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CSE 3400/CSE 5850 - Introduction to Cryptography &  
Cybersecurity / Introduction to Cybersecurity

# Lecture 7

## Hash Functions – Part II

Ghada Almashaqbeh  
UConn

Adapted from textbook slides

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# Outline

- ❑ Hash based MACs.
- ❑ Accumulators.
  - ❑ Merkle-Damgard.
  - ❑ Merkle trees.
  - ❑ Blockchains.

# Hash based MAC

- Hash-based MAC is often faster than block cipher-based MACs.
- How? Heuristic constructions:

**Prepend Key:**  $MAC_k^{PK}(m) = h(k \parallel m)$

**Append Key:**  $MAC_k^{AK}(m) = h(m \parallel k)$

**Message-in-the-Middle:**  $MAC_k^{MitM}(m) = h(k \parallel m \parallel k)$

- Are these secure assuming CRHF? OWF? Both?
  - No.
- But: all are ‘secure in the random oracle model’: when the hash function is assumed to behave like a random function.

# Hash-based MAC: HMAC

- HMAC uses an unkeyed hash function  $h$ :

$$HMAC_k(x) = h(k \oplus opad \parallel h(k \oplus ipad \parallel x))$$

- $opad, ipad$ : fixed sequences (of 36x, 5Cx resp.)
- It is a secure MAC under ‘reasonable assumptions’ [beyond our scope]
- Widely deployed
- More results, more exposure → confidence!
- Hash functions are useful for MACs in another way:
  - Hash then MAC for efficiency.

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# Accumulators

- Generalization of collision-resistant hash
  - Input is a **sequence (ordered list)** of messages
  - Output is n-bit **digest**, denoted  $\Delta$
- Collision resistance accumulator means that it is hard to find two different message lists that have the same digest.

# Accumulator Components

- Digest function  $\Delta: \{m_i \in \{0,1\}^*\} \rightarrow \{0,1\}^n$ 
  - Also called accumulate function.
  - Collision-resistance requirement
- Validation of Inclusion: *PoI* and *VerPoI*
  - *PoI* function: compute Proof of Inclusion
  - *VerPoI* function: verify PoI
  - Optional, also Proof-of-Non-Inclusion (PoNI)
- Extending the Sequence: Extend function with optional *PoC* and *VerPoC*
  - *PoC*: Proof of Consistency (from old digest to new)
  - *VerPoC* function: verify PoC

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# Correctness and Security for PoI and PoC

- Correctness means that on input a valid Pol, VerPol will output 1.
  - Same for PoC.
- For Pol: security means that a PPT adversary cannot forge a valid Pol for a message that is not the hashed list.
- For PoC: security means that a PPT adversary cannot forge a valid PoC for an invalid digest extension.

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# We will Study Three Accumulator Types

- Merkle-Damgard accumulators.
- Merkle trees.
- Blockchains



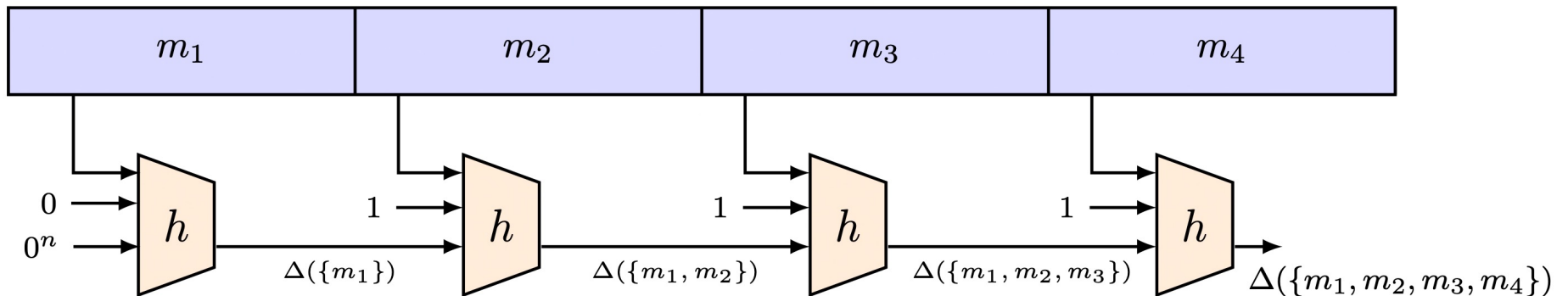
# The Merkle-Damgard Accumulator

- Idea: hash iteratively, message by message:

$$\Delta(m_1, \dots, m_l) = h(\Delta(m_1, \dots, m_{l-1}) || 1 || m_l) ; \Delta(m_1) = h(0^{n+1} || m_1)$$

