

**COMP 445**  
**Data Communications & Computer networks**  
**Winter 2022**

# Application Layer

- ~~✓ Principles of network applications~~
- ~~✓ Web and HTTP~~
- ✓ Electronic mail
- ✓ DNS
- ✓ P2P applications
- ✓ Video streaming and CDN
- ✓ Sockets

# Application Layer – Part 2

- ✓ Electronic mail
  - ✓ Overview
  - ✓ SMTP
  - ✓ Mail access protocols
- ✓ DNS
  - ✓ Overview
  - ✓ DNS records and messages

# Learning objectives

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- To explain the operation of the email application, its components, and the application-layer protocols involved
- To describe the operation of the Simple Mail Transfer Protocol and the operation of mail access protocols such as POP3 and IMAP, and HTTP, identifying the differences among them.
- To understand the way DNS Works and the services it provides
- To identify the components of DNS as a distributed system and the role of servers at the different layers in the hierarchical architecture

# Application Layer – Part 2

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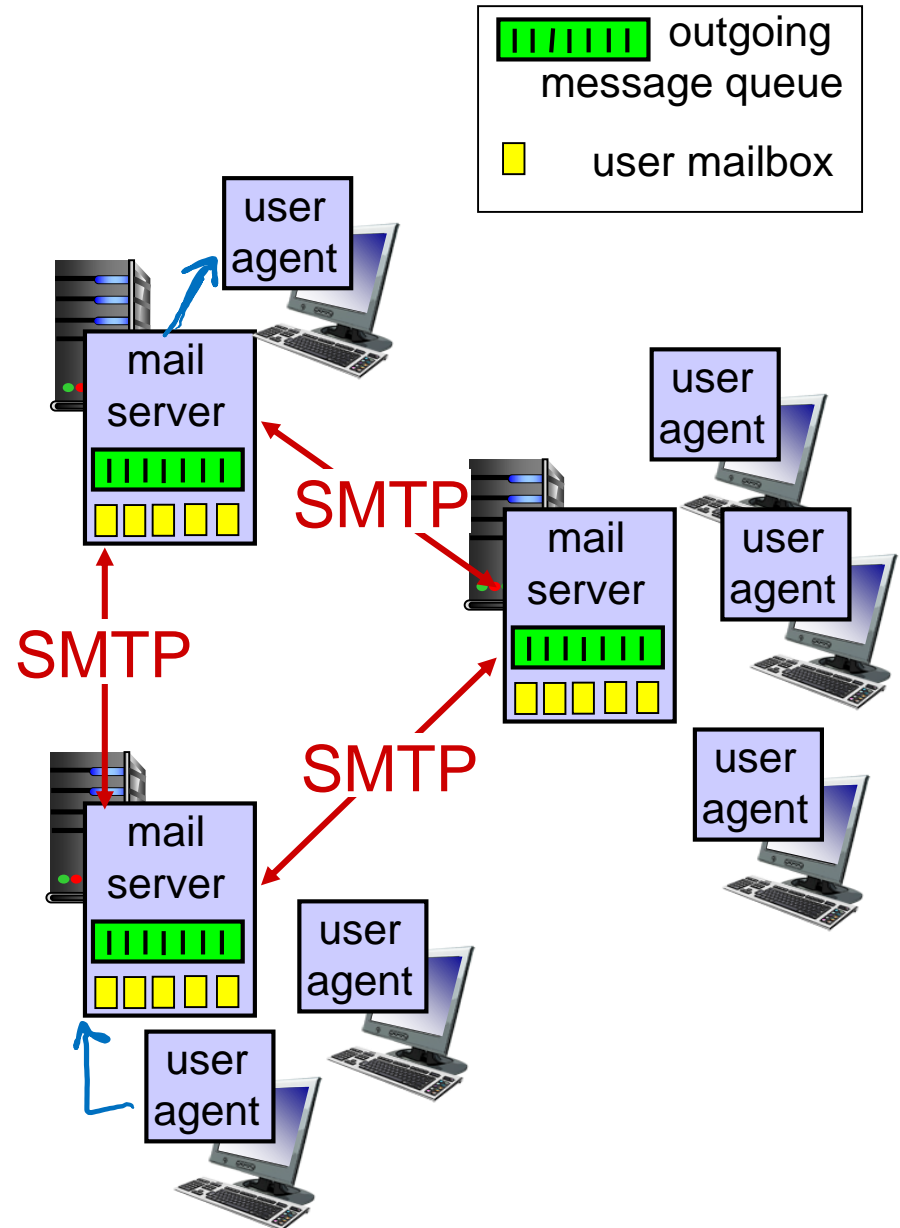
# Electronic mail

