



UNIVERSITÀ DEGLI STUDI DI MILANO  
DIPARTIMENTO DI INFORMATICA

# Comparative Analysis of Traditional, Hypercomplex, and Pre-trained Deep Neural Networks for Audio Emotion Recognition

*Omar Ghezzi (Matr. 984352)*

# Audio Emotion Recognition

AER: computational task of identifying and classifying the emotional content embedded within audio signals.

**discrete emotional model:**  
sadness, happiness, fear,  
anger, disgust, and neutral.

Three main approaches:

1. 1D and 2D Hypercomplex NN on log-Mel / MFCCs

2. 1D and 2D Hypercomplex NN on log-Mel / MFCCs + 1st and 2nd derivatives

3. Hypercomplex projection-head with pre-trained Wav2Vec backbone.

With:

- Hyperparameter tuning (Algebra signature, conv. kernel size, conv. stride)
- Comparison with 1D and 2D traditional NN analogues of 1. 2. And 3.



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PureCliffSER  
CliffSER

2. 1D and 2D Hypercomplex NN on log-Mel / MFCCs + 1st and 2nd derivatives

1D and 2D hypercomplex NN on log-Mel / MFCCs of the EMD decomposed signal

3. Hypercomplex projection-head with pre-trained Wav2Vec backbone.

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# Features: Audio Speech Processing (1)

**Voiced Speech signal:** produced when the vocal cords vibrate.

$$y[t] = \delta_P[t] * h_V[t]$$

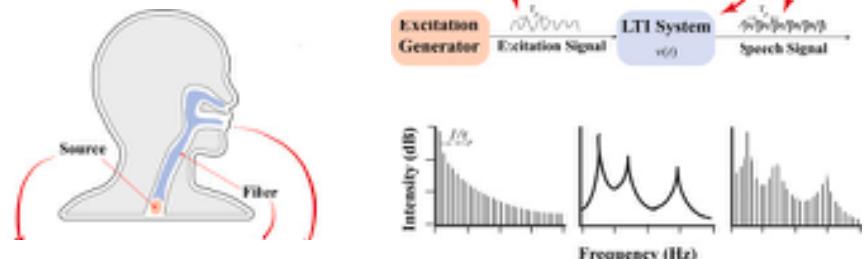
$$h_V[t] = A_V (g[t] * v[t] * r[t])$$

$g[t]$  : glottal pulse signal.

$v[t]$  : vocal tract impulse response.

$r[t]$  : radiation load response at the lips.

$A_V$  : voice gain.



**Unvoiced Speech signal:** produced without vibration (turbulent airflow through constrictions in the vocal tract).

$$y[t] = \sigma_P[t] * h_U[t]$$

$$h_U[t] = A_U (v[t] * r[t])$$

In both cases: lots of convolutions!

# Features (2) : Audio Speech Processing

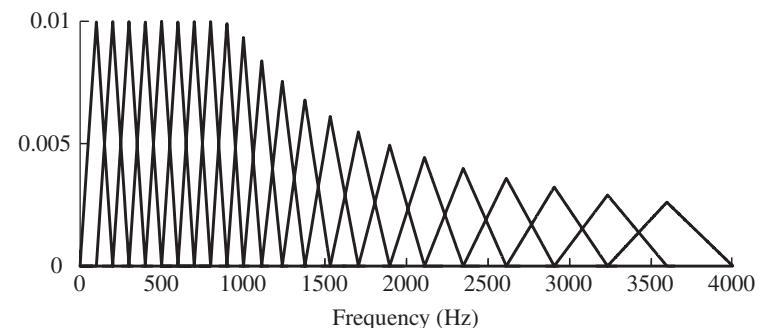
**Cepstral analysis** : log-magnitude spectrum emphasizes the ‘periodicity’ of the harmonics. It is treated as a periodic signal subjected to further Fourier analysis.

	<b>Time Convolution</b>	<b>Frequency Multiplication</b>	<b>Quefrency Sum</b>
$\Xi(\eta) = \int_0^1 \log( X(\phi) ) e^{j2\pi\phi n} d\phi$		$\Xi[g(t) * v(t) * r(t)] = \Xi[g(t)] + \Xi[v(t)] + \Xi[r(t)]$	

**Mel-frequency cepstral coefficients (MFCCs)** : first  $M$  points of the cepstral transform (using DCT) of  $R$  logMel-spectrogram sub-bands.

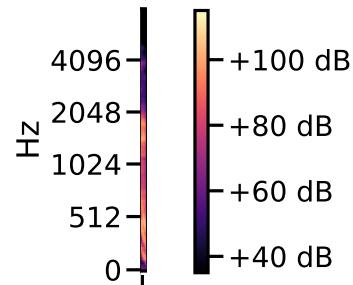
$$\text{MFFC}_\tau(m) = \frac{1}{R} \sum_{r=1}^R \log(\tilde{X}_\tau(r)) \cos\left(\frac{2\pi}{R}\left(r + \frac{1}{2}\right)m\right)$$

$$\tilde{X}_\tau[r] = \frac{1}{\sum_{k=0}^{N-1} |\text{Tri}_r[k]|^2} \sum_{k=0}^{N-1} \left| \text{Tri}_r[k] X_\tau[k] \right|^2$$

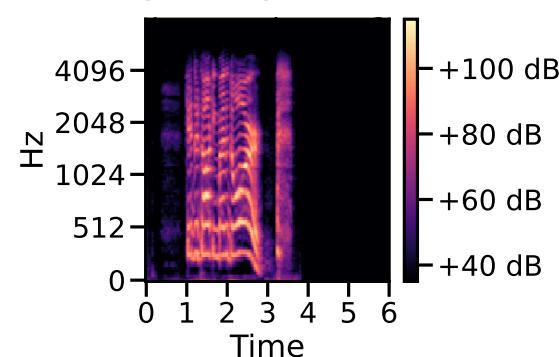


# Features (3) : Selection and clustering

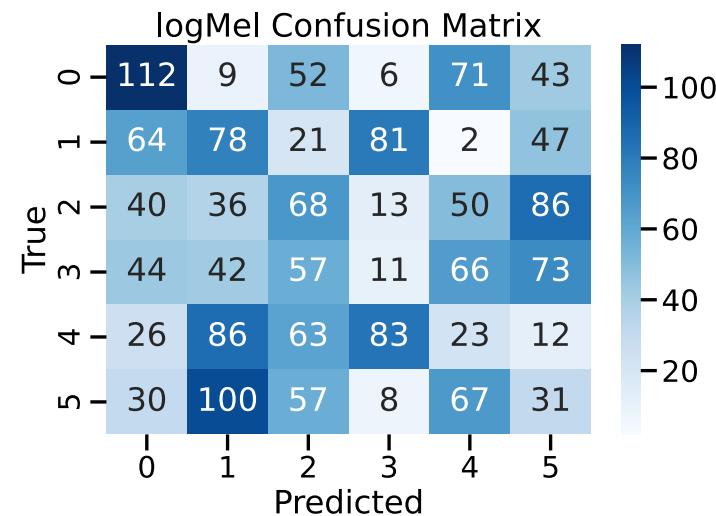
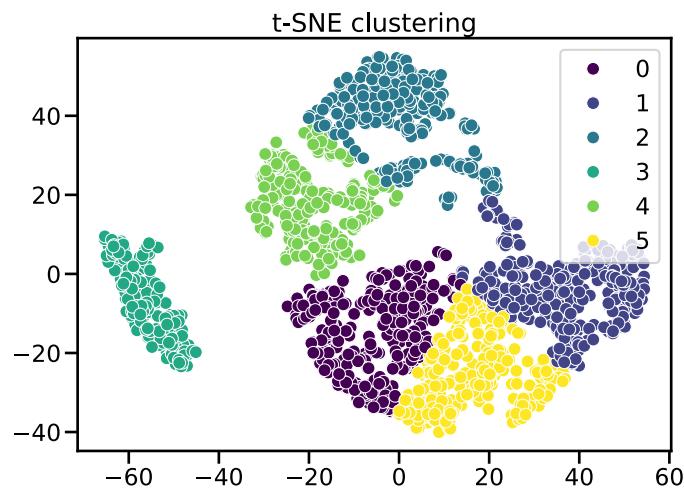
1D: 128 frequency / quefrency points