- If  $h$  is a CRHF, then  $\Delta$  is a collision-resistant digest

□ Proof... (out of scope, but you can see details in textbook)



# Merkle-Damgard Length-Padding

- Aka Merkle - Damgard Strengthening
- Let  $pad(x) = 1 || 0^k || bin(|x|)$  ;  $x' = x || pad(x)$ 
  - Where  $bin(|x|)$  is the  $n$ -bit binary representation of  $|x|$
- For  $i=1, \dots, l$ , where  $l = |x'|/n$ , and let  $x'_i$  is the  $i^{th}$   $n$ -bit block of  $x'$ .
- Apply the construction in the prior slide to obtain the digest of  $x'$

This is just a high level idea, care needed to avoid collisions

# The Digest-Chain Extend Function

- Beyond digest and collision resistance: sequence-related integrity mechanisms
- For digest-chain, the **extend function**:
  - Input: digest and 'next' sequence
  - Output: digest (of entire sequence)
  - Correctness requirement:

$$\textit{Extend}(\Delta_l, M_{l+1,l'}) = \Delta(M_l \uplus M_{l+1,l'})$$

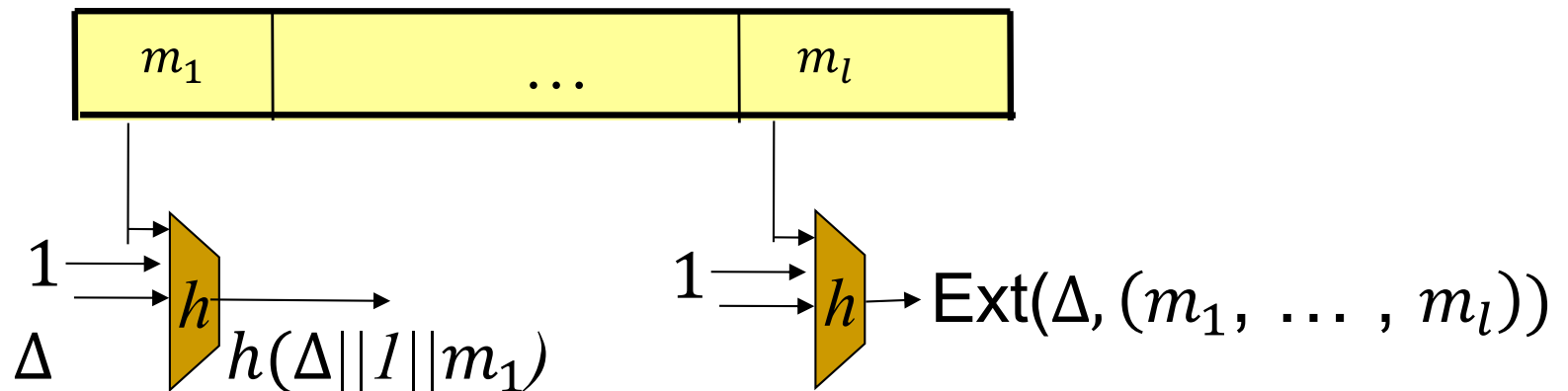
# The Merkle-Damgard Extend Function

- We can define Extend for Merkle-Damgard:

- Idea: Just continue last digest!

