

# Energy Reconstruction in JUNO

with classical and quantum  
machine learning methods

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Solve a HEP problem using CNNs

# Solve a HEP problem using CNNs

goal



```
graph TD; A[Solve a HEP problem using CNNs] -- goal --> B[Infer the deposited energy in neutrino interaction events for the JUNO experiment]; B --- C[Requires a better resolution than 3%];
```

Infer the *deposited energy* in neutrino interaction events for the **JUNO** experiment

Requires a *better resolution than 3%*

# Solve a HEP problem using CNNs

goal

Infer the *deposited energy* in neutrino interaction events for the **JUNO** experiment

Requires a *better resolution than 3%*

Complex classical CNN  
(**ResNet**) already explored  
in the literature <sup>[1]</sup>

# Solve a HEP problem using CNNs

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Infer the *deposited energy* in neutrino interaction events for the **JUNO** experiment

Requires a *better resolution than 3%*

Complex classical CNN (**ResNet**) already explored in the literature <sup>[1]</sup>

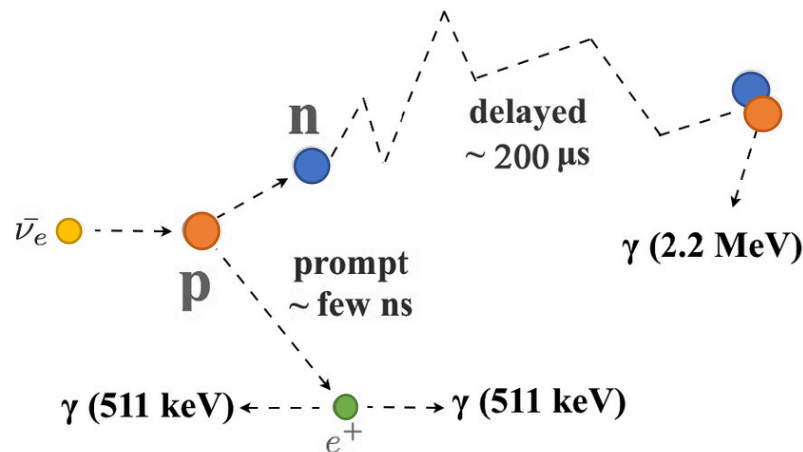
Simple classical CNN to be compared with its **quantum** counterpart

# Introduction

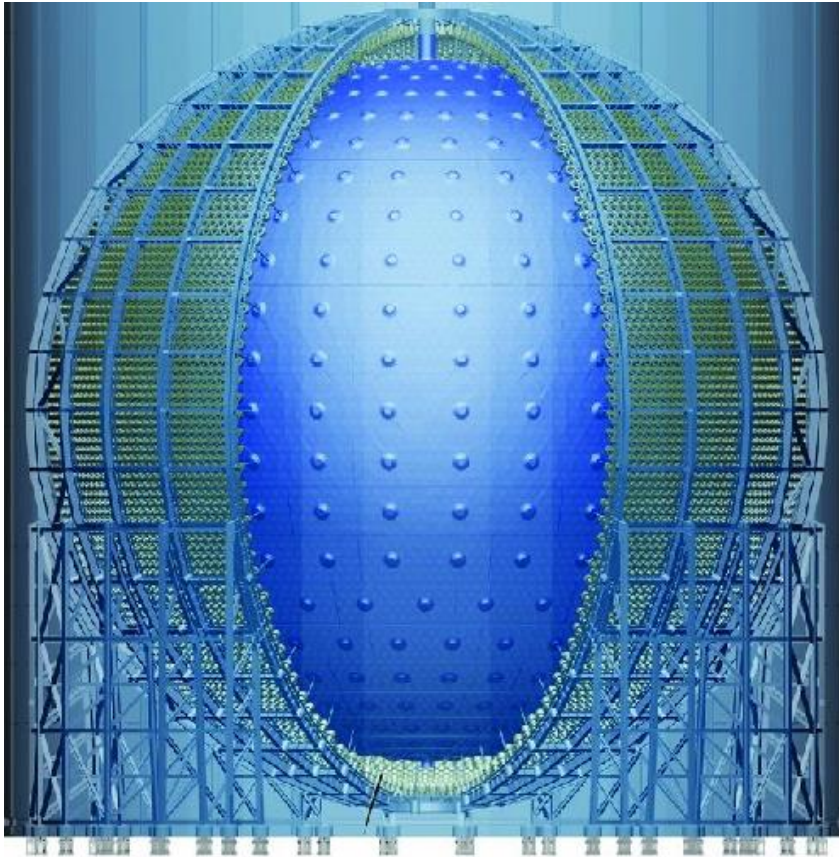
The **Jiangmen Underground Neutrino Observatory (JUNO)**  
experiment for neutrino oscillation studies

# The JUNO experiment

- Is a multipurpose neutrino experiment
  - *neutrino mass hierarchy*
- Detects reactor anti-neutrinos
  - *two nuclear power plants at 53 km*
  - *mainly  $\bar{\nu}_e$  with  $\mathcal{E}_{\bar{\nu}_e} < 10 \text{ MeV}$*
- Measures signal via the inverse beta decay reaction



# The JUNO experiment



## **Central Detector** structure:

- Acrylic sphere ~35 m diameter
- Filled with Liquid Scintillator which serves as both interaction and detection medium
- Surrounded by 17612 20" and 25600 3" PhotoMultiplier Tubes
- Collected charge and hit time information



# From raw data to processed images

An overview of **JUNO** datasets

# Raw data description

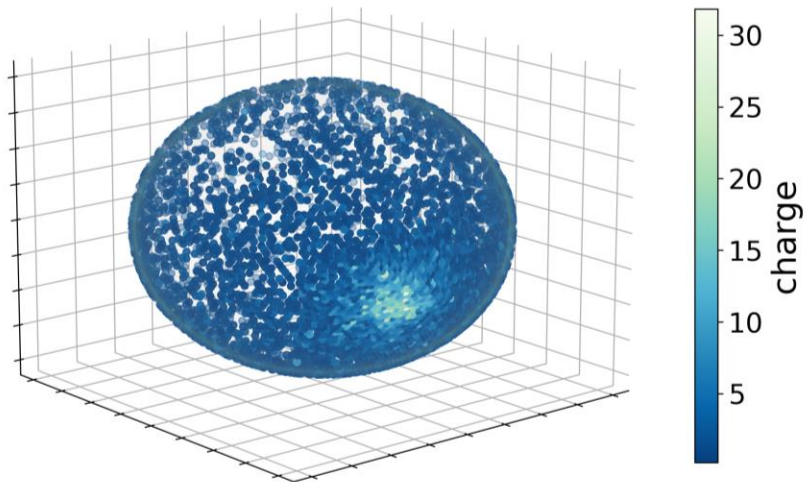
- Source : Monte Carlo simulations with **electronic noise effects**
- Size : 488GB
- Format : .npz files
- Content : ~5 million events
- Features : [*pmt\_id*, *charge*, *hit\_time*]
- Shape :  $n_{\text{features}} \times n_{\text{events}}$

```
1 df = pd.DataFrame(train_data.T, columns=["pmt_id", "charge", "hit_time"])
2 df.head()
```

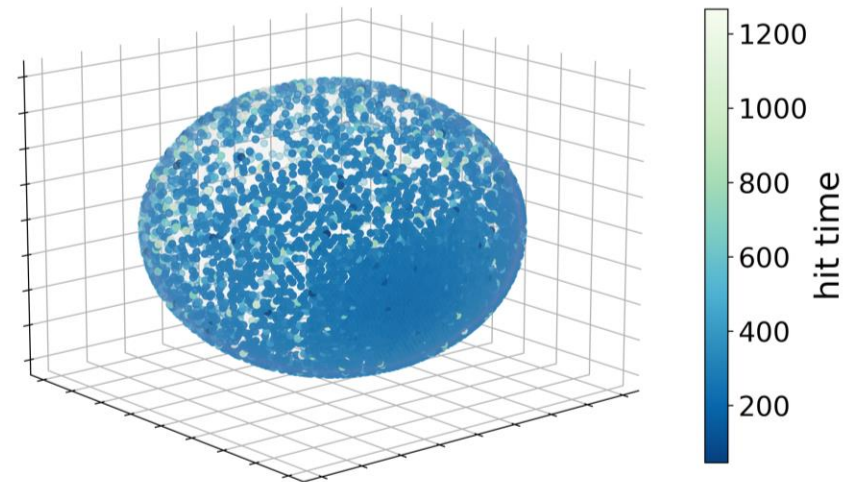
	pmt_id	charge	hit_time
0	[0, 1, 2, 8, 14, 15, 18, 19, 20, 34, 40, 54, 6...	[1.0169096287452863, 1.289895357346751, 1.4573...	[278.5438428571429, 270.03612857142855, 263.31...
1	[0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13,...	[7.69295220634088, 6.316354197252007, 1.649539...	[204.54384285714283, 224.03612857142858, 225.0...
2	[17, 20, 27, 28, 32, 33, 35, 58, 60, 69, 72, 7...	[1.0770311814496256, 1.4405190900405578, 1.112...	[360.4588428571429, 1212.2837, 1169.9381085714...
3	[2, 15, 25, 40, 57, 62, 67, 85, 162, 166, 167,...	[1.481666113625243, 1.0454746500116843, 1.4914...	[288.6028228571429, 344.2614142857143, 568.777...
4	[9, 11, 19, 31, 37, 38, 43, 49, 51, 54, 60, 76...	[1.1243191985536116, 0.7539582292427174, 1.055...	[338.9815371428572, 499.8719857142857, 187.328...

