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CSE 3400 - Introduction to Computer & Network Security  
(aka: Introduction to Cybersecurity)

# Lecture 5

## Message Authentication Codes

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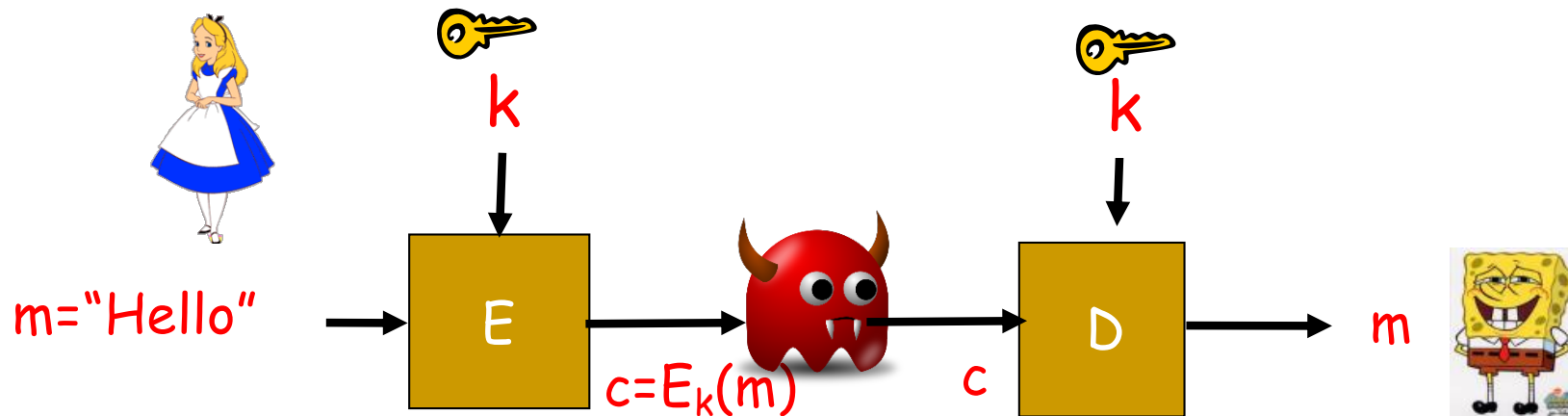
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# Outline

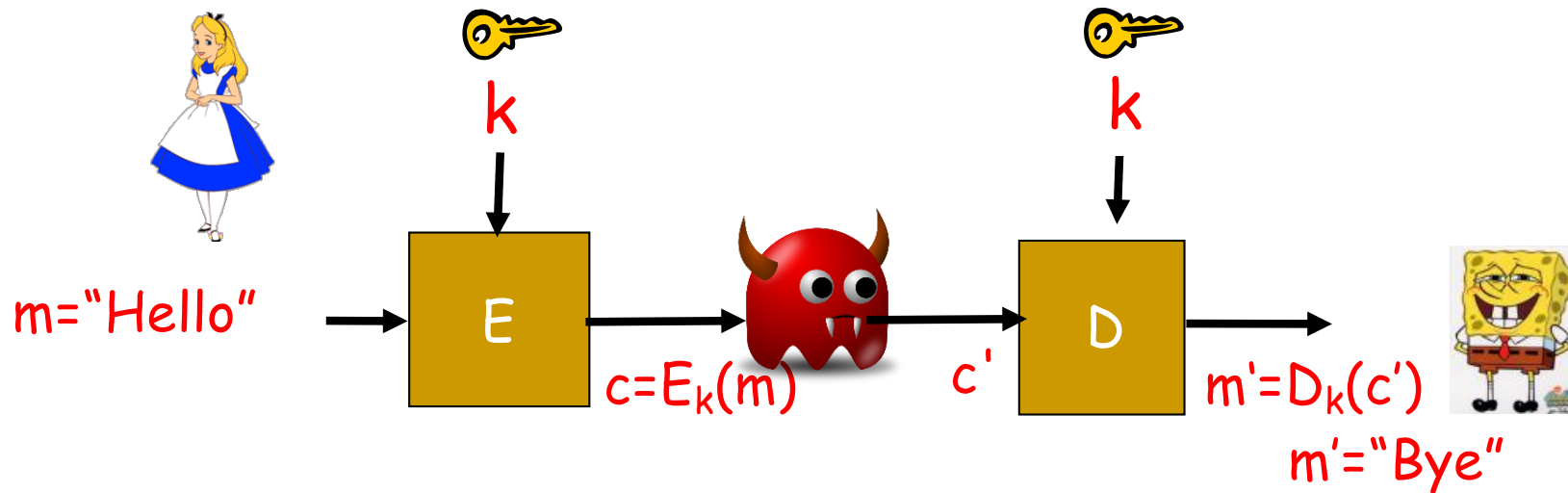
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# Encryption Ensures Confidentiality



