A Formal Verification of Reversible Primitive Permutations

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1. The definition

1.1 Reversible computing

Reversible computing is a model of computation in which every process can be run backwards. Simply put, in a reversible setting any program takes inputs and gives outputs (like usual), but can also go the other way around: provided the output it can reconstruct the input. In a mathematical sense, every function is expected to be invertible.

Why do we care about such a thing?

Firstly, having a programming language in which every function (or even a subset of functions) is reversible could lead to interesting and practical applications.

But we can also imagine reversible computers, in which the underlying architecture is inherently reversible: Toffoli gates provide a way to do so. The opposite of reversibility is loss of information, which (for thermodynamic reasons) leads to loss of energy and heat dissipation. This means that a non-reversible gate dissipates energy each time information is discarded, while in principle a reversible computer wouldn't.

Lastly, reversible computing is directly related to quantum computing, as each operation in a quantum computer must be reversible.

1.2 Reversible Primitive Permutations

In the article we decided to formalize, the authors focus on providing a functional model of reversible computation. They develop an inductively defined set of functions, called **Reversible Primitive Permutations** or **RPP**, which are expressive enough to represent all Primitive Recursive Functions - that is to say, RPP is PRF-complete (we talk about what this means in section?). Here is the definition that we will use:

Definition 1 (Reversible Primitive Permutations). The class of **Reversible Primitive Permutations** or RPP is the smallest subset of functions $\mathbb{Z}^n \to \mathbb{Z}^n$ satisfying the following conditions:

• The *n*-ary **identity** $\mathsf{Id}_n(x_1,\ldots,x_n)=(x_1,\ldots,x_n)$ belongs to RPP, for all $n\in\mathbb{N}$.

$$\begin{bmatrix} x_1 \\ \vdots \\ \dot{x_n} \end{bmatrix} \mathsf{Id}_n \begin{bmatrix} x_1 \\ \vdots \\ \dot{x_n} \end{bmatrix}$$

The meaning of these diagrams should be fairly obvious: if the values on the left of a function are provided as inputs to that function, we get the values on the right as outputs.

• The **sign-change** Ne(x) = -x belongs to RPP.

$$x$$
 Ne $-x$

• The successor function Su(x) = x + 1 belongs to RPP.

$$x$$
 Su $x+1$

• The **predecessor function** Pr(x) = x - 1 belongs to RPP.

$$x \quad \mathsf{Pr} \quad x-1$$

• The swap Sw(x,y) = (y,x) belongs to RPP.

$$\begin{bmatrix} x \\ y \end{bmatrix}$$
 Sw $\begin{bmatrix} y \\ x \end{bmatrix}$

• If $f: \mathbb{Z}^n \to \mathbb{Z}^n$ and $g: \mathbb{Z}^n \to \mathbb{Z}^n$ belongs to RPP, then the **series** composition $(f;g): \mathbb{Z}^n \to \mathbb{Z}^n$ belongs to RPP and is such that:

$$(f \circ g)(x_1, \dots, x_n) = g(f(x_1, \dots, x_n)) = (g \circ f)(x_1, \dots, x_n).$$

We remark that $f \circ g$ means that f is applied first, and then g, in opposition to the standard functional composition (denoted by \circ).

• If $f: \mathbb{Z}^n \to \mathbb{Z}^n$ and $g: \mathbb{Z}^m \to \mathbb{Z}^m$ belongs to RPP, then the **parallel** composition $(f||g): \mathbb{Z}^{n+m} \to \mathbb{Z}^{n+m}$ belongs to RPP and is such that:

$$(f||g)(x_1,\ldots,x_n,y_1,\ldots,y_m)=(f(x_1,\ldots,x_n),g(y_1,\ldots,y_m)).$$

• If $f: \mathbb{Z}^n \to \mathbb{Z}^n$ belongs to RPP, then then **finite iteration** $\mathsf{lt}[f]: \mathbb{Z}^{n+1} \to \mathbb{Z}^{n+1}$ belongs to RPP and is such that:

$$\mathsf{lt}[f](x, x_1, \dots, x_n) = (x, (\overbrace{f \circ \dots \circ f})(x_1, \dots, x_n))$$

where $\downarrow (\cdot) : \mathbb{Z} \to \mathbb{N}$ is defined as

$$\downarrow x = \begin{cases} x, & \text{if } x \ge 0 \\ 0, & \text{if } x < 0 \end{cases}.$$

This means that the function f is applied $\downarrow x$ times to (x_1, \ldots, x_n) .

• If $f, g, h : \mathbb{Z}^n \to \mathbb{Z}^n$ belong to RPP, then the **selection** $\mathsf{lf}[f, g, h] : \mathbb{Z}^{n+1} \to \mathbb{Z}^{n+1}$ belongs to RPP and is such that:

$$\mathsf{If}[f,g,h](x,x_1,\dots,x_n) = \begin{cases} (x,f(x_1,\dots,x_n)), & \text{if } x > 0\\ (x,g(x_1,\dots,x_n)), & \text{if } x = 0\\ (x,h(x_1,\dots,x_n)), & \text{if } x < 0 \end{cases}$$

We remark that the argument x which determines which among f, g and h must be used cannot be among the arguments of f, g and h, as that would break reversibility.

Remark 1. If we have two functions of different arity, for example $f: \mathbb{Z}^3 \to \mathbb{Z}^3$ and $g: \mathbb{Z}^5 \to \mathbb{Z}^5$, then we will still write $f \ \ g$ to mean the function with arity $\max(3,5) = 5$ given by $(f \| \mathsf{Id}_2) \ g$. In general, the arity of the "smaller" function

can be enlarged by a suitable parallel composition with the identity. The same goes for the arguments of the selection $\mathsf{If}[f,g,h]$.

1.3 Some examples

In order to get accustomed to this definition, let's see some examples.

Increment and decrement Let's try to imagine what addition should look like in RPP. Of course, addition is usually thought of as a function which takes two inputs and yields their sum: something like add(x,y) = x + y. But notice that this operation is not reversible: given only the output (the value x + y) it is impossible to obtain the original values (x,y). As we will see, every function in RPP is reversible, so we will not be able to define addition in this way.

