



# Relational Algebra

LECTURE 5

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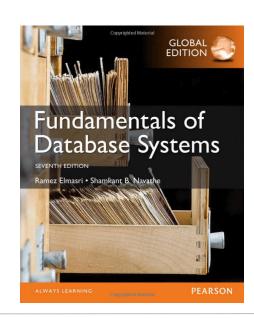


#### LECTURE 5

Covers ...

**Chapter 8** 

Please read this up until next lecture!





# What we will be covering

Basics of Relational Algebra
Unary Relational Operations
Standard Set Theory Relational Operations
Binary Relational Operations (i.e., JOINS)

#### **Reminder - Formal Definitions**

Define a relation as:

```
R(A1, A2, \ldots, An)
r(R) \subseteq dom (A1) \times dom (A2) \times ... \times dom(An)

r(R):

a specific state (or "value" or "population") of R (set of tuples)
r(R) = \{t1, t2, ..., tn\} where each ti is an n-tuple
ti = \langle v1, v2, ..., vn \rangle where each vj element-of dom(Aj)
```

#### **Reminder - Formal Definitions**

#### **Denoting attribute values** - for a tuple t:

```
t[1] ... value of first attribute in the list (remember - ordered!)
t[a] ... value of attribute a
t.a ... same as t[a]
t[X], with X a subset of attributes
```

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... values of all attributes in x

### **Reminder - Formal Definitions**

Database state is collection of the state of all relations

$$DB = \{r1, r2, ..., rm\}$$

Database is in a valid state if all ri are valid

# Referential integrity

#### Example:

In the EMPLOYEE and DEPARTMENT relationships, the attribute that specifies which department an employee works in needs to refer to a department that actually exists.

#### A constraint involving two relations

The previous constraints involved only a single relation

Used to specify a **relationship among tuples** in two relations:

AKA referencing relation and the referenced relation

# Foreign keys FK

Tuples in the referencing relation R1 have attributes FK (the **foreign key**) that reference the primary key attributes PK of the referenced relation R2.

A tuple t1 in R1 is said to reference a tuple t2 in R2 if t1[FK] = t2[PK]

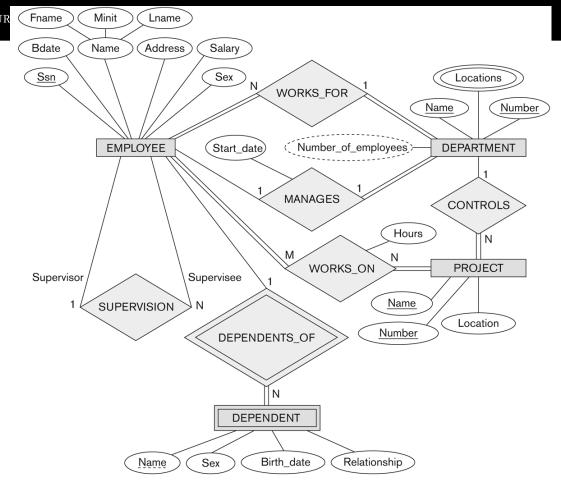
# Foreign keys FK

Note that (in 1:N or N:M relationships), **foreign keys are not keys themselves!** 

E.g., multiple employees work in the same department, hence have the same value in their department FK

FKs may also be allowed to be NULL

If relationship is optional, e.g., employees may not be assigned to a department at all



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Figure 3.2

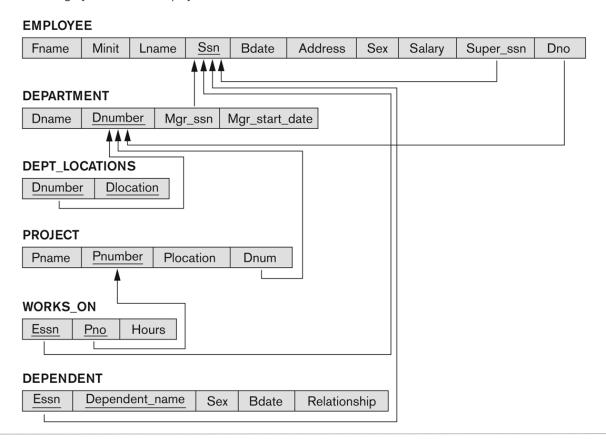
An ER schema diagram for the COMPANY database. The diagrammatic notation is introduced gradually throughout this chapter.



