

OpenClinica 3.4.x for Monitors



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Preface

This manual describes the main activities of the Monitor-role in OpenClinica 3.4.x: Source Data Verification and Discrepancy handling.

To use this manual an account with the role of Monitor is the only requirement.

Please contact us for manuals for the roles of Clinical Research Coordinator and Investigator or if you would like a tailor-made manual, based on this one.

Gerben Rienk Visser

GerbenRienk@trialdatasolutions.com

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1 Choosing your browser and starting

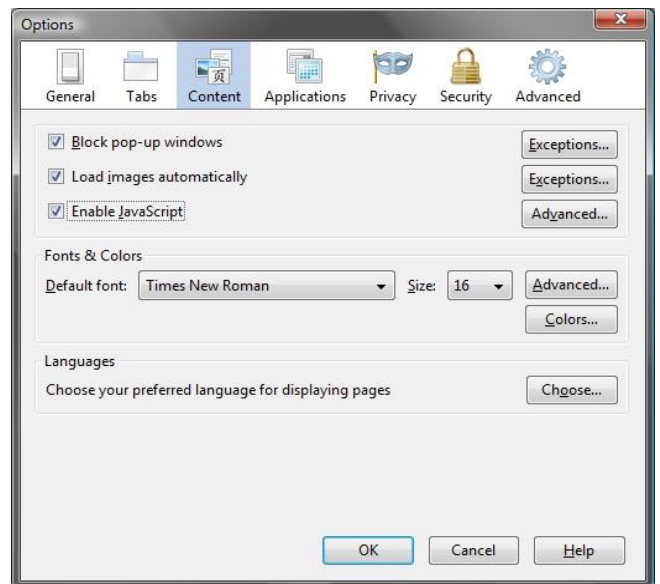
To use OpenClinica you need a browser. You can use Firefox (Mozilla) version 3 or higher, or Microsoft Internet Explorer version 7 or higher.

You can not use Chrome (Google) or Safari (Mac), because these programs are not compatible with OpenClinica.

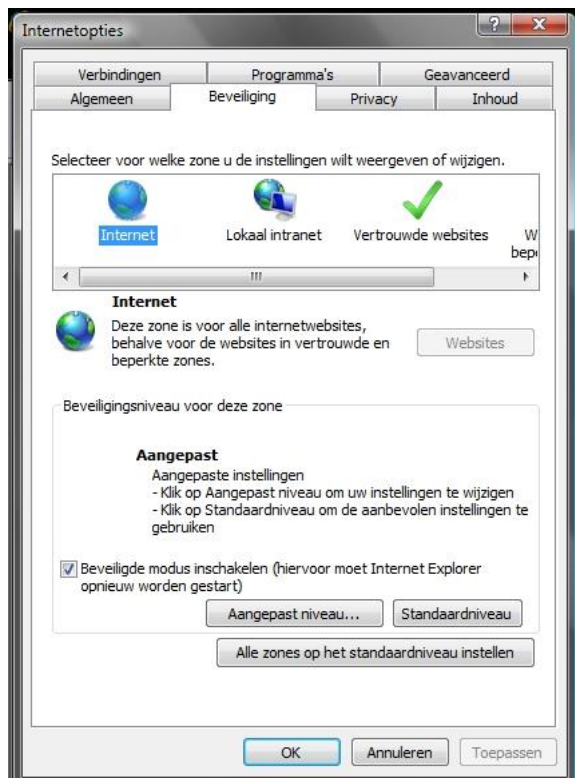


Furthermore your browser must be set up to execute Java-script.

In Firefox you can check this by clicking the menu-option "Tools"->"Options". In the window that opens, click on the tab "Content" and make sure "Enable JavaScript" is checked.



In Internet Explorer you can check if Java-script is enabled by clicking menu option "Tools", "Internet options". In the window that opens click on tab "Security". Then you click "Custom Level ...". Scroll down to "Scripting" and check if "Active Scripting" is set to "Enable".



2 Logging in

To start OpenClinica, type in the address you've been given. You will automatically be routed to the login-screen.

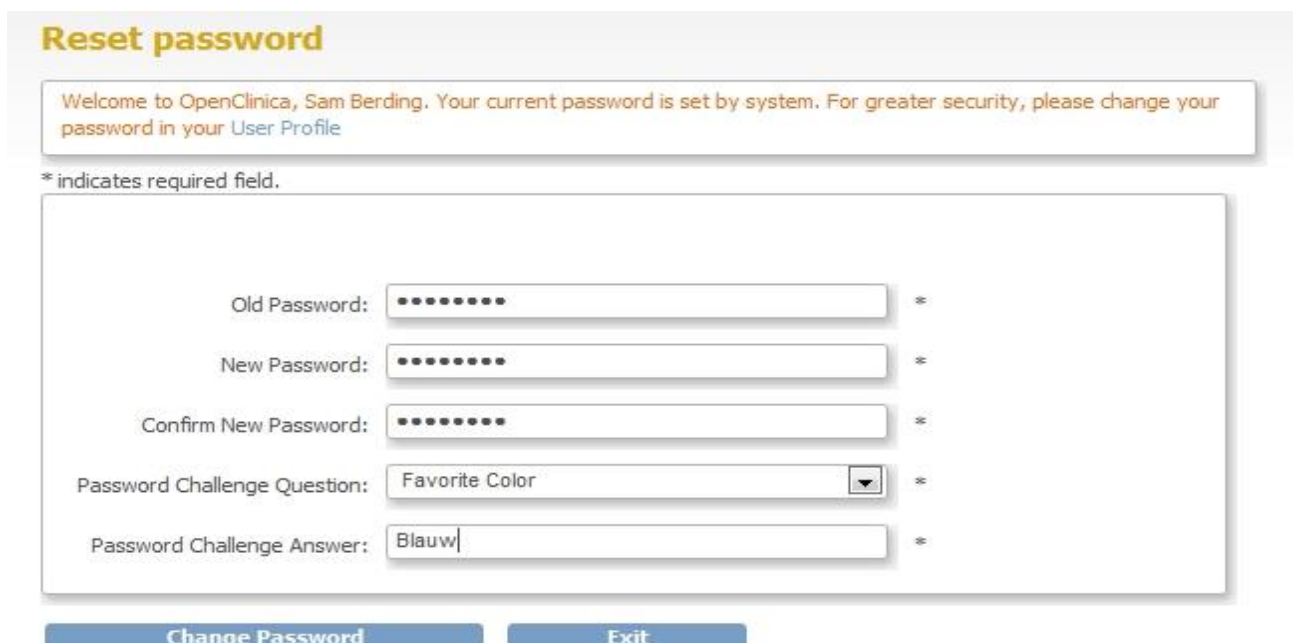
You can log in with the user name and password that have been sent to you by mail. Click on button [Login](#).