- ❑ Man-in-the-Middle attacker  
'learns nothing' about message

# Integrity and Authentication?



- ❑ How can the recipient know that the message was not tampered with and it is the original one sent by the sender?

# Does Encryption Prevent Forgery?

- ❑ Cannot be guaranteed.
  - ❑ Several secure encryption schemes are malleable (an attacker might be able to alter the ciphertext, and hence, the decrypted plaintext will be different).
- ❑ Clearly not for bitwise stream ciphers (& OTP).
  - ❑ Given  $c = m \oplus k$ , attacker can send  $c \oplus \text{mask}$ , to invert any bit in decrypted message.
- ❑ Example, send “Pay Bob \$100” encrypted using OTP.
  - ❑ Eve can change it to “Pay Eve \$100” (note that this is a KPA attacker). How?
    - ❑ Take the ciphertext of the letter “B” above, denote it as  $c[4]$ .
    - ❑ Note that  $c[4] = k[4] \oplus \text{“B”}$  (note that we do know the key!)
    - ❑ Compute a mask that does the following:  $c[4] \oplus \text{mask} = k[4] \oplus \text{“E”}$  (this boils down to computing  $\text{“B”} \oplus \text{mask} = \text{“E”}$  )
    - ❑ Repeat that for the rest of the letters.

# Message Authentication Codes (MACs)

- A MAC allows a recipient to **validate** that a message was **not tampered** with and that it was sent by a **key holder**

It is a symmetric key setup!



