

# Certifying expressive power and algorithms of Reversible Primitive Permutations with Lean

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## Abstract

Reversible primitive permutations (RPP) is a class of recursive functions that models reversible computation. We present a proof, which has been verified using the proof-assistant `Lean`, that demonstrates RPP can encode every primitive recursive function (PRF-completeness) and that each RPP can be encoded as a primitive recursive function (PRF-soundness). Our proof of PRF-completeness is simpler and fixes some errors in the original proof, while also introducing a new, more primitive reversible iteration scheme for RPP. By keeping the formalization and semi-automatic proofs simple, we are able to identify a single programming pattern that can generate a set of reversible algorithms within RPP: Cantor pairing, integer division quotient/remainder, and truncated square root. The proof of PRF-soundness is a novel contribution. Finally, `Lean` source code is available for experiments on reversible computation whose properties can be certified.

*Keywords:* Reversible computation, Primitive recursion, Lean

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## 1. Introduction

Studies focused on questions posed by Maxwell, regarding the solidity of the principles which Thermodynamics is based on, recognized the fundamental role that Reversible Computation can play to that purpose.

Reversible Computation is a significant area in Computer Science that encompasses various aspects such as reversible hardware design, unconventional computational models (such as quantum or bio-inspired ones), parallel computation and synchronization issues, debugging techniques, and transaction roll-back in database management systems. The book [1] is a comprehensive introduction to the subject; the book [2], focused on the low-level aspects of Reversible Computation, concerning the realization of reversible hardware, and [3], focused on how models of Reversible Computation like Reversible Turing Machines (RTM), and Reversible Cellular Automata (RCA) can be considered universal and how to prove that they enjoy such a property, are complementary to, and integrate [1].

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21 This work focuses on the *functional model* RPP [4] of Reversible Computa-  
 22 tion. RPP stands for (the class of) Reversible Primitive Permutations, which  
 23 can be seen as a possible reversible counterpart of PRF, the class of Primitive  
 24 Recursive functions [5]. We recall that RPP, in analogy with PRF, is defined as  
 25 the smallest class built on some given basic reversible functions, closed under  
 26 suitable composition schemes. The very functional nature of the elements in  
 27 RPP is at the base of reasonably accessible proofs of the following properties:

- 28 • RPP is PRF-complete [4]: for every function  $F \in \text{PRF}$  with arity  $n \in \mathbb{N}$ ,  
 29 both  $m \in \mathbb{N}$  and  $\mathbf{f}$  in RPP exist such that  $\mathbf{f}$  encodes  $F$ , i.e.  $\mathbf{f}(z, \bar{x}, \bar{y}) = (z +$   
 30  $F(\bar{x}), \bar{x}, \bar{y})$ , for every  $\bar{x} \in \mathbb{N}^n$ , whenever all the  $m$  variables in  $\bar{y}$  are set to  
 31 the value 0. Both  $z$  and the tuple  $\bar{y}$  are *ancillae*. They can be thought of  
 32 as temporary storage for intermediate computations of the encoding.
- 33 • RPP can be extended to become Turing-complete [6] by means of a min-  
 34 imization scheme analogous to the one that extends PRF to the Turing-  
 35 complete class of *Partial* Recursive Functions.
- 36 • According to [7], RPP and the reversible programming language SRL [8]  
 37 are equivalent, so the fix-point problem is undecidable for RPP as well [9].

38 We think that this study provides additional support for the idea that using  
 39 recursive computational models like RPP to express Reversible Computation  
 40 allows for the relatively easy certification of the correctness or other properties  
 41 of RPP algorithms through proof-assistants, potentially leading to the discovery  
 42 of new algorithms.

43 We recall that a proof-assistant is an integrated environment to formalize  
 44 data-types, to implement algorithms on them, to formalize specifications and  
 45 prove that they hold, increasing algorithms dependability.

46 *Contributions.* We show how to express RPP and its evaluation mechanism  
 47 inside the proof-assistant Lean [10]. We can certify the correctness of every  
 48 reversible function of RPP with respect to a given specification which means  
 49 certifying all the main results in [4]. In more detail:

- 50 • We give a strong guarantee that RPP is PRF-complete in three macro  
 51 steps. We exploit that in Lean `mathlib` library, PRF is proved equivalent  
 52 to a class of recursive *unary* functions called `primrec`. We define a data-  
 53 type `rpp` in Lean to represent RPP. Then, we certify that, for any function  
 54  $\mathbf{f}:\text{primrec}$ , i.e. any unary  $\mathbf{f}$  with type `primrec` in Lean, a function exists  
 55 with type `rpp` that encodes  $\mathbf{f}:\text{primrec}$ . Apart from fixing some bugs, our  
 56 proof is fully detailed as compared to [4]. Moreover it is conceptually and  
 57 technically simpler.
- 58 • We also give a strong guarantee that RPP is PRF-sound (that is, each  
 59 RPP is expressible as PRF) thus completing the work in [11], by proving  
 60 that the two classes of functions have the same expressivity. Again, for  
 61 the proof of this fact we exploit the definitions and theorems in `mathlib`  
 62 concerning primitive recursive functions.

63 • Concerning simplification, it follows from how the elements in `primrec`  
 64 work. It is characterized by the following aspects:  
 65 – we define a *new* finite reversible iteration scheme subsuming the re-  
 66 versible iteration schemes in `RPP`, and `SRL`, but which is more prim-  
 67 itive;  
 68 – we identify an algorithmic pattern which uniquely associates elements  
 69 of  $\mathbb{N}^2$ , and  $\mathbb{N}$  by counting steps in specific paths. The pattern becomes  
 70 a reversible element in `rpp` once fixed the parameter it depends on.  
 71 Slightly different parameter instances generate reversible algorithms  
 72 whose behavior we can certify in `Lean`. They are truncated Square  
 73 Root, Quotient/Reminder of integer division, and Cantor Pairing  
 74 [12, 13]. The original proof in [4] that `RPP` is PRF-complete relies on  
 75 Cantor Pairing, used as a stack to keep the representation of a PRF  
 76 function as element of `RPP` reversible. Our proof in `Lean` replaces  
 77 Cantor Pairing with a reversible representation of functions `mkpair`  
 78 `/unpair` that `mathlib` supplies as isomorphism  $\mathbb{N} \times \mathbb{N} \simeq \mathbb{N}$ . The  
 79 truncated Square Root is the basic ingredient to obtain reversible  
 80 `mkpair/unpair`.

81 *Related work.* Concerning the formalization in a proof-assistant of the seman-  
 82 tics, and its properties, of a formalism for Reversible Computation, we are aware  
 83 of [14]. By means of the proof-assistant `Matita` [15], it certifies that a denota-  
 84 tional semantics for the imperative reversible programming language `Janus` [1,  
 85 Section 8.3.3] is fully abstract with respect to the operational semantics.

86 Concerning *functional models* of Reversible Computation, we are aware of  
 87 [16] which introduces the class of reversible functions `RI`, which is as expressive  
 88 as the *Partial* Recursive Functions. So, `RI` is stronger than `RPP`; however we see  
 89 `RI` as less abstract than `RPP` for two reasons: (i) the primitive functions of `RI`  
 90 depend on a given specific binary representation of natural numbers; (ii) unlike  
 91 `RPP`, which we can see as PRF in a reversible setting, it is not evident to us that  
 92 `RI` can be considered the natural extension of a total class analogous to `RPP`.

93 Finally, this work, starting from relevant parts of the BSc Thesis [17], which  
 94 comes with a `Lean` project [18] that certifies both properties and algorithms of  
 95 `RPP`, strictly extends [11] with the proof that `RPP` is PRF-sound.

96 *Contents.* Section 2 recalls the class `RPP` by commenting on the main design  
 97 aspects that characterize its definition inside `Lean`. Section 3 defines and proves  
 98 correct new reversible algorithms central to the proof. Section 4 recalls the  
 99 main aspects of `primrec`, and illustrates the key steps to port the original PRF-  
 100 completeness proof of `RPP` to `Lean`. Section 5 shows how we used the constructs  
 101 present in the `mathlib` library to prove the PRF-soundness of `RPP`. Section 6 is  
 102 about possible developments.

