

# NABH Gap Analysis Report

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## Executive Summary

Total Clauses	10
Compliant	0
Partial	5
Non-Compliant	5

## Clause-wise Analysis

### **IMS3 — PARTIAL (score: 0.2889)**

Decision: All mandatory blocks have evidence but clause\_score 0.2889 < 0.7

Evidence Block	Score	Matched Signals
policy_statement	0.5	confidentiality, confidential patient information, confidential medical records
access_control_procedure	0.11	controlled access to records
security_controls	0.22	electronic medical records, controlled access to records

### Exact Evidence Snippets

#### *policy\_statement:*

- “confidentially”
- “Patient information is treated”
- “Access to electronic medical records is provided to relevant staff”

#### *access\_control\_procedure:*

- “Access to electronic medical records is provided to relevant staff”

#### *security\_controls:*

- “Patient records are maintained in physical and electronic format”
- “Access to electronic medical records is provided to relevant staff”

### **IMS4 — NON\_COMPLIANT (score: 0.0)**

Decision: Mandatory blocks lack sufficient exact evidence

Mandatory failures: retention\_policy, destruction\_process

Evidence Block	Score	Matched Signals
retention_policy	0.0	—
destruction_process	0.0	—

### **CQI1 — PARTIAL (score: 0.2009)**

Decision: Mandatory blocks with no exact evidence: corrective\_action (semantic evidence detected)

Mandatory failures: corrective\_action

Evidence Block	Score	Matched Signals
indicator_defined	0.29	quality indicator, indicator
data_collection	0.25	monitoring, measurement

analysis	0.12	review
corrective_action	0.14	—

### Exact Evidence Snippets

*indicator\_defined:*

- “The hospital monitors certain quality indicators such as OPD waiting time and patient”

*data\_collection:*

- “Quality Monitoring”
- “The hospital monitors certain quality indicators such as OPD waiting time and patient”
- “Basic safety measures are followed”

*analysis:*

- “Data is reviewed periodically during meetings”

### Semantic Evidence (**semantic-only: corrective\_action**)

*corrective\_action:*

- “Quality Monitoring”

## HRM2a — PARTIAL (score: 0.15)

Decision: Mandatory blocks with no exact evidence: job\_descriptions (weak but present: employee\_records)

Mandatory failures: job\_descriptions

Evidence Block	Score	Matched Signals
job_descriptions	0.0	—
employee_records	0.38	employee record, qualification document, leave record
grievance_mechanism	0.0	—

### Exact Evidence Snippets

*employee\_records:*

- “The hospital maintains employee records including qualification documents, appointment letters,”
- “and leave records”

## AAC2 — NON\_COMPLIANT (score: 0.0)

Decision: Mandatory blocks lack sufficient exact evidence

Mandatory failures: registration\_process, two\_identifiers

Evidence Block	Score	Matched Signals
registration_process	0.0	—
two_identifiers	0.0	—
admission_time_defined	0.0	—

## COP2 — PARTIAL (score: 0.1429)

Decision: All mandatory blocks have evidence but clause\_score 0.1429 < 0.7

Evidence Block	Score	Matched Signals
emergency_procedure	0.29	emergency services procedure, emergency management system
mlc_handling	0.0	—
ambulance_procedure	0.0	—

### Exact Evidence Snippets

*emergency\_procedure:*

- “Emergency Services”
- “The hospital provides emergency services for walk-in patients”
- “Information Management System”

## COP3 — NON\_COMPLIANT (score: 0.5887)

Decision: Mandatory blocks lack sufficient exact evidence (semantic evidence detected)

Mandatory failures: monitoring\_documented, reaction\_reporting

Evidence Block	Score	Matched Signals
indication_defined	0.75	blood transfusion indication
consent_obtained	0.62	blood transfusion consent
monitoring_documented	0.49	—
reaction_reporting	0.49	—

#### Exact Evidence Snippets

##### *indication\_defined:*

- “Blood Transfusion”
- “Blood transfusion may be administered when required based on clinical judgment”

##### *consent\_obtained:*

- “Blood Transfusion”
- “Blood transfusion may be administered when required based on clinical judgment”

#### Semantic Evidence (semantic-only: monitoring\_documented, reaction\_reporting)

##### *indication\_defined:*

- “Blood Transfusion”

##### *consent\_obtained:*

- “Blood Transfusion”

##### *monitoring\_documented:*

- “Blood Transfusion”

##### *reaction\_reporting:*

- “Blood Transfusion”

#### MOM1 — **NON COMPLIANT** (score: 0.125)

Decision: Mandatory blocks lack sufficient exact evidence

Mandatory failures: procurement, storage, prescription

Evidence Block	Score	Matched Signals
procurement	0.0	—
storage	0.0	—
prescription	0.0	—
dispensing	0.5	pharmacy dispensing, dispensed by pharmacy staff, dispensing record maintained

#### Exact Evidence Snippets

##### *dispensing:*

- “Medicines are stocked in the pharmacy and dispensed to patients based on prescriptions”
- “Patient records are maintained in physical and electronic format”
- “The hospital maintains employee records including qualification documents, appointment letters,”

