



**CHALMERS**  
UNIVERSITY OF TECHNOLOGY



UNIVERSITY OF GOTHENBURG

# Introduction to Databases

LECTURE 1

**Dr. Philipp Leitner**



philipp.leitner@chalmers.se



@xLeitix

# GENERAL COURSE INFORMATION

**Usual Time:** 13:15 - 14:45

Lecture TUE, THU

Supervision WED, FRI

**Language:** entirely in English

(please also send mails etc. in English)

**Credits:** 7.5 HEC

**1 HEC ~ 30 hours of effort (225 hours total)**

# Web Page

**All information etc. is on GUL:**

<https://gul.gu.se/courseld/82750/content.do?id=39332486>

Lecture list:

<https://gul.gu.se/courseld/82750/content.do?id=39765276>

Discussion Forum:

<https://gul.gu.se/courseld/82750/courseDiscussListForums.do>

# Teachers



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# Weekly Schedule

## Lectures two times a week

(almost) every week TUE, THU (13:15 - 14:45)

Alfons

## Supervision twice a week

Every week while the assignments are running WED, FRI (13:15 - 14:45)

Mållgan

# Regularly Check Lecture List on GUL

## Event

## Content

- Welcome
- ▼ Course Information
  - Course Syllabus
  - Schedule
  - Literature List
  - Room Booking
  - Course PM
- ▶ Course Evaluation

## Documents

## Surveys

## Assignments

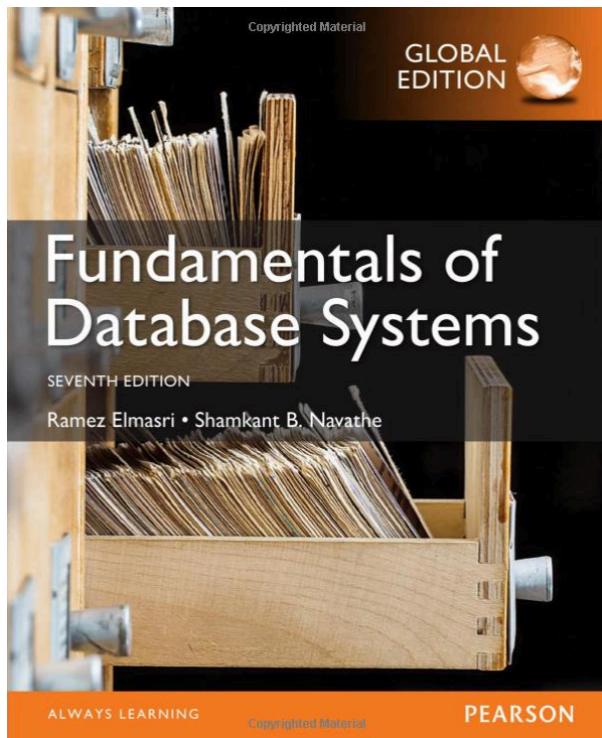
◀ Previous ▶ Next ▶ Search + Maximize the content ↗ Save as PDF

## Schedule

Below is the **Class Schedule** for this course. This is in addition to the official [Room Booking](#) as published by the university. Consider the booking schedule the authoritative source for information, as we may have to cancel classes (which does not show up in the room reservation list):

Week	Date & Time	Event	Teacher	Room	Resources
Week 1					
	January 16th, 13:15 - 14:45	Lecture 1 - Kick-Off and Introduction to Databases	Philipp Leitner Alfons	TBA	
	January 17th, 13:15 - 14:45	<i>No supervision</i>			
	January 18th, 13:15 - 14:45	Lecture 2 - Entity Relationship Diagrams	Philipp Leitner Alfons	TBA	
	January 19th, 13:15 - 14:45	<i>No supervision</i>			
Week 2					

# Text Book



## Fundamentals of Database Systems

by Ramez Elmasri and Shamkant B. Navathe

Pearson Global Edition

7th Edition

(older editions are fine for most of the lecture, but the NOSQL and Map/Reduce part is not covered)

<https://www.adlibris.com/se/bok/fundamentals-of-database-systems-global-edition-9781292097619>

# Grading

**Written Exam:**

**4.5 hec**

Pass with Distinction (**VG**), Pass (**G**) and Fail (**U**)

3-hour written exam in the week of March 12th

**Assignments:**

**3 hec**

Pass (**G**) and Fail (**U**)

# Detailed Grading Scheme

## Written Exam:

**VG:  $\geq 90\%$  of exam points**

**G:  $\geq 50\%$  of exam points**

**U:  $< 50\%$  of exam points**

## Assignments:

**G:  $\geq 70\%$  of assignment points in all three assignments**

**U:  $< 70\%$  of assignment points in at least one assignment**

# Assignments

All assignments are done in **teams of two**. Choose your own partner and register like that in GUL.

## Assignment 1:

Entity Relationship Diagrams and Relational Algebra

Due Feb. 5th, Midnight

## Assignment 2:

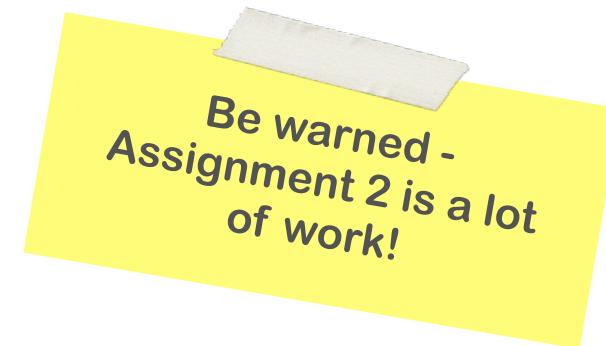
SQL and JDBC

Due Feb. 19th, Midnight

## Assignment 3:

MongoDB and Map/Reduce

Due Mar. 5th, Midnight



# Next Written Exam Dates

Main exam date: **March 13th, 2018**

(after that: June 7th and August 22nd, 2018)

# Forum

**We will be operating a discussion forum on GUL:**

<https://gul.gu.se/courseld/82750/courseDiscussListForums.do>

**This will be the best way to get answers to your questions**

We will check the forum frequently, and so should you.

**Students are encouraged to answer questions as well!**

# Self- and Peer-Assessment Forms

There's a form on GUL that **every student** should submit for **every assignment**

Three questions:

What did you do?

What did your partner do?

How much time did you invest (in hours)?

The last question is mainly to improve our assignments for the next years - this has no impact on grading.

Print and sign the form, and bring it into the lecture, supervision, or my office hour.

# Office Hour

I hold office hours

**THU, 09:00 - 10:00**

in my office (Jupiter, 4th floor, room 411).

Outside of office hours **you will need an appointment**. Drop me an email if something urgent comes up.

# Student Representatives

We need 2 - 5 student representatives

- Feedback during 2 meetings (one midway, one after the lecture)
- Can raise issues at any time

**Volunteers - please contact me after the lecture**

# Bug Bounty Programme

You can get a small number of extra points for the **next assignment grading** for each unique bug you report

Bugs can be:

- Errors in the slides
- Errors in assignment texts
- Errors in assignment solutions

# Bug Bounty Programme - Guidelines

We'll reserve the right to assign points based on the severity of the bug:

Roughly:

- Typos, grammar errors, other inconsequential stuff: *0 - 1 points*
- Inelegant / inefficient solutions: *2 - 3 points*
- Proposed solution does not work at all: *4 - 5 points*

Bug reports should come with an error case and/or proposed improvement.

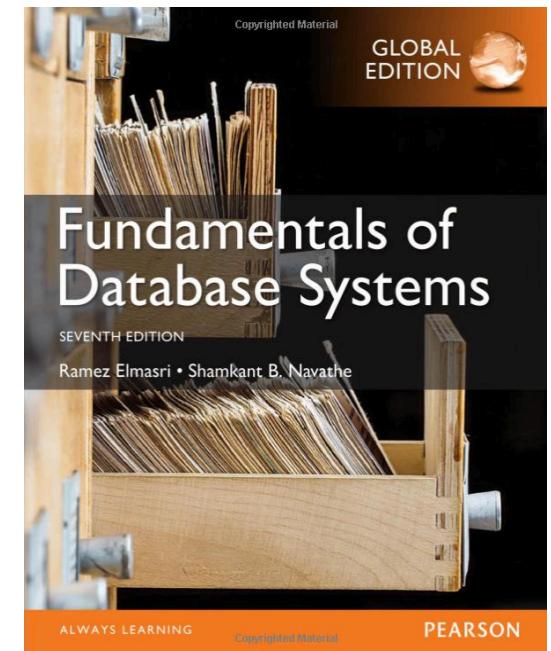
Submit bugs via forum:

**First come / first serve** (each bug will only be awarded a bounty once)

# LECTURE 1

Covers ...

