

# Build X Algorithms: Cryptography

Prof. Luca Viganò

Department of Informatics  
King's College London, UK

15 February 2016

# Outline

1 Introduction

2 Some number theory and Euclid's algorithm

3 Euclid's algorithm and Extended Euclid's algorithm

• Modular arithmetics

• Euler Totient Function

4 The RSA Algorithm

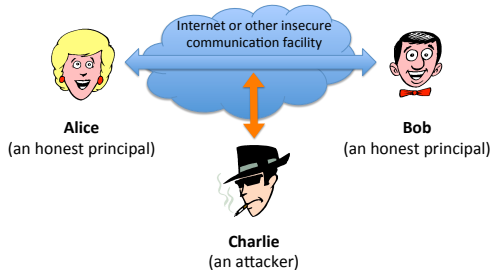
5 Diffie-Hellman key exchange

6 Zero-knowledge protocols

# Table of contents I

- 1 Introduction
- 2 Some number theory and Euclid's algorithm
- 3 Euclid's algorithm and Extended Euclid's algorithm
- 4 The RSA Algorithm
- 5 Diffie-Hellman key exchange
- 6 Zero-knowledge protocols

# Cryptography in Network Security

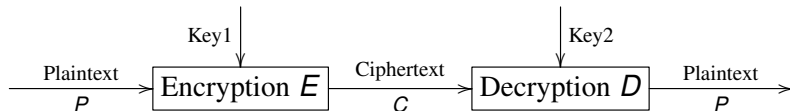


How do we turn an **insecure communication facility** (like the Internet) into a **secure** one?

Where security means that one of more security properties (e.g., confidentiality, integrity, authentication, non-repudiation, anonymity, unobservability, timeliness, availability, etc.) are guaranteed.

**Cryptography is the enabling technology.**

# General cryptographic schema



where  $E(\text{Key1}, P) = C$  and  $D(\text{Key2}, C) = P$ .

- **Symmetric algorithms:**
  - Key1 = Key2, or are easily derived from each other.
- **Asymmetric (or public key) algorithms:**
  - Different keys, which cannot be derived from each other.
  - **Public key** can be published without compromising **private key**.
- Encryption and decryption should be easy, if keys are known.
- **Security depends only on secrecy of the key, not on the algorithm.**

# Encryption/decryption

- $\mathcal{A}$ , the **alphabet**, is a finite set.
- $\mathcal{M} \subseteq \mathcal{A}^*$  is the **message space**.  $M \in \mathcal{M}$  is a **plaintext (message)**.
- $\mathcal{C}$  is the **ciphertext space**, whose alphabet may differ from  $\mathcal{M}$ .
- $\mathcal{K}$  denotes the **key space** of **keys**.
- Each  $e \in \mathcal{K}$  determines a bijective function from  $\mathcal{M}$  to  $\mathcal{C}$ , denoted by  $E_e$ .  $E_e$  is the **encryption function** (or **transformation**).

Note: we will write  $E_e(P) = C$  or, equivalently,  $E(e, P) = C$ .

- For each  $d \in \mathcal{K}$ ,  $D_d$  denotes a bijection from  $\mathcal{C}$  to  $\mathcal{M}$ .  $D_d$  is the **decryption function**.
- Applying  $E_e$  (or  $D_d$ ) is called **encryption** (or **decryption**).

# Encryption/decryption (cont.)

- An **encryption scheme** (or **cipher**) consists of a set  $\{E_e \mid e \in \mathcal{K}\}$  and a corresponding set  $\{D_d \mid d \in \mathcal{K}\}$  with the property that for each  $e \in \mathcal{K}$  there is a unique  $d \in \mathcal{K}$  such that  $D_d = E_e^{-1}$ ; i.e.,

$$D_d(E_e(m)) = m \quad \text{for all } m \in \mathcal{M}.$$

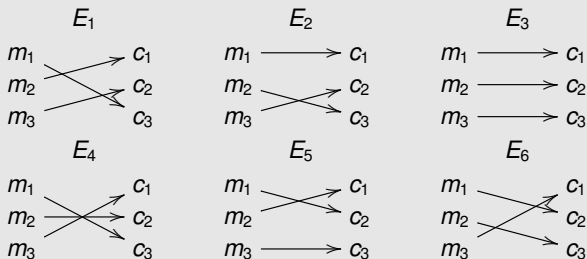
- The keys  $e$  and  $d$  above form a **key pair**, sometimes denoted by  $(e, d)$ . They can be identical (i.e., **the** symmetric key).
- To **construct** an encryption scheme requires fixing a message space  $\mathcal{M}$ , a ciphertext space  $\mathcal{C}$ , and a key space  $\mathcal{K}$ , as well as encryption transformations  $\{E_e \mid e \in \mathcal{K}\}$  and corresponding decryption transformations  $\{D_d \mid d \in \mathcal{K}\}$ .

## An example

Let  $\mathcal{M} = \{m_1, m_2, m_3\}$  and  $\mathcal{C} = \{c_1, c_2, c_3\}$ .

There are  $3! = 6$  bijections from  $\mathcal{M}$  to  $\mathcal{C}$ .

The key space  $\mathcal{K} = \{1, 2, 3, 4, 5, 6\}$  specifies these transformations.



Suppose Alice and Bob agree on the transformation  $E_1$ .

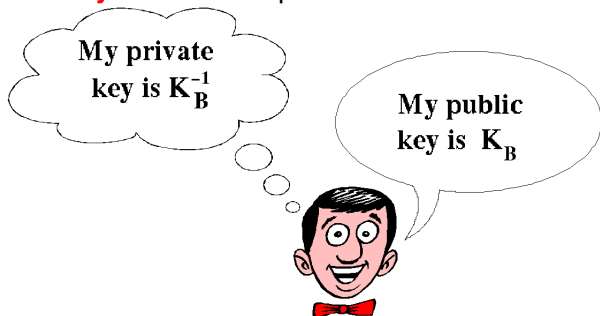
To encrypt  $m_1$ , Alice computes  $E_1(m_1) = c_3$ .

Bob decrypts  $c_3$  by reversing the arrows on the diagram for  $E_1$  and observing that  $c_3$  points to  $m_1$ .

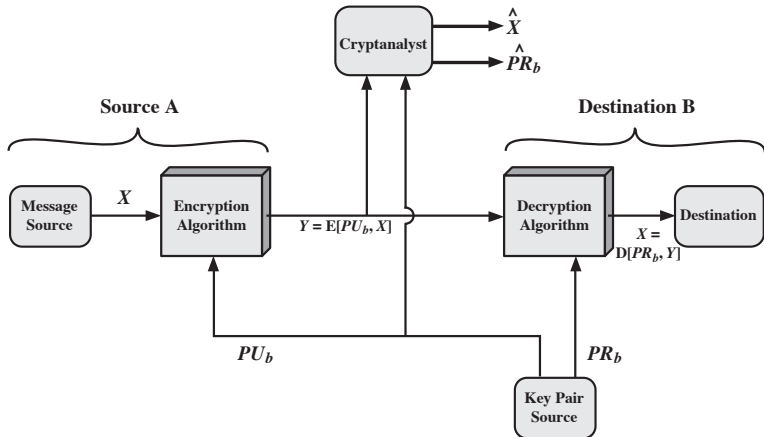


# Public-key cryptography

- Let  $\{E_e \mid e \in \mathcal{K}\}$  and  $\{D_d \mid d \in \mathcal{K}\}$  form an encryption scheme.
- Consider transformation pairs  $(E_e, D_d)$  where knowing  $E_e$  it is infeasible, given  $c \in \mathcal{C}$ , to find an  $m \in \mathcal{M}$  such that  $E_e(m) = c$ .
- This implies it is **infeasible to determine  $d$  from  $e$** .
- Hence,  $E_e$  constitutes a trap-door one-way function with trapdoor  $d$  (as explained in more detail later).
- Called **public key** as  $e$  can be public information:



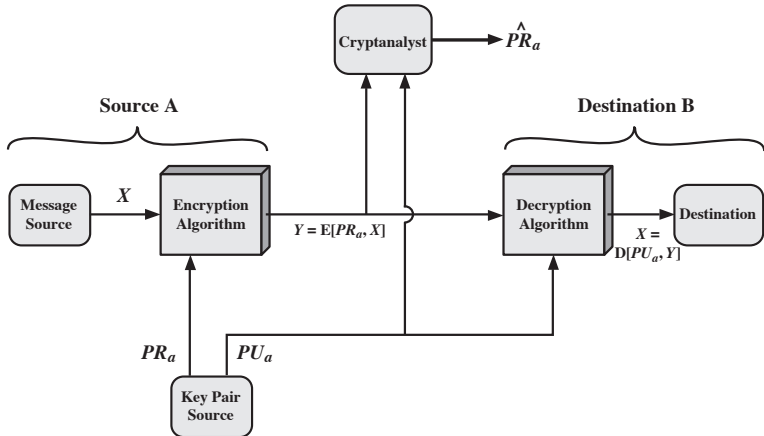
# Public-key cryptosystem: secrecy (confidentiality)



## Secrecy (confidentiality)

- $X$  is a secret intended for  $B$ .
- Only  $B$ , who possesses  $PR_b$ , can decrypt  $Y = E(PU_B, X)$ .

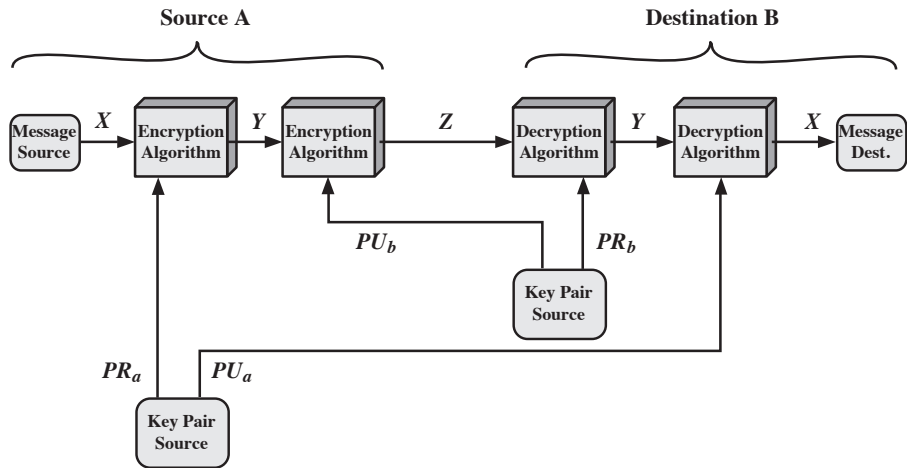
# Public-key cryptosystem: authentication



## Authentication

- Only  $A$ , who possesses  $PR_a$ , can have generated  $Y = E(PR_a, X)$ .
- Note that everybody can decrypt  $Y$  (and read  $X$ ) as  $PU_a$  is public.

# Public-key cryptosystem: secrecy and authentication



$$Z = E(PU_b, E(PR_a, X)) \quad \text{and} \quad X = D(PU_a, D(PR_b, Z))$$

# Requirements for public-key cryptography

- 1 It is computationally easy for any principal  $B$  to generate a pair (public key  $PU_b$ , private key  $PR_b$ ).
- 2 It is computationally easy for sender  $A$ , knowing  $PU_b$  and  $M$ , to generate

$$C = E(PU_b, M).$$

- 3 It is computationally easy for receiver  $B$  to decrypt  $C$  using  $PR_b$  to recover  $M$ :

$$M = D(PR_b, C) = D(PR_b, E(PU_b, M)).$$

- 4 It is computationally infeasible for an adversary
  - knowing  $PU_b$  to determine  $PR_b$ ,
  - knowing  $PU_b$  and  $C$  to recover  $M$ .
- 5 (Useful, but not always necessary) The two keys can be applied in either order:

$$M = D(PU_b, E(PR_b, M)) = D(PR_b, E(PU_b, M)).$$

# Requirements for Public-Key Cryptography (cont.)

- These are difficult requirements.
- As a matter of fact only a few algorithms enjoying the above requirements have received widespread acceptance so far, e.g.,

Algorithm	Encryption/Decryption	Digital signature	Key exchange
RSA	Yes	Yes	Yes
Elliptic Curve	Yes	Yes	Yes
Diffie-Hellman	No	No	Yes
DSS	No	Yes	No

- We will focus on RSA and Diffie-Hellman.

# One-way function

## One-way function

A function  $f : X \rightarrow Y$  is a **one-way function**, if  $f$  is “easy” to compute for all  $x \in X$ , but  $f^{-1}$  is “hard” (or “infeasible”) to compute.

- **Easy**: generally, defined to mean a problem that can be solved in polynomial time as a function of input length.
  - If input length is  $n$  bits, then time to compute function is proportional to  $n^a$ , where  $a$  is a fixed constant.
- **Infeasible**: effort to solve problem grows faster than polynomial time as a function of input size.
  - Time to compute function proportional to  $2^n$  for input length  $n$  bits.
    - Difficult to determine if a particular algorithm exhibits this complexity.
    - Computational complexity traditionally focuses on worst-case or average-case complexity of an algorithm, but cryptography requires that it be infeasible to invert a function for virtually all inputs.

# One-way function: examples

- **Square root.**

- If you know  $x = 512$ ,  $f(x) = x^2 = 512^2 =$



# One-way function: examples

- **Square root.**

- If you know  $x = 512$ ,  $f(x) = x^2 = 512^2 = 262144$  is easy to compute.

# One-way function: examples

- **Square root.**

- If you know  $x = 512$ ,  $f(x) = x^2 = 512^2 = 262144$  is easy to compute.
- If you know  $f(x) = 262144$ ,  $x = \sqrt{x^2} = \sqrt{262144}$  is difficult to compute.

# One-way function: examples

- **Square root.**

- If you know  $x = 512$ ,  $f(x) = x^2 = 512^2 = 262144$  is easy to compute.
- If you know  $f(x) = 262144$ ,  $x = \sqrt{x^2} = \sqrt{262144}$  is difficult to compute.

- **Modular cube roots.**

- Select primes  $p = 48611$  and  $q = 53993$ .
- Let  $n = p \times q = 2624653723$  and  $X = \{1, 2, \dots, n-1\}$ .
- Define  $f : X \rightarrow \mathbb{N}$  by  $f(x) = x^3 \bmod n$ .
- Example:  $f(2489991) = 1981394214$ .
- Computing  $f$  is easy.
- Inverting  $f$  is hard: find  $x$  which is cubed and yields remainder!

# One-way function: examples

## • Square root.

- If you know  $x = 512$ ,  $f(x) = x^2 = 512^2 = 262144$  is easy to compute.
- If you know  $f(x) = 262144$ ,  $x = \sqrt{x^2} = \sqrt{262144}$  is difficult to compute.