2D: 128 frequency / quefrency points, 188 temporal points

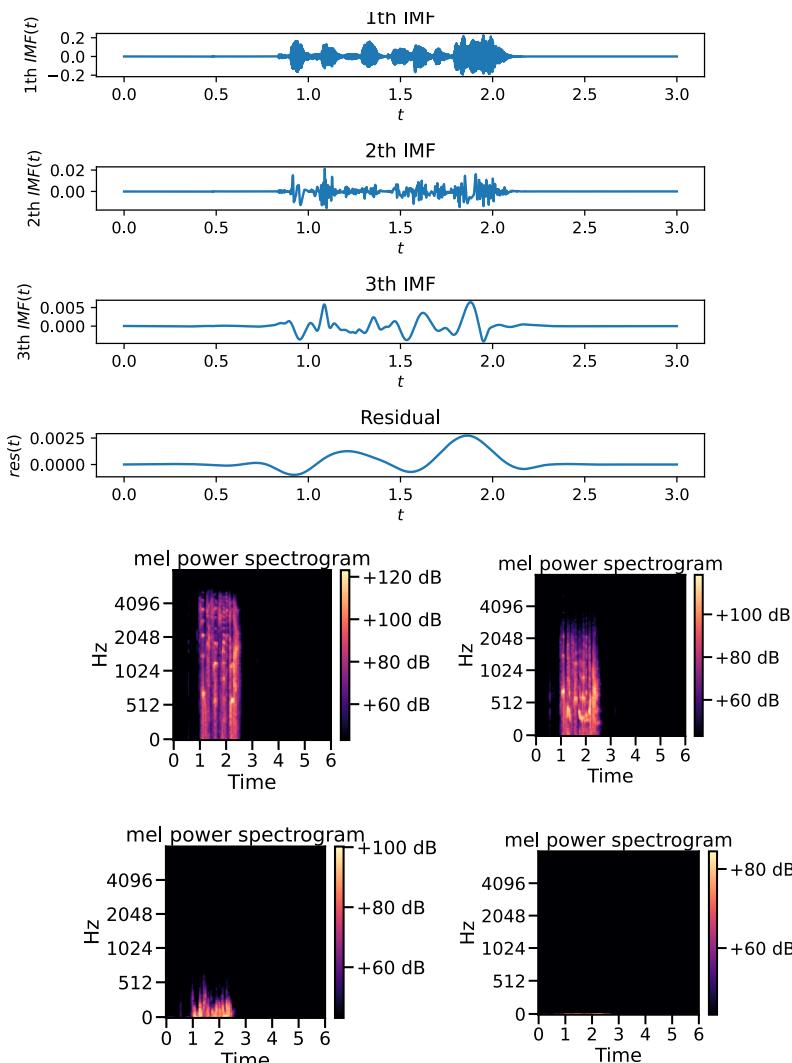
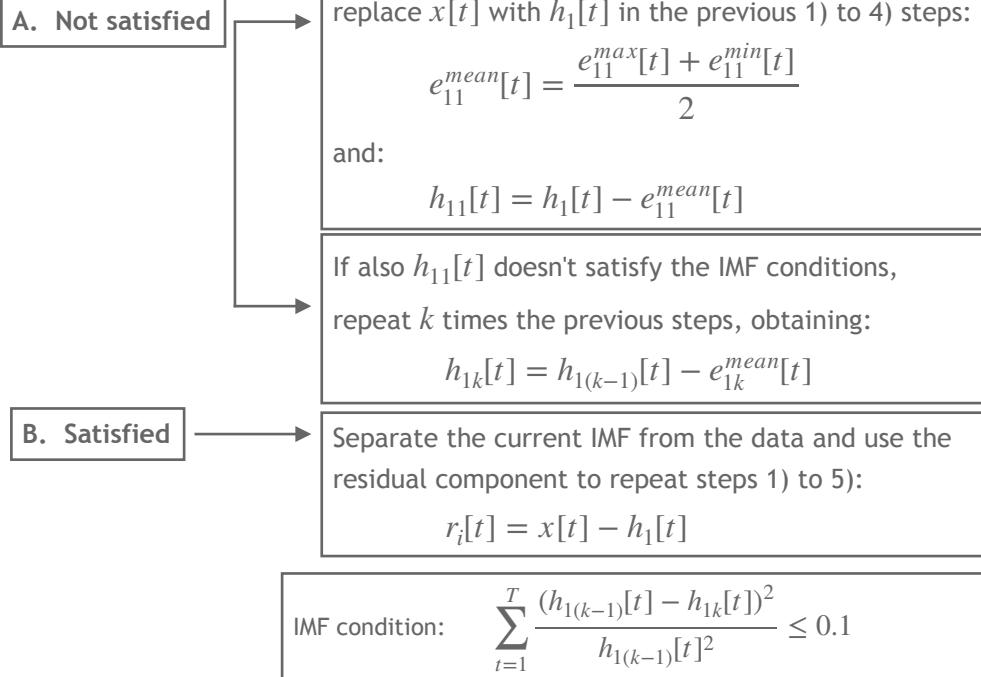


## Feature space clustering:



# Features (4) : EMD

- 1) local maximum and local minimum of  $x[t]$
- 2) cubic spline interpolation:  $e_1^{max}[t], e_1^{min}[t]$
- 3) mean envelope:  $e_1^{mean}[t] = \frac{e_1^{max}[t] + e_1^{min}[t]}{2}$
- 4) IMF extracted by removing the mean envelope from the data:  $h_1[t] = x[t] - e_1^{mean}[t]$
- 5) IMF condition on  $h_1[t]$ :



# Models (1): Clifford Algebra

**Real Clifford Algebra:** The Clifford Algebra over the real field  $\mathbb{R}$  is an Algebra that enriches  $\mathbb{R}^n$  with a specific bilinear operator known as the Clifford product.

Consider the vector space  $(\mathbb{R}^n, +_{\mathbb{R}^n}, \cdot_{\mathbb{R}^n})$  over the field  $(\mathbb{R}, +_{\mathbb{R}}, \cdot_{\mathbb{R}})$ , equipped with a non-degenerate quadratic form  $q_A(\cdot) : \mathbb{R}^n \rightarrow \mathbb{R}$  s.t. the corresponding diagonalized and normalized form  $q_G(\cdot)$  satisfies:

$$q_G(\mathbf{e}_i) = \begin{cases} 1 & 1 \leq i \leq p \\ -1 & p < i \leq p+q \end{cases} \quad \text{with: } \{\mathbf{e}_1, \dots, \mathbf{e}_n\} \in \mathbb{R}^n \text{ canonical basis of } \mathbb{R}^n$$

The Clifford algebra  $(Cl_{p,q}, +_{Cl_{p,q}}, \cdot_{Cl_{p,q}})$  is the real associative algebra constructed on  $\mathbb{R}^n$  by requiring that the Clifford product  $\cdot_{Cl_{p,q}}$  between elements of the algebra satisfies the following conditions:

e.g. from  $\mathbb{R}^2$  we can generate  $Cl_{2,0}$  and  $Cl_{0,2}$  as follows:

$$\begin{aligned} e_i^2 &= q_A(\mathbf{e}_i), \quad \forall i = 1, \dots, p+q \\ e_i e_j &= -e_j e_i \quad \forall i < j \end{aligned}$$

$$\begin{aligned} q_G(\mathbf{a}) &= \mathbf{a}^T G \mathbf{a} & q_G(\mathbf{a}) &= \mathbf{a}^T G \mathbf{a} \\ &= (\mathbf{a}_1, \mathbf{a}_2) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \mathbf{a}_1 \\ \mathbf{a}_2 \end{pmatrix} & &= (\mathbf{a}_1, \mathbf{a}_2) \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \mathbf{a}_1 \\ \mathbf{a}_2 \end{pmatrix} \\ &= \mathbf{a}_1^2 + \mathbf{a}_2^2 & &= -\mathbf{a}_1^2 - \mathbf{a}_2^2 \\ &= \|\mathbf{a}\|_{\mathbb{R}^2}^2 & &= -\|\mathbf{a}\|_{\mathbb{R}^2}^2 \end{aligned}$$

# Models (2): Clifford Product

Consider the Clifford  $Cl_{2,0}$ , it has basis  $\{1, e_1, e_2, e_{12}\}$  with multiplication table:

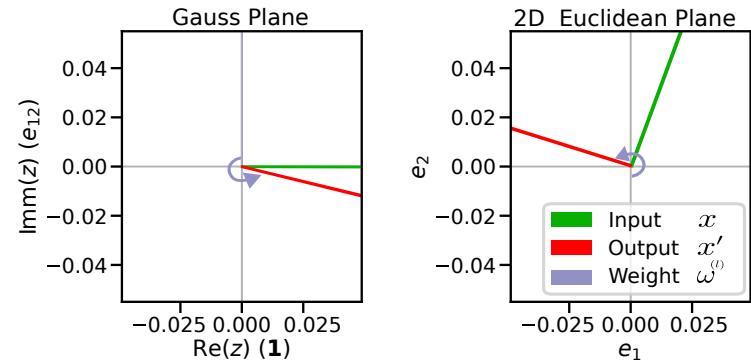
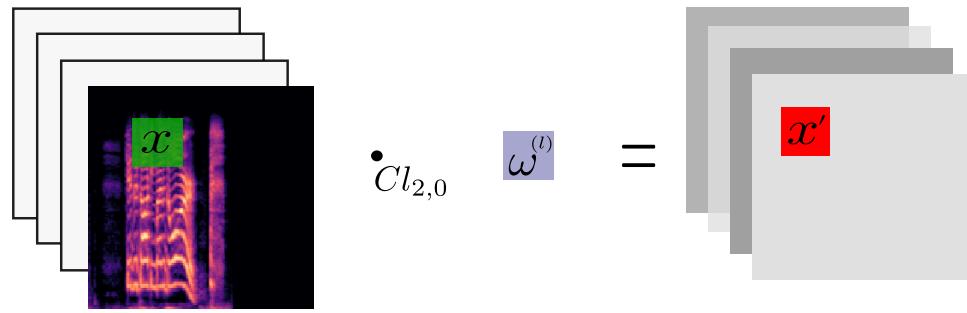
	$e_1$	$e_2$	$e_{12}$
$e_1$	1	$e_{12}$	$e_2$
$e_2$	$-e_{12}$	1	$-e_1$
$e_{12}$	$-e_2$	$e_1$	-1

even part  $\mathcal{C}l_2^+ = \mathbb{R} \oplus \Lambda^2 \mathbb{R}^2 \simeq \mathbb{C}$   
 odd part  $\mathcal{C}l_2^- = \mathbb{R}^2$ .