## 103 2. Reversible Primitive Permutations (RPP)

```

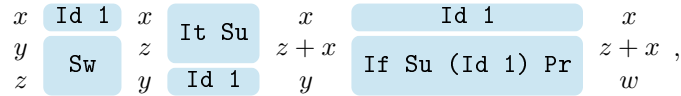
inductive rpp : Type
-- Base functions
| Id (n : ℕ) : rpp -- Identity
| Ne : rpp        -- Sign-change
| Su : rpp        -- Successor
| Pr : rpp        -- Predecessor
| Sw : rpp        -- Transposition or Swap
-- Inductively defined functions
| Co (f g : rpp) : rpp -- Series composition
| Pa (f g : rpp) : rpp -- Parallel composition
| It (f : rpp) : rpp  -- Finite iteration
| If (f g h : rpp) : rpp -- Selection
infix '||' : 55 := Pa -- Notation for the Parallel composition
infix ';;' : 50 := Co -- Notation for the Series composition

```

Figure 1: The class RPP as a data-type `rpp` in Lean.

104 We use the data-type `rpp` in Figure 1, as defined in Lean, to recall from  
105 [4] that the class RPP is the smallest class of functions that contains five base  
106 functions, named as in in Figure 1, and all the functions that we can generate  
107 by the composition schemes whose name is next to the corresponding clause in  
108 Figure 1. For ease of use and readability the last two lines in Figure 1 introduce  
109 infix notations for series and parallel composition.

*Example 1* (A term of type `rpp`). In `rpp` we can write  $(\text{Id } 1 || \text{Sw}) ;; (\text{It } \text{Su}) || (\text{Id } 1) ;; (\text{Id } 1 || \text{If } \text{Su } (\text{Id } 1) \text{ Pr})$  which we also represent as a diagram:



where:

$$w = \begin{cases} y + 1 & \text{if } z + x > 0 \\ y & \text{if } z + x = 0 \\ y - 1 & \text{if } z + x < 0 \end{cases} .$$

110 The inputs are the names to the left-hand side of the blocks; the outputs are  
111 to their right-hand side. The term here above is a series composition of three  
112 parallel compositions. The first one composes a unary identity  $\text{Id } 1$ , which  
113 leaves its unique input untouched, and  $\text{Sw}$ , which swaps its two arguments.  
114 Then, the  $x$ -times iteration of the successor  $\text{Su}$ , i.e.  $\text{It } \text{Su}$ , is in parallel with  $\text{Id}$   
115  $1$ : that is why one of the outputs of  $\text{It } \text{Su}$  is  $z + x$ . Finally,  $\text{If } \text{Su } (\text{Id } 1) \text{ Pr}$   
116 selects which among  $\text{Su}$ ,  $\text{Id } 1$ , and  $\text{Pr}$  to apply to the argument  $y$ , depending on  
117 the value of  $z + x$ ; in particular,  $\text{Pr}$  is the function that computes the predecessor  
118 of the argument. Figure 5 will give the operational semantics which defines `rpp`  
119 formally as a class of functions on  $\mathbb{Z}$ , not on  $\mathbb{N}$ .  $\square$

```

def arity : rpp → ℕ
| (Id n)   := n
| Ne       := 1
| Su       := 1
| Pr       := 1
| Sw       := 2
| (f || g) := f.arity + g.arity
| (f ;; g) := max f.arity g.arity
| (It f)   := 1 + f.arity -- It f has an extra argument compared to f
| (If f g h) := 1 + max (max f.arity g.arity) h.arity

```

Figure 2: Arity of every  $f$ :  $\mathbf{rpp}$ .

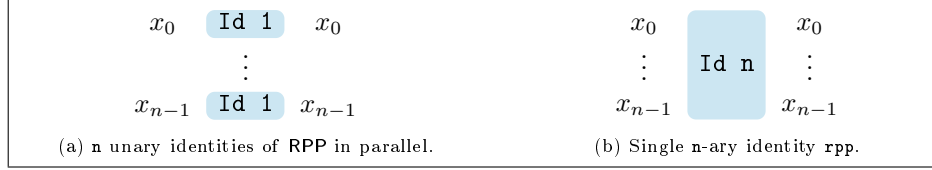
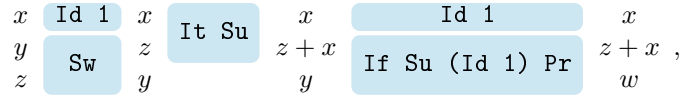


Figure 3:  $n$ -ary identities are base functions of  $\mathbf{rpp}$ .

*Remark 1* (“Weak weakening” of algorithms in  $\mathbf{rpp}$ ). We typically drop  $\text{Id } m$  if it is the last function of a parallel composition. For example, term and diagram in *Example 1* become  $(\text{Id } 1 \parallel \text{Sw}) ;; (\text{It } \text{Su}) ;; (\text{Id } 1 \parallel \text{If } \text{Su } (\text{Id } 1) \text{ Pr})$  and:



where:

$$w = \begin{cases} y + 1 & \text{if } z + x > 0 \\ y & \text{if } z + x = 0 \\ y - 1 & \text{if } z + x < 0 \end{cases}.$$

120 *Remark 2* explains why. □

121 The function in Figure 2 computes the arity of any  $f : \mathbf{rpp}$  from the structure of  
 122  $f$ , once fixed the arities of the base functions;  $f.\text{arity}$  is Lean dialect for the  
 123 more typical notation “ $\text{arity}(f)$ ”.

124 Figure 3 remarks that  $\mathbf{rpp}$  considers  $n$ -ary identities  $\text{Id } n$  as primitive; in  $\mathbf{RPP}$   
 125 the function  $\text{Id } n$  is obtained by parallel composition of  $n$  unary identities.

126 For any given  $f : \mathbf{rpp}$ , the function  $\text{inv}$  in Figure 4 builds an element with  
 127 type  $\mathbf{rpp}$ . The definition of  $\text{inv}$  lets the successor  $\text{Su}$  be inverse of the predecessor  
 128  $\text{Pr}$  and lets every other base function be self-dual. Moreover, the function  $\text{inv}$   
 129 distributes over finite iteration  $\text{It}$ , selection  $\text{If}$ , and parallel composition  $\parallel$ ,  
 130 while it requires to exchange the order of the arguments before distributing  
 131 over the series composition  $;;$ . The last line with **notation** suggests that  $f^{-1}$

```

def inv : rpp → rpp
| (Id n)      := Id n -- self-dual
| Ne          := Ne   -- self-dual
| Su          := Pr
| Pr          := Su
| Sw          := Sw   -- self-dual
| (f || g)    := inv f || inv g
| (f ;; g)    := inv g ;; inv f
| (It f)      := It (inv f)
| (If f g h)  := If (inv f) (inv g) (inv h)
notation f '⁻¹' := inv f

```

Figure 4: Inverse  $\text{inv } f$  of every  $f : \text{rpp}$ .

```

def ev : rpp → list ℤ → list ℤ
| (Id n)      X      := X
| Ne          (x :: X) := -x :: X
| Su          (x :: X) := (x + 1) :: X
| Pr          (x :: X) := (x - 1) :: X
| Sw          (x :: y :: X) := y :: x :: X
| (f ;; g)    X      := ev g (ev f X)
| (f || g)    X      := ev f (take f.arity X) ++ ev g (drop f.arity X)
| (It f)      (x :: X) := x :: ((ev f)^[x] X)
| (If f g h) (0 :: X) := 0 :: ev g X
| (If f g h) ((n : ℕ) + 1) :: X := (n + 1) :: ev f X
| (If f g h) (-[1 + n] :: X) := -[1 + n] :: ev h X
| _          X      := X
notation '<' f '>' := ev f

```

Figure 5: Operational semantics of elements in  $\text{rpp}$ .

132 is the inverse of  $f$ ; we shall prove this fact once given the operational semantics  
 133 of  $\text{rpp}$ .

#### 134 2.1. Operational semantics of $\text{rpp}$

135 The function  $\text{ev}$  in Figure 5 interprets an element of  $\text{rpp}$  as a function from a  
 136 list of integers to a list of integers. Originally, in [4],  $\text{RPP}$  is a class of functions  
 137 with type  $\mathbb{Z}^n \rightarrow \mathbb{Z}^n$ . We use  $\text{list } \mathbb{Z}$  in place of tuples of  $\mathbb{Z}$  to exploit Lean  
 138 library `mathlib` and save a large amount of formalization.

