

# Assignment 7

Fu Guanshujie, Ge Yuhao, Lou Haina, Qiu Xiaomin

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## 1

See the code in attached files.

## 2

See the code in attached files.

## 3

### 3.1

For an  $(a, b)$ -tree with  $n$  nodes, we assume the height of it is  $h$ , then there will be  $n - 1$  leaf nodes. According to definition, we have  $2 \leq a \leq (b + 1)/2$ . Each internal node except the root has at least  $a$  children and at most  $b$  children and the root has at most  $b$  children. If  $n > 0$  the root has degree at least 2 and all other nodes have degree at least  $a$ . This gives that the number of leaf nodes is at least  $2a^{h-1}$ . Similarly, since the degree of any node is at most  $b$ , the number of leaf nodes is less than  $b^h$ . Thus, the number of leaf nodes  $n + 1$  is larger than the first case and less than the second case. We get:

$$2a^{h-1} \leq n - 1 \leq b^h$$

This equation can be modified by taking the logarithm of both sides. Then we get:

$$\log_b(n - 1) \leq h \leq 1 + \log_a \frac{n - 1}{2}$$

Clearly, the height of the tree is bounded by  $1 + \log_a \frac{n-1}{2}$ . Then for each nodes, there contains at most  $b$  elements which is sorted in order. By applying appropriate method, the number of comparisons will result in  $\lceil \log b \rceil$  for searching in each node.

Hence, the total comparisons needed will be bounded by  $\lceil \log(b) \rceil (2 + \log_a(n - 1)/2)$ .

### 3.2

According to 3.1, we can derive  $\lceil \log(b) \rceil (2 + \log_a(n - 1)/2)$ . As  $b \leq 2a$ , we can have:

$$\lceil \log(b) \rceil (2 + \log_a((n - 1)/2)) < 2\lceil \log(b) \rceil + \lceil \log(2a) \rceil \log_a((n - 1)/2)$$

As  $\log(2a) < 2\log(a)$ , we can then obtain:

$$2\lceil \log(b) \rceil + \lceil \log(2a) \rceil \log_a((n - 1)/2) < 2\lceil \log(b) \rceil + 2\lceil \log(a) \rceil \log_a((n - 1)/2)$$

Hence,

$$2\lceil \log(b) \rceil + 2\lceil \log(a) \rceil \log_a((n - 1)/2) < 2\lceil \log(b) \rceil + 2\lceil \log((n - 1)/2) \rceil$$

which is obviously in  $O(\log b) + O(\log n)$ .