Product between original vectors:

$$\begin{aligned} \mathbf{a} \cdot \mathbf{b} &= (x_1 e_1 + y_1 e_2)(x_2 e_1 + y_2 e_2) \\ &= x_1 x_2 e_1^2 + y_1 y_2 e_2^2 + x_1 y_2 e_{12} + y_1 x_2 e_{21} \\ &= (x_1 x_2 + y_1 y_2)1 + (x_1 y_2 - y_1 x_2)e_{12} \end{aligned}$$

Geometric interpretation of the Clifford product between Algebra elements (no more vectors, but multi-vectors / hypercomplex numbers):

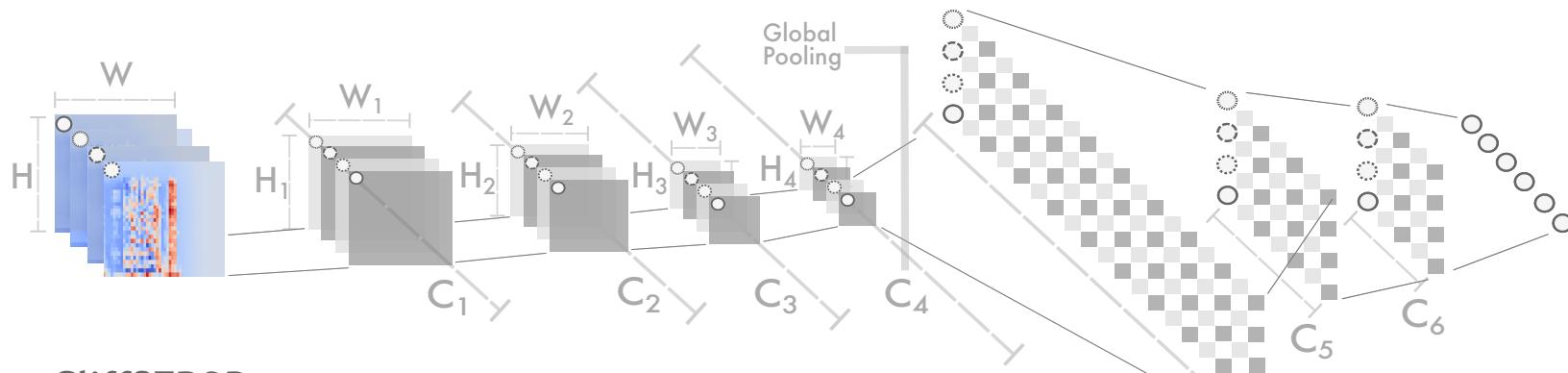


# Models (3): Architectures

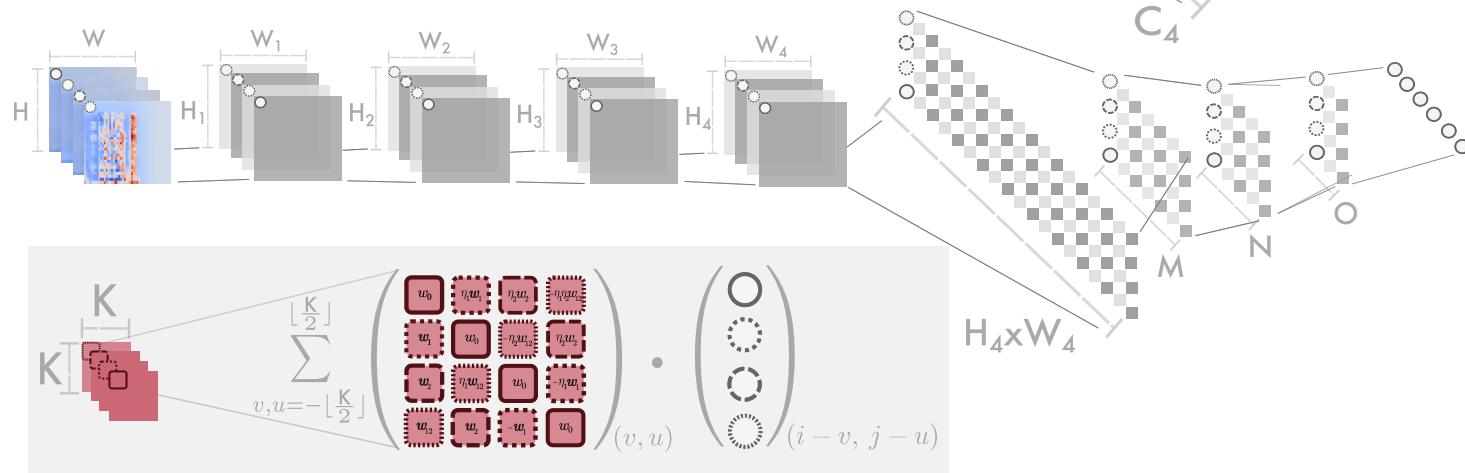
CliffSER2D:

Signatures: [(2,0), (0,2), (3,0), (0,3)]

Feature expansion: [32, 64, 128, 256, 128, 64]



PureCliffSER2D:



CliffW2V: Projection-head with 2 Clifford linear layers + 1 traditional output layer

# Experimental set-up

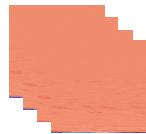
**Dataset:** Ryerson Audio-Visual Database of Emotional Speech and Song (RAVDESS)

- 7356 recordings featuring acted emotional expressions
- 24 professional actors (12 female, 12 male)
- two vocal channels: speech and song.

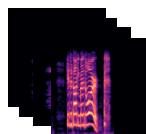


**Pipeline:** Feature extraction + Clustering + data augmentation + data standardization, then:

EMD MFCCs



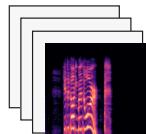
EMD logMel



NO EMD MFCCs

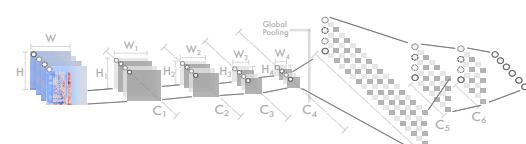


NO EMD logMel

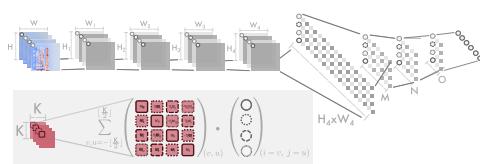


Raw Data

CliffSER 1D / 2D



PureCliffSER 1D / 2D



CliffW2V

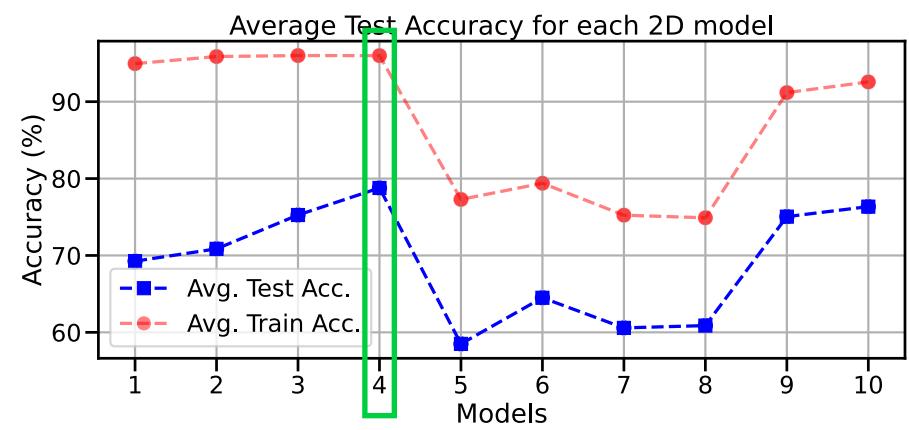
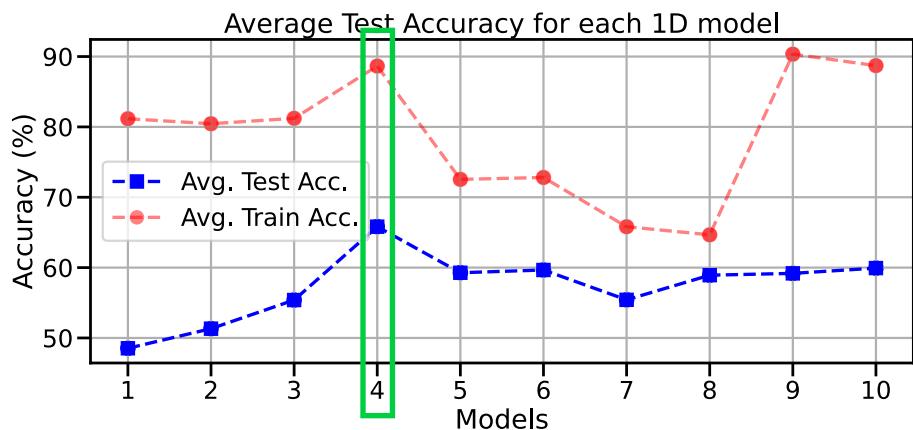
**Training:** CrossEntropy loss

**Evaluation:** 5-fold CV

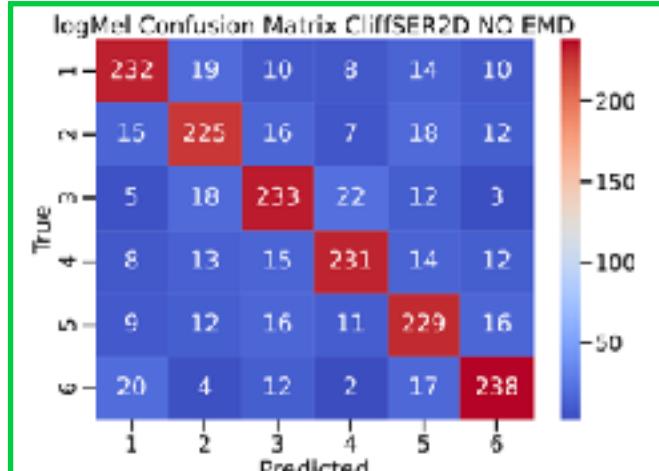
**Metrics:**

- avg. accuracy
- avg. F1 score
- avg. precision
- avg. Recall

# Results:



N°	NAME	ALGEBRA		KERNEL		STRIDE	
		1D	2D	1D	2D	1D	2D
1	EMD MFCCs CliffSER	3,0	2,0	15	5	1	2
2	EMD logMEL CliffSER	0,3		15	5	1	2
3	NO EMD MFCCs CliffSER	3,0	0,3	15	5	1	2
4	NO EMD logMEL CliffSER	0,3		15	5	1	2
5	EMD MFCCs PureCliffSER	2,0	0,2	15	5	1	2
6	EMD logMEL PureCliffSER	2,0	0,2	15	5	1	2
7	NO EMD MFCCs PureCliffSER	2,0		15	5	1	2
8	NO EMD logMEL PureCliffSER	0,2	0,3	15	5	1	2
9	CNN MFCCs	—		15	5	1	2
10	CNN logMEL	—		15	5	1	2



