

NABH Gap Analysis Report

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Executive Summary

Total Clauses	10
Compliant	0
Partial	5
Non-Compliant	5

Clause-wise Analysis

IMS3 — **PARTIAL** (score: 0.2889)

Decision: All mandatory blocks have evidence but clause_score 0.2889 < 0.7

Evidence Block	Score	Matched Signals
policy_statement	0.5	confidentiality, confidential patient information, confidential medical records
access_control_procedure	0.11	controlled access to records
security_controls	0.22	electronic medical records, controlled access to records

Exact Evidence Snippets

policy_statement:

- “confidentially”
- “Patient information is treated”
- “Access to electronic medical records is provided to relevant staff”

access_control_procedure:

- “Access to electronic medical records is provided to relevant staff”

security_controls:

- “Patient records are maintained in physical and electronic format”
- “Access to electronic medical records is provided to relevant staff”

IMS4 — **NON_COMPLIANT** (score: 0.0)

Decision: Mandatory blocks lack sufficient exact evidence

Mandatory failures: retention_policy, destruction_process

Evidence Block	Score	Matched Signals
retention_policy	0.0	—
destruction_process	0.0	—

CQI1 — **PARTIAL** (score: 0.2009)

Decision: Mandatory blocks with no exact evidence: corrective_action (semantic evidence detected)

Mandatory failures: corrective_action

Evidence Block	Score	Matched Signals
indicator_defined	0.29	quality indicator, indicator
data_collection	0.25	monitoring, measurement

analysis	0.12	review
corrective_action	0.14	—

Exact Evidence Snippets

indicator_defined:

- “The hospital monitors certain quality indicators such as OPD waiting time and patient”

data_collection:

- “Quality Monitoring”
- “The hospital monitors certain quality indicators such as OPD waiting time and patient”
- “Basic safety measures are followed”

analysis:

- “Data is reviewed periodically during meetings”

Semantic Evidence (semantic-only: corrective_action)

corrective_action:

- “Quality Monitoring”

HRM2a — **PARTIAL** (score: 0.15)

Decision: Mandatory blocks with no exact evidence: job_descriptions (weak but present: employee_records)

Mandatory failures: job_descriptions

Evidence Block	Score	Matched Signals
job_descriptions	0.0	—
employee_records	0.38	employee record, qualification document, leave record
grievance_mechanism	0.0	—

Exact Evidence Snippets

employee_records:

- “The hospital maintains employee records including qualification documents, appointment letters,”
- “and leave records”

AAC2 — **NON_COMPLIANT** (score: 0.0)

Decision: Mandatory blocks lack sufficient exact evidence

Mandatory failures: registration_process, two_identifiers

Evidence Block	Score	Matched Signals
registration_process	0.0	—
two_identifiers	0.0	—
admission_time_defined	0.0	—

COP2 — **PARTIAL** (score: 0.1429)

Decision: All mandatory blocks have evidence but clause_score 0.1429 < 0.7

Evidence Block	Score	Matched Signals
emergency_procedure	0.29	emergency services procedure, emergency management system
mlc_handling	0.0	—
ambulance_procedure	0.0	—

Exact Evidence Snippets

emergency_procedure:

- “Emergency Services”
- “The hospital provides emergency services for walk-in patients”
- “Information Management System”

COP3 — **NON_COMPLIANT** (score: 0.5887)

Decision: Mandatory blocks lack sufficient exact evidence (semantic evidence detected)

Mandatory failures: monitoring_documented, reaction_reporting

Evidence Block	Score	Matched Signals
indication_defined	0.75	blood transfusion indication
consent_obtained	0.62	blood transfusion consent
monitoring_documented	0.49	—
reaction_reporting	0.49	—

Exact Evidence Snippets

indication_defined:

- "Blood Transfusion"
- "Blood transfusion may be administered when required based on clinical judgment"

consent_obtained:

- "Blood Transfusion"
- "Blood transfusion may be administered when required based on clinical judgment"

Semantic Evidence (semantic-only: monitoring_documented, reaction_reporting)

indication_defined:

- "Blood Transfusion"

consent_obtained:

- "Blood Transfusion"

monitoring_documented:

- "Blood Transfusion"

reaction_reporting:

- "Blood Transfusion"

MOM1 — **NON_COMPLIANT** (score: 0.125)

Decision: Mandatory blocks lack sufficient exact evidence

Mandatory failures: procurement, storage, prescription

Evidence Block	Score	Matched Signals
procurement	0.0	—
storage	0.0	—
prescription	0.0	—
dispensing	0.5	pharmacy dispensing, dispensed by pharmacy staff, dispensing record maintained

Exact Evidence Snippets

dispensing:

- "Medicines are stocked in the pharmacy and dispensed to patients based on prescriptions"
- "Patient records are maintained in physical and electronic format"
- "The hospital maintains employee records including qualification documents, appointment letters,"

HIC1 — **PARTIAL** (score: 0.3167)

Decision: Mandatory blocks below threshold: universal_precautions, bmw_management

Mandatory failures: bmw_management

Evidence Block	Score	Matched Signals
infection_control_manual	0.67	infection control manual, infection control program, documented infection control, infection control committee
universal_precautions	0.17	infection control committee
bmw_management	0.0	—

Exact Evidence Snippets

infection_control_manual:

- "Infection Control"

universal_precautions:

- "Infection Control"

FMS1 — **NON_COMPLIANT** (score: 0.1167)

Decision: Mandatory blocks lack sufficient exact evidence (semantic evidence detected)

Mandatory failures: fire_safety_plan, emergency_plan, equipment_maintenance

Evidence Block	Score	Matched Signals
fire_safety_plan	0.33	—
emergency_plan	0.0	—
equipment_maintenance	0.0	—

Semantic Evidence (semantic-only: fire_safety_plan)

fire_safety_plan:

- "Facility Management and Safety"

Consultant Recommendations

The following actionable improvements are suggested based on the gaps identified above.

IMS3 Improvement Plan

Review and update access control procedures to ensure confidentiality, security, and accessibility of patient records.

Required Documents:

- Access Control Policy
- Security Manual

Operational Controls:

- Implement role-based access control
- Conduct regular security audits

Audit Tip: Verify that access control procedures are followed by all authorized personnel.

IMS4 Improvement Plan

Develop and implement a retention policy and secure destruction process for medical records.

Required Documents:

- Retention Policy
- Destruction Process

Operational Controls:

- Establish a record retention schedule
- Dispose of records securely

Audit Tip: Verify that medical records are stored and disposed of in accordance with the retention policy.

CQI1 Improvement Plan

Develop and implement a quality improvement plan to collect, analyze, and act on quality indicators.

Required Documents:

- Quality Improvement Plan
- Indicator Tracking Sheet

Operational Controls:

- Establish a quality improvement team
- Regularly review and analyze quality indicators

Audit Tip: Verify that corrective actions are taken based on quality indicator analysis.

HRM2a Improvement Plan

Develop and implement job descriptions and secure employee records.

Required Documents:

- Job Description Template
- Employee Record Policy

Operational Controls:

- Create job descriptions for all staff
- Secure employee records

Audit Tip: Verify that job descriptions are up-to-date and employee records are secure.

AAC2 Improvement Plan

Develop and implement a patient registration process with at least two unique identifiers.

Required Documents:

- Patient Registration Policy
- Unique Identifier List

Operational Controls:

- Establish a patient registration process
- Verify patient identity using two unique identifiers

Audit Tip: Verify that patient registration is done accurately and securely.

COP2 Improvement Plan

Develop and implement procedures for handling emergencies and medico-legal cases.

Required Documents:

- Emergency Procedure
- Medico-Legal Case Handling Policy

Operational Controls:

- Establish an emergency response plan
- Develop a medico-legal case handling process

Audit Tip: Verify that emergency procedures are followed and medico-legal cases are handled appropriately.

COP3 Improvement Plan

Develop and implement safe blood transfusion practices, including indications, consent, monitoring, and reaction reporting.

Required Documents:

- Blood Transfusion Policy
- Monitoring and Reaction Reporting Forms

Operational Controls:

- Establish blood transfusion guidelines
- Monitor patients for adverse reactions

Audit Tip: Verify that blood transfusions are done safely and reactions are reported promptly.

MOM1 Improvement Plan

Develop and implement safe medication practices, including procurement, storage, prescription, and dispensing.

Required Documents:

- Medication Policy
- Procurement and Storage Procedures

Operational Controls:

- Establish medication procurement and storage processes
- Verify medication prescriptions and dispensing

Audit Tip: Verify that medications are procured, stored, prescribed, and dispensed safely.

HIC1 Improvement Plan

Implement infection control practices, universal precautions, and biomedical waste management.

Required Documents:

- Infection Control Policy
- Universal Precautions Guidelines

Operational Controls:

- Establish infection control practices

- Implement universal precautions

Audit Tip: Verify that infection control practices and universal precautions are followed.

FMS1 Improvement Plan

Develop and implement plans for fire safety, emergencies, and equipment maintenance to ensure a safe environment.

Required Documents:

- • Fire Safety Plan
- • Emergency Plan
- • Equipment Maintenance Schedule

Operational Controls:

- Establish a fire safety plan
- Develop an emergency response plan
- Regularly maintain equipment

Audit Tip: Verify that fire safety, emergency, and equipment maintenance plans are in place and followed.