The screenshot shows a login interface with the title "Login" in blue. Below the title are two input fields: "User Name" containing the text "pgilbert" and "Password" containing seven dots. At the bottom, there is a blue "Login" button and a link "Forgot Password?" in blue text.

3 Changing your password on first time log-in

Because this is the first time you log in to OpenClinica, you will be asked to change your password. In the form that opens, "Reset password", you are asked to once more type in the password you've been given, [Old Password](#). In the next input you type your new password, directly followed by the same password, [New Password](#) and [Confirm New Password](#).



The screenshot shows a "Reset password" form. At the top, a message box says: "Welcome to OpenClinica, Sam Berding. Your current password is set by system. For greater security, please change your password in your User Profile". Below this, a note states "* indicates required field." The form contains five input fields, each with an asterisk on the right: "Old Password:" (filled with dots), "New Password:" (filled with dots), "Confirm New Password:" (filled with dots), "Password Challenge Question:" (a dropdown menu showing "Favorite Color"), and "Password Challenge Answer:" (containing the text "Blauw"). At the bottom, there are two buttons: "Change Password" and "Exit".

After that you must choose a question plus the answer to that question. These will be used in case you have lost your password and have to request a new one. For example: [Password Challenge Question](#) you choose Favorite Color, with [Password Challenge Answer](#) blue.

4 Logging out

When you're finished with your OpenClinica-activities you must log out. This prevents unauthorized persons to view or change the data. You log out by clicking [Log Out](#) in the upper right corner of the screen.

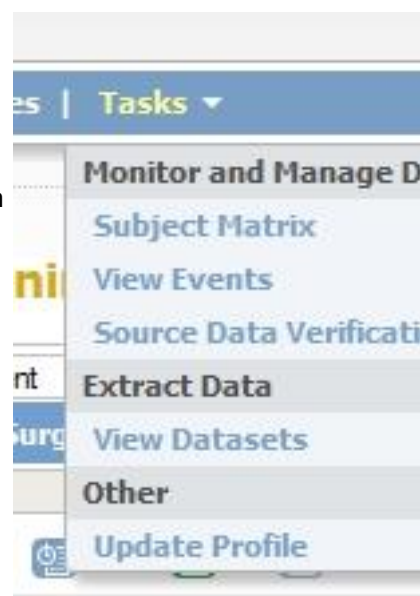


The screenshot shows a user bar at the top right of the screen. It contains the text "pgilbert (Monitor) | Log Out" in blue. Below this is a blue button labeled "Study Subject ID" with a "Go" button next to it.

5 Changing your password

You can change your password any time by clicking menu option **Tasks** and then choosing **Update Profile**.

The form "Change User Profile" appears, allowing you to change data about your account. All fields with an asterisk * are required. This means you must provide a telephone number for **Phone**. Of course this can be any number. To save the changes you must provide your password, even if you have only changed for example your telephone number. In that case you can leave field **New Password** blank.



Change User Profile ?

* indicates required field.

First Name:	<input type="text" value="Paul"/>	*
Last Name:	<input type="text" value="Gilbert"/>	*
Email:	<input type="text" value="pgilbert@trialdatasolutions.com"/>	*
Institutional Affiliation:	<input type="text" value="def"/>	*
Default Active Study:	<input type="text" value="GCP-Service training study"/>	
Password Challenge Question:	<input type="text" value="Favorite Animal"/>	*
Password Challenge Answer:	<input type="text" value="bird"/>	*
Old Password:	<input type="password" value="....."/>	*
New Password:	<input type="password" value="....."/>	
Confirm New Password:	<input type="password" value="....."/>	(leave it blank if you don't want to change password)
Phone:	<input type="text" value="123"/>	*

After clicking button [Confirm Profile Changes](#), the screen “Confirm User Profile Updates” opens. Check your account-data one more time and click button [Update Profile](#). In the left column of the screen the message “Your profile has been updated successfully” appears.



Confirm User Profile Updates	
First Name:	Paul
Last Name:	Gilbert
Email:	pgilbert@trialdatasolutions.com
Institutional Affiliation:	monitor
Default Active Study:	GCP-Service training study
Password Challenge Question:	Favorite Animal
Password Challenge Answer:	bird
Phone:	06 49 321 11

[Update Profile](#) [Cancel](#)

6 When you've lost your password

When you've lost your password you can request a reset of the password. A new, random password will be sent to the email-address you provided in your account-data. You request this new password by clicking [Forgot Password?](#) in the log in screen. The form “Request Password Form” opens.

Type in [User Name](#) your user-name and in [Email](#) the email address, as used in OpenClinica. Then you select the [Password Challenge Question](#) and give your [Password Challenge Answer](#). Click button [Submit Password Request](#) and after a few minutes a mail will be sent to you with the new password. After logging in with this new password, you must change it immediately, just as when you log in for the first time.