## Facts:

- CliffSER1D, CliffSER2D > CNN1D and CNN2D
- CliffSER > PureCliffSER
- NO EMD > EMD
- (0,3) signature > all the others
- Wav2Vec > CliffW2V

- Wav2Vec: 90.65%
- CliffW2V: 84.13



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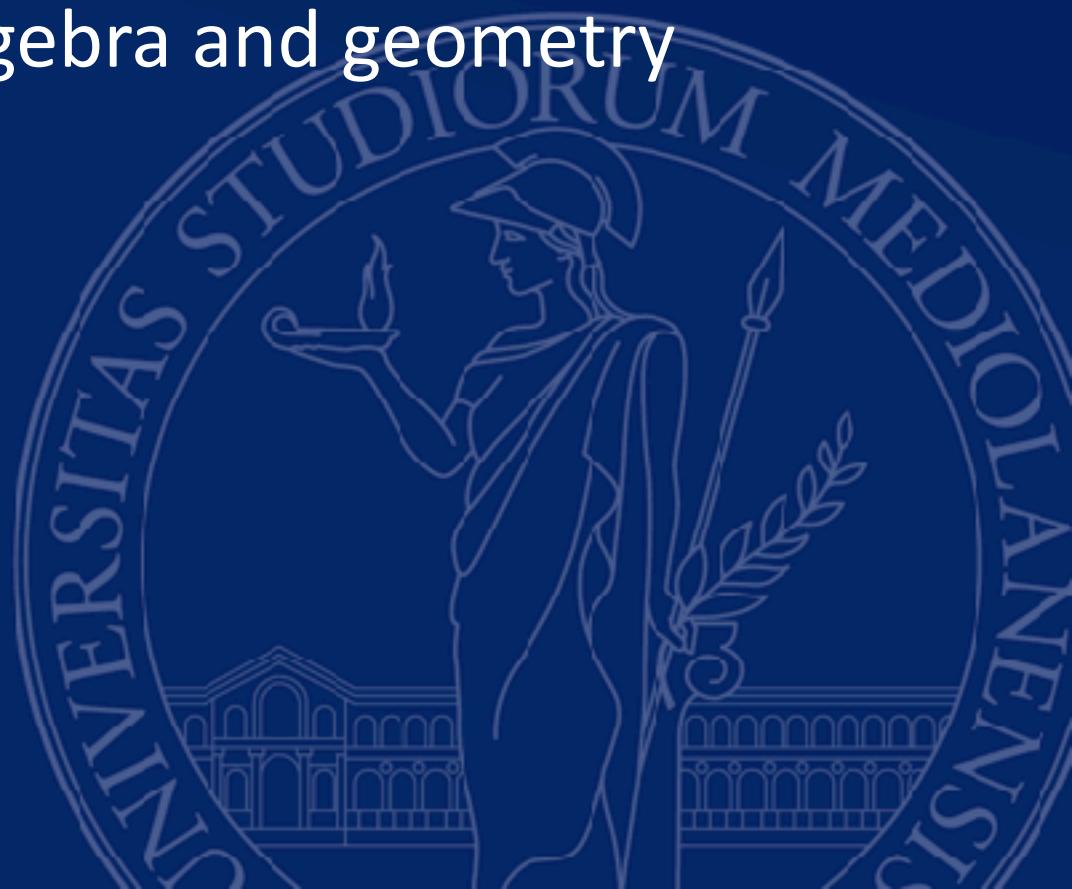
Thank you!





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## Appendix: Algebra and geometry



# Algebra (0): recap

**Group:**  $(G, @_G)$ , s.t. :

$@_G : G \times G \rightarrow G$  is:

- Associative
- Has inverse
- Has identity
- (If commutative -> abelian group)

**Field:**  $(K, +_K, \bullet_K)$ , s.t. :

$+_K : K \times K \rightarrow K$  is:

- Associative
- Has inverse
- Has identity
- Commutative

i.e.  $(G, +_G)$   
is an abelian group

$\bullet_K : G \setminus \{0_k\} \times G \setminus \{0_k\} \rightarrow G \setminus \{0_k\}$  is:

- Associative
- Has inverse
- Has identity
- Commutative

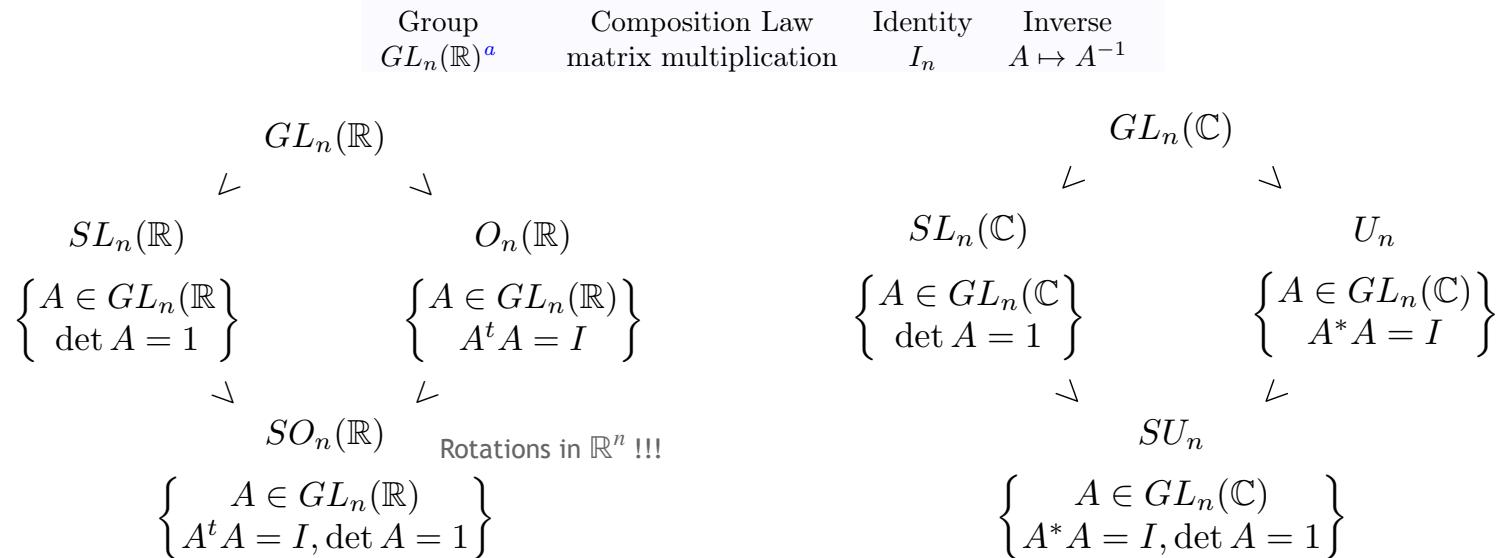
i.e.  $(G \setminus \{0_k\}, \bullet_K)$   
is an abelian group

**Vector/linear space:**  $(V, +_V, \cdot_{KV})$  on  $(K, +_K, \bullet_K)$  s.t.  $(V, +_V)$  is an abelian group and:

- $\cdot_{KV}$  distributive w.r.t.  $+_V$  and  $\cdot_{KV}$
- $\cdot_{KV}$  has identity, with  $1_{KV} = 1_K$
- $\forall \underline{v} \in V, \forall h, k \in K, h \cdot_{KV} (k \cdot_{KV} \underline{v}) = (h \bullet_K k) \cdot_{KV} \underline{v}$

# Algebra (1): linear groups

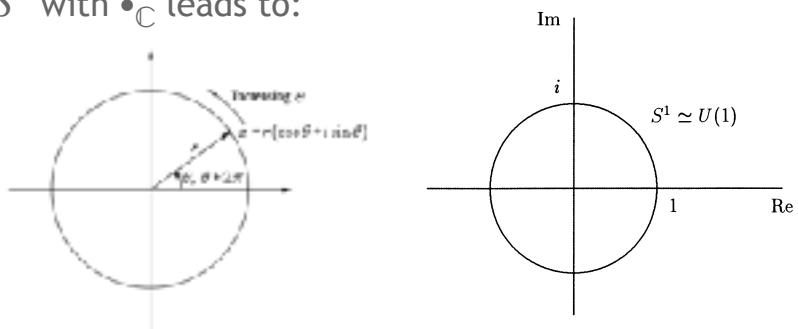
Linear groups : subgroups of the general linear group which satisfy conditions about preserving properties that come from linear algebra.