139 Let us give a look at the clauses in Figure 5.

140 The function  $\text{Id } n$  leaves the input list  $X$  untouched.  $\text{Ne}$  “negates”, i.e. takes  
 141 the opposite sign of, the head of the list, while  $\text{Su}$  increments, and  $\text{Pr}$  decrements  
 142 it.  $\text{Sw}$  is the transposition, or swap, that exchanges the first two elements of its  
 143 argument. The series composition  $f ;; g$  first applies  $f$  and then  $g$ . The parallel  
 144 composition  $f || g$  splits  $X$  into two parts. The “topmost” one ( $\text{take } f.\text{arity } X$ )

145 has as many elements as the arity of  $f$ ; the “lowermost” one  $(\text{drop } f.\text{arity}$   
 146  $X)$  contains the part of  $X$  that can supply the arguments to  $g$ . Finally, it  
 147 concatenates the two resulting lists by the append  $++$ .

148 *Finite iteration* It  $f$  is new:

- 149 • it iterates  $f$  as many times as the value of the head  $x$  of the argument, if  
 150  $x$  contains a non negative value;
- 151 • otherwise it is the identity on the whole  $x : X$ .

152 We denote this behavior by means of  $(\text{ev } f)^\sim[\downarrow x]$ .

153 The selection  $\text{If } f \ g \ h$  chooses one among  $f$ ,  $g$ , and  $h$ , depending on the argu-  
 154 ment head  $x$ : it is  $g$  with  $x = 0$ , it is  $f$  with  $x > 0$ , and  $h$  with  $x < 0$ . The last  
 155 line of Figure 5 sets a handy notation for  $\text{ev}$ .

156 *Remark 2* (We want to keep the definition of  $\text{ev}$  simple). Based on our definition,  
 157 using Lean, we show that:

```
158   theorem ev_split (f: rpp) (X: list ℤ):
159     <f> X = (<f> (take f.arity X)) ++ drop f.arity X
```

160 holds. It is one of the most complex property to prove because it essentially  
 161 says that we can apply any  $\langle f \rangle$  to *any*  $X$  with at least as many elements as  
 162  $\text{arity } f$ .

163 The proof is based on two observations.

164 First, if  $X.\text{length} \geq f.\text{arity}$ , i.e.  $X$  supplies enough arguments, then  $f$   
 165 operates on the first elements of  $X$  according to its arity. This justifies *Remark 1*.

166 Second, if  $X.\text{length} < f.\text{arity}$  holds, i.e.  $X$  has not enough elements, then  
 167  $f \ X$  has an unspecified behavior; this might sound odd, but it simplifies the  
 168 certified proofs of must-have properties of  $\text{rpp}$ .  $\square$

## 169 2.2. The functions $\text{inv } h$ and $h$ are each other inverse

170 Once defined  $\text{inv}$  in Figure 4 and  $\text{ev}$  in Figure 5 we can prove:

```
171   theorem inv_co_l (h : rpp) (X : list ℤ) : <h ;; h-1> X = X
172   theorem inv_co_r (h : rpp) (X : list ℤ) : <h-1 ;; h> X = X
```

173 certifying that  $h$  and  $h^{-1}$  are each other inverse. We start by focusing on the  
 174 main details to prove `theorem inv_co_l` in Lean. The proof proceeds by (struc-  
 175 tural) induction on  $h$ , which generates 9 cases, one for each clause that defines  
 176  $\text{rpp}$ . One can go through the majority of them smoothly. Some comments about  
 177 two of the more challenging cases follow.

178 *Parallel composition.* Let  $h$  be some parallel composition, whose main construc-  
 179 tor is  $\text{Pa}$ . The step-wise proof of `inv_co_l` is:

```

180 <f||g;;(f||g)-1> X
181   = <f||g;;f-1||g-1> X -- by definition
182 (!) = <(f;;f-1)||(g;;g-1)> X -- lemma pa_co_pa, arity_inv below
183   = <f;;f-1>(take f.arity X) ++ <g;;g-1>(drop f.arity X)
184   -- by definition
185   = take f.arity X ++ drop f.arity X -- by ind. hyp.
186   = X -- property of ++ (append),
187 where the equivalence (!) holds because we can prove both:

188 lemma pa_co_pa (f f' g g' : rpp) (X : list ℤ) :
189   f.arity = f'.arity → <f||g;;f'||g'> X = <(f;;f') || (g;;g')> X ,
190 lemma arity_inv (f : rpp) : f-1.arity = f.arity .

191 Proving lemma arity_inv, i.e. that the arity of a function does not change if
192 we invert it, assures that we can prove lemma pa_co_pa, i.e. that series and
193 parallel compositions smoothly distribute reciprocally.

194 Iteration. Let h be a finite iterator whose main constructor is It. The goal to
195 prove is <It f;;It f-1> x::X = x::X which reduces to <f-1>^[↓x] (<f>^[
196 ↓x] X') = X', where, we recall, the notation <f>^[↓x] means “<f> applied x
197 times, if x is positive”. Luckily this last statement is both formalized as function
198 .left_inverse g^[n] f^[n], available in the library mathlib of Lean.

199 To conclude, let us see how the proof of inv_co_r works. It does not copy-cat
200 the one of inv_co_l. It relies on proving:

201 lemma inv_involute (f : rpp) : (f-1)-1 = f ,
202 which says that applying inv twice is the identity, and on using inv_co_l:

203 <f-1 ;; f> X = X -- which, by inv_involute, is equivalent to
204 <f-1 ;; (f-1)-1> X = X -- which holds because it is an
205 instance of (inv_co_l f-1) .

206 A less general, but semantically more appropriate version of inv_co_l and
207 inv_co_r could be:

208 theorem inv_co_l (f : rpp) (X : list ℤ) :
209   f.arity ≤ X.length → <f ;; f-1> X = X
210 theorem inv_co_r (f : rpp) (X : list ℤ) :
211   f.arity ≤ X.length → <f-1 ;; f> X = X

212 because, recalling Remark 2, the application (f X) makes sense when f.arity
213 ≤ X.length. Fortunately, the way we defined rpp allows us to state inv_co_l
214 or inv_co_r in full generality with no reference to f.arity ≤ X.length.

215 2.3. Changes from the original definition
216 The definition of rpp in Lean is really very close to the original RPP, but
217 not identical. The goal is to simplify the overall task of formalization and
218 certification. The brief list of changes follows.

```



219 • As already outlined, `It` and `If` use the head of the input list to iterate or  
 220 choose: taking the head of a list with pattern matching is obvious. In [4],  
 221 it is the last element in the input tuple that drives iteration and selection  
 222 of `RPP`.

223 • `Id n`, for any  $n:\mathbb{N}$ , is primitive in `rpp` and derived in `RPP`.

224 • Using `list  $\mathbb{Z} \rightarrow \text{list } \mathbb{Z}$`  as the domain of the function that interprets  
 225 any given element  $f:\text{rpp}$  avoids letting the type of  $f:\text{rpp}$  depend on the  
 226 arity of  $f$ . To know the arity of  $f$  it is enough to invoke `arity f`. Finally,  
 227 we observe that getting rid of a dependent type like, say, `rpp n`, allows  
 228 us to escape situations in which we would need to compare equal but not  
 229 definitionally equal types like `rpp (n+1)` and `rpp (1+n)`.

230 • The new finite iterator `It f (x::t): list  $\mathbb{Z}$`  *subsumes* the finite itera-  
 231 tors `ItR` in `RPP`, and `for` in `SRL`. This means that `It` is more primitive,  
 232 but equally expressive and simpler for `Lean` to prove that its definition is  
 233 terminating.