# Visualizing an event on the PMT sphere

Charge

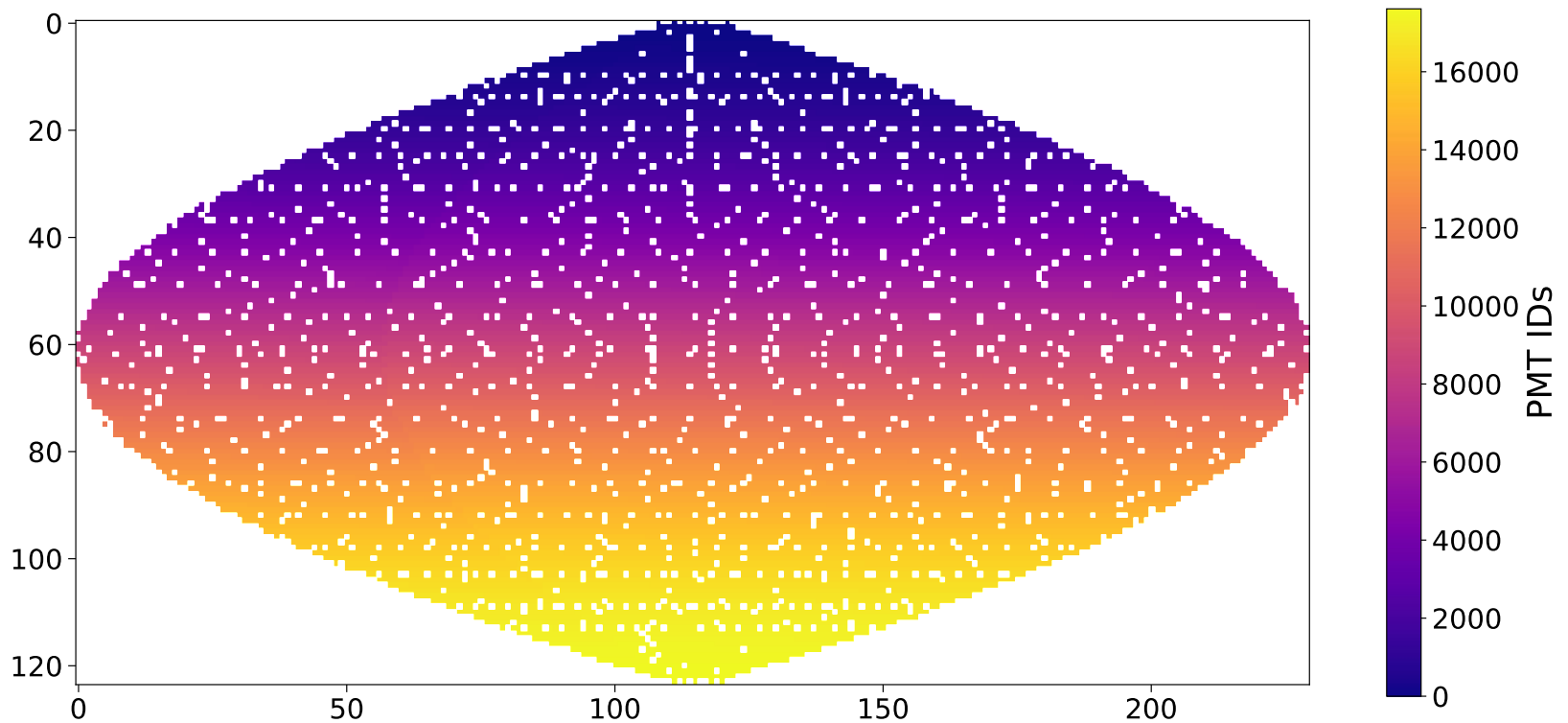


Hit time

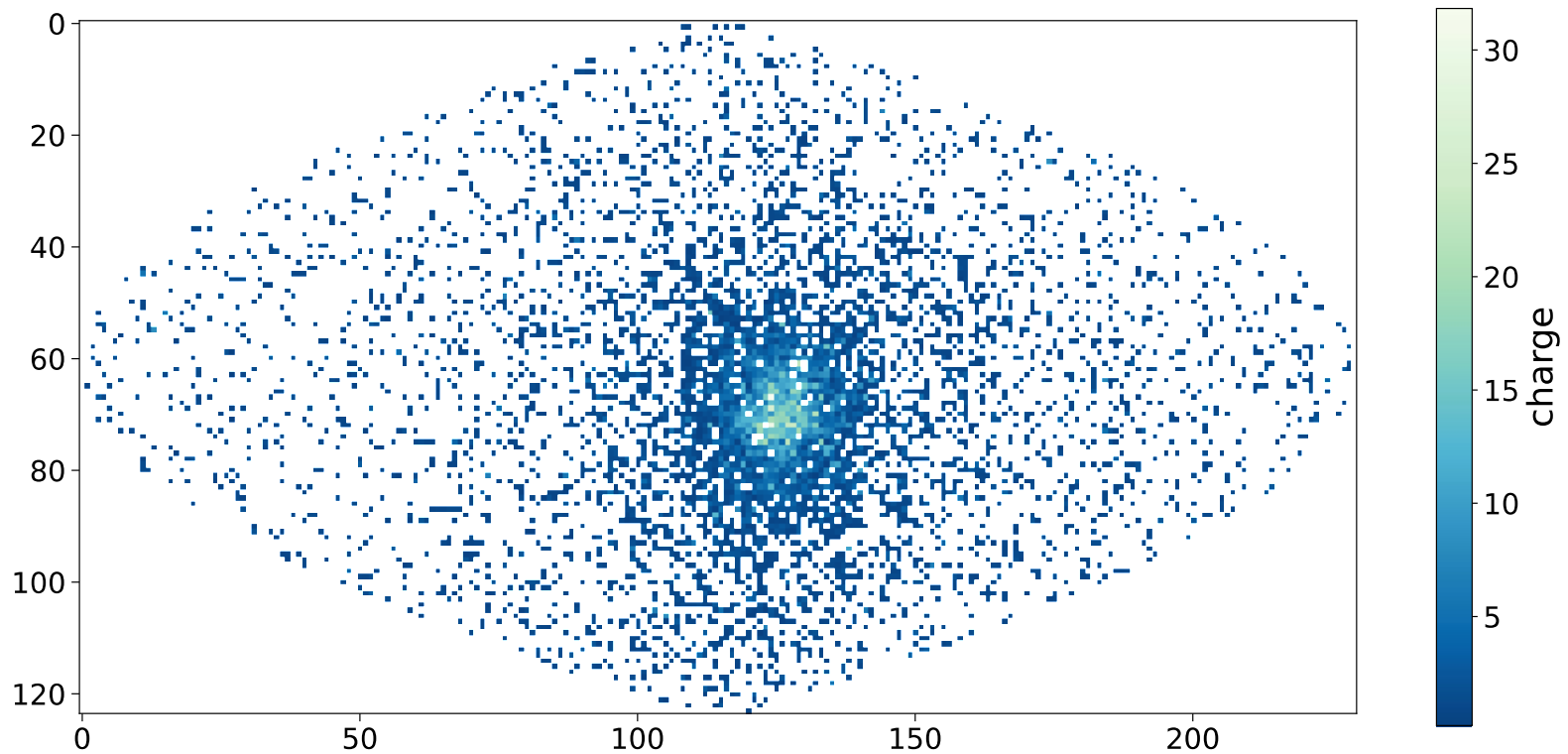


# Building the image dataset

The 17612 PMTs on the sphere are *mapped* into a 230x124 image



# Example of projected event - **charge** channel



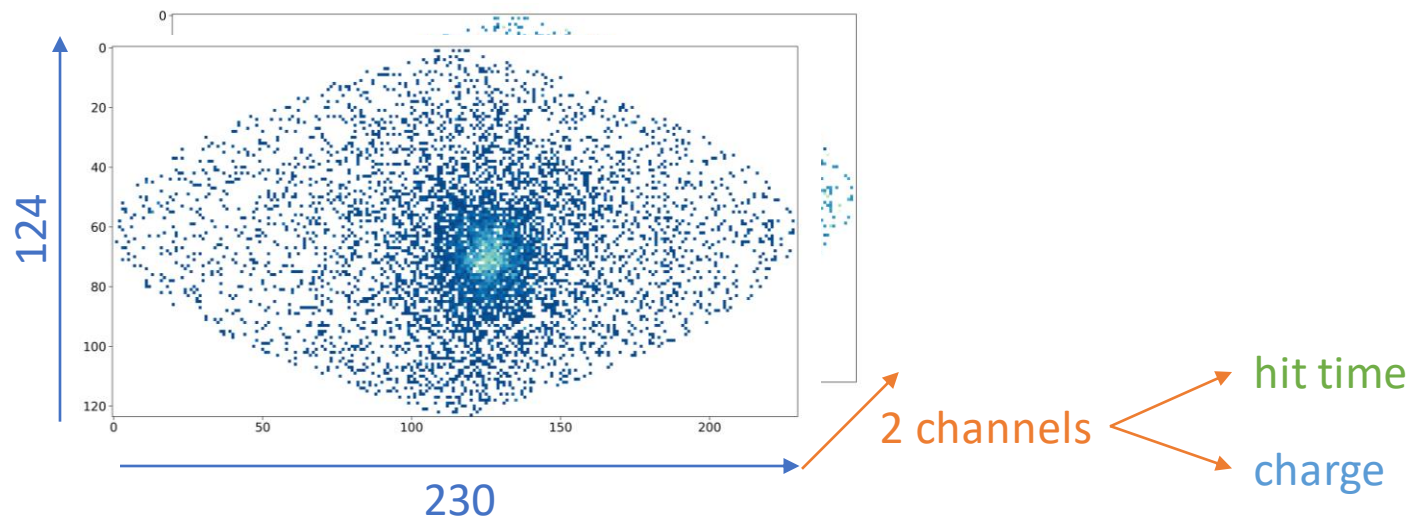
# Training and Test datasets

## Training set

- 5 million images
  - shaped 230 x 124 x 2
- Energies are uniformly distributed  
 $\mathcal{E}_k \in [0, 10] \text{ MeV}$

## Test set

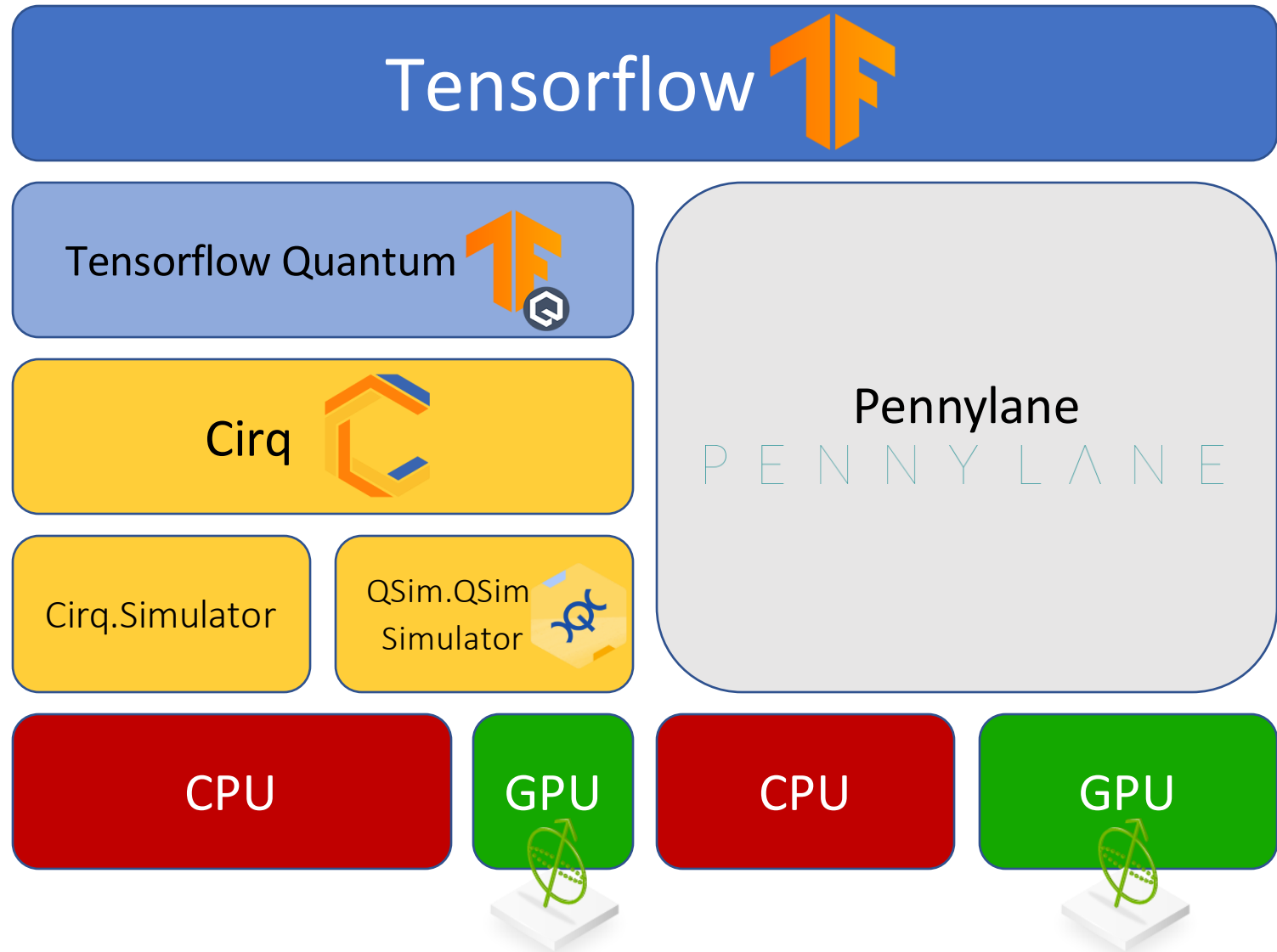
- 1.4 million images in total
  - shaped 230 x 124 x 2
- 14 subsets with fixed energy
  - 100 thousands images each



# Tools and Resources

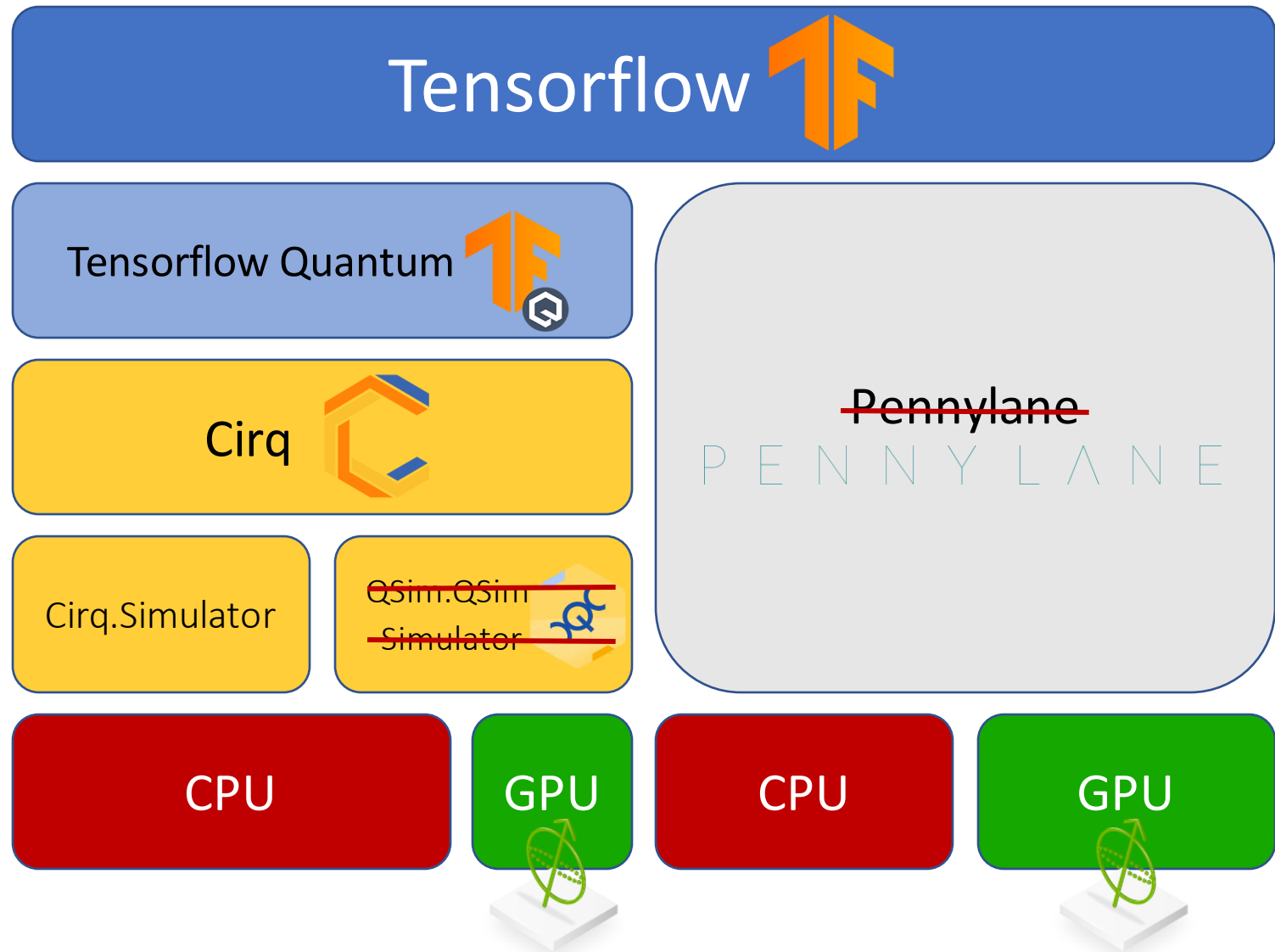
Quantum simulation tools, APIs, hardware, and optimizations

# Tools and libraries for ML and QML





# Tools and libraries for ML and QML



# Hardware



PhysicsOfData-students

HPC-Physics

LCPB-  
CittaRomanze

- Intel(R) Xeon(R) Gold 5218 CPU @ 2.30GHz, 15 cores
- 90 GB RAM
- 5 TB storage
- NVIDIA Tesla T4, 16 GB

qmlJUNO

- Intel(R) Xeon(R) Gold 6248 CPU @ 2.50GHz, 18 cores
- 56 GB RAM
- 5 TB storage
- NVIDIA Tesla V100, 32 GB

# Running NNs on **large** datasets

```
1 def get_data_from_filename(filename):
2     # Read the corresponding label file
3     labelfile = '../juno_data/data/real/train/targets/targets_train_{}.csv'\
4         .format(re.findall('\d+', filename.decode())[0])
5     labeldata = pd.read_csv(labelfile)
6     labeldata = labeldata['edep'].to_numpy()
7
8     npdata = np.load(filename, mmap_mode='r')
9
10    return (npdata, labeldata)
11
12 def get_data_wrapper(filename):
13     # Assuming here that both your data and label is double type
14     features, labels = tf.numpy_function(
15         get_data_from_filename, [filename], (tf.float64, tf.float64))
16     return tf.data.Dataset.from_tensor_slices((features, labels))
17
18 # Create dataset of filenames.
19 ds = tf.data.Dataset.from_tensor_slices(filelist)
20 # Retrieve .npy files
21 ds = ds.flat_map(get_data_wrapper)
22 # Optimizations
23 ds = ds.apply(tf.data.experimental.prefetch_to_device("/GPU:0"))
24 ds = ds.batch(BATCH_SIZE, num_parallel_calls=tf.data.AUTOTUNE,
25               deterministic=False)
```

488 GB dataset to train  
the ResNet on **doesn't**  
**fit** into RAM



Need **lazy load** to RAM,  
i.e. read data from file  
only when needed



Exploit both  
**tf.data.Dataset** API  
and **.npy memory-**  
**mapping**

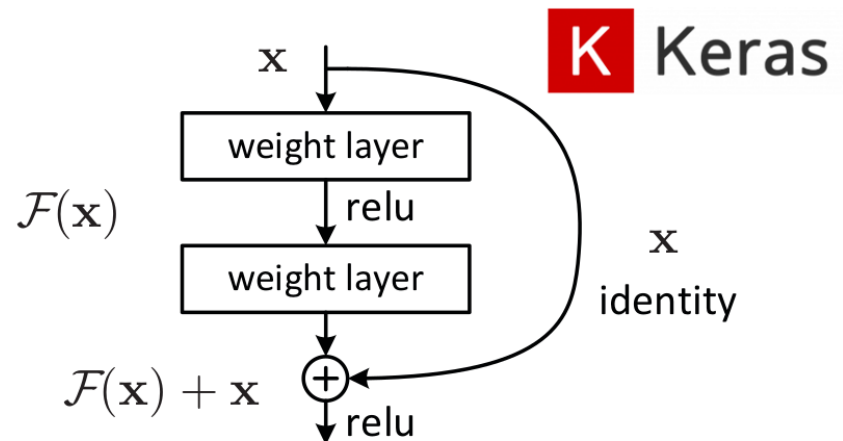
Constant data reading from storage at **~270 MB/s**, small RAM  
and VRAM usage, training speed-up (**GPU load ~90%**)

