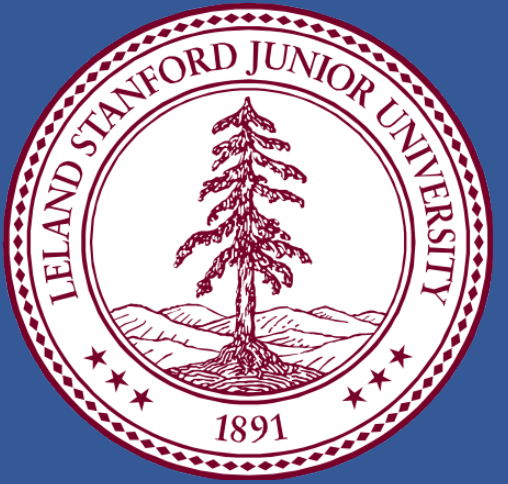




# Convolutional Neural Networks for 3D MNIST Image Classification

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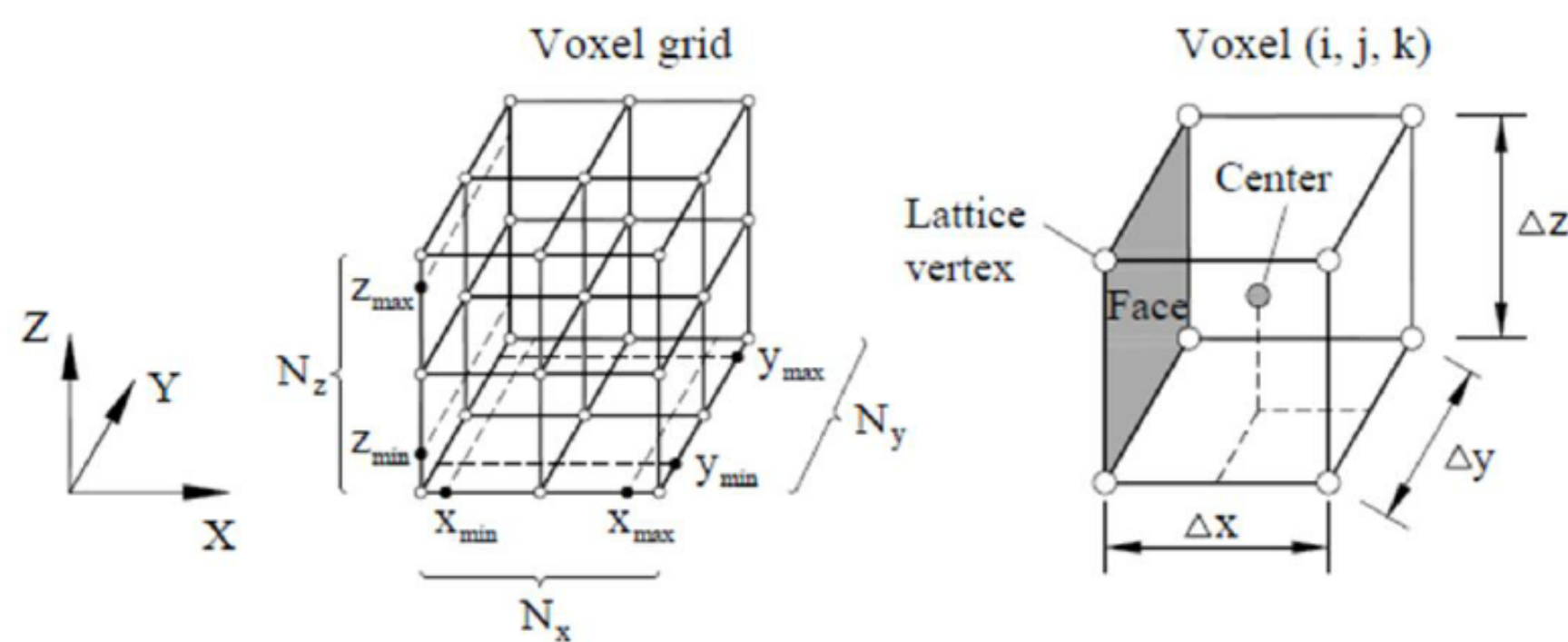
## Motivation

Visual object recognition is an essential skill for autonomous robots to function in real world environments. Robust classification of 3D digits is a crucial step towards this goal.