**Chapter 1 and Chapter 2**  
**(+ short information theory detour)**



# What we will be covering

**About data, information, and knowledge**

**Types of databases**

**What's a database, really?**

**Database architecture and concepts**

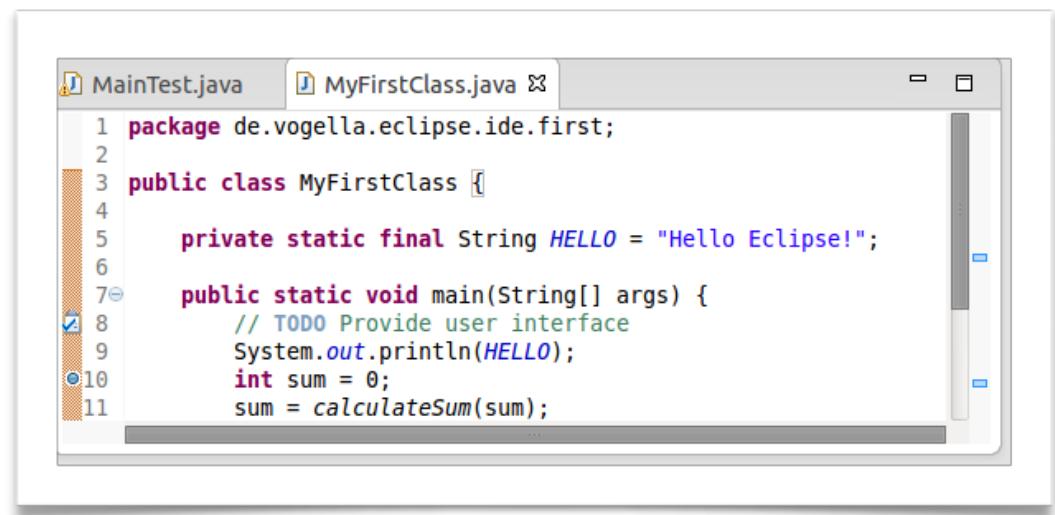
# What we will be covering

- ➔ About data, information, and knowledge
- Types of databases
- What's a database, really?
- Database architecture and concepts

*That's the information theory part*

# Most programming is about *data*

Simplest cases:  
**Variables**  
**Text files**



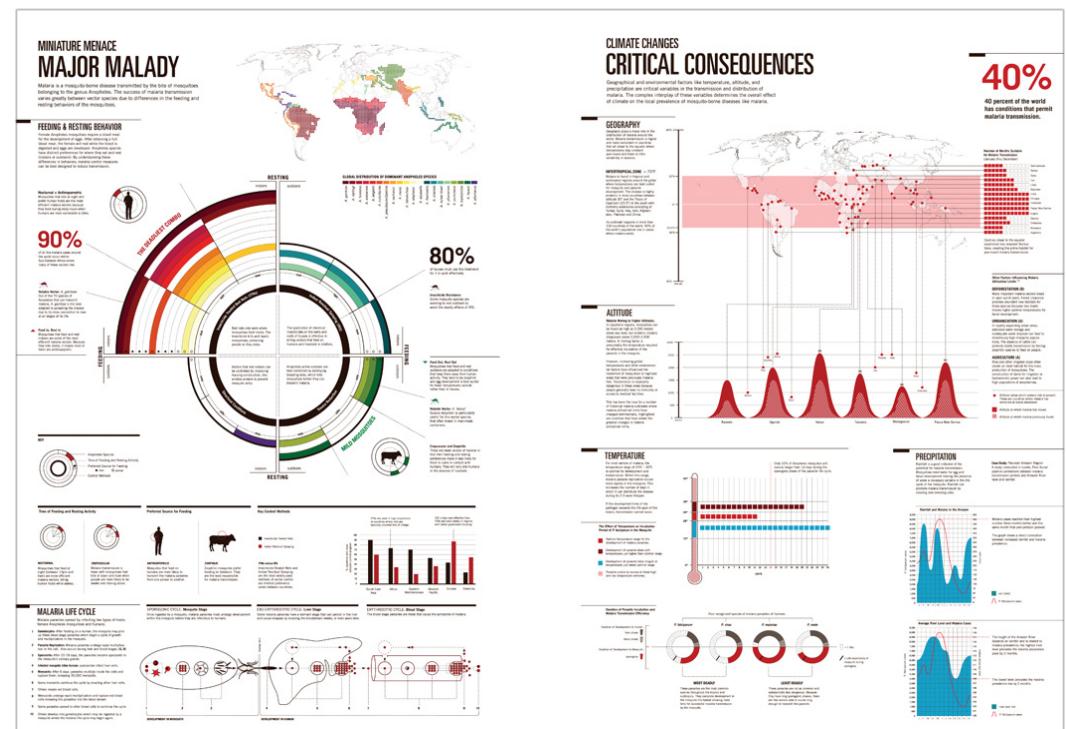
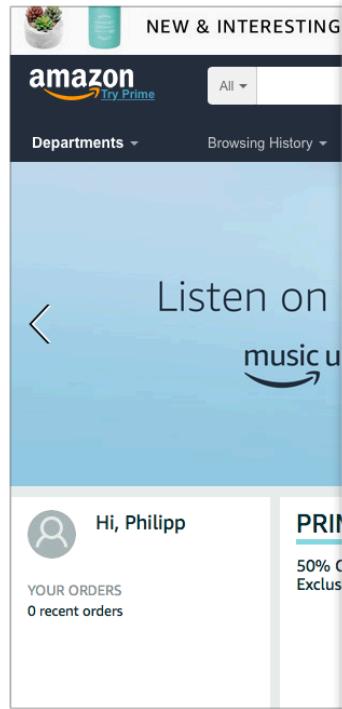
The screenshot shows the Eclipse IDE interface with two open Java files:

- MainTest.java**: Contains a main method that prints the value of the `HELLO` constant.
- MyFirstClass.java**: Contains a class definition with a static final string `HELLO` and a main method.

```
1 package de.vogella.eclipse.ide.first;
2
3 public class MyFirstClass {
4
5     private static final String HELLO = "Hello Eclipse!";
6
7     public static void main(String[] args) {
8         // TODO Provide user interface
9         System.out.println(HELLO);
10        int sum = 0;
11        sum = calculateSum(sum);
```