Figure 5.7

Referential integrity constraints displayed on the COMPANY relational database schema.





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# **Relational Algebra**

A formalism to define queries over relational models

A **mathematical language** that we can use to "ask questions" about our data model

What is the Ssn of the employee "Philipp Leitner"?

How many employees are there?

Who is the supervisor of "Philipp Leitner"?

. . .

Directly implemented in the query language of SQL

## Aside on algebras

Algebra is "the study of mathematical symbols and the rules for manipulating these symbols" [Wikipedia]

We call those rules **operators**.

You know operators from math and from programming:

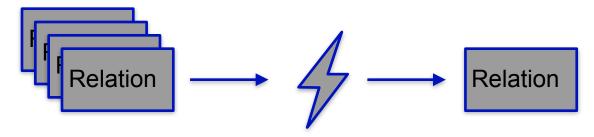
$$4x + 17 = e$$
  
int variable = 17 \* other\_var;

Relational algebra follows similar rules:

TEMP 
$$\leftarrow \sigma_{\text{Ssn}=125763} \text{(EMPLOYEE)}$$



#### **Basic Structure**



Relational Algebra Operation ("Query")

Query results lead to new relations

Makes relational algebra a **closed** algebra

### **Overview**

#### **Unary Relational Operations**

SELECT (symbol:  $\sigma$  (sigma))

PROJECT (symbol:  $\pi$  (pi))

RENAME (symbol:  $\rho$  (rho), or simply  $\leftarrow$ )

#### Relational Algebra Operations From Set Theory

UNION (∪), INTERSECTION (∩), DIFFERENCE (or MINUS, –)

CARTESIAN PRODUCT (x)

### **Overview**

#### **Binary Relational Operations**

JOIN (several variations)
DIVISION

#### **Additional Relational Operations**

OUTER JOINS, OUTER UNION AGGREGATE FUNCTIONS SUM, COUNT, AVG, MIN, MAX

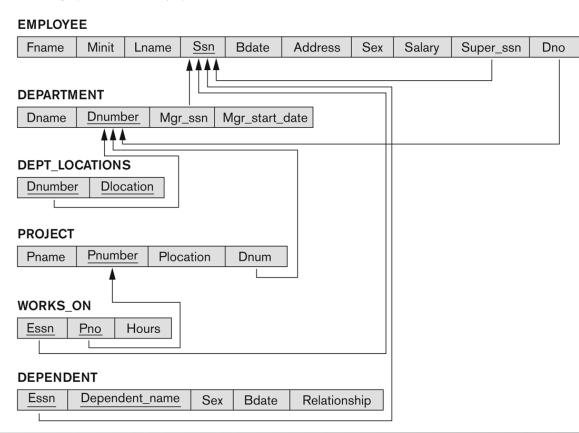
16



Figure 5.7

Referential integrity constraints displayed on the COMPANY relational database schema.

# We'll be using this example relational model



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## **Unary Relational Operations: SELECT**

SELECT operation ( $\sigma$ -sigma) is used to select a subset of the tuples from a relation based on a **selection condition** 

Selection condition acts as a filter

Keeps only those tuples that satisfy the qualifying condition

#### Examples:

Select the EMPLOYEE tuples whose department number is 4:

 $\sigma_{DNO=4}$  (EMPLOYEE)

Select the employee tuples whose salary is greater than \$30,000:

σ<sub>SALARY>30000</sub> (EMPLOYEE)

# **Unary Relational Operations: SELECT**

General format:

Result is a new relation R' containing only those tuples for which condition evaluates to true.