Request Password Form

You must have a valid OpenClinica user account to receive a password. Please fill out all the fields in the following form. OpenClinica will use the e-mail address associated with your account to send you the password. If you still have questions, please contact your OpenClinica Administrator for help.

All fields are required.

User Name :	<input type="text" value="pgilbert"/>
Email:	<input type="text" value="pgilbert@trialdatasolutions.com"/>
Password Challenge Question:	<input type="text" value="Favorite Animal"/>
Password Challenge Answer:	<input type="text" value="bird"/>
<input type="button" value="Submit Password Request"/> <input type="button" value="Cancel"/>	

7 Change Study/Site

When you log in to OpenClinica, your active study will be the study you last worked on. If you are assigned to more than one study, you can change to another study, by using the link [Change Study/Site](#).

You see in the upper-left corner the active Study “GCP-Service training study: Bremen (TDS0004: Site B)”. If you want to change to site “Amsterdam” you click the radio-button and then click the button [Change Study](#). The screen “Confirm Changing Study” opens and here you click on [Confirm](#).

In the left column you see under Alerts & Messages “Your current active study has been changed successfully”.

Change Your Current Study ?

Your current active study is Bremen, with a role of Monitor.

Please choose a study in the following list:

<input type="radio"/>	AcuCo (Data Specialist)
<input type="radio"/>	Bortezomib Study (Monitor)
GCP-Service training study	
<input type="radio"/>	Amsterdam (Monitor)
<input checked="" type="radio"/>	Bremen (Monitor)

Alerts & Messages

**Your current active study
has been changed
successfully.**

Another option is when you have rights to a Study as a whole, so to all the sites. If you click the link “Change Study/Site” you can choose the Bortezomib-study and this will give you access to all sites in that study.

8 Your “Home” in OpenClinica

After you've logged in successfully your “Home”-screen opens. This screen displays the matrix for Source Data Validation, plus a link labeled [Notes & Discrepancies Assigned to Me](#). You can always return to this screen by clicking [Home](#) in the menu in the top of screen. .

Your main activities in OpenClinica will be Source Data Verification, or SDV, and Discrepancy Management. You can also view all data entered by using the Subject Matrix.



As a Monitor it is not possible for you to enter Study-data, only to review data and handle queries about it.

9 How data is organised in OpenClinica

In OpenClinica the participants of a Study are called Subjects or StudySubjects. The Subjects are assigned to Sites.

The visits that the Subjects make in the process of the Study are called Events.

In each Event data is collected in one or more CRFs.

Each CRF can have one or more tabs, or Sections, with input boxes for items.

10 Source Data Verification

Source Data Verification is the process of comparing a source data with the data entered in OpenClinica. In the study-setup, the study-coordinator can give each CRF in each Event an “SDV-required-status”. This status ranges from “not required”, via “partially required” to “100% required”.

When you have checked that everything has been entered in OpenClinica according to the source data, then the CRF must be marked as “SDV'd”.

For items where the source data and the data in OpenClinica are different, you can create a Query, as described in 13 .

Start SDV by clicking the menu-option [SDV](#) in the menu in the top of your screen. You can also click menu [Tasks, Monitor and Manage Data – Source Data Verification](#).

The screen opens by default with the “View By Event CRF”-tab. This screen provides you several ways to quickly find the CRFs of the Subjects you want to do SDV for. It displays for all Subjects all the CRFs with status “Completed” or “Locked”. The sort-order is by Event Date.

You can filter the CRF by using the columns that have an input box below the column-header. For example if you want to filter on Study Subject ID you enter this in the inputbox and hit return, or click on “Apply Filter, in the upper right corner of the matrix. Now all CRFs for for this particular Subject will be displayed.

Source Data Verification for Bremen ?

View By Event CRF

View By Study Subject ID

15

Show More

The table is sorted by Event Date

Select: All Shown, None

SDV Status	Study Subject ID	Site ID	Event Name	Event Date	CRF Name / Version	SDV Requirement	CRF Status	Actions
	44							Apply Filter Clear Filter
<input type="checkbox"/>	TDS044	TDS0004: Site B	Baseline(1)	23-Sep-2011	Base/ V11	100% Required	<input checked="" type="checkbox"/>	SDV
<input type="checkbox"/>	TDS044	TDS0004: Site B	Visit 1(1)	23-Sep-2011	Patient diary/ V01	Not Required	<input checked="" type="checkbox"/>	SDV
<input checked="" type="checkbox"/>	TDS044	TDS0004: Site B	Visit 1(1)	23-Sep-2011	Standard examination/ v.01	100% Required	<input checked="" type="checkbox"/>	
<input type="checkbox"/>	TDS044	TDS0004: Site B	Visit 2(1)	23-Sep-2011	Standard examination/ v.01	100% Required	<input checked="" type="checkbox"/>	SDV
<input type="checkbox"/>	TDS044	TDS0004: Site B	Visit 2(1)	23-Sep-2011	Patient diary/ V01	Not Required	<input checked="" type="checkbox"/>	SDV
<input checked="" type="checkbox"/>	TDS044	TDS0004: Site B	Screening(1)	23-Sep-2011	Screening/ v.04	100% Required	<input checked="" type="checkbox"/>	
<input checked="" type="checkbox"/>	TDS044	TDS0004: Site B	Screening(1)	23-Sep-2011	Eligibility/ v.01	100% Required	<input checked="" type="checkbox"/>	
<input type="checkbox"/>	TDS044	TDS0004: Site B	Screening(1)	23-Sep-2011	History/ v.01	Partial Required	<input checked="" type="checkbox"/>	SDV
<input type="checkbox"/>	TDS044	TDS0004: Site B	Visit 3(1)	25-Sep-2011	Standard examination/ v.01	100% Required	<input checked="" type="checkbox"/>	SDV
<input type="checkbox"/>	TDS044	TDS0004: Site B	Visit 3(1)	25-Sep-2011	Patient diary/ V01	Not Required	<input checked="" type="checkbox"/>	SDV

Results 1 - 10 of 10.