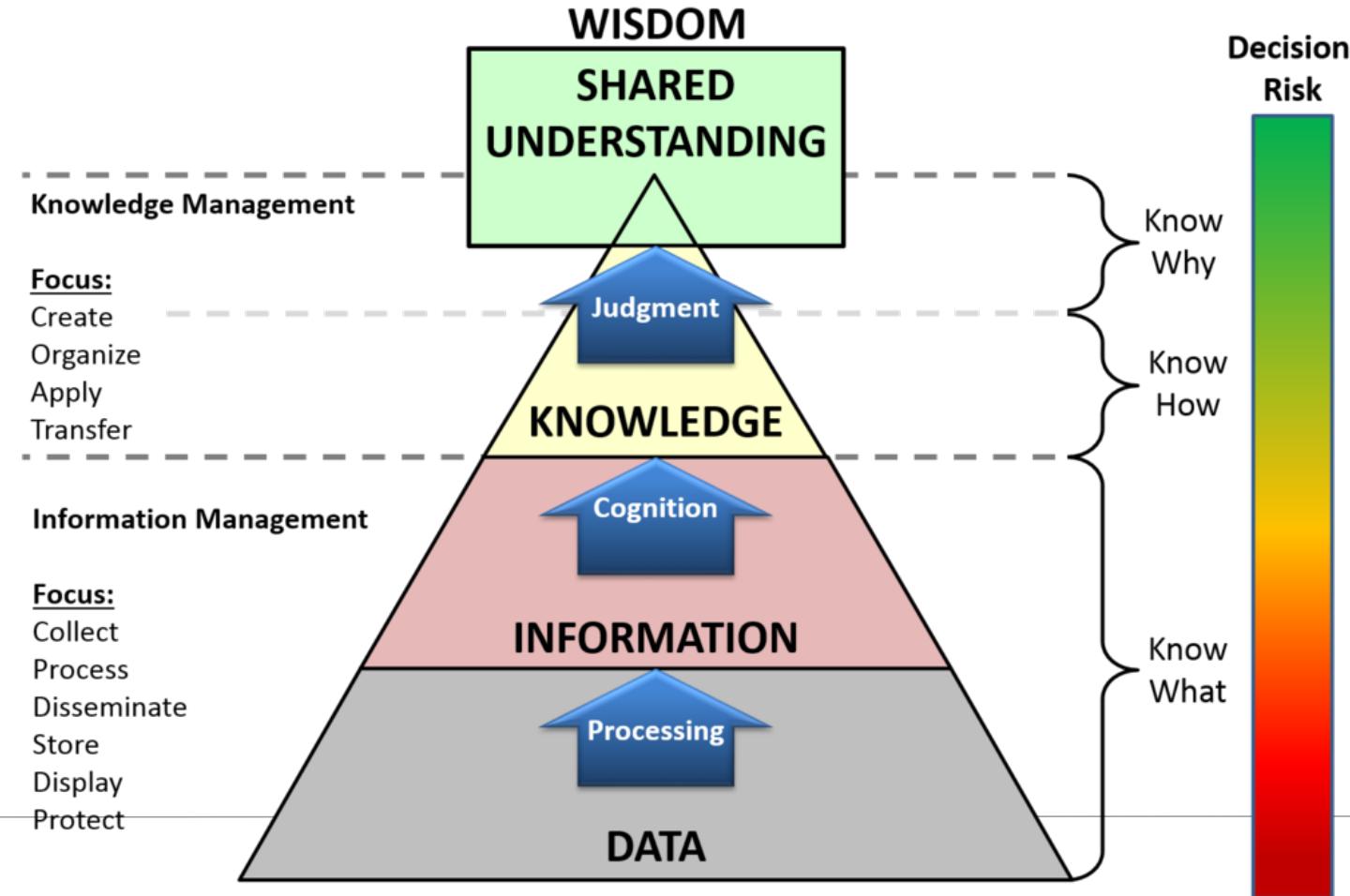
... not sufficient for many use cases

# Most programming is about *data*



[https://en.wikipedia.org/wiki/DIKW\\_pyramid](https://en.wikipedia.org/wiki/DIKW_pyramid)

## Knowledge Management Cognitive Pyramid



# Data

**Signals** that are stored (on disk, in memory, ...)

No “**meaning**” in itself

May or may not be **correct** (“noise”)

May or may not be **redundant**



# Information

Data with **labels** (== some sort of description)

Can be a **schema**

Or just implicit labels

Generally **assumed to be** not redundant nor wrong

**Data and information is what we primarily deal with!**

(but the meaning will need to come from your programs)

# Knowledge / Wisdom

Less well-defined concepts

Generally:

**Information + meaning**

Typically **not the realm of computer programmes**

But AI (artificial intelligence) is stretching the boundaries

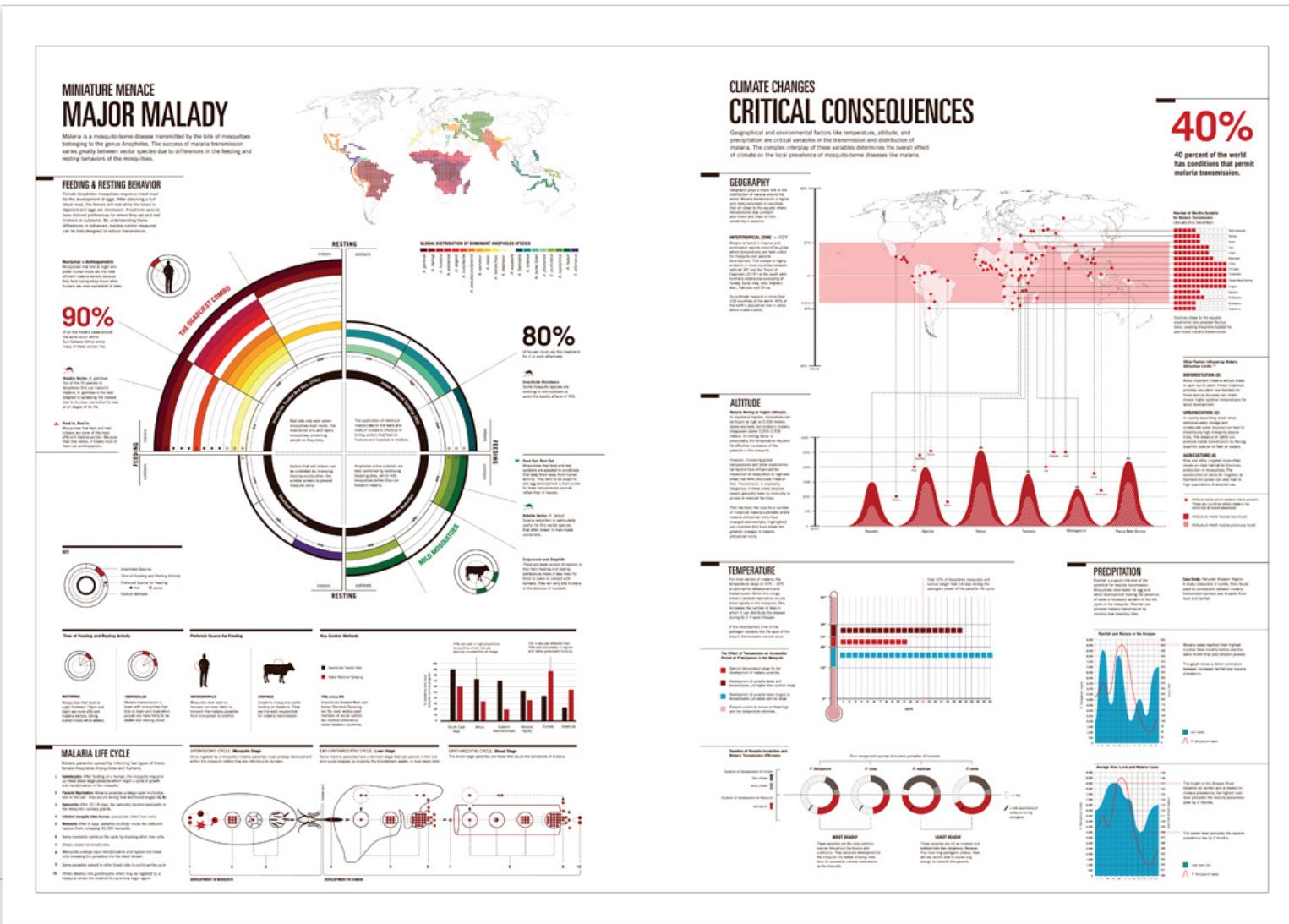
# Data Visualization

Common (human) way to **go from information to knowledge**  
**Good** visualisation helps understanding

Quick overview of lots of data

Easier to establish relationships

Easier to compare data



# Types of Visualizations

**Textual** (e.g., printing to `System.out`)

## Tables

MS Excel style visualization

## Plots

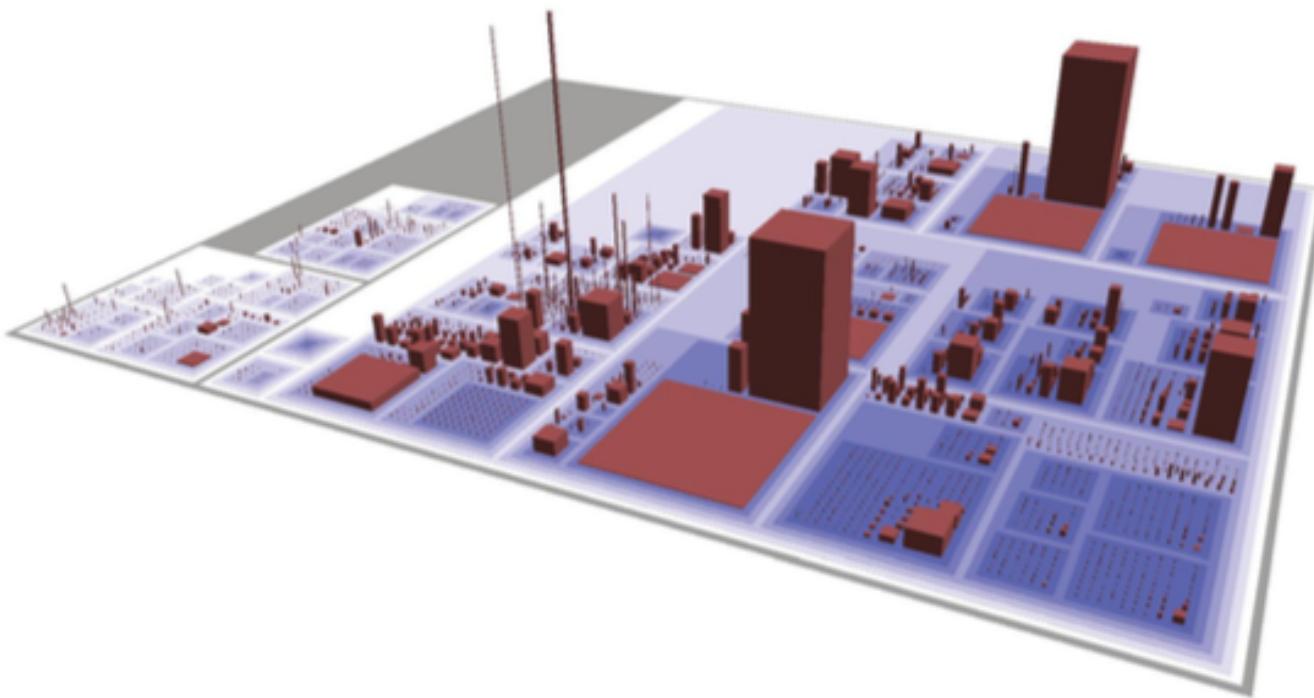
Line charts, bar charts, boxplots, pie charts, ...

