

# Certifying expressive power and algorithms of Reversible Primitive Permutations with Lean

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## Abstract

Reversible primitive permutations (RPP) is a class of recursive functions that models reversible computation. We present a proof, which has been verified using the proof-assistant `Lean`, that demonstrates RPP can encode every primitive recursive function (PRF-completeness) and that each RPP can be encoded as a primitive recursive function (PRF-soundness). Our proof of PRF-completeness is simpler and fixes some errors in the original proof, while also introducing a new reversible iteration scheme for RPP. By keeping the formalization and semi-automatic proofs simple, we are able to identify a single programming pattern that can generate a set of reversible algorithms within RPP: Cantor pairing, integer division quotient/remainder, and truncated square root. Finally, `Lean` source code is available for experiments on reversible computation whose properties can be certified.

*Keywords:* Reversible computation, Primitive recursion, Lean

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## 1. Introduction

Landauer's studies [1, 2], which were inspired by Szilard's [3] and focused on Maxwell's [4] questions about the foundations of Thermodynamics, acknowledged the critical role that Reversible Computation can play in addressing these issues.

Reversible Computation is a significant area in Computer Science that encompasses various aspects such as reversible hardware design, unconventional computational models (such as quantum or bio-inspired ones), parallel computation and synchronization issues, debugging techniques, and transaction roll-back in database management systems. The book [5] is a comprehensive introduction to the subject; the book [6], focused on the low-level aspects of Reversible Computation, concerning the realization of reversible hardware, and [7], focused on how models of Reversible Computation like Reversible Turing Machines (RTM), and Reversible Cellular Automata (RCA) can be considered universal and how to prove that they enjoy such a property, are complementary to, and integrate [5].

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*Preprint submitted to Elsevier*

22 This work focuses on the *functional model* RPP [8] of Reversible Computa-  
 23 tion. RPP stands for (the class of) Reversible Primitive Permutations, which  
 24 can be seen as a possible reversible counterpart of PRF, the class of Primitive  
 25 Recursive functions [9]. We recall that RPP, in analogy with PRF, is defined as  
 26 the smallest class built on some given basic reversible functions, closed under  
 27 suitable composition schemes. The very functional nature of the elements in  
 28 RPP is at the base of reasonably accessible proofs of the following properties:

- 29 • RPP is PRF-complete [8]: for every function  $F \in \text{PRF}$  with arity  $n \in \mathbb{N}$ ,  
 30 both  $m \in \mathbb{N}$  and  $\mathbf{f}$  in RPP exist such that  $\mathbf{f}$  encodes  $F$ , i.e.  $\mathbf{f}(z, \bar{x}, \bar{y}) = (z +$   
 31  $F(\bar{x}), \bar{x}, \bar{y})$ , for every  $\bar{x} \in \mathbb{N}^n$ , whenever all the  $m$  variables in  $\bar{y}$  are set to  
 32 the value 0. Both  $z$  and the tuple  $\bar{y}$  are *ancillae*. They can be thought of  
 33 as temporary storage for intermediate computations of the encoding.
- 34 • RPP can be extended to become Turing-complete [10] by means of a min-  
 35 imization scheme analogous to the one that extends PRF to the Turing-  
 36 complete class of *Partial* Recursive Functions.
- 37 • According to [11], RPP and the reversible programming language SRL [12]  
 38 are equivalent, meaning that RPP inherits from SRL the undecidability  
 39 of the fix-point problem [13]. To clarify, the fix-point problem asks for a  
 40 tuple  $\bar{x}$  such that  $f(\bar{x}) = \bar{x}$ , where  $f$  is a function in RPP. This problem is  
 41 studied as a step towards determining whether the equivalence of functions  
 42 in RPP is decidable or undecidable.

43 We think that this study provides additional support for the idea that using  
 44 recursive computational models like RPP to express Reversible Computation  
 45 allows for the relatively easy certification of the correctness or other properties  
 46 of RPP algorithms through proof-assistants, potentially leading to the discovery  
 47 of new algorithms.

48 We recall that a proof-assistant is an integrated environment to formalize  
 49 data-types, to implement algorithms on them, to formalize specifications and  
 50 prove that they hold, increasing algorithms dependability.

51 *Contributions.* We show how to express RPP and its evaluation mechanism  
 52 inside the proof-assistant Lean [14]. We can certify the correctness of every  
 53 reversible function of RPP with respect to a given specification which means  
 54 certifying all the main results in [8]. In more detail:

- 55 • We give a strong guarantee that RPP is PRF-complete in three macro  
 56 steps. We exploit that, in Lean mathlib library, PRF is proved equivalent  
 57 to a class of recursive *unary* functions called `primrec`. We define a data-  
 58 type `rpp` in Lean to represent RPP. Then, we certify that, for any function  
 59 `f:primrec`, i.e. any unary `f` with type `primrec` in Lean, a function exists  
 60 with type `rpp` that encodes `f:primrec`. Apart from fixing some bugs, our  
 61 proof is fully detailed as compared to [8]. Moreover it is conceptually and  
 62 technically simpler.

- 63 • We also give a strong guarantee that RPP is PRF-sound (that is, each  
64 RPP is expressible as PRF) thus completing the work in [15], by proving  
65 that the two classes of functions have the same expressivity. Again, for  
66 the proof of this fact we exploit the definitions and theorems in `mathlib`  
67 concerning primitive recursive functions.
- 68 • Concerning simplification, it follows from how the elements in `primrec`  
69 work. It is characterized by the following aspects:
  - 70 – we define a single *new* finite reversible iteration scheme subsuming  
71 the reversible iteration schemes in RPP, and SRL;
  - 72 – we identify an algorithmic pattern which uniquely associates elements  
73 of  $\mathbb{N}^2$ , and  $\mathbb{N}$  by counting steps in specific paths. The pattern is  
74 obtained through the iteration of a function `step(_)`, and becomes a  
75 reversible element in `rpp` once fixed the parameter (`_`) it depends on.  
76 Slightly different parameter instances generate reversible algorithms  
77 whose behavior we can certify in `Lean`. They are truncated Square  
78 Root, Quotient/Reminder of integer division, and Cantor Pairing  
79 [16, 17]. The original proof in [8] that RPP is PRF-complete relies on  
80 Cantor Pairing, used as a stack to keep the representation of a PRF  
81 function as element of RPP reversible. Our proof in `Lean` replaces  
82 Cantor Pairing with a reversible representation of functions `mkpair`  
83 `/unpair` that `mathlib` supplies as isomorphism  $\mathbb{N} \times \mathbb{N} \simeq \mathbb{N}$ . The  
84 truncated Square Root is the basic ingredient to obtain reversible  
85 `mkpair/unpair`.