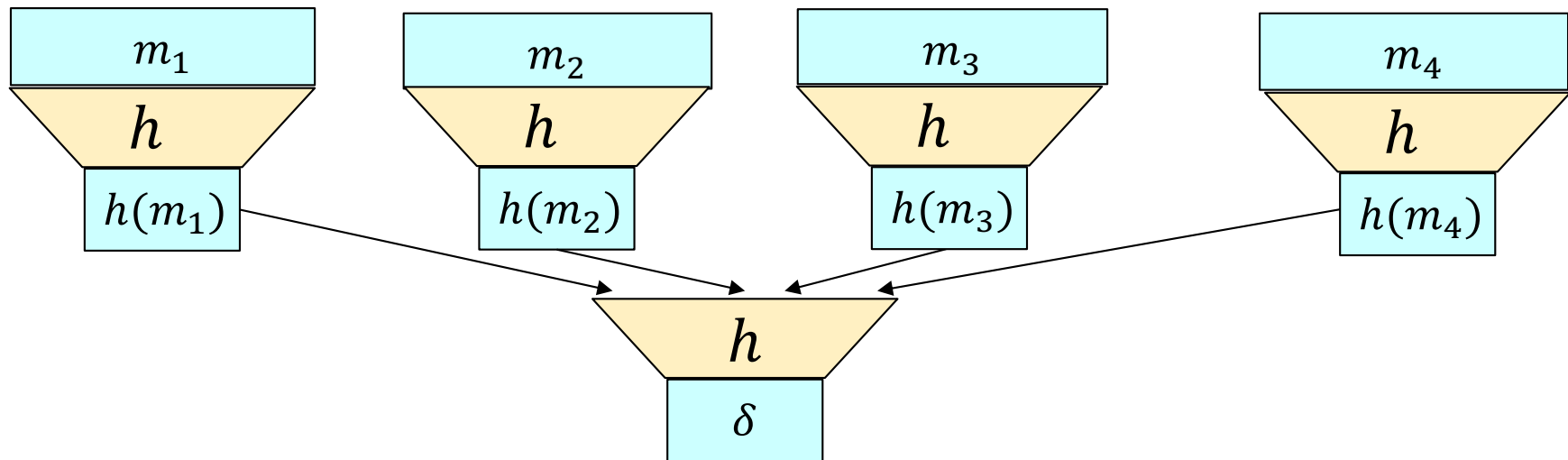
$$\mathcal{MD}^h.\text{Extend}(\Delta, \{m_1, \dots, m_l\}) \equiv \begin{cases} \text{Let } \Delta_1 \leftarrow h(\Delta \parallel 1 \parallel m_1) \\ \text{For } l = 1: \Delta_1 \\ \text{For } l > 1: \\ \quad \mathcal{MD}^h.\text{Extend}(\Delta_1, \{m_2, \dots, m_l\}) \end{cases}$$

- Not secure to be used to construct a MAC!



# Two-layered Merkle Tree

- Short digest validates integrity of large object
  - Often, object consists of multiple 'files'
- Merkle tree : integrity for many 'messages'
  - Hash each 'message' in block, then hash-of-hashes
$$\delta = h(h(m_1)||h(m_2)||h(m_3)||h(m_4))$$
  - Validate each 'message' independently
    - Advantages: **efficiency** (computation, communication) and **privacy**