R' has the **same schema** as R All attributes are the same

## **Mathematical Properties of SELECT**

#### SELECT $\sigma$ is **commutative**:

$$\sigma_{\text{condition1}}(\sigma_{\text{condition2}}(R)) = \sigma_{\text{condition2}}(\sigma_{\text{condition1}}(R))$$

Because of commutativity property, a cascade (sequence) of SELECT operations may be applied **in any order**:

$$\sigma_{\text{cond1}}$$
 ( $\sigma_{\text{cond2}}$  ( $\sigma_{\text{cond3}}$  (R)) =  $\sigma_{\text{cond2}}$  ( $\sigma_{\text{cond3}}$  ( $\sigma_{\text{cond1}}$  (R))

### **Mathematical Properties of SELECT**

A cascade of SELECT operations may be replaced by a single selection with a conjunction of all the conditions:

```
\sigma_{\text{cond1}} (\sigma_{\text{cond2}} (\sigma_{\text{cond3}} (R)) = \sigma_{\text{cond1}} \text{ AND } \text{cond2} \text{ AND } \text{cond3} (R))
```

The number of tuples in the result of a SELECT is **less than (or equal to)** the number of tuples in the input relation R

## **Unary Relational Operations: PROJECT**

Denoted by  $\pi$  (pi)

Keeps certain attributes from a relation and discards the others **Vertical partitioning** 

#### Example:

List each employee's first and last name and salary:

 $\pi_{\mathtt{LNAME}}$ , FNAME, SALARY (EMPLOYEE)

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Figure 5.6
One possible database state for the COMPANY relational database schema.

#### **EMPLOYEE**

Ssn Fname Minit Lname **Bdate** Address Sex Salary Super\_ssn Dno В Smith 123456789 1965-01-09 731 Fondren, Houston, TX 30000 333445555 John 5 Franklin 333445555 1955-12-08 638 Voss, Houston, TX 40000 888665555 Wong Alicia Zelaya 999887777 1968-01-19 3321 Castle, Spring, TX 25000 987654321 S Wallace 987654321 1941-06-20 291 Berry, Bellaire, TX 43000 888665555 Jennifer 4 K 666884444 | 1962-09-15 | 975 Fire Oak, Humble, TX | Ramesh Narayan 38000 333445555 5 33445555 Joyce 5 Ahmad 87654321 4 •Fname = (Alicia OR Jennifer OR Ramesh) (EMPLOYEE) ULL James

σ (SELECT)

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 $\pi$  (PROJECT)

### $\pi_{Ssn, Bdate}(EMPLOYEE)$

Figure 5.6 relational database schema.

#### **EMPLOYEE**

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	31 Fondren, Houston, TX	М	30000	333445555	5
Franklin	Т	Wong	333445555	1955-12-08	38 Voss, Houston, TX	М	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	91 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	75 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	80 Dallas, Houston, TX	М	25000	987654321	4
James	Е	Borg	888665555	1937-11-10	50 Stone, Houston, TX	М	55000	NULL	1

## **Unary Relational Operations: PROJECT**

General format:

$$\pi_{\text{attribute list}}(R)$$

Result is a new relation R' containing **some or all** tuples from R, but with a **different schema** 

Namely, the new schema is exactly <attribute\_list> (attributes are in listed order)

## **Unary Relational Operations: PROJECT**

Why would there ever be less tuples in the result relation?

#### The project operation removes any duplicate tuples

Remember that R' is a mathematical set, which cannot have duplicates

#### Example:

 $\pi_{\mathsf{FNAME}}(\mathsf{EMPLOYEE})$ 

... returns a list of all first names

... removes tuples where employees had the same first name

In general, tuples may be lost if projecting on non-key attributes.

# **Mathematical Properties of PROJECT**

PROJECT is **not** commutative, but:

$$p_{\text{}}(p_{\text{}}(R)) = p_{\text{}}(R)$$

iff <list2> contains all the attributes in <list1>

(returns an empty relation otherwise)

### **Generalized PROJECT**

If we allow the parameters of  $\pi$  to be **functions** instead of simple attribute names we arrive at a more powerful version of PROJECT

Report yearly salary for all employees:

 $\pi_{\text{LNAME,FNAME,12}} * \text{SALARY} (EMPLOYEE)$ 

# **Unary Relational Operations: Assignment**

General format:

Used to "store" an intermediary result from a complex relational algebra operation

We could always write it in a single operation, but it is often more convenient / clear to use an assignment

# **Example Assignment**

In one operation:

```
\pi_{\text{LNAME}}, FNAME, SALARY (\sigma_{\text{DNO}=5} (EMPLOYEE))
```