# The classical **ResNet** machine learning model

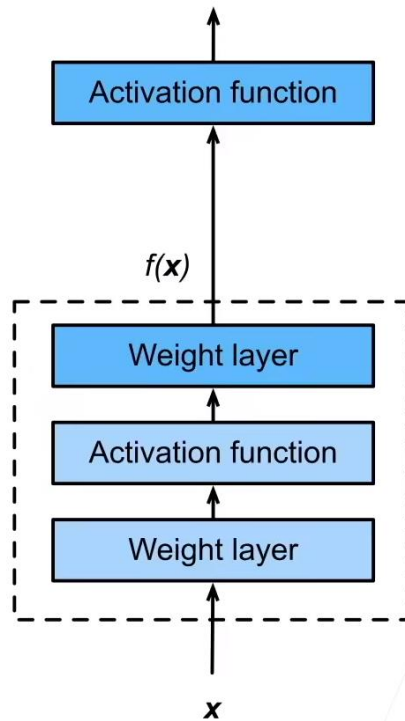
Energy reconstruction with the **Residual Network (ResNet)**

# Residual Network (ResNet)

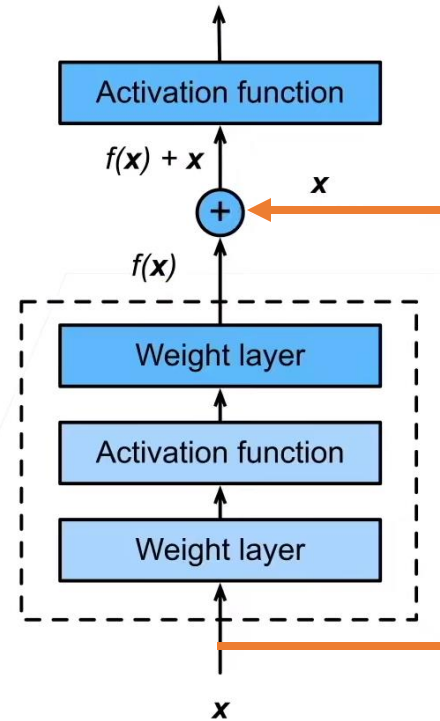
- Classical *deep* neural network model
- Key feature: *Residual Block* (or *Skip Connection*)
- Allows deeper network architectures
  - avoid *vanishing gradients*
  - mitigate *degradation* (accuracy saturation)
  - maximize reconstruction performance



# The *Residual Block*



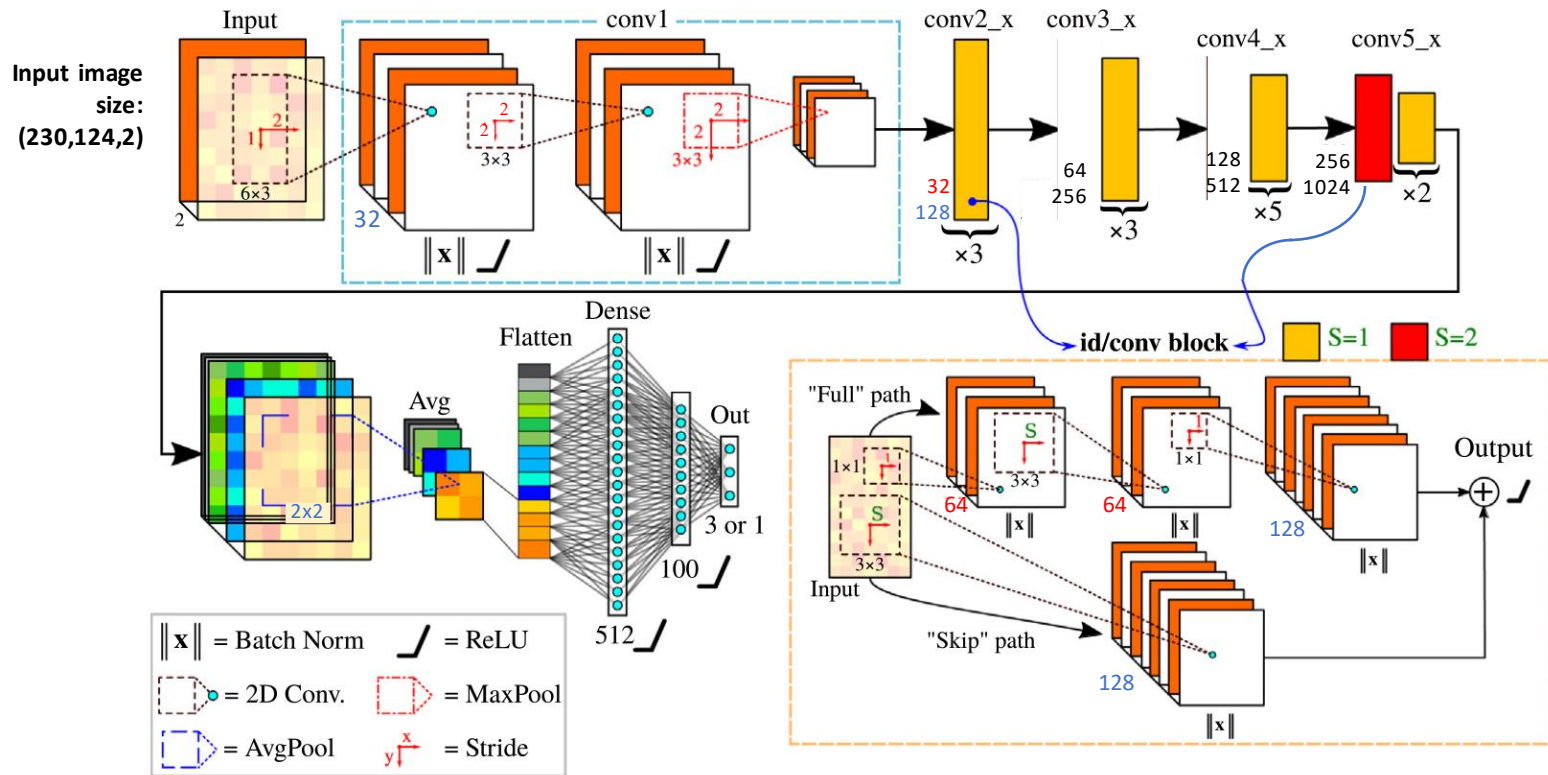
Regular block



*Shortcut*

Residual block

# JUNO ResNet architecture



Total parameters : 56 653 309  
 Trainable parameters : 56 649 187  
 Non-trainable parameters : 4 122

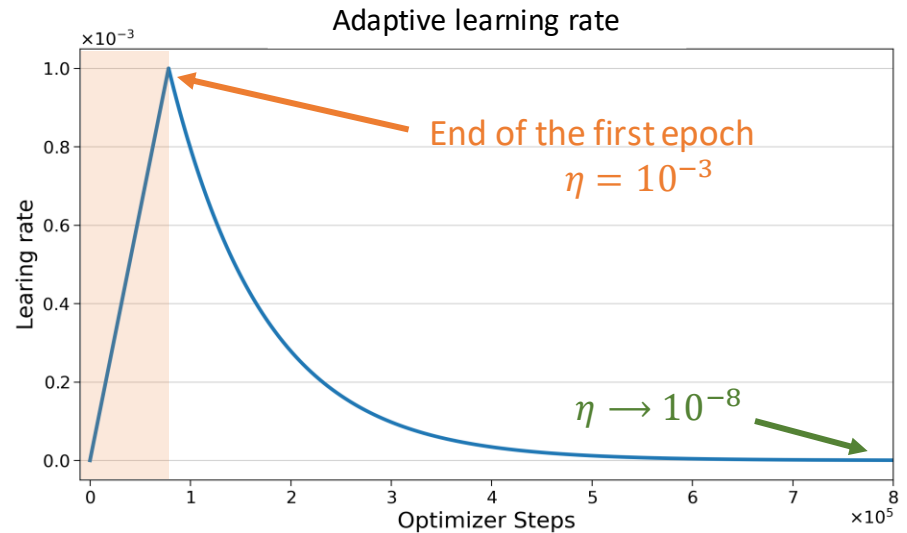
# Training the ResNet

- Hardware: qmlJUNO VM
  - NVIDIA Tesla V100 GPU
- Training time: 2h15min (1d10h in total)

- Training parameters:

- Number of epochs : 15
- Batch size : 64
- Optimizer : Adam
- Loss : mean squared error
- **Adaptive learning rate:**

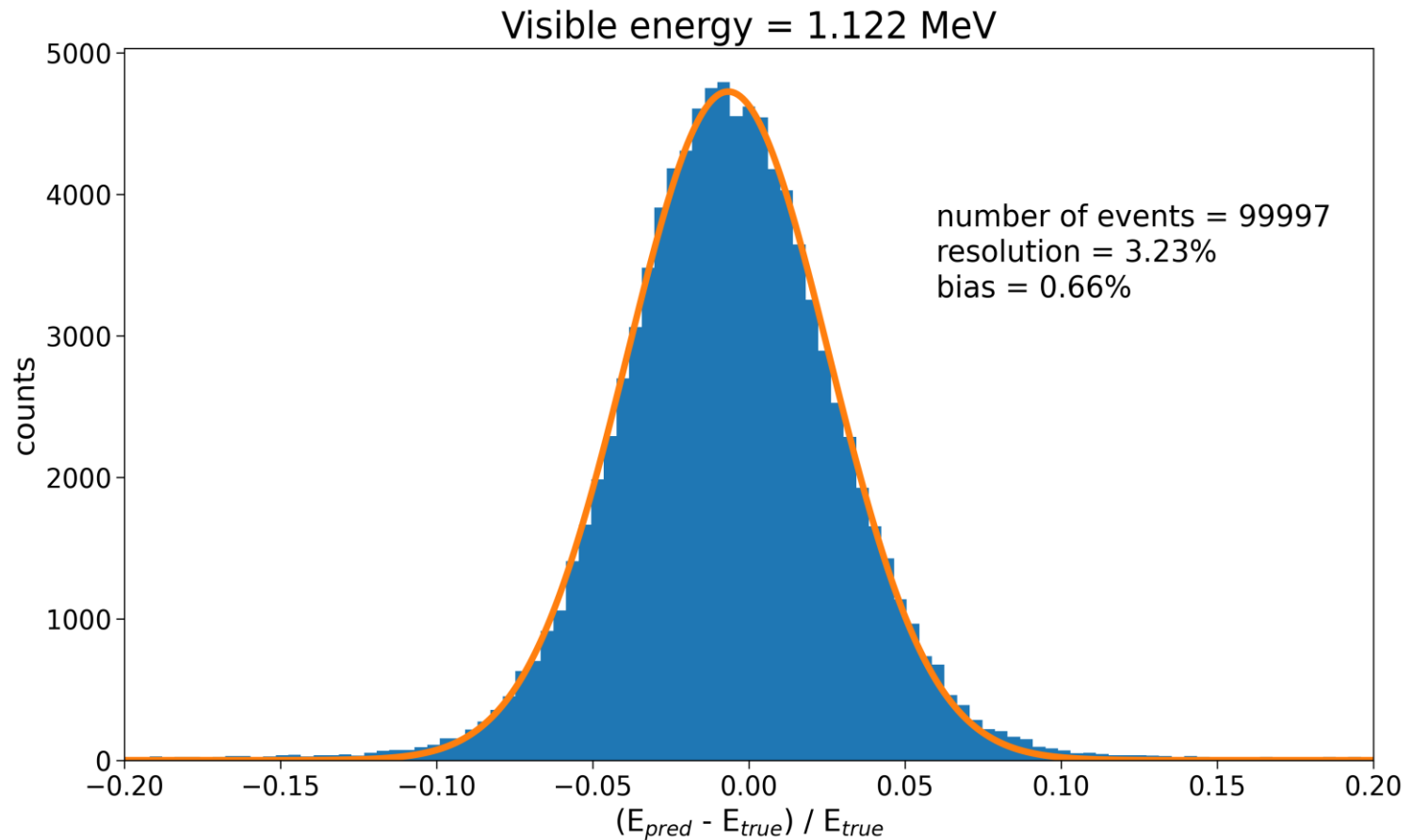
$$\begin{cases} m * step, & step \leq n^{\circ}steps/epoch \\ c * rate^{(step)}, & step > n^{\circ}steps/epoch \end{cases}^{(*)}$$



