NABH Gap Analysis Report

Source document: **807205a5-a5f7-44cd-a0d4-d6bf4df7042f.pdf**

Generated: 2026-02-28 21:00:07

Executive Summary

Total Clauses	10
Compliant	1
Partial	5
Non-Compliant	4

Clause-wise Analysis

IMS3 — *PARTIAL (score: 0.25)*

Decision: Mandatory blocks with no exact evidence: access_control_procedure

Mandatory failures: access_control_procedure

Evidence Block	Score	Matched Signals
policy_statement	0.5	confidentiality
access_control_procedure	0.0	—
security_controls	0.25	electronic medical records

Exact Evidence Snippets

policy_statement:
- "Information Management and Confidentiality"
- "Patient health information is maintained with strict confidentiality"

security_controls:
- "periodic access reviews, are implemented to safeguard electronic medical records"

IMS4 — *COMPLIANT (score: 0.4583)*

Decision: All mandatory blocks have signal matches (weak matches in: destruction_process)

Evidence Block	Score	Matched Signals
retention_policy	0.67	retention period, record retention
destruction_process	0.25	shredding

Exact Evidence Snippets

retention_policy:
- "Records exceeding the retention period are disposed of securely through shredding in"
- "Record Retention and Disposal"

destruction_process:
- "Records exceeding the retention period are disposed of securely through shredding in"

CQI1 — *PARTIAL (score: 0.3333)*

Decision: Mandatory blocks with no exact evidence: data_collection

Mandatory failures: data_collection

Evidence Block	Score	Matched Signals
indicator_defined	0.33	indicator

data_collection	0.0	—
analysis	0.33	review
corrective_action	0.67	preventive action, improvement

Exact Evidence Snippets

indicator_defined:
• *"Key performance indicators are identified"*
analysis:
• *"reviewed to ensure readiness"*
• *"periodic access reviews, are implemented to safeguard electronic medical records"*
• *"and discussed during review meetings"*
corrective_action:
• *"Corrective and preventive actions are initiated where"*
• *"Continuous Quality Improvement"*
• *"A formal Quality Improvement Program is operational"*

HRM2a — *NON_COMPLIANT (score: 0.4293)*

Decision: Mandatory blocks lack sufficient exact evidence (semantic evidence detected)
Mandatory failures: job_descriptions, employee_records

Evidence Block	Score	Matched Signals
job_descriptions	0.49	—
employee_records	0.25	—
grievance_mechanism	0.67	grievance, disciplinary

Exact Evidence Snippets

grievance_mechanism:
• *"A grievance redressal mechanism is in place, and disciplinary procedures are"*

Semantic Evidence (semantic-only: job_descriptions, employee_records)

job_descriptions:
• *"Roles and responsibilities are formally documented for all categories of staff"*
employee_records:
• *"Roles and responsibilities are formally documented for all categories of staff"*

AAC2 — *PARTIAL (score: 0.1333)*

Decision: Mandatory blocks with no exact evidence: registration_process (weak but present: two_identifiers)
Mandatory failures: registration_process

Evidence Block	Score	Matched Signals
registration_process	0.0	—
two_identifiers	0.33	two identifiers
admission_time_defined	0.0	—

Exact Evidence Snippets

two_identifiers:
• *"At the time of registration, patient identity is verified using at least two identifiers, such as full"*

COP2 — *NON_COMPLIANT (score: 0.1666)*

Decision: Mandatory blocks lack sufficient exact evidence
Mandatory failures: emergency_procedure

Evidence Block	Score	Matched Signals
emergency_procedure	0.0	—
mlc_handling	0.33	medico legal case
ambulance_procedure	0.33	ambulance services

Exact Evidence Snippets

COP3 — *NON_COMPLIANT (score: 0.1667)*

Decision: Mandatory blocks lack sufficient exact evidence
Mandatory failures: indication_defined, consent_obtained, monitoring_documented

Evidence Block	Score	Matched Signals
indication_defined	0.0	—
consent_obtained	0.0	—
monitoring_documented	0.0	—
reaction_reporting	0.67	adverse transfusion reaction

Exact Evidence Snippets

reaction_reporting:
• *"Any adverse transfusion reactions are recorded and reported as per"*

Semantic Evidence

reaction_reporting:
• *"established reporting mechanisms"*

MOM1 — *NON_COMPLIANT (score: 0.2892)*

Decision: Mandatory blocks lack sufficient exact evidence (semantic evidence detected)
Mandatory failures: procurement, storage, prescription

Evidence Block	Score	Matched Signals
procurement	0.0	—
storage	0.0	—
prescription	0.49	—
dispensing	0.67	dispensing of medication