## Custom

E.g., the “city metaphor” for visualization of source code

# City Metaphor

Wettel and Lanza. Software systems as cities. VISSOFT 2007.



# Types of Visualizations

**Textual** (e.g., printing to `System.out`)

**Tables**

MS Excel style visualization

**Plots**

Line charts, bar charts, boxplots, pie charts, ...

**Custom**

E.g., the “city metaphor” for visualization of source code

# What we will be covering

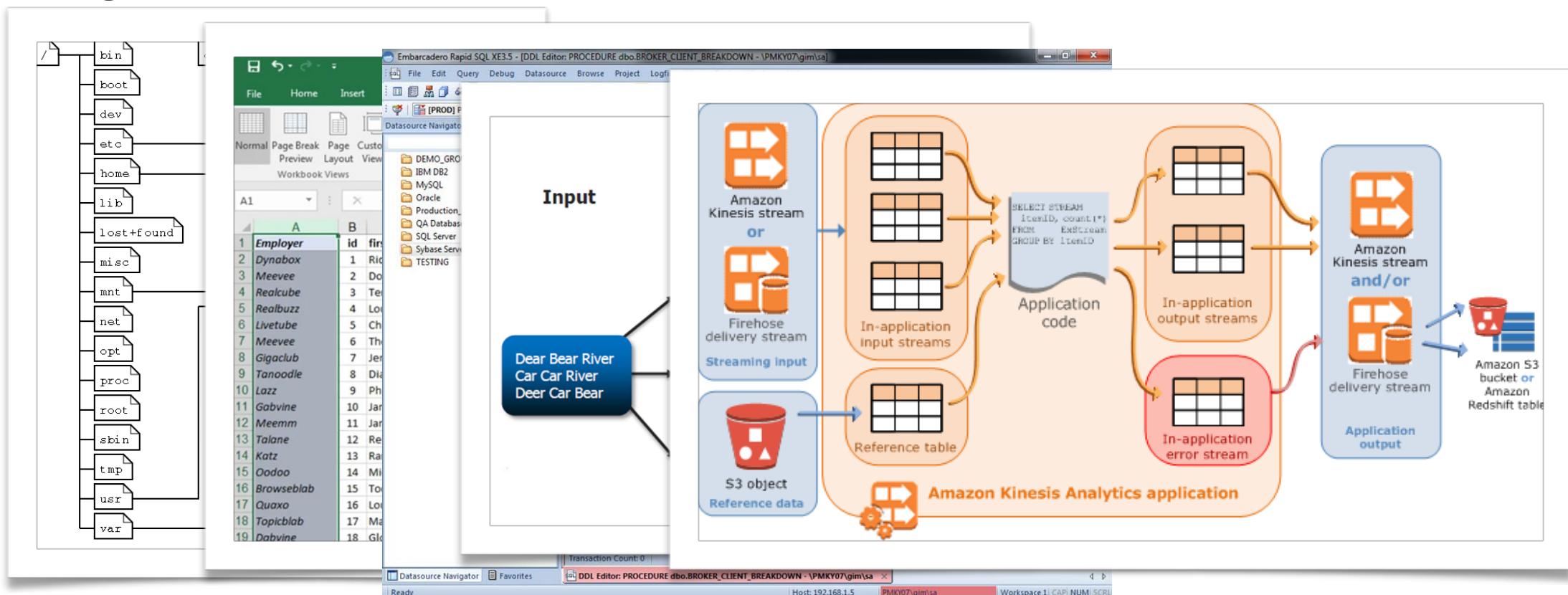
**About data, information, and knowledge**

 **Types of databases**

**What's a database, really?**

**Database architecture and concepts**

# Types of databases



# Types of databases

**Files and File Systems**

**Spreadsheets**

**SQL**

**NOSQL**

**Cloud services**

# Basic data operations - CRUD

**C**reate  
**R**ead  
**U**pdate  
**D**elete



*Fundamental Operations Most  
Databases Will Support*

# Querying

Querying is in reality where the “meat” in most real database systems is

**Special form of “read”**

Whereas “read” delivers exactly one entry, a query is used to **retrieve**:

- More than one entry

- Parts of one or more entries (e.g., only the IDs)

- Based on complex constraints

**Examples:**

- Give me all files that end with “\*.exe”

- Give me the last names of all students enrolled in DIT032

# What we will be covering

**About data, information, and knowledge**

**Types of databases**



**What's a database, really?**

**Database architecture and concepts**

# Database

**Collection** of related data

Miniworld or **universe of discourse** (UoD)

Represents **some aspect** of the real world

**Logically coherent** collection of data with inherent meaning

Built for a **specific purpose**

# An Example

## UNIVERSITY database

Information concerning students, courses, and grades in a university environment

### Data records

STUDENT

COURSE

SECTION

GRADE\_REPORT

PREREQUISITE

# An Example

**Database > Records > Data Items**

'chalmers' > 'course' > course\_name: 'Database'

'chalmers' > 'student' > student\_number: 17

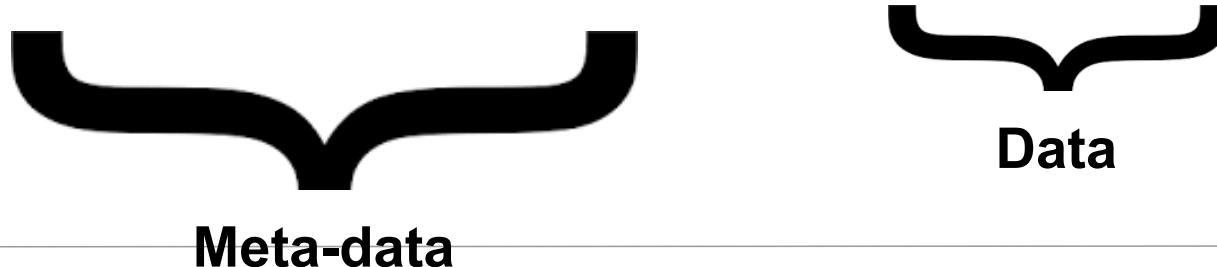


# An Example

**Database > Records > Data Items**

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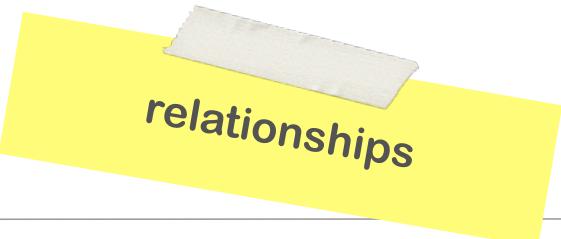


# An Example

**Database > Records > Data Items**

'chalmers' > 'course' > course\_name: 'Database'

'chalmers' > 'student' > student\_number: 17  *Enrolled In*



*relationships*

**STUDENT**

Name	Student_number	Class	Major
Smith	17	1	CS
Brown	8	2	CS

**COURSE**

Course_name	Course_number	Credit_hours	Department
Intro to Computer Science	CS1310	4	CS
Data Structures	CS3320	4	CS
Discrete Mathematics	MATH2410	3	MATH
Database	CS3380	3	CS

**SECTION**

Section_identifier	Course_number	Semester	Year	Instructor
85	MATH2410	Fall	07	King
92	CS1310	Fall	07	Anderson
102	CS3320	Spring	08	Knuth
112	MATH2410	Fall	08	Chang
119	CS1310	Fall	08	Anderson
135	CS3380	Fall	08	Stone