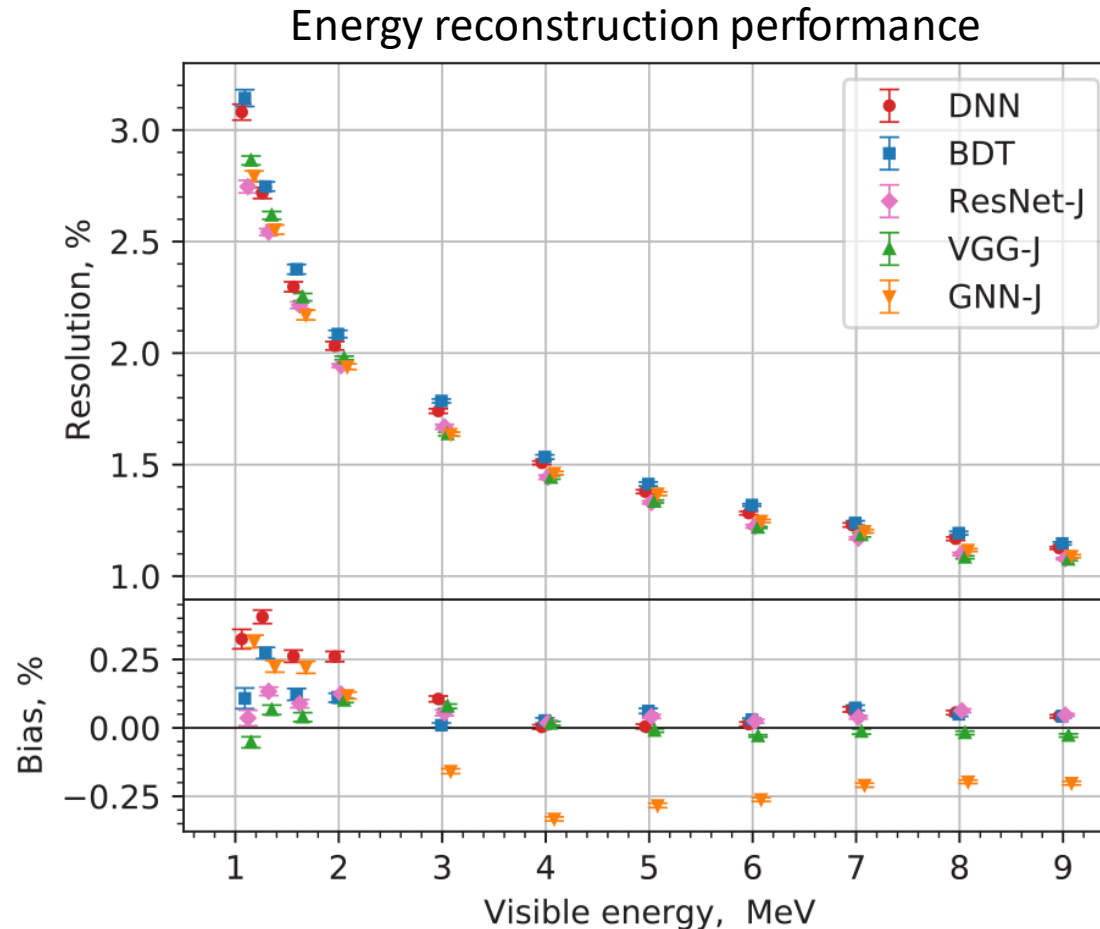
(\*)  $n^{\circ}steps/epoch = 78\,282$



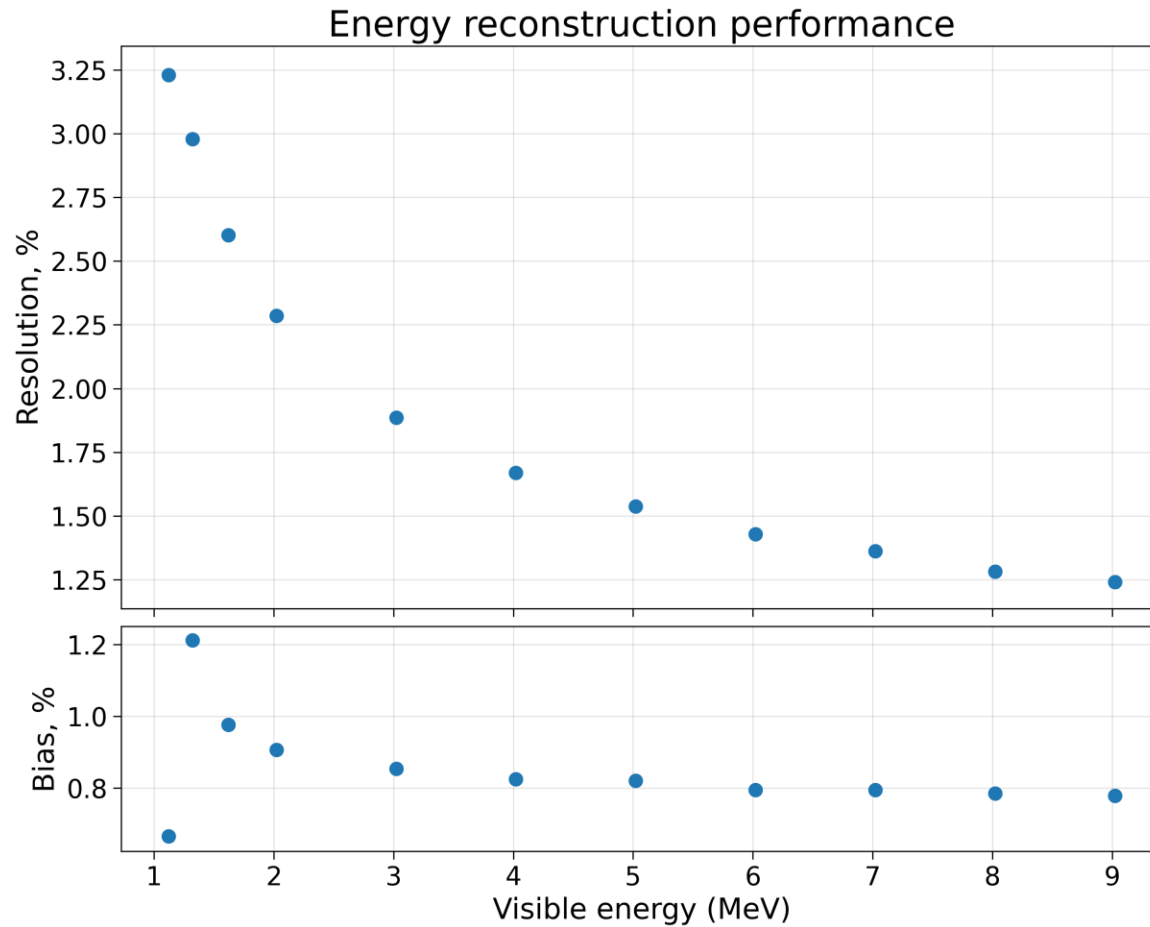
# Energy reconstruction distribution



# Prediction performance



# Prediction performance



# Final considerations on ResNet

- The reconstruction resolution is ***acceptable***
- The prediction bias is ***higher*** than the one in previous studies

Our dataset is more ***realistic*** due to the simulation of electronic noise effects

Data is harder to learn

The ResNet model has shown to be ***highly sensible*** to the ***training set size***

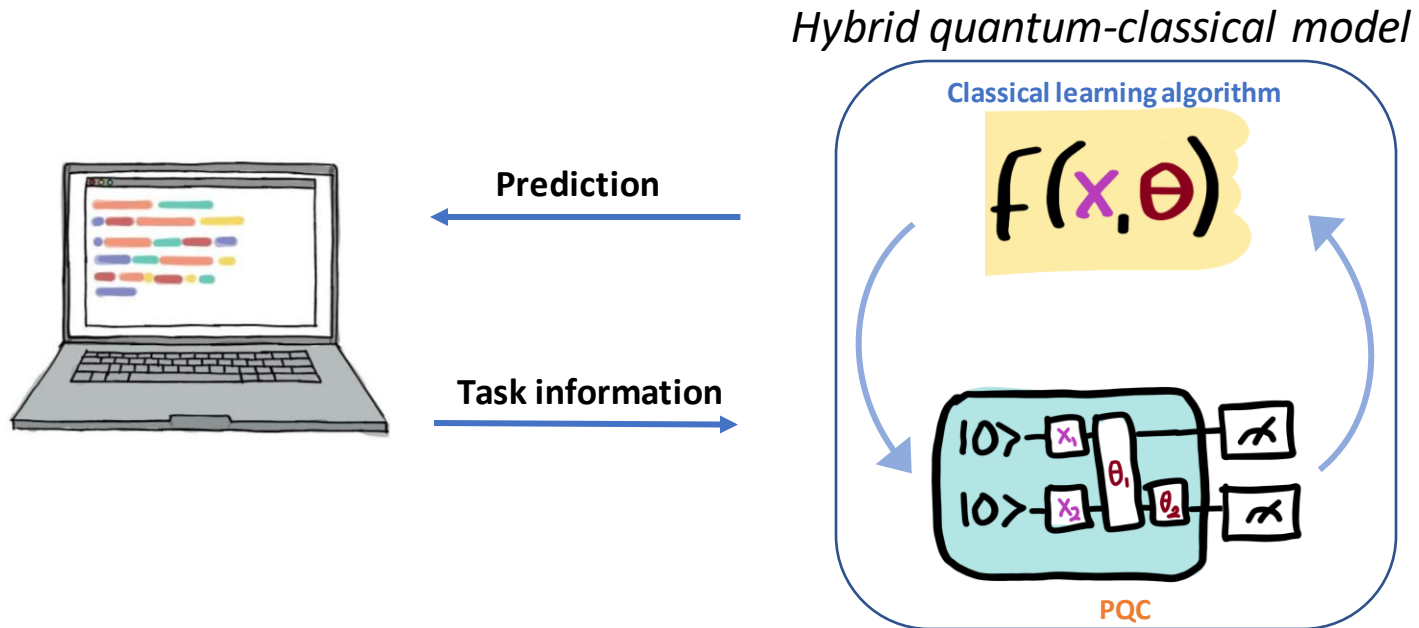
Larger datasets are necessary

# Classical and Quantum convolutional models

Energy reconstruction with a CNN and its quantum twin

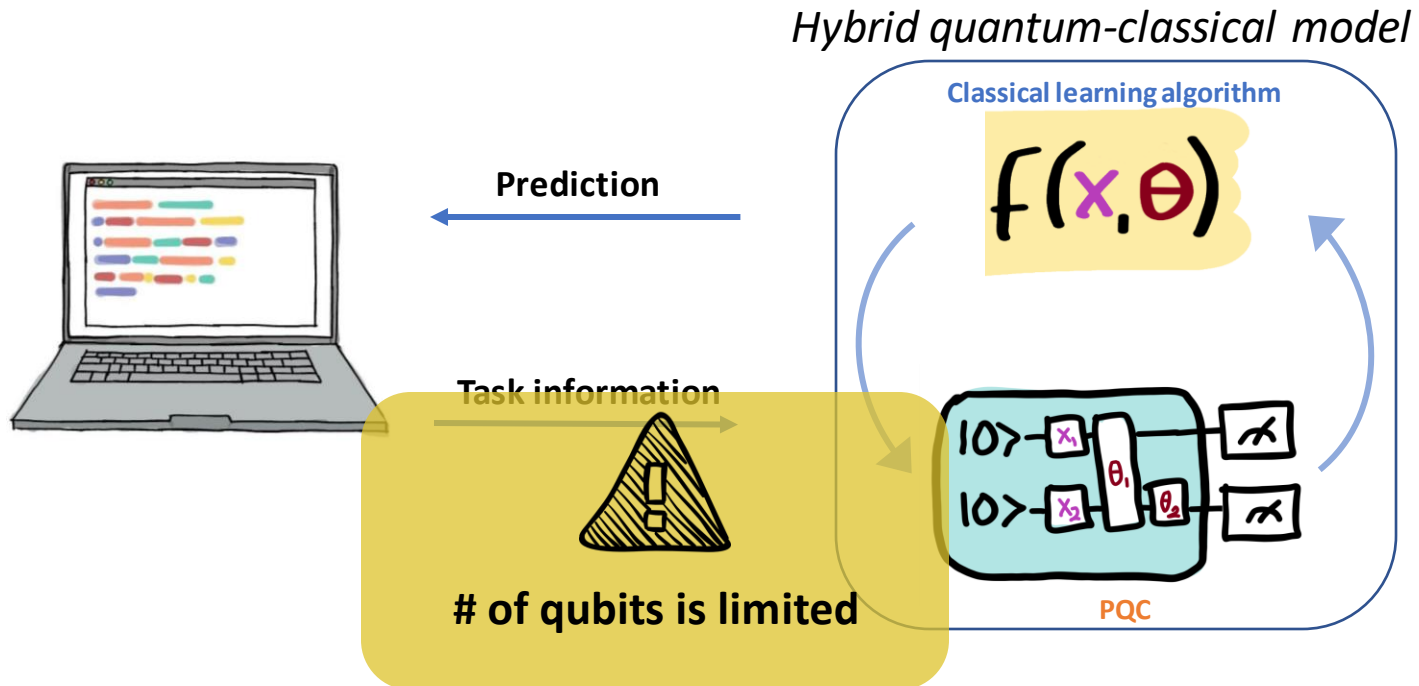
# Quantum Machine Learning

- Use the advantages of **quantum computing** in order to improve **machine learning algorithms**
- Parametrized Quantum Circuits (**PQCs**) as machine learning model:

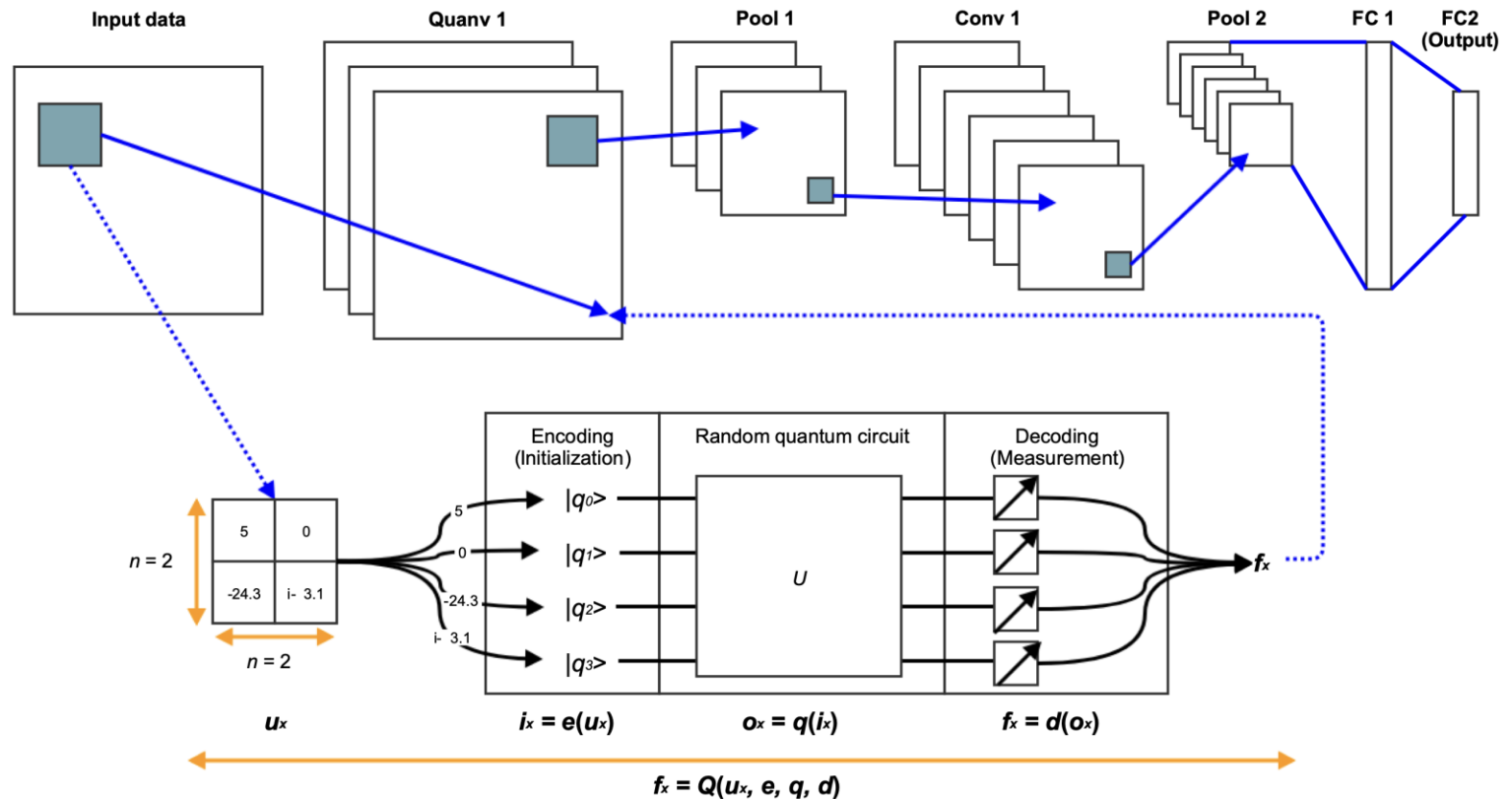


# Quantum Machine Learning

- Use the advantages of **quantum computing** in order to improve **machine learning algorithms**
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# Trainable **Quan**volutional Neural Network