Instead, we can define a function inc in RPP which, given $n \in \mathbb{N}$ and $x \in \mathbb{Z}$, yields

$$\begin{bmatrix} n \\ x \end{bmatrix}$$
 inc $\begin{bmatrix} n \\ x+r \end{bmatrix}$

If n is negative the output is just (n, x). The fact that the above diagram is only valid for $n \in \mathbb{N}$ might bother some of you; we'll explain later why it is so, and how we can also make it work for $n \in \mathbb{Z}$.

For now let's focus on the output: we don't just have x + n but also n, and indeed, given both n and x + n we can reconstruct n (obviously) and x (by (x + n) - n). As a matter of fact, the following function dec also belongs to RPP:

$$\begin{pmatrix} n \\ x \end{pmatrix} \det \begin{pmatrix} n \\ x-r \end{pmatrix}$$

and if we try to compose inc and dec we get this remarkable result:

$$\begin{bmatrix} n \\ x \end{bmatrix}$$
 inc $\begin{bmatrix} n \\ x+n \end{bmatrix}$ dec $\begin{bmatrix} n \\ x \end{bmatrix}$

and similarly for $dec \ \ \ inc.$ So indeed dec is the inverse of inc, and we can write $dec = inc^{-1}$.

But we haven't said how to actually define inc. Well, just like this:

$$inc = It[Su]$$

This means that we apply the successor function Su to the value x, for $\downarrow n$ times. If $n \in \mathbb{N}$ then $\downarrow n = n$, so we effectively add n to the value x. If instead n is negative then $\downarrow n = 0$ and nothing changes.

Can you guess how dec is defined?

In a very similar manner, using the predecessor function:

$$dec = It[Pr]$$

and as we will shortly see, finding the inverse is not something that we have to do by hand.

Multiplication and square We now turn our attention to multiplication. The elementary-school way to define multiplication is by repeated addition, and we can define mul exactly like that:

$$mul = It[inc].$$

As inc had arity 2, mul has arity 2+1=3. If $n,m\in\mathbb{N}$ and $x\in\mathbb{Z}$ then we have

$$\begin{bmatrix} n & m & m \\ m & m \end{bmatrix} \quad \begin{bmatrix} n & m \\ m & x + n \cdot m \end{bmatrix}$$

because we're essentially "incrementing by m" n times; so in this case we preserve both inputs and increase a certain variable x.

What is the inverse mul^{-1} ? Does it perform division? Well, the truth is rather disappointing:

$$\begin{array}{c|c} n & n \\ m & \text{mul}^{-1} & m \\ x & x - n \cdot m \end{array}$$

We will see a way to calculate division in RPP, but this is not it.

We're now ready to define the function square which is used to calculate the square of a number:

square =
$$(Id_1||Sw)$$
 $\frac{1}{9}$ inc $\frac{1}{9}$ mul $\frac{1}{9}$ dec $\frac{1}{9}$ $(Id_1||Sw)$.

That might look like a very complicated expression; thankfully we can make use of diagrams to show what each step does. Given $n \in \mathbb{N}$ and $x \in \mathbb{Z}$ we have

so we add the result $n \cdot n$ to a variable x; we also require an additional value initialized to 0. We will make frequent use of variables initially set to 0 and which come back to 0 after the calculation; these are traditionally called **ancillary arguments** or **ancillaes**, from the latin term used to describe female house slaves in ancient Rome.

You might be wondering what would happen if n < 0 or the ancilla was different from 0. The truth is, we don't really care. We will often specify the behaviour of these functions given some initial values, and we won't need to know what happens for different initial values because we'll never use those functions in other ways.

1.4 Calculating the inverse

Earlier we hinted at the fact that every function in RPP is invertible and the inverse belongs to RPP; furthermore, we don't need to perform the calculation manually, case by case. In other words, there is an effective procedure which produces the inverse $f^{-1} \in \mathsf{RPP}$ given any $f \in \mathsf{RPP}$.

Proposition 1 (The inverse f^{-1} of any f). Let $f: \mathbb{Z}^n \to \mathbb{Z}^n$ belong to RPP. Then the inverse $f^{-1}: \mathbb{Z}^n \to \mathbb{Z}^n$ exists, belongs to RPP and, by definition, is

- $\operatorname{Id}_n^{-1} = \operatorname{Id}_n$
- $Ne^{-1} = Ne$
- $Su^{-1} = Pr$
- $Pr^{-1} = Su$
- $Sw^{-1} = Sw$
- $(f \circ g)^{-1} = g^{-1} \circ f^{-1}$
- $(f||g)^{-1} = f^{-1}||g^{-1}||$
- $lt[f]^{-1} = lt[f^{-1}]$
- $If[f,g,h]^{-1} = If[f^{-1},g^{-1},h^{-1}]$

Then $f \, \S \, f^{-1} = \operatorname{Id}_n \, and \, f^{-1} \, \S \, f = \operatorname{Id}_n$.

Proof. By induction on the definition of f.

Well, that was rather succint.

We invite the reader to check that every listed inverse does indeed make sense; for example, the function $\mathsf{lt}[f](x,y_1,\ldots,y_n)$ applies $\downarrow x$ times the function f to the argument (y_1,\ldots,y_n) . If we want to "undo" this effect we just need to apply $\downarrow x$ times f^{-1} to the same argument, so $\mathsf{lt}[f]^{-1} = \mathsf{lt}[f^{-1}]$.

Of course, that reasoning only works if in turn f is also invertible and $f^{-1} \in \mathsf{RPP}$. This is the reason that the proof is by induction: given an arbitrary RPP , if we unfold one step of the definition we get one of the cases listed. We apply the appropriate step and then by inductive hypothesis we can assume that in turn its sub-terms are invertible.

Notice that in this way, if we try to calculate inc^{-1} we really do get dec , as we had hoped for.