234 More specifically, we recall that:

235 – `ItR f (x0, x1, ..., xn-2, x)` simply evaluates to  $f(f(\dots f(x_0, x_1, \dots,$   
 236  $x_{n-2}) \dots))$  with  $|x|$  occurrences of  $f$ ;

237 – `for(f) x` is slightly more complex:

238 1. it evaluates to  $f(f(\dots f(x_0, x_1, \dots, x_{n-2}) \dots))$ , with  $x$  occur-  
 239 rences of  $f$ , if  $x > 0$ ;

240 2. it evaluates to  $f^{-1}(f^{-1}(\dots f^{-1}(x_0, x_1, \dots, x_{n-2}) \dots))$ , with  
 241  $-x$  occurrences of  $f^{-1}$ , if  $x < 0$ ;

242 3. it behaves like the identity if  $x = 0$ .

We know how to define both `ItR` and `for` in terms of `It`:

$$\text{ItR } f = (\text{It } f);;\text{Ne};;(\text{It } f);;\text{Ne} \quad (1)$$

$$\text{for}(f) = (\text{It } f);;\text{Ne};;(\text{It } f^{-1});;\text{Ne} . \quad (2)$$

243 *Example 2* (How does (1) work?). Whenever  $x > 0$ , the leftmost `It f`  
 244 in (1) iterates  $f$ , while the rightmost one does nothing because `Ne` in  
 245 the middle negates  $x$ . On the contrary, if  $x < 0$ , the leftmost `It f` does  
 246 nothing and the iteration is performed by the rightmost iteration, because  
 247 `Ne` in the middle negates  $x$ . In both cases, the last `Ne` restores  $x$  to its  
 248 initial sign. But this is the behavior of `ItR`, as we wanted.  $\square$

### 249 3. RPP algorithms central to our proofs

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<sup>1</sup>Note that using our definition, the variable  $n$  must be non-negative in order to have the shown behavior, otherwise the function acts as the identity. This is why it's called *increment* and not *addition*.

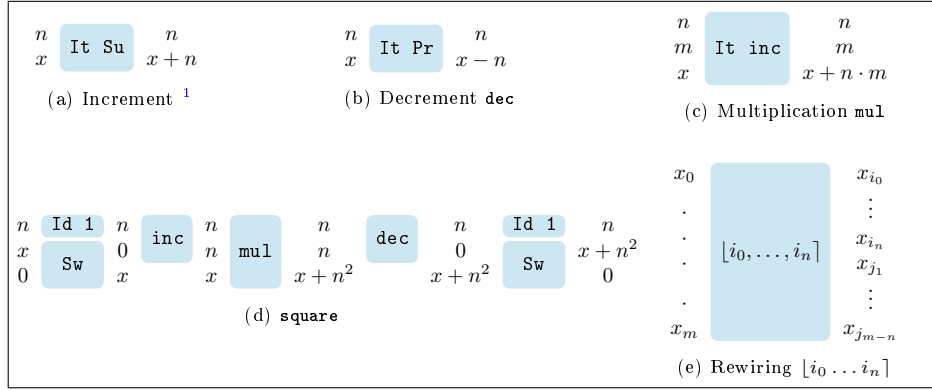


Figure 6: Some useful functions of **rpp**

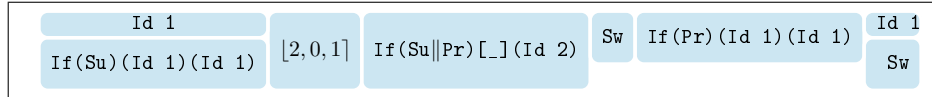


Figure 7: Algorithm scheme **step**[<sub>-</sub>]. The algorithm we can obtain from it depends on how we fill the hole [<sub>-</sub>].

Figure 6 recalls definition, and behavior of some **rpp** functions already introduced in [4].

It is worth commenting on how the function *rewiring*  $[i_0 \dots i_n]$  works. Let  $\{i_0, \dots, i_n\} \subseteq \{0, \dots, m\}$  be a set of  $n+1$  distinct indices between 0 and  $m$ , and  $\{j_1, \dots, j_{m-n}\} = \{0, \dots, m\} \setminus \{i_0, \dots, i_n\}$  which we assume such that  $j_k < j_{k+1}$ , for every  $1 \leq k < m-n$ . By definition,  $[i_0, \dots, i_n](x_0, \dots, x_m) = (x_{i_0}, \dots, x_{i_n}, x_{j_1}, \dots, x_{j_{m-n}})$ , i.e. rewiring brings every input with index in  $\{i_0, \dots, i_n\}$  in front of all the inputs with index in  $\{j_1, \dots, j_{m-n}\}$ , preserving the order.

### 3.1. The algorithm scheme **step**[<sub>-</sub>]

Figure 7 identifies the *new algorithm scheme* **step**[<sub>-</sub>]. Depending on how we fill the hole [<sub>-</sub>], we get step functions that, once iterated, draw paths in  $\mathbb{N}^2$ .

On top of the functions in Figures 6, and 7 we build Cantor Pairing/Unpairing, Quotient/Reminder of integer division, and truncated Square Root. Suitable instances of **step**[<sub>-</sub>] allow us to visit  $\mathbb{N}^2$  as in Figures 8a, 8b, and 8c, respectively. The pairing function **mkpair**, which behaves as in Figure 8d, and which is an alternative to Cantor Pairing/Unpairing, has a more complex definition; it will be a necessary ingredient of our main proof.



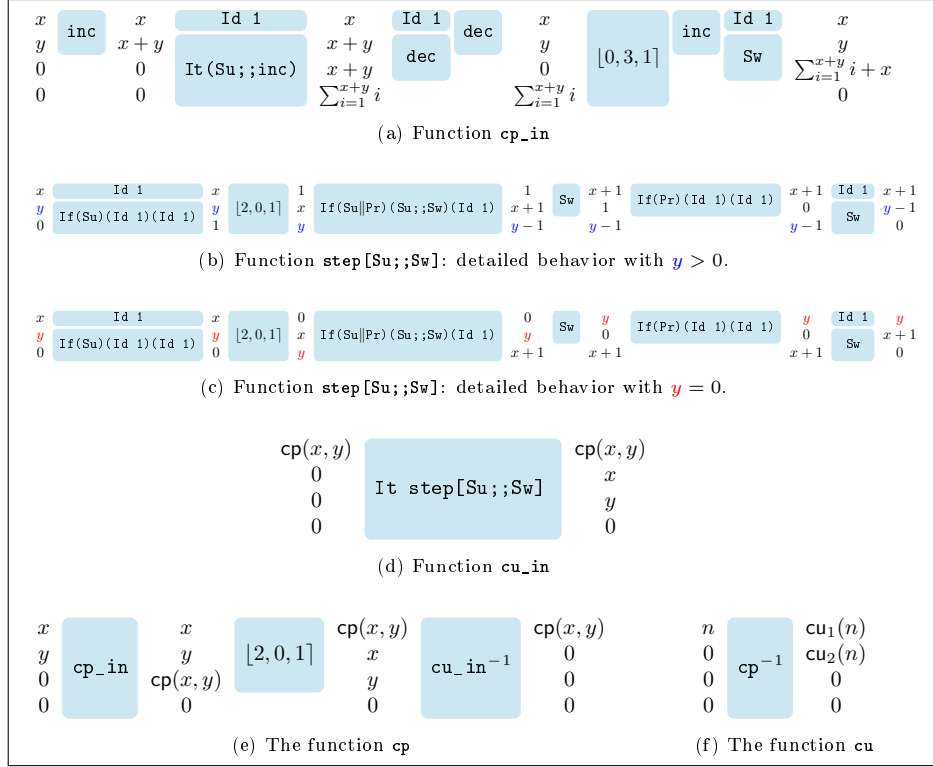


Figure 9: Cantor Pairing and Un-pairing.

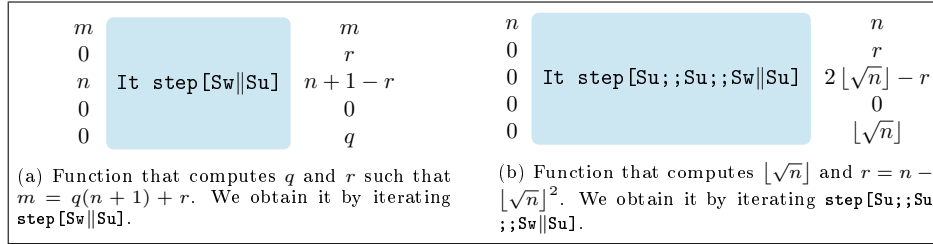


Figure 10: Quotient/Reminder and Square root.