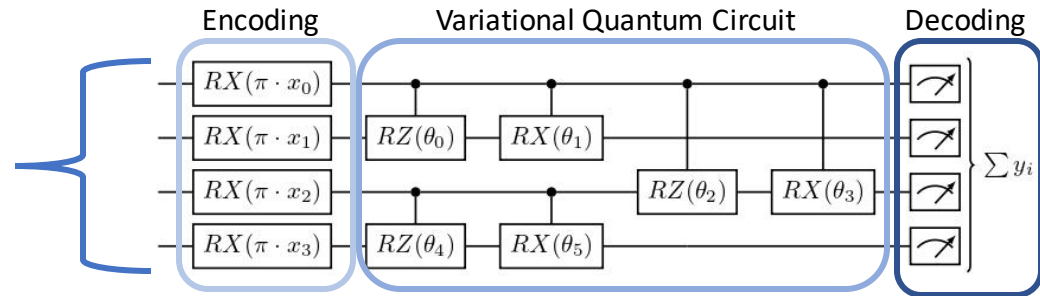




# Trainable **Quan**volutional Neural Network

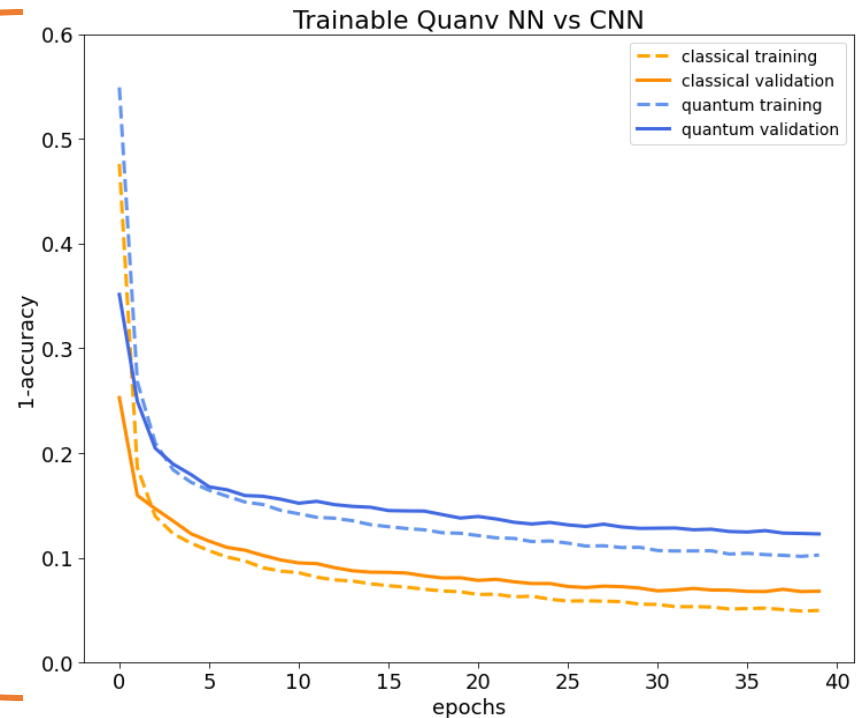
## Ansatz

- Gate encoding
- 2 x qubits parameters



## Benchmarking

- Simple model with a **single 2x2 QConv** layer + a 32 neurons Dense layer
- Trained on **5k 14x14** digit images (MNIST dataset)
- ~ **3 minutes**/epoch
- Compared with its classical twin (QConv  $\rightarrow$  **Conv2D**)



# Lowering the Image Resolution

- Original image of **230x124** pixels
- PQC as filter in QConv layer benchmarked with 4 qubits (**2x2**)

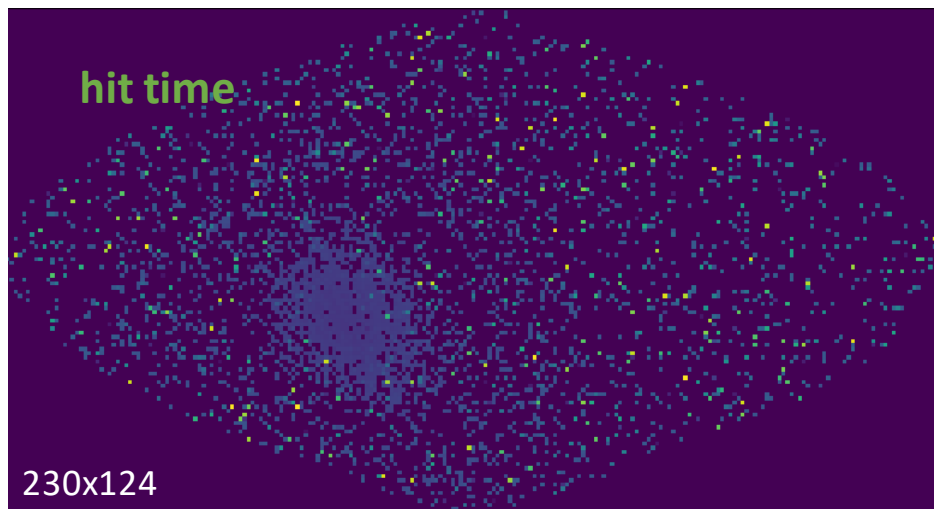
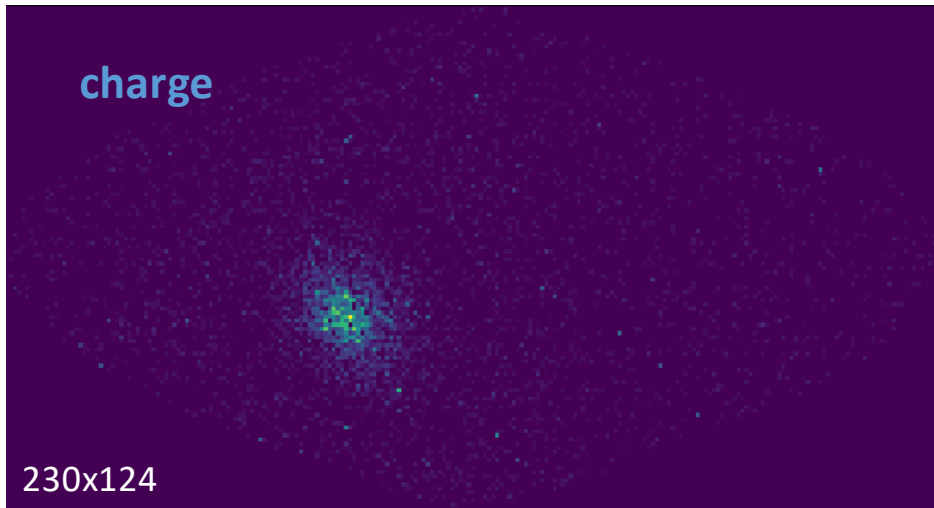
The first convolutional layer would need a **bigger filter** but that is beyond our simulation capabilities. We can **lower** the image **resolution**.



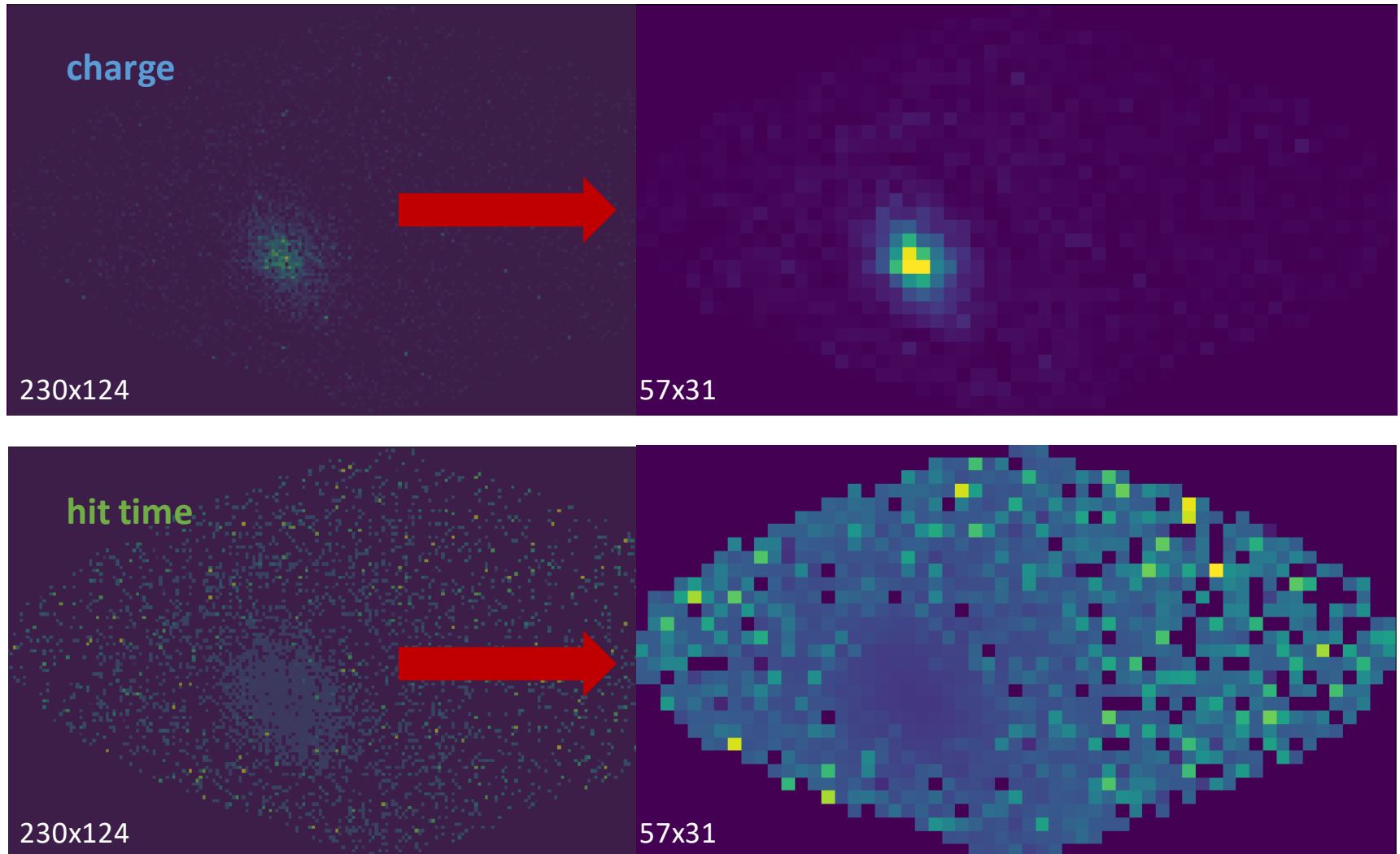
Custom 4x4 **Pooling** layer for each channel:

$$\text{Reduced Image}(m, n, c) = \begin{cases} \sum_{i,j} \text{Image}(i, j, c) & \text{if } c = \text{charge} \\ \sum_{i,j} \text{Image}(i, j, c) \cdot \text{Image}(i, j, \text{charge}) & \text{if } c = \text{hit time} \end{cases}$$