Since RPP is inductively defined, any proposition involving RPP functions can be proven using induction. Not only that, but any function which has for an argument a generic RPP can be defined recursively, and indeed we can also see $(\cdot)^{-1}$: RPP \to RPP as a recursive function. Now that we delve into the Lean theorem prover we will see that induction and recursion can be seen as really the same thing, and that's just one of many similarities between functions and proofs.

1.5 First steps with Lean

In this section we take a look at some of Lean's basic features. You don't have to understand every detail - just enough to have a vague sense of what it's like to define stuff in Lean.

In Lean we primarily do three things:

- 1. define data structures
- 2. define functions
- 3. prove theorems about data structures and functions

What sets Lean apart from your average functional programming language (like Haskell) is the third item on the list. Now we will instead focus on the first and second points.

You don't have to understand every detail of what will follow - a vague understanding of what's going on would be sufficient. The curious reader can run and play with most of the following snippets of code in the online editor https://leanprover-community.github.io/lean-web-editor/.

A simple example of a type Data is defined using the inductive keyword. Here is the typical example of data structure:

```
inductive weekday : Type
| monday : weekday
| tuesday : weekday
| wednesday : weekday
| thursday : weekday
| friday : weekday
| saturday : weekday
| sunday : weekday
```

This defines a *type* called weekday. Days of the week like monday, tuesday, etc. are elements of the type weekday. We can see the type of an element by using the #check command:

```
-- opening the scope weekday (otherwise to refer
-- to an element - for example tuesday - of weekday
-- we have to write weekday.tuesday)
open weekday

#check tuesday -- this outputs "weekday"
and we write this as
tuesday : weekday
```

Everything in Lean has a type (and only one). For example, natural numbers have type \mathbb{N} :

```
#check 3 -- N
```

Even type themselves have a type¹. Lean's type system is very expressive, and makes it possible to work with complex math in Lean.

We can define functions over the type weekday - for example, the function next:

```
-- Special characters like 
ightarrow will abound.
-- In VS Code and the Lean Web Editor,
-- arrows can be inserted by typing \to and hitting
-- the space bar.
\operatorname{\mathtt{def}} next : weekday \to weekday
             := tuesday
monday
| tuesday
            := wednesday
| wednesday := thursday
| thursday := friday
| friday
             := saturday
| saturday := sunday
sunday
             := monday
#reduce next wednesday -- this outputs "thursday"
\# check \ next \ -- \ next \ has \ type \ "weekday \ 	o \ weekday"
```

This function is defined by cases: if we have monday, output tuesday, if we have tuesday, output wednesday, and so on.

(Almost) every expression - like next (next thursday) or 3 * 5 + 2 - have a corresponding reduced form (respectively saturday and 17) which can be displayed using the #reduce command, and is obtained by repeatedly applying functions to their arguments, until the full computation is carried out. In this sense, things like next wednesday and next (next tuesday) (or 2 + 2 and 1 + 3) are definitionally equivalent, because they're reduced to the same expression.

An important remark on notation: in math it is customary to call functions by enclosing arguments in parenthesis and separating them with commas, i.e. f(x, y, z). Languages like Lean follow a different convention: the arguments are simply written after the function name, like f x y z. So in our case, what we would write as next(next(thursday)) is instead written next(next(thursday))

¹Types in Lean have a role similar to sets in math. Standard math axioms (like ZFC) dictates that everything is a set, including sets themselves. This basic notion can lead to some contradictory statements, like the famous Russell's paradox (let's consider the set of all sets that do not contain themselves; does this set contain itself?) and if one is not careful in defining types of types, the same thing could happen with type theory. But in fact, type theory was invented in the beginning of the 20th century by Bertrand Russell precisely to avoid Russell's paradox. The approach used in Lean is to define a cumulative hierarchy of universes Type: Type 1: Type 2..., so that it's impossible to invoke objects like "the type of all types" or a type having itself as an element.

(writing next next thursday would be wrong because it would mean that the first argument to next is the function next itself, not next thursday). This leads to no ambiguity and often helps reducing clutter.

An example of an inductive type Right now you could be wondering why we used the keyword inductive to define weekday, when there's *clearly* no induction going on at all in its construction. First of all, it depends on what you mean by induction; but it is true that that was a particularly simple case. As an example of a more overtly inductive object, we can define the natural numbers like this:

```
inductive Nat : Type
| Zero : Nat
| Succ (n : Nat) : Nat
```

The name Nat and subsequent objects are capitalized in order to avoid conflict with the definition of nat already present in Lean. We can read this definition as "every element of the type Nat is either Zero or Succ n where n : Nat", which is basically the Peano definition of natural numbers. Some examples of elements of this type:

open Nat

```
-- all these outputs "Nat"

#check Zero -- represents 0

#check Succ Zero -- represents 1

#check Succ (Succ Zero) -- represents 2

#check Succ (Succ (Succ Zero)) -- represent 3

#check Zero.Succ.Succ -- also represents 3

-- alternative notation
```

Functions over \mathtt{Nat} have the possibility of being truly recursive: for example, we can recursively define addition \mathtt{Add} m n by induction over n.

```
if n = Zero then Add m Zero = m
if n = Succ n' for some n' : Nat,
then Add m (Succ n') = Succ (Add m n').
```

Note that by definition each element of Nat can be either Zero or Succ n' for some n': Nat, so the two cases considered cover all possibilities. Written in Lean,

```
\begin{array}{lll} \textbf{def} & \textbf{Add} & : \; \textbf{Nat} \; \rightarrow \; \textbf{Nat} \; \rightarrow \; \textbf{Nat} \\ \mid \; \textbf{m} \; \; \textbf{Zero} & := \; \textbf{m} \\ \mid \; \textbf{m} \; \; (\textbf{Succ} \; \textbf{n'}) \; := \; \textbf{Succ} \; \; (\textbf{Add} \; \textbf{m} \; \textbf{n'}) \end{array}
```

It may have struck you that the type of Add is not Nat \times Nat \to Nat but instead Nat \to Nat \to Nat. This is known as currying, and it's not as strange as it might look like at first. Consider this: we can think of Add as a function which

takes a pair $(m,n): Nat \times Nat$ and outputs Add m n: Nat, as is standard in mathematics. But we can also think of it as a function which takes just m: Nat and outputs the function Add $m: Nat \to Nat$, which in turn given n: Nat outputs Add m n: Nat. We can think of Add m as a partially applied function, which becomes fully applied when it is given another argument n. From this point of view, Add is a function of type $Nat \to (Nat \to Nat)$ which is the same as $Nat \to Nat \to Nat$ because in Lean the arrow \to is right associative.