Split up using assignment:

```
DEP5_EMPS \leftarrow \sigma_{DNO=5} (EMPLOYEE)
```

 $\pi_{\text{LNAME,FNAME,SALARY}}$  (DEP5\_EMPS)

### More general version of assignment: RENAME

General format:

$$\rho_{S(B1, B2, ..., Bn)}(R)$$

#### This does 2 things:

- (1) Renames the relation to S (this is the part that's identical to the assignment operator)
- (2) Renames the attributes

  Note that the **degree of the relation remains unchanged**(RENAME is not a superset of PROJECT)

### RENAME is very useful together with generalized PROJECT

Remember that we can use the generalized PROJECT function to have functions in attribute lists.

Problem: these derived attributes don't have a natural name

We can use RENAME to give them one:

YEMPLOYEE  $\leftarrow \rho_{\text{(LNAME, FNAME, YSALARY)}}(\pi_{\text{LNAME, FNAME, 12}} * \text{SALARY}(\text{EMPLOYEE}))$ 

## **Summary Unary Operators**

```
\sigma_{\text{DNO}=4} AND Salary > 30000 (EMPLOYEE)
      Partition the relation horizontally (filter)
\pi_{\text{LNAME}, \text{FNAME}} ( (EMPLOYEE))
      Partition the relation vertically (slice attributes)
NAMES \leftarrow \pi_{\text{LNAME}, \text{FNAME}} ((EMPLOYEE))
     Assign a name to results
\rho_{\text{(LNAME, FNAME, YSALARY)}}(\pi_{\text{LNAME, FNAME, 12}} * \text{SALARY}(\text{EMPLOYEE}))
      Rename derived attributes
```

# Relational Algebra Operations from Set Theory

There are three operations that relational algebra "inherits" from set theory:

```
UNION (∪)
INTERSECTION (∩)
SET DIFFERENCE (-)
```

These are easy to understand when you keep in mind that **relations** are mathematically sets of tuples

So clearly they can do everything that other sets can do

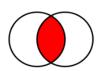
# Relational Algebra Operations from Set Theory





Resulting relation has all tuples from R1 and R2

**R1** ∩ **R2** 



Resulting relation has only those tuples that are in R1 and R2

R1 - R2



Resulting relation has only those tuples that are in R1 but not in R2

# **Type Compatibility**

#### **Important caveat:**

R1 and R2 must be type-compatible

```
R1(A1, A2, ... An) is type-compatible to R2(B1, B2, ... Bn) iff

(1) R1 and R2 have the same number of attributes

(2) the domains of all attributes are the same:

dom(Ai)=dom(Bi) for i=1, 2, ..., n
```

Note that **attribute names don't matter** for type compatibility (only the domains!)

### **CARTESIAN PRODUCT**

Another "general" set theoretical operation is the **cartesian product** (also called cross product, cross join) denoted by x

Result of the cartesian product is a new relation which contains **all possible combinations** of both source relations.

New relation has all attributes of the source relations

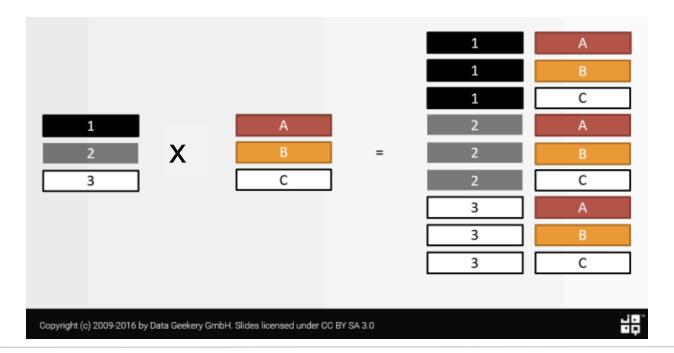
And x \* y tuples, where x and y are the number of tuples in the source relations