## *Three major components:*

- user agents
- mail servers
- simple mail transfer protocol: SMTP

## *User Agent*

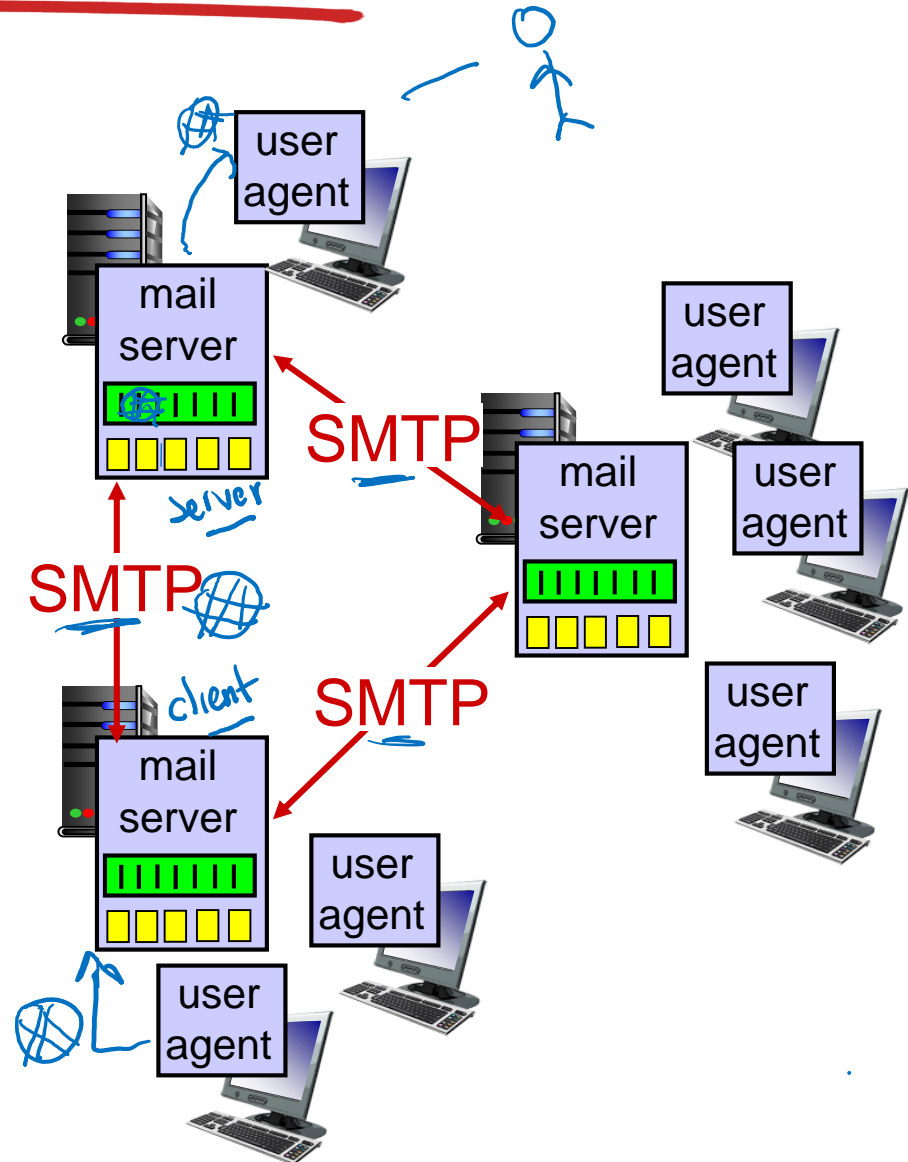
- a.k.a. “mail reader”
- composing, editing, reading mail messages
- e.g., Outlook, Thunderbird, iPhone mail client
- outgoing, incoming messages stored on server



# Electronic mail: mail servers

## mail servers:

- *mailbox* contains incoming messages for user
- *message queue* of outgoing (to be sent) mail messages
- *SMTP protocol* between mail servers to send email messages
  - SMTP client: sending mail server
  - SMTP server: receiving mail server



# Application Layer – Part 2

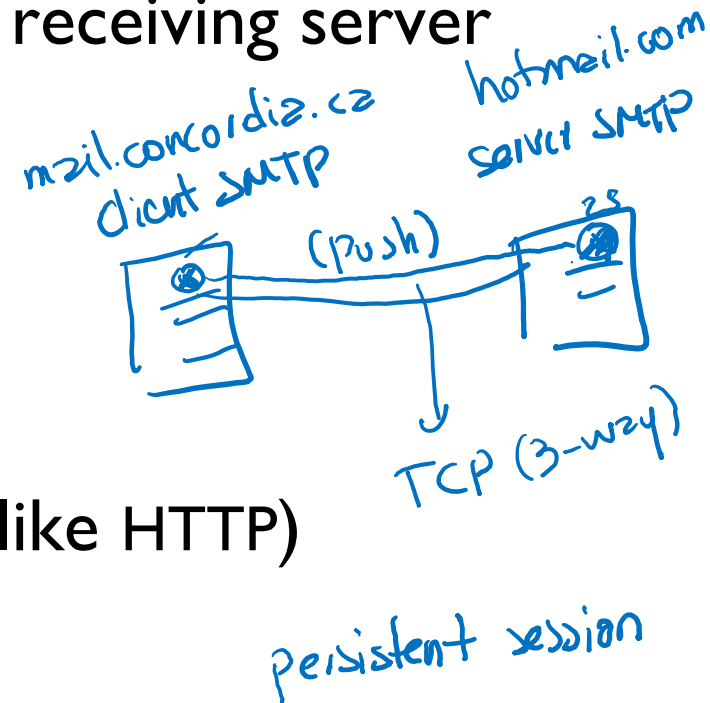
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# Electronic Mail: SMTP [RFC 5321]

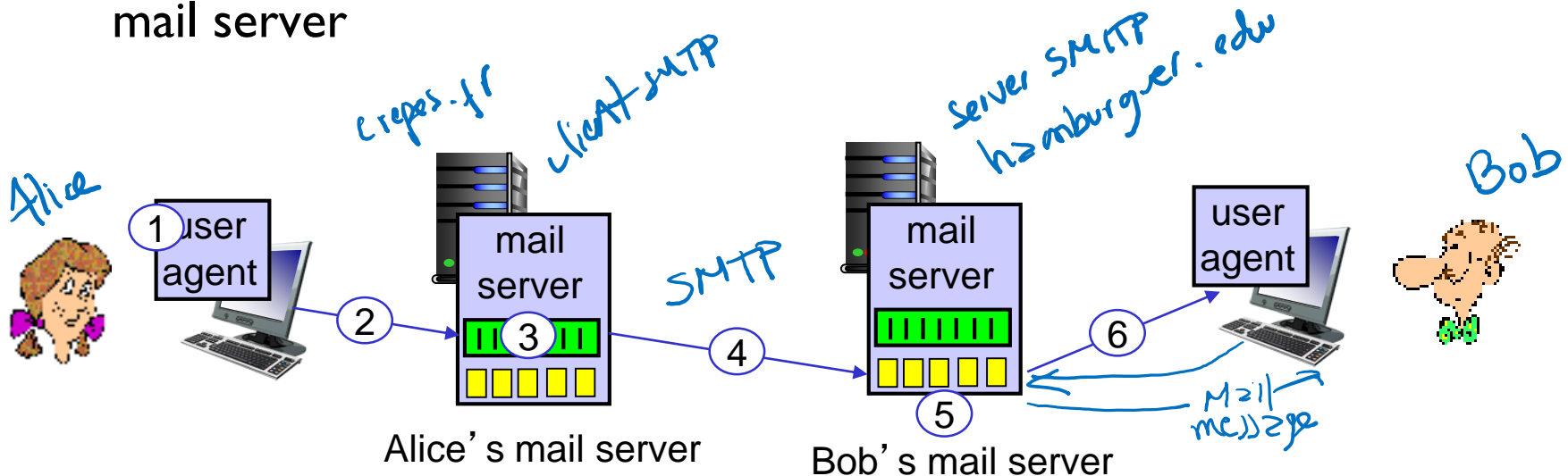
(2008)