In a certain sense, currying makes functions conceptually simpler; all functions are single variable, it's just that some return other functions.

Integers and lists Functions belonging to RPP have \mathbb{Z}^n as their domain and codomain, so we need a way to represent and work with integer tuples.

The good news is that integers are already defined in Lean. Here is their definition:

```
inductive int : Type
| of_nat (n : \mathbb{N}) : int
| neg_succ_of_nat (n : \mathbb{N}) : int
```

The value of_nat n represents the natural number $n: \mathbb{N}$ as an integer, while neg_succ_of_nat n represents the negative number -(n+1). Of course it's not the definition we've just seen that gives this meaning to the ints; rather, it's the functions defined on them (like addition, subtraction etc.).

Immediately after the definition, some notation is introduced:

• Z stands for int

-[1+n]

- in a context in which an integer is expected, if instead a natural number is supplied, the function of_nat will be automatically applied on the natural number. This convenient feature is called coercion.
- for every n: N, -[1+ n] stands for neg_succ_of_nat n. This notation is almost never used.

```
notation 'Z' := int instance : has_coe nat int := \langle int.of_nat \rangle notation '-[1+ ' n ']' := int.neg_succ_of_nat n As an example of a function from \mathbb{Z} to \mathbb{Z}, this is negation: def neg : \mathbb{Z} \to \mathbb{Z} | (of_nat n) := neg_of_nat n
```

:= succ n

We're interested not just in integers, but in tuples of integers. We can implement the concept of a tuple in many ways, but a particularly simple one is through the use of lists, a very common data structure in computer science.

Let's consider lists of natural numbers, i.e. the type list \mathbb{N} . This is a list of 5 elements:

```
open list
#reduce [4, 5, 7, 2, 5] -- [4, 5, 7, 2, 5]

The first element of a list is the head
#reduce head [4, 5, 7, 2, 5] -- 4
while the other elements are the tail.
#reduce tail [4, 5, 7, 2, 5] -- [5, 7, 2, 5]

Given n: N and 1: list N we can obtain a new list cons n 1 (also written as n:: 1) such that head (n:: 1) = n and head (n:: 1) = 1

#reduce cons 2 [4, 5, 7, 2, 5] -- [2, 4, 5, 7, 2, 5]
#reduce 2:: [4, 5, 7, 2, 5] -- alternative notation
and ultimately, every list can be obtained by starting with nil, the empty list, and repeatedly using cons.

#reduce nil -- the empty list
#reduce [] -- alternative notation
#reduce cons 4 (cons 5 (cons 7 (cons 2 (cons 5 nil))))
```

This might suggest a definition of lists of naturals: a list_nat is either the empty list nil_nat, or cons_nat hd tl where hd : \mathbb{N} and tl : list_nat are respectively the head and tail of the list:

```
inductive list_nat : Type
| nil_nat : list_nat
| cons_nat (hd : N) (tl : list_nat) : list_nat
```

There's nothing special about using natural numbers. We can use the same procedure to define lists of integers:

```
inductive list_int : Type
| nil_int : list_int
| cons_int (hd : Z) (tl : list_int) : list_int
```

but having to define different types of lists for each type of element is pretty cumbersome. Instead, we can define lists for a generic type T using dependent types:²

```
inductive list (T : Type) : Type
| nil : list
| cons (hd : T) (tl : list) : list
```

 $^{^2}$ a Lean user would probably frown at this, because it would be best to choose an explicit universe u and work with Type u.

Rather than having list_nat, list_int... we use list \mathbb{N} , list \mathbb{Z} ... list α where α is any type.

We can see how useful dependent types are by defining the function length which returns the number of elements of a list:

Note that we can use $\{\alpha : \text{Type*}\}\$ to refer to a generic type α . If instead we had stuck to list_nat, list_int... now we would have to define length_nat, length_int... separately for each type.

We will identity tuples of n elements \mathbb{Z}^n with lists in list \mathbb{Z} of length n.

1.6 The definition in Lean

Syntax and semantics Let's now ask ourselves: how can we define in a satisfactory way the class of functions RPP in Lean, using just types and functions? We'd like to be able to do proofs by induction over RPP, like in proposition 1, so we'll need to define an inductive type.

The key is thinking about RPP not as a class of functions, but as a small programming language. In this sense, we can write down "programs" like our square function

```
(Id_1||Sw) ; inc ; mul ; dec ; (Id_1||Sw)
```

but we should not view it only as a function belonging to $\mathbb{Z}^3 \to \mathbb{Z}^3$, but also as the sentence " $(Id_1||Sw)$; inc; mul; dec; $(Id_1||Sw)$ " which can then be interpreted as the mathematical function belonging to $\mathbb{Z}^3 \to \mathbb{Z}^3$.

We thus separate between the *syntax* and the *semantics* of our language.

- The syntax are the rules which governs how to assemble well-structured sentences. For example, the selection symbol If should be followed by three other RPP functions; if we write If[Su, Pr]; Ne we get a non-valid sentence.
- The semantics is the meaning we give to (well-structured) sentences in our case, they are interpreted as functions $\mathbb{Z}^n \to \mathbb{Z}^n$.

A possible way to define RPP functions in Lean is

- define the type RPP which has for elements syntactically-correct sentences of RPP
- define a function evaluate : RPP $\to (\mathbb{Z}^n \to \mathbb{Z}^n)$ which assigns to each RPP-sentence its intended meaning, namely a function $\mathbb{Z}^n \to \mathbb{Z}^n$.

Note that this is not the only way in which this task can be accomplished; we will discuss other methods at the end of this chapter.