86 *Related work.* Concerning the formalization in a proof-assistant of the seman-  
87 tics, and its properties, of a formalism for Reversible Computation, we are aware  
88 of [18]. By means of the proof-assistant `Matita` [19], it certifies that a denota-  
89 tional semantics for the imperative reversible programming language `Janus` [5,  
90 Section 8.3.3] is fully abstract with respect to the operational semantics.

91 Concerning *functional models* of Reversible Computation, we are aware of  
92 [20] which introduces the class of reversible functions `RI`, which is as expressive  
93 as the *Partial* Recursive Functions. So, `RI` is stronger than RPP; however we see  
94 `RI` as less abstract than RPP for two reasons: (i) the primitive functions of `RI`  
95 depend on a given specific binary representation of natural numbers; (ii) unlike  
96 RPP, which we can see as PRF in a reversible setting, it is not evident to us that  
97 `RI` can be considered the natural extension of a total class analogous to RPP.

98 Finally, this work, starting from relevant parts of the BSc Thesis [21], which  
99 comes with a `Lean` project [22] that certifies both properties and algorithms of  
100 RPP, strictly extends [15] with the proof that RPP is PRF-sound.

101 *Contents.* Section 2 recalls the class RPP by commenting on the main design  
102 aspects that characterize its definition inside `Lean`. Section 3 defines and proves  
103 correct new reversible algorithms central to the proof. Section 4 recalls the  
104 main aspects of `primrec`, and illustrates the key steps to port the original PRF-  
105 completeness proof of RPP to `Lean`. Section 5 shows how we used the constructs

```

inductive rpp : Type
  -- Base functions
  | Id (n : ℕ) : rpp -- Identity
  | Ne : rpp         -- Sign-change
  | Su : rpp         -- Successor
  | Pr : rpp         -- Predecessor
  | Sw : rpp         -- Transposition or Swap
  -- Inductively defined functions
  | Co (f g : rpp) : rpp -- Series composition
  | Pa (f g : rpp) : rpp -- Parallel composition
  | It (f : rpp) : rpp  -- Finite iteration
  | If (f g h : rpp) : rpp -- Selection
infix '||' : 55 := Pa -- Notation for the Parallel composition
infix ';;' : 50 := Co -- Notation for the Series composition

```

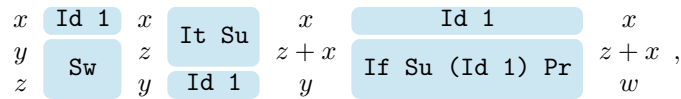
Figure 1: The class RPP as a data-type `rpp` in Lean.

present in the `mathlib` library to prove the PRF-soundness of RPP. Section 6 is about possible developments.

## 2. Reversible Primitive Permutations (RPP)

We use the data-type `rpp` in Figure 1, as defined in Lean, to recall from [8] that the class RPP is the smallest class of functions that contains five base functions, named as in Figure 1, and all the functions that we can generate by the composition schemes whose name is next to the corresponding clause in Figure 1. For ease of use and readability the last two lines in Figure 1 introduce infix notations for series and parallel composition.

*Example 1* (A term of type `rpp`). In `rpp` we can write `(Id 1 || Sw) ;; (It Su) || (Id 1) ;; (Id 1 || If Su (Id 1) Pr)` which we also represent as a diagram:



where:

$$w = \begin{cases} y+1 & \text{if } z+x > 0 \\ y & \text{if } z+x = 0 \\ y-1 & \text{if } z+x < 0 \end{cases} .$$

The inputs are the names to the left-hand side of the blocks; the outputs are to their right-hand side. The term here above is a series composition of three parallel compositions. The first one composes a unary identity `Id 1`, which leaves its unique input untouched, and `Sw`, which swaps its two arguments.

```

def arity : rpp → ℕ
| (Id n) := n
| Ne     := 1
| Su     := 1
| Pr     := 1
| Sw     := 2
| (f || g) := f.arity + g.arity
| (f ;; g) := max f.arity g.arity
| (It f)  := 1 + f.arity -- 'It f' has an extra argument as compared to 'f'
| (If f g h) := 1 + max (max f.arity g.arity) h.arity

```

Figure 2: Arity of every  $f : \text{rpp}$ .

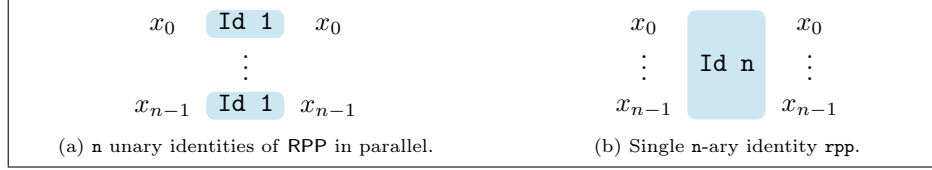
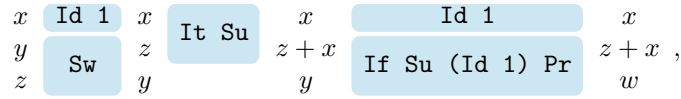


Figure 3:  $n$ -ary identities are base functions of  $\text{rpp}$ .

119 Then, the  $x$ -times iteration of the successor  $\text{Su}$ , i.e.  $\text{It Su}$ , is in parallel with  $\text{Id}$   
120 1: that is why one of the outputs of  $\text{It Su}$  is  $z + x$ . Finally,  $\text{If Su (Id 1) Pr}$   
121 selects which among  $\text{Su}$ ,  $\text{Id 1}$ , and  $\text{Pr}$  to apply to the argument  $y$ , depending on  
122 the value of  $z + x$ ; in particular,  $\text{Pr}$  is the function that computes the predecessor  
123 of the argument. Figure 5 will give the operational semantics which defines  $\text{rpp}$   
124 formally as a class of functions on  $\mathbb{Z}$ , not on  $\mathbb{N}$ .  $\square$

*Remark 1* (“Weak weakening” of algorithms in  $\text{rpp}$ ). We typically drop  $\text{Id m}$  if it is the last function of a parallel composition. For example, term and diagram in *Example 1* become  $(\text{Id 1} \parallel \text{Sw}) ;; (\text{It Su}) ;; (\text{Id 1} \parallel \text{If Su (Id 1) Pr})$  and:



where:

$$w = \begin{cases} y + 1 & \text{if } z + x > 0 \\ y & \text{if } z + x = 0 \\ y - 1 & \text{if } z + x < 0 \end{cases} .$$

125 *Remark 2* explains why.  $\square$

126 The function in Figure 2 computes the arity of any  $f : \text{rpp}$  from the structure of  
127  $f$ , once fixed the arities of the base functions;  $f.\text{arity}$  is Lean dialect for the  
128 more typical notation “ $\text{arity}(f)$ ”.