You can refine your search by displaying only the CRFs that are not SDV'd yet. To do this click in the box under the header of column "SDV Status" and choose "None".

Source Data Verification for Bremen ?

View By Event CRF

View By Study Subject ID

15

Show More

The table is sorted by Event Date

Select: All Shown, None

SDV Status	Study Subject ID	Site ID	Event Name	Event Date	CRF Name / Version	SDV Requirement	CRF Status	Actions
none	44							Apply Filter Clear Filter
<input type="checkbox"/>	TDS044	TDS0004: Site B	Baseline(1)	23-Sep-2011	Base/ V11	100% Required	<input checked="" type="checkbox"/>	SDV
<input type="checkbox"/>	TDS044	TDS0004: Site B	Visit 1(1)	23-Sep-2011	Patient diary/ V01	Not Required	<input checked="" type="checkbox"/>	SDV
<input type="checkbox"/>	TDS044	TDS0004: Site B	Visit 2(1)	23-Sep-2011	Standard examination/ v.01	100% Required	<input checked="" type="checkbox"/>	SDV
<input type="checkbox"/>	TDS044	TDS0004: Site B	Visit 2(1)	23-Sep-2011	Patient diary/ V01	Not Required	<input checked="" type="checkbox"/>	SDV
<input type="checkbox"/>	TDS044	TDS0004: Site B	Screening(1)	23-Sep-2011	History/ v.01	Partial Required	<input checked="" type="checkbox"/>	SDV
<input type="checkbox"/>	TDS044	TDS0004: Site B	Visit 3(1)	25-Sep-2011	Standard examination/ v.01	100% Required	<input checked="" type="checkbox"/>	SDV
<input type="checkbox"/>	TDS044	TDS0004: Site B	Visit 3(1)	25-Sep-2011	Patient diary/ V01	Not Required	<input checked="" type="checkbox"/>	SDV

Results 1 - 7 of 7.

When SDV is not required for all CRFs, you can refine your search even further by filtering on "SDV Requirement". By choosing "100% Required and Partial Required" and then clicking "Apply Filter" you now have a list of all the CRFs of this Subject that still have to be SDV'd and for which (partial) SDV is mandatory.

View By Event CRF

View By Study Subject ID

◀

◀◀

▶▶

▶

15

Show More

The table is sorted by Event Date

Select: All Shown, None

SDV Status	Study Subject ID	Site ID	Event Name	Event Date	CRF Name / Version	SDV Requirement	CRF Status	Actions
none	44					100% Required & Partial Required		Apply Filter Clear Filter
<input type="checkbox"/>	TDS044	TDS0004: Baseline(1) Site B	23-Sep-2011	Base/ V11	100% Required	<input checked="" type="checkbox"/>	SDV	
<input type="checkbox"/>	TDS044	TDS0004: Visit 2(1) Site B	23-Sep-2011	Standard examination/ v.01	100% Required	<input checked="" type="checkbox"/>	SDV	
<input type="checkbox"/>	TDS044	TDS0004: Screening(1) Site B	23-Sep-2011	History/ v.01	Partial Required	<input checked="" type="checkbox"/>	SDV	
<input type="checkbox"/>	TDS044	TDS0004: Visit 3(1) Site B	25-Sep-2011	Standard examination/ v.01	100% Required	<input checked="" type="checkbox"/>	SDV	

Results 1 - 4 of 4.

Results 1 - 4 of 4.

If you want to view all CRFs again, click “Clear Filter”.

The other tab of the screen “Source Data Verification” is called “View By Study Subject ID”. When you click it, a list of all available Subjects is displayed plus a summary of the CRFs for this Subject. Listed are the number of CRFs completed, the number of CRFs that have been SDV'd and the total number of CRFs. This includes Subjects that have no CRFs with data yet or CRFs where data-entry has been started.

Only Subjects with CRFs Completed and not yet SDV'd can be a candidate for SDV and therefore have a button labeled [SDV](#) in the last column. All other Subjects have the text “SDV N/A”. If you have SDV'd all CRFs of a Subject and found them in order, then you can use the button [SDV](#) to mark all the CRFs of this Subject as SDV'd.

Source Data Verification for Bremen






View By Event CRF

View By Study Subject ID

15

Show More

Select: All Shown, None

SDV Status	Study Subject ID	Group	# of CRFs Completed	# of CRFs SDV'd	Total Event CRFs	Actions
						Apply Filter Clear Filter
	TDS018	0	0	0	3	 SDV N/A
	TDS019	0	0	0	3	 SDV N/A
	TDS020	0	0	0	1	 SDV N/A
	TDS021	1	1	1	2	
	TDS022	0	0	0	2	 SDV N/A
	TDS024	1	0	0	3	 <div>SDV</div>
	TDS029	1	0	0	5	 <div>SDV</div>
	TDS031	0	0	0	3	 SDV N/A
	TDS032	0	0	0	1	 SDV N/A

Normally you want to go through the CRFs of a Subject one by one. Choose the Subject you want to work with by clicking on the magnifier-icon in the right column. Now you are switched back to the tab “View By Event CRF”, but this time filtered on the Subject you chose in the tab “View By Study Subject ID”.

If you want you, can add the two extra filters as described earlier, “SDV status = none” and SDV

Requirement = 100% Required & Partial Required”

You open a CRF for viewing, by clicking the icon in the column “CRF Status”, which is either the completed-icon or the locked-icon in the column “CRF Status”. A new browser window opens and with it you can verify that the data entered in the OpenClinica-CRF are the same as the source data. After you have reviewed it, close the window with the CRF. If by accident you click the “Exit” button, you will be redirected to the Subject Matrix. If this happens you can just close the browser window.