**GRADE\_REPORT**

Student_number	Section_identifier	Grade
17	112	B
17	119	C
8	85	A
8	92	A
8	102	B
8	135	A

**PREREQUISITE**

Course_number	Prerequisite_number
CS3380	CS3320
CS3380	MATH2410
CS3320	CS1310

# Meta-data

**“Data about data”**

Data that is used to describe what the “actual” data is allowed to look like

Think Java classes and how they relate to object instances

# Example Queries

**Get a student**

**List the names** of students who took the section of the  
'Database' course offered in fall 2008

**List the prerequisites** of the 'Database' course

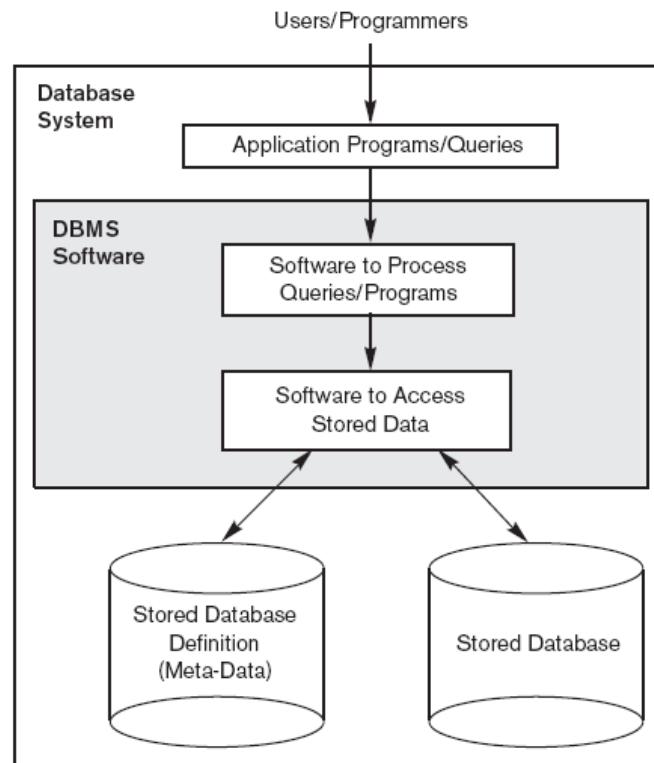
# Example Updates

**Change** the class of ‘Smith’ to sophomore

**Enter** a grade of ‘A’ for ‘Smith’ in the database section of last semester

**Delete** the grade of ‘Smith’ in last semester’s database section

# Database Management Systems



**Figure 1.1**  
A simplified database system environment.

# Advantages of (many) DBMS

Providing **persistent storage** for program objects

But: Impedance **mismatch problem**

Providing **efficient querying** capabilities (search)

Indexing / optimized data structures

Query optimization

Caching

Controlling **redundancy**

Data normalization

Denormalization

# Advantages of (many) DBMS

**Ensuring data integrity**

- Data types

- Referential integrity

- Uniqueness constraints

**Ensuring transactional properties**

- “ACID” properties

**Restricting unauthorized access**

- Access control

**Backup and recovery**

# ACID

## Atomicity

All changes are applied, or nothing is applied

## Consistency

Database is always in a consistent state (no “in-between” time)

## Isolation

Concurrency control - guarantees that concurrent transactions are not interfering

## Durability

Once a change is applied, it remains even through failures

# What we will be covering

**About data, information, and knowledge**

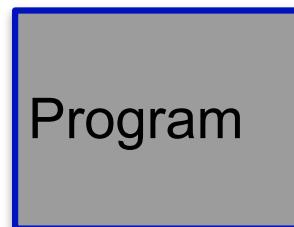
**Types of databases**

**What's a database, really?**

 **Database architecture and concepts**

# Client/Server Architecture

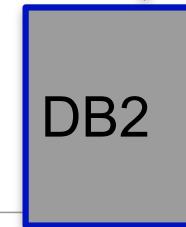
(diff. kinds of) **Clients**



actual **DBMS**



different **Databases**

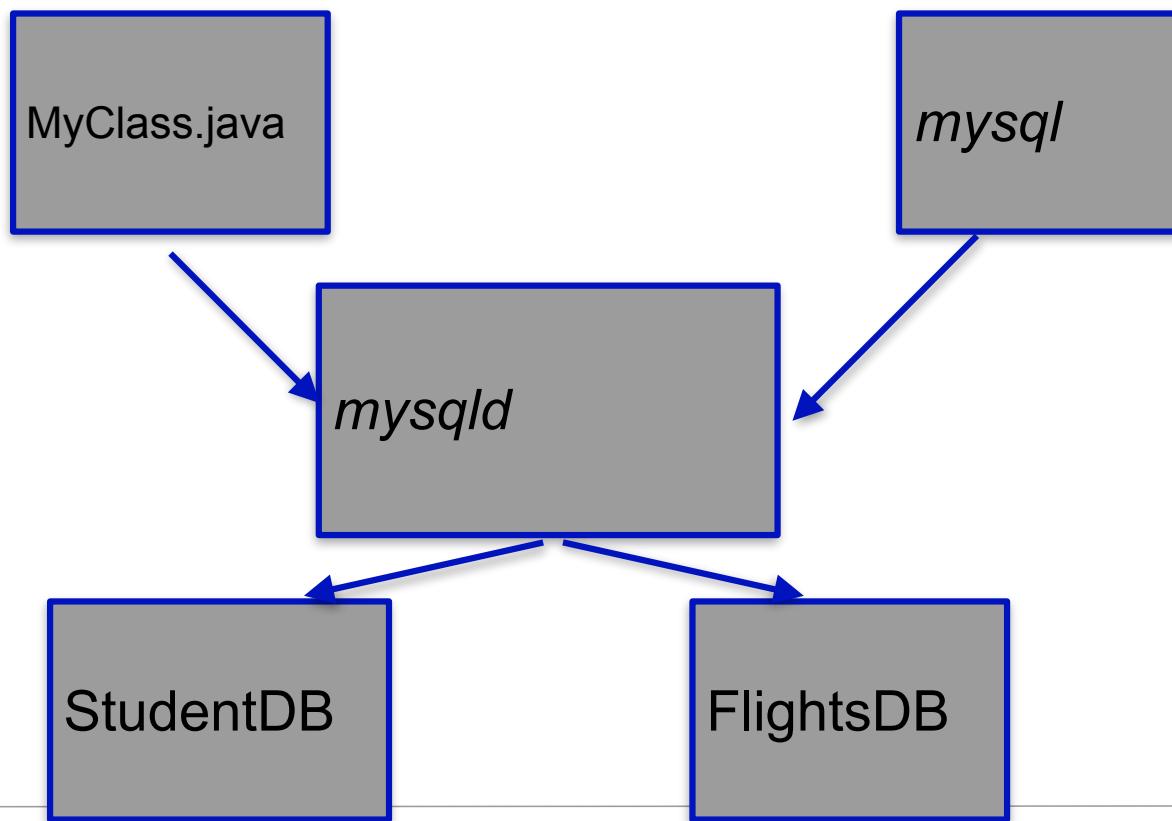


...



*nothing magical  
about the cmdline DB  
client - just another  
program*

# Example: MySQL



# Roles in a Database World - User Side

## Database Administrator

Manages the DBMS itself

## Database Designer

Manages the meta-data (schema), but not the data itself

## Database User

Developers writing programs using DBs

“Naive” end users (use pre-canned queries)

Sophisticated end users (write custom, interactive queries)

# Roles in a Database World - Backend Side

## Database Developers

Build the DBMS itself

## Database Tool Developers

Responsible for building dev. tools, e.g., ORM libraries

## Operators and Maintenance

Manage hardware and software that the DBMS is running on



We will focus on the user side!

# Some Basic Concepts and Terminology

## Database schema

Description of a database

## Entities

“Things” that exist in the schema (students, courses, ...)

## Attributes

Describe entities

## Instances

Concrete objects matching an entity

Cp.: instances in OOP

# Some Basic Concepts and Terminology

## **Schema diagram**

Displays selected aspects of schema

## **Schema construct**

Each object in the schema

## **Database state or snapshot**

Data in database at a particular moment in time

Change in the data represented by series of snapshots

## **Schema Evolution**

# Some Basic Concepts and Terminology

**Figure 2.1**

Schema diagram for the database in Figure 1.2.