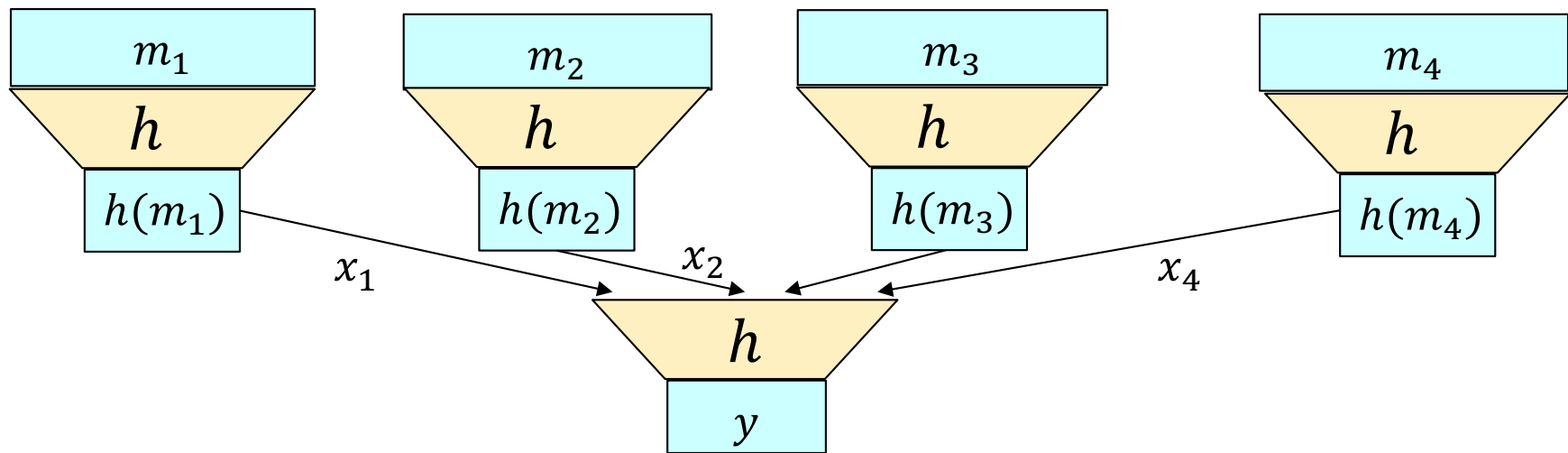
# Two-layered Merkle tree

- Hash each item in block separately:

$$x_1 = h(m_1), x_2 = h(m_2), \quad \dots$$

- Digest is hash of hashes:

$$y = \Delta(m_1, m_2, \dots) = h(x_1 || x_2 || \dots)$$



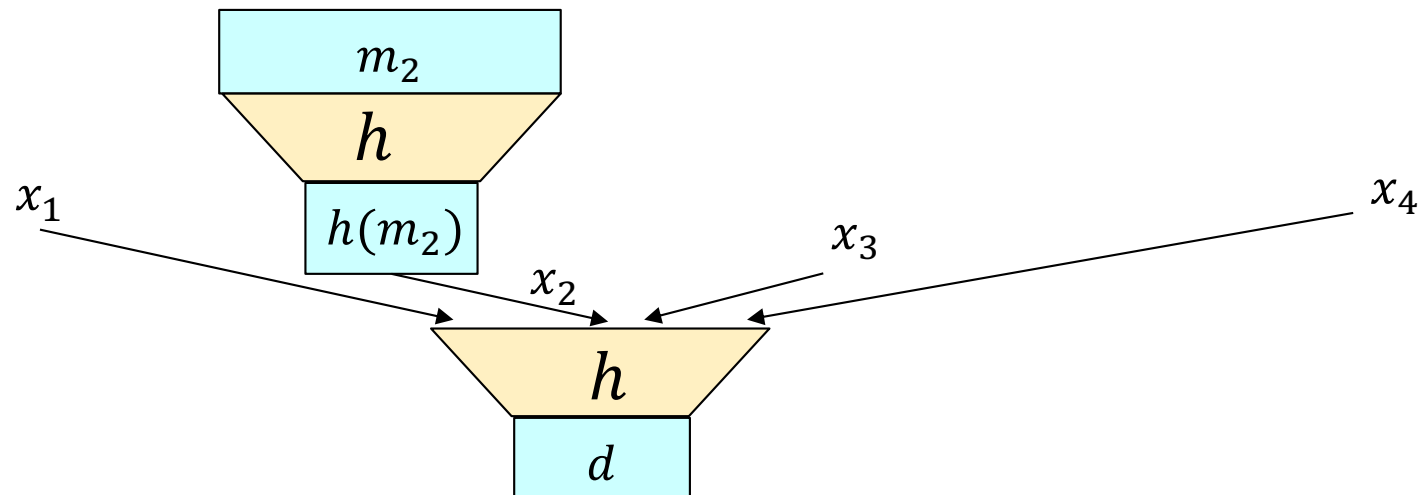
Allows each user to receive, validate only required items. How?

