
Vitamins (45 minutes)

- For each of the following $f(n)$, write out the summation results, and provide a tight bound $\Theta(f(n))$, using the Θ notation (5 minutes).

Given $\log(n)$ numbers, where n is a power of 2:

$$1 + 2 + 4 + 8 + 16 \dots + n = 2n - 1 = \Theta(n)$$

$$S_n = \frac{a_1(r^n - 1)}{r - 1}$$

a_1 = first term = 1

n = number of terms = $\log_2(n) + 1$

r = common ratio BETWEEN elements = 2

$$n + n/2 + n/4 + n/8 \dots + 1 = 2n - 1 = \Theta(n)$$

Provide a tight bound $\Theta(f(n))$, using the Θ notation

$$1 + 2 + 3 + 4 + 5 \dots + \sqrt{n} = \frac{\sqrt{n}(\sqrt{n}+1)}{2} = \Theta(n)$$

Recall this sum:

$$1 + 2 + 3 + 4 + 5 \dots + n = \frac{n(n+1)}{2} = \Theta(n^2) \quad (\text{sum of ARITHMETIC sequence})$$

$$1 + 2 + 4 + 8 + 16 \dots + \sqrt{n} = 2\sqrt{n} - 1 = \Theta(\sqrt{n})$$

Similar to the first question in lab

2. For each of the following code snippets, find $f(n)$ for which the algorithm's time complexity is $\Theta(f(n))$ in its **worst case** run and explain why. (10 minutes)

a.

```
def func(lst):
    i = 1
    n = len(lst)
    while (i < n):
        print(lst[i])
        i *= 2
```

$1 + 1 + 1 + 1 + 1 \dots + 1 = \log(n) = \Theta(\log(n)) \leftarrow$ this summation

Each addend in the sum is 1 since indexing a list is a constant-time operation. There are $\log(n)$ terms in the summation. This is because in the while loop we multiply i by two in each iteration. So we will have $\log(n)$ iterations relative to the length of the list (if the length is 80, we will have 7 iterations - where i is 1 in the 1st iteration, 2 in the 2nd iteration, then 4, then 8, then 16, then 32, finally 64)

b.

```
def func(lst):
    i = 1
    n = len(lst)
    while (i < n):
        print(lst)
        i *= 2
```

$n + n + n + n + n \dots + n = n * \log(n) = \Theta(n * \log(n))$

#Each addend in the sum is n since printing a list is a linear-time operation. (Think about it - how would the list be printed without some work being done that traverses the whole length of the list)

There are $\log(n)$ terms in the summation. This is because in the while loop we multiply i by two in each iteration

```
c. def func(lst):
    i = 1
    n = len(lst)
    while (i < n): # i = n - 1
        print(lst[:i])
        i *= 2
```

$$1 + 2 + 4 + 8 + 16 \dots + n = 2n - 1 = \Theta(n)$$

#Each addend represents the cost of slicing the list. If the list is of length 80, `lst[:16]` would represent 16 in the summation.

There are $\log(n)$ terms in the summation. This is because in the while loop we multiply i by two in each iteration

```
d. def func(lst):
    i = 1
    n = len(lst)
    while (i < n ** 2):
        print(lst)
        i *= 2
```

$$n + n + n + n + n \dots + n = n * \log(n^2) = \Theta(n * \log(n))$$

3. Give the **worst case** (not amortized) run-time $O(n)$ for each of the following list methods. Write your answer in terms of n , the length of the list. Provide an appropriate summation for multiple calls. (25 minutes)

Given: `lst = [1, 2, 3, 4, ... ,n]` and `len(lst)` is n .

What will be the run-time when calling the following for `lst`?

Two factors to consider: SHIFT + RESIZE. For `append`, SHIFT = 0

The actual runtime for `insert` is $O(n - k)$, where k = insertion index, if `append`, $k = n$

| Method | 1 Call | Reason. |
|-----------------------------|----------------------------|--|
| <code>append()</code> | $O(n)$ $O(1)$ amortized | <p>Worst case, the array of n elements has reached maximum capacity. Therefore, we need to create a new array, copy n elements over, and then append the new element.</p> <p>However, since the array is not always resized, we say its amortized $O(1)$. But NOT worst-case and NOT the same as average $\theta(1)$.</p> |
| <code>insert(0, val)</code> | $O(n)$ | Inserting to the front at index 0 will shift each of the n elements by 1 index over to the right. |

n^2

| Method | Multiple Calls | Reason |
|-----------------------|----------------|--|
| <code>append()</code> | $O(n)$ | <ol style="list-style-type: none"> If the list already has n elements: Worst case, we resize to create an array of capacity $2n$ and copy over n elements to the new array. Then, we add our n elements, so this is $n + 1$, which is $O(n)$. If the list starts empty: (See folder for image reference) Worst case, n is a power of 2 ($n = 2^k$). So we add 1 element to the starting array of capacity 1, which costs 1. Then we add our 2nd element, but we'd have to |