Key  $k$

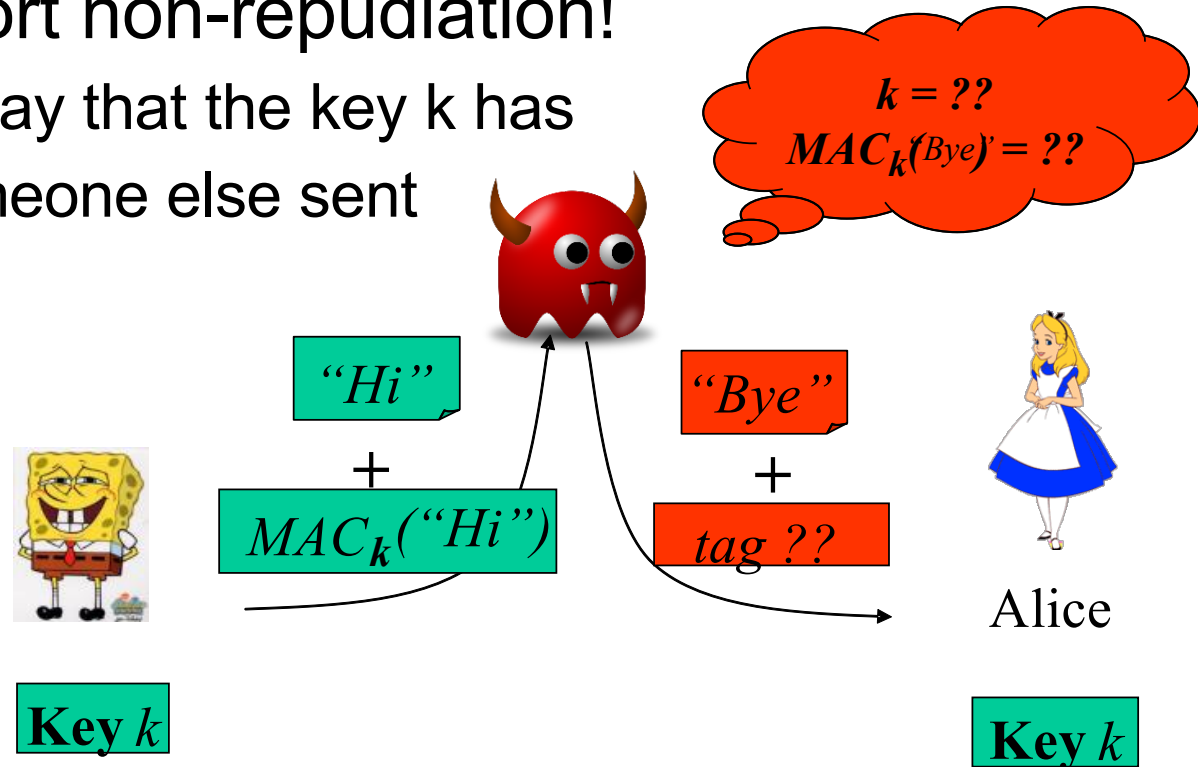
$m = \text{"Hi"}, \text{MAC}_k(m)$

Valid MAC  $\rightarrow$  Only Sponge and I know  $k$ . So he sent  $m$ .

Key  $k$

# Message Authentication Codes (MACs)

- Use shared key  $k$  to authenticate messages
- Pair  $(tag, m)$  is valid iff  $tag = MAC_k(m)$
- Very efficient
- Does not support non-repudiation!
  - Sponge may say that the key  $k$  has been stolen. Someone else sent the message.



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# Defining MAC Security

- Following the ‘conservative design principle’:
- Consider most powerful attacker
  - Let attacker receive tag for every message it wants (so it has an oracle access to  $MAC_k$ ).
- And ‘easiest’ attacker-success criteria
  - Attacker wins if it can produce a valid tag for any message
    - Except for these that the attacker asked to authenticate



# MAC Security Definition

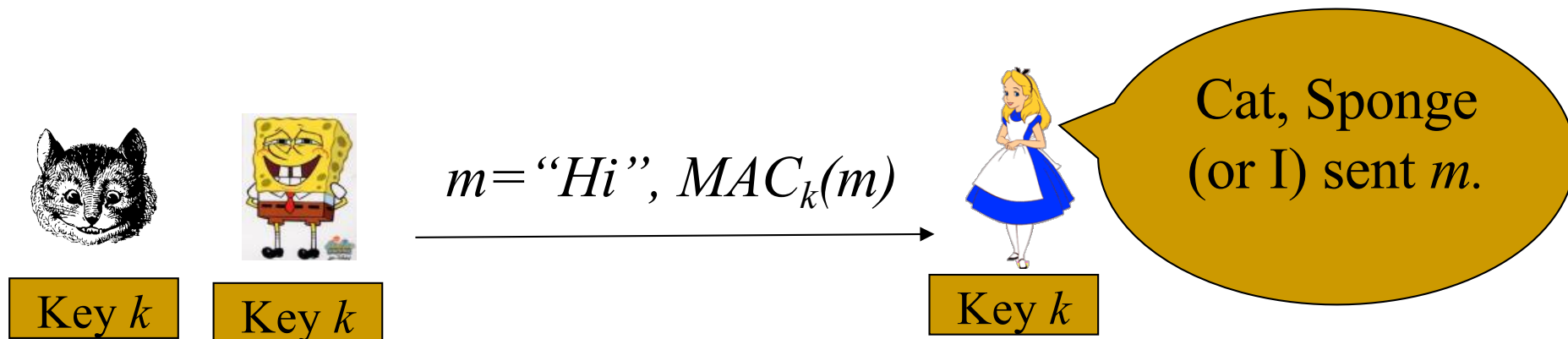
**Definition 3.1** (MAC). *An  $l$ -bit Message Authentication Code (MAC) over domain  $D$ , is a function  $F : \{0,1\}^* \times D \rightarrow \{0,1\}^l$ , such that for all PPT algorithms  $\mathcal{A}$ , the advantage  $\varepsilon_{F,\mathcal{A}}^{MAC}(n)$  is negligible in  $n$ , i.e., smaller than any positive polynomial for sufficiently large  $n$  (as  $n \rightarrow \infty$ ), where:*