Type-compatiblity not required

### **CARTESIAN PRODUCT – Intuition**

```
Ranks = \{A, K, Q, J, 10, 9, 8, 7, 6, 5, 4, 3, 2\}
Suits = \{ \spadesuit, \bigvee, \clubsuit, \blacklozenge \}
Ranks x Suits = {
     (A, \spadesuit), (A, \heartsuit), (A, \clubsuit), (A, \diamondsuit),
     (K, \clubsuit), (K, \heartsuit), (K, \clubsuit), (K, \diamondsuit),
      . . . ,
                                                                                 CC BY 3.0: https://en.wikipedia.org/wiki/Cartesian_product#/media/File:Piatnikcards.jpg
     (2, \spadesuit), (2, \heartsuit), (2, \clubsuit), (2, \diamondsuit),
```

# **CARTESIAN PRODUCT – Example**



### **CARTESIAN PRODUCT**

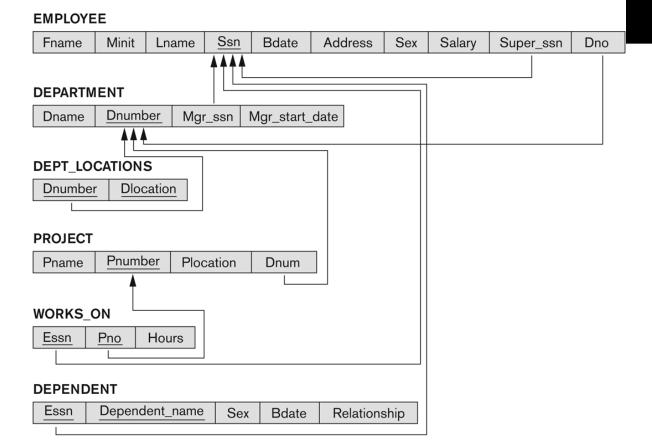
In general, the result of applying the cartesian product arbitrarily to two relations delivers garbage

### Example:

EMPLOYEE x DEPENDENT

... result is a relation with 15 attributes and many, many tuples, one for every combination of employee and dependent

(not only for the employees and their dependents)



### **CARTESIAN PRODUCT**

Usually, need to combine cartesian product with selection to make it meaningful But then it becomes a powerful tool for **joining** tables

#### Example:

```
FEMALE_EMPS \leftarrow \sigma_{\text{SEX=F}}(\text{EMPLOYEE})

EMPNAMES \leftarrow \pi_{(\text{FNAME, LNAME, SSN})}(\text{FEMALE_EMPS})

EMP_DEPENDENTS \leftarrow EMPNAMES \times DEPENDENT

ACTUAL_DEPS \leftarrow \sigma_{\text{SSN=ESSN}}(\text{EMP_DEPENDENTS})

RESULT \leftarrow \pi_{(\text{FNAME, LNAME, DEPENDENT NAME})}(\text{ACTUAL DEPS})
```

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#### FEMALE\_EMPS

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
Alicia	J	Zelaya	999887777	1968-07-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291Berry, Bellaire, TX	F	43000	888665555	4
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5

#### **EMPNAMES**

2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1							
Fname	Lname	Ssn					
Alicia	Zelaya	999887777					
Jennifer	Wallace	987654321					
Joyce	English	453453453					

EMPNAMES  $\leftarrow \Pi_{\text{(FNAME, LNAME, SSN)}} \text{ (FEMALE\_EMPS)}$ 



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 $\verb|EMP_DEPENDENTS| \leftarrow \verb|EMPNAMES| x | \verb|DEPENDENT|$ 