Notice that:  $S^1 = \{(x_1, x_2) \mid x_1^2 + x_2^2 = 1\}$ , endowing  $S^1$  with  $\bullet_{\mathbb{C}}$  leads to:

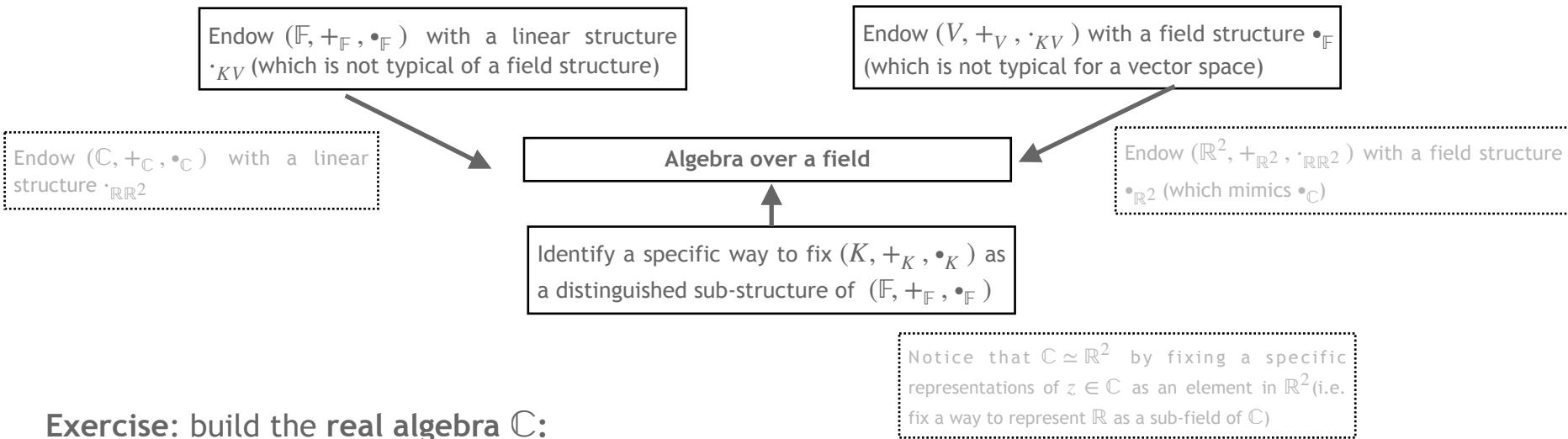
$$\begin{aligned} (S^1, \bullet_{\mathbb{C}}) &\simeq \{z = a + ib \in \mathbb{C} \mid |z| \\ &= z\bar{z} = \sqrt{a^2 + b^2} = 1\} \\ &= U(1) \end{aligned}$$

Since:  $|z| = z\bar{z} = \sqrt{a^2 + b^2} = 1$



# Algebra (2): algebra over a field

**Algebra  $V$  over a Field  $K$ :** vector space  $(V, +_V, \cdot_{KV})$ , implicitly defined on the field  $(K, +_K, \cdot_K)$  of scalars, together with a bilinear law of composition of elements of the vector space,  $\cdot_V : V \times V \rightarrow V$ , that is ‘inherited’ from a field structure  $(\mathbb{F}, +_{\mathbb{F}}, \cdot_{\mathbb{F}})$ , i.e.  $\cdot_V$  mimics  $\cdot_{\mathbb{F}}$ .



**Exercise: build the real algebra  $\mathbb{C}$ :**

- Field:  $(\mathbb{C}, +_{\mathbb{C}}, \cdot_{\mathbb{C}})$  replaces  $(\mathbb{F}, +_{\mathbb{F}}, \cdot_{\mathbb{F}})$
- Vector space:  $(\mathbb{R}^2, +_{\mathbb{R}^2}, \cdot_{\mathbb{R}^2})$  or  $(\text{Mat}_2(\mathbb{R}), +_{\text{Mat}_2(\mathbb{R})}, \cdot_{\mathbb{R}\text{Mat}_2(\mathbb{R})})$  replace  $(V, +_V, \cdot_{KV})$   
 $(\mathbb{R}, +_{\mathbb{R}}, \cdot_{\mathbb{R}})$  replaces  $(K, +_K, \cdot_K)$

→ build  $\mathbb{C}$  as an algebra over  $\mathbb{R}$

# Algebra (3): algebra $\mathbb{C}$ on $\mathbb{R}$

Exercise: build the real algebra  $\mathbb{C}$  (i.e. endow  $(\mathbb{R}^2, +_{\mathbb{R}^2}, \cdot_{\mathbb{R}\mathbb{R}^2})$  with a bilinear operator  $\bullet_{\mathbb{R}^2}$ )  
(i.e. endow  $(\mathbb{C}, +_{\mathbb{C}}, \bullet_{\mathbb{C}})$  with a ‘scalar-vector’ product  $\cdot_{\mathbb{R}\mathbb{R}^2}$ )

Consider  $\mathbb{C}$  as a field:

$$(\mathbb{C}, +_{\mathbb{C}}, \bullet_{\mathbb{C}}) : z \in \mathbb{C} : z = x_1 + iy_1$$

$$+_{\mathbb{C}} : (x_1 + iy_1) + (x_2 + iy_2) = (x_1 + x_2) + i(y_1 + y_2) \quad \bullet_{\mathbb{C}} : (x_1 + iy_1)(x_2 + iy_2) = (x_1x_2 - y_1y_2) + i(x_1y_2 + y_1x_2)$$

We can write  $\mathbb{C}$  as the set of ordered pairs of real numbers, i.e. emphasize the isomorphism:

$$\mathbb{C} \simeq \mathbb{R}^2 : (\mathbb{C}, +_{\mathbb{C}}, \bullet_{\mathbb{C}}) \rightarrow (\mathbb{R}^2, +_{\mathbb{R}^2}, \bullet_{\mathbb{R}^2})$$

$$\forall z = x + iy \in \mathbb{C}, \quad z \mapsto (x, y) \in \mathbb{R}^2$$

Denoting  $1 = (1, 0)$ ,  $i = (0, 1)$

We can't introduce  $\bullet_{\mathbb{R}^2}$  in  $(\mathbb{R}^2, +_{\mathbb{R}^2}, \cdot_{\mathbb{R}\mathbb{R}^2})$  s.t.:  $\bullet_{\mathbb{R}^2} : (x_1, y_1)(x_2, y_2) = (x_1x_2 - y_1y_2, x_1y_2 + y_1x_2)$  (i.e.  $\bullet_{\mathbb{C}}$ )

without distinguish  $\mathbb{R}$  as represented by  $\mathbb{R} \simeq \{(x, 0) | x \in \mathbb{R}\} \subseteq \mathbb{R}^2 \simeq \mathbb{C}$ , and letting  $\bullet_{\mathbb{R}^2}$  reduce to  $\cdot_{\mathbb{R}\mathbb{R}^2}$  for  $(x_1, x_2) = (\lambda, 0)$ :

$$(x_1, y_1) \bullet_{\mathbb{R}^2} (x_2, y_2) = (\lambda, 0) \bullet_{\mathbb{R}^2} (x_2, y_2) = (\lambda x_2 - 0y_2, \lambda y_2 + 0x_2) = (\lambda x_2, \lambda y_2) = \lambda \bullet_{\mathbb{R}\mathbb{R}^2} (x_2, y_2)$$

# Algebra (4): algebra $\mathbb{C}$ on $\mathbb{R}$

This is not the unique way to construct the real algebra  $\mathbb{C}$ , e.g. we can write  $\mathbb{C}$  exploiting the isomorphism  $\mathbb{C} \rightarrow \text{Mat}_2(\mathbb{R}) : \forall z = x + iy \in \mathbb{C}, z \mapsto \begin{pmatrix} x & -y \\ y & x \end{pmatrix}$

$$\begin{pmatrix} x_1 & -y_1 \\ y_1 & x_1 \end{pmatrix} \begin{pmatrix} x_2 & -y_2 \\ y_2 & x_2 \end{pmatrix} = \begin{pmatrix} x_1x_2 - y_1y_2 & -x_1y_2 - y_1x_2 \\ y_1x_2 + x_1y_2 & -y_1y_2 + x_1x_2 \end{pmatrix}$$

$\uparrow \quad \uparrow \quad \uparrow$

$\text{Mat}_2(\mathbb{R}) \simeq \mathbb{C} \quad \text{Mat}_2(\mathbb{R}) \simeq \mathbb{C} \quad \text{Mat}_2(\mathbb{R}) \simeq \mathbb{C}$

$$x_1 + iy_1 \quad x_2 + iy_2 = (x_1x_2 - y_1y_2) + i(y_1x_2 + x_1y_2)$$

By doing so, the unit norm condition in  $\mathbb{C}$ , i.e.:

$$z \in U(1) \Leftrightarrow |z| = z\bar{z} = \sqrt{x^2 + y^2} = 1, \quad U(1) \subset \mathbb{C}$$

has its counterpart in  $\text{Mat}_2(\mathbb{R})$  with the following condition:

$$\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \in SO(2) \Leftrightarrow \det \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} = 1, \quad SO(2) \subset \text{Mat}_2(\mathbb{R})$$

i.e., exploiting  $\text{Mat}_2(\mathbb{R}) \simeq \mathbb{C}, z \in \mathbb{C} : z\bar{z} = 1$  represents a rotation in  $\mathbb{R}^2$

# Clifford algebra (0): Clifford algebra $Cl_{2,0}$ on the vector plane $\mathbb{R}^2$

$Cl_{2,0}$  is build in a similar way we built the real algebra  $\mathbb{C}$ , i.e. endow  $(\mathbb{R}^2, +_{\mathbb{R}^2}, \cdot_{\mathbb{R}^2})$  with a bilinear operator.

Instead of considering  $\cdot_{\mathbb{R}^2}$  as a way to mimic  $\cdot_{\mathbb{C}}$ , we would like to equip  $\mathbb{R}^2$  with a product which satisfies the following conditions:

- It is **associative**, **distributive** and (possibly) **commutative** (commutativity can't be ensured for  $\mathbb{R}^n$  with  $n \geq 3$ ).
- It **preserves the norm**  $|\underline{r}\underline{r}'| = |\underline{r}| |\underline{r}'|$ , i.e the product equals the square of the length:  $\underline{r}\underline{r}' = \underline{r}^2 \Rightarrow \underline{r}^2 = |\underline{r}|^2$

Consider the vector space  $(\mathbb{R}^2, +_{\mathbb{R}^2}, \cdot_{\mathbb{R}^2})$ , it is spanned by  $\{\underline{e}_1, \underline{e}_2\}$ , i.e.:

$$\forall \underline{r} \in \mathbb{R}^2, \quad \underline{r} = x\underline{e}_1 + y\underline{e}_2$$

Endow it with the above-mentioned product (considering  $\mathbb{R}^2$  as a field now):

$$a, b \in \mathbb{R}^2 \mapsto ab \in \mathbb{R}^2 \text{ s.t. } a \in \mathbb{R}^2 \mapsto a^2 = |a|^2$$

Using distributivity, without assuming commutativity:

$$a^2 = |a|^2$$

$$(x\underline{e}_1 + y\underline{e}_2)^2 = x^2 + y^2$$

$$x^2\underline{e}_1^2 + y^2\underline{e}_2^2 + xy(\underline{e}_1\underline{e}_2 + \underline{e}_2\underline{e}_1) = x^2 + y^2$$