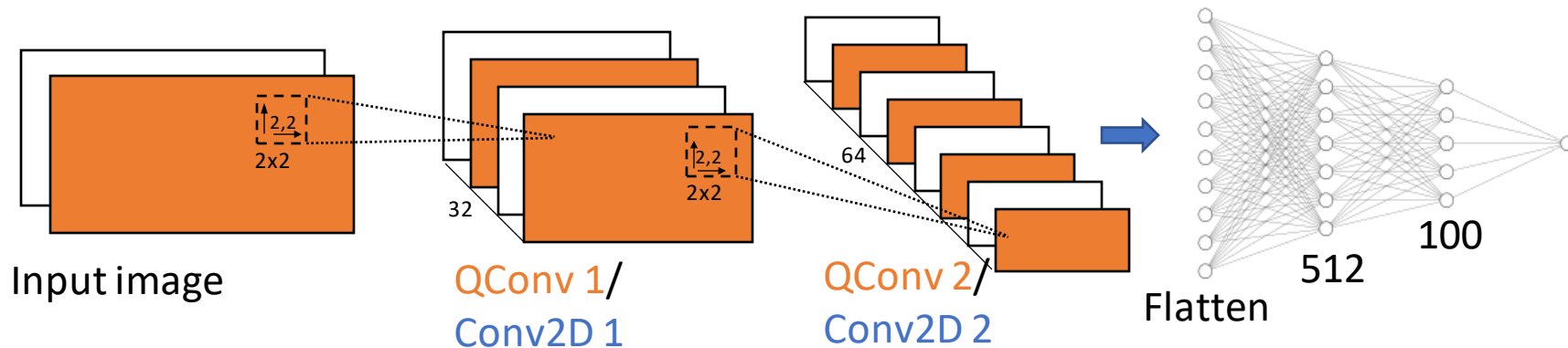
# Lowering the Image Resolution



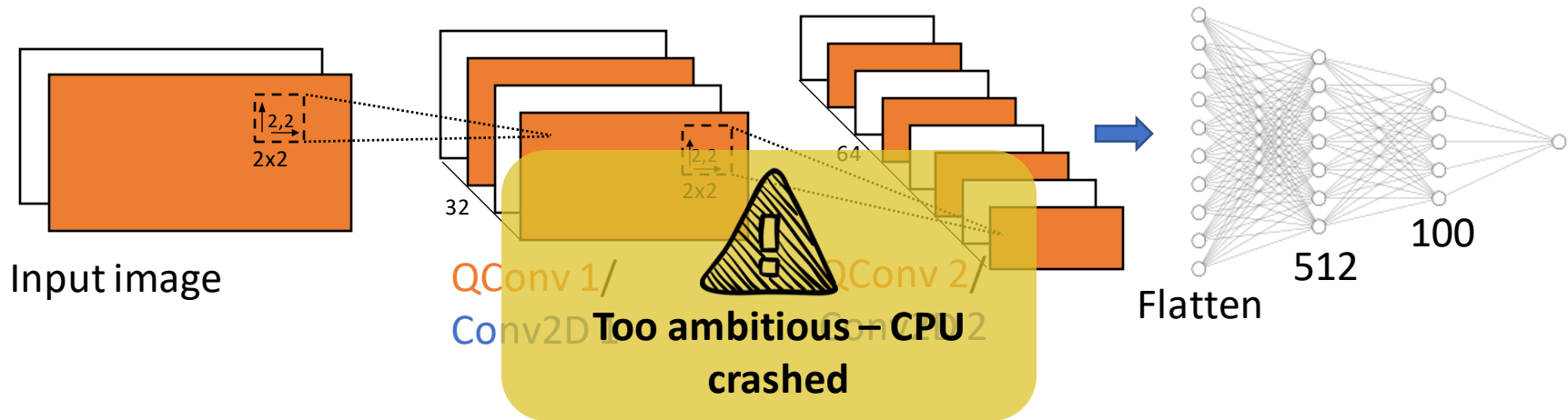
# Lowering the Image Resolution



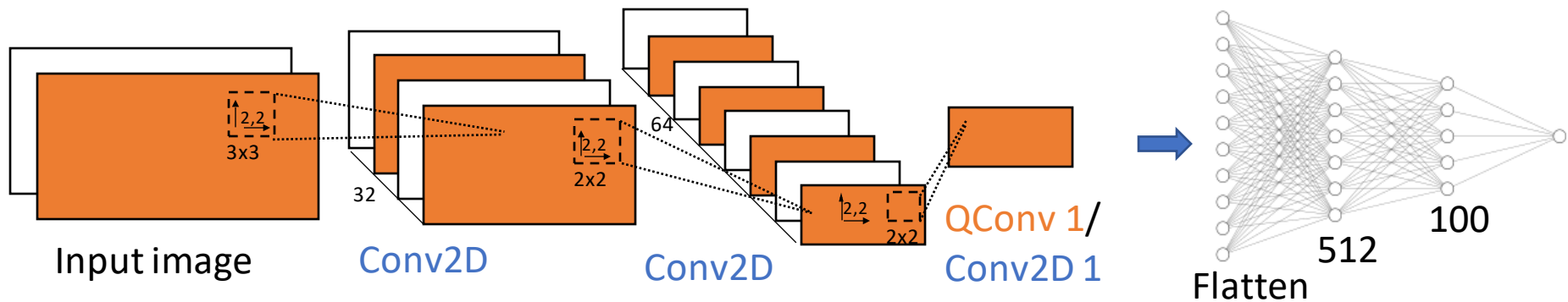
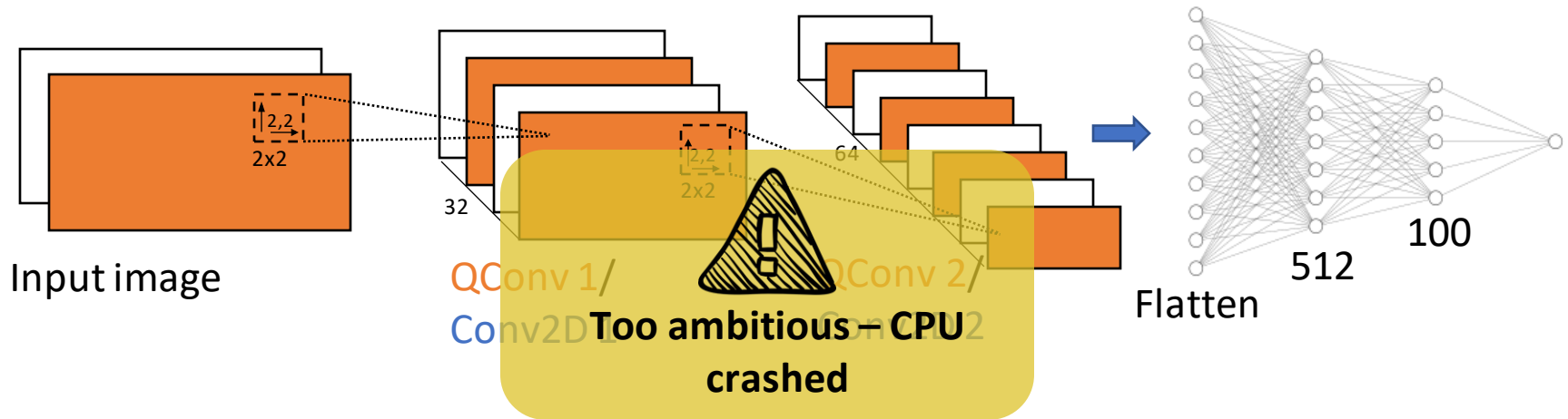
# Classical and Quantum Models



# Classical and Quantum Models



# Classical and Quantum Models



# Performances

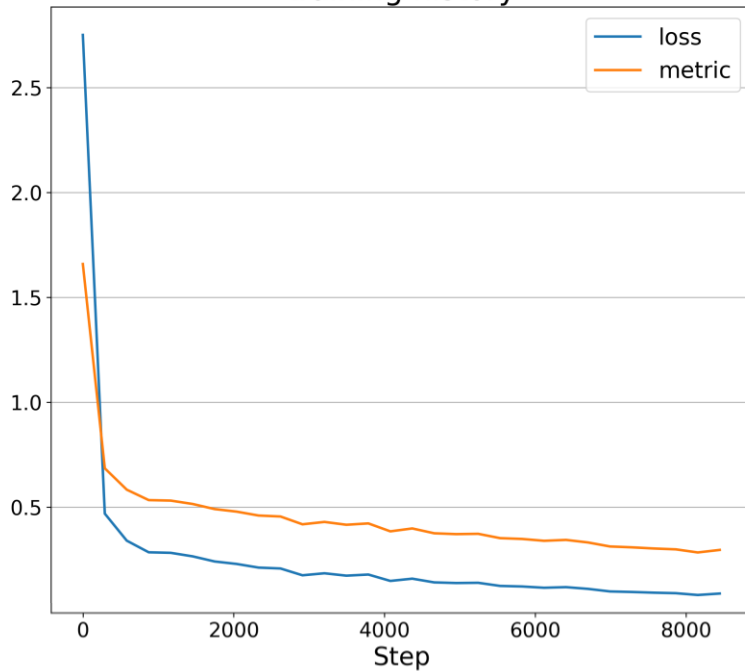
Trained on **50k** images, **6s/epoch** vs **1h30/epoch**



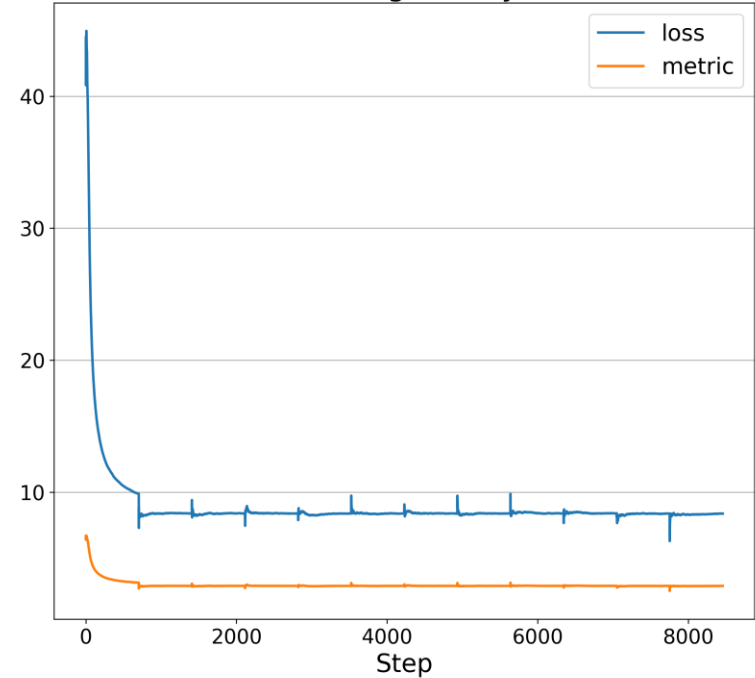
# Performances

Trained on **50k** images, **6s/epoch** vs **1h30/epoch**

Classical model  
training history



Hybrid model  
training history



# Why this QCNN behaviour?

```
graph TD; A[Why this QCNN behaviour?] --- B[Ansatz stochasticity]; A --- C[ ]; C --- D[Complex images]; C --- E[Regression landscape];
```

Ansatz  
stochasticity

Complex  
images

Regression  
landscape

Conlcusions

# Project achievements

---

**ResNet**    Is a fine working model

---

Results are comparable with the ones in the literature

---

Prediction bias is slightly higher

---

# Project achievements

---

**CNN /**

The **QConv** Layer has been implemented and behave as expected

---

**QCNN**

On the **MNIST** dataset both **CNN** and **QCNN** have consistent results

---

On the **JUNO** dataset the **CNN** performs as expected

---

On the **JUNO** dataset the **QCNN** fails to learn data and falls into a local minimum

---

# Further improvements

---

CNN /

Implement a QCNN that runs on *GPUs*

---

QCNN

Test *different ansatz* for the circuit

---

Find smarter ways to lower the *image resolution* and *complexity*

---

Use *larger datasets*

---

Backup Slides

# Adaptive Learning Rate

```
1 class MyLRSchedule(tf.keras.optimizers.schedules.LearningRateSchedule):
2
3     def __init__(self, initial_learning_rate, epochs, steps_per_epoch):
4         self.initial_learning_rate = initial_learning_rate
5         self.epochs = epochs
6         self.steps_per_epoch = steps_per_epoch
7         self.m = initial_learning_rate / steps_per_epoch
8         self.decay_rate = tf.constant((10**-8 / initial_learning_rate)**(((epochs - 1)*steps_per_epoch)**-1), dtype=tf.float32)
9         print('decay_rate:', self.decay_rate)
10
11     def __call__(self, step):
12         result = tf.cond(tf.less(step, self.steps_per_epoch),
13                          lambda: self.m * (step+1),
14                          lambda: self.initial_learning_rate * self.decay_rate**tf.cast(step+1-self.steps_per_epoch, dtype=tf.float32))
15
16         return result
```

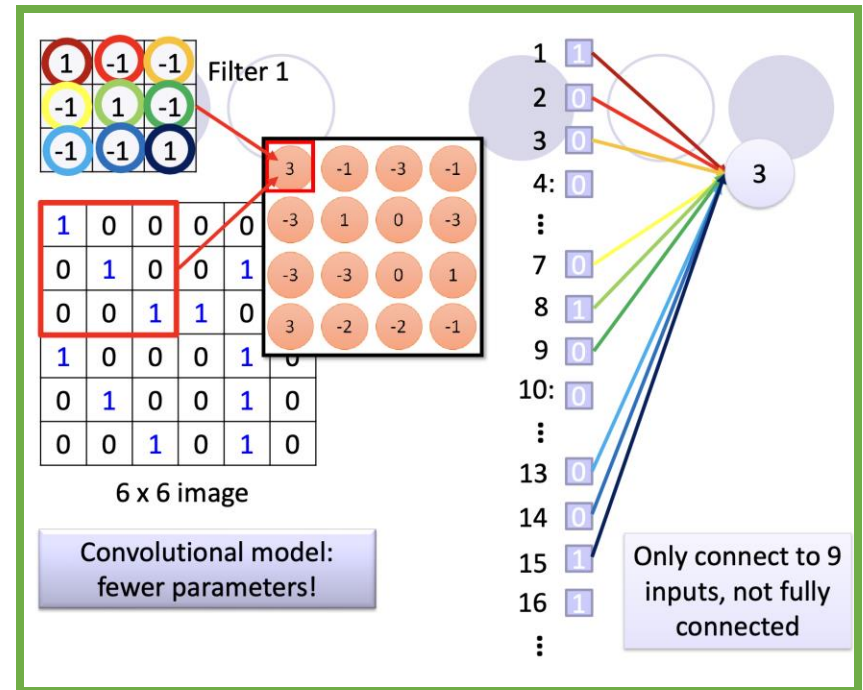


# Introduction

From the classical convolution to the quantum circuit

# Key features of CNNs

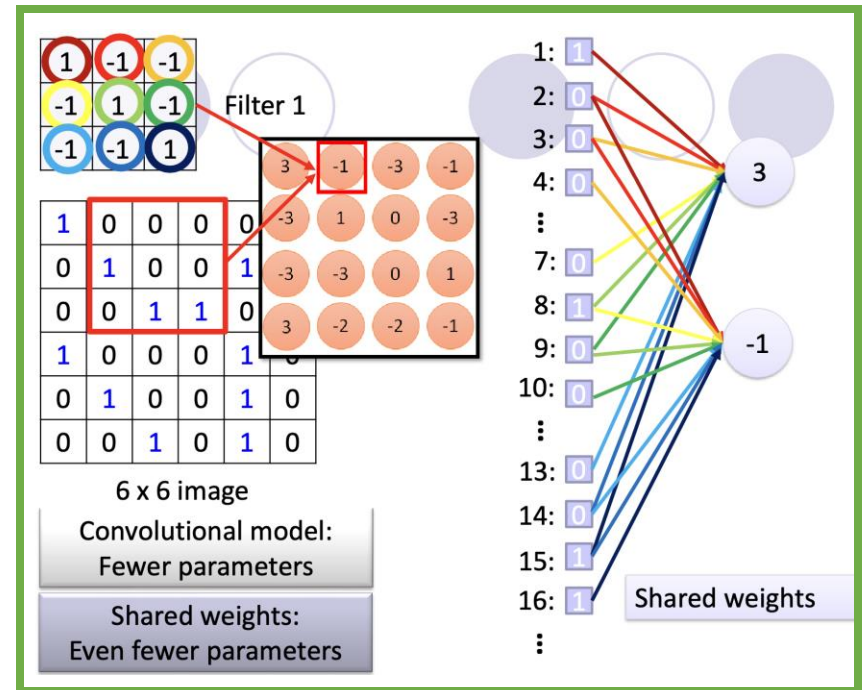
1. Local connectivity →
2. Shared weights
3. Multiple feature maps
4. Pooling operations



The result of 1. and 2. translates into a *convolution* operation!