# To verify inclusion of $m_2$ ...

$$2lMT.\Delta(m_1, \dots, m_l) \equiv h[h(m_1) \# \dots \# h(m_l)]$$

$$2lMT.PoI((m_1, \dots, m_l), j) \equiv \{h(m_i)\}_{i=1}^l$$

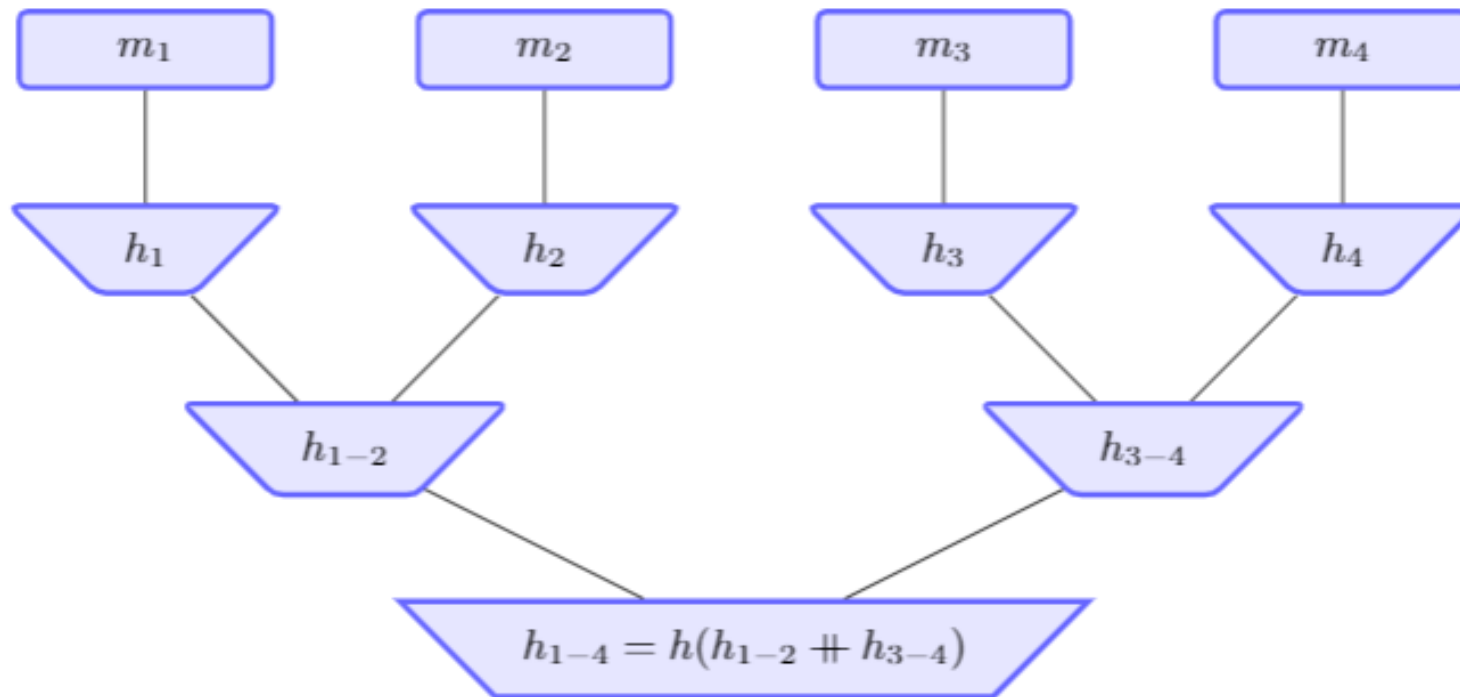
$$2lMT.VerPoI(d, m, i, \{x_i\}_{i=1}^l) \equiv \left[ \begin{array}{l} \text{TRUE if } x_i = h(m), \text{ and} \\ d = h(x_1 \# \dots \# x_l) \end{array} \right]$$



Receive and validate only  $m_2$ . Other hashes still required, though.