The only way to get satisfied the equation is to set:

$$\underline{e}_1^2 = \underline{e}_2^2 = 1$$

$$\underline{e}_1\underline{e}_2 + \underline{e}_2\underline{e}_1 = 0 \Rightarrow \underline{e}_1\underline{e}_2 = -\underline{e}_2\underline{e}_1, \text{ with } \underline{e}_1\underline{e}_2 = \underline{e}_{12}$$

Therefore for two elements of  $\mathbb{R}^2$ ,  $r = x_1\underline{e}_1 + y_1\underline{e}_2$  and  $r' = x_2\underline{e}_1 + y_2\underline{e}_2$ :

$$\begin{aligned} (x_1\underline{e}_1 + y_1\underline{e}_2)(x_2\underline{e}_1 + y_2\underline{e}_2) &= x_1x_2\underline{e}_1^2 + y_1y_2\underline{e}_2^2 + x_1y_2\underline{e}_{12} + y_1x_2\underline{e}_{21} \\ &= (x_1x_2 + y_1y_2) + (x_1y_2 - y_1x_2)\underline{e}_{12} \\ &= \langle \underline{a}, \underline{b} \rangle + \underline{a} \wedge \underline{b} \end{aligned}$$

i.e. the elements that result from the product are linear combinations of  $\{1, \underline{e}_{12}\}$ , which do not belong to  $\mathbb{R}^2$ . In particular  $\underline{e}_{12}$  squares to -1 and therefore it is neither a scalar nor a vector, it is a **bivector** (i.e. an oriented plane area).

# Clifford algebra (1): Clifford algebra $Cl_{2,0}$ on the vector plane $\mathbb{R}^2$

Exactly as in the case of the real algebra  $\mathbb{C}$ , we're fixing a specific and unique way to represent  $\mathbb{R}$  (and, in this case, also  $\mathbb{R}^2$  and  $\mathbb{C}$ ) as subfields of the field (not explicitly stated) that we're trying to turn into an algebra (i.e into the  $Cl_{2,0}$  real algebra):

$$\mathbb{R} \simeq \{(x,0,0,0) | x \in \mathbb{R}\} \simeq \{x1 + 0e_1 + 0e_2 + 0e_{12} | x \in \mathbb{R}\} \subseteq CL_{2,0}$$

$$\mathbb{R}^2 \simeq \{(0,x,y,0) | (x,y) \in \mathbb{R}^2\} \simeq \{01 + xe_1 + ye_2 + 0e_{12} | (x,y) \in \mathbb{R}^2\} \subseteq CL_{2,0}$$

$$\mathbb{C} \simeq \{x1 + 0e_1 + 0e_2 + ye_{12} | x + iy \in \mathbb{C}\} \subseteq CL_{2,0}$$

$$\left( \bigwedge^2 \mathbb{R}^2 \simeq \{(0,0,0,x) | x \in \mathbb{R}\} \simeq \{01 + 0e_1 + 0e_2 + xe_{12} | x \in \mathbb{R}\} \subseteq CL_{2,0} \right)$$

The Clifford product  $\bullet_{Cl_{2,0}}$  should:

- equal the product  $ab$  (introduced in the previous slide) for  $a = x_1e_1 + y_1e_2, b = x_2e_1 + y_2e_2 \in \mathbb{R}^2$
- extend the product  $ab$  also for elements  $a = x_11 + y_1e_{12}, b = xe_{12}, \dots \in \mathbb{C}, \mathbb{R}, \bigwedge^2 \mathbb{R}^2$

**Exercise: build the real algebra  $Cl_{2,0}$ .** Notice that we could have followed step by step the construction used for the real algebra  $\mathbb{C}$ , to construct  $Cl_{2,0}$ . However, doing so would have sacrificed some of the intuitive meaning behind the attempt to introduce the Clifford product directly into  $\mathbb{R}^2$ :

- Field:  $(Cl_{2,0}, +_{Cl_{2,0}}, \bullet_{Cl_{2,0}})$  replaces  $(\mathbb{F}, +_{\mathbb{F}}, \bullet_{\mathbb{F}})$
- Vector space:  $(\mathbb{R}^4, +_{\mathbb{R}^4}, \cdot_{\mathbb{R}^4})$  replace  $(V, +_V, \cdot_{KV})$   
 $(\mathbb{R}, +_{\mathbb{R}}, \bullet_{\mathbb{R}})$  replaces  $(K, +_K, \bullet_K)$

→ build  $Cl_{2,0}$  as an algebra over  $\mathbb{R}$

# Clifford algebra (2): Clifford algebra $Cl_{2,0}$ on the vector plane $\mathbb{R}^2$

The Clifford  $Cl_{2,0}$  is a 4-dimensional real algebra with a basis  $\{1, e_1, e_2, e_{12}\}$  following the multiplication table:

	$e_1$	$e_2$	$e_{12}$	1	$\mathbb{R}$	scalars
$e_1$	1	$e_{12}$	$e_2$	$e_1, e_2$	$\mathbb{R}^2$	vectors
$e_2$	$-e_{12}$	1	$-e_1$	$e_{12}$	$\Lambda^2 \mathbb{R}^2$	bivectors.
$e_{12}$	$-e_2$	$e_1$	-1			

$Cl_{2,0}$  contains copies of  $\mathbb{R}, \mathbb{R}^2$  and  $\mathbb{C}$  as it is a direct sum of its 0,1,2-graded subspaces:

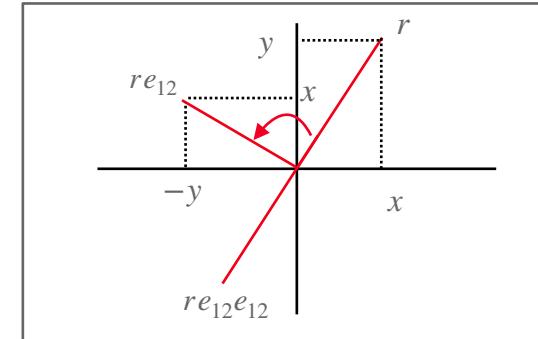
$$Cl_2 = \mathbb{R} \oplus \mathbb{R}^2 \oplus \bigwedge^2 \mathbb{R}^2$$

even part	$Cl_2^+ = \mathbb{R} \oplus \bigwedge^2 \mathbb{R}^2 \simeq \mathbb{C}$
odd part	$Cl_2^- = \mathbb{R}^2$ .

Clifford product between vector and unit bivector in  $Cl_{2,0}$ :

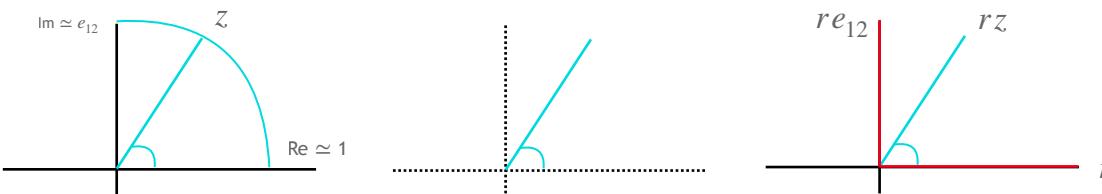
$$\begin{aligned} e_{12} &= 01 + 1e_{12} & re_{12} &= xe_1e_1e_2 + ye_2e_1e_2 \\ r &= xe_1 + ye_2 & &= xe_2 - ye_2e_2e_1 \\ & & &= xe_2 - ye_1 \\ & & & \Rightarrow \text{Counter-clockwise rotation by } \frac{\pi}{2} \end{aligned}$$

$re_{12}e_{12} = r(-1) \Rightarrow \text{rotation by } \pi$

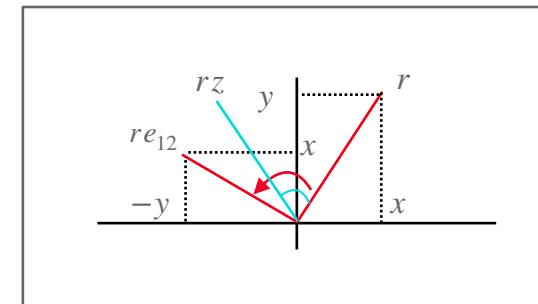


Clifford product between vector and unit complex number in  $Cl_{2,0}$ :

$$\begin{aligned} z &= \cos \theta + i \sin \theta & rz &= r \cos \theta + ri \sin \theta \\ r &= xe_1 + ye_2 & &= r \cos \theta + re_{12} \sin \theta \end{aligned} \Rightarrow \text{Counter-clockwise rotation by } \theta$$



while  $z = \cos \theta + i \sin \theta$  had coordinates  $(\cos \theta, \sin \theta)$  w.r.t. basis  $\{1, e_{12}\}$ ,  
 $rz = r \cos \theta + re_{12} \sin \theta$  has the same coordinates  $(\cos \theta, \sin \theta)$  but w.r.t. basis  $\{r1, re_{12}\}$