## • Modular cube roots.

- Select primes  $p = 48611$  and  $q = 53993$ .
- Let  $n = p \times q = 2624653723$  and  $X = \{1, 2, \dots, n-1\}$ .
- Define  $f : X \rightarrow \mathbb{N}$  by  $f(x) = x^3 \bmod n$ .
- Example:  $f(2489991) = 1981394214$ .
- Computing  $f$  is easy.
- Inverting  $f$  is hard: find  $x$  which is cubed and yields remainder!

This is useful because:

- Encryption is (very) easy whereas decryption is (very) difficult.
- The idea is: “ $f(x)$  acts as a public key and  $x$  as a private key”.

# Trapdoor one-way function

- A trapdoor one-way function is easy to calculate in one direction and infeasible to calculate in the other direction unless certain additional information is known.
  - With additional info, inverse can be calculated in polynomial time.

## Trapdoor one-way function

A **trapdoor one-way function** is a one-way function  $f_k : X \rightarrow Y$  where, given extra information  $k$  (the **trapdoor information**) it is feasible to find, for  $y \in \text{Image}(f)$ , an  $x \in X$  where  $f_k(x) = y$ .

- Hence, a *trapdoor one-way function* is a family of invertible functions  $f_k$  such that computing

$Y = f_k(X)$  is easy if  $k$  and  $X$  are known

$X = f_k^{-1}(Y)$  is easy if  $k$  and  $Y$  are known

$X = f_k^{-1}(Y)$  is infeasible if  $Y$  is known but  $k$  is not known

- **Example:** Computing modular cube roots is easy when  $p$  and  $q$  are known (basic number theory).

# Table of contents I

- 1 Introduction
- 2 Some number theory and Euclid's algorithm**
- 3 Euclid's algorithm and Extended Euclid's algorithm
- 4 The RSA Algorithm
- 5 Diffie-Hellman key exchange
- 6 Zero-knowledge protocols

# Prime factorization

- Numbers: **naturals**  $\mathbb{N} = \{0, 1, 2, \dots\}$ , **integers**  $\mathbb{Z} = \{0, 1, -1, \dots\}$ , **primes**  $\mathcal{P} = \{2, 3, 5, 7, \dots\}$ .
- To **factor** a number  $a$  is to write it as a product of other numbers, e.g.,  $a = b \times c \times d$ .
- Multiplying numbers is easy, factoring numbers appears hard. We cannot factor most numbers with more than 1024 bits.
- The **prime factorization** of a number  $a$  amounts to writing it as a product of powers of primes:

$$a = \prod_{p \in \mathcal{P}} p^{a_p} = 2^{a_2} \times 3^{a_3} \times 5^{a_5} \times 7^{a_7} \times 11^{a_{11}} \times \dots \quad \text{where } a_p \in \mathbb{N}$$

For any particular value of  $a$ , most of the exponents  $a_p$  will be 0, e.g.,

$$\begin{aligned} 91 &= 7 \times 13 \\ 3600 &= 2^4 \times 3^2 \times 5^2 \\ 11011 &= 7 \times 11^2 \times 13 \end{aligned}$$

# Divisors

$a \neq 0$  **divides**  $b$  (written  $a \mid b$ ) if there is an  $m$  such that  $m \times a = b$ .

- Examples:  $3 \mid 6$  and  $7 \mid 21$ .

$a$  **does not divide**  $b$  (written  $a \nmid b$ ) if there is no  $m$  such that  $m \times a = b$ .

- Examples:  $3 \nmid 7$ ,  $3 \nmid 10$  and  $7 \nmid 22$ .



# Relatively prime numbers & greatest common divisor

Two natural numbers  $a, b$  are **relatively prime** if they have no common divisors/factors apart from 1, i.e., if their **greatest common divisor** gcd is equal to 1

$$\gcd(a, b) = 1 .$$

# Relatively prime numbers & greatest common divisor

Two natural numbers  $a, b$  are **relatively prime** if they have no common divisors/factors apart from 1, i.e., if their **greatest common divisor** gcd is equal to 1

$$\gcd(a, b) = 1.$$

- For example, 8 and 15 are relatively prime since

# Relatively prime numbers & greatest common divisor

Two natural numbers  $a, b$  are **relatively prime** if they have no common divisors/factors apart from 1, i.e., if their **greatest common divisor** gcd is equal to 1

$$\gcd(a, b) = 1 .$$

- For example, 8 and 15 are relatively prime since
  - factors of 8 are 1, 2, 4, 8,

# Relatively prime numbers & greatest common divisor

Two natural numbers  $a, b$  are **relatively prime** if they have no common divisors/factors apart from 1, i.e., if their **greatest common divisor** gcd is equal to 1

$$\gcd(a, b) = 1.$$

- For example, 8 and 15 are relatively prime since
  - factors of 8 are 1, 2, 4, 8,
  - factors of 15 are 1, 3, 5, 15,

# Relatively prime numbers & greatest common divisor

Two natural numbers  $a, b$  are **relatively prime** if they have no common divisors/factors apart from 1, i.e., if their **greatest common divisor** gcd is equal to 1

$$\gcd(a, b) = 1.$$

- For example, 8 and 15 are relatively prime since
  - factors of 8 are 1, 2, 4, 8,
  - factors of 15 are 1, 3, 5, 15,
  - and 1 is the only common factor.

# Relatively prime numbers & greatest common divisor

Two natural numbers  $a, b$  are **relatively prime** if they have no common divisors/factors apart from 1, i.e., if their **greatest common divisor** gcd is equal to 1

$$\gcd(a, b) = 1.$$

- For example, 8 and 15 are relatively prime since
  - factors of 8 are 1, 2, 4, 8,
  - factors of 15 are 1, 3, 5, 15,
  - and 1 is the only common factor.
- Conversely, we can determine the greatest common divisor by comparing their prime factorizations and using least powers, e.g.
  - $150 = 2^1 \times 3^1 \times 5^2$  and  $18 = 2^1 \times 3^2$ ,  
thus  $\gcd(18, 150) = 2^1 \times 3^1 \times 5^0 = 6$ .

# Relatively prime numbers & greatest common divisor

Two natural numbers  $a, b$  are **relatively prime** if they have no common divisors/factors apart from 1, i.e., if their **greatest common divisor** gcd is equal to 1

$$\text{gcd}(a, b) = 1.$$

- For example, 8 and 15 are relatively prime since
  - factors of 8 are 1, 2, 4, 8,
  - factors of 15 are 1, 3, 5, 15,
  - and 1 is the only common factor.
- Conversely, we can determine the greatest common divisor by comparing their prime factorizations and using least powers, e.g.
  - $150 = 2^1 \times 3^1 \times 5^2$  and  $18 = 2^1 \times 3^2$ ,  
thus  $\text{gcd}(18, 150) = 2^1 \times 3^1 \times 5^0 = 6$ .
  - $60 = 2^2 \times 3 \times 5$  and  $14 = 2 \times 7$ ,  
thus  $\text{gcd}(60, 14) = 2$ .

# Table of contents I

- 1 Introduction
- 2 Some number theory and Euclid's algorithm
- 3 Euclid's algorithm and Extended Euclid's algorithm**
  - Modular arithmetics
  - Euler Totient Function
- 4 The RSA Algorithm
- 5 Diffie-Hellman key exchange
- 6 Zero-knowledge protocols



# Greatest common divisor and Euclid's algorithm

- gcd can be computed quickly using **Euclid's algorithm**.

$$\gcd(60, 14) : 60 = (4 \times 14) + 4$$

$$\gcd(14, 4) : 14 = (3 \times 4) + 2$$

$$\gcd(4, 2) : 4 = 2 \times 2$$

- Extended Euclid's algorithm** computes  $x, y \in \mathbb{Z}$  such that

$$\gcd(a, b) = (x \times a) + (y \times b)$$

$$\text{Here } 2 = 14 - 3 \times (60 - (4 \times 14)) = (-3 \times 60) + (13 \times 14)$$

# Euclid's Algorithm

Euclid's algorithm is based on the theorem

$\gcd(a, b) = \gcd(b, a \bmod b)$  for any nonnegative integer  $a$  and any positive integer  $b$ .

For example:

- $\gcd(55, 22) = \gcd(22, 55 \bmod 22) = \gcd(22, 11) = 11.$

## Euclid's algorithm

```

Euclid( $a, b$ )
1  if  $b = 0$ 
2    then return  $a$ 
3    else return Euclid( $b, a \bmod b$ )
  
```

For example:

- $\text{Euclid}(30, 21) = \text{Euclid}(21, 9) = \text{Euclid}(9, 3) = \text{Euclid}(3, 0) = 3.$

## Extended Euclid's Algorithm

Extend Euclid's algorithm to compute integer coefficients  $x, y$  such that

$$d = \gcd(a, b) = (a \times x) + (b \times y)$$

### Extended Euclid's algorithm

```

Extended-Euclid( $a, b$ )
1 if  $b = 0$ 
2   then return ( $a, 1, 0$ )
3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )
4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - (\lfloor a/b \rfloor \times y')$ )
5 return ( $d, x, y$ )
  
```

where  $q = \lfloor a/b \rfloor$  is the **quotient of the division** (for  $a = (q \times b) + r$ ).

**Note:** the  $d$  here is the greatest common **divisor**, not to be confused with the  $d$  that is (part of) an RSA private key (discussed later on).

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - (\lfloor a/b \rfloor \times y')$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
-----	-----	-----------------------	-----	-----	-----

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - (\lfloor a/b \rfloor \times y')$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78				

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - (\lfloor a/b \rfloor \times y')$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1			
78	21				

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - (\lfloor a/b \rfloor \times y')$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1			
78	21	3			
21	15				

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - (\lfloor a/b \rfloor \times y')$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1			
78	21	3			
21	15	1			
15	6				



# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - (\lfloor a/b \rfloor \times y')$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1			
78	21	3			
21	15	1			
15	6	2			
6	3				

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - (\lfloor a/b \rfloor \times y')$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1			
78	21	3			
21	15	1			
15	6	2			
6	3	2			
3	0				

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - (\lfloor a/b \rfloor \times y')$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1			
78	21	3			
21	15	1			
15	6	2			
6	3	2			
3	0	—			

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - (\lfloor a/b \rfloor \times y')$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1			
78	21	3			
21	15	1			
15	6	2			
6	3	2			
3	0	—	3	1	0

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - \lfloor a/b \rfloor \times y'$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1	3		
78	21	3	3		
21	15	1	3		
15	6	2	3		
6	3	2	3		
3	0	—	3	1	0

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - \lfloor a/b \rfloor \times y'$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1	3		
78	21	3	3		
21	15	1	3		
15	6	2	3		
6	3	2	3	0	1
3	0	—	3	1	0

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - \lfloor a/b \rfloor \times y'$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1	3		
78	21	3	3		
21	15	1	3		
15	6	2	3	1	-2
6	3	2	3	0	1
3	0	-	3	1	0

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - \lfloor a/b \rfloor \times y'$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1	3		
78	21	3	3		
21	15	1	3	-2	3
15	6	2	3	1	-2
6	3	2	3	0	1
3	0	-	3	1	0



# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - \lfloor a/b \rfloor \times y'$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1	3		
78	21	3	3	3	-11
21	15	1	3	-2	3
15	6	2	3	1	-2
6	3	2	3	0	1
3	0	-	3	1	0

# Extended Euclid's Algorithm: example

$$\text{Extended-Euclid}(99, 78) = 3 = (99 \times (-11)) + (78 \times 14)$$

Extended-Euclid( $a, b$ )

1 **if**  $b = 0$

2   **then return** ( $a, 1, 0$ )

3 ( $d', x', y'$ )  $\leftarrow$  Extended-Euclid( $b, a \bmod b$ )

4 ( $d, x, y$ )  $\leftarrow$  ( $d', y', x' - \lfloor a/b \rfloor \times y'$ )

5 **return** ( $d, x, y$ )

$a$	$b$	$\lfloor a/b \rfloor$	$d$	$x$	$y$
99	78	1	3	-11	14
78	21	3	3	3	-11
21	15	1	3	-2	3
15	6	2	3	1	-2
6	3	2	3	0	1
3	0	-	3	1	0

Each line shows one level of the recursion.

# Table of contents I

- 1 Introduction
- 2 Some number theory and Euclid's algorithm
- 3 **Euclid's algorithm and Extended Euclid's algorithm**
  - **Modular arithmetics**
  - Euler Totient Function
- 4 The RSA Algorithm
- 5 Diffie-Hellman key exchange
- 6 Zero-knowledge protocols

# Modular arithmetics

## Remainder

- $\forall a, n. \exists q, r. (a = (q \times n) + r)$  where  $0 \leq r < n$ .

Here  $r$  is the **remainder**, which we write as

$$r = a \bmod n.$$

## Congruent modulo

- $a, b \in \mathbb{Z}$  are **congruent modulo**  $n$ , if  $a \bmod n = b \bmod n$ .

We write this as

$$a =_n b.$$

## Modulo operator has following properties (of congruences)

- Reflexivity:  $a =_n a$ .
- Symmetry: If  $a =_n b$  then  $b =_n a$ .
- Transitivity: If  $(a =_n b \text{ and } b =_n c)$  then  $a =_n c$ .

## Other properties of the modulo operator

$$(a \bullet b) =_n (a \bmod n) \bullet (b \bmod n) \quad \text{for } \bullet \in \{+, -, \times\}$$

$$\text{i.e., } (a \bullet b) \bmod n = [(a \bmod n) \bullet (b \bmod n)] \bmod n$$

Example:

$$2 = (5 \times 6) \bmod 4$$

## Other properties of the modulo operator

$$(a \bullet b) =_n (a \bmod n) \bullet (b \bmod n) \quad \text{for } \bullet \in \{+, -, \times\}$$

$$\text{i.e., } (a \bullet b) \bmod n = [(a \bmod n) \bullet (b \bmod n)] \bmod n$$

Example:

$$\begin{aligned} 2 &= (5 \times 6) \bmod 4 \\ &= [(5 \bmod 4) \times (6 \bmod 4)] \bmod 4 \end{aligned}$$

## Other properties of the modulo operator

$$(a \bullet b) =_n (a \bmod n) \bullet (b \bmod n) \quad \text{for } \bullet \in \{+, -, \times\}$$

$$\text{i.e., } (a \bullet b) \bmod n = [(a \bmod n) \bullet (b \bmod n)] \bmod n$$

Example:

$$\begin{aligned} 2 &= (5 \times 6) \bmod 4 \\ &= [(5 \bmod 4) \times (6 \bmod 4)] \bmod 4 \\ &= (1 \times 2) \bmod 4 = 2 \bmod 4 = 2 \end{aligned}$$

## Other properties of the modulo operator

$$(a \bullet b) =_n (a \bmod n) \bullet (b \bmod n) \quad \text{for } \bullet \in \{+, -, \times\}$$

$$\text{i.e., } (a \bullet b) \bmod n = [(a \bmod n) \bullet (b \bmod n)] \bmod n$$

Example:

$$\begin{aligned} 2 &= (5 \times 6) \bmod 4 \\ &= [(5 \bmod 4) \times (6 \bmod 4)] \bmod 4 \\ &= (1 \times 2) \bmod 4 = 2 \bmod 4 = 2 \end{aligned}$$

If  $a \times b =_n a \times c$  and  $a$  relatively prime to  $n$ , then  $b =_n c$ .