278 it lands again on  $(0, n)$ . The idea is to keep looping on the same diagonal. This  
 279 behavior can be achieved by iterating `step[Sw||Su]`. Figure 10a shows that we  
 280 are doing modular arithmetic. Globally, it takes  $n + 1$  steps from  $(0, n)$  to itself  
 281 by means of `step[Sw||Su]`. Specifically, if we assume we have performed  $m$  steps  
 282 along the diagonal, and we are at point  $(x, y)$ , we have that  $x \equiv m \pmod{n+1}$   
 283 and  $0 \leq x \leq n$ . So, if we increase a counter by one each time we reset our  
 284 position to  $(0, n)$  we can calculate quotient and remainder.

285 *Truncated Square root.* Let us focus on the path in Figure 8c. It starts at  $(0, 0)$ .  
 286 Whenever it reaches  $(x, 0)$  it jumps to  $(0, x + 2)$ , otherwise the next point is  
 287 in *direction*  $(+1, -1)$ . The behavior can be achieved by iterating `step[Su;;Su`  
 288 `;;Sw||Su]` as in Figure 10b. In order to compute  $\lfloor \sqrt{n} \rfloor$ , besides implementing  
 289 the above path, the function `step[Su;;Su;;Sw||Su]` counts in  $k$  the number of  
 290 jumps occurred so far along the path. In particular, starting from  $(0, 0)$ , the first  
 291 jump occurs in the first step; the next one in the  $(1+3)$ th, then the  $(1+3+5)$ th,  
 292 then the  $(1+3+5+7)$ th etc. Since we know that  $1+3+\dots+(2k-1) = k^2$  for  
 293 any  $k$ , letting  $n$  be the number of iterations (and hence the numbers of steps)  
 294 we have that  $k$  is such that  $k^2 \leq n < (k+1)^2$ ; i.e.  $k = \lfloor \sqrt{n} \rfloor$ .

295 *Remark 4.* The value  $2 \lfloor \sqrt{n} \rfloor - r$  can be canceled out by adding  $r$ , and subtract-  
 296 ing  $\lfloor \sqrt{n} \rfloor$  twice. What we *cannot* eliminate is the “remainder”  $r = n - \lfloor \sqrt{n} \rfloor^2$   
 297 because the *function* Square root cannot be inverted in  $\mathbb{Z}$ , and the algorithm  
 298 cannot forget it.

299 *The mkpair function.* Figure 8d shows the behavior of the function `mkpair`. It  
 300 is very similar to the one of `cp`, but it uses an alternative algorithm described  
 301 in [19]. Here we do not describe it in detail because it’s just a composition of  
 302 sums, products and square roots, just discussed here above.

### 303 3.2. A note on the mechanization of proofs

304 We recall once more that everything defined here above has been proved  
 305 correct in Lean (see [18] for the details). For example, once defined `sqrt` in  
 306 Lean, the following lemma:

```
307 lemma sqrt_def (n : ℕ) (X : list ℤ) :
308   <sqrt>(n::0::0::0::0::0::X) =
309     n::(n-√n*√n)::(√n+√n-(n-√n*√n))::0::√n::X
```

310 shows that `sqrt` behaves as expected, for any  $n$ .

311 In order to prove the here above lemma, or similar ones, we make use of the  
 312 *tactic* `simp`, i.e. a Lean command that builds proofs. The tactic `simp` can auto-  
 313 matically simplify expressions until trivial identities show up. What is meant by  
 314 “simplify” is that theorems which state an equality with form `Left_hand_side =`  
 315 `Right_hand_side`, like in `sqrt_def`, can be marked with the attribute `@[simp]`;  
 316 the very useful consequence is that every time `simp` is invoked in a subsequent  
 317 proof, if the equality to be proved contains an instance of `Left_hand_side`,  
 318 then it will be substituted with `Right_hand_side`, often making it simpler to  
 319 conclude a proof.

```

inductive primrec: (ℕ → ℕ) → Prop
| zero: primrec (λ (n:ℕ), 0)
| succ: primrec succ
| left: primrec (λ (n:ℕ), (unpair n).fst)
| right: primrec (λ (n:ℕ), (unpair n).snd)
| pair {F G}: primrec F → primrec G → primrec (λ (n:ℕ), mkpair (F n) (G n))
| comp {F G}: primrec F → primrec G → primrec (λ (n:ℕ), F (G n))
| prec {F G}: primrec F → primrec G → primrec
(unpaired (λ (z n:ℕ), nat.rec (F z) (λ (y IH:ℕ), G (mkpair z (mkpair y IH))) n))

```

Figure 11: `primrec` defines PRF in `mathlib` of Lean.

320 So, `@[simp]` introduces an incremental and quite handy mechanism to auto-  
321 mate proofs: the more available proofs exist, the more we can, in principle, label  
322 as `@[simp]`, widening the possibility to automatically prove further properties.

#### 323 4. Proving in Lean that RPP is PRF-complete

324 We formally show in Lean that the class of functions we can express as  
325 (algorithms) in `rpp` contains at least the class PRF of Primitive Recursive Func-  
326 tions; we say that “`rpp` is PRF-complete”. The definition of PRF that we take  
327 as reference is one of the two available in Lean `mathlib` library. Once recalled  
328 and commented it briefly, we shall proceed with the main aspects of the PRF-  
329 completeness of `rpp`.

##### 330 4.1. Primitive Recursive Functions *primrec* in *mathlib*

331 Figure 11 recalls the definition of PRF from [20] available in `mathlib` that we  
332 take as reference. It is an inductively defined `Proposition` `primrec` that requires  
333 a *unary* function with type  $\mathbb{N} \rightarrow \mathbb{N}$  as argument. Specifically, `primrec` is the  
334 least collection of functions  $\mathbb{N} \rightarrow \mathbb{N}$  with a given set of base elements, closed  
335 under some composition schemes.

336 *Base functions of primrec.* The *constant* function `zero` yields 0 on every of its  
337 inputs. The *successor* gives the natural number next to the one taken as input.  
338 The two *projections* `left`, and `right` take an argument `n`, and extract a left, or  
339 a right, component from it as `n` was the result of pairing two values `x,y:ℕ`. The  
340 functions that `primrec` relies on to encode/decode pairs on natural numbers  
341 as a single natural one are `mkpair:ℕ → ℕ → ℕ`, and `unpair:ℕ → ℕ × ℕ`.  
342 The first one builds the value `mkpair x y`, i.e. the number of steps from the  
343 origin to reach the point with coordinates `(x,y)` in the path of Figure 8d. The  
344 function `unpair:ℕ → ℕ × ℕ` takes the number of steps to perform on the  
345 same path. Once it stops, the coordinates of that point are the two natural  
346 numbers we are looking for. So, `mkpair/unpair` are an alternative to Cantor  
347 Pairing/Un-pairing.

348 *Composition schemes.* Three schemes exist in `primrec`, each depending on pa-  
 349 rameters `f,g:primrec`. The scheme `pair` builds the function that, taken a value  
 350 `n:N`, gives the unique value in  $\mathbb{N}$  that encodes the pair of values `F n`, and `G n`;  
 351 everything we might pack up by means of `pair`, we can unpack with `left`, and  
 352 `right`.

353 The scheme `comp` composes `F,G:primrec`.

The *primitive recursion* scheme `prec` can be “unfolded” to understand how it works. This reading will ease the description of how to encode it in `rpp`. Let `F, G` be two elements of `primrec`. We see `prec` as encoding the function:

$$H[F, G](x) = R[G](F((x)_1), (x)_2) \quad (5)$$

where: (i)  $(x)_1$  denotes `(unpair x).fst`, (ii)  $(x)_2$  denotes `(unpair x).snd`, and  
 (iii)  $R[G]$  behaves as follows:

$$\begin{aligned} R[G](z, 0) &= z \\ R[G](z, n + 1) &= G(\langle z, \langle n, R[G](z, n) \rangle \rangle) \end{aligned} \quad (6)$$

354 defined using the built-in recursive scheme `nat.rec` on  $\mathbb{N}$ , and  $\langle a, b \rangle$  denotes  
 355 `(mkpair a b)`.