Now you can mark this CRF as SDV'd by clicking the button labeled “SDV”. In the left column under “Alerts and Messages” you will see “The Event CRFs have been source data verified.”

You can also tick the checkbox in the left column for each CRF you have SDV'd and then click the button in the bottom of your screen, labeled **SDV All Checked**.

It's also possible to SDV all CRFs in two clicks, for example when you've selected all CRFs of a Subject and found them all in order, you can click “Select: All Shown” in the upper left corner of the matrix, followed by clicking **SDV All Checked** in the bottom of the screen. Be very careful with this method and only use this when you've made a selection, otherwise you will mark all CRFs listed as SDV'd.

If data in a CRF that has been SDV'd are changed, then the SDV-status changes to “not SDV'd” and the Monitor must SDV the CRF again!

<input type="checkbox"/>	TDS044	TDS0004: Visit 2(1) Site B
<input checked="" type="checkbox"/>	TDS044	TDS0004: Screening(1) Site B
<input checked="" type="checkbox"/>	TDS044	TDS0004: Visit 3(1) Site B
<input type="checkbox"/>	TDS044	TDS0004: Visit 3(1) Site B
Results 1 - 7 of 7.		

SDV All Checked

Source Data Verifi

View By Event CRF **View E**

15

Select: All Shown, None

SDV Status	Study Subject I
none	44
<input checked="" type="checkbox"/>	TDS044
<input checked="" type="checkbox"/>	TDS044

11 Elements of a CRF

In a CRF the layout of the screen is as follows.

In the top on the left are displayed the name and version of the CRF and on the right the Subject.

Standard examination v.01

TDS044

CRF Header Info

Click the flag icon next to an input to enter/view discrepancy notes. Please note that you can only save the notes if CRF data entry has already started.

Exit

Examina...(32/32) -- Select to Jump --

Title: Physical examination, respiratory functions

Instructions:

Visit Date: 06-Aug-2011 (DD-Mmm-YYYY)


A: Compliance

☐ not done

1 Subject diary review

On the next line is a link **CRF Header Info** and when you click it, a section is shown. If you click the link again, the information will be hidden. The information is about the Event (start-date and the names of the Study and the site), the Subject (Sex and Age At Enrollment) and the Discrepancy Notes of this CRF. The totals of Discrepancy Notes are shown per Status. The different Statuses will be discussed in .

CRF Header Info

Event: Screening (25-Sep-2011)	Sex:
Study: GCP-Service training study	Age At Enrollment:
Site: Bremen	
Interviewer Name: <input type="text"/>	Interview Date: <input type="text"/> 

Discrepancy Notes on this CRF:

New	Updated	Resolution Proposed	Closed	Not Applicable
1	0	0	0	0



The actual CRF data are displayed in one or more tabs. You can switch to another tab by clicking on it, or by using the select-box on the right. It may look like you are able to modify the data, but it is not possible to save them to the database.

General (5/20) FeNO/Sp... (0/14) -- Select to Jump --


Title: General information

Instructions:

Page: 1

Visit Date:  *  (DD-Mmm-YYYY)

A. Informed Consent (must be obtained before performing any study-related procedures!)

1. Written informed consent (parents or legal representatives) obtained? ☐ yes ☒ no * 

To the right of each input field is a flag. The color of this flag indicates if there is a Discrepancy Note attached to this item and the status of it. You can view, update or add a Discrepancy Note by clicking on the flag. How this is done will be discussed in the following paragraphs.

12 Notes & Discrepancies

In OpenClinica four types of Discrepancy Notes exist: "Query", "Failed Validation Check", "Reason for Change" and "Annotation".

The first type, "Query", can only be created by a Monitor. Particularly during the process of Source Data Verification: if data entered in OpenClinica does not match the source data, the monitor can create a Query to ask the person responsible for data-entry, to correct the item. See paragraph .

The second type, "Failed Validation Check", is raised if a value entered exceeds a certain range, or if a Validation check is violated, for example a weight of more than 150 kg. See paragraph






The third type, "Annotation" occurs when someone performing data-entry wants to add a note to an input field. See paragraph

The fourth type, "Reason for Change" is created automatically when data are changed of a CRF that was already marked as "Complete" See paragraph .

You can get a list of all Discrepancies by clicking [Notes & Discrepancies](#) in the top of your screen or by using the menu option [Tasks, Submit Data - Notes & Discrepancy](#). In the screen that opens all Discrepancies are listed and a summary of this list is given in the top of the screen in the form of a matrix.

Notes and Discrepancies ?

☐ Hide summary statistics

		Query	Failed Validation Check	Reason for Change	Annotation	Total
New		2	4	--	--	6
Updated		1	--	--	--	1
Resolution Proposed		1	2	--	--	3
Closed		2	6	1	2	11
Not Applicable		--	--	10	4	14
Total		6	12	11	6	35

				15	Show More		
Study Subject ID	Type	Resolution Status	Site ID	Days Open	Days Since Updated	Event Name	C
TDS015	Reason for	 Not Applicable	TDS0004: Site B			Screening	T

A Discrepancy has a Status, which is indicated by the color of the flag: “New”, “Updated”, “Resolution Proposed”, “Closed” or “Not applicable”. What the possible statuses are for the four different types of Discrepancies will be discussed in the next paragraphs.

A CRF-item can have one or more Discrepancies and a Discrepancy has a thread of one or more Notes. Each Discrepancy will have a row in this screen, but if an item has more than one Discrepancy, there will still be just one flag in the CRF.

A Discrepancy Note can not be deleted: only the status can be changed to “Closed”.