#### **EMP\_DEPENDENTS**

Fname	Lname	Ssn	Essn	Dependent_name	Sex	Bdate	
Alicia	Zelaya	999887777	333445555	Alice	F	1986-04-05	
Alicia	Zelaya	999887777	333445555	Theodore	М	1983-10-25	
Alicia	Zelaya	999887777	333445555	Joy	F	1958-05-03	
Alicia	Zelaya	999887777	987654321	Abner	М	1942-02-28	
Alicia	Zelaya	999887777	123456789	Michael	М	1988-01-04	
Alicia	Zelaya	999887777	123456789	Alice	F	1988-12-30	
Alicia	Zelaya	999887777	123456789	Elizabeth	F	1967-05-05	
Jennifer	Wallace	987654321	333445555	Alice	F	1986-04-05	
Jennifer	Wallace	987654321	333445555	Theodore	М	1983-10-25	
Jennifer	Wallace	987654321	333445555	Joy	F	1958-05-03	
Jennifer	Wallace	987654321	987654321	Abner	М	1942-02-28	
Jennifer	Wallace	987654321	123456789	Michael	М	1988-01-04	
Jennifer	Wallace	987654321	123456789	Alice	F	1988-12-30	
Jennifer	Wallace	987654321	123456789	Elizabeth	F	1967-05-05	
Joyce	English	453453453	333445555	Alice	F	1986-04-05	
Joyce	English	453453453	333445555	Theodore	М	1983-10-25	
Joyce	English	453453453	333445555	Joy	F	1958-05-03	
Joyce	English	453453453	987654321	Abner	М	1942-02-28	
Joyce	English	453453453	123456789	Michael	М	1988-01-04	
Joyce	English	453453453	123456789	Alice	F	1988-12-30	
Joyce	English	453453453	123456789	Elizabeth	F	1967-05-05	

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ACTUAL DEPS 
$$\leftarrow \sigma_{SSN=ESSN}$$
 (EMP DEPENDENTS)

#### **ACTUAL\_DEPENDENTS**

Fname	Lname	Ssn	Essn	Dependent_name	Sex	Bdate	
Jennifer	Wallace	987654321	987654321	Abner	М	1942-02-28	

#### **RESULT**

Fname	Lname	Dependent_name
Jennifer	Wallace	Abner

RESULT 
$$\leftarrow \pi_{(FNAME, LNAME, DEPENDENT\_NAME)}$$
 (ACTUAL\_DEPS)

# **JOIN Operator**

Given how useful (and cumbersome) this joining via a combination of cartesian product and selection is, there are a number of **common shortcut operators** 

The most fundamental one of those is the the THETA JOIN

Denoted by:

 $R_{\bowtie} < \text{join condition} > S$ 

## **Example**

Suppose that we want to retrieve the name of the **manager** of each **department**.

To get the manager's name, we need to combine each DEPARTMENT tuple with the EMPLOYEE tuple whose SSN value matches the MGRSSN value in the department tuple.

DEPT\_MGR ← DEPARTMENT ⋈MGRSSN=SSN EMPLOYEE

(MGRSSN=SSN is the join condition, or theta)

### **Example**

#### DEPT\_MGR

Dname	Dnumber	Mgr_ssn	 Fname	Minit	Lname	Ssn	
Research	5	333445555	 Franklin	Т	Wong	333445555	
Administration	4	987654321	 Jennifer	S	Wallace	987654321	
Headquarters	1	888665555	 James	E	Borg	888665555	

Note that result relation contains **all attributes** from both source relations, **including join attributes** 

Often desired to combine JOIN with a projection

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## Resolving ambiguity

When joining, attribute names are not necessarily unique

Especially, but not only, join attributes are often called the same in both source relations

Solution is to **prefix with relation name**:

CAR MCAR.MANUFACTURER ID=MANUFACTURER.MANUFACTURER ID MANUFACTURER

This can be done with any attribute name, not just ambiguous ones

## Joins and Foreign Keys

In practice the single most important task of joins is to connect two relations based on a **primary key - foreign key** connection

Think about the join as creating a single relation from two separate input relations, where each tuple is "glued together" based on the equality of foreign keys in one relation to the primary keys in the other.

## More specialized JOINS

### **EQUIJOIN**

Any JOIN where the theta consists only of a single equality comparison

In theory thetas can be arbitrary conditional statements, but in practice most joins will be over the equality of two attributes

No special syntax:

$$R \bowtie_{R.a = S.b} S$$

## More specialized JOINS

### **NATURAL JOINS**

If the join attributes are **named the same** in both relations, we can use a simpler syntax, the NATURAL JOIN

R \* S

(this is shorthand for  $R_{\bowtie R.a} = s.a S$ )

# More specialized JOINS

### **NATURAL JOINS**

If there is more than one pair of same-named attributes, all of them need to be equal

```
R(A,B,C,D) * S(C,D,E) is equivalent to:

R(A,B,C,D) \bowtie_{(R.C=S.C \text{ AND } R.D=S.D)} S(C,D,E)
```

### **JOIN Chains**

In real databases we often need to join across a large number of relations:

$$\pi_{A.a,D.d}(A * B * C * D)$$

("find the name of the department where the manager's dependent lives in ...")