$$\varepsilon_{F,\mathcal{A}}^{MAC}(n) \equiv \Pr_{k \stackrel{\$}{\leftarrow} \{0,1\}^n} \left[ (m, F_k(m)) \leftarrow \mathcal{A}^{F_k(\cdot|\text{except } m)}(1^n) \right] - \frac{1}{2^l} \quad (3.1)$$

*Where the probability is taken over the random choice of an  $n$  bit key,  $k \stackrel{\$}{\leftarrow} \{0,1\}^n$ , as well as over the coin tosses of  $\mathcal{A}$ .*

# On the Use of MACs

- $MAC_k(m)$  may expose information about  $m$ !
  - Example: Let  $MAC$  be any secure MAC. Define  $MAC'_k(m) = MAC_k(m) || LSb(m)$ , where  $LSb$  is least significant bit.
- MAC shows a key-holder computed it
  - Could be any key holder (even recipient)...
- Replay attacks: an old message (and its tag) is being resent.
  - Need to Ensure freshness (more about this later).



# Constructing MAC: Three Approaches

1. Design `from scratch`, validate security by failure to cryptanalyze
  - ❑ Huge effort, risk → do only for few `building blocks`
  - ❑ Maybe from EDC (Error Detection Code), but it is not secure for every EDC.
2. Robust combiner of (two) MAC candidates:
  - ❑  $MAC_{k,k'}(m) = f_k(m) || f'_{k'}(m)$ ,  $MAC_{k,k'}(m) = f_k(m) \oplus f'_{k'}(m)$  are secure MAC, if *either*  $f$  or  $f'$  is a secure MAC.
3. Provable-secure constructions from:
  - ❑ PRF/PRP/Block ciphers (next)
    - ❑ First: PRF/PRP → Fixed-Input-Length (FIL) MAC
  - ❑ Hash functions (later) – even more efficient.

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# Theorem: every PRF is also a MAC

Let  $F$  be a PRF from domain  $D$  to range  $\{0,1\}^l$ .  
Then  $F$  is also an  $l$ -bit MAC for  $D$ .

- Proof sketch: construct an attacker against PRF using the attacker against the MAC.
  - For a random function, the outcome of any `new' value is random.
    - So, probability of guessing is  $2^{-l}$ .
  - If a `new' outcome of a PRF can be guessed with significantly higher probability (which is the MAC over a new message), then we can distinguish between it and a random function! ■

# Every PRF is also a MAC

- A PRF is a MAC for  $l$ -bit messages.
- $(l, n)$ -bit FIL MAC from  $n$ -bit PRP (block cipher):  
use CBC-MAC – a variant of CBC
  - What standard crypto function can we use as a PRF?
  - A block cipher ? But ...

# Using a Block Cipher for MAC

- **Problem 1:** block cipher is PRP, not PRF
  - Solution: the switching lemma says that a PRP is also a PRF !
  - Note: PRP  $\rightarrow$  PRF reduction involves loss in concrete security (larger advantage):

$$\left| \varepsilon_{\mathcal{A}, E}^{PRF}(n) - \varepsilon_{\mathcal{A}, E}^{PRP}(n) \right| < \frac{q^2}{2 \cdot |D|}$$