## Other properties of the modulo operator

$$(a \bullet b) =_n (a \bmod n) \bullet (b \bmod n) \quad \text{for } \bullet \in \{+, -, \times\}$$

$$\text{i.e., } (a \bullet b) \bmod n = [(a \bmod n) \bullet (b \bmod n)] \bmod n$$

Example:

$$\begin{aligned} 2 &= (5 \times 6) \bmod 4 \\ &= [(5 \bmod 4) \times (6 \bmod 4)] \bmod 4 \\ &= (1 \times 2) \bmod 4 = 2 \bmod 4 = 2 \end{aligned}$$

If  $a \times b =_n a \times c$  and  $a$  relatively prime to  $n$ , then  $b =_n c$ .

Example:

$$\bullet \quad 8 \times 4 =_3 8 \times 1.$$

## Other properties of the modulo operator

$$(a \bullet b) =_n (a \bmod n) \bullet (b \bmod n) \quad \text{for } \bullet \in \{+, -, \times\}$$

$$\text{i.e., } (a \bullet b) \bmod n = [(a \bmod n) \bullet (b \bmod n)] \bmod n$$

Example:

$$\begin{aligned} 2 &= (5 \times 6) \bmod 4 \\ &= [(5 \bmod 4) \times (6 \bmod 4)] \bmod 4 \\ &= (1 \times 2) \bmod 4 = 2 \bmod 4 = 2 \end{aligned}$$

If  $a \times b =_n a \times c$  and  $a$  relatively prime to  $n$ , then  $b =_n c$ .

Example:

- $8 \times 4 =_3 8 \times 1$ .
- 8 is relatively prime to 3.

## Other properties of the modulo operator

$$(a \bullet b) =_n (a \bmod n) \bullet (b \bmod n) \quad \text{for } \bullet \in \{+, -, \times\}$$

$$\text{i.e., } (a \bullet b) \bmod n = [(a \bmod n) \bullet (b \bmod n)] \bmod n$$

Example:

$$\begin{aligned} 2 &= (5 \times 6) \bmod 4 \\ &= [(5 \bmod 4) \times (6 \bmod 4)] \bmod 4 \\ &= (1 \times 2) \bmod 4 = 2 \bmod 4 = 2 \end{aligned}$$

If  $a \times b =_n a \times c$  and  $a$  relatively prime to  $n$ , then  $b =_n c$ .

Example:

- $8 \times 4 =_3 8 \times 1$ .
- 8 is relatively prime to 3.
- So:  $4 =_3 1$ .

If  $a_1 =_n b_1$  and  $a_2 =_n b_2$ , then

$$(a_1 + a_2) =_n (b_1 + b_2) \quad \text{and} \quad (a_1 \times a_2) =_n (b_1 \times b_2)$$

- This can also be expressed as

$$[(a_1 \bmod n) + (a_2 \bmod n)] \bmod n = (a_1 + a_2) \bmod n$$

and

$$[(a_1 \bmod n) \times (a_2 \bmod n)] \bmod n = (a_1 \times a_2) \bmod n$$

- Example: Let  $r_a = a \bmod n$  and  $r_b = b \bmod n$ .

Then, there are integers  $j$  and  $k$  such that

$$a = r_a + jn \quad \text{and} \quad b = r_b + kn$$

and we can proceed as follows:

$$(a + b) \bmod n = (r_a + jn + r_b + kn) \bmod n$$

If  $a_1 =_n b_1$  and  $a_2 =_n b_2$ , then

$$(a_1 + a_2) =_n (b_1 + b_2) \quad \text{and} \quad (a_1 \times a_2) =_n (b_1 \times b_2)$$

- This can also be expressed as

$$[(a_1 \bmod n) + (a_2 \bmod n)] \bmod n = (a_1 + a_2) \bmod n$$

and

$$[(a_1 \bmod n) \times (a_2 \bmod n)] \bmod n = (a_1 \times a_2) \bmod n$$

- Example: Let  $r_a = a \bmod n$  and  $r_b = b \bmod n$ .

Then, there are integers  $j$  and  $k$  such that

$$a = r_a + jn \quad \text{and} \quad b = r_b + kn$$

and we can proceed as follows:

$$\begin{aligned}(a + b) \bmod n &= (r_a + jn + r_b + kn) \bmod n \\ &= (r_a + r_b + (j + k)n) \bmod n\end{aligned}$$

If  $a_1 =_n b_1$  and  $a_2 =_n b_2$ , then

$$(a_1 + a_2) =_n (b_1 + b_2) \quad \text{and} \quad (a_1 \times a_2) =_n (b_1 \times b_2)$$

- This can also be expressed as

$$[(a_1 \bmod n) + (a_2 \bmod n)] \bmod n = (a_1 + a_2) \bmod n$$

and

$$[(a_1 \bmod n) \times (a_2 \bmod n)] \bmod n = (a_1 \times a_2) \bmod n$$

- Example: Let  $r_a = a \bmod n$  and  $r_b = b \bmod n$ .

Then, there are integers  $j$  and  $k$  such that

$$a = r_a + jn \quad \text{and} \quad b = r_b + kn$$

and we can proceed as follows:

$$\begin{aligned}(a + b) \bmod n &= (r_a + jn + r_b + kn) \bmod n \\ &= (r_a + r_b + (j + k)n) \bmod n \\ &= (r_a + r_b) \bmod n\end{aligned}$$

If  $a_1 =_n b_1$  and  $a_2 =_n b_2$ , then

$$(a_1 + a_2) =_n (b_1 + b_2) \quad \text{and} \quad (a_1 \times a_2) =_n (b_1 \times b_2)$$

- This can also be expressed as

$$[(a_1 \bmod n) + (a_2 \bmod n)] \bmod n = (a_1 + a_2) \bmod n$$

and

$$[(a_1 \bmod n) \times (a_2 \bmod n)] \bmod n = (a_1 \times a_2) \bmod n$$

- Example: Let  $r_a = a \bmod n$  and  $r_b = b \bmod n$ .

Then, there are integers  $j$  and  $k$  such that

$$a = r_a + jn \quad \text{and} \quad b = r_b + kn$$

and we can proceed as follows:

$$\begin{aligned}(a + b) \bmod n &= (r_a + jn + r_b + kn) \bmod n \\&= (r_a + r_b + (j + k)n) \bmod n \\&= (r_a + r_b) \bmod n \\&= [(a \bmod n) + (b \bmod n)] \bmod n\end{aligned}$$

- $a = q \times n + r$  with  $q = \lfloor a/n \rfloor$  and  $0 \leq r < n$  and  $r = a \bmod n$
- For any integer  $a$ , we can rewrite this as follows:  
$$a = \lfloor a/n \rfloor \times n + (a \bmod n)$$

Then, for example:

- $11 \bmod 7 = 4$
- $-11 \bmod 7 = -4$  ( $= 3$  when reasoning modulo 7)
- $73 =_{23} 4$
- $21 =_{10} -9$
- $147 =_{220} -73$



- If  $a =_n 0$  then  $n \mid a$
- $a =_n b$  if  $n \mid (a - b)$

- To demonstrate the last point, if  $n \mid (a - b)$ , then  $(a - b) = k \times n$  for some  $k$ .

So we can write  $a = b + (k \times n)$ .

Therefore,  $(a \bmod n) = (\text{remainder when } b + (k \times n) \text{ is divided by } n) = (\text{remainder when } b \text{ is divided by } n) = (b \bmod n)$ .

- Then, for example:
  - $23 =_5 8$  because  $23 - 8 = 15 = 5 \times 3$
  - $-11 =_8 5$  because  $-11 - 5 = -16 = 8 \times (-2)$
  - $81 =_{27} 0$  because  $81 - 0 = 81 = 27 \times 3$

# Modular arithmetics: two theorems

## Theorem

Suppose that  $a, b \in \mathbb{Z}$  are relatively prime. There is a  $c \in \mathbb{Z}$  satisfying  $(b \times c) \bmod a = 1$ , i.e., we can compute  $b^{-1} \bmod a$ .

**Proof:** From Extended Euclidean Algorithm, there exist  $x, y \in \mathbb{Z}$  where

$$1 = (a \times x) + (b \times y)$$

Since  $a \mid (a \times x)$ , we have  $(b \times y) \bmod a = 1$ .

Assertion follows with  $c = y$ .

# Modular arithmetics: two theorems

## Theorem

Suppose that  $a, b \in \mathbb{Z}$  are relatively prime. There is a  $c \in \mathbb{Z}$  satisfying  $(b \times c) \bmod a = 1$ , i.e., we can compute  $b^{-1} \bmod a$ .

**Proof:** From Extended Euclidean Algorithm, there exist  $x, y \in \mathbb{Z}$  where

$$1 = (a \times x) + (b \times y)$$

Since  $a \mid (a \times x)$ , we have  $(b \times y) \bmod a = 1$ .

Assertion follows with  $c = y$ .

## Fermat's little theorem

For  $a$  and  $n$  relatively prime and  $n$  prime

$$a^{n-1} \equiv_n 1$$

Example:  $4^6 \bmod 7 = (16 \times 16 \times 16) \bmod 7 = (2 \times 2 \times 2) \bmod 7 = 1$ .

# Table of contents I

- 1 Introduction
- 2 Some number theory and Euclid's algorithm
- 3 Euclid's algorithm and Extended Euclid's algorithm**
  - Modular arithmetics
  - Euler Totient Function**
- 4 The RSA Algorithm
- 5 Diffie-Hellman key exchange
- 6 Zero-knowledge protocols

# Euler Totient Function

- When doing arithmetic modulo  $n$ .
- Complete set of **residues** is  $0, \dots, n - 1$ .
- **Reduced set of residues** consists of those numbers (*residues*) that are relatively prime to  $n$ .

For instance, for  $n = 10$ :

- complete set of residues is  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$ ,
- reduced set of residues is  $\{1, 3, 7, 9\}$ .
- Number of elements in reduced set of residues is called the **Euler Totient Function**  $\phi(n)$ .
  - In other words,  $\phi(n)$  is the number of positive integers less than  $n$  which are relatively prime to  $n$ , i.e.,  
 $\phi(n)$  is the number of  $a \in \{1, 2, \dots, n - 1\}$  with  $\gcd(a, n) = 1$ .

$n$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$\phi(n)$	1	1	2	2	4	2	6	4	6	4	10	4	12	6	8

# Euler's Totient Function and Euler's Theorem

## Properties:

- $\phi(1) = 1$ .
- $\phi(p) = p - 1$  if  $p$  is prime.
- $\phi(p \times q) = \phi(p) \times \phi(q) = (p - 1) \times (q - 1)$  if  $p$  and  $q$  are prime and  $p \neq q$ .

So that Fermat's little theorem (for  $a$  and  $n$  relatively prime and  $n$  prime) can be rewritten to

## Euler's Theorem

$a^{\phi(n)} \equiv_n 1$  for all  $a, n$  such that  $\gcd(a, n) = 1$ .

## Examples:

- If  $a = 3$  and  $n = 10$ , then  $\phi(10) = 4$  and  $3^4 = 81 \equiv_{10} 1$
- If  $a = 2$  and  $n = 11$ , then  $\phi(11) = 10$  and  $2^{10} = 1024 \equiv_{11} 1$

# Table of contents I

- 1 Introduction
- 2 Some number theory and Euclid's algorithm
- 3 Euclid's algorithm and Extended Euclid's algorithm
- 4 The RSA Algorithm**
- 5 Diffie-Hellman key exchange
- 6 Zero-knowledge protocols

# Rivest, Shamir, Adleman: RSA Algorithm

- Named after inventors: Rivest, Shamir, Adleman, 1978.
- Published after 1976 challenge by Diffie and Hellman.
- RSA algorithm is a block cipher in which the plaintext and ciphertext are integers between 0 and  $n - 1$  for some  $n$ .
  - A typical size for  $n$  is 1024 bits, or 309 decimal digits.
  - That is,  $n$  is less than  $2^{1024}$ .
- Security comes from difficulty of factoring large numbers. Keys are functions of a pairs of large,  $\geq 100$  digits, prime numbers.
- Most popular public-key algorithm.  
Used in many applications, e.g., PGP, PEM, SSL, ...



# RSA algorithm

<b>Ingredients:</b>	$p, q$ , two prime numbers	private, chosen
	$n = p \times q$ (or $pq$ for short)	public, calculated
	$e$ , with $\gcd(\phi(n), e) = 1$ ; $1 < e < \phi(n)$	public, chosen
	$d = e^{-1} \bmod \phi(n)$	private, calculated

- Generation of a public/private key pair:**

- 1 Generate two (large) distinct primes  $p$  and  $q$ .
- 2 Compute  $n = p \times q$  and  $\phi(n) = (p - 1) \times (q - 1)$ .
- 3 Select an  $e$ , with  $1 < e < \phi(n)$ , relatively prime to  $\phi(n)$ .
- 4 Compute  $d = e^{-1} \bmod \phi(n)$ .
- 5 Publish  $(e, n)$ , keep  $(d, n)$  private, discard  $p$  and  $q$ .

- Encryption** with key  $(e, n)$

- 1 Break message  $M$  into blocks  $M_1 M_2 \dots$  with  $M_i < n$
- 2 Compute  $C_i = M_i^e \bmod n$ .

- Decryption** with key  $(d, n)$ :

- 1 Compute  $M_i = C_i^d \bmod n$ .

# RSA algorithm: example

- **Generation of a public/private key pair:**

- 1 Generate two (large) distinct primes  $p$  and  $q$ .
- 2 Compute  $n = p \times q$  and  $\phi(n) = (p - 1) \times (q - 1)$ .
- 3 Select an  $e$ ,  $1 < e < \phi(n)$ , relatively prime to  $\phi(n)$ .
- 4 Compute  $d = e^{-1} \bmod \phi(n)$ .
- 5 Publish  $(e, n)$ , keep  $(d, n)$  private, discard  $p$  and  $q$ .

- **Encryption** with key  $(e, n)$ :

- 1 Break message  $M$  into blocks  $M_1 M_2 \dots$  with  $M_i < n$ .
- 2 Compute  $C_i = M_i^e \bmod n$ .