- uses TCP to reliably transfer email message from client to server, port 25
- direct transfer sending server to receiving server or via relay/gateway servers
- three phases of transfer
  - handshaking (greeting)
  - transfer of messages
  - closure
- command/response interaction (like HTTP)
  - commands: ASCII text
  - response: status code and phrase
- messages must be in 7-bit ASCII



# Scenario: Alice sends message to Bob

- 1) Alice uses UA to compose message "to" `bob@someschool.edu`
- 2) Alice's UA sends message to her mail server; message placed in message queue
- 3) client side of SMTP opens TCP connection with Bob's mail server
- 4) SMTP client sends Alice's message over the TCP connection
- 5) Bob's mail server places the message in Bob's mailbox
- 6) Bob invokes his user agent to read message



# Sample SMTP interaction

Envelope  
Content

↓  
S: 220 hamburger.edu

C: HELO crepes.fr

S: 250 Hello crepes.fr, pleased to meet you

C: MAIL FROM: <alice@crepes.fr>

S: 250 alice@crepes.fr... Sender ok ✓

C: RCPT TO: <bob@hamburger.edu>

S: 250 bob@hamburger.edu ... Recipient ok ✓

C: DATA

S: 354 Enter mail, end with "." on a line by itself

C: Do you like ketchup? ✓

C: How about pickles? ✓

C: .

S: 250 Message accepted for delivery ✓

C: QUIT ✓

S: 221 hamburger.edu closing connection ✓

# Try SMTP interaction for yourself:

- `telnet servername 25`
- see 220 reply from server
- enter HELO, MAIL FROM, RCPT TO, DATA, QUIT commands

above lets you send email without using email client (reader)

# SMTP: final words

- SMTP uses persistent connections
- SMTP requires message (header & body) to be in 7-bit ASCII
- SMTP server uses CRLF.CRLF to determine end of message

## *comparison with HTTP:*

- HTTP: pull -
- SMTP: push -
- both have ASCII command/response interaction, status codes
- HTTP: each object encapsulated in its own response message
- SMTP: multiple objects sent in multipart message

# Mail message format

SMTP: protocol for exchanging email messages

RFC 5322: standard for Internet message format:

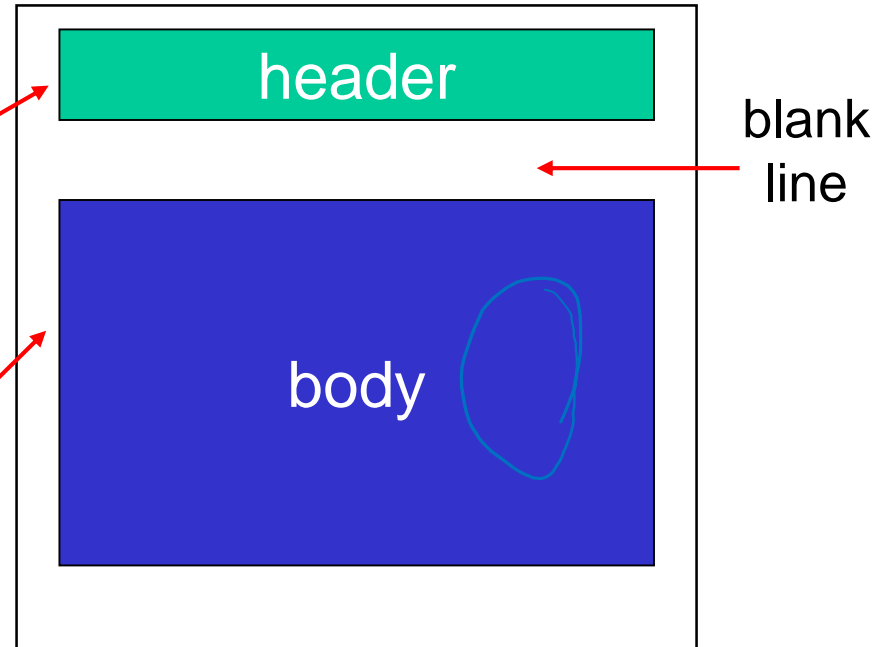
- header lines, e.g.,

- To:
- From:
- Subject:

*different* from SMTP MAIL  
FROM, RCPT TO:  
commands!

- Body: the “message”

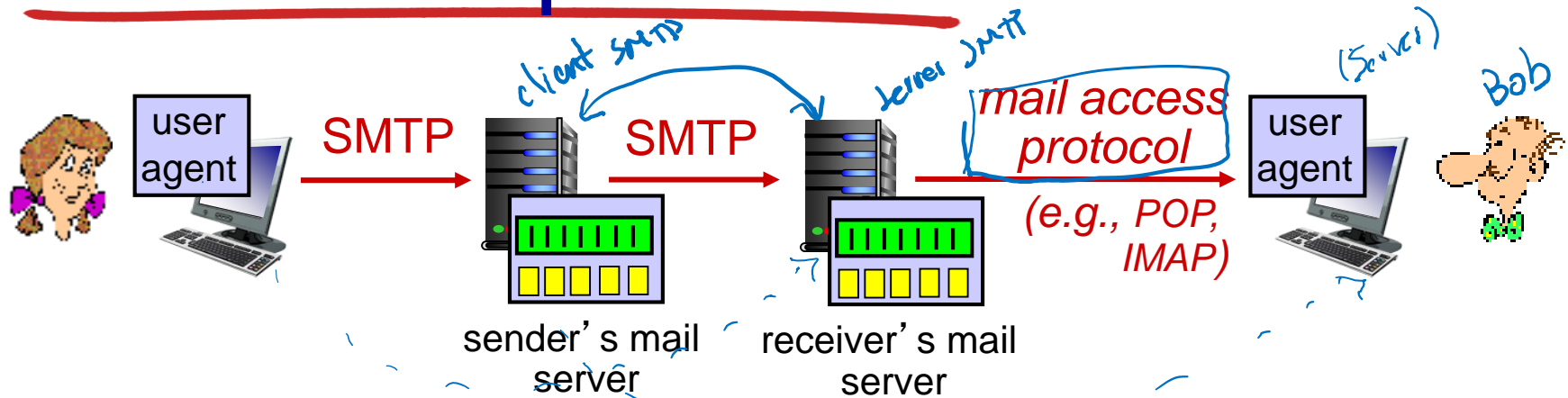
- ASCII characters only



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# Mail access protocols



- **SMTP:** delivery/storage to receiver's server
- **mail access protocol:** retrieval from server
  - ✓ • **POP:** Post Office Protocol [RFC 1939]: authorization, download
  - ✓ • **IMAP:** Internet Mail Access Protocol [RFC 1730]: more features, including manipulation of stored messages on server
  - ✓ • **HTTP:** gmail, Hotmail, Yahoo! Mail, etc.