**STUDENT**

Name	Student_number	Class	Major
------	----------------	-------	-------

**COURSE**

Course_name	Course_number	Credit_hours	Department
-------------	---------------	--------------	------------

**PREREQUISITE**

Course_number	Prerequisite_number
---------------	---------------------

**SECTION**

Section_identifier	Course_number	Semester	Year	Instructor
--------------------	---------------	----------	------	------------

**GRADE\_REPORT**

Student_number	Section_identifier	Grade
----------------	--------------------	-------

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<sup>6</sup>Schema changes are usually needed as the requirements of the database applications change. Newer database systems include operations for allowing schema changes, although the schema change process is more involved than simple database updates.

<sup>7</sup>It is customary in database parlance to use *schemas* as the plural for *schema*, even though *schemata* is the proper plural form. The word *schema* is also sometimes used to refer to a schema.

# Schema Evolution

In practice, not only the data but also the **schema** changes over time

E.g., a new version of your program uses some extra attributes

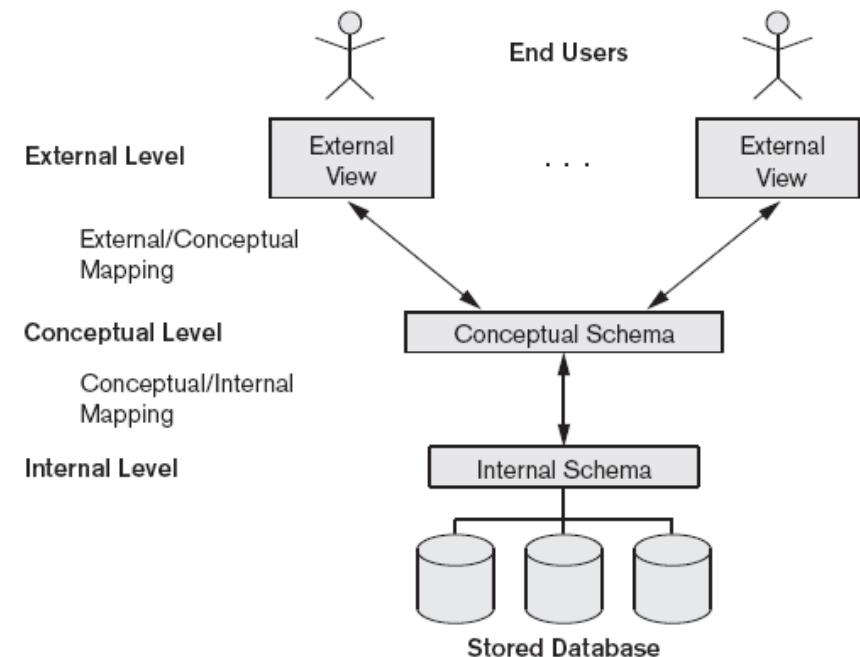
## Schema Evolution

Difficult because old data needs to be **migrated**

# Three-Schema Architecture

ANSI/SPARC  
Standard

**Figure 2.2**  
The three-schema architecture.



## A More Comprehensive View on a Relational Database

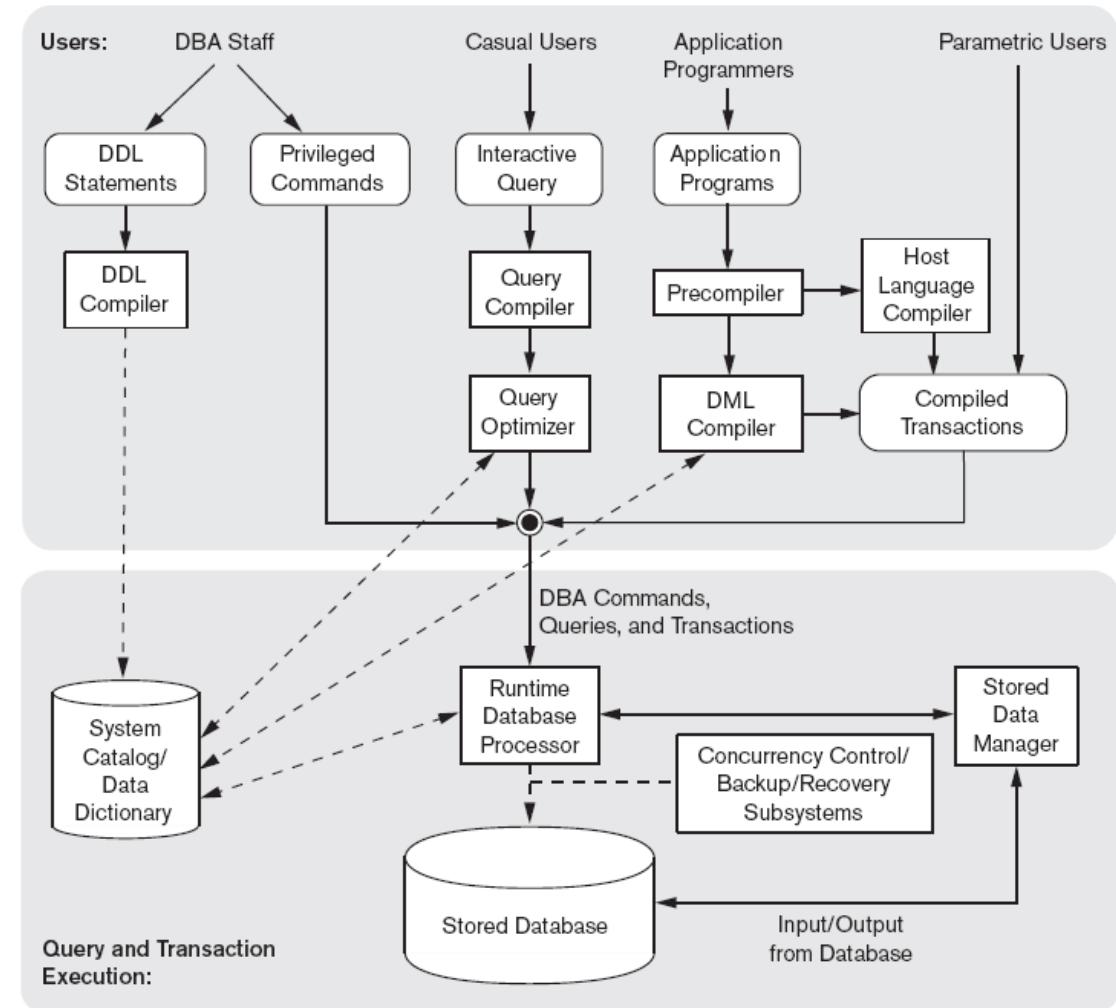
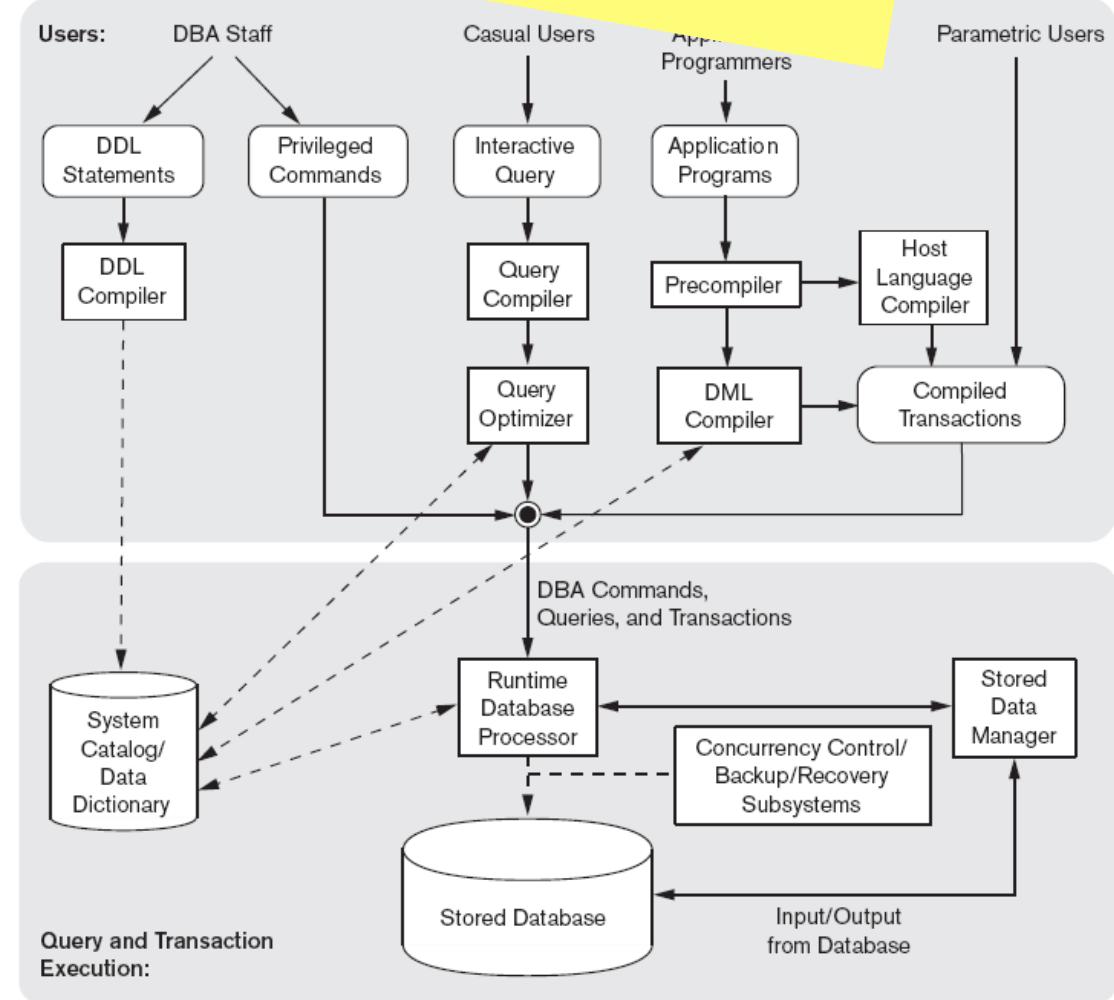