129 Figure 3 remarks that  $\text{rpp}$  considers  $n$ -ary identities  $\text{Id n}$  as primitive; in RPP  
130 the function  $\text{Id n}$  is obtained by parallel composition of  $n$  unary identities.

```

def inv : rpp → rpp
| (Id n)      := Id n -- self-dual
| Ne          := Ne   -- self-dual
| Su          := Pr
| Pr          := Su
| Sw          := Sw   -- self-dual
| (f || g)    := inv f || inv g
| (f ;; g)    := inv g ;; inv f
| (It f)      := It (inv f)
| (If f g h)  := If (inv f) (inv g) (inv h)
notation f '⁻¹' := inv f

```

Figure 4: Inverse  $\text{inv } f$  of every  $f:\text{rpp}$ .

```

def ev : rpp → list ℤ → list ℤ
| (Id n)      X      := X
| Ne          (x :: X) := -x :: X
| Su          (x :: X) := (x + 1) :: X
| Pr          (x :: X) := (x - 1) :: X
| Sw          (x :: y :: X) := y :: x :: X
| (f ;; g)    X      := ev g (ev f X)
| (f || g)    X      := ev f (take f.arity X) ++ ev g (drop f.arity X)
| (It f)      (x :: X) := x :: ((ev f)^[x] X)
| (If f g h) (0 :: X) := 0 :: ev g X
| (If f g h) (((n : ℕ) + 1) :: X) := (n + 1) :: ev f X
| (If f g h) (-(1 + n) :: X) := -(1 + n) :: ev h X
| _          X      := X
notation '⟨' f '⟩' := ev f

```

Figure 5: Operational semantics of elements in  $\text{rpp}$ .

131 For any given  $f:\text{rpp}$ , the function  $\text{inv}$  in Figure 4 builds an element with  
132 type  $\text{rpp}$ . The definition of  $\text{inv}$  lets the successor  $\text{Su}$  be inverse of the predecessor  
133  $\text{Pr}$  and lets every other base function be self-dual. Moreover, the function  $\text{inv}$   
134 distributes over finite iteration  $\text{It}$ , selection  $\text{If}$ , and parallel composition  $\parallel$ , while  
135 it requires to exchange the order of the arguments before distributing over the  
136 series composition  $;;$ . The last line with **notation** suggests that  $f^{-1}$  is the  
137 inverse of  $f$ ; we shall prove this fact once given the operational semantics of  
138  $\text{rpp}$ .

### 139 2.1. Operational semantics of $\text{rpp}$

140 The function  $\text{ev}$  in Figure 5 interprets an element of  $\text{rpp}$  as a function from a  
141 list of integers to a list of integers. Originally, in [8], RPP is a class of functions  
142 with type  $\mathbb{Z}^n \rightarrow \mathbb{Z}^n$ . We use  $\text{list } \mathbb{Z}$  in place of tuples of  $\mathbb{Z}$  to exploit Lean  
143 library `mathlib` and save a large amount of formalization.

144 Let us give a look at the clauses in Figure 5.

145 The function `(Id n)` leaves the input list `X` untouched. `Ne` “negates”, i.e.  
 146 takes the opposite sign of, the head of the list, while `Su` increments, and `Pr`  
 147 decrements it. `Sw` is the transposition, or swap, that exchanges the first two  
 148 elements of its argument. The series composition `(f;;g)` first applies `f` and  
 149 then `g`. The parallel composition `(f||g)` splits `X` into two parts. The “topmost”  
 150 one `(take f.arity X)` has as many elements as the arity of `f`; the “lowermost”  
 151 one `(drop f.arity X)` contains the part of `X` that can supply the arguments  
 152 to `g`. Finally, it concatenates the two resulting lists by the append `++`.

153 *Finite iteration* `(It f)` is interpreted as follows:

154  $(It\ f)\ (x :: X) := x :: ((ev\ f)^\sim[\downarrow x]\ X)$

155 whose behavior depends on two custom notations (*Defining custom notations*  
 156 is a feature of `Lean`):

- 157 • for a function `f` and a natural number `n`, the notation `f~[n]` is defined in  
 158 `mathlib` and means “`f` iterated `n` times”;
- 159 • for an integer `n : ℤ`, we define the custom notation `↓n` to mean the natural  
 160 number 0 if `n` is negative, and `n` as a natural number otherwise.

161 Thus, the meaning  $x :: ((ev\ f)^\sim[\downarrow x]\ X)$  can be summarized according to  
 162 two cases:

- 163 • If `x` is non negative, then  $x :: ((ev\ f)^\sim[\downarrow x]\ X)$  is a new list:
  - 164 – `x` is its head;
  - 165 – the tail results from evaluating the *notation* `(ev f)~[↓x] X`, and is  
 166 equivalent to `(ev f)((ev f)( ... (ev f) X ... ))` with as many  
 167 occurrences of `ev f` as the value of `x`.
- 168 • Otherwise, if `x` is negative, then  $x :: ((ev\ f)^\sim[\downarrow x]\ X)$  is the identity,  
 169 yielding  $x :: X$ .

170 *Selection* `(If f g h)` chooses one among `f`, `g`, and `h`, depending on the argu-  
 171 ment head `x`: it is `g` with `x = 0`, it is `f` with `x > 0`, and `h` with `x < 0`. The last  
 172 line of Figure 5 sets a handy notation for `ev`.

173 *Remark 2* (We want to keep the definition of `ev` simple). Based on our definition,  
 174 using `Lean`, we show that:

175 **theorem** `ev_split` `(f: rpp) (X: list ℤ):`  
 176 `<f> X = (<f> (take f.arity X)) ++ drop f.arity X`

177 holds. It is one of the most complex properties to prove because it essentially  
 178 says that we can apply any `<f>` to *any* `X` with at least as many elements as  
 179 `arity f`.

180 The proof is based on two observations.

181 First, if  $X.length \geq f.arity$ , i.e.  $X$  supplies enough arguments, then  $f$   
 182 operates on the first elements of  $X$  according to its arity. This justifies *Remark 1*.  
 183 Second, if  $X.length < f.arity$  holds, i.e.  $X$  has not enough elements, then  
 184  $f$   $X$  has an unspecified behavior; this might sound odd, but it simplifies the  
 185 certified proofs of must-have properties of `rpp`.  $\square$

186 *2.2. The functions `inv` and `h` are each other inverse*

187 Once defined `inv` in Figure 4 and `ev` in Figure 5 we can prove:

188 **theorem** `inv_co_l` ( $h : rpp$ ) ( $X : list \mathbb{Z}$ ) :  $\langle h ;; h^{-1} \rangle X = X$   
 189 **theorem** `inv_co_r` ( $h : rpp$ ) ( $X : list \mathbb{Z}$ ) :  $\langle h^{-1} ;; h \rangle X = X$

190 certifying that  $h$  and  $h^{-1}$  are each other inverse. We start by focusing on the  
 191 main details to prove **theorem** `inv_co_l` in Lean. The proof proceeds by (struc-  
 192 tural) induction on  $h$ , which generates 9 cases, one for each clause that defines  
 193 `rpp`. One can go through the majority of them smoothly. Some comments about  
 194 two of the more challenging cases follow.