#### 356 4.2. The main point of the proof

357 In order to formally state what we mean for `rpp` to be PRF-complete, in  
 358 Lean we need to say when, given `F:N → N`, we can *encode* it by means of some  
 359 `f:rpp`. This is done by means of the following definition:

```
360 def encode (F:N → N) (f:rpp) :=
361   ∀ (z:ℤ) (n:N), <f> (z::n::repeat 0 (f.arity-2))
362   = (z+(F n))::n::repeat 0 (f.arity-2)
```

363 which says that, fixed `F:N → N`, and `f:rpp`, the statement `(encode F f)` holds  
 364 if the evaluation of `<f>`, applied to any argument `(z::n::0::...::0)` with as  
 365 many occurrences of trailing 0s as `f.arity-2`, gives a list with form `((z+(F n`  
 366 `))::n::0::...::0)` such that:

- 367 (i) the first element is the original value `z` increased with the result `(F n)` of  
 368 the function we want to encode;
- 369 (ii) the second element is the initial `n`;
- 370 (iii) trailing 0s are again as many as `f.arity-2`.

371 In Lean we can prove:

```
372 theorem completeness (F:N → N):
373   primrec F → ∃ f:rpp, encode F f
```

374 which says that we know how to build `f:rpp` which encodes `F`, for every well  
 375 formed `F:N → N`, i.e. such that `primrec F` holds.

376 The proof proceeds by induction on the proposition `primrec`, which gener-  
 377 ates 7 sub-goals. We illustrate the main arguments to conclude the most  
 378 interesting case which requires to encode the composition scheme `prec`.

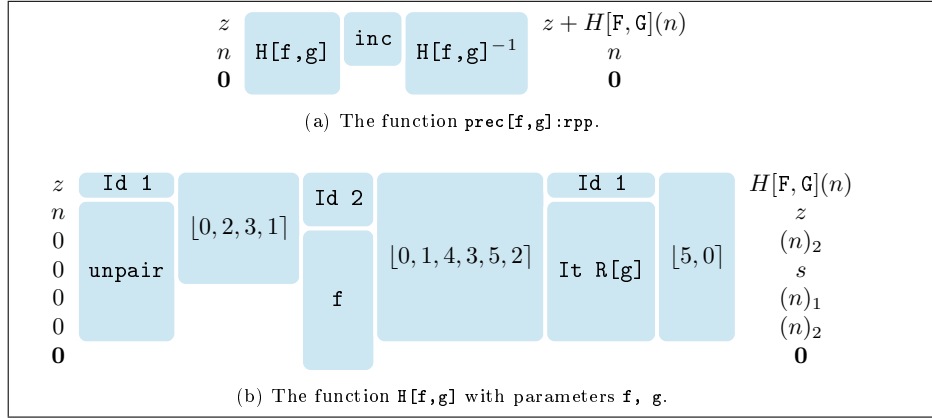


Figure 12: Encoding `prec` of Figure 11 in `rpp`.

379 *Remark 5.* Many aspects of the proof that we here detail out, “forced” by Lean,  
 380 so to say, were simply missing in the original PRF-completeness proof for RPP  
 381 in [4].  $\square$

The inductive hypothesis to show that we can encode `prec` is that, for any given  $F, G: \mathbb{N} \rightarrow \mathbb{N}$  such that  $(\text{primrec } F): \text{Prop}$ , and  $(\text{primrec } G): \text{Prop}$ , both  $f, g: \text{rpp}$  exist such that  $(\text{encode } F \ f)$ , and  $(\text{encode } G \ g)$  hold. This means that both:

$$\begin{aligned} f \ (z :: n :: 0) &= (z + F \ n) :: n :: 0 \\ g \ z :: n :: 0 &= (z + G \ n) :: n :: 0 \end{aligned}$$

382 hold, where  $0$  stands for a sufficiently long list of 0s. Moreover, Figure 12a, in  
 383 which the assumption is that  $z = 0$ , defines `prec[f,g]:rpp` such that:

- 384 (i)  $(\text{encode } (\text{prec } F \ G) \ \text{prec}[f,g]): \text{Prop}$  holds, and  
 385 (ii)  $H[f,g]$  encodes  $H[F,G]$

386 as in (5). Finally, the term `It R[g]` in  $H[f,g]$  encodes (6) by iterating  $R[g]$   
 387 from the initial value given by `f`.

388 Figure 13 splits the definition of  $R[g]$  into three logical parts. Figure 13a  
 389 packs everything up by means of `mkpair` to build the argument  $R[G](z, n)$   
 390 of `g`; by induction we get  $R[G](z, n + 1)$ . In Figure 13b, `unpair` unpacks  
 391  $\langle z, \langle n, R[G](z, n) \rangle \rangle$  to expose its components to the last part. Figure 13c both  
 392 increments  $n$ , and packs  $R[G](z, n)$  into  $s$ , by means of `mkpair`, because  $R[G](z, n)$   
 393 has become useless once obtained  $R[G](z, n + 1)$  from it. Packing  $R[G](z, n)$  into  
 394  $s$ , so that we can eventually recover it, is *mandatory*. We cannot “replace”  
 395  $R[G](z, n)$  with 0 because that would not be a reversible action.

396 *Remark 6.* The function `cp` in Figure 9e can replace `mkpair` in Figure 13c as a  
 397 bijective map  $\mathbb{N}^2$  into  $\mathbb{N}$ . Indeed, the original PRF-completeness of RPP relies  
 398 on `cp`. We favor `mkpair` to take the most out of `mathlib`.  $\square$



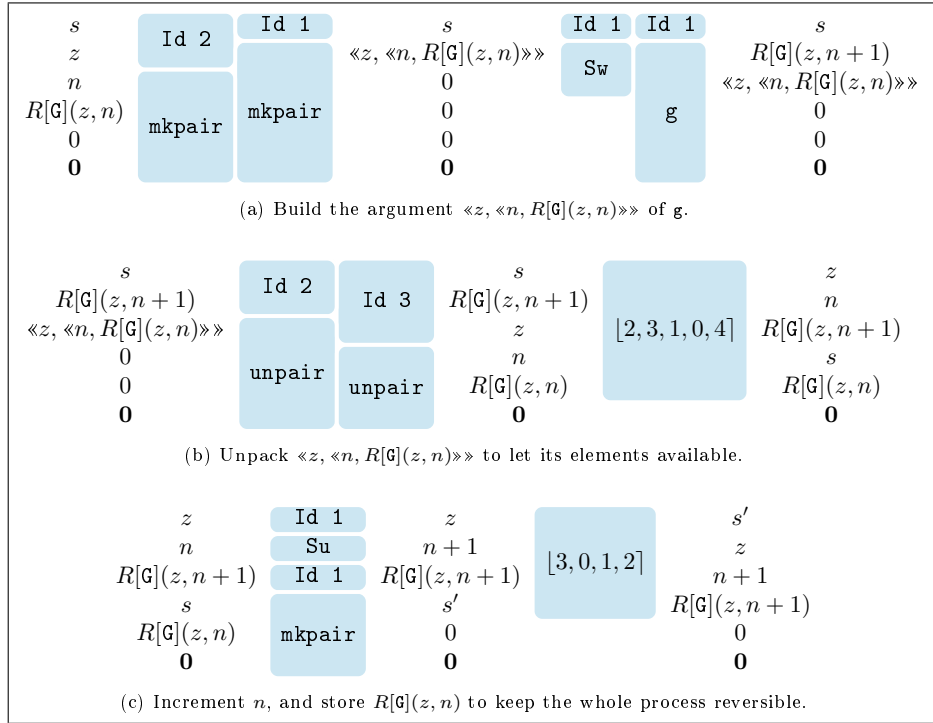


Figure 13: Encoding  $R[G]$  in (6) as  $R[g]:rpp$ .