Figure 2.3  
 Component modules of a DBMS and their interactions.

## A More Comprehensive View on a Relational Database

Different tools for different users



# A More Comprehensive View on a Relational Database

**Client/Server Architecture**

Copyright (c) 2011 Pearson Education

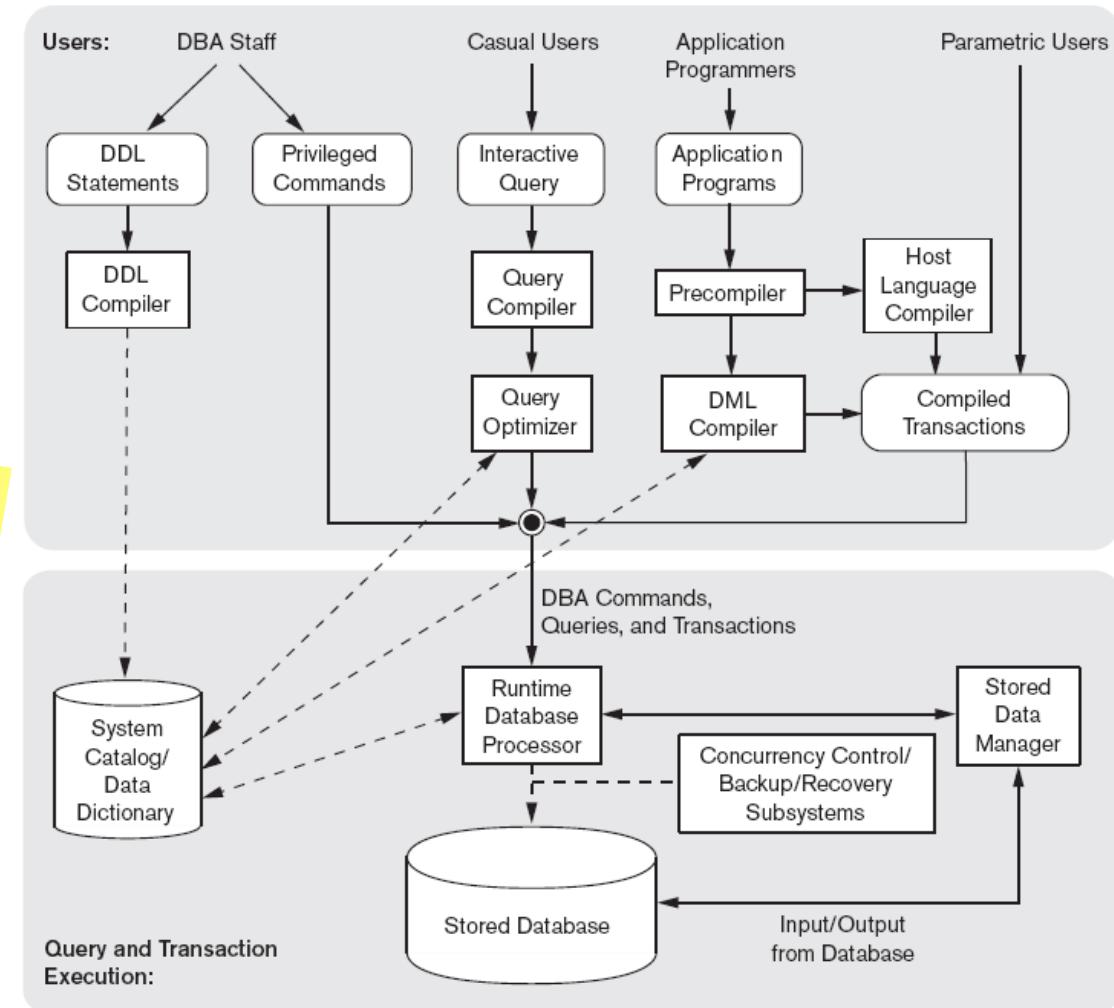


Figure 2.3  
 Component modules of a DBMS and their interactions.

## A More Comprehensive View on a Relational Database

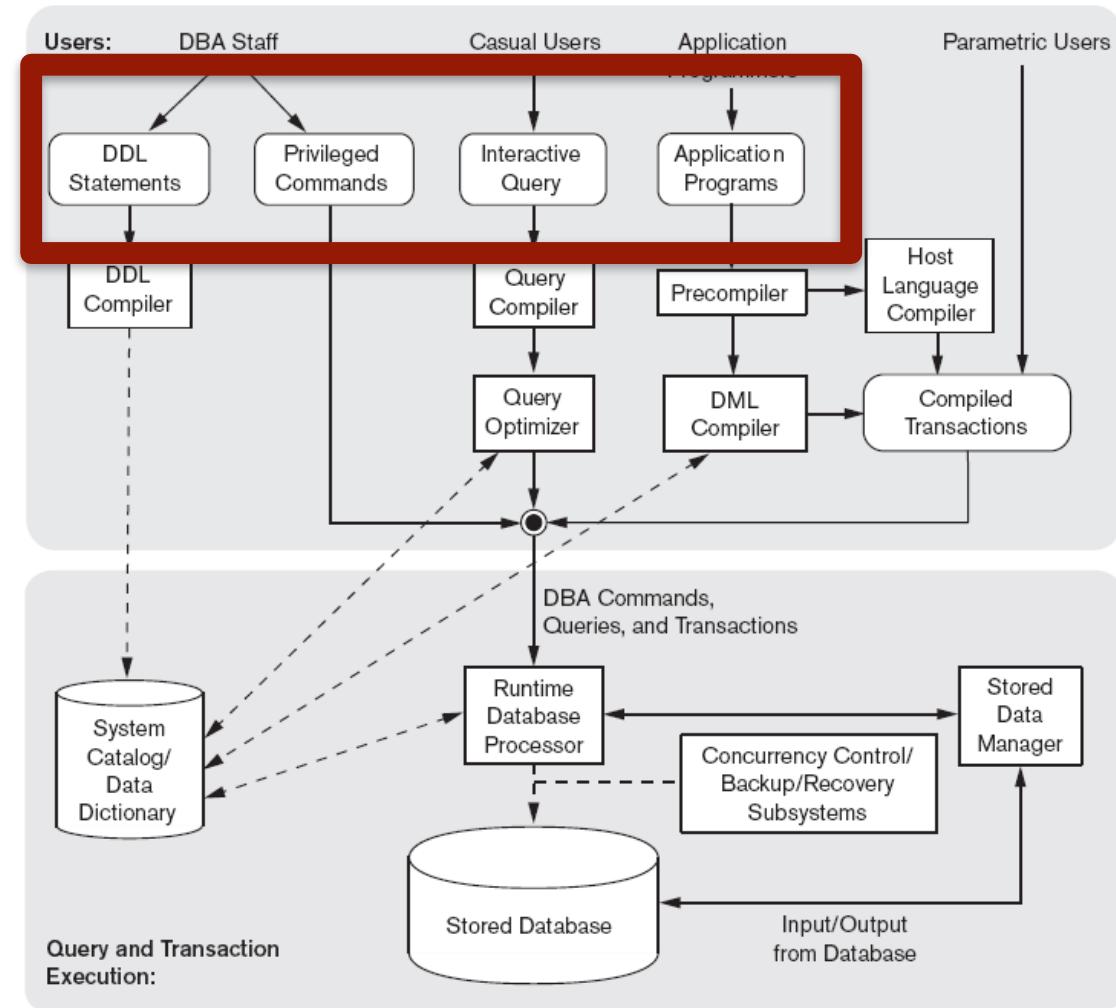


Figure 2.3  
 Component modules of a DBMS and their interactions.