- **Decryption** with key  $(d, n)$ :

- 1 Compute  $M_i = C_i^d \bmod n$ .

# RSA algorithm: example

- **Generation of a public/private key pair:**

- 1 Generate  $p = 47$ ,  $q = 71$ .
- 2 Compute  $n = p \times q$  and  $\phi(n) = (p - 1) \times (q - 1)$ .
- 3 Select an  $e$ ,  $1 < e < \phi(n)$ , relatively prime to  $\phi(n)$ .
- 4 Compute  $d = e^{-1} \bmod \phi(n)$ .
- 5 Publish  $(e, n)$ , keep  $(d, n)$  private, discard  $p$  and  $q$ .

- **Encryption** with key  $(e, n)$ :

- 1 Break message  $M$  into blocks  $M_1 M_2 \dots$  with  $M_i < n$ .
- 2 Compute  $C_i = M_i^e \bmod n$ .

- **Decryption** with key  $(d, n)$ :

- 1 Compute  $M_i = C_i^d \bmod n$ .

# RSA algorithm: example

- **Generation of a public/private key pair:**

- 1 Generate  $p = 47$ ,  $q = 71$ .
- 2 Compute  $n = p \times q = 3337$  and  $\phi(n) = (p - 1) \times (q - 1) = 46 \times 70 = 3220$
- 3 Select an  $e$ ,  $1 < e < \phi(n)$ , relatively prime to  $\phi(n)$ .
- 4 Compute  $d = e^{-1} \bmod \phi(n)$ .
- 5 Publish  $(e, n)$ , keep  $(d, n)$  private, discard  $p$  and  $q$ .

- **Encryption** with key  $(e, n)$ :

- 1 Break message  $M$  into blocks  $M_1 M_2 \dots$  with  $M_i < n$ .
- 2 Compute  $C_i = M_i^e \bmod n$ .

- **Decryption** with key  $(d, n)$ :

- 1 Compute  $M_i = C_i^d \bmod n$ .

# RSA algorithm: example

- **Generation of a public/private key pair:**

- 1 Generate  $p = 47$ ,  $q = 71$ .
- 2 Compute  $n = p \times q = 3337$  and  $\phi(n) = (p - 1) \times (q - 1) = 46 \times 70 = 3220$
- 3 Choose  $e = 79$  (randomly in the interval  $[1..3220]$ )
- 4 Compute  $d = e^{-1} \bmod \phi(n)$ .
- 5 Publish  $(e, n)$ , keep  $(d, n)$  private, discard  $p$  and  $q$ .

- **Encryption** with key  $(e, n)$ :

- 1 Break message  $M$  into blocks  $M_1 M_2 \dots$  with  $M_i < n$ .
- 2 Compute  $C_i = M_i^e \bmod n$ .

- **Decryption** with key  $(d, n)$ :

- 1 Compute  $M_i = C_i^d \bmod n$ .

# RSA algorithm: example

- **Generation of a public/private key pair:**

- 1 Generate  $p = 47$ ,  $q = 71$ .
- 2 Compute  $n = p \times q = 3337$  and  $\phi(n) = (p - 1) \times (q - 1) = 46 \times 70 = 3220$
- 3 Choose  $e = 79$  (randomly in the interval  $[1..3220]$ )
- 4 Compute  $d = 79^{-1} \bmod 3220 = 1019$ .
- 5 Publish  $(e, n)$ , keep  $(d, n)$  private, discard  $p$  and  $q$ .

- **Encryption** with key  $(e, n)$ :

- 1 Break message  $M$  into blocks  $M_1 M_2 \dots$  with  $M_i < n$ .
- 2 Compute  $C_i = M_i^e \bmod n$ .

- **Decryption** with key  $(d, n)$ :

- 1 Compute  $M_i = C_i^d \bmod n$ .

# RSA algorithm: example

- Generation of a public/private key pair:

- 1 Generate  $p = 47$ ,  $q = 71$ .
- 2 Compute  $n = p \times q = 3337$  and  $\phi(n) = (p - 1) \times (q - 1) = 46 \times 70 = 3220$
- 3 Choose  $e = 79$  (randomly in the interval  $[1..3220]$ )
- 4 Compute  $d = 79^{-1} \bmod 3220 = 1019$ .
- 5 Public key  $(e, n) = (79, 3337)$ , private key  $(d, n) = (1019, 3337)$

- Encryption with key  $(e, n)$ :

- 1 Break message  $M$  into blocks  $M_1 M_2 \dots$  with  $M_i < n$ .
- 2 Compute  $C_i = M_i^e \bmod n$ .

- Decryption with key  $(d, n)$ :

- 1 Compute  $M_i = C_i^d \bmod n$ .

# RSA algorithm: example

- **Generation of a public/private key pair:**

- 1 Generate  $p = 47$ ,  $q = 71$ .
- 2 Compute  $n = p \times q = 3337$  and  $\phi(n) = (p - 1) \times (q - 1) = 46 \times 70 = 3220$
- 3 Choose  $e = 79$  (randomly in the interval  $[1..3220]$ )
- 4 Compute  $d = 79^{-1} \bmod 3220 = 1019$ .
- 5 Public key  $(e, n) = (79, 3337)$ , private key  $(d, n) = (1019, 3337)$

- **Encryption** with key  $(e, n) = (79, 3337)$ :

- 1 Break message  $M$  into blocks  $M_1 M_2 \dots$  with  $M_i < n$ .
- 2 Compute  $C_i = M_i^e \bmod n$ .

- **Decryption** with key  $(d, n)$ :

- 1 Compute  $M_i = C_i^d \bmod n$ .



# RSA algorithm: example

- **Generation of a public/private key pair:**

- 1 Generate  $p = 47$ ,  $q = 71$ .
- 2 Compute  $n = p \times q = 3337$  and  $\phi(n) = (p - 1) \times (q - 1) = 46 \times 70 = 3220$
- 3 Choose  $e = 79$  (randomly in the interval  $[1..3220]$ )
- 4 Compute  $d = 79^{-1} \bmod 3220 = 1019$ .
- 5 Public key  $(e, n) = (79, 3337)$ , private key  $(d, n) = (1019, 3337)$

- **Encryption** with key  $(e, n) = (79, 3337)$ :

- 1 Break message  $M$  into blocks, e.g., 688 232 687 966 668 ...
- 2 Compute  $C_i = M_i^e \bmod n$ .

- **Decryption** with key  $(d, n)$ :

- 1 Compute  $M_i = C_i^d \bmod n$ .

# RSA algorithm: example

- **Generation of a public/private key pair:**

- 1 Generate  $p = 47$ ,  $q = 71$ .
- 2 Compute  $n = p \times q = 3337$  and  $\phi(n) = (p - 1) \times (q - 1) = 46 \times 70 = 3220$
- 3 Choose  $e = 79$  (randomly in the interval  $[1..3220]$ )
- 4 Compute  $d = 79^{-1} \bmod 3220 = 1019$ .
- 5 Public key  $(e, n) = (79, 3337)$ , private key  $(d, n) = (1019, 3337)$

- **Encryption** with key  $(e, n) = (79, 3337)$ :

- 1 Break message  $M$  into blocks, e.g., 688 232 687 966 668 ...
- 2 Compute  $C_1 = 688^{79} \bmod 3337 = 1570$ ,  $C_2 = \dots$

- **Decryption** with key  $(d, n)$ :

- 1 Compute  $M_i = C_i^d \bmod n$ .

# RSA algorithm: example

- **Generation of a public/private key pair:**
  - ① Generate  $p = 47$ ,  $q = 71$ .
  - ② Compute  $n = p \times q = 3337$  and  $\phi(n) = (p - 1) \times (q - 1) = 46 \times 70 = 3220$
  - ③ Choose  $e = 79$  (randomly in the interval  $[1..3220]$ )
  - ④ Compute  $d = 79^{-1} \bmod 3220 = 1019$ .
  - ⑤ Public key  $(e, n) = (79, 3337)$ , private key  $(d, n) = (1019, 3337)$
- **Encryption with key  $(e, n) = (79, 3337)$ :**
  - ① Break message  $M$  into blocks, e.g., 688 232 687 966 668 ...
  - ② Compute  $C_1 = 688^{79} \bmod 3337 = 1570$ ,  $C_2 = \dots$
- **Decryption with key  $(d, n) = (1019, 3337)$ :**
  - ① Compute  $M_i = C_i^d \bmod n$ .

# RSA algorithm: example

- **Generation of a public/private key pair:**

- 1 Generate  $p = 47$ ,  $q = 71$ .
- 2 Compute  $n = p \times q = 3337$  and  $\phi(n) = (p - 1) \times (q - 1) = 46 \times 70 = 3220$
- 3 Choose  $e = 79$  (randomly in the interval  $[1..3220]$ )
- 4 Compute  $d = 79^{-1} \bmod 3220 = 1019$ .
- 5 Public key  $(e, n) = (79, 3337)$ , private key  $(d, n) = (1019, 3337)$

- **Encryption** with key  $(e, n) = (79, 3337)$ :

- 1 Break message  $M$  into blocks, e.g., 688 232 687 966 668 ...
- 2 Compute  $C_1 = 688^{79} \bmod 3337 = 1570$ ,  $C_2 = \dots$

- **Decryption** with key  $(d, n) = (1019, 3337)$ :

- 1 Compute  $M_1 = 1570^{1019} \bmod 3337 = 688$ ,  $M_2 = \dots$

# RSA: another example

Alice generates a public/private key pair.  
 Bob encrypts using Alice's public key.  
 Alice decrypts using her private key.

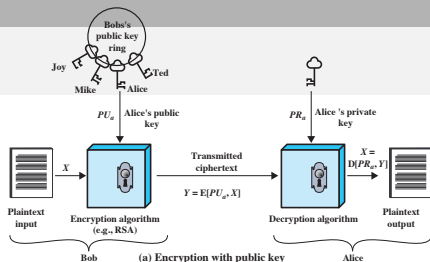
- Keys can be generated as follows:

- Select two prime numbers,  $p = 17$  and  $q = 11$ .
- Calculate  $n = p \times q = 17 \times 11 = 187$ .
- Calculate  $\phi(n) = (p - 1) \times (q - 1) = 16 \times 10 = 160$ .
- Select  $e$  such that  $e$  is relatively prime to  $\phi(n) = 160$  and less than  $\phi(n)$ ; we choose  $e = 7$ .
- Determine  $d$  (e.g., using Extended Euclid's algorithm) such that  $d \times e = 1 \bmod 160$  and  $d < 160$ .

The correct value is  $d = 23$ , because  $23 \times 7 = 161 = (1 \times 160) + 1$ .

**Note:** the  $d$  here is the private key, not to be confused with the  $d$  that is the greatest common divisor in the Extended Euclid's algorithm.

Resulting keys are public key  $PU_a = (e, n) = (7, 187)$  and private key  $PR_a = (d, n) = (23, 187)$ .



## RSA algorithm: another example (cont.)

- We have  $n = 187$ ,  $\phi(n) = 160$ ,  $e = 7$ .

## RSA algorithm: another example (cont.)

- We have  $n = 187$ ,  $\phi(n) = 160$ ,  $e = 7$ .
- $d$  can be computed using the Extended Euclid algorithm

$$D = \gcd(A, B) = A \times x + B \times y$$

as follows:

# RSA algorithm: another example (cont.)

- We have  $n = 187$ ,  $\phi(n) = 160$ ,  $e = 7$ .
- $d$  can be computed using the Extended Euclid algorithm

$$D = \gcd(A, B) = A \times x + B \times y$$

as follows:

- Since  $d$  is such that  $e \times d =_{\phi(n)} 1$ , we can compute

$$1 = \gcd(\phi(n), e) = \phi(n) \times x + e \times d$$

- It must be  $1 < d < \phi(n)$ , so when  $y < 0$  we simply reason modulo  $\phi(n)$ .



# RSA algorithm: another example (cont.)

- We have  $n = 187$ ,  $\phi(n) = 160$ ,  $e = 7$ .
- $d$  can be computed using the Extended Euclid algorithm

$$D = \gcd(A, B) = A \times x + B \times y$$

as follows:

- Since  $d$  is such that  $e \times d =_{\phi(n)} 1$ , we can compute

$$1 = \gcd(\phi(n), e) = \phi(n) \times x + e \times d$$

- It must be  $1 < d < \phi(n)$ , so when  $y < 0$  we simply reason modulo  $\phi(n)$ .
- In this case:

# RSA algorithm: another example (cont.)

- We have  $n = 187$ ,  $\phi(n) = 160$ ,  $e = 7$ .
- $d$  can be computed using the Extended Euclid algorithm

$$D = \gcd(A, B) = A \times x + B \times y$$

as follows:

- Since  $d$  is such that  $e \times d =_{\phi(n)} 1$ , we can compute

$$1 = \gcd(\phi(n), e) = \phi(n) \times x + e \times d$$

- It must be  $1 < d < \phi(n)$ , so when  $y < 0$  we simply reason modulo  $\phi(n)$ .
- In this case:

$$1 = \gcd(160, 7) = 160 \times x + 7 \times y$$

# RSA algorithm: another example (cont.)

- We have  $n = 187$ ,  $\phi(n) = 160$ ,  $e = 7$ .
- $d$  can be computed using the Extended Euclid algorithm

$$D = \gcd(A, B) = A \times x + B \times y$$

as follows:

- Since  $d$  is such that  $e \times d =_{\phi(n)} 1$ , we can compute

$$1 = \gcd(\phi(n), e) = \phi(n) \times x + e \times d$$

- It must be  $1 < d < \phi(n)$ , so when  $y < 0$  we simply reason modulo  $\phi(n)$ .
- In this case:

$$1 = \gcd(160, 7) = 160 \times x + 7 \times y$$

$A$	$B$	$\lfloor A/B \rfloor$	$D$	$x$	$y$
160	7	22	1	-1	23
7	6	1	1	1	-1
6	1	6	1	0	1
1	0	—	1	1	0

# RSA algorithm: another example (cont.)

- We have  $n = 187$ ,  $\phi(n) = 160$ ,  $e = 7$ .
- $d$  can be computed using the Extended Euclid algorithm

$$D = \gcd(A, B) = A \times x + B \times y$$

as follows:

- Since  $d$  is such that  $e \times d =_{\phi(n)} 1$ , we can compute

$$1 = \gcd(\phi(n), e) = \phi(n) \times x + e \times d$$

- It must be  $1 < d < \phi(n)$ , so when  $y < 0$  we simply reason modulo  $\phi(n)$ .
- In this case:

	$A$	$B$	$\lfloor A/B \rfloor$	$D$	$x$	$y$
	160	7	22	1	-1	23
$1 = \gcd(160, 7) = 160 \times x + 7 \times y$	7	6	1	1	1	-1
	6	1	6	1	0	1
	1	0	-	1	1	0

That is,  $1 = \gcd(160, 7) = 160 \times (-1) + 7 \times 23$ .