## 399 5. Proving in Lean that RPP is PRF-sound

400 We formally show in Lean that every function we can express as (algorithm)  
 401 in `rpp` can be expressed as an element of `PRF`, the class of Primitive Recursive  
 402 Functions; we say that “`rpp` is `PRF`-sound”. This means that, through a suitable  
 403 embedding of `list ℤ` in  $\mathbb{N}$  and thus seeing each `<f>:list ℤ → list ℤ` as a  
 404 function of type  $\mathbb{N} \rightarrow \mathbb{N}$ , this is always primitive recursive. In Lean terms, we  
 405 can prove:

```
406      theorem rpp_primrec (f:rpp) : primrec <f>
```

407 As far as we know, no full proof of this fact was present before, [6] included. In  
 408 order to show it, we make heavy use of previously established theorems present  
 409 in Lean `mathlib` library.

### 410 5.1. The extended definition of `primrec` in `mathlib`

411 Section 4 recalls the meaning for a function of type  $\mathbb{N} \rightarrow \mathbb{N}$  to be `primrec`.  
 412 We are now interested in expressing a function  $f:\alpha \rightarrow \beta$ , i.e. with some given  
 413 domain of type  $\alpha$ , and co-domain of type  $\beta$ , as a primitive recursive function. If  
 414 we somehow “link” both  $\alpha$ , and  $\beta$  to  $\mathbb{N}$ , we can leverage our previous definitions  
 415 and results.

416 Three main steps do the job:

- 417 1. First, we require that both  $\alpha$ , and  $\beta$  be `encodable`, notion defined in Lean  
 418 by means of:

```
419      class encodable (α : Type*) :=
420      (encode : α → ℕ)
421      (decode [] : ℕ → option α)
422      (encodek : ∀ a, decode (encode a) = some a)
```

423 It means that *computable immersions* `encode` exist with type  $\alpha \rightarrow \mathbb{N}$  (and  
 424  $\beta \rightarrow \mathbb{N}$ ). The inverse function `decode` need only be defined for those `n`  
 425  $:\mathbb{N}$  which are in the image of the immersion: for this reason, `decode` has  
 426 return type `option α`, a type in which all elements are of the form `none`  
 427 or `some a` for `a : α`; the elements of `n : ℕ` not in the image can just be  
 428 mapped to `none`.

- 429 2. Second, it is important to work always with the same instance of *com-*  
 430 *putable immersion* as we proceed with the development of the various  
 431 proofs: different immersions may differ by some automorphism of  $\mathbb{N}$  which  
 432 may not be primitive recursive. This however is guaranteed by the Lean  
 433 `class` mechanism, which is able to simultaneously infer when a new type  
 434 is `encodable` based on previous theorems, and fixes just one embedding  
 435 for each such type.

436 3. Third, we notice that it may happen that the composition `encode`  $\circ$   
 437 `decode` is not primitive recursive, which is undesirable. To fix this, we  
 438 make it a requirement with the `primcodable` class:

```
439 class primcodable ( $\alpha$  : Type*) extends encodable  $\alpha$  :=
440   (prim [] : nat.primrec ( $\lambda$  n, encodable.encode (decode n)))
```

441 and we require  $\alpha$ , and  $\beta$  to be `primcodable`.

442 The definition of `primrec` can be extended to functions  $f:\alpha \rightarrow \beta$  whose  
 443 types  $\alpha$ , and  $\beta$  are `primcodable`. Specifically, for  $f:\alpha \rightarrow \beta$  to be `primrec`  
 444 requires that the composition `encode`  $\circ$   $f$   $\circ$  `decode` :  $\mathbb{N} \rightarrow \mathbb{N}$  is primitive  
 445 recursive. This is how we can express this requirement in Lean:<sup>2</sup>

```
446 def primrec { $\alpha$   $\beta$ } [primcodable  $\alpha$ ] [primcodable  $\beta$ ]
447 (f: $\alpha \rightarrow \beta$ ):Prop := nat.primrec ( $\lambda$  n, encode ((decode  $\alpha$  n).map f))
```

448 The relevant consequence of all this formalization is that Lean automati-  
 449 cally deduces that `list  $\mathbb{Z}$`  is `primcodable`; this follows from the `class` mecha-  
 450 nism, from the fact that  $\mathbb{Z}$  is `primcodable`, and by knowing that if a type  $\alpha$  is  
 451 `primcodable`, then so is `list  $\alpha$` .

452 Once everything is set up as described, we can eventually prove `theorem`  
 453 `rpp_primrec` above, i.e. that for every  $f:rpp$ , the function  $\langle f \rangle : \text{list } \mathbb{Z} \rightarrow$   
 454 `list  $\mathbb{Z}$`  is `primrec`. We proceed by induction on  $f$ , by tackling the base cases  
 455 `Id`, `Ne`, `Su`, `Pr`, `Sw` and the inductive cases `Co`, `Pa`, `It`, `If`.

## 456 5.2. Inductive cases

457 We illustrate the details of the case of parallel composition  $f \parallel g$ . Let  $f$ , and  $g$   
 458 be such that  $\langle f \rangle$  and  $\langle g \rangle$  are `primrec`. The goal is to prove that  $f \parallel g$  is `primrec`.  
 459 In Lean, this amounts to prove the following lemma:

```
460 lemma rpp_pa {f g:rpp} (hf:primrec  $\langle f \rangle$ ) (hg:primrec  $\langle g \rangle$ ) :
461   primrec  $\langle f \parallel g \rangle$ 
```

462 It starts by applying the definition of the parallel composition. For every fixed  
 463  $l : \text{list } \mathbb{Z}$ , we have:

```
464  $\langle f \parallel g \rangle l = (\langle f \rangle (\text{take } f.\text{arity } l)) ++ (\langle g \rangle (\text{drop } f.\text{arity } l))$ 
```

465 So, we are left with the problem of proving that the right-hand side of the  
 466 equation is `primrec`. We break down the problem into three sub-problems:

- 467 1. prove that the `append` operation `++` is `primrec`;
- 468 2. prove that the functions `take`, and `drop` are `primrec`;

---

<sup>2</sup>The fact that `decode` has return type `option  $\alpha$`  makes this expression more complicated:  
 the function `map f` needs to be used.

469 3. prove that the composition of primitive recursive functions is `primrec`.

470 That `append` is `primrec2`<sup>3</sup> is already proven in `mathlib`:

```
471 theorem list_append :
472   primrec2 ((++) : list α → list α → list α)
```

473 Furthermore, `mathlib` has proofs to demonstrate that the composition of two  
474 `primrec` elements or the application of one `primrec2` element to two `primrec`  
475 elements remains within the `primrec` set:

```
476 theorem comp {f:β → σ} {g:α → β}
477   (hf:primrec f) (hg:primrec g) : primrec (λ a, f (g a))
478
479 theorem primrec2.comp
480   {f:β → γ → σ} {g:α → β} {h:α → γ}
481   (hf:primrec2 f) (hg:primrec g) (hh:primrec h) :
482   primrec (λ a, f (g a) (h a))
```

483 So the sub-problems enumerated here above at points 1, and 3, are concluded.

484 For now let us assume that we also know how to deal with point 2, i.e. we  
485 have proved theorems `list_take` and `list_drop`. Under that assumption, we  
486 can conclude by writing:

```
487 lemma rpp_pa {f g : rpp} (hf : primrec <f>) (hg : primrec <g>) :
488   primrec <f || g> :=
489   (list_append.comp
490     (comp hf (list_take.comp (const f.arity) primrec.id))
491     (comp hg (list_drop.comp (const f.arity) primrec.id))).of_eq
492   $ λ l, by refl
```

493 The explanation of what this means is the following: what comes before the  
494 expression `.of_eq` is the statement that a certain "auxiliary" function, which  
495 we can call `F` for simplicity, is `primrec`. Figure 14 represents the structure of  
496 `F`: each block both defines part of the function and states that that part is  
497 `primrec`, at the same time. What comes after `.of_eq` is instead a proof that `F`  
498 is equal to `<f || g>` for all inputs `l`: this is a definitional equality, so it can be  
499 proved easily in `Lean` tactics mode with `by refl`. Finally, `of_eq` is a theorem  
500 which given the hypotheses

- 501 • `F` is `primrec` (what's before `.of_eq`)
- 502 • `F` is equal to `<f || g>` for all inputs (what's after `.of_eq`)

503 concludes that also `<f || g>` is `primrec`, which is what we wanted to show.

---

<sup>3</sup>For functions which take two arguments, `primrec2` is used instead of `primrec`.