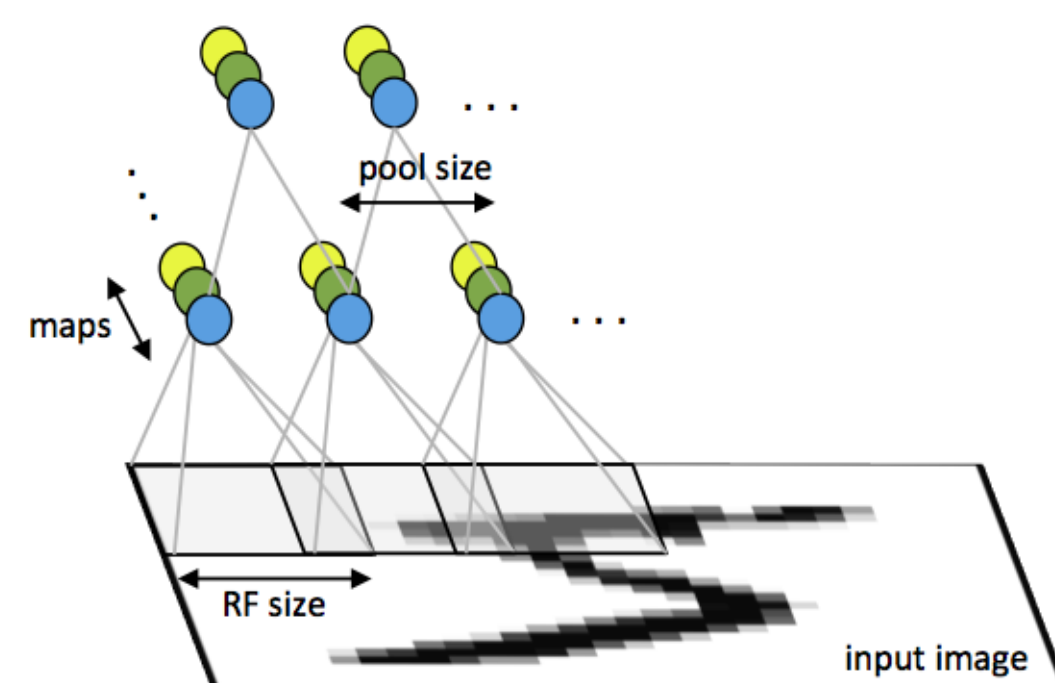
## Problem Definition

Given a dataset of 10000 rotated and translated 3D point clouds with their digit labels from 0 to 9, automatically assign the correct digit to the 3D image without manual feature engineering.

## Background: Voxelization and Conv Nets

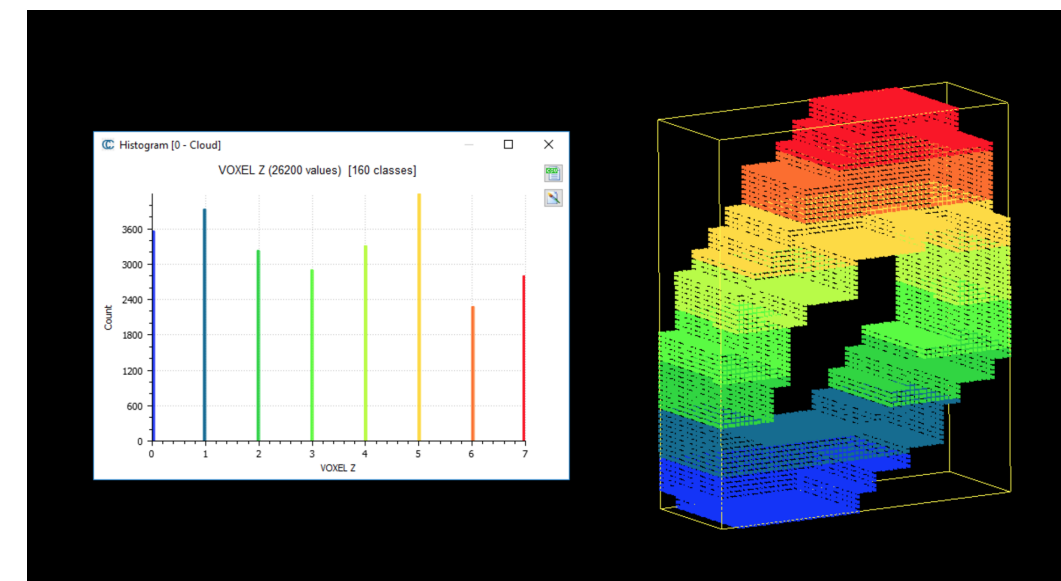


**Figure 1 :** Example of Occupancy Grid [1]. Each  $(x, y, z)$  in the point cloud is assigned a single  $(i, j, k)$  voxel. The image is then represented by a  $N_x \times N_y \times N_z$  matrix where the  $ijk$ th entry contains the number of points assigned to that voxel.