We thus define the type RPP as follows:

```
inductive RPP : Type
| Id (n : \mathbb{N}) : RPP
| Ne : RPP
| Su : RPP
| Pr : RPP
| Sw : RPP
| Co (f g : RPP) : RPP
| Pa (f g : RPP) : RPP
| It (f : RPP) : RPP
| If (f g h : RPP) : RPP
and also introduce custom notation:
-- the numbers 50 and 55 denote the precedence -
-- simply put, Ne ;; Su \parallel Pr is interreted as
-- Ne ;; (Su \parallel Pr), not (Ne ;; Su) \parallel Pr
infix ';;' : 50 := Co
infix '|| ' : 55 := Pa
so it's now possible to write expressions like
#check It Su ;; (Id 1 || If Sw Pr Su) -- RPP
```

Remember that by remark 1, it makes sense to consider the series composition of functions of different arity, as long as we give them the meaning specified in the remark.

Talking about arity, how do we deal with it? In order to define evaluate and give meaning to RPP, we must be able to define a concept of arity, otherwise we'll have trouble with parallel composition of two functions $\mathbf{f} \parallel \mathbf{g}$ - the arity of \mathbf{f} must be known, otherwise it's impossible to tell what to apply \mathbf{g} to.

Luckily, we can reconstruct the arity of an $\ensuremath{\mathtt{RPP}}$ just by looking at its symbolic representation:

Note that f.arity is the same as (arity f). This is a recursive function: there are 5 base cases and in the other 4 the value of arity is reconstructed from smaller sub-terms.

It's now possible to define some RPP-sentences in Lean

```
def inc := It Su
```

```
def dec := It Pr
def mul := It inc
def square := Id 1 || Sw ;; inc ;; mul ;; dec ;; Id 1 || Sw
and it's even possible to calculate their arity
#reduce square.arity -- outputs "3"
```

but we haven't yet given their meaning as functions.

The evaluate function We are now ready to define evaluate (ev for short). The function ev should take RPP-sentences and return functions $\mathbb{Z}^n \to \mathbb{Z}^n$, so in Lean we will define it as a function of type

```
\mathtt{RPP} \ \rightarrow \ (\mathtt{list} \ \mathbb{Z} \ \rightarrow \ \mathtt{list} \ \mathbb{Z})
```

which in Lean is the same as

```
\mathtt{RPP} \, \to \, \mathtt{list} \, \, \mathbb{Z} \, \to \, \mathtt{list} \, \, \mathbb{Z}.
```

Here's how we do it:

```
\textcolor{red}{\texttt{def}} \ \texttt{ev} \ : \ \texttt{RPP} \ \rightarrow \ \texttt{list} \ \mathbb{Z} \ \rightarrow \ \texttt{list} \ \mathbb{Z}
| (Id n)
                 1
                                              := 1
| Ne
                 (x :: 1)
                                              := -x :: 1
l Su
                 (x :: 1)
                                             := (x + 1) :: 1
| Pr
                 (x :: 1)
                                             := (x - 1) :: 1
| Sw
                 (x :: y :: 1)
                                             := y :: x :: 1
                                             := ev g (ev f 1)
| (f ;; g)
                 1
| (f || g)
                                             := ev f (take f.arity 1) ++
                                                 ev g (drop f.arity 1)
| (It f)
                 (x :: 1)
                                             := x :: ((ev f)^[\downarrow x] 1)
| (If f g h) (0 :: 1)
                                             := 0 :: ev g 1
| (If f g h) (((n : \mathbb{N}) + 1) :: 1) := (n + 1) :: ev f l
| (If f g h) (-[1+ n] :: 1)
                                           := -[1+ n] :: ev h l
                 1
                                              := 1
```

```
notation '<' f '>' := ev f
```

We will write f to mean the function of type list $\mathbb{Z} \to \text{list } \mathbb{Z}$ given by ev f.

Here's a case-by-case analysis:

- <Id n> 1 is the original list 1, unchanged.
- <Ne> (x :: 1) reduces to -x :: 1, which is same list but with the head of opposite sign.
- $\langle Su \rangle$ (x :: 1) reduces to the same list but with the head incremented by one.

- <Pr> (x :: 1) reduces to the same list but with the head decremented by one.
- $\langle Sw \rangle$ (x :: y :: 1) reduces to the same list but with the first two elements swapped.
- $\langle f ; g \rangle$ 1 successively applies $\langle f \rangle$ and $\langle g \rangle$ to the list.
- <f || g> 1 applies <f> to the first f.arity elements of the list, applies <g> to the remaining elements of the list, and then joins the two parts through append (which is the (++) operator).
- <It f> (x :: 1) leaves the head unchanged and applies <f> to the tail \downarrow x times, where \downarrow x is defined as in definition 1.
- <If f g h> (0 :: 1) leaves the head unchanged and applies $\langle g \rangle$ to the tail.
- <If f g h> (((n : N) + 1) :: 1) is the case where the head is a positive number (a natural number plus 1), and as such leaves the head unchanged and applies <f> to the tail.
- <If f g h> (-[1+ n] :: 1) is the case where the head is a negative number, and as such leaves the head unchanged and applies $\langle h \rangle$ to the tail.
- In all cases not considered (for example, applying <Ne> to an empty list) the whole list remains unchanged.

The reader is invited to compare this definition with the one given in definition 1.

Let's see some examples:

```
#check <It Su ;; (Id 1 \parallel If Sw Pr Su)> -- list \mathbb{Z} \to list \mathbb{Z} -- #eval is similar to #reduce -- but in this case gives more readable output #eval <inc> [3, 4] -- [3, 7] #eval <square> [19, 0, 0] -- [19, 361, 0]
```

It magically works. We finally have our definition formalized in Lean.

It is worth noting that even though lists supplied to <f> are supposed to have length equal to f.arity, this is never enforced. So we are free to apply <f> to a list which is too short or too long. If it's too short, unspecified things will happen, we don't care. If it's too long, only the first f.arity items are utilized and affected, and this is guaranteed by theorem ev_split which we will prove in Lean. So, when we apply RPP functions to a list, we'll have to make sure that f.arity \leq 1.length.