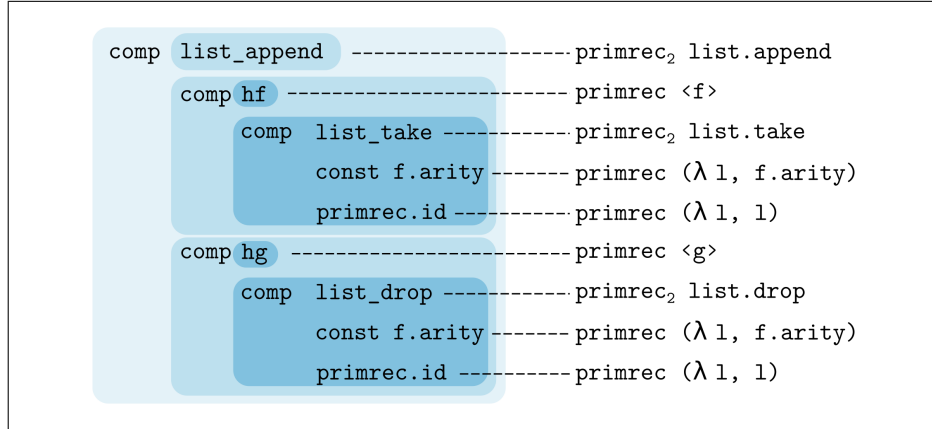


Figure 14: Diagram representing `rpp_pa`. For example, the first "comp" block means that, given the fact that `list.take` is `primrec₂` and  $(\lambda l, f.arity)$ ,  $(\lambda l, 1)$  are `primrec`, then the composition `list.take f.arity 1` is `primrec`.

504 We are eventually left with point 2 of the proof of `lemma rpp_pa`, i.e. the  
 505 proofs of `lemma list_take`, and `lemma list_drop`.

506 Let us start by focusing on:

507 `lemma list_take : primrec₂ list.take`

508 in which, we recall, `list.take` is defined as:

```

509 def take : ℕ → list α → list α
510 | 0      a      := []
511 | (succ n) []   := []
512 | (succ n) (x :: r) := x :: take n r

```

513 i.e. a function recursive in both its arguments. The built-in `Lean` recursion prin-  
 514 ciples for  $\mathbb{N}$ , and `list α` are both proven to be `primrec` in `mathlib` through  
 515 theorems `nat_elim` and `list_rec`; unfortunately we cannot use them simulta-  
 516 neously for free in order to reason by induction on `take`.

517 We overcome the problem into two steps:

- 518 1. we define an “auxiliary” function `take2` in terms of the known function  
 519 `foldl`, already proven to be `primrec`, and prove that `take2` is `primrec`;
- 520 2. we prove that `take2` is equal to `take` for all inputs, and conclude using  
 521 `of_eq`.

522 The proof of equivalence is established through the use of the “special” induction  
 523 principle `list.reverse_rec_on` which decomposes a list into its final element  
 524 and all preceding elements, rather than the head and tail, feature that helps to  
 525 reason with `take2`’s definition.

526 Once proven `list_take`, we can focus on the proof of `list_drop`. The key step  
 527 is `lemma reverse_drop` here below:

```
528 lemma reverse_drop {α : Type*} (n : ℕ) (l : list α) :
529   (l.drop n) = reverse (l.reverse.take (l.length - n))
```

530 Clearly, it expresses `list.drop` in terms of `list.take`, so the proof that `list.drop`  
 531 is `primrec` proceeds smoothly and this concludes our overview of how the  
 532 proof of `lemma rpp_pa` works.

533 Proving that `Co`, `It`, and `If` are `primrec` gets simpler to handle because the  
 534 relevant functions are already proven to be `primrec`.

### 535 5.3. Base cases

536 The base cases are handled in a similar way, by building each function from  
 537 simpler ones. In particular, the operations `Ne`, `Su`, `Pr` which respectively repre-  
 538 sent negation  $x \mapsto -x$ , successor  $x \mapsto x + 1$ , predecessor  $x \mapsto x - 1$ , all represent  
 539 functions of type  $\mathbb{Z} \rightarrow \mathbb{Z}$ . Instead of focusing specifically on those functions, we  
 540 found that it was actually easier to start from more basic functions close to the  
 541 definition of integers in `Lean`, and progressively build more complex functions  
 542 following exactly their definition and development in the `mathlib` library. We  
 543 now focus on those more basic functions.

544 Let us look at the definition of integers:

```
545 inductive ℤ : Type
546 | of_nat : ℕ → ℤ
547 | neg_succ_of_nat : ℕ → ℤ
```

548 It is based on the two functions/constructors `of_nat`, and `neg_succ_of_nat`  
 549 which can be proven to be `primrec` almost directly by unfolding the definitions  
 550 of the embedding  $\mathbb{Z} \rightarrow \mathbb{N}$  and noticing that through the compositions, the func-  
 551 tions become two known functions `nat_bit0`, `nat_bit1` :  $\mathbb{N} \rightarrow \mathbb{N}$  which are  
 552 already proven to be `primrec` in `mathlib`.

553 Other than `of_nat` and `neg_succ_of_nat`, the last important building block  
 554 for functions of type  $\mathbb{Z} \rightarrow \mathbb{Z}$  is the “Cases Principle” `int.cases_on` for integers:

```
555 int.cases_on : Π {f:ℤ → Type} (z:ℤ),
556   (Π (n:ℕ), f (int.of_nat n)) →
557   (Π (n:ℕ), f (int.neg_succ_of_nat n)) → f z
```

558 It states that if a function is defined for natural numbers and for negative  
 559 numbers, then it is defined for all numbers. The reason this is important is that  
 560 almost all basic functions with domain  $\mathbb{Z}$  are defined by cases, breaking down  
 561 the case where the input number is natural and where it is negative. We can  
 562 express the fact that this cases principle is `primrec` in the following way:<sup>4</sup>

---

<sup>4</sup>The statement was slightly modified for simplicity. The original statement can be found in [18].

```

563 lemma int_cases {f:α → ℤ} {g h:α → ℕ → β}
564 (hf : primrec f) (hg : primrec2 g) (hh : primrec2 h) :
565 primrec (λ a, int.cases_on (f a) (g a) (h a))

```

566 This means that given three `primrec`/`primrec2` functions `hf`, `hg`, `hh`, we can  
567 compose them with the “Cases Principle” to get a new function, which the  
568 lemma states is `primrec`. We remark that all other cases/recursion/induction  
569 principles in `mathlib` are stated in a similar fashion. The proof, as usual, is based  
570 on the fact that more elementary operations are already proven to be `primrec`  
571 in `mathlib`.

## 572 6. Conclusion and developments

573 We give a concrete example of reversible programming in a proof-assistant.  
574 We think it is a valuable operation because programming reversible algorithms  
575 is not as much wide-spread as classical iterative/recursive programming, in par-  
576 ticular by means of a tool that allows us to certify the result. Other proof  
577 assistants have been considered, and in fact the same theorems have also been  
578 proved in `Coq`, but we found that the use of the `mathlib` library together with  
579 the `simp` tactic made our experience with `Lean` much smoother. Furthermore,  
580 our work can migrate to `Lean 4` whose stable release is announced in the near  
581 future. `Lean 4` exports its source code as efficient `C` code [21]; our and other  
582 reversible algorithms can become efficient extensions of `Lean 4`, or standalone,  
583 and `C` applications.

584 The most application-oriented obvious goal to mention is to keep developing  
585 a Reversible Computation-centered certified software stack, spanning from a  
586 programming formalism more friendly than `rpp`, down to a certified emulator of  
587 `Pendulum ISA`, passing through compiler, and optimizer whose properties we  
588 can certify. For example, we can also think of endowing `Pendulum ISA` emulators  
589 with energy-consumption models linked to the entropy that characterize the  
590 reversible algorithms we program, or the `Pendulum ISA` object code we can  
591 generate from them.

592 A more speculative direction, is to keep exploring the existence of program-  
593 ming schemes in `rpp` able to generate functions, other than Cantor Pairing,  
594 etc., which we can see as discrete space-filling functions, whose behavior we can  
595 describe as steps, which we count, along a path in some space.

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