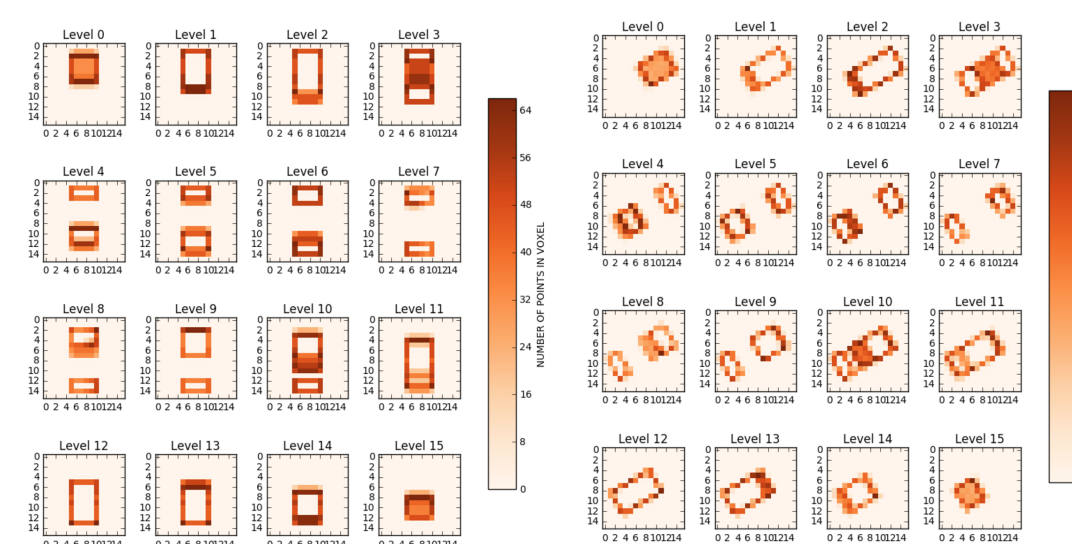


**Figure 2 :** Example of the 2D convolution and pooling operations [2]. Units of the same color in the convolution layer share the same weights.

## Data: 3D MNIST

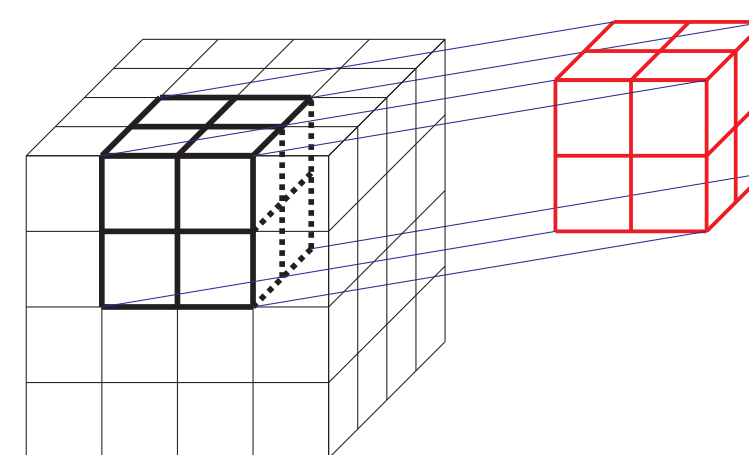


**Figure 3 :** Example of an image split into 8 voxels along the z-axis (each color corresponds to a single voxel) [1]. The graph shows a count of points in each voxel in the z-dimension.