The inverse function It's not hard to convert our proposition 1 into a function definition: inv: RPP \rightarrow RPP which given f: RPP returns its inverse.

```
\mathtt{def} inv : RPP 	o RPP
| (Id n)
              := Id n
l Ne
              := Ne
| Su
              := Pr
| Pr
              := Su
| Sw
              := Sw
| (f ;; g)
              := inv g ;; inv f
              := inv f || inv g
| (f || g)
| (It f)
             := It (inv f)
| (If f g h) := If (inv f) (inv g) (inv h)
notation f^{(-1)} := inv f
```

Now it's possible to define dec simply as inc^{-1} .

We will also prove in Lean that f^{-1} really is the inverse (in the functional sense) of f, but it will require some work.

1.7 Differences with the original definition

The definition of RPP functions we've given differs quite a bit from the original one. Every change has been made in the name of simplicity: theorem proving in Lean is hard enough, we don't need to make it harder by choosing inconvenient definitions. Below is a list of changes, not only for completeness' sake but also to illustrate the kind of reasoning which goes on when formalizing definitions in Lean.

- In the original definition, in the iterator It and selection If the last element of the tuple is checked, not the first one (the head). It was more convenient to work with the first element because of the definition of lists: it's much easier to consider a list's head and tail than its last element and the elements before the last.
- We have defined Id_n as a n-ary function, while originally it was just unary. Having a n-ary identity function is very useful, because we can use parallel composition as in remark 1, and also because we have the possibility of having a 0-ary function, which is not useless in some cases.
- The original RPP functions are defined as the union $\cup_{n\in\mathbb{N}} \mathsf{RPP}^n$ where RPP^n are the n-ary RPP functions. A similar decision could've been made in Lean by definining RPP n as a dependent type with parameter $n:\mathbb{N}$, but it turned out that it was possible to calculate the arity of an RPP simply by looking at the corresponding RPP-sentence, which is what we did when we defined the function arity . This rendered superfluous using dependent types and separating RPP based on their arity.

There's a reason we tried to avoid dependent types wherever possible (which also led to the use of lists instead of vectors): at least in Coq (which is another proof assistants we used at the beginning of the project) working with dependent types is often painful, because Coq doesn't recognize that certain types are the same. For example, elements of RPP (n + 1) and RPP (1 + n) cannot be compared even though it is (demonstrably!) true that n + 1 = 1 + n. To get around this, it's possible to use something called John Major's Equality to state the equality of two objects with seemingly different types, but this involves the invocation of an additional axiom and is in general annoying to use. Other ways to deal with the problem exist, but our choice ended up being avoiding dependent types completely. As someone on the internet says,

Coq has this really powerful type system, but... don't use it.

By extension, we also avoided them in Lean.

• When defining the iterator $\mathsf{lt}[f](x,x_1,\ldots,x_n)$ it's not immediately clear what to do when x<0. In our definition, nothing happens, as f in general is applied $\downarrow x=0$ times. In the original definition, f is instead applied |x| times - let's call this iterator lta .

Reversibility gifts us with a third option: if x < 0, we can apply f a negative amount of times - or in other words, we can apply f^{-1} for -x times. Let's call this iterator ltr. Its usage leads to more natural definitions: for example, our function $\operatorname{inc}(n,x) = (\operatorname{lt}[\operatorname{Su}])(n,x)$ returns (n,x+n) only if $n \ge 0$. If instead we use ltr, suddenly $(\operatorname{ltr}[\operatorname{Su}])(n,x) = (n,x+n)$ for all values of $n \in \mathbb{Z}$.

So why didn't we use ltr? Because our lt is the most versatile option: we can define both lta and ltr in terms of lt, by using the fact that lt doesn't do anything when the first argument is negative:

$$\begin{split} \mathsf{Ita}[f] &= \mathsf{It}[f] \ \mathring{,} \ \mathsf{Ne} \ \mathring{,} \ \mathsf{It}[f] \ \mathring{,} \ \mathsf{Ne} \\ \mathsf{Itr}[f] &= \mathsf{It}[f] \ \mathring{,} \ \mathsf{Ne} \ \mathring{,} \ \mathsf{It}[f^{-1}] \ \mathring{,} \ \mathsf{Ne} \end{split}$$

For example, in the case of $\mathsf{Ita}[f](x, x_1, \cdots, x_n)$, if $x \ge 0$ then the first It applies f for x times, then x changes sign and becomes -x with Ne , then the second It doesn't do anything because -x < 0, and finally -x changes sign again to x; if instead x < 0, only the second It does something.

Another reason to prefer It over Itr is that in the definition of evaluate, using Itr it's hard to convince Lean (or Coq) that the function terminates (that is, it doesn't run on an infinite loop). Since every function in Lean must terminate (otherwise there would be consistency issues), Lean rejects the definition. There are ways to get around this - but once again we follow the path of least resistance and just get on with It.

After seeing all these changes you might ask yourself - is this still the original RPP? What's the point of formalizing a definition in Lean if in the process we change the definition completely?

We think that yes, we can still identify what we've constructed as the original functions, because in a way, the *essence* of what RPP is has not been altered: a class of functions which is reversible by construction and that is PRF-complete. We shouldn't view definitions as something unchanging and rigid, especially in rapidly evolving fields. Definitions should be molded and modified to fit our needs, because that's why we created them in the first place.