You can sort the Discrepancies on three columns: “SubjectID”, “Days open” and “Days Since Updated”

You can filter the Discrepancies on each of the columns or on a combination of these. For example you can filter on all Discrepancies with status “New and Updated” for Site “B” and sort them on Study Subject ID in descending order.

				15	Show More		
Study Subject ID	Type	Resolution Status	Site ID	Days Open	Days Since Updated	Event Name	C
		21	B				
TDS046	Failed Validation Check	 New	TDS0004: Site B	2	2		
TDS044	Query	 New	TDS0004: Site B	2	2		
TDS031	Query	 Updated	TDS0004: 90	2			

The columns “Days open” and “Days Since Updated” only display data for records with Status “New”, “Updated” or “Resolution Proposed”. They can be used in combination with the greater-than or less-than signs. For example if you want a list of all Discrepancies that have been updated in the last week, you enter in the inputbox under “Days Since Updated” “<7”.

<div> <div> <div>14</div> <div>◀</div> <div>▶</div> <div>15</div> </div> <div>Show More</div> <div> <div>↓</div> <div>📄</div> </div> </div>						
Study Subject ID ▼	Type	Resolution Status	Site ID	Days Open	Days Since Updated	Event
					<7	
TDS046	Failed Validation Check	New	TDS0004: 2 Site B	2	2	Screen
TDS045	Failed Validation Check	Resolution Proposed	TDS0004: 2 Site B	2	2	Screen
TDS044	Query	New	TDS0004: 2	2	2	Screen

You can download the selection you made by clicking the Down-Arrow-icon: a new screen opens in which you can choose the format, comma-separated-values or pdf.

Please choose a format for downloading discrepancy notes

format:

Download notes

Close Window

To view or update a Discrepancy, click one of the two icons in the right column, “View” or “View within record”, the magnifier-icon or the right-arrow-icon. If you click “View”, a separate window will open with the details of the Discrepancy. If you click “View within record”, the CRF is opened in your current browser-window, plus a new window opens with the Discrepancy. Another convenient way to open the CRF plus the Discrepancy is by right-clicking on “View within record” and then choosing “Open link in new window”.

In the next four sections we will discuss how each type of Discrepancy is generated, by whom and what statuses this type of Discrepancy can have and what is expected of you as a monitor.

13 An example of a Query

Queries are made by Monitors, mostly in the process of Source Data Verification. When you compare the source data and the data in OpenClinica and find a difference, you create a Query. You do this in the CRF you are SDV-ing by clicking the flag to the right of the item that has a difference. A new window opens, “Add Discrepancy Note”. Here you must give a short Description of what you found, a Detailed Note, that explains in detail what action must be taken. The Type of the Discrepancy is “Query” and can not be changed. The Status however can be chosen: “New”, “Updated” or “Closed”.

For an example, let's say that the for “Height” a value was entered of “120”, while the Monitor reads “170” in the source data. Click on the flag next to the inputbox of “Height”.

C. Complete Physical Examination and Vital Signs

☐ not done 

1. Height:

120  (cm)

In the Discrepancy window that opens you fill in for Description “Wrong value” and in Detailed Note “I read 170. Please correct this.” The type of Discrepancy is “Query” and this can not be changed. The field “Set to Status” can be left at “New”. You can choose who to assign this Discrepancy to and by default this is the person who entered the data. If you want to send the user an e-mail, tick the box “Email Assigned User”. This mail will be automatically generated and can not be edited by you. When you've completed the form, click **Submit & Close**.

V1_height: Add Discrepancy Note

"V1_height" Properties:

Subject:	TDS048	Event:	Screening
Event Date:	27-Sep-2011	CRF:	Screening
Current Value:	120	More:	Data Dictionary

Add Note

Description:*	<input type="text" value="Wrong value"/>
Detailed Note:	<input type="text" value="I read 170. Please correct this."/>
Type:*	<input type="text" value="Query"/>
Set to Status:*	<input type="text" value="New"/>
Assign to User:	<input type="text" value="Berding, Sam (sberding)"/>
Email Assigned User:	<input checked="" type="checkbox"/>
<input type="button" value="Submit & Close"/>	

The user will see this Discrepancy in the list “Notes & Discrepancies Assigned to Me”. He or she can change the value for Height and after that, update the Discrepancy, indicating the correction and setting the Status of the Discrepancy to “Updated” or to “Resolution Proposed”. By default the assigned user is the one who created the Query, so this is left unchanged and again it is possible to send an e-mail.

V1_height: Notes and Discrepancies

"V1_height" Properties:

Subject: **TD5048** Event: **Screening**
 Event Date: **2011-09-27 00:00:00.0** CRF: **Screening**
 Current Value: **120** More: [Data Dictionary](#)
[Audit History](#)

Note Details

Wrong value		Last updated: 2011-09-27 by pgilbert Assigned to: Sam Berding (sberding)	
ID: 179	Type: Query	Current Status: New	# of Notes: 1
Wrong value		Status: New	2011-09-27 by pgilbert Assigned to: Sam Berding (sberding)
I read 170. Please correct this.			
		Update Note	Propose Resolution
Respond below to Update/Resolve/Close this Discrepancy Note: ? X			
Description:	<input type="text" value="Done"/>		
Detailed Note:	<input type="text" value="I changed 120 to 170. Please close the Discrepancy."/>		
Set to Status:	<input type="text" value="Updated"/>		
Assign to User:	<input type="text" value="Gilbert, Paul (pgilbert)"/>		
Email Assigned User:	<input type="checkbox"/>		
		Submit	Submit & Exit

Now after this correction, if the Monitor clicks [Home](#) and then [Notes & Discrepancies to Me](#), the Query comes up in the list.