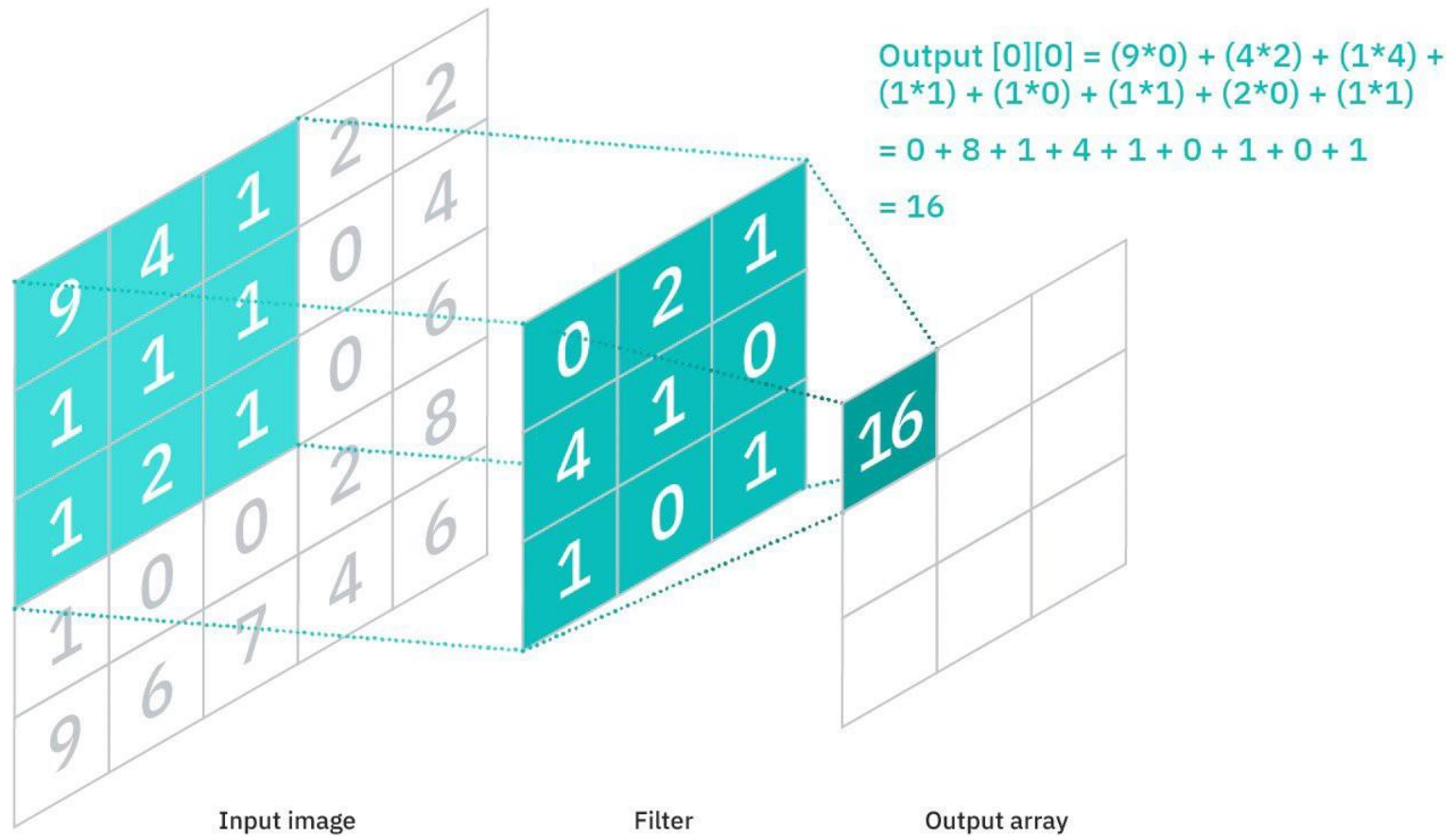
# Key features of CNNs

1. Local connectivity
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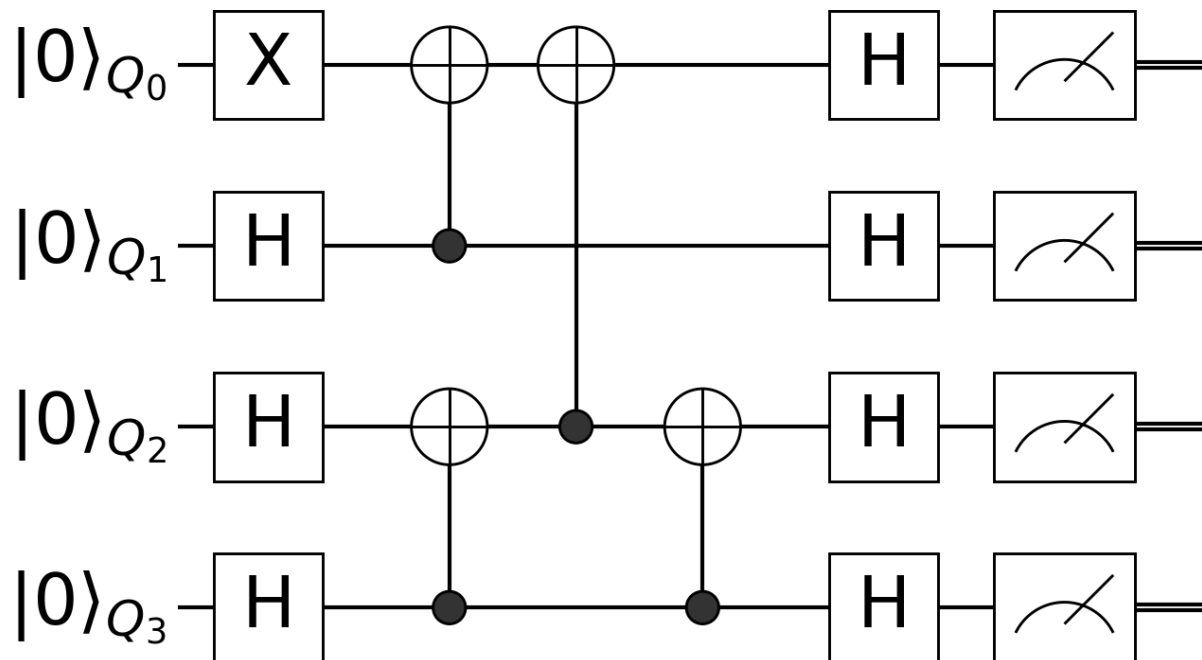


The result of 1. and 2. translates into a *convolution* operation!

From the classical Convolution . . .



. . . to the Quantum Circuit

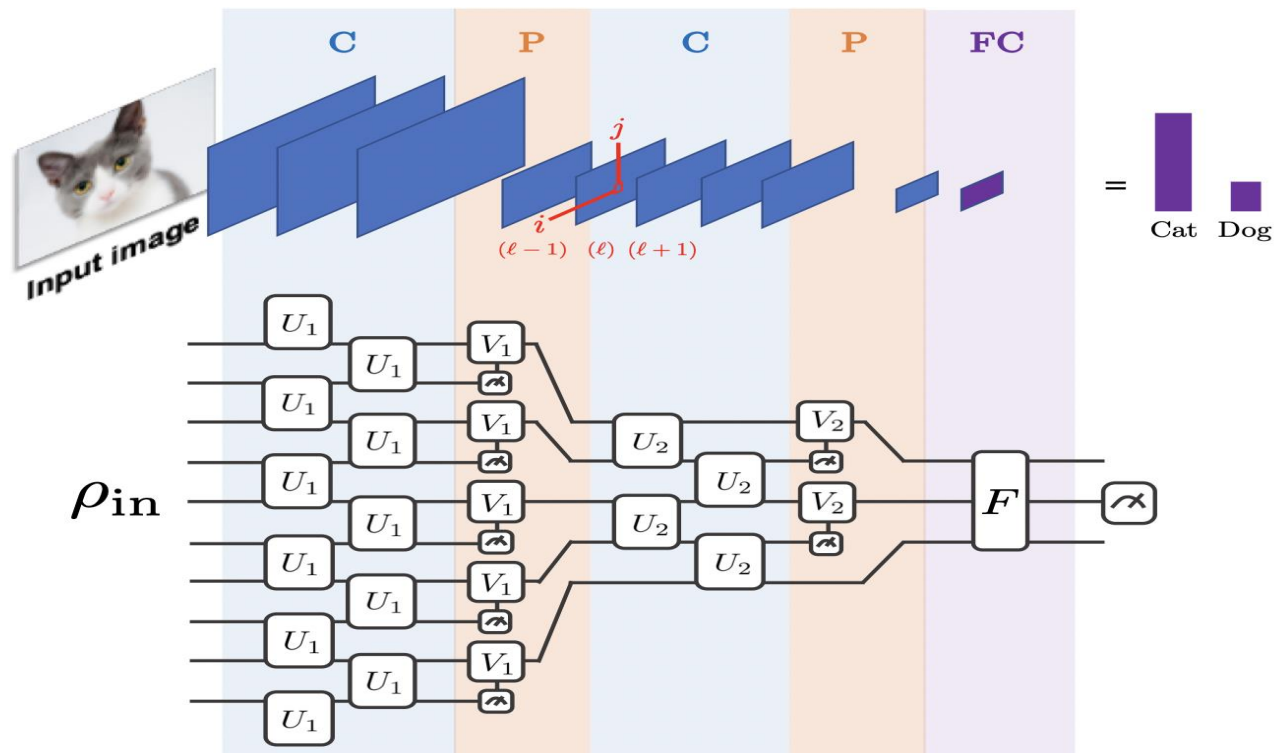


# Quantum Convolutional machine learning models

A brief overview of the literature

# The Quantum Convolutional Neural Network

In the literature the Quantum Convolutional model refers to



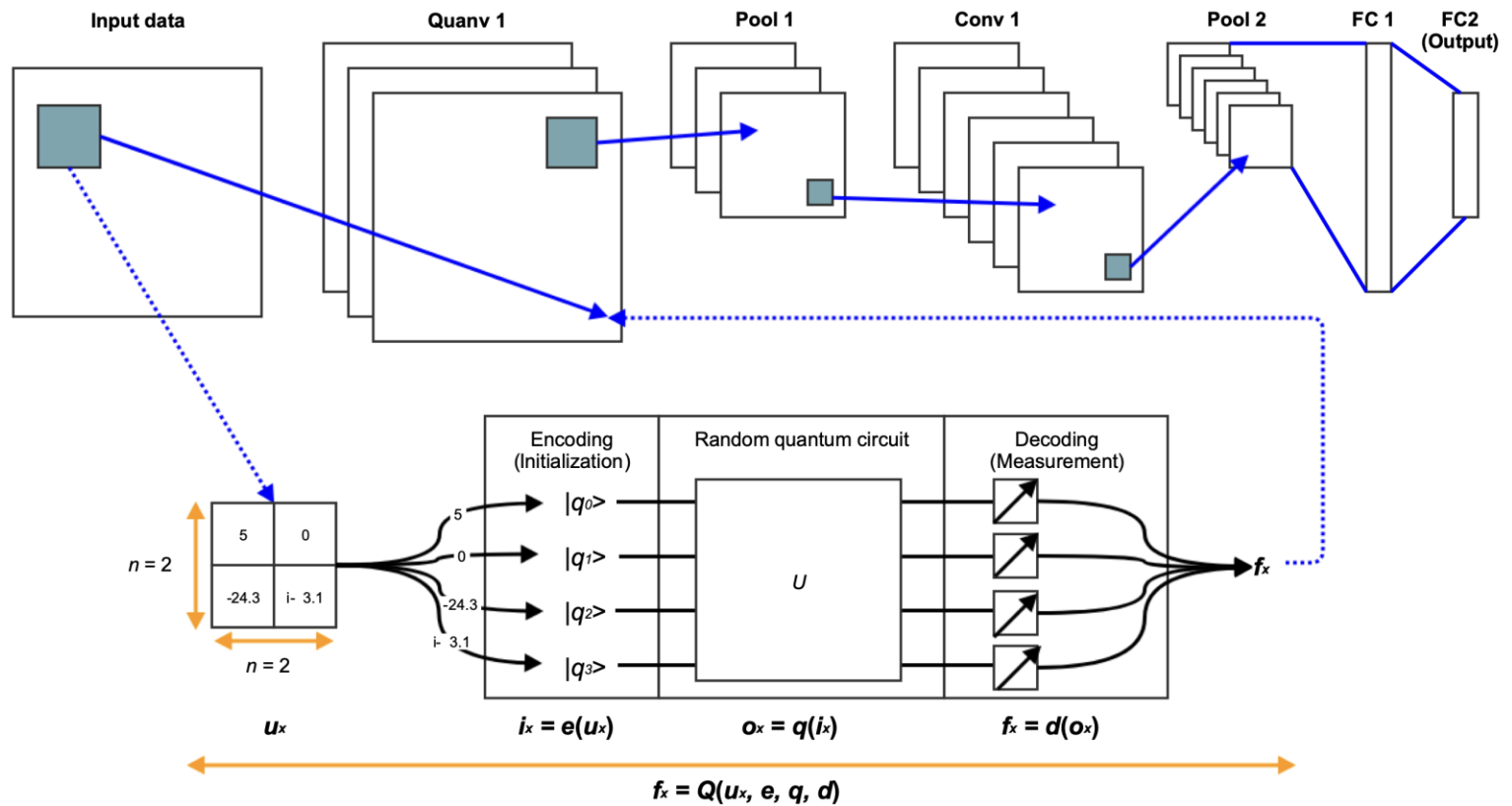
# Why did we discard the Quantum Convolutional model?

The main issues with the Quantum Convolutional model for classical images processing are that