# POP3 protocol

## ✓ *authorization phase*

- client commands:
  - user: declare username
  - pass: password
- server responses
  - +OK ✓
  - ERR ✓

clear

## ✓ *transaction phase, client:*

- list**: list message numbers
- retr**: retrieve message by number
- dele**: delete
- quit**

update

```
S: +OK POP3 server ready
C: user bob
S: +OK
C: pass hungry
S: +OK user successfully logged on

C: list
S: 1 498
S: 2 912
S: .
C: retr 1
S: <message 1 contents>
S: .
C: dele 1
C: retr 2
S: <message 2 contents>
S: .
C: dele 2
C: quit
S: +OK POP3 server signing off
```

# POP3 (more) and IMAP

## *more about POP3*

- previous example uses POP3 “download and delete” mode
  - Bob cannot re-read e-mail if he changes client
- POP3 “download-and-keep”: copies of messages on different clients
- POP3 is stateless across sessions

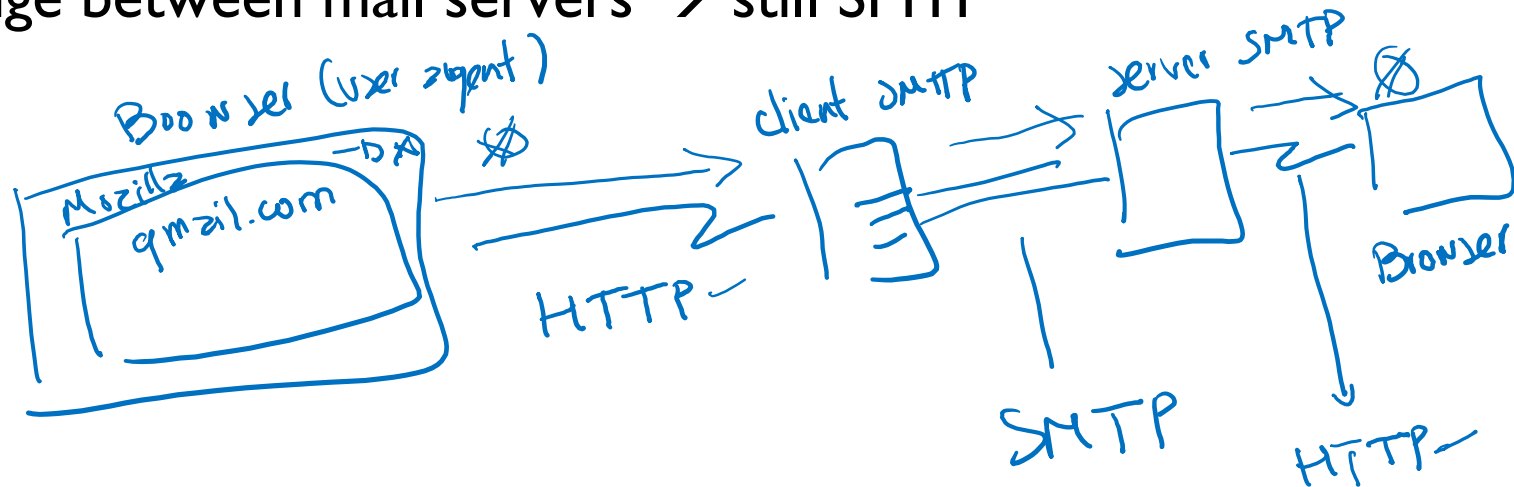
## IMAP *more complex*

- keeps all messages in one place: at server
- allows user to organize messages in folders ✓
- keeps user state across sessions:
  - names of folders and mappings between message IDs and folder name

# Web-based email

## Using HTTP

- User agent is an ordinary Web browser
- E-mail messages are sent from recipient mail server to recipient's browser using the HTTP protocol
- E-mail messages are sent from sender user agent to recipient's mail server using the HTTP protocol
- Exchange between mail servers → still SMTP



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# DNS: domain name system

*people*: many identifiers:

- SSN, name, passport #

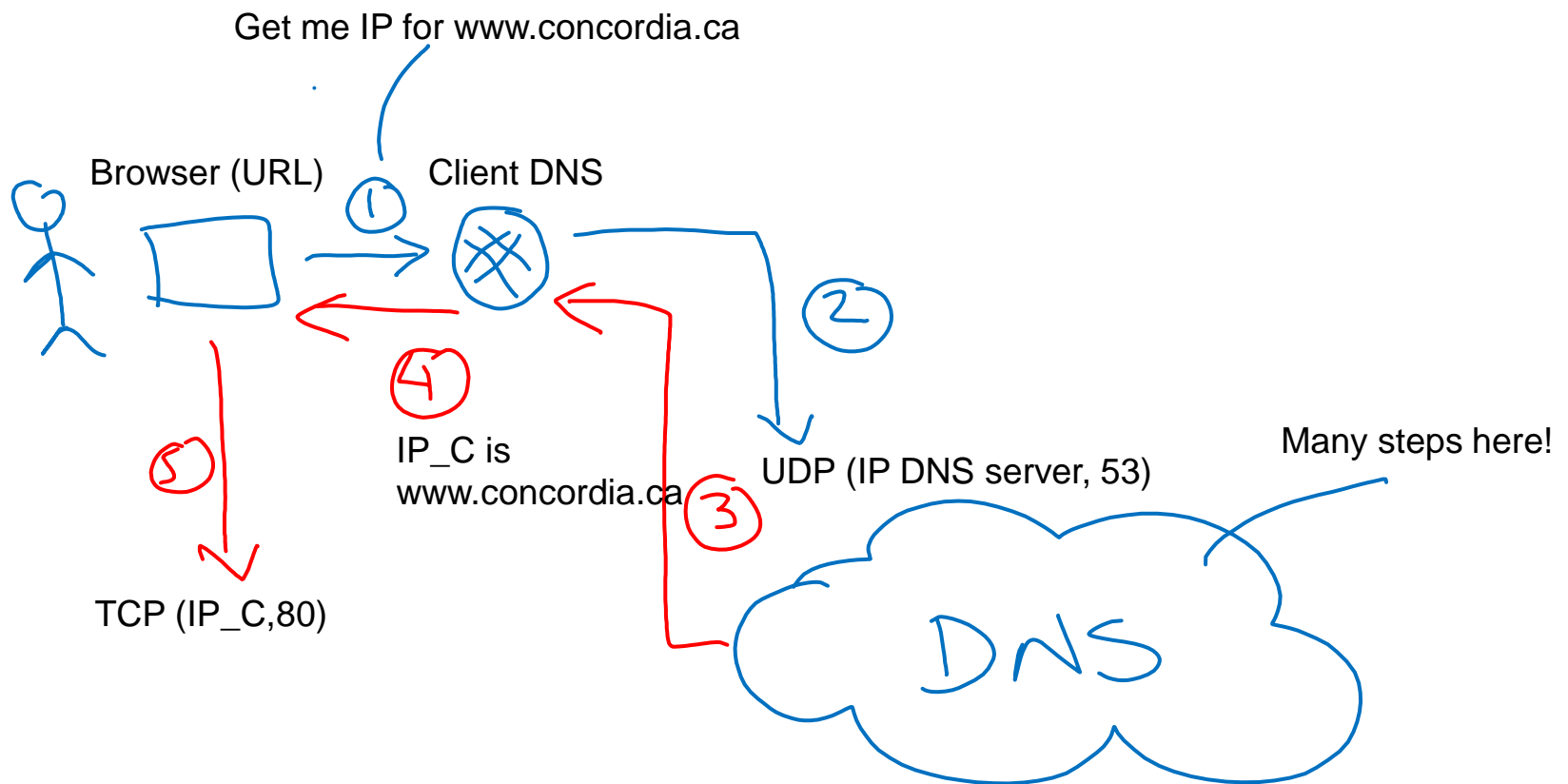
*Internet hosts, routers*:

- IP address (32 bit) - used for addressing datagrams
- “name”, e.g., `www.yahoo.com` - used by humans

Q: how to map between IP address and name, and vice versa ?

## *Domain Name System:*

- *distributed database*  
implemented in hierarchy of many *name servers*
- *application-layer protocol*: hosts, name servers communicate to *resolve* names (address/name translation)
  - note: core Internet function, implemented as application-layer protocol
  - complexity at network's “edge”
  - Runs on top of UDP



# DNS: domain name system

*User's host wants to send an HTTP request to web server www.concordia.ca*

*The same user machine runs the client side of the DNS application.*

- 1. The browser extracts the hostname, www.concordia.ca, from the URL and passes the hostname to the client side of the DNS application.*
- 2. The DNS client sends a query containing the hostname to a DNS server.*
- 3. The DNS client eventually receives a reply, which includes the IP address for the hostname.*
- 4. The browser receives the IP address from DNS*
- 5. Browser can initiate a TCP connection to the HTTP server process located at port 80 at that IP address.*

# DNS: services, structure

## *DNS services*

- hostname to IP address translation
- host aliasing
  - canonical, alias names
- mail server aliasing
- load distribution
  - replicated Web servers: many IP addresses correspond to one name

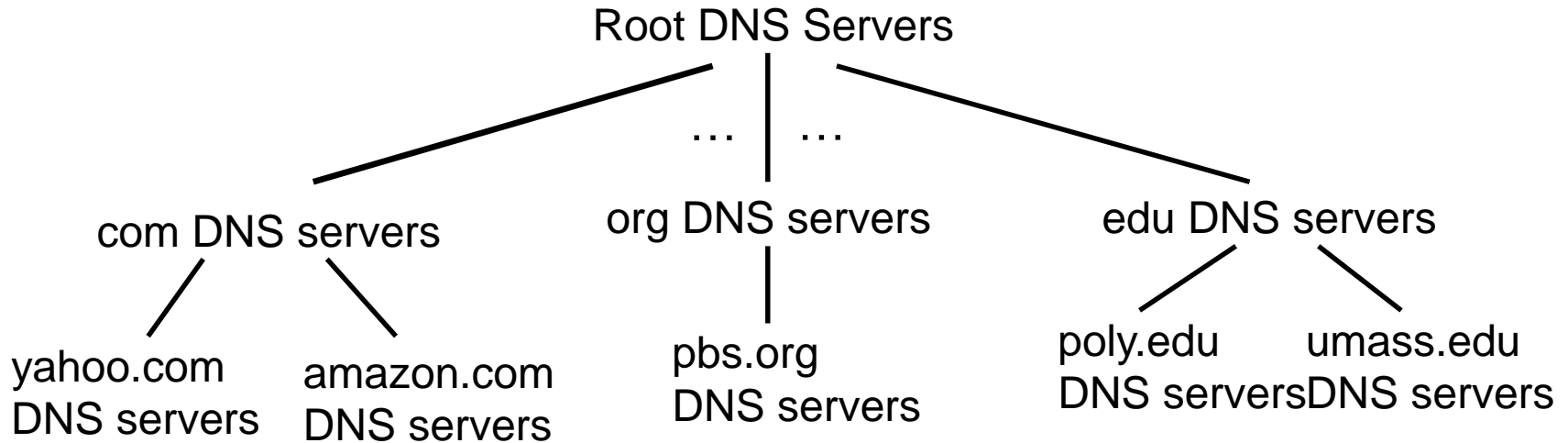
## *why not centralize DNS?*

- single point of failure
- traffic volume
- distant centralized database
- maintenance