195 *Parallel composition.* Let  $h$  be some parallel composition, whose main construc-  
 196 tor is `Pa`. The step-wise proof of `inv_co_l` is:

197  $\langle f || g ;; (f || g)^{-1} \rangle X$   
 198  $= \langle f || g ;; f^{-1} || g^{-1} \rangle X$  -- by definition  
 199  $(!) = \langle (f ;; f^{-1}) || (g ;; g^{-1}) \rangle X$  -- lemma `pa_co_pa`, arity\_inv below  
 200  $= \langle f ;; f^{-1} \rangle (take\ f.arity\ X) ++ \langle g ;; g^{-1} \rangle (drop\ f.arity\ X)$   
 201 -- by definition  
 202  $= take\ f.arity\ X ++ drop\ f.arity\ X$  -- by ind. hyp.  
 203  $= X$  -- property of `++` (append),

204 where the equivalence  $(!)$  holds because we can prove both:

205 **lemma** `pa_co_pa` ( $f\ f' : rpp$ ) ( $g\ g' : rpp$ ) ( $X : list \mathbb{Z}$ ) :  
 206  $f.arity = f'.arity \rightarrow \langle f || g ;; f' || g' \rangle X = \langle (f ;; f') || (g ;; g') \rangle X$  ,  
 207 **lemma** `arity_inv` ( $f : rpp$ ) :  $f^{-1}.arity = f.arity$  .

208 Proving **lemma** `arity_inv`, i.e. that the arity of a function does not change if  
 209 we invert it, assures that we can prove **lemma** `pa_co_pa`, i.e. that series and  
 210 parallel compositions smoothly distribute reciprocally.

211 *Iteration.* Let  $h$  be a finite iterator whose main constructor is `It`. The goal  
 212 to prove is  $\langle It\ f ;; It\ f^{-1} \rangle x :: X = x :: X$  which reduces to  $\langle f^{-1} \rangle^{\wedge [\downarrow x]} (\langle f \rangle^{\wedge [\downarrow x]} X') = X'$ , where, we recall, the notation  $\langle f \rangle^{\wedge [\downarrow x]}$  means “ $\langle f \rangle$  applied  
 213  $x$  times, if  $x$  is positive”. This can be restated as the proposition function.  
 214 `left_inverse`  $g^{\wedge [n]} f^{\wedge [n]}$ , where:  
 215

216 **def** `left_inverse` ( $g : \beta \rightarrow \alpha$ ) ( $f : \alpha \rightarrow \beta$ ) : **Prop** :=  
 217  $\forall x, g\ (f\ x) = x$



218 is defined in `mathlib`. We can make use of theorem `function.left_inverse`.  
 219 `iterate`, also present in `mathlib`, which states that if `function.left_inverse`  
 220 `g f` is true, then also `function.left_inverse g^[n] f^[n]` is.

221 To conclude, let us see how the proof of `inv_co_r` works. It does not copy-  
 222 cat the one of `inv_co_l`, which would require a lot of repetitions. It instead  
 223 relies on proving:

224 `lemma inv_involute (f : rpp) : (f-1)-1 = f ,`

225 which says that applying `inv` twice is the identity, and on using `inv_co_l`:

226 `<f-1 ;; f> X = X -- which, by inv_involute, is equivalent to`  
 227 `<f-1 ;; (f-1)-1> X = X -- which holds because it is an`  
 228 `instance of (inv_co_l f-1) .`

229 A less general, but semantically more appropriate version of `inv_co_l` and  
 230 `inv_co_r` could be:

231 `theorem inv_co_l (f : rpp) (X : list ℤ) :`  
 232 `f.arity ≤ X.length → <f ;; f-1> X = X`  
 233 `theorem inv_co_r (f : rpp) (X : list ℤ) :`  
 234 `f.arity ≤ X.length → <f-1 ;; f> X = X`

235 because, recalling *Remark 2*, the application `(f X)` makes sense when `f.arity`  
 236 `≤ X.length`. Fortunately, the way we defined `rpp` allows us to state `inv_co_l`  
 237 or `inv_co_r` in full generality with no reference to `f.arity ≤ X.length`.

### 238 2.3. Changes from the original definition

239 The definition of `rpp` in `Lean` is really very close to the original RPP, but  
 240 not identical. The goal is to simplify the overall task of formalization and  
 241 certification. The brief list of changes follows.

- 242 • As already outlined, `It` and `If` use the head of the input list to iterate or  
 243 choose: taking the head of a list with pattern matching is obvious. In [8],  
 244 it is the last element in the input tuple that drives iteration and selection  
 245 of RPP.
- 246 • `Id n`, for any `n:ℕ`, is primitive in `rpp` and derived in RPP.
- 247 • Using `list ℤ → list ℤ` as the domain of the function that interprets  
 248 any given element `f:rpp` avoids letting the type of `f:rpp` depend on the  
 249 arity of `f`. To know the arity of `f` it is enough to invoke `arity f`. Finally,  
 250 we observe that getting rid of a dependent type like, say, `rpp n`, allows  
 251 us to escape situations in which we would need to compare equal but not  
 252 definitionally equal types like `rpp (n+1)` and `rpp (1+n)`.
- 253 • The new finite iterator `It f (x::t): list ℤ` subsumes the finite itera-  
 254 tors `ItR` in RPP, and `for` in SRL. This means that `It` is equally expressive,  
 255 but it is simpler for `Lean` to prove that its definition is terminating.
- 256 More specifically, we recall that:

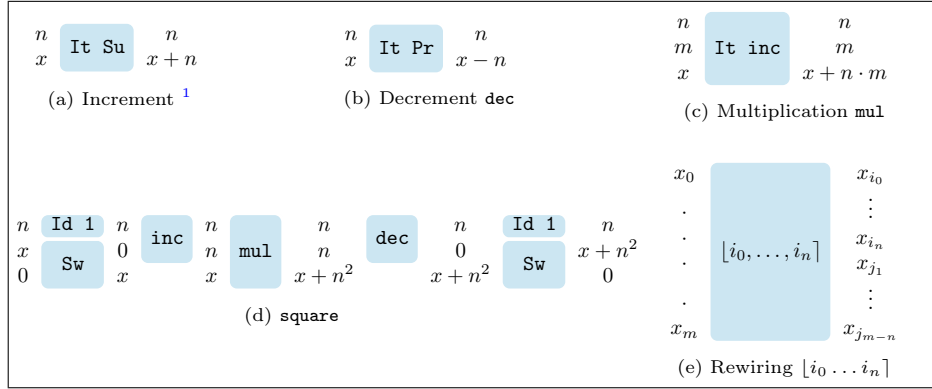


Figure 6: Some useful functions of **rpp**

- 257 – **ItR**  $f(x_0, x_1, \dots, x_{n-2}, x)$  simply evaluates to  $f(f(\dots f(x_0, x_1, \dots,$
- 258  $x_{n-2}) \dots))$  with  $|x|$  occurrences of  $f$ ;
- 259 – **for**( $f$ )( $x_0, x_1, \dots, x_{n-2}, x$ ) is slightly more complex:
- 260 1. it evaluates to  $f(f(\dots f(x_0, x_1, \dots, x_{n-2}) \dots))$ , with  $x$  occur-
- 261 rences of  $f$ , if  $x > 0$ ;
- 262 2. it evaluates to  $f^{-1}(f^{-1}(\dots f^{-1}(x_0, x_1, \dots, x_{n-2}) \dots))$ , with
- 263  $-x$  occurrences of  $f^{-1}$ , if  $x < 0$ ;
- 264 3. it behaves like the identity if  $x = 0$ .