| | | |
|-----------------------------|----------|---|
| | | <p>resize our array to size 2, so this results is 1 (copy over) + 1(new elem) = 2.</p> <p>We add the 3rd and 4th elements before having to resize again, so that cost 2 but on the 5th element, we resize.</p> <p>Thus, the cost of adding the 3rd and 4th elements is 2(new elem) + 2(copy over) = 4.</p> <p>The cost of adding the 5th - 8th element will cause us to resize and copy over 8 elements when we are adding our 9th element, so this results in 4 (new elem) + 4(copy over) = 8.</p> <p>Summation results in $1 + 2 + 4 + 8 \dots + n = 2n-1$ $O(n)$</p> <p>NOTE: AMORTIZED COST for SINGLE call</p> <p>In both cases, since resizing does not happen on every append, the cost of each append varies. We can “redistribute” TOTAL costs $2n$ over n operations and say that each operation will cost $2n/n = 2$ operations, which is $O(1)$ constant. However, this is amortization and should not be used for the actual cost of n appends.</p> <p>N appends do $1 + 1 + 1 + 1 \dots$</p> <p>Does not make sense to prove the calculation of n appends using amortized cost, which was derived from the cost of n appends in the first place.</p> |
| <code>insert(0, val)</code> | $O(n^2)$ | <p>Worst case, we insert elements from the front at index 0.</p> <p>1. If the list already has n elements: If we insert element 1 at 0, inserting is 1, and we shift n elements over, so cost is $n + 1$</p> <p>If we insert element 2 at 0, inserting is 1 and we shift $n + 1$ elements over, so total is $n + 2$</p> <p>Continuing this results in $(n+1) + (n+2) + (n+3) \dots (n + (n))$ We separate this into $n(n) + (1 + 2 + 3 \dots n)$, This results in $n^2 + n(n+1)/2$, which is $O(n^2)$</p> <p>2. If the list starts empty: If we insert element 1 at 0, we just insert, so cost is 1</p> |

| | | |
|--|--|---|
| | | <p>If we insert element 2 at 0, we shift and insert, so cost is $1 + 1$</p> <p>Continuing this results in $1 + 2 + 3 \dots + n$</p> <p>This results in $n(n+1)/2$, which is $O(n^2)$</p> <p>* Array resizing cost is negligible (it just adds $2n-1$ from resize).</p> |
|--|--|---|

Derive the **amortized (worst case) cost** of a single `append()`.

4. Given the following mystery functions: (5 minutes)
 - i. Replace `mystery` with an appropriate name
 - ii. Determine the function's worst-case runtime and extra space usage with respect to the input size.

a. `def appendN(n):`

```
    lst = []
    for i in range(n):
        lst.insert(i, i) #this is just append
1 + 2 + 4 + 8 + ... + n = 2n-1 = O(n)
```

b. `def triangle_num(n):`

```
    for i in range(1, n+1):
        total = sum([num for num in range(i)]) #cost of i
        print(total)
```

$1 + 2 + 3 + 4 + 5 + 6 + \dots n = n(n+1)/2 = O(n^2)$

Extra space is $O(n)$ because a list is created each time for the sum but the lists do not exist simultaneously so it is not n^2 .

c. `def is_palindrome(lst):`

```
    lst2 = lst.copy() #new list, O(n) Extra Space
    lst2.reverse() #O(n)
    if (lst == lst2): #O(n)
        return True
    return False
```

Extra space is another factor to consider for efficiency. We can solve `is_palindrome` in $O(n)$ run-time in addition to $O(1)$ constant space as demonstrated in lab4. It is important

to be conscious of run-time and extra space (do not create another list if the problem can be solved in-place).

Coding (See CS1134 Lab5 Solutions.py)

In this section, it is strongly recommended that you solve the problem on paper before writing code.

Download the **ArrayList.py** file found under Resources/Lectures on NYU Classes

Extend the ArrayList class implemented during lecture with the following methods:

- a. Implement the `__repr__` method for the ArrayList class, which will allow us to display our ArrayList object like the Python list when calling the print function. The output is a sequence of elements enclosed in `[]` with each element separated by a space and a comma. (10 minutes)

```
ex)   arr is an ArrayList with [1, 2, 3]
→    print(arr) outputs [1, 2, 3]
```

Note: Your implementation should create the string in $\Theta(n)$, where $n = \text{len}(\text{arr})$.

- b. Implement the `__add__` method for the ArrayList class, so that the expression `arr1 + arr2` is evaluated to a **new** ArrayList object representing the concatenation of these two lists. (10 minutes)

```
ex)   arr1 is an ArrayList with [1, 2, 3]
       arr2 is an ArrayList with [4, 5, 6]
→    arr3 = arr1 + arr2
       arr3 is a new ArrayList with [1, 2, 3, 4, 5, 6].
```

Note: If n_1 is the size of `arr1`, and n_2 is the size of `arr2`, then `__add__` should run in $\Theta(n_1 + n_2)$

- c. Implement the `__iadd__` method for the `ArrayList` class, so that the expression `arr1 += arr2` **mutates** `arr1` to contain the concatenation of these two lists. You may remember that this operation produces the same result as the **extend method**.