*A: doesn't scale!*



# DNS: a distributed, hierarchical database



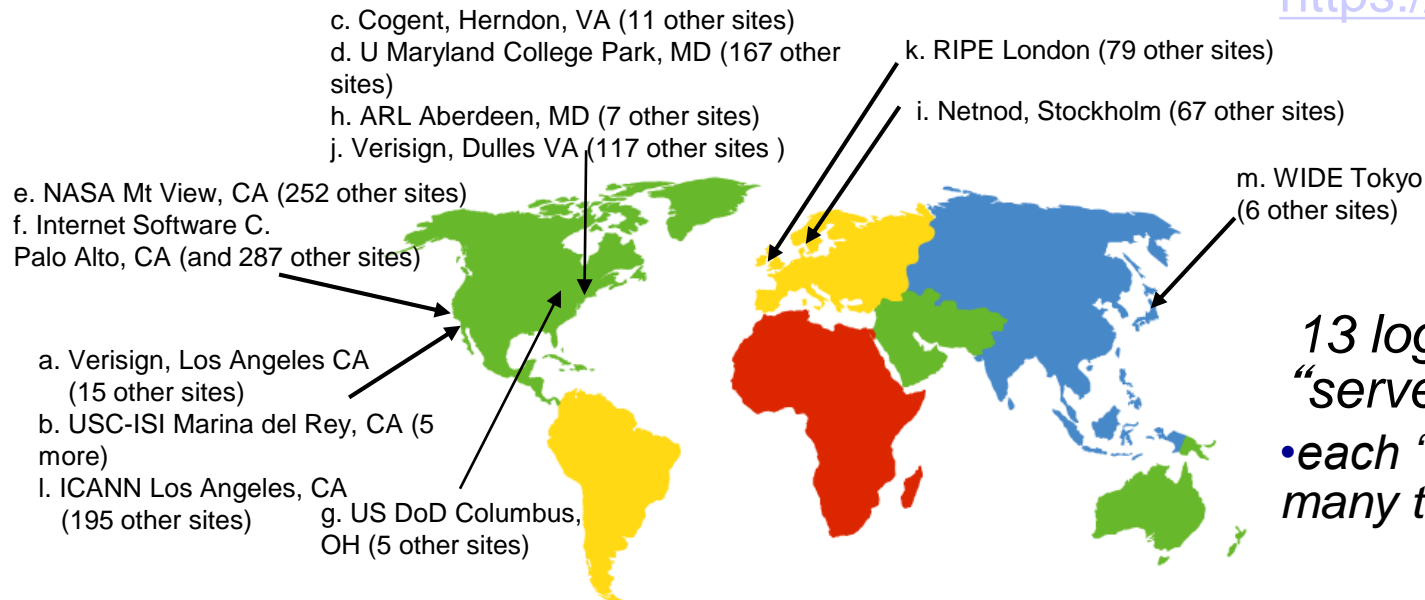
*client wants IP for www.amazon.com; 1<sup>st</sup> approximation:*

- client queries root server to find .com DNS server
- client queries .com DNS server to get amazon.com DNS server
- client queries amazon.com DNS server to get IP address for www.amazon.com

# DNS: root name servers

- contacted by local name server that can not resolve name
- root name server:
  - contacts authoritative name server if name mapping not known
  - gets mapping
  - returns mapping to local name server

<https://root-servers.org>



*13 logical root name “servers” worldwide*

- *each “server” replicated many times*

# TLD, authoritative servers

## *top-level domain (TLD) servers:*

- responsible for com, org, net, edu, aero, jobs, museums, and all top-level country domains, e.g.: uk, fr, ca, jp
- Network Solutions maintains servers for .com TLD
- Educause for .edu TLD
- List of valid TLD is maintain by [IANA](#)

## *authoritative DNS servers:*

- organization's own DNS server(s), providing authoritative hostname to IP mappings for organization's named hosts
- can be maintained by organization or service provider

# Local DNS name server

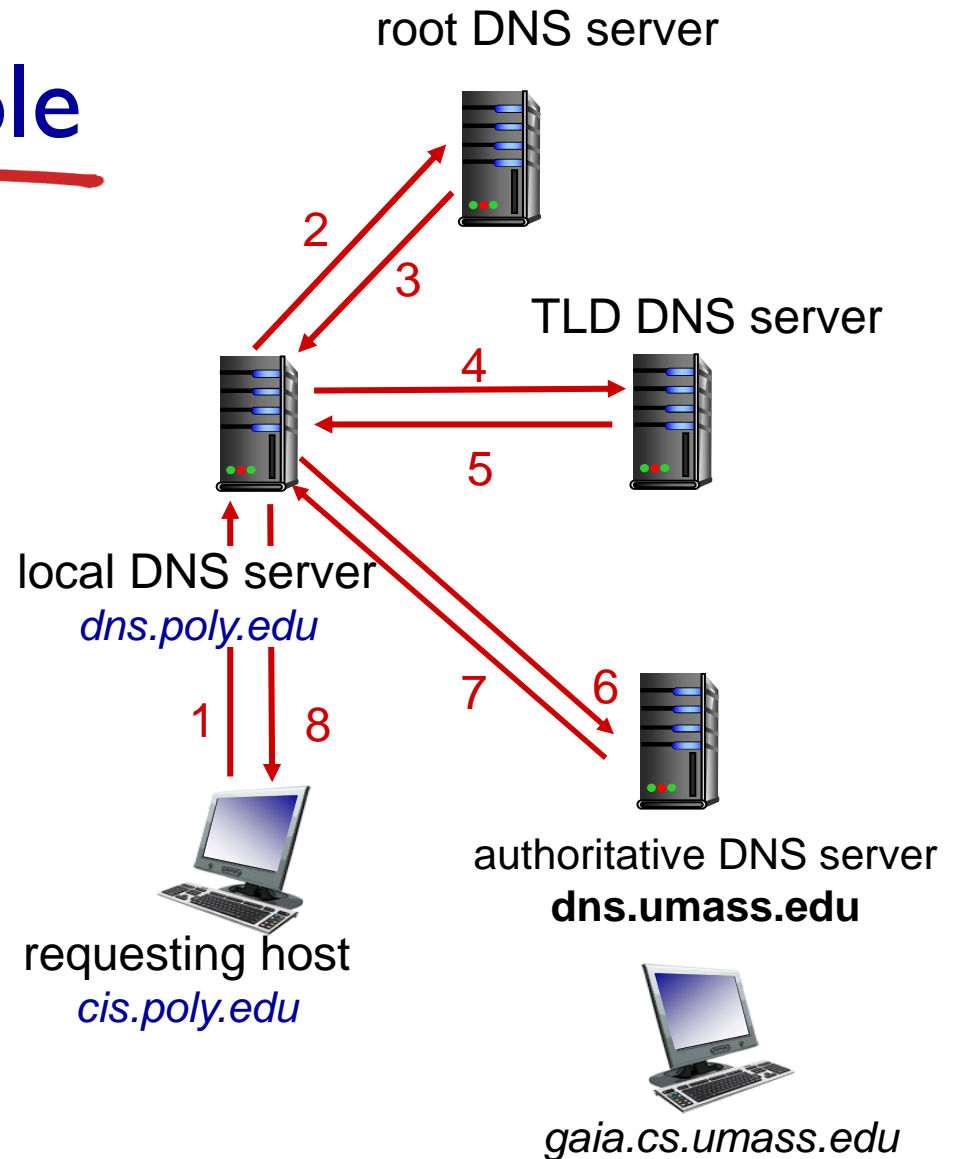
- does not strictly belong to hierarchy
- each ISP (residential ISP, company, university) has one
  - also called “default name server”
- when host makes DNS query, query is sent to its local DNS server
  - has local cache of recent name-to-address translation pairs (but may be out of date!)
  - acts as proxy, forwards query into hierarchy