#### HIC1 — **PARTIAL** (score: 0.3167)

Decision: Mandatory blocks below threshold: universal\_precautions, bmw\_management

Mandatory failures: bmw\_management

Evidence Block	Score	Matched Signals
infection_control_manual	0.67	infection control manual, infection control program, documented infection control, infection control committee
universal_precautions	0.17	infection control committee
bmw_management	0.0	—

#### Exact Evidence Snippets

##### *infection\_control\_manual:*

- “Infection Control”

##### *universal\_precautions:*

- “Infection Control”

## FMS1 — **NON\_COMPLIANT** (score: 0.1167)

Decision: Mandatory blocks lack sufficient exact evidence (semantic evidence detected)

Mandatory failures: fire\_safety\_plan, emergency\_plan, equipment\_maintenance

Evidence Block	Score	Matched Signals
fire_safety_plan	0.33	—
emergency_plan	0.0	—
equipment_maintenance	0.0	—

### Semantic Evidence (semantic-only: fire\_safety\_plan)

*fire\_safety\_plan:*

- “Facility Management and Safety”

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## Consultant Recommendations

The following actionable improvements are suggested based on the gaps identified above.

### **IMS3 Improvement Plan**

Review and update access control procedures to ensure confidentiality, security, and accessibility of patient records.

*Required Documents:*

- Access Control Policy
- Security Manual

*Operational Controls:*

- Implement role-based access control
- Conduct regular security audits

*Audit Tip:* Verify that access control procedures are followed by all authorized personnel.

### **IMS4 Improvement Plan**

Develop and implement a retention policy and secure destruction process for medical records.

*Required Documents:*

- Retention Policy
- Destruction Process

*Operational Controls:*

- Establish a record retention schedule
- Dispose of records securely

*Audit Tip:* Verify that medical records are stored and disposed of in accordance with the retention policy.

### **CQI1 Improvement Plan**

Develop and implement a quality improvement plan to collect, analyze, and act on quality indicators.

*Required Documents:*

- Quality Improvement Plan
- Indicator Tracking Sheet

*Operational Controls:*

- Establish a quality improvement team
- Regularly review and analyze quality indicators

*Audit Tip:* Verify that corrective actions are taken based on quality indicator analysis.

### **HRM2a Improvement Plan**

Develop and implement job descriptions and secure employee records.

*Required Documents:*

- Job Description Template
- Employee Record Policy

*Operational Controls:*

- Create job descriptions for all staff
- Secure employee records

**Audit Tip:** Verify that job descriptions are up-to-date and employee records are secure.

### **AAC2 Improvement Plan**

Develop and implement a patient registration process with at least two unique identifiers.

*Required Documents:*

- Patient Registration Policy
- Unique Identifier List

*Operational Controls:*

- Establish a patient registration process
- Verify patient identity using two unique identifiers

**Audit Tip:** Verify that patient registration is done accurately and securely.

### **COP2 Improvement Plan**

Develop and implement procedures for handling emergencies and medico-legal cases.

*Required Documents:*

- Emergency Procedure
- Medico-Legal Case Handling Policy

*Operational Controls:*

- Establish an emergency response plan
- Develop a medico-legal case handling process

**Audit Tip:** Verify that emergency procedures are followed and medico-legal cases are handled appropriately.

### **COP3 Improvement Plan**

Develop and implement safe blood transfusion practices, including indications, consent, monitoring, and reaction reporting.

*Required Documents:*

- Blood Transfusion Policy
- Monitoring and Reaction Reporting Forms

*Operational Controls:*

- Establish blood transfusion guidelines
- Monitor patients for adverse reactions

**Audit Tip:** Verify that blood transfusions are done safely and reactions are reported promptly.

### **MOM1 Improvement Plan**

Develop and implement safe medication practices, including procurement, storage, prescription, and dispensing.

*Required Documents:*

- Medication Policy
- Procurement and Storage Procedures

*Operational Controls:*

- Establish medication procurement and storage processes
- Verify medication prescriptions and dispensing

**Audit Tip:** Verify that medications are procured, stored, prescribed, and dispensed safely.

### **HIC1 Improvement Plan**

Implement infection control practices, universal precautions, and biomedical waste management.

*Required Documents:*

- Infection Control Policy
- Universal Precautions Guidelines

*Operational Controls:*

- Establish infection control practices

- Implement universal precautions

**Audit Tip:** Verify that infection control practices and universal precautions are followed.

### **FMS1 Improvement Plan**

Develop and implement plans for fire safety, emergencies, and equipment maintenance to ensure a safe environment.

*Required Documents:*

- Fire Safety Plan
- Emergency Plan
- Equipment Maintenance Schedule

*Operational Controls:*

- Establish a fire safety plan
- Develop an emergency response plan
- Regularly maintain equipment

**Audit Tip:** Verify that fire safety, emergency, and equipment maintenance plans are in place and followed.