<div> 15 Show More </div>												
Study Subject ID	Type	Resolution Status	Site ID	Days Open	Days Since Updated	Event Name	CRF	Entity Name	Entity Value	Description	Assigned User	Actions
TD5048	Query	Updated	TDS0004: 0 Site B	0		Screening	Screening	V1_height	170	Wrong value	Paul Gilbert (pgilbert)	Apply Filter Clear Filter

Results 1 - 1 of 1.

The Monitor opens the CRF by clicking on "View within record" and verify that indeed the value has been changed to "170". He/she switches to the window with the Discrepancy and clicks the button [Close Note](#). In the section that opens he/she fills in for "Description" "Checked". For "Set to Status" he/she chooses "Closed" and for "Assign to User" he/she chooses blank. Finally click [Submit & Exit](#).

<input type="checkbox"/> Wrong value		Last updated: 27-Sep-2011 by pgilbert Assigned to: Paul Gilbert (pgilbert)	
ID: 179	Type: Query	Current Status: Updated	# of Notes: 2
Wrong value		Status: New	27-Sep-2011 by pgilbert Assigned to: Sam Berding (sberding)
I read 170. Please correct this.			
Done		Status: Updated	27-Sep-2011 by sberding Assigned to: Paul Gilbert (pgilbert)
I changed 120 to 170. Please close the Discrepancy.			
		Update Note	Close Note

Respond below to Update/Resolve/Close this Discrepancy Note:
?
X

Description: *

Detailed Note:

Set to Status: *

Assign to User:

Email Assigned User: ☐

From this example we see that the Status of this type of Discrepancy starts with “New”, then changes one or more times to “Updated” or “Resolution Proposed” and finally ends with “Closed”.

The Monitor-role is the only role that can Close a Discrepancy.

14 An example of a Failed Validation Check

A Failed Validation Check is a Discrepancy that's automatically created by OpenClinica. For example on a field where the number of dispensed vials must be entered, an uperrange is defined of 240. If the user enters “250”, this results in a message “[No. of dispensed vials must be between 0 and 241]”. If this is indeed the number of vials as recorded in the source data, the user must enter this. In order to save the data he must first fill in the details of the Discrepancy.


There are issue(s) with your submission. The data has NOT been saved. See below for details.



- **[No. of dispensed vials must be between 0 and 241!]**

◀ Examina...(0/32) ▶ -- Select to Jump -- ▼


Title: Physical examination, respiratory functions


Instructions:

Page: ☐ Mark CRF Complete 

Visit Date:  *  (DD-Mmm-YYYY)


A: Compliance


☐ not done 


1. Subject diary review: ☒ compliant ☐ re-instructed ☐ not reviewed 



2. Treatment compliance

Number of vials returned

used: 

unused: 

not returned: 

3. Number of vials dispensed  

This is done by clicking the blue flag to the right of the field. A new window opens [Add Discrepancy Note](#) and in this window the Clinical Research Coordinator or the Investigator gives a short description of the problem in the input field "Description", for example "Value is correct". For "Detailed Note" "more vials were dispensed because of holiday." can be entered. The field "Type" can be left as "Failed Validation Check" and "Set to Status" can be left as "New". Unlike a Monitor, an Investigator, or a Clinical Research Coordinator, can not assign a Discrepancy to another user. To save the data of the Discrepancy, the button [Submit](#) must be clicked.

Add Note

Description:*

Detailed Note:

Type:*

Set to Status:*

Study Subject ID	Type	Resolution Status	Site ID	Days Open	Days Since Updated ▲	Event Name	CRF	Entity Name	Entity Value	Description	Assigned User	Actions
DS048	Failed Validation Check	New	TDS0004: Site B	0	0	Visit 1	Standard examination	V3_vials_disp	250	Value is correct	()	Apply Filter Clear Filter

<input type="checkbox"/> Value is correct		Last updated: 15-Nov-2011 by sberding Assigned to: ()	
ID: 214	Type: Failed Validation Check	Current Status: New	# of Notes: 1

Value is correct	Status: New	15-Nov-2011 by sberding
-------------------------	--------------------	-------------------------

[No. of dispensed vials must be between 0 and 241!] More vials were dispensed because of holiday.

Respond below to Update/Resolve/Close this Discrepancy Note: ? X

Description: *

Detailed Note:






Set to Status: *

Assign to User:

Email Assigned User: ☐

Again we see that the Status of this type of Discrepancy starts with “New”, then changes one or more times to “Updated” or “Resolution Proposed” and finally ends with “Closed”: just as the Discrepancy-type “Failed Validation Check”.

Annotations are a special kind of Discrepancies because they can only be made by Clinical Research Coordinators and Investigators and there is no follow-up to them. For example, an Investigator notes that the Subject says 2 visits to an ER have been made in one week.

B: Symptoms Assessment	
	<input type="checkbox"/> not done 
1. Asthma Symptoms reported:	<input type="radio"/> none <input checked="" type="radio"/> mild <input type="radio"/> moderate <input type="radio"/> severe 
Absenteeism:	<input type="text" value="0"/>  (days)
Hospitalization:	<input type="text" value="0"/>  (days)
ER visits:	<input type="text" value="2"/>  (days)

He or she finds may want to explain that the details of these visits were recorded in the Adverse Event CRF and makes a note for the Monitor, by clicking the flag to the right of the field. In the screen [Add Discrepancy Note](#) he enters for Description "2 ER Visits" and for Detailed Note "Details of these visits are on the AE-CRF dated 21-Oct-2011 and 23-Oct-2011". Type is left as "Annotation" and "Set to Status" can only be "Not Applicable".