# DNS name resolution example

- host at cis.poly.edu wants IP address for gaia.cs.umass.edu

## *iterated query:*

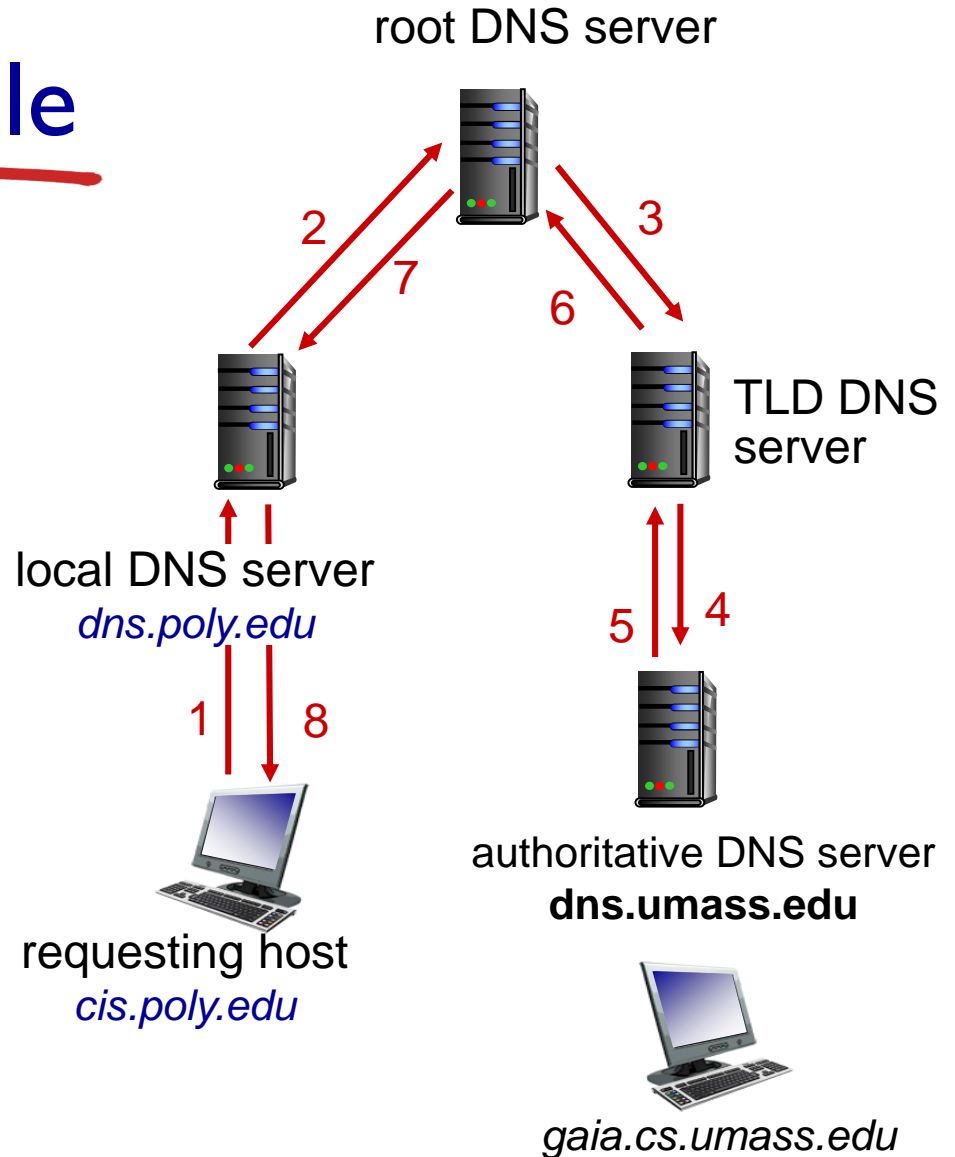
- contacted server replies with name of server to contact
- “I don’t know this name, but ask this server”



# DNS name resolution example

## *recursive query:*

- puts burden of name resolution on contacted name server
- heavy load at upper levels of hierarchy?



# DNS: caching, updating records

- once (any) name server learns mapping, it *caches* mapping
  - cache entries timeout (disappear) after some time (TTL)
  - TLD servers typically cached in local name servers
    - thus root name servers not often visited
- cached entries may be *out-of-date* (best effort name-to-address translation!)
  - if name host changes IP address, may not be known Internet-wide until all TTLs expire
- update/notify mechanisms proposed IETF standard
  - RFC 2136

# DNS records

**DNS:** distributed database storing resource records (RR)

RR format: (name, value, type, ttl)

## type=A

- **name** is hostname
- **value** is IP address  
(*relay1.bar.foo.com*,  
*145.37.93.126*, A)

## type=NS

- **name** is domain (e.g., foo.com)
- **value** is hostname of authoritative name server for this domain

(*foo.com*, *dns.foo.com*,  
NS)

## type=CNAME

- **name** is alias name for some “canonical” (the real) name
- *www.ibm.com* is really *servereast.backup2.ibm.com*
- **value** is canonical name

## type=MX

- **value** is canonical name of mailserver with alias **name**

(*foo.com*, *mail.bar.foo.com*,  
MX)