We know how to define both **ItR** and **for** in terms of **It**:

$$\mathbf{ItR} \ f = (\mathbf{It} \ f);;\mathbf{Ne};;(\mathbf{It} \ f);;\mathbf{Ne} \quad (1)$$

$$\mathbf{for}(f) = (\mathbf{It} \ f);;\mathbf{Ne};;(\mathbf{It} \ f^{-1});;\mathbf{Ne} \ . \quad (2)$$

265 *Example 2* (How does (1) work?). Whenever  $x > 0$ , the leftmost **It**  $f$

266 in (1) iterates  $f$ , while the rightmost one does nothing because **Ne** in

267 the middle negates  $x$ . On the contrary, if  $x < 0$ , the leftmost **It**  $f$  does

268 nothing and the iteration is performed by the rightmost iteration, because

269 **Ne** in the middle negates  $x$ . In both cases, the last **Ne** restores  $x$  to its

270 initial sign. But this is the behavior of **ItR**, as we wanted.  $\square$

### 271 3. RPP algorithms central to our proofs

272 Figure 6 recalls definition, and behavior of some **rpp** functions already in-

273 troduced in [8].

<sup>1</sup>Note that using our definition, the variable  $n$  must be non-negative in order to have the shown behavior, otherwise the function acts as the identity. This is why it's called *increment* and not *addition*.

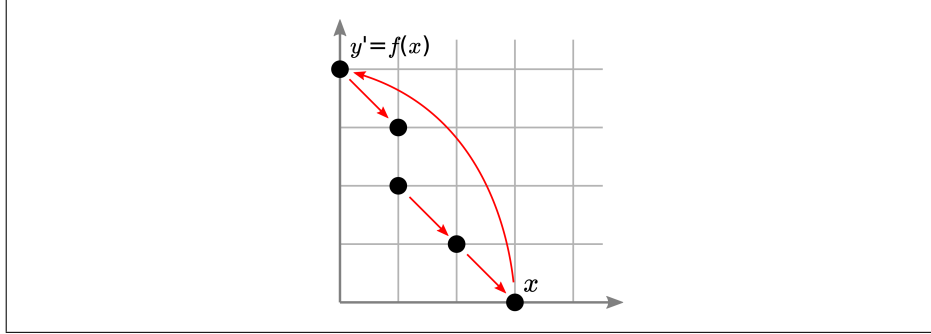


Figure 7: The function  $f$  determines the behavior of the path when, after moving diagonally, the  $x$ -axis is reached.

It is worth commenting on how the function *rewiring*  $[i_0 \dots i_n]$  works. Let  $\{i_0, \dots, i_n\} \subseteq \{0, \dots, m\}$  be a set of  $n+1$  distinct indices between 0 and  $m$ , and  $\{j_1, \dots, j_{m-n}\} = \{0, \dots, m\} \setminus \{i_0, \dots, i_n\}$  which we assume such that  $j_k < j_{k+1}$ , for every  $1 \leq k < m-n$ . By definition,  $[i_0, \dots, i_n](x_0, \dots, x_m) = (x_{i_0}, \dots, x_{i_n}, x_{j_1}, \dots, x_{j_{m-n}})$ , i.e. rewiring brings every input with index in  $\{i_0, \dots, i_n\}$  in front of all the inputs with index in  $\{j_1, \dots, j_{m-n}\}$ , preserving the order.

### 3.1. The algorithm scheme **step** $(\_)$

Figure 8 identifies the *new algorithm scheme step*  $(\_)$ . Depending on how we fill the hole  $(\_)$ , we get step functions that, once iterated, draw paths in  $\mathbb{N}^2$ .

More precisely, suppose that  $i \in \mathbb{N}$ , that  $(x, y) \in \mathbb{N}^2$  is a point in the 2-dimensional grid  $\mathbb{N}^2$ , that  $z \in \mathbb{Z}^n$  is additional data and, finally, that  $f : \mathbb{N} \times \mathbb{Z}^n \rightarrow \mathbb{N} \times \mathbb{Z}^n$  is a function. We want the following behavior: starting from  $(x, y)$ , we take  $i$  “steps” in  $\mathbb{N}^2$ . A *step* is an update rule. It move position  $(x, y)$  to  $(x', y')$ , written  $(x, y) \mapsto (x', y')$ , and data  $z$  to  $z'$ , i.e.  $z \mapsto z'$ , as follows:

1. if  $y > 0$ , we pose  $(x, y) \mapsto (x+1, y-1)$  and  $z \mapsto z$ ;
2. if  $y = 0$ , we pose  $(x, 0) \mapsto (0, y')$  and  $z \mapsto z'$  where  $(y', z') = f(x, z)$ .

In Figure 7, the downward arrows represent steps as described at point 1 here above, while the upward arrow represents the one defined at point 2.

A seemingly straightforward way to implement this behavior in **rpp** would be the following: move the argument  $y$  to the head; use the conditional **If** to perform either a diagonal movement or the jump from the  $x$ -axis to the  $y$ -axis, depending on the sign of  $y$ . Unfortunately this does not work, because **rpp** (and more deeply, the constraints of reversibility) prevent a variable to be in both the condition of **If** and among the affected variables. The current implementation of **step** is illustrated in Figure 8. It avoids the issue by using an additional variable which is set to 1 (respectively 0), depending on whether  $y$  is  $> 0$ , (respectively  $= 0$ ), eventually setting it back to 0 in either cases based on the affected variables.

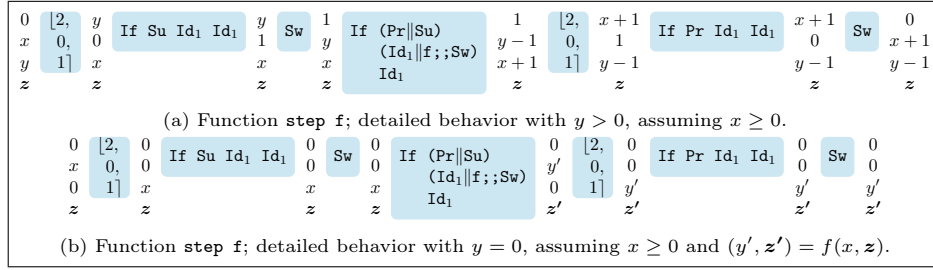


Figure 8: Definition and behavior of **step f**. The algorithm we can obtain from it depends on the function **f**; the notation  $\text{Id}_1$  is shorthand for  $\text{Id } 1$ .