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54

Figure 8.7 Results of two natural join operations. (a) proj\_dept ← project \* dept. (b) dept\_locs ← department \* dept\_locations.

#### (a)

#### PROJ DEPT

Pname	Pnumber	Plocation	Dnum	Dname	Mgr_ssn	Mgr_start_date
ProductX	1	Bellaire	5	Research	333445555	1988-05-22
ProductY	2	Sugarland	5	Research	333445555	1988-05-22
ProductZ	3	Houston	5	Research	333445555	1988-05-22
Computerization	10	Stafford	4	Administration	987654321	1995-01-01
Reorganization	20	Houston	1	Headquarters	888665555	1981-06-19
Newbenefits	30	Stafford	4	Administration	987654321	1995-01-01

#### (b)

#### DEPT LOCS

Dname	Dnumber	Mgr_ssn	Mgr_start_date	Location
Headquarters	1	888665555	1981-06-19	Houston
Administration	4	987654321	1995-01-01	Stafford
Research	5	333445555	1988-05-22	Bellaire
Research	5	333445555	1988-05-22	Sugarland
Research	5	333445555	1988-05-22	Houston

PROJ\_DEPT ← PROJECT \* DEPT

DEPT\_LOCS ←
DEPARTMENT \* DEPT LOCATIONS

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Fundamentals for Database Systems, 7e Ramez Elmasri | Shankant B. Navanthe Copyright © 2016, 2011, 2007 by Pearson Education, Inc. All Rights Reserved

 Table 8.1
 Operations of Relational Algebra

OPERATION	PURPOSE	NOTATION
SELECT	Selects all tuples that satisfy the selection condition from a relation $R$ .	$\sigma_{<  m selection\ condition>}(R)$
PROJECT	Produces a new relation with only some of the attributes of <i>R</i> , and removes duplicate tuples.	$\pi_{< ext{attribute list}>}(R)$
THETA JOIN	Produces all combinations of tuples from $R_1$ and $R_2$ that satisfy the join condition.	$R_1 \bowtie_{< \text{join condition}>} R_2$
EQUIJOIN	Produces all the combinations of tuples from $R_1$ and $R_2$ that satisfy a join condition with only equality comparisons.	$R_1 \bowtie_{<\text{join condition}>} R_2$ , OR $R_1 \bowtie_{(<\text{join attributes 1}>)}$ , ( <join 2="" attributes="">) <math>R_2</math></join>
NATURAL JOIN	Same as EQUIJOIN except that the join attributes of $R_2$ are not included in the resulting relation; if the join attributes have the same names, they do not have to be specified at all.	$R_1*_{<\text{join condition}>} R_2,$ OR $R_1*_{<\text{join attributes 1>})},$ ( <join 2="" attributes="">) <math>R_2</math> OR <math>R_1*_R</math> <math>R_2</math></join>

 Table 8.1
 Operations of Relational Algebra

OPERATION	PURPOSE	NOTATION
UNION	Produces a relation that includes all the tuples in $R_1$ or $R_2$ or both $R_1$ and $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 \cup R_2$
INTERSECTION	Produces a relation that includes all the tuples in both $R_1$ and $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 \cap R_2$
DIFFERENCE	Produces a relation that includes all the tuples in $R_1$ that are not in $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 - R_2$
CARTESIAN PRODUCT	Produces a relation that has the attributes of $R_1$ and $R_2$ and includes as tuples all possible combinations of tuples from $R_1$ and $R_2$ .	$R_1 \times R_2$
DIVISION	Produces a relation $R(X)$ that includes all tuples $t[X]$ in $R_1(Z)$ that appear in $R_1$ in combination with every tuple from $R_2(Y)$ , where $Z = X \cup Y$ .	$R_1(Z) \div R_2(Y)$

# **Key Takeaways**

A lot to take in from this week ...

But most important are:

Understanding the basic concepts of RA

**Projection, Selection, Renaming** 

Joining and the relationship of joins to foreign keys