# Quaternions (0)

Quaternions  $\mathbb{H}$  are generalized complex numbers (i.e. hypercomplex numbers) characterized by three imaginary units  $i, j, k$ . They form the anti-commutative field  $(\mathbb{H}, +_{\mathbb{H}}, \cdot_{\mathbb{H}})$  in which:

$$\forall q \in \mathbb{H}, \quad q = q_0 + q_1i + q_2j + q_3k \quad \Rightarrow q = (q_0, q_1, q_2, q_3)^T, \text{ exploiting: } \mathbb{H} \simeq \mathbb{R}^4, \text{ as we did for } \mathbb{C} \simeq \mathbb{R}^2$$

$$+_{\mathbb{H}} : q + p = q = (q_0 + p_0) + (q_1 + p_1)i + (q_2 + p_2)j + (q_3 + p_3)k$$

$$\cdot_{\mathbb{H}} : pq = (p_0 + p_1i + p_2j + p_3k)(q_0 + q_1i + q_2j + q_3k)$$

$$\begin{aligned} &= p_0q_0 - p_1q_1 - p_2q_2 - p_3q_3 + \\ &+ (p_1q_0 + p_0q_1 - p_3q_2 + p_2q_3)i + \\ &+ (p_2q_0 + p_3q_1 + p_0q_2 - p_1q_3)j + \\ &+ (p_3q_0 - p_2q_1 + p_1q_2 + p_0q_3)k \end{aligned}$$

$$= p_0q_0 + \langle \underline{pq} \rangle + p_0\underline{q} + \underline{pq}_0 + \underline{p} \times \underline{q}$$

$$\Rightarrow pq = \begin{pmatrix} p_0 & -p_1 & -p_2 & -p_3 \\ p_1 & p_0 & -p_3 & p_2 \\ p_2 & p_3 & p_0 & -p_1 \\ p_3 & -p_2 & p_1 & p_0 \end{pmatrix} \begin{pmatrix} q_0 \\ q_1 \\ q_2 \\ q_3 \end{pmatrix}$$

Involutions:

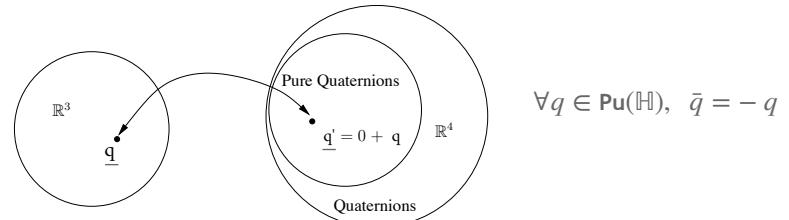
$$\begin{aligned} \forall q \in \mathbb{H}, \quad q = q_0 + q_1i + q_2j + q_3k \quad &\Rightarrow \bar{q} = q_0 - q_1i - q_2j - q_3k \\ &\Rightarrow \tilde{q} = q_0 + q_1i - q_2j - q_3k \\ &\Rightarrow \hat{q} = q_0 - q_1i + q_2j - q_3k \end{aligned}$$

Conjugate  
Reverse  
Grade  
involuted

Norm:  $\forall q \in \mathbb{H}, |q| = \sqrt{q\bar{q}} = \sqrt{q_0^2 + q_1^2 + q_2^2 + q_3^2}$

Inverse:  $\forall q \neq 0 \in \mathbb{H}, q^{-1} = \frac{\bar{q}}{|q|^2}$

Pure quaternions: quaternion whose real part is zero



Unit quaternions: quaternion whose norm is 1

$$q \in \text{Unit}(\mathbb{H}), \Leftrightarrow |q| = 1$$

# Quaternions (1): group $SU(2)$

Recall that  $SU(2)$  is defined as :

$$SU(2) = \{A \in GL_2(\mathbb{C}) \mid A^*A = 1_2, \det(A) = 1\}$$

$SU(2)$  stands for  $(SU(2), \bullet_{Mat_2(\mathbb{C})})$

Let  $A$  be expressed as:

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

Condition  $A^*A = 1_2$  implies  $A^{-1} = A^*$ , let's compute  $A^{-1}$  with Cramer's method

$$A^{-1} = \frac{1}{\det(A)} \begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{pmatrix}^T,$$

with:  $m_{ij} = (-1)^{i+j} \det(A_{ij})$

$$A^{-1} = \frac{1}{\det(A)} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}, \text{ since } A \in SU(2) \text{ then } \det(A) = 1 \Rightarrow A^{-1} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$$

for  $A^{-1} = A^*$ , we must have  $A^{-1} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix} = \begin{pmatrix} \bar{a} & \bar{b} \\ \bar{c} & \bar{d} \end{pmatrix} = A^*$ , i.e. it must be:  $d = \bar{a}$ ,  $b = -\bar{c}$

$$SU(2) = \left\{ A \in GL_2(\mathbb{C}) \mid A = \begin{pmatrix} a & b \\ -\bar{b} & -\bar{a} \end{pmatrix} \right\}$$

Let be  $a = x_0 + ix_1$  and  $b = x_1 + ix_2$ , therefore any element in  $SU(2)$  can be written as a linear combination of basis elements:

$$A = x_0 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + x_1 \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} + x_2 \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} + x_3 \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$$

$\downarrow$                      $\downarrow$                      $\downarrow$                      $\downarrow$   
 1                    i                    j                    k

Satisfying, w.r.t.  $\bullet_{Mat_2(\mathbb{C})}$  :

$$i^2 = j^2 = k^2 = ijk = -1$$

$$SU(2) \simeq \text{Unit}(\mathbb{H}) = \{x_0 + x_1\mathbf{i} + x_2\mathbf{j} + x_3\mathbf{k} \mid x_0^2 + x_1^2 + x_2^2 + x_3^2 = 1\} \subset \mathbb{H}$$

# Quaternions (2): unit and pure quaternions

Notice that  $(x_0, x_1) : x_0^2 + x_1^2 = 1$  is an element of  $S^1$ , this means that  $(x_0, x_1, x_2) : x_0^2 + x_1^2 + x_2^2 = 1$  is an element of  $S^2$  and a unit quaternion  $(x_0, x_1, x_2, x_3) : x_0^2 + x_1^2 + x_2^2 + x_3^2 = 1$ , exploiting the isomorphism between  $\mathbb{H}$  and  $\mathbb{R}^4$ , is an element of  $S^3$ , therefore:

$$\text{Unit}(\mathbb{H}) \simeq SU(2) \simeq S^3$$

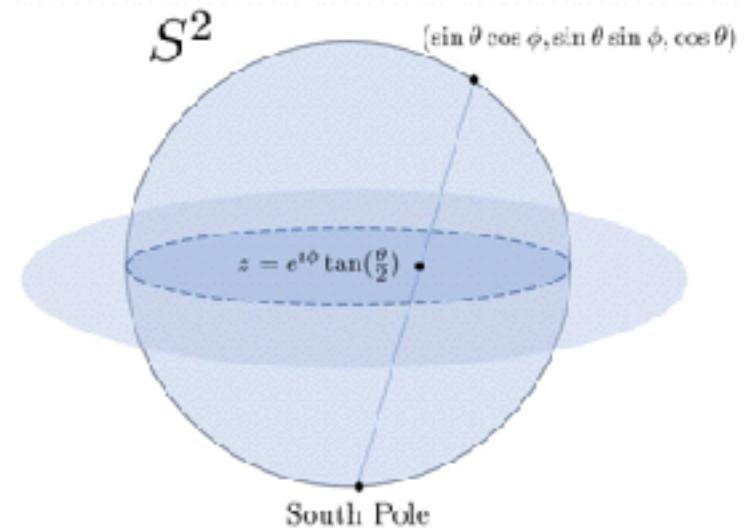
To understand the geometry of  $S^3$ , let's look more closely to  $S^2$ . A 'location' in  $S^2$  can be represented by **latitudes** and **longitudes**.

- Latitudes: horizontal slices of the sphere (a point at the north, circles of increasing radius, equator, circle of decreasing radius and a point at the south pole):

$$S^2 = \bigcup_{c=-1}^{c=1} \{(x_0, x_1, x_2) \in S^2 \mid x_0 = c\}$$

the equator is:

$$E^2 = \{(x_0, x_1, x_2) \in S^2 \mid x_0 = 0\}$$



In 4 dimensions (i.e considering  $S^3$ ) latitudes are still horizontal slices, but they are 2D spheres of different radius:

$$S^3 = \bigcup_{c=-1}^{c=1} \{(x_0, x_1, x_2, x_3) \in S^3 \mid x_0 = c\}$$

the equator in  $S^3$  is isomorphic to the pure quaternions :  $E^3 = \{(x_0, x_1, x_2, x_3) \in S^3 \mid x_0 = 0\} \simeq \text{Pu}(\mathbb{H})$

# Clifford algebra (3): Clifford algebra $Cl_{0,2}$ on the anti-euclidean space $\mathbb{R}^{0,2}$

The Clifford  $Cl_{0,2}$  is a 4-dimensional real algebra with a basis  $\{1, e_1, e_2, e_{12}\}$  following the multiplication table:

	$e_1$	$e_2$	$e_{12}$
$e_1$	-1	$e_{12}$	$-e_2$
$e_2$	$-e_{12}$	-1	$e_1$
$e_{12}$	$e_2$	$-e_1$	-1

$$CL_{0,2} \simeq \mathbb{H}$$

1	1
$e_1, e_2$	i, j
$e_{12}$	k

$Cl_{0,2}$  contains copies of  $\mathbb{R}$  and  $\mathbb{R}^3$  as it is a direct sum of its 0,1,2-graded subspaces:

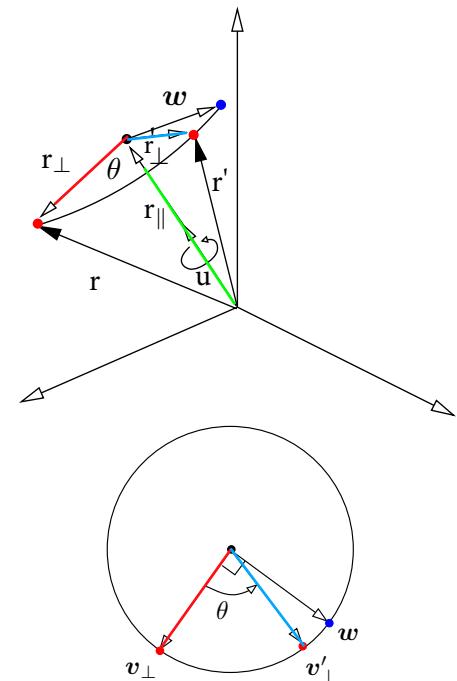
$$Cl_{0,2} = \mathbb{R} \oplus \mathbb{R}^3 \simeq \mathbb{H} \simeq \mathbb{R}^4$$