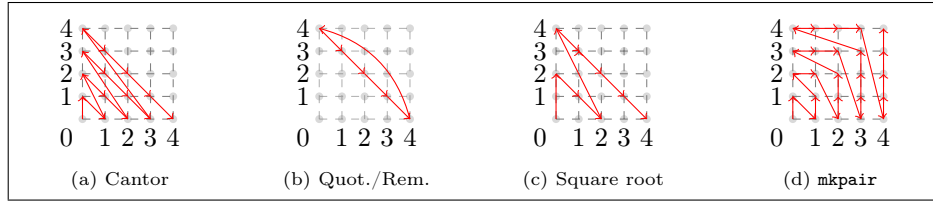


Figure 9: Paths in  $\mathbb{N}^2$  that generate algorithms in **rpp**.

Thus, given  $\mathbf{f} : \mathbf{rpp}$ , we model the behavior of a single step with **step f** and we perform an iteration of this step with **It (step f)**.

On top of the functions in Figures 6, and 8 we build Cantor Pairing/Unpairing, Quotient/Reminder of integer division, and truncated Square Root which correspond to visiting  $\mathbb{N}^2$  as in Figures 9a, 9b, and 9c, respectively. The pairing function **mkpair**, which behaves as in Figure 9d, and which is an alternative to Cantor Pairing/Unpairing, has a more complex definition; it will be a necessary ingredient of our main proof.

*Cantor (Un-)Pairing.* The standard definition of Cantor Pairing  $\mathbf{cp} : \mathbb{N}^2 \rightarrow \mathbb{N}$  and Un-pairing  $\mathbf{cu} : \mathbb{N} \rightarrow \mathbb{N}^2$ , two bijections one inverse of the other, is:

$$\mathbf{cp}(x, y) = \sum_{i=1}^{x+y} i + x = \frac{(x+y)(x+y+1)}{2} + x \quad (3)$$

$$\mathbf{cu}(n) = \left( n - \frac{i(1+i)}{2}, \frac{i(3+i)}{2} - n \right), \quad (4)$$

where  $i = \left\lfloor \frac{\sqrt{8n+1}-1}{2} \right\rfloor$ .

Figure 10 has all we need to define Cantor Pairing  $\mathbf{cp} : \mathbf{rpp}$ , and Un-pairing  $\mathbf{cu} : \mathbf{rpp}$ . In Figure 10a,  $\mathbf{cp\_in}$  is the natural algorithm in **rpp** to implement (3). As expected, the input pair  $(x, y)$  is part of  $\mathbf{cp\_in}$  output, a fact that the suffix “\_in” recalls in the name of the function. In order to drop  $(x, y)$  from the output of  $\mathbf{cp\_in}$ , and to obtain  $\mathbf{cp}$  as in Figure 10c, we apply *Bennett’s trick* using  $\mathbf{cu\_in}^{-1}$ , i.e. the inverse of  $\mathbf{cu\_in}$ , whose definition is completely new, as compared to the corresponding one defined previously in [8]. The intuition

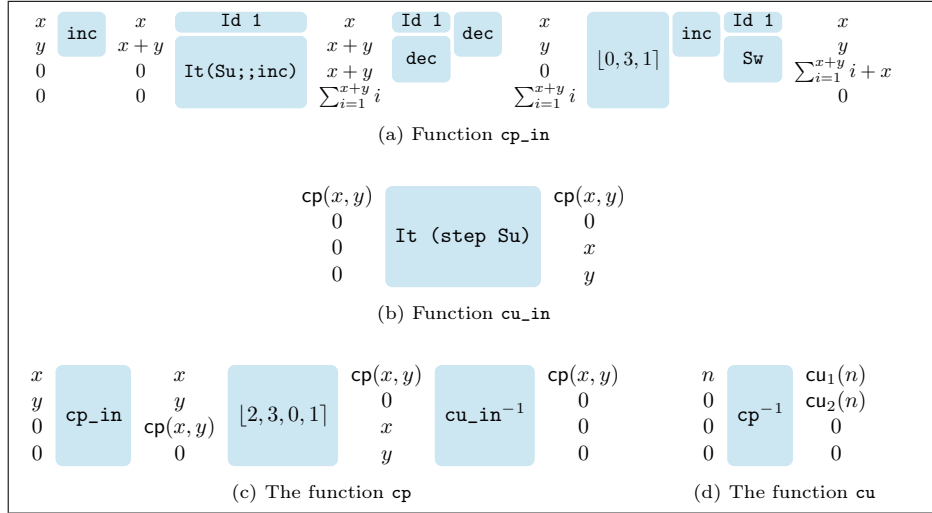


Figure 10: Cantor Pairing and Un-pairing.

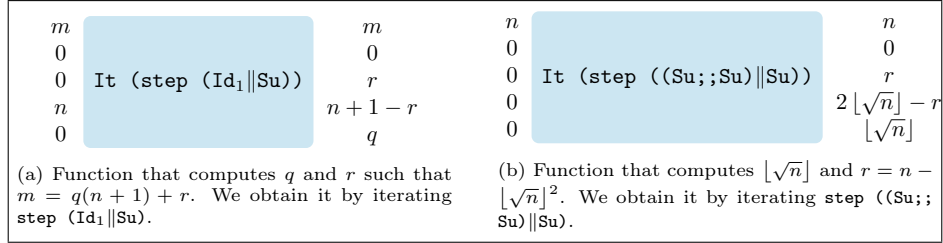


Figure 11: Quotient/Reminder and Square root.

318 behind  $cu\_in$  is as follows. Let us fix any point  $(x, y) \in \mathbb{N}^2$ . We can realize  
 319 that, starting from the origin, if we follow as many steps as the value  $cp(x, y)$   
 320 in Figure 9a, we stop exactly at  $(x, y)$ . Comparing this to Figure 7, we realize  
 321 that this is no other than  $\text{step } f$  where  $f = \text{Su}$  i.e. increment by one.

