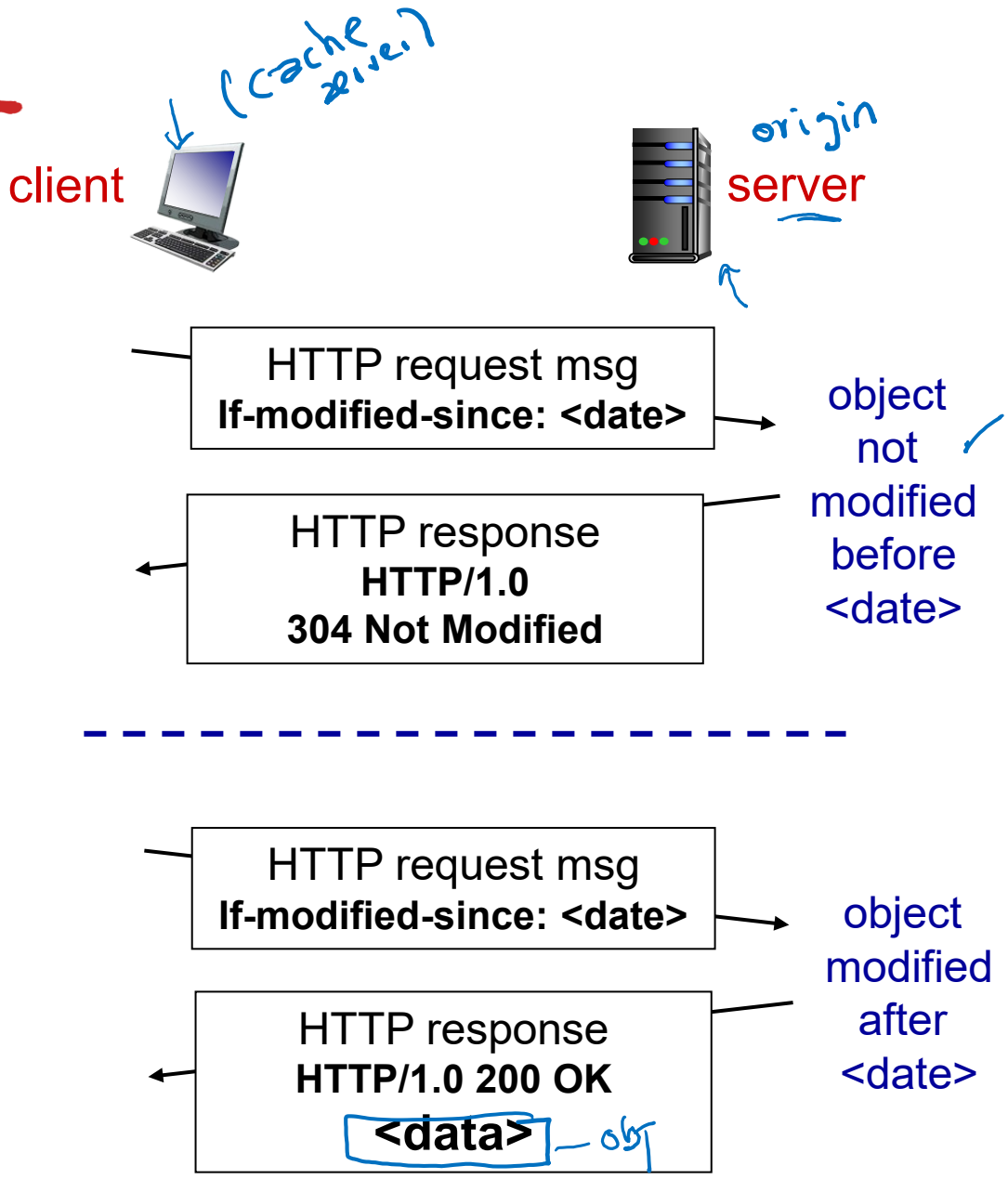


Conditional GET

- *Goal:* don't send object if cache has up-to-date cached version
  - no object transmission delay
  - lower link utilization
- *cache:* specify date of cached copy in HTTP request

  `If-modified-since: <date>`
- *server:* response contains no object if cached copy is up-to-date:

  `HTTP/1.0 304 Not Modified`



References

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- Jim Kurose, Keith Ross, "Computer Networking: A Top-Down Approach", 7th ed. Addison-Wesley, 2012. All material copyright 1996-2016 J.F Kurose and K.W. Ross, All Rights Reserved
- HTTP/3 explained. Available at https://http3-explained.haxx.se/