"V3_ER_days" Properties:	
Subject:	1041
Event:	Visit 1
Event Date:	26-Oct-2011
CRF:	Standard examination v.01
Current Value:	
More:	Data Dictionary

Add Note

Description:*	<input type="text" value="2 ER Visits"/>
Detailed Note:	<input type="text" value="Details of these visits are on the AE-CRF dated 21-Oct-2011 and 23-Oct-2011"/>
Type:*	<input type="text" value="Annotation"/>
Set to Status:*	<input type="text" value="Not Applicable"/>
<input type="button" value="Submit"/>	

When the Monitor reviews the CRF, it is visible that a note was attached to this field, because it has a white flag, indicating an Annotation. If the Monitor clicks on the white flag, it is not possible to add a Note to the Annotation. But if the button [Begin New Thread](#) is clicked a new Discrepancy is created of type Query. This can be followed in the same way as described in .

So the status of a Discrepancy of type Annotation is always “Not Applicable”.

The only exception to this is when data are deleted: then all Discrepancies of all types will get the status “Closed”.

16 An example of a Reason for Change

After a CRF has been marked complete, all changes to the data have to be accounted for. This means that a reason for change must be given. Therefore a Discrepancy is automatically created when a user changes data in a CRF that is marked complete.

When this happens, an error-message appears “You have changed data after this CRF was marked complete. You must provide a Reason For Change discrepancy note for this item before you can save this updated information.” and the flag next to the changed item must be clicked.

V1_visit_date: Add Discrepancy Note

"V1_visit_date" Properties:			
Subject:	TDS044	Event:	Screening
Event Date:	2011-07-24 12:00:00.0	CRF:	Screening
Current Value:	24-Jul-2011	More:	Data Dictionary

Add Note

Description:*	<input type="text" value="Correction"/>
Detailed Note:	<div>You have changed data after this CRF was marked complete. You must provide a Reason For Change discrepancy note for this item before you can save this updated information.</div>
Type:*	<input type="text" value="Reason for Change"/>
Set to Status:*	<input type="text" value="Not Applicable"/>
<input type="button" value="Submit & Close"/>	

There are issue(s) with your submission. The data has NOT been saved. See below for details.

- You have changed data after this CRF was marked complete. You must provide a Reason For Change discrepancy note for this item before you can save this updated information.

General (20/20) FeNO/Sp... (14/14) -- Select to Jump --

Title: General information

Instructions:

Page: 1

Visit Date: 22-Jul-2011 (DD-Mmm-YYYY)

In the screen [Add Discrepancy Note](#) the user must provide a Description and in Detailed Note the reason of this change. The field "Set to Status" can not be changed and must be "Not Applicable".

When the Monitor opens this Discrepancy, not only is the normal information available, but also the Audit History. Here both the old and the new value are shown.

Note Details

Correction		Last updated: 27-Sep-2011 by sberding Assigned to: ()	
ID: 186	Type: Reason for Change	Current Status: Not Applicable	# of Notes: 1
Correction		Status: Not Applicable	27-Sep-2011 by sberding
You have changed data after this CRF was marked complete. You must provide a Reason For Change discrepancy note for this item before you can save this updated information.			

[Begin New Thread](#)

Audit History

Audit Event	Date/Time of Server	User	Value Type	Old	New
Item data value updated	27-Sep-2011 17:11:48	sberding	V1_visit_date	24-Jul-2011	22-Jul-2011

(This item was initially entered on 23-Sep-2011.)

As with an Annotation, the status of a Discrepancy of type "Reason for Change" is always "Not Applicable", except when data are deleted: then the status changes to "Closed".

The Monitor will see the Discrepancy in the CRF at the time of Source Data Verification. If the CRF was already marked as SDV'd, the status will change to Not-SDV'd, when the data of the CRF are changed. The Monitor will must do the Source Data Verification again for this CRF and will then see the Discrepancy in the CRF, indicated by the white flag.

17 Study Audit Log

In the Study Audit Log all changes are recorded. This is displayed on a per-Subject-basis. The Study Audit Log can be accessed by clicking [Tasks, Monitor and Manage Data – Study Audit Log](#). A screen is opened with a list of all available Subjects. You can apply filters to quickly find a Subject.

View Study Log for Bremen ?

<div> ⏮ ⏪ ⏩ ⏭ 15 </div>							
Study Subject ID	Secondary Subject ID	Study Subject OID	Date of Birth	Person ID	Created By	Status	Actions
							Apply Filter Clear Filter
TDS015		SS_15			sberding	signed	
TDS016		SS_16			sberding	signed	
TDS017		SS_17			sberding	available	
TDS018		SS_18			sberding	available	
TDS019		SS_19			sberding	available	
TDS020		SS_20			sberding	available	
TDS021		SS_21			sberding	available	

View the Audit Log of a Subject by clicking the magnifier-icon to the right.

Audit data apply to the Subject, the Events, the CRFs and the CRF-items.

It is not possible to filter on these.

Study Event data entry started	23-Sep-2011 14:45:13	sberding	Status	scheduled	data_entry_started
Study Event completed	23-Sep-2011 14:47:02	sberding	Status	data_entry_started	completed

Name	Version	Date Interviewed	Interviewer Name	Owner
Screening	v.04			sberding

Audit Event	Date/Time of Server	User	Value Type	Old	New
Item data value updated	27-Sep-2011 17:11:48	sberding	V1_visit_date (1)	24-Jul-2011	22-Jul-2011
EventCRF SDV Status	27-Sep-2011 17:11:48	sberding	EventCRF SDV Status (0)	true	false
EventCRF SDV Status	27-Sep-2011 11:28:22	pgilbert	EventCRF SDV Status (0)	false	true
Item data value updated	25-Sep-2011 15:09:06	root	V1_height (1)		170
Event CRF marked complete	23-Sep-2011 14:45:57	sberding	Status (0)	available	unavailable

Name	Version	Date Interviewed	Interviewer Name	Owner
Eligibility	v.01			sberding