322 *Quotient and reminder.* Let us focus on the path in Figure 9b. It starts at  $(0, n)$   
 323 (with  $n = 4$ ), and, at every step, the next point is in *direction*  $(+1, -1)$ . When  
 324 it reaches  $(n, 0)$  (with  $n = 4$ ), instead of jumping to  $(0, n + 1)$ , as in Figure 9a,  
 325 it lands again on  $(0, n)$ . The idea is to keep looping on the same diagonal. This  
 326 behavior can be achieved by iterating  $\text{step } (\text{Id}_1 \parallel \text{Su})$ . Figure 11a shows that  
 327 we are doing modular arithmetic. Globally, it takes  $n + 1$  steps from  $(0, n)$   
 328 to itself by means of  $\text{step } (\text{Id}_1 \parallel \text{Su})$ . Specifically, if we assume we have  
 329 performed  $m$  steps along the diagonal, and we are at point  $(x, y)$ , we have that  
 330  $x \equiv m \pmod{n + 1}$  and  $0 \leq x \leq n$ . So, if we increase a counter by one each  
 331 time we reset our position to  $(0, n)$  we can calculate quotient and reminder.

332 *Truncated Square root.* Let us focus on the path in Figure 9c. It starts at  $(0, 0)$ .  
 333 Whenever it reaches  $(x, 0)$  it jumps to  $(0, x + 2)$ , otherwise the next point is in

334 *direction*  $(+1, -1)$ . The behavior can be achieved by iterating `step ((Su;;Su`  
335 `)||Su)` as in Figure 11b. In order to compute  $\lfloor \sqrt{n} \rfloor$ , besides implementing the  
336 above path, the function `step ((Su;;Su)||Su)` counts in  $k$  the number of jumps  
337 occurred so far along the path. In particular, starting from  $(0, 0)$ , the first jump  
338 occurs in the first step; the next one in the  $(1 + 3)$ th, then the  $(1 + 3 + 5)$ th,  
339 then the  $(1 + 3 + 5 + 7)$ th etc. Since we know that  $1 + 3 + \dots + (2k - 1) = k^2$  for  
340 any  $k$ , letting  $n$  be the number of iterations (and hence the numbers of steps)  
341 we have that  $k$  is such that  $k^2 \leq n < (k + 1)^2$ ; i.e.  $k = \lfloor \sqrt{n} \rfloor$ .

342 *Remark 4.* The value  $2 \lfloor \sqrt{n} \rfloor - r$  can be canceled out by adding  $r$ , and subtract-  
343 ing  $\lfloor \sqrt{n} \rfloor$  twice. What we *cannot* eliminate is the “remainder”  $r = n - \lfloor \sqrt{n} \rfloor^2$   
344 because the *function* Square root cannot be inverted in  $\mathbb{Z}$ , and the algorithm  
345 cannot forget it.

*The mkpair function.* Figure 9d shows the behavior of the function `mkpair`. It  
is very similar to the one of `cp`, but it uses an alternative algorithm described  
in [23]. An analytic definition of `mkpair` :  $\mathbb{N}^2 \rightarrow \mathbb{N}$  is:

$$\text{mkpair}(x, y) = \begin{cases} y^2 + x & \text{if } x < y \\ x^2 + x + y & \text{otherwise} \end{cases}$$

whose inverse `unpair` := `mkpair`<sup>-1</sup> :  $\mathbb{N} \rightarrow \mathbb{N}^2$  is:

$$\text{unpair}(n) = \begin{cases} (n - \lfloor \sqrt{n} \rfloor^2, \lfloor \sqrt{n} \rfloor) & \text{if } n - \lfloor \sqrt{n} \rfloor^2 < \lfloor \sqrt{n} \rfloor \\ (\lfloor \sqrt{n} \rfloor, n - \lfloor \sqrt{n} \rfloor^2 - \lfloor \sqrt{n} \rfloor) & \text{otherwise} \end{cases}.$$

346 Since both of these are a composition of sums, products and square roots, we  
347 can define them easily by using previously defined functions and *Bennett’s trick*.

### 348 3.2. A note on the mechanization of proofs

349 We recall once more that everything defined above has been proved correct  
350 in Lean (see [22] for the details). For example, once defined `sqrt` in Lean, the  
351 following lemma:

```
352 lemma sqrt_def (n : ℕ) (X : list ℤ) :
353   <sqrt>(n::0::0::0::0::0::X) =
354     0::n::(n-√n*√n)::(√n+√n-(n-√n*√n))::√n::X
```

355 shows that `sqrt` behaves as expected, for any  $n$ .

356 In order to prove the here above lemma, or similar ones, we make use of the  
357 *tactic* `simp`, i.e. a Lean command that builds proofs. The tactic `simp` can auto-  
358 matically simplify expressions until trivial identities show up. What is meant by  
359 “simplify” is that theorems which state an equality with form `Left_hand_side =`  
360 `Right_hand_side`, like in `sqrt_def`, can be marked with the attribute `@[simp]`;  
361 the very useful consequence is that every time `simp` is invoked in a subsequent  
362 proof, if the equality to be proved contains an instance of `Left_hand_side`,  
363 then it will be substituted with `Right_hand_side`, often making it simpler to  
364 conclude a proof.

```

inductive primrec: (ℕ → ℕ) → Prop
| zero: primrec (λ (n:ℕ), 0)
| succ: primrec succ
| left: primrec (λ (n:ℕ), (unpair n).fst)
| right: primrec (λ (n:ℕ), (unpair n).snd)
| pair {F G}: primrec F → primrec G → primrec (λ (n:ℕ), mkpair (F n) (G n))
| comp {F G}: primrec F → primrec G → primrec (λ (n:ℕ), F (G n))
| prec {F G}: primrec F → primrec G → primrec
(unpaired (λ (z n:ℕ), nat.rec (F z) (λ (y IH:ℕ), G (mkpair z (mkpair y IH))) n))

```

Figure 12: `primrec` defines PRF in `mathlib` of Lean.

So, `@[simp]` introduces an incremental and quite handy mechanism to automate proofs: the more available proofs exist, the more we can, in principle, label as `@[simp]`, widening the possibility to automatically prove further properties.

#### 4. Proving in Lean that RPP is PRF-complete

We formally show in Lean that the class of functions we can express as (algorithms) in `rpp` contains at least the class PRF of Primitive Recursive Functions; we say that “`rpp` is PRF-complete”. The definition of PRF that we take as reference is one of the two available in Lean `mathlib` library. Once recalled and commented it briefly, we shall proceed with the main aspects of the PRF-completeness of `rpp`.

##### 4.1. Primitive Recursive Functions `primrec` in `mathlib`

Figure 12 recalls the definition of PRF from [24] available in `mathlib` that we take as reference. It is an inductively defined `Proposition` `primrec` that requires a *unary* function with type  $\mathbb{N} \rightarrow \mathbb{N}$  as argument. Specifically, `primrec` is the least collection of functions  $\mathbb{N} \rightarrow \mathbb{N}$  with a given set of base elements, closed under some composition schemes.