# RSA algorithm: another example (cont.)

- We have  $n = 187$ ,  $\phi(n) = 160$ ,  $e = 7$ .
- $d$  can be computed using the Extended Euclid algorithm

$$D = \gcd(A, B) = A \times x + B \times y$$

as follows:

- Since  $d$  is such that  $e \times d =_{\phi(n)} 1$ , we can compute

$$1 = \gcd(\phi(n), e) = \phi(n) \times x + e \times d$$

- It must be  $1 < d < \phi(n)$ , so when  $y < 0$  we simply reason modulo  $\phi(n)$ .
- In this case:

$$1 = \gcd(160, 7) = 160 \times x + 7 \times y$$

$A$	$B$	$\lfloor A/B \rfloor$	$D$	$x$	$y$
160	7	22	1	-1	23
7	6	1	1	1	-1
6	1	6	1	0	1
1	0	-	1	1	0

That is,  $1 = \gcd(160, 7) = 160 \times (-1) + 7 \times 23$ . Check:  $7 \times 23 =_{160} 1$ .

# RSA algorithm: another example (cont.)

- We have  $n = 187$ ,  $\phi(n) = 160$ ,  $e = 7$ .
- $d$  can be computed using the Extended Euclid algorithm

$$D = \gcd(A, B) = A \times x + B \times y$$

as follows:

- Since  $d$  is such that  $e \times d =_{\phi(n)} 1$ , we can compute

$$1 = \gcd(\phi(n), e) = \phi(n) \times x + e \times d$$

- It must be  $1 < d < \phi(n)$ , so when  $y < 0$  we simply reason modulo  $\phi(n)$ .
- In this case:

$$1 = \gcd(160, 7) = 160 \times x + 7 \times y$$

$A$	$B$	$\lfloor A/B \rfloor$	$D$	$x$	$y$
160	7	22	1	-1	23
7	6	1	1	1	-1
6	1	6	1	0	1
1	0	-	1	1	0

That is,  $1 = \gcd(160, 7) = 160 \times (-1) + 7 \times 23$ . Check:  $7 \times 23 =_{160} 1$ .

So, we can pick  $d = y = 23$ .

# RSA algorithm: another example (cont.)

- We have  $n = 187$ ,  $\phi(n) = 160$ .
- Note that if we had picked  $e = 23$ , then  $d = 7$ .
  - Since  $d$  is such that  $e \times d = \phi(n) \cdot 1$ , we can compute

$$1 = \gcd(\phi(n), e) = \phi(n) \times x + e \times d$$

- In this case:

	$A$	$B$	$\lfloor A/B \rfloor$	$D$	$x$	$y$
	160	23	6	1	-1	7
$1 = \gcd(160, 23) = 160 \times x + 23 \times y$	23	22	1	1	1	-1
	22	1	22	1	0	1
	1	0	-	1	1	0

That is,  $1 = \gcd(160, 23) = 160 \times (-1) + 23 \times 7$ . Check:  $23 \times 7 =_{160} 1$ .

So, we can pick  $d = y = 7$ .

# RSA algorithm: a remark on the computed $d$

It must be  $1 < d < \phi(n)$ , so when  $y < 0$  we simply reason modulo  $\phi(n)$ .

Consider, for example,  $\phi(n) = 220$  and  $e = 3$ :

$$1 = \gcd(\phi(n), e) = \phi(n) \times x + e \times d = \gcd(220, 3) = 220 \times x + 3 \times y$$

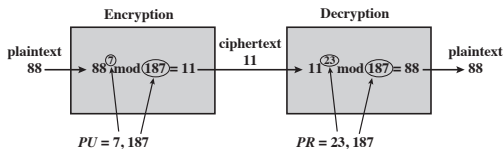
$A$	$B$	$\lfloor A/B \rfloor$	$D$	$x$	$y$
220	3	73	1	1	-73
3	1	3	1	0	1
1	0	—	1	1	0

That is,  $1 = \gcd(220, 3) = 220 \times 1 + 3 \times (-73) = 220 - 219$ .

So, we can pick  $d = 147$ , i.e.,  $-73 \bmod 220$ .

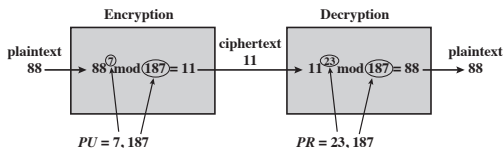


# RSA algorithm: another example (cont.)



- Let's continue the previous example.
- To encrypt a plaintext input  $M = 88$ , we need to calculate  $C = M^e \bmod n = 88^7 \bmod 187 = 11$ .
- We can do this by exploiting properties of modular arithmetic:
  - $88^7 \bmod 187 = ((88^4 \bmod 187) \times (88^2 \bmod 187) \times (88^1 \bmod 187)) \bmod 187$
  - $88^1 \bmod 187 = 88$
  - $88^2 \bmod 187 = 7744 \bmod 187 = 77$
  - $88^4 \bmod 187 = 59,969,536 \bmod 187 = 132$
  - $88^7 \bmod 187 = (88 \times 77 \times 132) \bmod 187 = 894,432 \bmod 187 = 11$

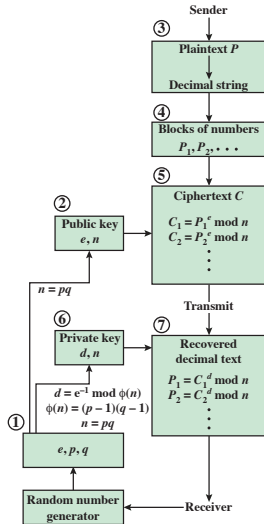
# RSA algorithm: another example (cont.)



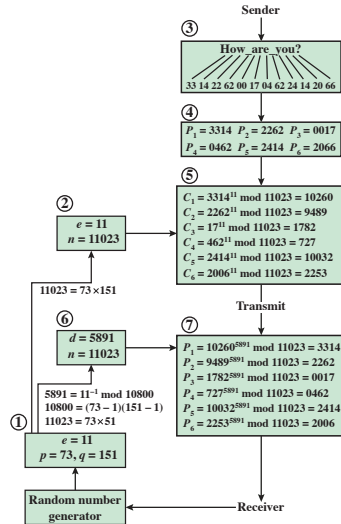
- For decryption, we calculate  $M = C^d \bmod n = 11^{23} \bmod 187$ :
  - $11^{23} \bmod 187 = ((11^1 \bmod 187) \times (11^2 \bmod 187) \times (11^4 \bmod 187) \times (11^8 \bmod 187) \times (11^8 \bmod 187)) \bmod 187$
  - $11^1 \bmod 187 = 11$
  - $11^2 \bmod 187 = 121$
  - $11^4 \bmod 187 = 14, 641 \bmod 187 = 55$
  - $11^8 \bmod 187 = 214, 358, 881 \bmod 187 = 33$
  - $11^{23} \bmod 187 = (11 \times 121 \times 55 \times 33 \times 33) \bmod 187 = 79, 720, 245 \bmod 187 = 88$

# Use of RSA to process multiple blocks of data: example

- In this simple example, plaintext is an alphanumeric string.
- Each plaintext symbol is assigned a unique code of 2 decimal digits (e.g., a = 00, A = 26).
- A plaintext block consists of 4 decimal digits, or 2 alphanumeric characters.
- Circled numbers indicate order in which operations are performed.



(a) General approach



(b) Example

# RSA Security

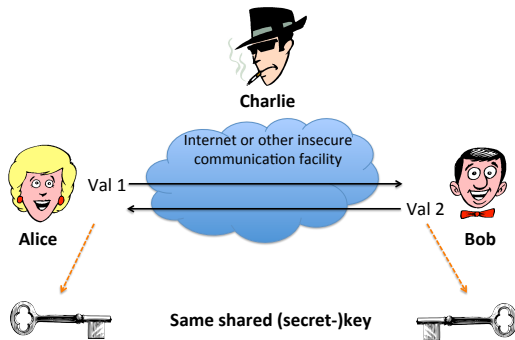
- Computation of secret  $d$  given  $(e, n)$ .
  - As difficult as factorization. If we can factor  $n = p \times q$  then we can compute  $\phi(n) = (p - 1) \times (q - 1)$  and hence  $d = e^{-1} \bmod \phi(n)$ .
  - No known polynomial time algorithm.  
But given progress in factoring,  $n$  should have at least 1024 bits.
- Computation of  $M_i$ , given  $C_i$ , and  $(e, n)$ .
  - Unclear (= no proof) whether it is necessary to compute  $d$ , i.e., to factorize  $n$ .

Hence: Progress in number theory could make RSA insecure.

# Table of contents I

- 1 Introduction
- 2 Some number theory and Euclid's algorithm
- 3 Euclid's algorithm and Extended Euclid's algorithm
- 4 The RSA Algorithm
- 5 Diffie-Hellman key exchange**
- 6 Zero-knowledge protocols

# Diffie-Hellman key exchange: in a nutshell



- A simple public-key algorithm that enables two users to establish a secret key using a public-key scheme based on discrete logarithms.
- The protocol is secure only if the authenticity of the two participants can be established.

# Background on discrete logarithms

- A **primitive root**  $s$  of a prime number  $p$  is a number whose powers generate  $1, \dots, p-1$ .  
So  $s^0 \bmod p, s^1 \bmod p, s^2 \bmod p, \dots, s^{p-1} \bmod p$  are distinct, i.e., a permutation of 1 through  $p-1$ . Hence:

$$\forall b \in \mathbb{Z}. \exists i \in \{0, \dots, p-1\}. b = s^i \bmod p$$

In words: for any integer  $b$  and a primitive root  $s$  of prime number  $p$ , we can find a unique exponent  $i$  such that

$$b = s^i \bmod p$$

where  $0 \leq i \leq (p-1)$ .

$i$  is called the **discrete logarithm** of  $b$  for base  $s$ , mod  $p$ .

- Computing discrete logarithms appears infeasible today.

# Diffie-Hellman key exchange

- 1 Principals share a prime number  $q$  and an integer  $\alpha$  that is a primitive root of  $q$ .



# Diffie-Hellman key exchange

- 1 Principals share a prime number  $q$  and an integer  $\alpha$  that is a primitive root of  $q$ . Both  $q$  and  $\alpha$  may be public, or  $A$  could send them in the first message.

# Diffie-Hellman key exchange

- 1 Principals share a prime number  $q$  and an integer  $\alpha$  that is a primitive root of  $q$ . Both  $q$  and  $\alpha$  may be public, or  $A$  could send them in the first message.
- 2  $A$  and  $B$  generate random numbers  $X_A$  and  $X_B$  (respectively) both less than  $q$ .  
 $X_A$  and  $X_B$  are the **private keys**.

# Diffie-Hellman key exchange

- 1 Principals share a prime number  $q$  and an integer  $\alpha$  that is a primitive root of  $q$ . Both  $q$  and  $\alpha$  may be public, or  $A$  could send them in the first message.
- 2  $A$  and  $B$  generate random numbers  $X_A$  and  $X_B$  (respectively) both less than  $q$ .  
 $X_A$  and  $X_B$  are the **private keys**.
- 3  $A$  computes  $Y_A = \alpha^{X_A} \bmod q$ ,  $B$  computes  $Y_B = \alpha^{X_B} \bmod q$ .  
 $Y_A$  and  $Y_B$  are the **public keys** (a.k.a. “Diffie-Hellman half keys”).

# Diffie-Hellman key exchange

- 1 Principals share a prime number  $q$  and an integer  $\alpha$  that is a primitive root of  $q$ . Both  $q$  and  $\alpha$  may be public, or  $A$  could send them in the first message.
- 2  $A$  and  $B$  generate random numbers  $X_A$  and  $X_B$  (respectively) both less than  $q$ .  
 $X_A$  and  $X_B$  are the **private keys**.
- 3  $A$  computes  $Y_A = \alpha^{X_A} \bmod q$ ,  $B$  computes  $Y_B = \alpha^{X_B} \bmod q$ .  
 $Y_A$  and  $Y_B$  are the **public keys** (a.k.a. “Diffie-Hellman half keys”).
- 4  $A$  and  $B$  exchange  $Y_A$  and  $Y_B$ .

# Diffie-Hellman key exchange

- ➊ Principals share a prime number  $q$  and an integer  $\alpha$  that is a primitive root of  $q$ . Both  $q$  and  $\alpha$  may be public, or  $A$  could send them in the first message.
- ➋  $A$  and  $B$  generate random numbers  $X_A$  and  $X_B$  (respectively) both less than  $q$ .  
 $X_A$  and  $X_B$  are the **private keys**.
- ➌  $A$  computes  $Y_A = \alpha^{X_A} \bmod q$ ,  $B$  computes  $Y_B = \alpha^{X_B} \bmod q$ .  
 $Y_A$  and  $Y_B$  are the **public keys** (a.k.a. “Diffie-Hellman half keys”).
- ➍  $A$  and  $B$  exchange  $Y_A$  and  $Y_B$ .
- ➎  $A$  computes  $K_A = Y_B^{X_A} \bmod q$ ,  $B$  computes  $K_B = Y_A^{X_B} \bmod q$ .