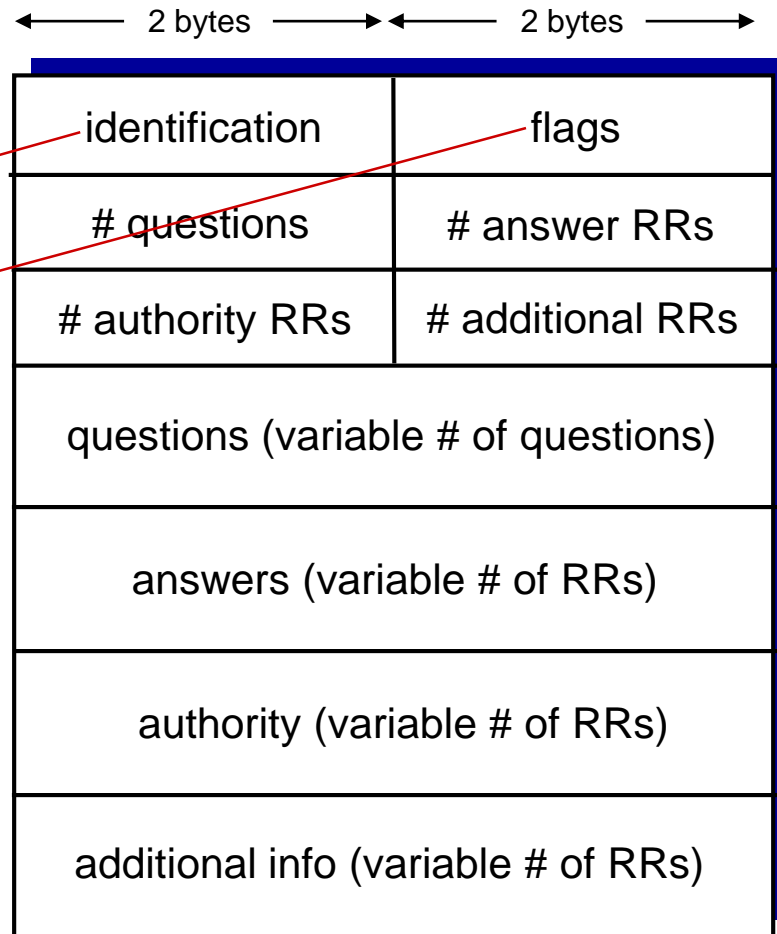


# DNS protocol, messages

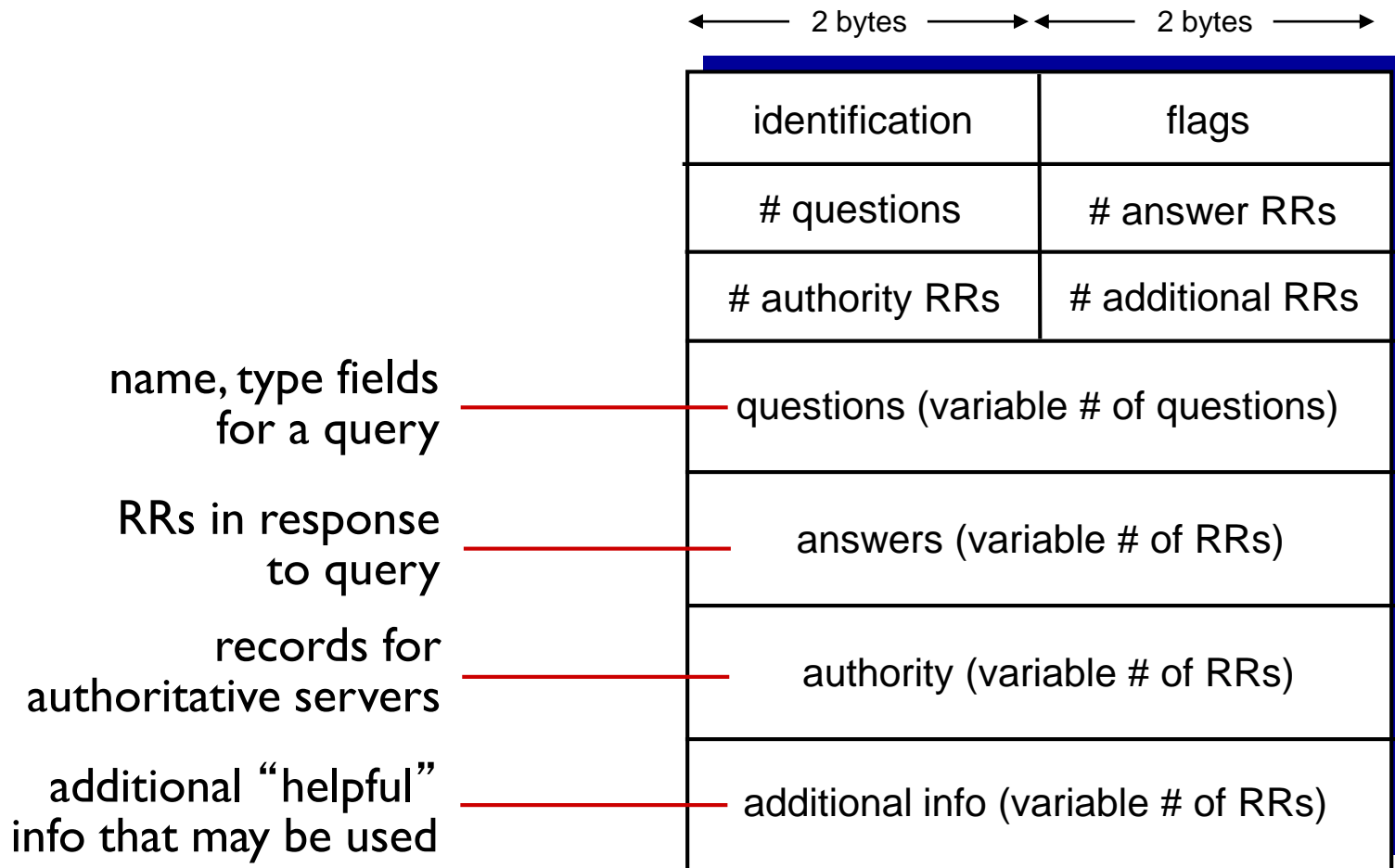
- *query* and *reply* messages, both with same *message format*

## message header

- **identification**: 16 bit # for query, reply to query uses same #
- **flags**:
  - query or reply
  - recursion desired
  - recursion available
  - reply is authoritative



# DNS protocol, messages



# Inserting records into DNS

- example: new startup “Network Utopia”
- register name networkutopia.com at *DNS registrar* (e.g., Network Solutions)
  - provide names, IP addresses of authoritative name server (primary and secondary)
  - registrar inserts two RRs into .com TLD server:  
(networkutopia.com, dns1.networkutopia.com, NS)  
(dns1.networkutopia.com, 212.212.212.1, A)
- create authoritative server type A record for www.networkutopia.com; type MX record for networkutopia.com

# Attacking DNS

## DDoS attacks

- bombard root servers with traffic
  - not successful to date
  - traffic filtering
  - local DNS servers cache IPs of TLD servers, allowing root server bypass
- bombard TLD servers
  - potentially more dangerous

## redirect attacks

- man-in-middle
  - Intercept queries
- DNS poisoning
  - Send bogus replies to DNS server, which caches

## exploit DNS for DDoS

- send queries with spoofed source address: target IP
- requires amplification

# References

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Figures and slides are taken/adapted from:

- Jim Kurose, Keith Ross, "Computer Networking: A Top-Down Approach", 7th ed. Addison-Wesley, 2012. All material copyright 1996-2016 J.F Kurose and K.W. Ross, All Rights Reserved