2. Theorem proving

2.1 Some examples of Lean proofs

Reflexivity We define the type Nat similarly as before

```
inductive Nat : Type
| O : Nat -- it's a capital o, not a zero
| S (n : Nat) : Nat
open Nat
#reduce 0 -- represents 0
#reduce S 0 -- represents 1
#reduce O.S -- also represents 1
               -- we'll use this notation
#reduce O.S.S -- represents 2
together with addition
\textcolor{red}{\texttt{def}} \ \texttt{Add} \ : \ \texttt{Nat} \ \rightarrow \ \texttt{Nat} \ \rightarrow \ \texttt{Nat}
l m O
            := m
\mid m (S n') := (Add m n').S
-- if n m : Nat then n + m is defined as Add n m
infix '+' := Add
```

Now let's prove some theorems about these objects. Let's start with something simple: we want to prove, beyond the shadow of a doubt, that 0 = 0. We open our code editor and type this:

```
lemma 0_eq_0 : 0 = 0 :=
begin
```

end

If we now place the cursor between begin and end this appears in the sidebar:

```
1 goal
- 0 = 0
```

This is called the **tactic state**. The line beginning with the turnstile $\vdash 0 = 0$ is our **goal**. We can write commands called **tactics** which helps us solve goals. In this case, the goal is an equality in which the left-hand side happens to coincide perfectly with the right-hand side, so we can solve our goal using the **refl** command

```
lemma 0_eq_0 : 0 = 0 :=
begin
  refl,
end
```

and placing the cursor just after the comma, a pleasant message appears:

```
goals accomplished
```

The name refl stands for "reflexivity", which is a property of equality (for any a, it is true that a = a). Let's try something more involved: 2 + 2 = 4.

```
lemma two_plus_two : 0.S.S + 0.S.S = 0.S.S.S.S :=
begin
```

end

This time the left-hand side and the right-hand side do not look identical. However, there's something interesting to note:

```
#reduce 0.S.S.S.S -- outputs "0.S.S.S.S"
#reduce 0.S.S + 0.S.S -- also outputs "0.S.S.S.S."
```

that is, O.S.S + O.S.S reduces to O.S.S.S.S. Since the left-hand side and the right-hand side reduce to the same element, they are **definitionally equivalent** and so we can use the tactic **refl** again:

```
lemma two_plus_two : 0.S.S + 0.S.S = 0.S.S.S.S :=
begin
  refl, -- goals accomplished
end
```

Remember that by definition of Add, for any n m : Nat, we have that n + m.S = (n + m).S. We can express this with another theorem

```
lemma plus_S (n m : Nat) : n + m.S = (n + m).S :=
begin
  refl, -- goals accomplished
end
```

which again can be solved using ref1, because n + m.S reduces to (n + m).S. By the way, we could write theorem instead of lemma: the difference is only stylistic. Similarly, by definition of Add, n + 0 = n for all n : Nat

```
lemma plus_0 (n : Nat) : n + 0 = n :=
begin
  refl, -- goals accomplished
end
```

```
Induction and rewrite If we instead we try to prove in the same way that 0 + n = n,
```

```
def 0_plus (n : Nat) : 0 + n = n :=
begin
  refl,
end
```

something surprising happens:

our trusted refl has, alas, failed. This is because 0 + n is **not** definitionally equivalent to n: the function Add defines two definitional equivalences (m + 0) = m and m + n.S = (m + n).S and there's nothing regarding 0 + n when we have a generic n: Nat. However, two things can still be equal even if they are not definitionally equivalent.

To prove O_plus we need something stronger: the tactic induction. Let's try it:

```
def O_plus (n : Nat) : 0 + n = n :=
begin
  induction n using n hn,
end
```

Now the tactic state has become

```
2 goals

case Nat.0

- 0+0 = 0

case Nat.S

n: Nat

hn: 0+n = n

- 0+n.S = n.S
```

What happened is that we used induction: to prove a property P n (in this case, O + n = n) for all n: Nat, it suffices to prove that P O holds and that from P n follows P n. S. The first subgoal is the base case O+O = O, and can be solved using refl

```
lemma O_plus (n : Nat) : O + n = n := begin
```

```
induction n with n hn,
  refl,
end
Only the second goal remains
  1 goal
  case Nat.S
  n : Nat
  hn : O+n = n
```

 \vdash 0+n.S = n.S

This means that n is an element of Nat and that we have an hypothesis named hn which tells us that 0+n = n. Our goal is to prove that 0+n.S = n.S. A proof of this fact would go somewhat like this:

- 1. by lemma plus_S we have 0+n.S = (0+n).S
- 2. by the induction hypothesis n we have n = n and by substitution we get n = n.

so 0+n.S = (0+n).S = n.S, and this completes the proof.

We can capture this act of substituting a term in an equation with an equivalent one using the tactic rw ("rewrite"): for example, rw plus_S search in the goal for subterms of the form 0+n.S and substitutes them with (0+n).S. More generally, given an equality h: a = b, calling rw h substitutes occurrences of a in the goal with b.

Let's tackle one more theorem: the commutativity of addition

```
lemma plus_comm (n m : Nat) : n + m = m + n :=
begin
```

end

Again, using refl doesn't work, so we use induction. We have a choice: using induction on n or m; note that doing induction on one or the other is not the same, because n and m have asymmetric roles in the definition of Add. In particular,

the second argument gets "broken down" at each step (since n + m.S = (n + m).S) while the first argument doesn't change. Thus, in this case the best choice is induction on m.

end

We can deal with the base case n+0=0+n by using rw with our two lemmas $plus_0: n+0=n$ and $0_plus: 0+n=n$:

```
rw plus_0, rw 0_plus, -- first goal vanquished
```

So now we have hypothesis hm: n+m = m+n and goal n+m.S = m.S+n. We can use rw plus_S to change the goal to (n+m).S = m.S+n, and if we could further rewrite it to (n+m).S = (m+n).S then we would use the hypothesis to solve the goal. Problem is, we don't have a theorem which states that m.S+n = (m+n).S - but we leave it as an exercise for the reader. Having called it S_plus we can thus conclude our proof

```
lemma plus_comm (n m : Nat) : n + m = m + n :=
begin
  induction m with m hm,
  rw plus_0, rw 0_plus,
  rw plus_S, rw S_plus, rw hm, -- goal accomplished
end
```

So, we've now learned some basics about theorem proving in Lean, but we don't know anything yet about what proofs *are* and how they fit in the general scheme of things. There is a lot to be learned.

2.2 Curry-Howard correspondence

The following section is not necessary to understand the rest of the thesis, so the busy reader can skip it.