Exact Evidence Snippets

dispensing:
• *"prescription, and dispensing of medications"*

Semantic Evidence (semantic-only: prescription)

prescription:
• *"prescription, and dispensing of medications"*
dispensing:
• *"prescription, and dispensing of medications"*

HIC1 — *PARTIAL (score: 0.5)*

Decision: Mandatory blocks below threshold: universal_precautions, bmw_management
Mandatory failures: bmw_management

Evidence Block	Score	Matched Signals
infection_control_manual	1.0	infection control manual
universal_precautions	0.33	hand hygiene
bmw_management	0.0	—

Exact Evidence Snippets

infection_control_manual:
• *"A comprehensive infection control manual outlines sterilization"*
universal_precautions:
• *"practices, hand hygiene protocols, and standard precautions"*

Semantic Evidence

infection_control_manual:
• *"Infection Prevention and Control"*

FMS1 — *PARTIAL* (score: 0.7048)

Decision: Mandatory blocks below threshold: fire_safety_plan (semantic evidence detected)
Mandatory failures: fire_safety_plan

Evidence Block	Score	Matched Signals
fire_safety_plan	0.49	—
emergency_plan	0.67	emergency response plan, emergency preparedness
equipment_maintenance	1.0	breakdown maintenance

Exact Evidence Snippets

emergency_plan:
• *"Emergency response plans, including"*
equipment_maintenance:
• *"Preventive and breakdown maintenance schedules"*

Semantic Evidence (semantic-only: fire_safety_plan)

fire_safety_plan:
• *"Fire detection and alarm systems are installed, and"*
equipment_maintenance:
• *"preparedness, and equipment maintenance"*

Consultant Recommendations

The following actionable improvements are suggested based on the gaps identified above.

IMS3 Improvement Plan

Improve access control procedures to ensure confidentiality, security, and authorized access to patient records.
Required Documents:
- • Access Control Policy
- • Access Control Procedure

Operational Controls:
• Implement access control software
• Define roles and permissions
    Audit Tip: Verify access logs and audit trails to ensure compliance.

CQI1 Improvement Plan

Enhance quality indicator collection and analysis to implement corrective actions.
Required Documents:
- • Quality Indicator Policy
- • Data Collection Procedure

Operational Controls:
• Define quality indicators
• Establish data collection schedule
    Audit Tip: Verify data collection and analysis reports to ensure compliance.

HRM2a Improvement Plan

Develop and maintain job descriptions and employee records to ensure clear roles and secure employee information.
Required Documents:
- • Job Description Policy
- • Employee Record Policy

Operational Controls:
• Create job descriptions
• Maintain employee records
    Audit Tip: Verify job descriptions and employee records to ensure compliance.

AAC2 Improvement Plan

Implement a defined patient registration process with at least two unique identifiers.
Required Documents:
- • Patient Registration Policy
- • Registration Procedure

Operational Controls:
• Define registration process
• Implement two-identifier system
   Audit Tip: Verify patient registration records to ensure compliance.

COP2 Improvement Plan

Develop and maintain procedures for handling emergencies and medico-legal cases.
Required Documents:
- • Emergency Procedure Policy
- • Medico-Legal Case Handling Procedure

Operational Controls:
• Develop emergency procedures
• Establish medico-legal case handling process
   Audit Tip: Verify emergency and medico-legal case handling records to ensure compliance.

COP3 Improvement Plan

Develop and maintain procedures for blood transfusions, including indications, consent, monitoring, and reaction reporting.
Required Documents:
- • Blood Transfusion Policy
- • Blood Transfusion Procedure

Operational Controls:
• Develop blood transfusion procedures
• Establish monitoring and reporting processes
   Audit Tip: Verify blood transfusion records to ensure compliance.

MOM1 Improvement Plan

Develop and maintain procedures for medication procurement, storage, and prescription.
Required Documents:
- • Medication Procurement Policy
- • Medication Storage Procedure
- • Prescription Policy

Operational Controls:
• Develop medication procurement and storage procedures
• Establish prescription process
   Audit Tip: Verify medication procurement, storage, and prescription records to ensure compliance.

HIC1 Improvement Plan

Implement infection control practices, universal precautions, and biomedical waste management.
Required Documents:
- • Infection Control Policy
- • Universal Precautions Procedure
- • Biomedical Waste Management Procedure

Operational Controls:
• Implement infection control practices
• Establish universal precautions
• Develop biomedical waste management plan

FMS1 Improvement Plan

Develop and maintain plans for fire safety, emergencies, and equipment maintenance.

Required Documents:
- • Fire Safety Plan Policy
- • Emergency Procedure Policy
- • Equipment Maintenance Procedure

Operational Controls:
- Develop fire safety plan
- Establish emergency procedures
- Establish equipment maintenance schedule