1. Too many qubits are required
2. An insane image reduction is crucial
3. The training process is extremely slow



# Quantvolutional Neural Networks

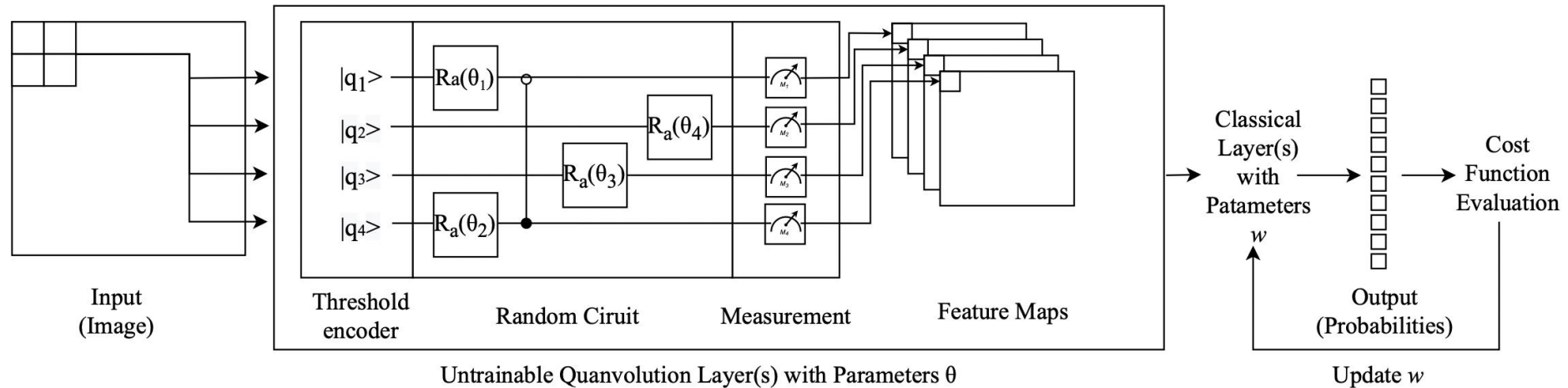


We tested two types of **Quanvolutional** Neural Network

1. The **Un**trainable **Quanvolutional** Neural Network
2. The Trainable **Quanvolutional** Neural Network

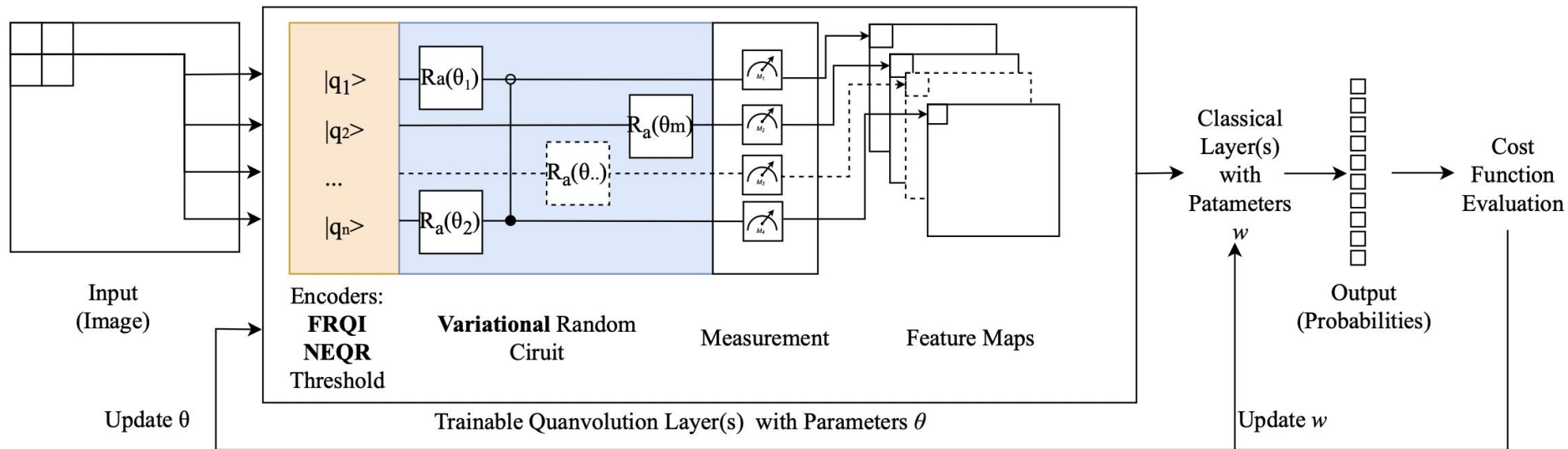
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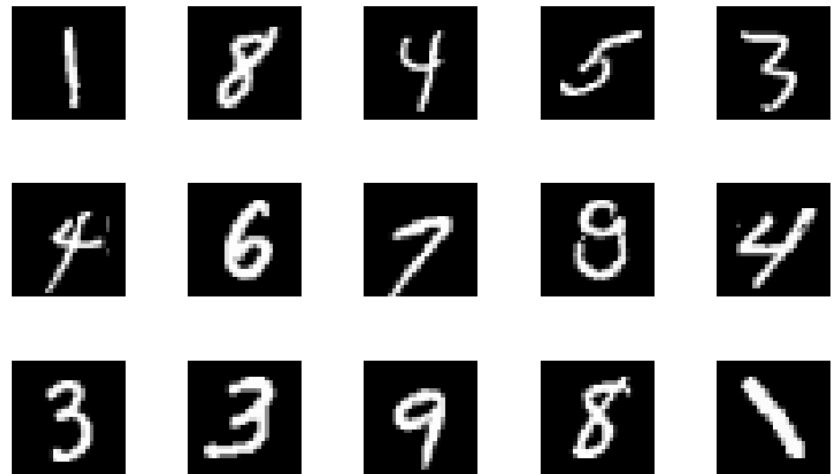
# Quantvolutional model comparison

Using the MNIST handwritten digits dataset

Tests are run on **MNIST** datasets

### Original dataset

- 60k images
- 28 x 28 x 1 shape
- 10 classes

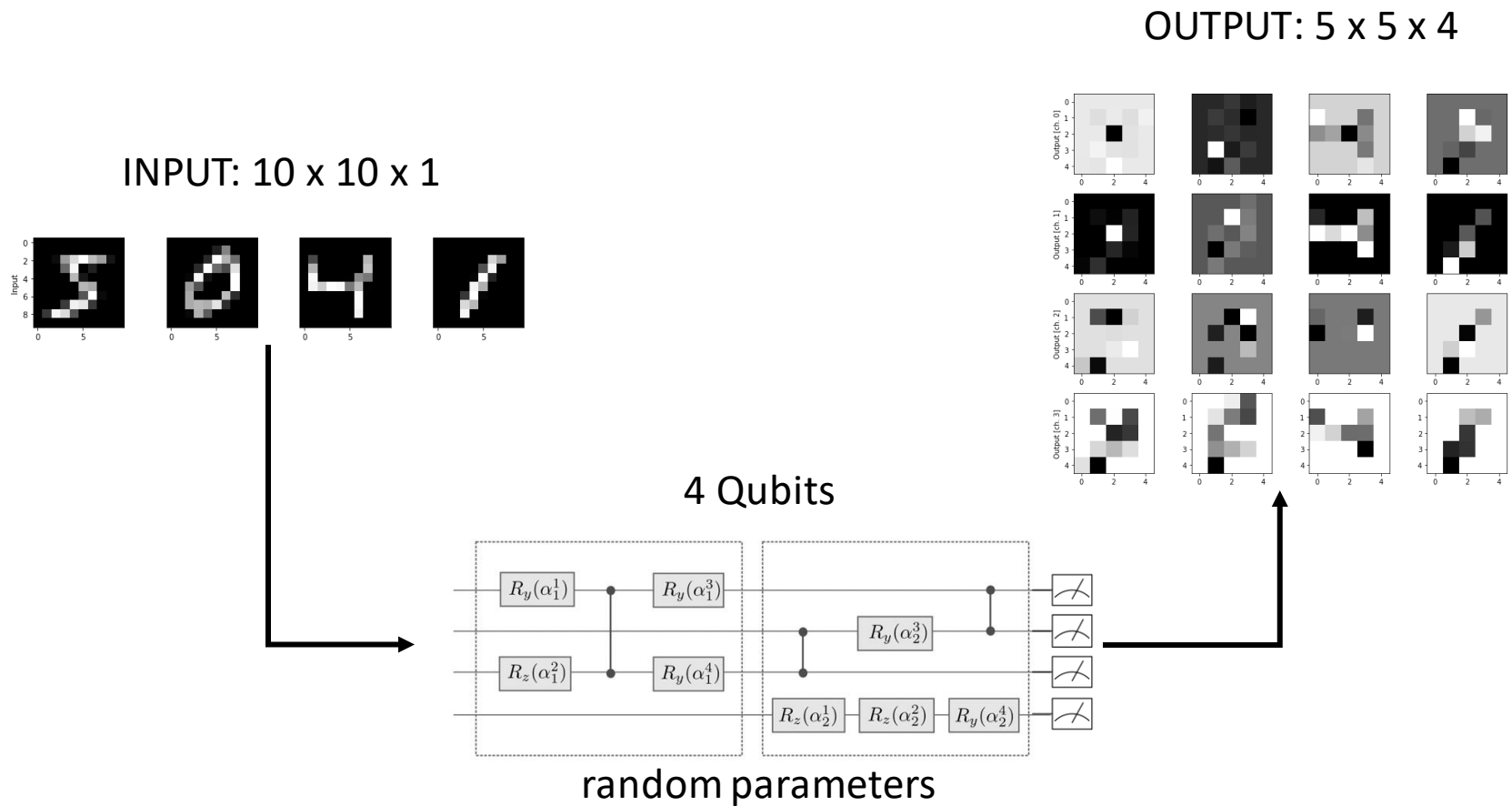


### Pre-processed dataset

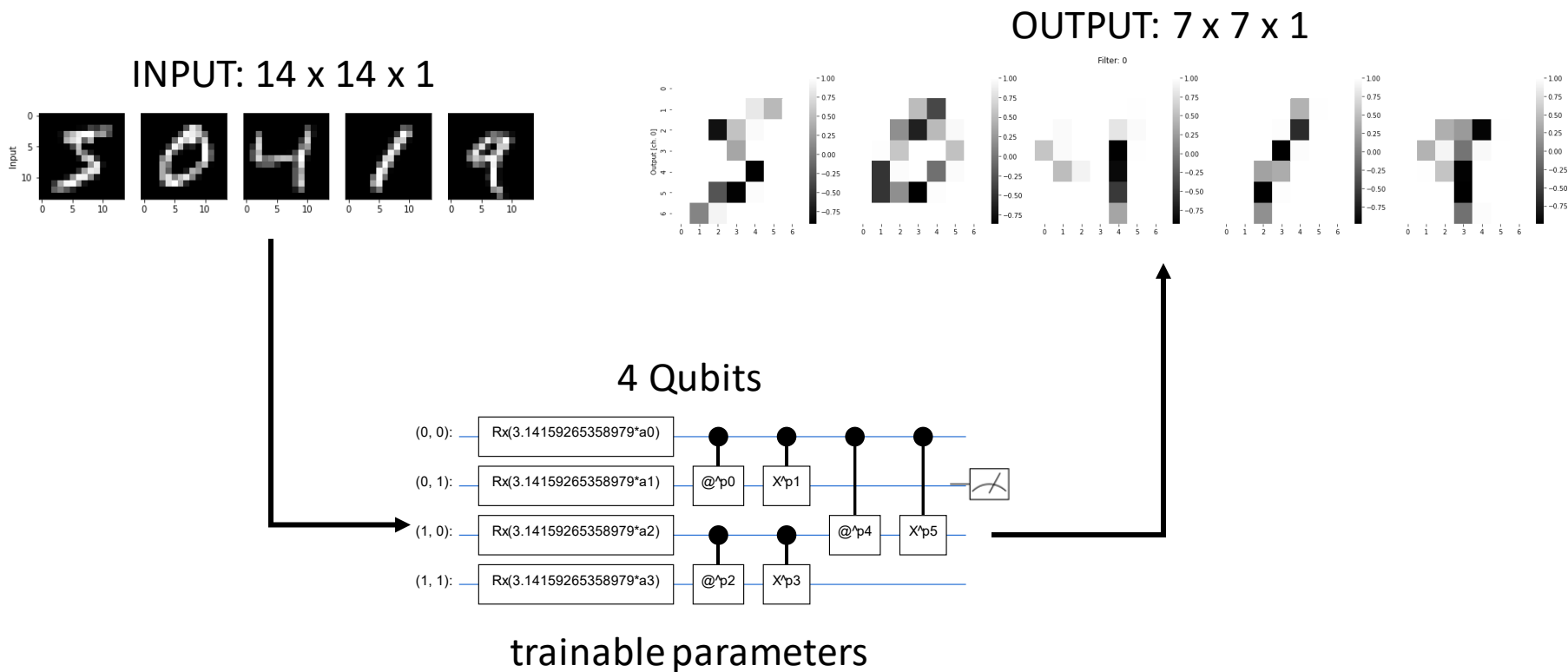
- 5k images
- 10 x 10 x 1 shape
- 10 classes



# The untrainable quantum circuit in action

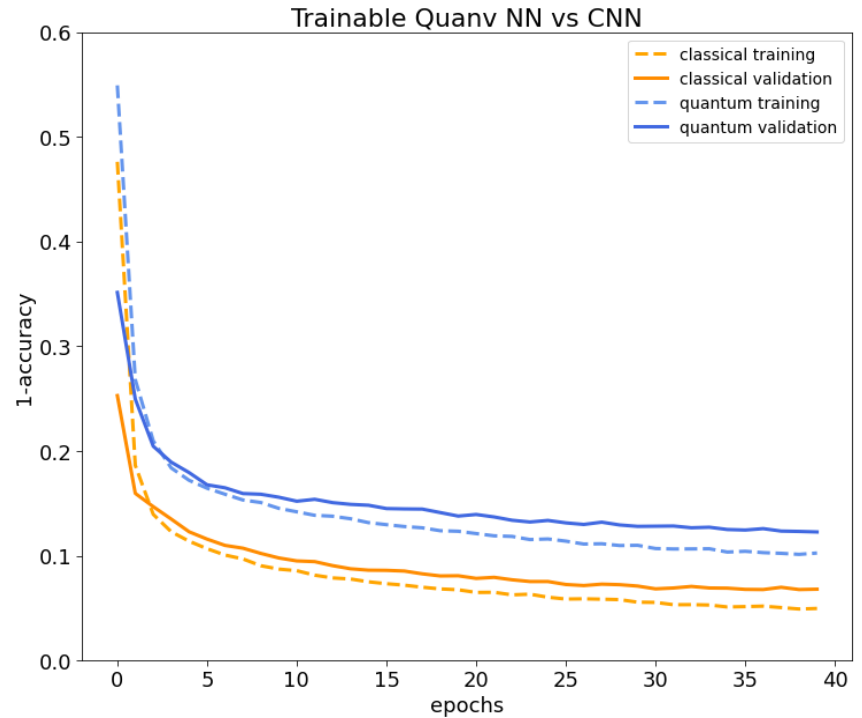
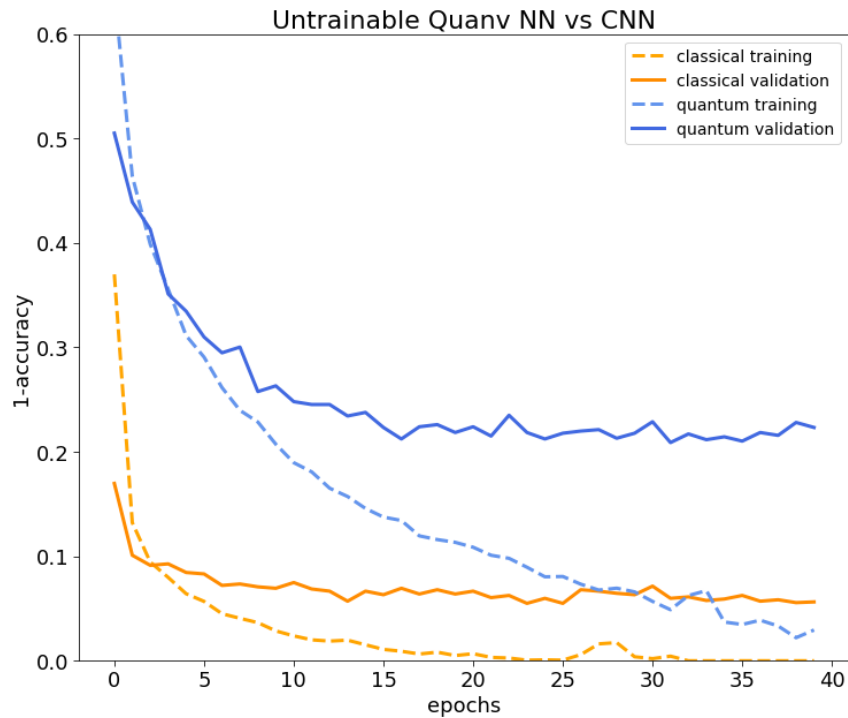


# The trainable **quantum** circuit in action





# Performance comparison: classical and quantum models



# References

# References

## JUNO

1. Y. Malyskin et al., 2021, *Vertex and Energy Reconstruction in JUNO with Machine Learning Methods*, [arXiv:2101.04839](#)
2. JUNO Collaboration, 2015, *Neutrino Physics with JUNO*, [arXiv:1507.05613](#)
3. JUNO Collaboration, 2015, *JUNO Conceptual Design Report*, [arXiv:1508.07166](#)

## CLASSICAL MACHINE LEARNING

4. A. Zhang et al., 2021, *Dive into Deep Learning*, [arXiv:2106.11342](#)
5. D. Lazar, 2020, *Building a ResNet in Keras*, [link to web page](#)

## QUANTUM MACHINE LEARNING

6. I. Cong et al., 2019, *Quantum Convolutional Neural Networks*, [arXiv:1810.03787](#)
7. Y. C. Chen et al., 2022, *Quantum convolutional neural networks for high energy physics data analysis*, [arXiv:2012.12177](#)
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