In Lean, things like propositions and proofs are not completely separated from data objects like types and elements of types. We previously stated that in Lean, everything has a type, and we can see what type a certain object has by using the #check command.

So, let's feed random stuff to #check.

```
#check O -- Nat
#check Nat -- Type
#check Type -- Type 1
#check Type 1 -- Type 2
                -- it's an infinite family of types
                -- for each u, Type u is an element of Type (u+1)
\# check \ Add \ -- \ \mathit{Nat} \ 	o \ \mathit{Nat} \ 	o \ \mathit{Nat}
\# check  Nat \rightarrow Nat \rightarrow Nat -- Type
-- some theorem names...
#check two_plus_two -- 0.S.S+0.S.S = 0.S.S.S.S
#check O_plus -- \forall (n : Nat), 0+n = n
#check plus_comm -- \forall (n m : Nat), n+m = m+n
-- .. and their statements
#check O.S.S+O.S.S = O.S.S.S.S -- Prop
#check \forall (n : Nat), 0+n = n -- Prop
#check \forall (n m : Nat), n+m = m+n -- Prop
#check Prop -- Type
```

So, there is a type called Prop and its elements are propositions. Any proposition, like \forall (n : Nat), 0+n = n, is in turn a type - but what exactly are its elements?

The elements of a proposition are proofs of that proposition. This means that what we have called two_plus_two is a proof of the fact that 0.S.S + 0.S.S.S.S.S. What we mean by proving a proposition, is finding an element whose type is that proposition. For example, here is the definition of the proposition true:

```
inductive true : Prop -- this is a Prop, not a Type
| intro : true
```

How do we know that true is true? Because it has an element, true.intro:

end

The proposition false is defined like this:

```
inductive false : Prop
```

It's a type with no elements, so false can't be proven.

Suppose that A is a proposition in classical logic. Then it is true that $A \Rightarrow A$ (we can derive it using natural deduction, for example). In Lean this fact can be expressed as the proposition $A \rightarrow A$, and it's something provable:

```
lemma A_implies_A (A : Prop) : A \rightarrow A := begin
-- 1 goal
-- A: Prop
-- \vdash A \rightarrow A end
```

At the left of the implication arrow we have A: we can thus turn A into an hypothesis using the intro tactic

```
lemma A_implies_A (A : Prop) : A → A :=
begin
  intro h, -- creates a hypothesis h : A
  -- 1 goal
  -- A : Prop
  -- h : A
  -- ⊢ A
  exact h, -- goal accomplished
end
```

Let's forget for a moment that A is a proposition, let's think of it as just a type. Then something funny happens: we see that $A \to A$ is just the type of functions from A to A. If we can exhibit an element of this type, then we have proven that $A \to A$. But this is easy enough: we can use the identity function

```
\begin{array}{lll} \textbf{def A\_implies\_A'} & (\texttt{A} : \texttt{Prop}) : \texttt{A} \to \texttt{A} \\ | & \texttt{h} := \texttt{h} \end{array}
```

and this proves the proposition, but also defines a function. It can be interpreted like this: if we have a proof h: A and we have to prove A then we can just exhibit h; that's it.

Amazingly, it turns out all proofs are really just functions: we can see this using the **#print** command, which given a function prints out its definition.

```
#print Add 
-- def Add : Nat \to Nat \to Nat := 
-- \lambda (n m : Nat), Nat.rec n (\lambda (m' n_m' : Nat), n_m'.S) m 
#print A_implies_A : \forall (A : Prop), A \to A := 
-- \lambda (A : Prop) (h : A), h 
#print O_plus 
-- theorem O_plus : \forall (n : Nat), O+n = n := 
-- \lambda (n : Nat), 
-- Nat.rec (eq.refl (O+O)) 
-- (\lambda (n : Nat) (hn : O+n = n),
```

```
-- (id (eq.rec (eq.refl (0+n.S = n.S))

-- (plus_S 0 n))).mpr

-- ((id (eq.rec (eq.refl ((0+n).S = n.S)) hn)).mpr

-- (eq.refl n.S)))
```

When we proved O_plus we didn't explicitly write a function, we used tactics, but it's important to notice that the sequence of tactics *isn't* the proof - instead, tactics generate proofs (functions). To further illustrate this point, notice that we can also define the function Add using tactics:

And notice! Add is a recursive function, so to define it we had to use induction. Going back to #print Add and #print O_plus, we can see that both call a function called Nat.rec. Let's investigate:

```
#check Nat.rec -- Nat.rec : ?M_1 0 \rightarrow -- (\Pi (n : Nat), ?M_1 n \rightarrow ?M_1 n.S) \rightarrow -- \Pi (n : Nat), ?M_1 n
```

#reduce Add_tactic O.S.S O.S.S.S.S -- 0.S.S.S.S.S.S

If we interpret ?M_1 as a proposition $P: Nat \to Prop$ which ranges over a Nat, then Nat.rec reads off as "if P 0 holds true and for all n: Nat, P n implies P n.S, then P n holds for all n: Nat" which is the principle of induction. If instead we interpret ?M_1 as a function $f: Nat \to T$ with domain Nat and codomain a certain type T, then Nat.rec reads off as "if we define f 0 and for all n: Nat, given f n we define f n.S, then we have defined a function Nat $\to T$ " which is how we define recursive functions.

Nat.rec is called the **induction principle** of Nat, and is auto-generated as soon as Nat is defined. Every type generates an induction principle - even simple types like our earlier weekday - hence every type is defined using the **inductive** keyword. The same induction principle is used both for recursive functions and inductive proofs.

The remarkable thing about Lean is that the concepts of types and propositions, functions and proofs are united in a single mechanism, which results in a particularly simple foundation for mathematics and computing. This concept is called the **Curry-Howard correspondence** and it's not something unique to Lean - it's a common characteristic of many theorem prover, especially those based on (intuitionistic) type theory.

The simp tactic

- 2.3 Basic theorems about RPP
- 2.4 A library of functions
- 2.5 PRF-completeness
- 2.6 Alternative ways to define RPP in Lean

3. Conclusions

3.1 Future work