# Diffie-Hellman key exchange

- ① Principals share a prime number  $q$  and an integer  $\alpha$  that is a primitive root of  $q$ . Both  $q$  and  $\alpha$  may be public, or  $A$  could send them in the first message.
- ②  $A$  and  $B$  generate random numbers  $X_A$  and  $X_B$  (respectively) both less than  $q$ .  
 $X_A$  and  $X_B$  are the **private keys**.
- ③  $A$  computes  $Y_A = \alpha^{X_A} \bmod q$ ,  $B$  computes  $Y_B = \alpha^{X_B} \bmod q$ .  
 $Y_A$  and  $Y_B$  are the **public keys** (a.k.a. “Diffie-Hellman half keys”).
- ④  $A$  and  $B$  exchange  $Y_A$  and  $Y_B$ .
- ⑤  $A$  computes  $K_A = Y_B^{X_A} \bmod q$ ,  $B$  computes  $K_B = Y_A^{X_B} \bmod q$ .  
 Keys are equal, i.e.,  $K_A = K_B$ :

$$K_A = Y_B^{X_A} \bmod q$$

# Diffie-Hellman key exchange

- ① Principals share a prime number  $q$  and an integer  $\alpha$  that is a primitive root of  $q$ . Both  $q$  and  $\alpha$  may be public, or  $A$  could send them in the first message.
- ②  $A$  and  $B$  generate random numbers  $X_A$  and  $X_B$  (respectively) both less than  $q$ .  
 $X_A$  and  $X_B$  are the **private keys**.
- ③  $A$  computes  $Y_A = \alpha^{X_A} \bmod q$ ,  $B$  computes  $Y_B = \alpha^{X_B} \bmod q$ .  
 $Y_A$  and  $Y_B$  are the **public keys** (a.k.a. “Diffie-Hellman half keys”).
- ④  $A$  and  $B$  exchange  $Y_A$  and  $Y_B$ .
- ⑤  $A$  computes  $K_A = Y_B^{X_A} \bmod q$ ,  $B$  computes  $K_B = Y_A^{X_B} \bmod q$ .  
 Keys are equal, i.e.,  $K_A = K_B$ :

$$\begin{aligned}
 K_A &= Y_B^{X_A} \bmod q \\
 &= (\alpha^{X_B} \bmod q)^{X_A} \bmod q = (\alpha^{X_B})^{X_A} \bmod q
 \end{aligned}$$

# Diffie-Hellman key exchange

- ① Principals share a prime number  $q$  and an integer  $\alpha$  that is a primitive root of  $q$ . Both  $q$  and  $\alpha$  may be public, or  $A$  could send them in the first message.
- ②  $A$  and  $B$  generate random numbers  $X_A$  and  $X_B$  (respectively) both less than  $q$ .  
 $X_A$  and  $X_B$  are the **private keys**.
- ③  $A$  computes  $Y_A = \alpha^{X_A} \bmod q$ ,  $B$  computes  $Y_B = \alpha^{X_B} \bmod q$ .  
 $Y_A$  and  $Y_B$  are the **public keys** (a.k.a. “Diffie-Hellman half keys”).
- ④  $A$  and  $B$  exchange  $Y_A$  and  $Y_B$ .
- ⑤  $A$  computes  $K_A = Y_B^{X_A} \bmod q$ ,  $B$  computes  $K_B = Y_A^{X_B} \bmod q$ .  
 Keys are equal, i.e.,  $K_A = K_B$ :

$$\begin{aligned}
 K_A &= Y_B^{X_A} \bmod q \\
 &= (\alpha^{X_B} \bmod q)^{X_A} \bmod q = (\alpha^{X_B})^{X_A} \bmod q \\
 &= \alpha^{X_A X_B} \bmod q = (\alpha^{X_A})^{X_B} \bmod q
 \end{aligned}$$



# Diffie-Hellman key exchange

- ① Principals share a prime number  $q$  and an integer  $\alpha$  that is a primitive root of  $q$ . Both  $q$  and  $\alpha$  may be public, or  $A$  could send them in the first message.
- ②  $A$  and  $B$  generate random numbers  $X_A$  and  $X_B$  (respectively) both less than  $q$ .  
 $X_A$  and  $X_B$  are the **private keys**.
- ③  $A$  computes  $Y_A = \alpha^{X_A} \bmod q$ ,  $B$  computes  $Y_B = \alpha^{X_B} \bmod q$ .  
 $Y_A$  and  $Y_B$  are the **public keys** (a.k.a. “Diffie-Hellman half keys”).
- ④  $A$  and  $B$  exchange  $Y_A$  and  $Y_B$ .
- ⑤  $A$  computes  $K_A = Y_B^{X_A} \bmod q$ ,  $B$  computes  $K_B = Y_A^{X_B} \bmod q$ .  
 Keys are equal, i.e.,  $K_A = K_B$ :

$$\begin{aligned}
 K_A &= Y_B^{X_A} \bmod q \\
 &= (\alpha^{X_B} \bmod q)^{X_A} \bmod q = (\alpha^{X_B})^{X_A} \bmod q \\
 &= \alpha^{X_A X_B} \bmod q = (\alpha^{X_A})^{X_B} \bmod q \\
 &= (\alpha^{X_A} \bmod q)^{X_B} \bmod q = Y_A^{X_B} \bmod q = K_B
 \end{aligned}$$

# Diffie-Hellman key exchange: ingredients

## Global Public Elements

$q$  prime number  
 $\alpha$   $\alpha < q$  and  $\alpha$  a primitive root of  $q$

## User A Key Generation

Select private  $X_A$   $X_A < q$   
 Calculate public  $Y_A$   $Y_A = \alpha^{X_A} \bmod q$

## User B Key Generation

Select private  $X_B$   $X_B < q$   
 Calculate public  $Y_B$   $Y_B = \alpha^{X_B} \bmod q$

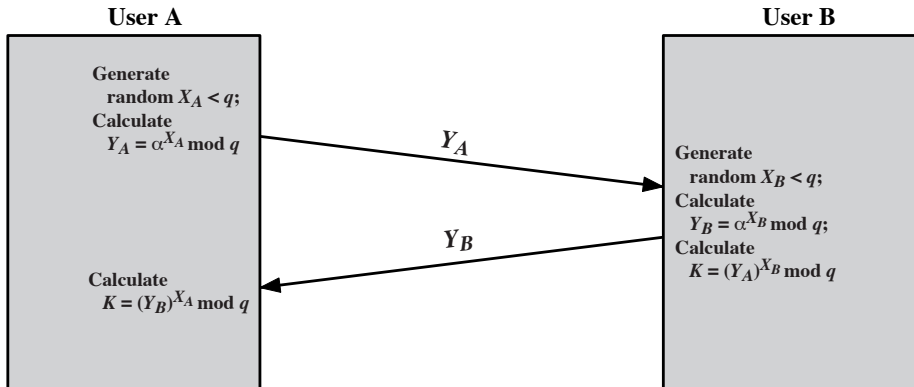
## Calculation of Secret Key by User A

$$K = (Y_B)^{X_A} \bmod q$$

## Calculation of Secret Key by User B

$$K = (Y_A)^{X_B} \bmod q$$

# Diffie-Hellman key exchange: figure



# Diffie-Hellman key exchange: strengths

- The shared secret key is never transmitted (not even in encrypted form)... it is created “out of nothing”!
  - $Y_A = \alpha^{X_A} \bmod q$  and  $Y_B = \alpha^{X_B} \bmod q$  are the public keys.
  - $X_A$  and  $X_B$  are the private keys.
  - Because  $X_A$  and  $X_B$  are private, an adversary  $C$  only has the following ingredients to work with:  $q$ ,  $\alpha$ ,  $Y_A$  and  $Y_B$ .
  - Thus,  $C$  must take a discrete logarithm to determine the key. For example, to determine the private key of user  $B$ ,  $C$  must compute

$$X_B = \text{dlog}_{\alpha, q}(Y_B)$$

- Security of Diffie-Hellman key exchange lies in the fact that
  - it is relatively easy to calculate exponentials modulo a prime, but
  - it is very difficult to calculate discrete logarithms (e.g., it is considered infeasible for large primes).

Security depends on the difficulty of computing discrete logarithms.

## Diffie-Hellman: example (calculating the secret key)

- $A$  and  $B$  choose prime number  $q = 353$  and  $\alpha = 3$  (which is one of the primitive roots of 353).

## Diffie-Hellman: example (calculating the secret key)

- $A$  and  $B$  choose prime number  $q = 353$  and  $\alpha = 3$  (which is one of the primitive roots of 353).
- $A$  and  $B$  select private keys  $X_A = 97$  and  $X_B = 233$ .

## Diffie-Hellman: example (calculating the secret key)

- $A$  and  $B$  choose prime number  $q = 353$  and  $\alpha = 3$  (which is one of the primitive roots of 353).
- $A$  and  $B$  select private keys  $X_A = 97$  and  $X_B = 233$ .
- Each computes its public key:

# Diffie-Hellman: example (calculating the secret key)

- $A$  and  $B$  choose prime number  $q = 353$  and  $\alpha = 3$  (which is one of the primitive roots of 353).
- $A$  and  $B$  select private keys  $X_A = 97$  and  $X_B = 233$ .
- Each computes its public key:
  - $A$  computes  $Y_A = \alpha^{X_A} \bmod q = 3^{97} \bmod 353 = 40$ .



## Diffie-Hellman: example (calculating the secret key)

- $A$  and  $B$  choose prime number  $q = 353$  and  $\alpha = 3$  (which is one of the primitive roots of 353).
- $A$  and  $B$  select private keys  $X_A = 97$  and  $X_B = 233$ .
- Each computes its public key:
  - $A$  computes  $Y_A = \alpha^{X_A} \bmod q = 3^{97} \bmod 353 = 40$ .
  - $B$  computes  $Y_B = \alpha^{X_B} \bmod q = 3^{233} \bmod 353 = 248$ .

## Diffie-Hellman: example (calculating the secret key)

- $A$  and  $B$  choose prime number  $q = 353$  and  $\alpha = 3$  (which is one of the primitive roots of 353).
- $A$  and  $B$  select private keys  $X_A = 97$  and  $X_B = 233$ .
- Each computes its public key:
  - $A$  computes  $Y_A = \alpha^{X_A} \bmod q = 3^{97} \bmod 353 = 40$ .
  - $B$  computes  $Y_B = \alpha^{X_B} \bmod q = 3^{233} \bmod 353 = 248$ .
- After they exchange public keys, each can compute the common secret key  $K$ :

# Diffie-Hellman: example (calculating the secret key)

- $A$  and  $B$  choose prime number  $q = 353$  and  $\alpha = 3$  (which is one of the primitive roots of 353).
- $A$  and  $B$  select private keys  $X_A = 97$  and  $X_B = 233$ .
- Each computes its public key:
  - $A$  computes  $Y_A = \alpha^{X_A} \bmod q = 3^{97} \bmod 353 = 40$ .
  - $B$  computes  $Y_B = \alpha^{X_B} \bmod q = 3^{233} \bmod 353 = 248$ .
- After they exchange public keys, each can compute the common secret key  $K$ :
  - $A$  computes  $K = (Y_B)^{X_A} \bmod 353 = 248^{97} \bmod 353 = 160$ .

# Diffie-Hellman: example (calculating the secret key)

- $A$  and  $B$  choose prime number  $q = 353$  and  $\alpha = 3$  (which is one of the primitive roots of 353).
- $A$  and  $B$  select private keys  $X_A = 97$  and  $X_B = 233$ .
- Each computes its public key:
  - $A$  computes  $Y_A = \alpha^{X_A} \bmod q = 3^{97} \bmod 353 = 40$ .
  - $B$  computes  $Y_B = \alpha^{X_B} \bmod q = 3^{233} \bmod 353 = 248$ .
- After they exchange public keys, each can compute the common secret key  $K$ :
  - $A$  computes  $K = (Y_B)^{X_A} \bmod 353 = 248^{97} \bmod 353 = 160$ .
  - $B$  computes  $K = (Y_A)^{X_B} \bmod 353 = 40^{233} \bmod 353 = 160$ .

# Diffie-Hellman: example (calculating the secret key)

- $A$  and  $B$  choose prime number  $q = 353$  and  $\alpha = 3$  (which is one of the primitive roots of 353).
- $A$  and  $B$  select private keys  $X_A = 97$  and  $X_B = 233$ .
- Each computes its public key:
  - $A$  computes  $Y_A = \alpha^{X_A} \bmod q = 3^{97} \bmod 353 = 40$ .
  - $B$  computes  $Y_B = \alpha^{X_B} \bmod q = 3^{233} \bmod 353 = 248$ .
- After they exchange public keys, each can compute the common secret key  $K$ :
  - $A$  computes  $K = (Y_B)^{X_A} \bmod 353 = 248^{97} \bmod 353 = 160$ .
  - $B$  computes  $K = (Y_A)^{X_B} \bmod 353 = 40^{233} \bmod 353 = 160$ .
- Now they can use the symmetric key  $K$  to encrypt the messages they want to exchange.

## Diffie-Hellman: example (attacking the key)

- Attacker  $C$  knows:  $q = 353$ ,  $\alpha = 3$ ,  $Y_A = 40$  and  $Y_B = 248$ .
  - In this simple example, it would be possible by brute force to determine the secret key  $K = 160$ .
  - In particular,  $C$  can determine  $K$  by discovering a solution to
    - the equation  $3^a \bmod 353 = 40$  or
    - the equation  $3^b \bmod 353 = 248$ .
  - Brute-force approach: calculate powers of 3 mod 353, stopping when the result equals either 40 or 248.
    - Desired answer is reached with the exponent value of 97, which provides  $3^{97} \bmod 353 = 40$ .
- With larger numbers, the problem becomes impractical.

## Diffie-Hellman key exchange: weakness

Keys are **unauthenticated** and thus Diffie-Hellman key exchange is vulnerable to the following **man-in-the-middle attack**:

- 0 Attacker  $C$  prepares for the attack by generating two random private keys  $X_{C_1}$  and  $X_{C_2}$  and then computing the corresponding public keys  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  and  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  (since  $\alpha$  and  $q$  are public).

# Diffie-Hellman key exchange: weakness

Keys are **unauthenticated** and thus Diffie-Hellman key exchange is vulnerable to the following **man-in-the-middle attack**:

- 0 Attacker  $C$  prepares for the attack by generating two random private keys  $X_{C_1}$  and  $X_{C_2}$  and then computing the corresponding public keys  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  and  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  (since  $\alpha$  and  $q$  are public).
- 1  $A$  generates  $X_A$  and transmits  $Y_A = \alpha^{X_A} \bmod q$  to  $B$ .



# Diffie-Hellman key exchange: weakness

Keys are **unauthenticated** and thus Diffie-Hellman key exchange is vulnerable to the following **man-in-the-middle attack**:

- 0 Attacker  $C$  prepares for the attack by generating two random private keys  $X_{C_1}$  and  $X_{C_2}$  and then computing the corresponding public keys  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  and  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  (since  $\alpha$  and  $q$  are public).
- 1  $A$  generates  $X_A$  and transmits  $Y_A = \alpha^{X_A} \bmod q$  to  $B$ .
- 2  $C$  intercepts  $Y_A$  and transmits  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  to  $B$ .  $C$  also calculates  $K_2 = (Y_A)^{X_{C_2}} \bmod q = (\alpha^{X_A} \bmod q)^{X_{C_2}} \bmod q = \alpha^{X_A X_{C_2}} \bmod q$ .

# Diffie-Hellman key exchange: weakness

Keys are **unauthenticated** and thus Diffie-Hellman key exchange is vulnerable to the following **man-in-the-middle attack**:

- 0 Attacker  $C$  prepares for the attack by generating two random private keys  $X_{C_1}$  and  $X_{C_2}$  and then computing the corresponding public keys  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  and  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  (since  $\alpha$  and  $q$  are public).
- 1  $A$  generates  $X_A$  and transmits  $Y_A = \alpha^{X_A} \bmod q$  to  $B$ .
- 2  $C$  intercepts  $Y_A$  and transmits  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  to  $B$ .  $C$  also calculates  $K_2 = (Y_A)^{X_{C_2}} \bmod q = (\alpha^{X_A} \bmod q)^{X_{C_2}} \bmod q = \alpha^{X_A X_{C_2}} \bmod q$ .
- 3  $B$  receives  $Y_{C_1}$ , generates  $X_B$  and calculates  $K_B = (Y_{C_1})^{X_B} \bmod q = \alpha^{X_{C_1} X_B} \bmod q$ .