# Interaction with the DBMS

Menu-based interfaces for Web clients or browsing

Forms-based interfaces

Graphical user interfaces (GUI)

Natural language interfaces

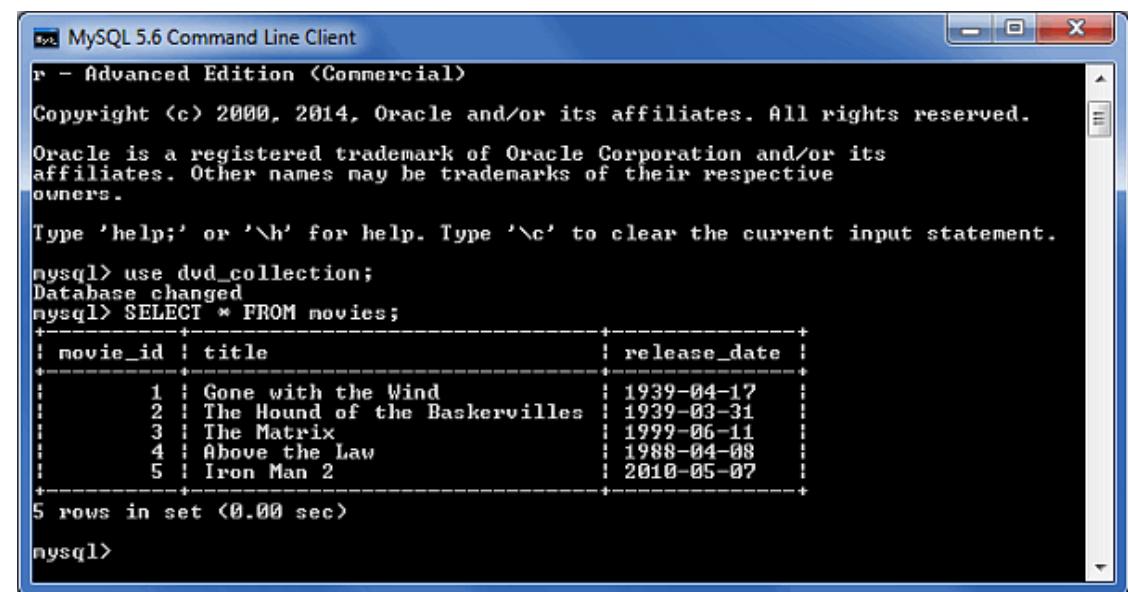
Speech input and output

Interfaces for parametric users

**Interfaces for the DBA**



*This what we will use*



The screenshot shows a Windows command-line interface window titled "MySQL 5.6 Command Line Client". It displays the following text:

```
r - Advanced Edition (Commercial)
Copyright (c) 2000, 2014, Oracle and/or its affiliates. All rights reserved.
Oracle is a registered trademark of Oracle Corporation and/or its
affiliates. Other names may be trademarks of their respective
owners.

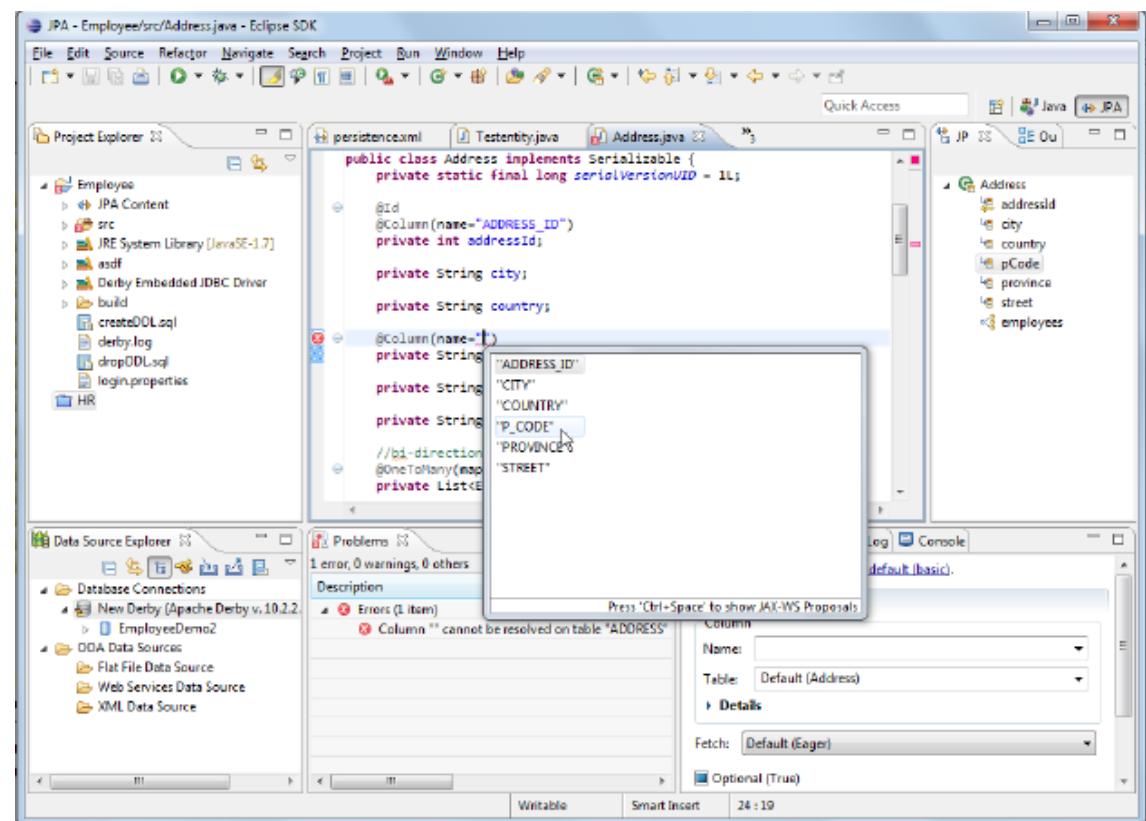
Type 'help;' or '\h' for help. Type '\c' to clear the current input statement.

mysql> use dud_collection;
Database changed
mysql> SELECT * FROM movies;
+-----+-----+
| movie_id | title          | release_date |
+-----+-----+
| 1 | Gone with the Wind | 1939-04-17 |
| 2 | The Hound of the Baskervilles | 1939-03-31 |
| 3 | The Matrix | 1999-06-11 |
| 4 | Above the Law | 1988-04-08 |
| 5 | Iron Man 2 | 2010-05-07 |
+-----+-----+
5 rows in set (0.00 sec)

mysql>
```

# Interaction with the DBMS

Over an API (e.g., Java)



The screenshot shows the Eclipse IDE interface with several open windows:

- Project Explorer:** Shows the project structure with packages like Employee, HR, and a Database Connections folder containing a New Derby connection.
- persistence.xml:** Persistence configuration file.
- Address.java:** Java code for the Address entity. It includes annotations like @Id, @Column(name="ADDRESS\_ID"), and @OneToOne(mappedBy=""). A tooltip is shown over the @Column annotation, listing column names: ADDRESS\_ID, CITY, COUNTRY, P\_CODE, PROVINCE, and STREET.
- Data Source Explorer:** Shows Database Connections and ODA Data Sources.
- Problems:** Shows one error: "Column '' cannot be resolved on table 'ADDRESS'".
- Java Persistence API (JPA) palette:** A floating palette with options for Name, Table, Fetch, and Optional (True).
- Database browser:** On the right, showing the structure of the ADDRESS table with columns addressId, city, country, pCode, province, street, and employees.

# Key Takeaways

**Data, information, and knowledge**

What ways do we have to visualize data?

**Basic database operations**

CRUD - create, read, update, delete

The importance of querying

Databases as **collections of meta-data and data**

The concept of “**Database Management Systems**”

# Key Takeaways

## **Advantages of using a DBMS**

Incl. relational integrity, ACID properties, powerful queries, ...

## **Basic database operations**

CRUD - create, read, update, delete

The importance of querying

## **Roles in a database application**

## **The ANSI/SPARC Three-Schema Architecture**