$Cl_{0,2}$  is build endowing  $(\mathbb{R}^2, +_{\mathbb{R}^2}, \cdot_{\mathbb{R}^2})$  with a bilinear operator which satisfies the following conditions:

- It is associative, distributive and (anti) commutative.
- It preserves the norm  $|\underline{r}\underline{r}'| = |\underline{r}||\underline{r}'|$ , i.e the product equals the square of the length:  $\underline{r}\underline{r} = \underline{r}^2 \Rightarrow \underline{r}^2 = -|\underline{r}|^2$

Rotation of a vector in  $\underline{r} \in \mathbb{R}^3$  along an arbitrary rotation axis  $\underline{u} \in \mathbb{R}^3$ :

$$\begin{aligned} \underline{r} &= (x, y, z) \\ \underline{u} &= (u_1, u_2, u_3) \Rightarrow \underline{r} = \underline{r}_{\parallel u} + \underline{r}_{\perp u} \Rightarrow \quad \underline{r}_{\parallel u} = \langle \underline{r} \underline{u} \rangle \underline{u} \quad \text{Not affected by the rotation} \\ &\quad \underline{r}_{\perp u} = \underline{r} - \underline{r}_{\parallel u} \\ &\quad \quad \quad = \underline{r} - \langle \underline{r} \underline{u} \rangle \underline{u} \quad \text{Affected by the rotation} \end{aligned}$$



Recall that a rotation on a plane is a 2D vector multiplied by a unit complex number, i.e.,  $\underline{r}'_{\perp u}$  has coordinates  $(\cos \theta, \sin \theta)$  w.r.t basis  $\{\underline{r}_{\perp u}, \underline{w}\}$ :

$$\underline{r}'_{\perp u} = \underline{r}_{\perp u} \cos \theta + \underline{w} \sin \theta$$

$$\text{where: } \underline{w} = \underline{u} \times \underline{r}_{\perp u} = \underline{u} \times \underline{r}$$

# Clifford algebra (3): Clifford algebra $Cl_{0,2}$ on the anti-euclidean space $\mathbb{R}^{0,2}$

$$\begin{aligned}
 \underline{r}_{\perp u} &= \underline{r}_{\parallel u} + \underline{r}'_{\perp u} \\
 &= \langle \underline{r}, \underline{u} \rangle \underline{u} + \underline{r}_{\perp u} \cos \theta + \underline{w} \sin \theta \\
 &= \langle \underline{r}, \underline{u} \rangle \underline{u} + (\underline{r} - \langle \underline{r}, \underline{u} \rangle \underline{u}) \cos \theta + (\underline{u} \times \underline{r}) \sin \theta \\
 &= \langle \underline{r}, \underline{u} \rangle \underline{u} + \underline{r} \cos \theta - \langle \underline{r}, \underline{u} \rangle \underline{u} \cos \theta + (\underline{u} \times \underline{r}) \sin \theta \\
 &= \underline{u} \langle \underline{r}, \underline{u} \rangle (1 - \cos \theta) + \underline{r} \cos \theta + (\underline{u} \times \underline{r}) \sin \theta
 \end{aligned}$$

$\underline{r}_{\parallel u} = \langle \underline{r} \underline{u} \rangle \underline{u}$   
 $\underline{r}'_{\perp u} = \underline{r}_{\perp u} \cos \theta + \underline{w} \sin \theta$   
 $\underline{r}_{\perp u} = \underline{r} - \underline{r}_{\parallel u}$   
 $\underline{w} = \underline{u} \times \underline{r}_{\perp u} = \underline{u} \times \underline{r}$

Rodrigues' rotation formula, which can be also written as

matrix multiplication using  $A_{\underline{u}}(\theta) \in SO(3)$ :

$$A_{\underline{u}}(\theta) = \begin{pmatrix} u_1^2(1 - \cos \theta) + \cos \theta & u_1u_2(1 - \cos \theta) - u_3 \sin \theta & u_1u_3(1 - \cos \theta) + u_2 \sin \theta \\ u_1u_2(1 - \cos \theta) + u_3 \sin \theta & u_2^2(1 - \cos \theta) + \cos \theta & u_2u_3(1 - \cos \theta) - u_1 \sin \theta \\ u_1u_3(1 - \cos \theta) - u_2 \sin \theta & u_2u_3(1 - \cos \theta) + u_1 \cos \theta & u_3^2(1 - \cos \theta) + \cos \theta \end{pmatrix}$$

$$\underline{r} \cos \theta = \begin{pmatrix} \cos \theta & 0 & 0 \\ 0 & \cos \theta & 0 \\ 0 & 0 & \cos \theta \end{pmatrix} \underline{r} \quad \underline{u} \times \underline{r} = \begin{pmatrix} 0 & -u_3 & u_2 \\ u_3 & 0 & -u_1 \\ -u_2 & u_1 & 0 \end{pmatrix} \underline{r} \quad \underline{u} \langle \underline{u} \underline{r} \rangle = \begin{pmatrix} u_1^2 & u_1u_2 & u_1u_3 \\ u_2u_1 & u_2^2 & u_2u_3 \\ u_3u_1 & u_3u_2 & u_3^2 \end{pmatrix} \underline{r}$$

# Clifford algebra (3): Clifford algebra $Cl_{0,2}$ on the anti-euclidean space $\mathbb{R}^{0,2}$

Clifford product between vector  $\underline{r}$  (pure quaternion) and unit quaternions  $q$  in  $Cl_{0,2} \simeq \mathbb{H}$ :

**TH:** For any unit quaternion  $q \in \text{Unit}(\mathbb{H})$  and for any vector (pure quaternion)  $r = 0 + \underline{r} \in \text{Pu}(\mathbb{H})$ , s.t.  $\underline{r} \in \mathbb{R}^3$ , the mapping:

$$\mathbb{R}^3 \rightarrow \mathbb{R}^3 : \underline{r} \mapsto q\underline{r}q^{-1} = (-q)\underline{r}(-q)^{-1}$$

Is a rotation of  $\underline{r}$  towards an axis  $\underline{u}$ .

**Fact:** any unit quaternion  $q \in \text{Unit}(\mathbb{H})$  has the following trigonometric expression:

$$q = q_0 + \underline{q} = \cos \frac{\theta}{2} + \underline{u} \sin \frac{\theta}{2} = e^{\underline{u} \frac{\theta}{2}}, \quad s.t. \underline{u} = \frac{\underline{q}}{|q|} \text{ implying } |\underline{q}| = \sin \frac{\theta}{2}$$

**Proof:**

$$\begin{aligned} q\underline{r}q^{-1} &= (\underline{q}_0 - |\underline{q}|^2)\underline{r} + 2(\langle \underline{q}, \underline{r} \rangle)\underline{q} + 2\underline{q}_0(\underline{q} \times \underline{r}) \\ &= (\cos^2 \frac{\theta}{2} - \sin^2 \frac{\theta}{2})\underline{r} + 2\langle \underline{u} \sin \frac{\theta}{2}, \underline{r} \rangle \underline{u} \sin \frac{\theta}{2} + 2 \cos \frac{\theta}{2} (\underline{u} \sin \frac{\theta}{2} \times \underline{r}) \end{aligned}$$

$$\cos 2\theta = \cos^2 \theta - \sin^2 \theta \quad \sin^2 \theta = \frac{1 - \cos 2\theta}{2}$$

$$\sin 2\theta = 2 \cos \theta \sin \theta$$

(Duplication and bisection formulas)

$$= \underline{r} \cos \theta + \underline{u} \langle \underline{u}, \underline{r} \rangle (1 - \cos \theta) + (\underline{u} \times \underline{r}) \sin \theta$$

Which is exactly the Rodrigues' rotation formula

But also  $q\underline{r}q^{-1} = (-q)\underline{r}(-q)^{-1}$ , therefore  $SO(3) \simeq \text{Unit}(\mathbb{H})/\{\pm 1\}$  (Notice that we have also previously proved that  $SU(2) \simeq \text{Unit}(\mathbb{H})$ )

# Clifford algebra (4): Clifford algebra $Cl_{0,2}$ on the anti-euclidean space $\mathbb{R}^{0,2}$

Clifford product between vector  $\underline{r}$  in  $\mathbb{R}^4$  (quaternion) and a pair of unit quaternions  $q, p$  in  $Cl_{0,2} \simeq \mathbb{H}$ :

**TH:** For any pair unit quaternions  $q, p \in \text{Unit}(\mathbb{H})$  and for any vector (in  $\mathbb{R}^4$ )  $r = r_0 + \underline{r} \in \mathbb{H}$ , the mapping:

$$\mathbb{R}^4 \rightarrow \mathbb{R}^4 : r \mapsto qrp^{-1} = (-q)\underline{r}(-p)^{-1}$$

is a rotation in 4 dimensions (i.e.  $SO(4) \simeq \text{Unit}(\mathbb{H}) \times \text{Unit}(\mathbb{H})/\{(1,1), (-1, -1)\}$ )

## 5.6 Matrix representation of quaternion multiplication

The product of two quaternions  $q = w + ix + jy + kz$  and  $u = u_0 + iu_1 + ju_2 + ku_3$  can be represented by matrix multiplication:

$$\begin{pmatrix} w & -x & -y & -z \\ x & w & -z & y \\ y & z & w & -x \\ z & -y & x & w \end{pmatrix} \begin{pmatrix} u_0 \\ u_1 \\ u_2 \\ u_3 \end{pmatrix} = \begin{pmatrix} v_0 \\ v_1 \\ v_2 \\ v_3 \end{pmatrix}$$

where  $qu = v$ . Swapping the multiplication to the right, that is,  $uq = v'$ , gives a partially transformed matrix:

$$\begin{pmatrix} w & -x & -y & -z \\ x & w & z & -y \\ y & -z & w & x \\ z & y & -x & w \end{pmatrix} \begin{pmatrix} u_0 \\ u_1 \\ u_2 \\ u_3 \end{pmatrix} = \begin{pmatrix} v'_0 \\ v'_1 \\ v'_2 \\ v'_3 \end{pmatrix}.$$