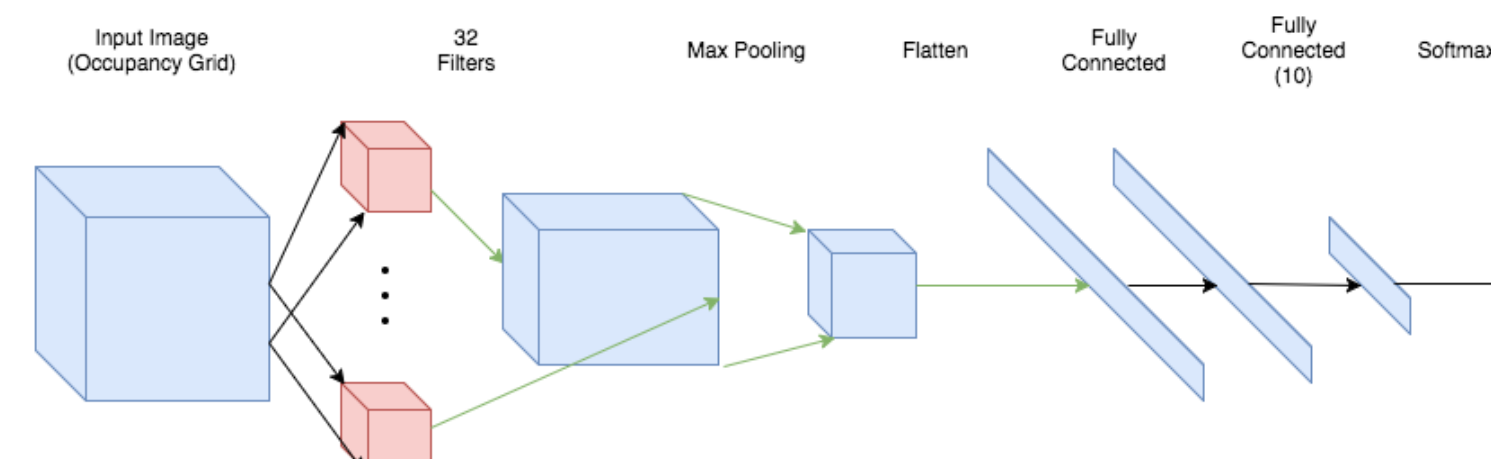


**Figure 4 :** Example of rotation clockwise by  $60^\circ$  along the z-axis [1]. The left panel shows a projection into the x dimension (image axes are z, y dimensions and levels are the x dimension). The right panel shows the image rotated clockwise by  $60^\circ$  in the z dimension. The colors denote the number of points within a voxel.

## Model: 3D Conv Net (VoxNet [3])



**Figure 5 :** 3D Filter and Pooling operations on a simple  $4 \times 4 \times 4$  image [4]. The  $2 \times 2 \times 2$  cube on the right can be thought of as either the filter or the pool. This figure does not show the stride parameter - this is just the size of the shift of the filter or pool (a sliding window).



**Figure 6 :** Basic network architecture. One convolutional layer, max pooling layer, fully connected layer, and last fully connected layer into the 10 classes, where a final softmax is performed. Cross entropy loss is used and trained in Tensorflow with an Adam optimizer.

## Experiments and Results

Model	Validation Accuracy
Linear multiclass ovr SVM: L2 Regularization, Squared Hinge Loss	0.5635
Linear multiclass ovr SVM: L1 Regularization, Squared Hinge Loss	0.5645
Linear multiclass ovr SVM: L2 Regularization, Hinge Loss	<b>0.566</b>
RBF kernel multiclass ovr SVM	0.5295
Polynomial kernel multiclass ovr SVM	0.107
Sigmoid kernel multiclass ovr SVM	0.496
ovr Logistic Regression:	<b>0.582</b>
Multinomial Logistic Regression	0.5805
2 Layer Neural Network, 512 Hidden Dimension	0.667
2 Layer Neural Network, 1024 Hidden Dimension	<b>0.6785</b>
Oracle (Vanilla CNN)	0.992

**Figure 7 :** Summary of baseline results. ovr stands for *one-versus-rest* (10 binary classifiers). In the SVM case, ovr is contrasted with a *one-versus-one* scheme ( $\binom{10}{2} = 45$  binary classifiers). In the Logistic Regression case, ovr is contrasted with Multinomial Logistic Regression, which is softmax regression with cross-entropy loss. The best SVM, logistic regression, and neural network classifiers are bolded. The oracle is a vanilla convolutional neural network which uses the 2D image that was used to generate the 3D point clouds.

Model	Validation Accuracy
3DConvNet: 1 layer, 8 filters, 1024 hidden dim	<b>0.69</b>

**Figure 8 :** Summary of results.

## Future Work

- Further experiments using more complex architectures
- Visualize cross sections of filters and activation of fully connected layers

## References

- [1] <https://www.kaggle.com/daavoo/d/daavoo/3d-mnist/>
- [2] <http://ufldl.stanford.edu/tutorial/supervised/ConvolutionalNeuralNetwork/>
- [3] [http://www.dimatura.net/extra/voxnet\\_maturana\\_scherer\\_iros15.pdf](http://www.dimatura.net/extra/voxnet_maturana_scherer_iros15.pdf)
- [4] <https://ai2-s2-pdfs.s3.amazonaws.com/3c86/dfdbdf37060d5adcf6c4d7d453ea5a8b08f.pdf>