# The Merkle Tree Construction

- Reduce length of 'proofs' – send less hashes of 'other msgs'

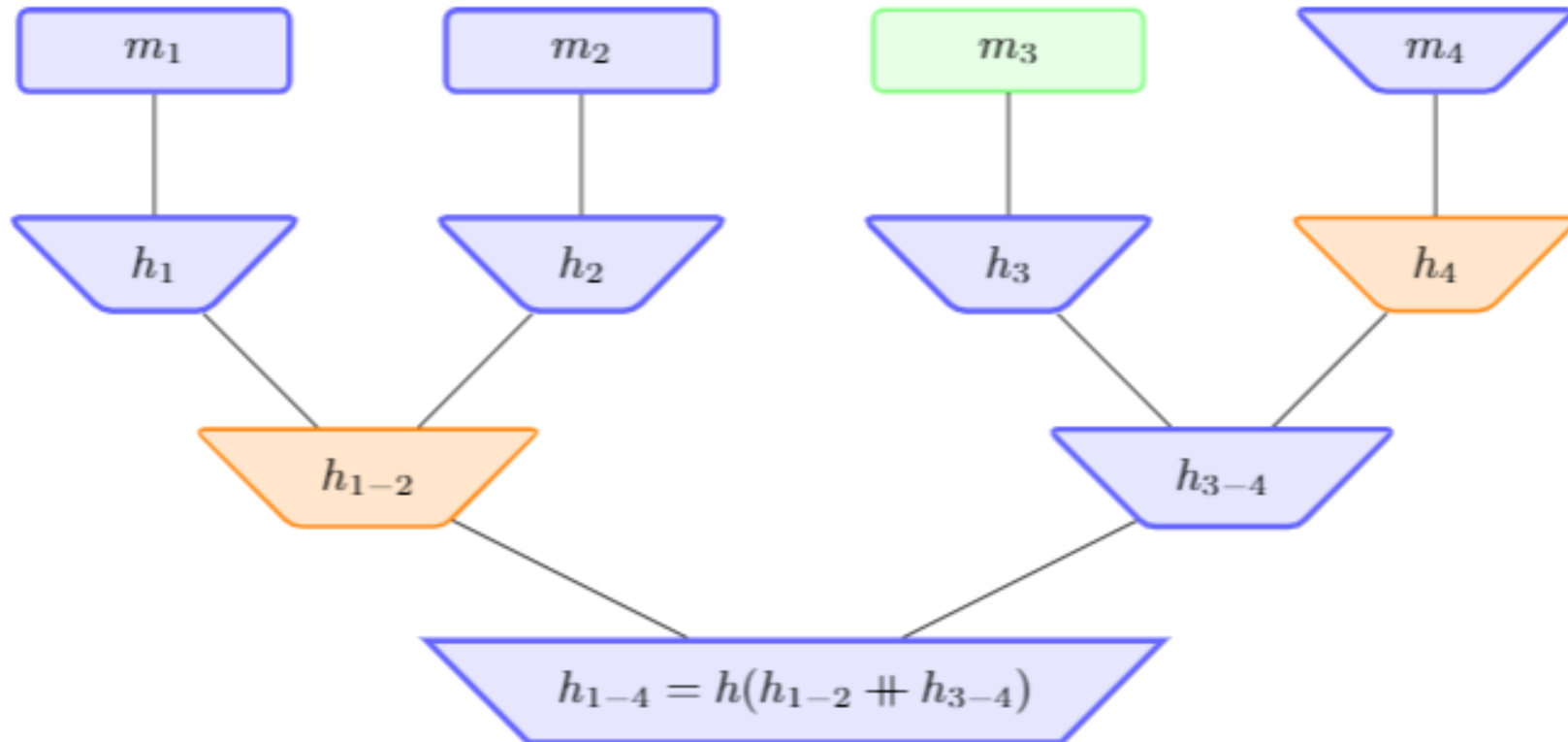


$$\mathcal{MT}.\Delta(M) \equiv \begin{cases} \text{If } L = 0 : & h(m_1) \\ \text{Else} & h(\mathcal{MT}.\Delta(m_1, \dots, m_{2^{L-1}}) \# \\ & \# \mathcal{MT}.\Delta(m_{2^{L-1}+1}, \dots, m_{2^L})) \end{cases}$$



# Merkle Tree: Proof of Inclusion (PoI)

- To prove inclusion of  $m_3$ , send also 'proofs':  $h_{1-2}$ ,  $h_4$



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# Blockchains

- ❑ Separate slide set.

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# Covered Material From the Textbook

- ❑ Chapter 3: Sections 3.7, 3.8, and 3.9
  - ❑ Only the material that corresponds to what we covered in class
- ❑ Chapter 4: Section 4.4.5

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# Thank You!