Your implementation should return `self`, which is the object being mutated. (10 minutes)

```
ex)  arr1 is an ArrayList with [1, 2, 3]
      arr2 is an ArrayList with [4, 5, 6]
      → arr1 += arr2
      arr1 is mutated and now has [1, 2, 3, 4, 5, 6].
```

Note: If n_1 is the size of `arr1`, and n_2 is the size of `arr2`, then `__iadd__` should run in $\Theta(n_1 + n_2)$. It's not n_2 because we have to take array resizing into account.

- d. Modify the `__getitem__` and `__setitem__` methods implemented in class to also support **negative** indices. The position a negative index refers to is the same as in the Python list class. That is -1 is the index of the last element, -2 is the index of the second last, and so on. (20 minutes)

```
ex)  arr is an ArrayList with [1, 2, 3]
      → print(arr[-1]) outputs 3
      → arr[-1] = 5
      print(arr[-1]) outputs 5 now
```

Note: Your method should also raise an `IndexError` in case the index (positive or negative) is out of range.

- e. Implement the `__mul__` method for the `ArrayList` class, so that the expression `arr1 * k` (where `k` is a positive integer) creates a **new** `ArrayList` object, which contains `k` copies of the elements in `arr1`. (15 minutes)

```
ex)   arr1 is an ArrayList with [1, 2, 3]
      → arr2 = arr1 * 2
      arr2 is a new ArrayList with [1, 2, 3, 1, 2, 3].
```

Note: If n is the size of `arr1` and `k` is the int, then `__mul__` should run in $\Theta(k * n)$.

- f. Implement the `__rmul__` method to also allow the expression `n * arr1`. The behavior of `n * arr1` should be equivalent to the behaviour of `arr1 * n`. (5 minutes)

(You've done this before for the `Vector` problem in homework 1)

- g. Modify the constructor `__init__` to include an option to pass in an iterable collection such as a string and return an `ArrayList` object containing each element of the collection. (10 minutes)

```
ex)   arr = ArrayList("Python")
      → print(arr)  outputs ['P','y','t','h','o','n']

      → arr2 = ArrayList(range(10))
      → print(arr2) outputs [0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
```

- h. Implement a `remove()` method that will remove the first instance of `val` in the `ArrayList`. Set `None` in place of the `val` that is removed. **You do not have to account for resizing the array for this question.** (20 minutes)

ex) `arr` is an `ArrayList` with `[1, 2, 3, 2, 3, 4, 2, 2]`

→ `arr.remove(2)`

→ `print(arr2)` outputs `[1, 3, 2, 3, 4, 2, 2]`

- i. Implement a `removeAll()` method that will remove all instances of `val` in the `ArrayList`. The implementation should be in-place and maintain the relative order of the other values. It must also be done in $\Theta(n)$ run-time. Set `None` in place of the `val` that is removed. **You do not have to account for resizing the array for this question.**

ex) `arr` is an `ArrayList` with `[1, 2, 3, 2, 3, 4, 2, 2]`

→ `arr.removeAll(2)`

→ `print(arr2)` outputs `[1, 3, 3, 4]`

2.

In class, you learned that finding an element in a **sorted list** can be done in $\Theta(\log(n))$ run-time with *binary search*.

Suppose that, we take a **sorted list and shift it by some random k steps**:

ex) `lst = [1, 3, 6, 7, 10, 12, 14, 15, 20, 21] → [15, 20, 21, 1, 3, 6, 7, 10, 12, 14]`

Food for thought: Now, if we try to use binary search to search for 21, index: `left = 0`, `right = 9`, `mid = 4`, we see that `lst[left] = 15`, `lst[right] = 14`, `lst[mid] = 3`. How will you know which side to discard? How many sorted parts do you see in the list?

You may define additional functions to solve the problem. You may also use the binary search implemented in class, which can be found in NYU resources. (35 minutes)

Part A: Find the pivot – Time Constraint $O(\log(n))$

Given a rotated sorted list, find the index of the smallest value (aka the pivot point).

Input: `nums = [15, 20, 21, 1, 3, 6, 7, 10, 12, 14]`

Output: 3

Explanation: At index 3, the minimum value is 1, the pivot point.

```
def find_pivot(lst):  
    """  
        : lst type: list[int] #sorted and then shifted  
        : val type: int  
        : return type: int (index if found), None(if not found)  
    """
```

Part B: Find the target value – Time Constraint $O(\log(n))$

Given a rotated sorted list and a target value, find the index of the target value.

Hint: You may use the `find_pivot()` function defined in Part A to get the pivot and process which side of the list to search for.

Input: `nums = [15, 20, 21, 1, 3, 6, 7, 10, 12, 14], target = 21`

Output: 2

Explanation: The target value 21 is found in the list at index

2.

```
def shift_binary_search(lst, target):  
    """  
        : lst type: list[int] #sorted and then shifted  
        : target type: int  
        : return type: int (index if found), None(if not found)  
    """
```