# Diffie-Hellman key exchange: weakness

Keys are **unauthenticated** and thus Diffie-Hellman key exchange is vulnerable to the following **man-in-the-middle attack**:

- 0 Attacker  $C$  prepares for the attack by generating two random private keys  $X_{C_1}$  and  $X_{C_2}$  and then computing the corresponding public keys  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  and  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  (since  $\alpha$  and  $q$  are public).
- 1  $A$  generates  $X_A$  and transmits  $Y_A = \alpha^{X_A} \bmod q$  to  $B$ .
- 2  $C$  intercepts  $Y_A$  and transmits  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  to  $B$ .  $C$  also calculates  $K_2 = (Y_A)^{X_{C_2}} \bmod q = (\alpha^{X_A} \bmod q)^{X_{C_2}} \bmod q = \alpha^{X_A X_{C_2}} \bmod q$ .
- 3  $B$  receives  $Y_{C_1}$ , generates  $X_B$  and calculates  $K_B = (Y_{C_1})^{X_B} \bmod q = \alpha^{X_{C_1} X_B} \bmod q$ .
- 4  $B$  transmits  $Y_B = \alpha^{X_B} \bmod q$  to  $A$ .

# Diffie-Hellman key exchange: weakness

Keys are **unauthenticated** and thus Diffie-Hellman key exchange is vulnerable to the following **man-in-the-middle attack**:

- ① Attacker  $C$  prepares for the attack by generating two random private keys  $X_{C_1}$  and  $X_{C_2}$  and then computing the corresponding public keys  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  and  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  (since  $\alpha$  and  $q$  are public).
- ②  $A$  generates  $X_A$  and transmits  $Y_A = \alpha^{X_A} \bmod q$  to  $B$ .
- ③  $C$  intercepts  $Y_A$  and transmits  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  to  $B$ .  $C$  also calculates  $K_2 = (Y_A)^{X_{C_2}} \bmod q = (\alpha^{X_A} \bmod q)^{X_{C_2}} \bmod q = \alpha^{X_A X_{C_2}} \bmod q$ .
- ④  $B$  receives  $Y_{C_1}$ , generates  $X_B$  and calculates  $K_B = (Y_{C_1})^{X_B} \bmod q = \alpha^{X_{C_1} X_B} \bmod q$ .
- ⑤  $B$  transmits  $Y_B = \alpha^{X_B} \bmod q$  to  $A$ .
- ⑥  $C$  intercepts  $Y_B$  and transmits  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  to  $A$ .  $C$  also calculates  $K_1 = (Y_B)^{X_{C_1}} \bmod q = (\alpha^{X_B} \bmod q)^{X_{C_1}} \bmod q = \alpha^{X_B X_{C_1}} \bmod q = K_B$ .

# Diffie-Hellman key exchange: weakness

Keys are **unauthenticated** and thus Diffie-Hellman key exchange is vulnerable to the following **man-in-the-middle attack**:

- ① Attacker  $C$  prepares for the attack by generating two random private keys  $X_{C_1}$  and  $X_{C_2}$  and then computing the corresponding public keys  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  and  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  (since  $\alpha$  and  $q$  are public).
- ②  $A$  generates  $X_A$  and transmits  $Y_A = \alpha^{X_A} \bmod q$  to  $B$ .
- ③  $C$  intercepts  $Y_A$  and transmits  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  to  $B$ .  $C$  also calculates  $K_2 = (Y_A)^{X_{C_2}} \bmod q = (\alpha^{X_A} \bmod q)^{X_{C_2}} \bmod q = \alpha^{X_A X_{C_2}} \bmod q$ .
- ④  $B$  receives  $Y_{C_1}$ , generates  $X_B$  and calculates  $K_B = (Y_{C_1})^{X_B} \bmod q = \alpha^{X_{C_1} X_B} \bmod q$ .
- ⑤  $B$  transmits  $Y_B = \alpha^{X_B} \bmod q$  to  $A$ .
- ⑥  $C$  intercepts  $Y_B$  and transmits  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  to  $A$ .  $C$  also calculates  $K_1 = (Y_B)^{X_{C_1}} \bmod q = (\alpha^{X_B} \bmod q)^{X_{C_1}} \bmod q = \alpha^{X_B X_{C_1}} \bmod q = K_B$ .
- ⑦  $A$  receives  $Y_{C_2}$  and calculates  $K_A = (Y_{C_2})^{X_A} \bmod q = \alpha^{X_{C_2} X_A} \bmod q = K_2$ .

# Diffie-Hellman key exchange: weakness

Keys are **unauthenticated** and thus Diffie-Hellman key exchange is vulnerable to the following **man-in-the-middle attack**:

- ① Attacker  $C$  prepares for the attack by generating two random private keys  $X_{C_1}$  and  $X_{C_2}$  and then computing the corresponding public keys  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  and  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  (since  $\alpha$  and  $q$  are public).
- ②  $A$  generates  $X_A$  and transmits  $Y_A = \alpha^{X_A} \bmod q$  to  $B$ .
- ③  $C$  intercepts  $Y_A$  and transmits  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  to  $B$ .  $C$  also calculates  $K_2 = (Y_A)^{X_{C_2}} \bmod q = (\alpha^{X_A} \bmod q)^{X_{C_2}} \bmod q = \alpha^{X_A X_{C_2}} \bmod q$ .
- ④  $B$  receives  $Y_{C_1}$ , generates  $X_B$  and calculates  $K_B = (Y_{C_1})^{X_B} \bmod q = \alpha^{X_{C_1} X_B} \bmod q$ .
- ⑤  $B$  transmits  $Y_B = \alpha^{X_B} \bmod q$  to  $A$ .
- ⑥  $C$  intercepts  $Y_B$  and transmits  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  to  $A$ .  $C$  also calculates  $K_1 = (Y_B)^{X_{C_1}} \bmod q = (\alpha^{X_B} \bmod q)^{X_{C_1}} \bmod q = \alpha^{X_B X_{C_1}} \bmod q = K_B$ .
- ⑦  $A$  receives  $Y_{C_2}$  and calculates  $K_A = (Y_{C_2})^{X_A} \bmod q = \alpha^{X_{C_2} X_A} \bmod q = K_2$ .

Now  $A$  and  $B$  think that they share a secret key,

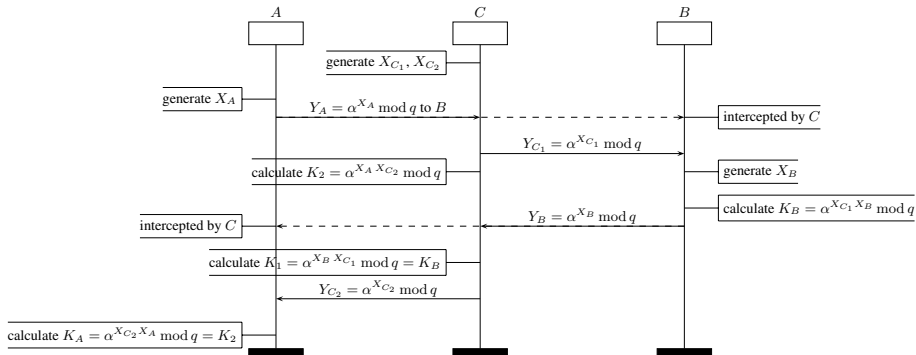
# Diffie-Hellman key exchange: weakness

Keys are **unauthenticated** and thus Diffie-Hellman key exchange is vulnerable to the following **man-in-the-middle attack**:

- ① Attacker  $C$  prepares for the attack by generating two random private keys  $X_{C_1}$  and  $X_{C_2}$  and then computing the corresponding public keys  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  and  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  (since  $\alpha$  and  $q$  are public).
- ②  $A$  generates  $X_A$  and transmits  $Y_A = \alpha^{X_A} \bmod q$  to  $B$ .
- ③  $C$  intercepts  $Y_A$  and transmits  $Y_{C_1} = \alpha^{X_{C_1}} \bmod q$  to  $B$ .  $C$  also calculates  $K_2 = (Y_A)^{X_{C_2}} \bmod q = (\alpha^{X_A} \bmod q)^{X_{C_2}} \bmod q = \alpha^{X_A X_{C_2}} \bmod q$ .
- ④  $B$  receives  $Y_{C_1}$ , generates  $X_B$  and calculates  $K_B = (Y_{C_1})^{X_B} \bmod q = \alpha^{X_{C_1} X_B} \bmod q$ .
- ⑤  $B$  transmits  $Y_B = \alpha^{X_B} \bmod q$  to  $A$ .
- ⑥  $C$  intercepts  $Y_B$  and transmits  $Y_{C_2} = \alpha^{X_{C_2}} \bmod q$  to  $A$ .  $C$  also calculates  $K_1 = (Y_B)^{X_{C_1}} \bmod q = (\alpha^{X_B} \bmod q)^{X_{C_1}} \bmod q = \alpha^{X_B X_{C_1}} \bmod q = K_B$ .
- ⑦  $A$  receives  $Y_{C_2}$  and calculates  $K_A = (Y_{C_2})^{X_A} \bmod q = \alpha^{X_{C_2} X_A} \bmod q = K_2$ .

Now  $A$  and  $B$  think that they share a secret key, but instead  **$A$  shares secret key  $K_A = K_2$  with  $C$  and  $B$  shares secret key  $K_B = K_1$  with  $C$ .**

# DH key exchange: man-in-the-middle attack



Now  $A$  and  $B$  think that they share a secret key, but instead  $A$  shares secret key  $K_A = K_2$  with  $C$  and  $B$  shares secret key  $K_B = K_1$  with  $C$ .



## DH key exchange: man-in-the-middle attack

- All future communication between Bob and Alice is compromised in the following way.
  - ① A sends an encrypted message  $M$ , i.e.,  $E(K_2, M)$ .
  - ② C intercepts the encrypted message and decrypts it to recover  $M$ .
  - ③ C sends to Bob either
    - $E(K_1, M)$ , if  $C$  simply wants to eavesdrop on the communication without altering it, or
    - $E(K_1, M')$ , where  $M'$  is any message, if  $C$  wants to modify the message going to  $B$ .
- The Diffie-Hellman key exchange is vulnerable to such an attack because it does not authenticate the participants.
  - This vulnerability can be overcome with the use of **digital signatures and public-key certificates** to achieve mutual authentication between  $A$  and  $B$ .
  - Typically: add an exchange of digitally signed identification (ID) tokens.

# Group Diffie-Hellman (for three or more parties)

Given a Diffie-Hellman group  $(\alpha, q)$ , three honest parties Alice, Bob and Carol can generate together a secret key  $K = \alpha^{X_A X_B X_C} \bmod q$  by:

- 1 Alice chooses a random large integer  $X_A$  and sends to Bob:  $Y_A = \alpha^{X_A} \bmod q$
- 2 Bob chooses a random large integer  $X_B$  and sends to Carol  $Y_B = \alpha^{X_B} \bmod q$
- 3 Carol chooses a random large integer  $X_C$  and sends to Alice:  $Y_C = \alpha^{X_C} \bmod q$
- 4 Alice sends to Bob  $Y'_C = Y_C^{X_A} \bmod q$
- 5 Bob sends to Carol  $Y'_A = Y_A^{X_B} \bmod q$
- 6 Carol sends to Alice  $Y'_B = Y_B^{X_C} \bmod q$
- 7 Alice computes:  $K = Y'_B^{X_A} \bmod q$
- 8 Bob computes:  $K = Y'_C^{X_B} \bmod q$
- 9 Carol computes:  $K = Y'_A^{X_C} \bmod q$

Can be extended to more parties by adding more rounds of computations.

# Table of contents I

- 1 Introduction
- 2 Some number theory and Euclid's algorithm
- 3 Euclid's algorithm and Extended Euclid's algorithm
- 4 The RSA Algorithm
- 5 Diffie-Hellman key exchange
- 6 Zero-knowledge protocols**

# Idea

*What do weapons of mass destruction*



# Idea

*What do weapons of mass destruction, a drink*



# Idea

*What do weapons of mass destruction, a drink and Ali Baba's cave all have in common?*



# Idea

*What do weapons of mass destruction, a drink and Ali Baba's cave all have in common?*

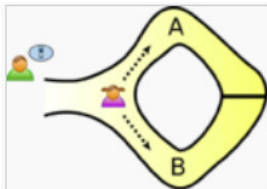


## Zero-knowledge proofs

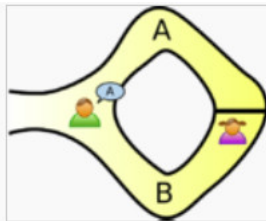
In zero-knowledge proofs we can usually specify a **statement** that is being proved.

- Definitely, that statement is revealed to the verifier
- The verifier (or others) should not learn anything else
- Everybody can draw conclusions from everything they learned

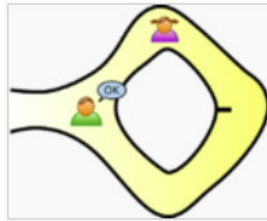
# Zero-knowledge proofs: Ali Baba's cave



Peggy randomly takes either path A or B, while Victor waits outside



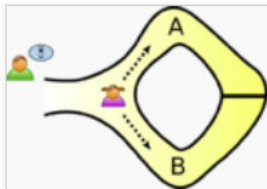
Victor chooses an exit path



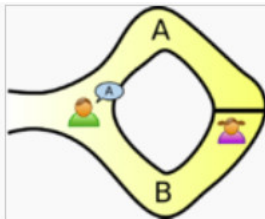
Peggy reliably appears at the exit Victor names

- A cave has a door that opens only when a secret word is spoken.
- Peggy (the Prover) wants to convince Victor (the Verifier) that she knows the secret word, but without revealing it!
- If they walk to the door together, Peggy will be able to open it but then Victor will learn the secret word.
- So, they carry out a zero-knowledge protocol.