- Some other constructions reduce this loss but we will not discuss them

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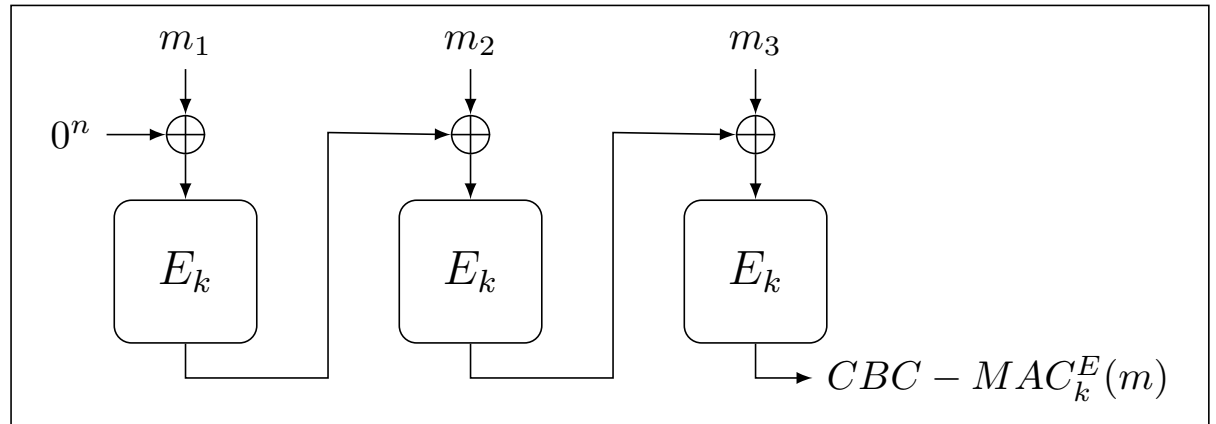
# Using a Block Cipher for MAC

- **Problem 2:** block ciphers are defined only for (short) fixed input length (FIL)
  - Ideally a MAC should work for any input string (Variable Input Length – VIL)
  - We already had a similar problem... where?
    - Block ciphers.
  - We solved by using various encryption modes of operation.
  - A solution for MACs: the CBC-MAC mode of operation!

# Cipher Block Chaining MAC: CBC-MAC

Split plaintext  $m$  into blocks

**Fixed, known (zero)**  
Initialization Vector ( $IV$ )



The tag is the cipher of the last block

$$CBC-MAC_k^E(m_1 || m_2 || \dots || m_l) = E_k(m_l \oplus E_k(\dots E_k(m_1)))$$

Recall: MACs are  
deterministic functions



# CBC-MAC

- ❑ Widely deployed standard
- ❑ More efficient ‘modes’ exist
  - ❑ E.g., allow for parallel computation.
- ❑ It is also provably secure.

Theorem [BKR94]: if  $E$  is a FIL-PRF for domain  $\{0,1\}^n$ , then  $CBC-MAC^E$  is a PRF for domain  $\{0,1\}^{ln}$  (for  $l > 1$ ).

- Corollary: ... then  $CBC-MAC^E$  is a  $\{0,1\}^{ln}$ -MAC

*But what of VIL (variable-length input) MAC?*

# CBC-MAC-based VIL-MAC

- Is  $\text{CBC-MAC}^E$  a VIL-MAC?

- **No!**

- Ask for  $b = \text{CBC-MAC}_k^E(a) = E_k(a)$  ;
- then output  $(ac, b)$  so  $m = ac$  with  $\text{tag} = b$  where  $c = a \oplus b$ .
- This is valid, since the attacker did not ask the oracle for a tag for  $ac$  and  $b$  for  $ac$  is a valid tag since
$$\text{CBC-MAC}_k^E(ac) = E_k(c \oplus E_k(a)) = E_k(c \oplus b) = E_k(a \oplus b \oplus b) = E_k(a) = b.$$

- Solution: prepend message length (called CMAC)

- Let  $\text{CMAC}_k^E(m) = \text{CBC-MAC}_k^E(L(m) || m)$ 
  - Where  $L(m)$  is a 1-block encoding of  $|m|$
- CMAC is a secure VIL MAC construction!