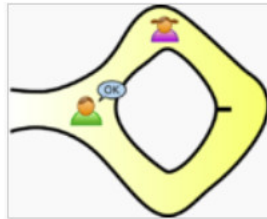




Peggy randomly takes either path A or B, while Victor waits outside

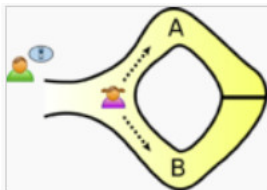


Victor chooses an exit path

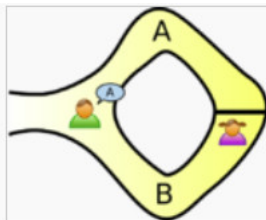


Peggy reliably appears at the exit Victor names

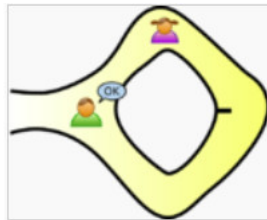
- ➊ Victor stands at the cave's entrance, while Peggy walks to the door.
- ➋ Victor walks to the bifurcation of the cave's paths and shouts to Peggy either to
  - come out of the left path A or
  - come out of the right path B.
- ➌ Peggy complies, using the secret word to open the door, if needed.
- ➍ Peggy and Victor repeat the experiment (steps 1-3)  $n$  times.



Peggy randomly takes either path A or B, while Victor waits outside



Victor chooses an exit path



Peggy reliably appears at the exit Victor names

- Now assume that Peggy doesn't actually know the secret word.
- Then she can only come out the way she went in.
  - After 1 round, she has only 1 chance out of 2 of fooling Victor.
  - After  $n$  rounds, she has only 1 chance out of  $2^n$  of fooling Victor.
- So, after a while, Victor will be convinced that Peggy knows the secret.
- In other words: Peggy wins if she passes the test all of the time.
  - The probability that Peggy wins is very low if she does not know the secret word: after  $n$  rounds, it is  $(1/2)^n = \frac{1}{2^n}$ .

# Zero-knowledge proofs: the idea

- In a challenge-response protocol, the Prover proves that she knows a secret.
  - If a symmetric cryptosystem is used, then the Verifier also knows the secret.
  - If a public-key signature system is used, then Verifier does not know the secret.
- An example of a zero-knowledge protocol is the Fiat-Shamir Identification Protocol.

# Example: Fiat-Shamir Identification Protocol

- Three principals:
  - **Prover Peggy,**
  - **Verifier Victor** and
  - **Trusted Third Party Trent.**
- Setup:
  - Trent chooses two large prime numbers  $p$  and  $q$  to calculate  $n = p \times q$ .
  - $n$  is announced to the public, whereas  $p$  and  $q$  are kept secret.
  - Peggy chooses a **secret number**  $s$  between 1 and  $n - 1$ , and calculates  $v = s^2 \bmod n$ .  
Peggy keeps  $s$  as her **private key** and registers  $v$  as her **public key** with the third party.
  - Victor knows  $v = s^2 \bmod n$ , but does not know  $s$ .
  - Squaring modulo  $n$  is easy to compute but square root modulo  $n$  is probably not (we believe...).
  - **Goal:** Peggy wants to convince Victor that she knows the secret  $s$  but Victor should not learn  $s$ !

- Verification of Peggy by Victor then proceeds in 4 steps:

- Verification of Peggy by Victor then proceeds in 4 steps:
  - 1 Peggy chooses a random number  $r$  between 0 and  $n - 1$ .  
 $r$  is called the **commitment**.

- Verification of Peggy by Victor then proceeds in 4 steps:
  - 1 Peggy chooses a random number  $r$  between 0 and  $n - 1$ .  
 $r$  is called the **commitment**.  
Peggy then calculates the **witness**  $x = r^2 \bmod n$  and sends it to Victor.

- Verification of Peggy by Victor then proceeds in 4 steps:
  - 1 Peggy chooses a random number  $r$  between 0 and  $n - 1$ .  
 $r$  is called the **commitment**.  
Peggy then calculates the **witness**  $x = r^2 \bmod n$  and sends it to Victor.
  - 2 Victor sends the **challenge**  $c$  to Peggy, where  $c$  is either 0 or 1.



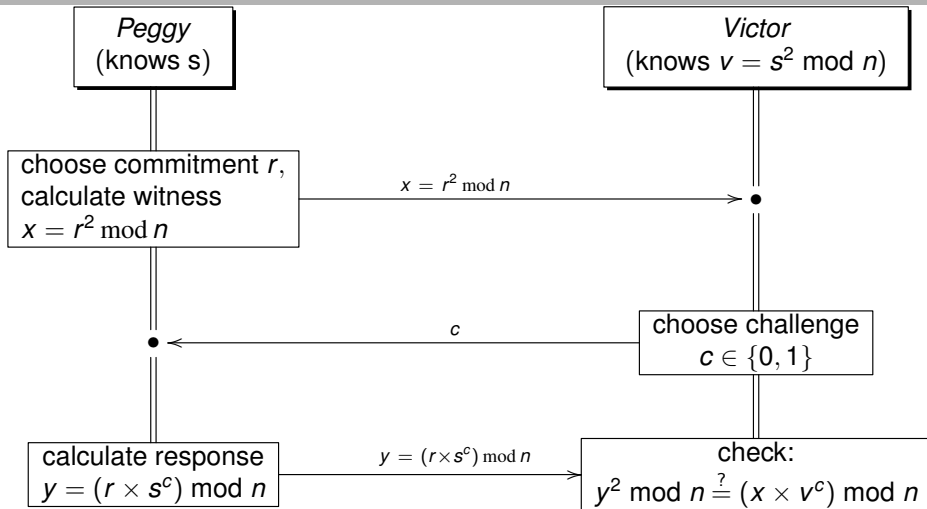
- Verification of Peggy by Victor then proceeds in 4 steps:
  - 1 Peggy chooses a random number  $r$  between 0 and  $n - 1$ .  
 $r$  is called the **commitment**.  
Peggy then calculates the **witness**  $x = r^2 \bmod n$  and sends it to Victor.
  - 2 Victor sends the **challenge**  $c$  to Peggy, where  $c$  is either 0 or 1.
  - 3 Peggy calculates the **response**  $y = (r \times s^c) \bmod n$  and sends it to Victor to show that she knows her private key  $s$  modulo  $n$ .  
She claims to be Peggy.

- Verification of Peggy by Victor then proceeds in 4 steps:
  - 1 Peggy chooses a random number  $r$  between 0 and  $n - 1$ .  
 $r$  is called the **commitment**.  
Peggy then calculates the **witness**  $x = r^2 \bmod n$  and sends it to Victor.
  - 2 Victor sends the **challenge**  $c$  to Peggy, where  $c$  is either 0 or 1.
  - 3 Peggy calculates the **response**  $y = (r \times s^c) \bmod n$  and sends it to Victor to show that she knows her private key  $s$  modulo  $n$ .  
She claims to be Peggy.
  - 4 Victor calculates  $y^2 \bmod n$  and  $(x \times v^c) \bmod n$ .

- Verification of Peggy by Victor then proceeds in 4 steps:
  - 1 Peggy chooses a random number  $r$  between 0 and  $n - 1$ .  
 $r$  is called the **commitment**.  
Peggy then calculates the **witness**  $x = r^2 \bmod n$  and sends it to Victor.
  - 2 Victor sends the **challenge**  $c$  to Peggy, where  $c$  is either 0 or 1.
  - 3 Peggy calculates the **response**  $y = (r \times s^c) \bmod n$  and sends it to Victor to show that she knows her private key  $s$  modulo  $n$ .  
She claims to be Peggy.
  - 4 Victor calculates  $y^2 \bmod n$  and  $(x \times v^c) \bmod n$ . If these values are congruent, then Peggy either knows the value of  $s$  (she is honest) or she has calculated the value of  $y$  in some other way (dishonest) because in modulo  $n$  arithmetic we actually have that

$$y^2 =_n (r \times s^c)^2 =_n r^2 \times s^{2c} =_n r^2 \times (s^2)^c =_n x \times v^c$$

- The 4 steps constitute a **round**.
- The verification is repeated several times with the value of  $c$  equal to 0 or 1, chosen randomly.
- Peggy must pass the test in each round to be verified: if she fails one single round, the process is aborted.



If the check

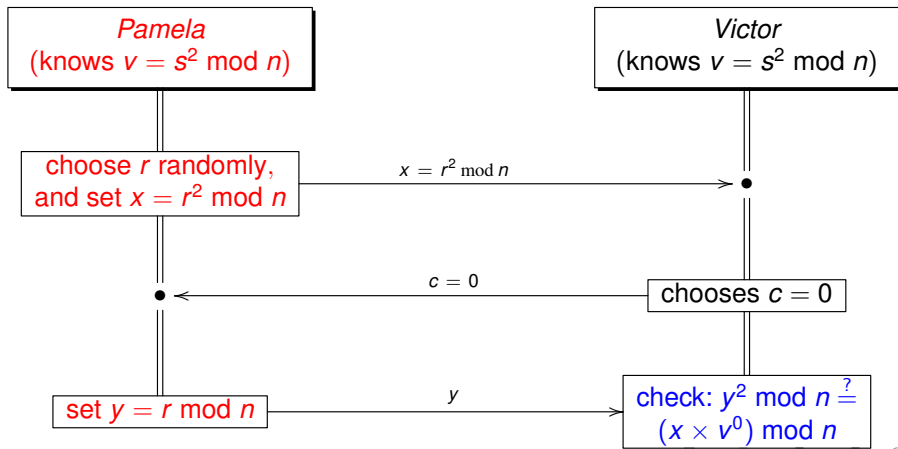
$$y^2 =_n (r \times s^c)^2 =_n r^2 \times s^{2c} =_n r^2 \times (s^2)^c =_n x \times v^c$$

returns a yes, then verification is probable; otherwise, the process is aborted.

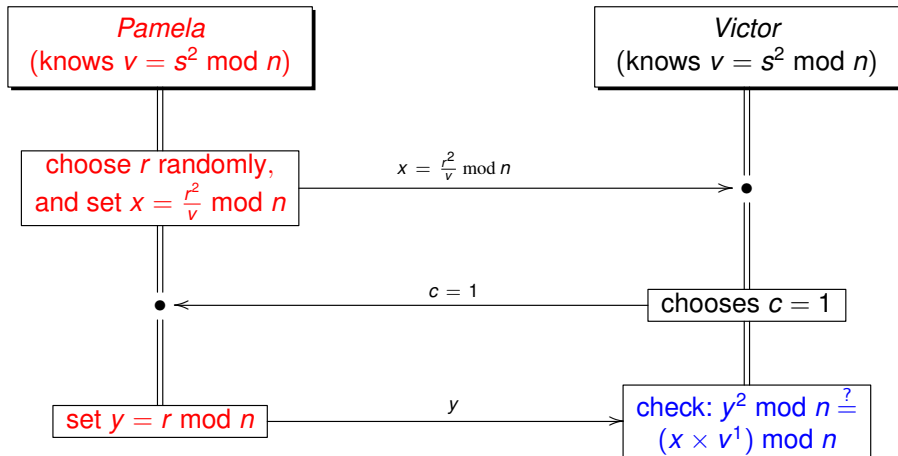
# Fiat-Shamir: Trying to Cheat

Pamela does not know the secret  $s$ , but tries to prove its knowledge.

Pamela guesses that Victor is going to choose  $c = 0$  (if she guesses wrong, then she loses).



Pamela guesses that Victor is going to choose  $c = 1$  (if she guesses wrong, then she loses).



So, Pamela must find numbers  $x$  and  $y$  such that  $x \times v =_n (x \times s^2)$ .

Choose  $y$  randomly and then set  $\frac{r^2}{v} \bmod n$  (division modulo  $n$  is also easy!).

# Fiat-Shamir: Trying to Cheat

- Pamela has a strategy to cheat if  $c = 0$ :

Choose  $r$  randomly, set  $x = r^2 \bmod n$ .

# Fiat-Shamir: Trying to Cheat

- Pamela has a strategy to cheat if  $c = 0$ :

Choose  $r$  randomly, set  $x = r^2 \bmod n$ .

- Pamela has a strategy to cheat if  $c = 1$ :

Choose  $r$  randomly, set  $x = \frac{r^2}{v} \bmod n$ .



# Fiat-Shamir: Trying to Cheat

- Pamela has a strategy to cheat if  $c = 0$ :

Choose  $r$  randomly, set  $x = r^2 \bmod n$ .

- Pamela has a strategy to cheat if  $c = 1$ :

Choose  $r$  randomly, set  $x = \frac{r^2}{v} \bmod n$ .

- If  $c \in \{0, 1\}$  is randomly chosen, Pamela has thus a chance of  $\frac{1}{2}$  to cheat.

# Fiat-Shamir: Trying to Cheat

- Pamela has a strategy to cheat if  $c = 0$ :

Choose  $r$  randomly, set  $x = r^2 \bmod n$ .

- Pamela has a strategy to cheat if  $c = 1$ :

Choose  $r$  randomly, set  $x = \frac{r^2}{v} \bmod n$ .

- If  $c \in \{0, 1\}$  is randomly chosen, Pamela has thus a chance of  $\frac{1}{2}$  to cheat.
- If Victor accepts only after  $n$  successful rounds, the chance to cheat is only  $\frac{1}{2^n}$ .

# Fiat-Shamir: Trying to Cheat

- Pamela has a strategy to cheat if  $c = 0$ :

Choose  $r$  randomly, set  $x = r^2 \bmod n$ .

- Pamela has a strategy to cheat if  $c = 1$ :

Choose  $r$  randomly, set  $x = \frac{r^2}{v} \bmod n$ .

- If  $c \in \{0, 1\}$  is randomly chosen, Pamela has thus a chance of  $\frac{1}{2}$  to cheat.
- If Victor accepts only after  $n$  successful rounds, the chance to cheat is only  $\frac{1}{2^n}$ .
- We can conclude that

Pamela has no strategy to cheat for unpredictable  $c$ .

# Fiat-Shamir: Curious Victor

Victor would like to learn the secret  $x$  . . .  
but we can conclude that

# Fiat-Shamir: Curious Victor

Victor would like to learn the secret  $x$  . . .  
but we can conclude that

## Zero-Knowledge Property

Victor learns nothing except the proved statement.

# Bibliography

Most of the figures in this lecture are taken from:

- William Stallings. *Cryptography and Network Security*. Fifth Edition, Prentice Hall, 2010.

Other interesting sources:

- The International PGP Home Page: <http://www.pgpi.org/>
- SDSI/SPKI (and PKI and PGP):  
<http://world.std.com/~cme/html/spki.html>
- Dieter Gollmann. *Computer Security*. Wiley, 2000.
- Bruce Schneier. *Applied Cryptography*. Wiley, 1996.
- Alfred J. Menezes, Paul C. van Oorschot, Scott A. Vanstone. *Handbook of Applied Cryptography*. CRC Press, 1996. Available online.
- Arthur E. Hutt, Seymour Bosworth, Douglas B. Hoyt. *Computer Security Handbook*. Wiley, 1995.