# Combining Authentication and Encryption

- ❑ For confidentiality, use encryption
- ❑ For authentication, use MAC
- ❑ For both confidentiality and authentication?
  - ❑ Option 1: Combine MAC and encryption
    - ❑ Possible pitfalls (vulnerabilities)
  - ❑ Option 2: authenticated-encryption schemes (or modes)
    - ❑ Easier to deploy (securely)
    - ❑ Generic combination of MAC and Encryption schemes
    - ❑ Or direct combined constructions (can be more efficient)
      - ❑ Might be ad-hoc or rely on complex or less-tested security assumptions.

# Generic MAC and Encryption Combinations

- ❑ Three standards, three ways...
  - ❑ Authenticate and encrypt (A&E):
    - ❑  $c = \text{Enc}(m)$ ,  $\text{tag} = \text{MAC}(m)$ , send  $(c, \text{tag})$
  - ❑ Authenticate then encrypt (AtE):
    - ❑  $\text{tag} = \text{MAC}(m)$ ,  $c = \text{Enc}(m, \text{tag})$ , send  $c$
  - ❑ Encrypt then authenticate (EtA):
    - ❑  $c = \text{Enc}(m)$ ,  $\text{tag} = \text{MAC}(c)$ , send  $(c, \text{tag})$
- ❑ Some of these may be vulnerable even when combining some secure encryption and MAC schemes!

# Security of Generic MAC/Enc Combinations

- ❑ A&E may be vulnerable!
  - ❑ Example:
    - ❑ Let MAC be any secure MAC scheme
    - ❑ Let  $\text{MAC}'_{k'}(m) = \text{MAC}_k(m) \parallel \text{lsb}(m)$
    - ❑ MAC' is a secure MAC.
    - ❑ But  $\text{A\&E}(m)$  leaks least significant bit of  $m$  (even if the encryption scheme is secure!!!).
  - ❑ Recall that the security guarantee of a MAC is about integrity (or preventing forgery)!
    - ❑ It has nothing to do with confidentiality!
- ❑ What about AtE, EtA ?
  - ❑ AtE: also may be vulnerable (not IND-CPA)!

# Security of Generic MAC/Enc Combinations

- How about EtA ? **Provably CCA-Secure [CK01]!**
  - → Secure encryption; otherwise attack  $\text{Enc}(m)$  by appending MAC
  - → Secure authentication, since any change in  $(c, \text{MAC}(c))$  is detected
  - Also: reject fake messages w/o decryption  
→ efficiency and foil Denial of Service (DoS), CCA attacks
  - Note: using separate keys for Enc and MAC; what if we use same key?

# Keys for MAC and Encryption?

Using same key for MAC+Encryption? Insecure

❑ Exercise: show (contrived) examples vulnerabilities:

❑ A&E: both vulnerable...

$$E_{k',k''}(m) = E'_{k'}(m) || k''$$
$$MAC_{k',k''}(m) = MAC_{k''}(m) || k'$$

❑ AtE: vulnerable authentication (is encryption vulnerable?)

$$E_{k',k''}(m) = E'_{k'}(m) || k''$$

❑ EtA: both vulnerable (exercise: attack on authentication)

$$MAC_{k',k''}(m) = MAC_{k''}(m) || k'$$

❑ So: should we use two independent keys?

❑ Overhead: key generation, transmission, storage

❑ Exercise: secure enc+MAC – using a single key!

**Solution:**  $k_{mac} := PRF_k(MAC')$ ,  $k_{enc} := PRF_k('Encrypt')$

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# Conclusion

- MAC –Message Authentication Code
  - Sender appends `tag` (MAC) to message, recipient verifies tag using shared secret key
- Construction from block cipher
- Next:
  - Crypto-hash functions
  - Constructing MAC from hash function: HMAC



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# Examples of MAC Constructions

- ❑ On the whiteboard.

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# Covered Material From the Textbook

- ❑ Chapter 3
  - ❑ All except sections 3.4.2, 3.7.2, 3.7.5

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# Thank You!