*Base functions of `primrec`.* The *constant* function `zero` yields 0 on every of its inputs. The *successor* gives the natural number next to the one taken as input. The two *projections* `left`, and `right` take an argument `n`, and extract a left, or a right, component from it as `n` was the result of pairing two values `x,y:ℕ`. The functions that `primrec` relies on to encode/decode pairs on natural numbers as a single natural one are `mkpair:ℕ → ℕ → ℕ`, and `unpair:ℕ → ℕ × ℕ`. The first one builds the value `mkpair x y`, i.e. the number of steps from the origin to reach the point with coordinates `(x,y)` in the path of Figure 9d. The function `unpair:ℕ → ℕ × ℕ` takes the number of steps to perform on the same path. Once it stops, the coordinates of that point are the two natural numbers we are looking for. So, `mkpair/unpair` are an alternative to Cantor Pairing/Un-pairing.

393 *Composition schemes.* Three schemes exist in `primrec`, each depending on pa-  
 394 rameters `f,g:primrec`. The scheme `pair` builds the function that, taken a value  
 395 `n:N`, gives the unique value in  $\mathbb{N}$  that encodes the pair of values `F n`, and `G n`;  
 396 everything we might pack up by means of `pair`, we can unpack with `left`, and  
 397 `right`.

398 The scheme `comp` composes `F,G:primrec`.

The *primitive recursion* scheme `prec` can be “unfolded” to understand how it works. This reading will ease the description of how to encode it in `rpp`. Let `F, G` be two elements of `primrec`. We see `prec` as encoding the function:

$$H[F, G](x) = R[G](F((x)_1), (x)_2) \quad (5)$$

where: (i)  $(x)_1$  denotes `(unpair x).fst`, (ii)  $(x)_2$  denotes `(unpair x).snd`, and  
 (iii)  $R[G]$  behaves as follows:

$$\begin{aligned} R[G](z, 0) &= z \\ R[G](z, n+1) &= G(\langle z, \langle n, R[G](z, n) \rangle \rangle) \end{aligned} \quad (6)$$

399 defined using the built-in recursive scheme `nat.rec` on  $\mathbb{N}$ , and  $\langle a, b \rangle$  denotes  
 400 `(mkpair a b)`.

#### 401 4.2. The main point of the proof

402 In order to formally state what we mean for `rpp` to be PRF-complete, in  
 403 Lean we need to say when, given `F:N → N`, we can *encode* it by means of some  
 404 `f:rpp`. This is done by means of the following definition:

```
405 def encode (F:N → N) (f:rpp) :=
406   ∀ (z:ℤ) (n:N), <f> (z::n::repeat 0 (f.arity-2))
407   = (z+(F n))::n::repeat 0 (f.arity-2)
```

408 which says that, fixed `F:N → N`, and `f:rpp`, the statement `(encode F f)` holds  
 409 if the evaluation of `<f>`, applied to any argument `(z::n::0::...::0)` with as  
 410 many occurrences of trailing 0s as `f.arity-2`, gives a list with form `((z+(F n`  
 411 `))::n::0::...::0)` such that:

- 412 (i) the first element is the original value `z` increased with the result `(F n)` of  
 413 the function we want to encode;
- 414 (ii) the second element is the initial `n`;
- 415 (iii) trailing 0s are again as many as `f.arity-2`.

416 In Lean we can prove:

```
417 theorem completeness (F:N → N):
418   primrec F → ∃ f:rpp, encode F f
```

419 which says that we know how to build `f:rpp` which encodes `F`, for every well  
 420 formed `F:N → N`, i.e. such that `primrec F` holds.

421 The proof proceeds by induction on the proposition `primrec`, which gen-  
 422 erates 7 sub-goals. We illustrate the main arguments to conclude the most  
 423 interesting case which requires to encode the composition scheme `prec`.



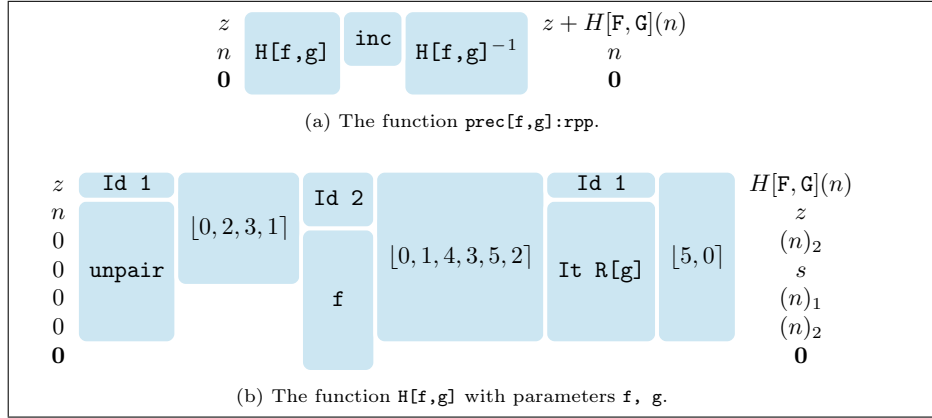


Figure 13: Encoding `prec` of Figure 12 in `rpp`.

424 *Remark 5.* Many aspects of the proof that we here detail out, “forced” by Lean,  
 425 so to say, were simply missing in the original PRF-completeness proof for RPP  
 426 in [8].  $\square$

The inductive hypothesis to show that we can encode `prec` is that, for any given  $F, G: \mathbb{N} \rightarrow \mathbb{N}$  such that  $(\text{primrec } F): \text{Prop}$ , and  $(\text{primrec } G): \text{Prop}$ , both  $f, g: \text{rpp}$  exist such that  $(\text{encode } F \ f)$ , and  $(\text{encode } G \ g)$  hold. This means that both:

$$\begin{aligned} f \ (z :: n :: 0) &= (z + F \ n) :: n :: 0 \\ g \ z :: n :: 0 &= (z + G \ n) :: n :: 0 \end{aligned}$$

427 hold, where  $0$  stands for a sufficiently long list of 0s. Moreover, Figure 13a, in  
 428 which the assumption is that  $z = 0$ , defines `prec[f,g]:rpp` such that:

- 429 (i)  $(\text{encode } (\text{prec } F \ G) \ \text{prec}[f,g]): \text{Prop}$  holds, and  
 430 (ii)  $H[f,g]$  encodes  $H[F,G]$

431 as in (5). Finally, the term `It R[g]` in  $H[f,g]$  encodes (6) by iterating  $R[g]$   
 432 from the initial value given by `f`.

433 Figure 14 splits the definition of  $R[g]$  into three logical parts. Figure 14a  
 434 packs everything up by means of `mkpair` to build the argument  $R[G](z, n)$   
 435 of `g`; by induction we get  $R[G](z, n + 1)$ . In Figure 14b, `unpair` unpacks  
 436  $\langle z, \langle n, R[G](z, n) \rangle \rangle$  to expose its components to the last part. Figure 14c both  
 437 increments  $n$ , and packs  $R[G](z, n)$  into  $s$ , by means of `mkpair`, because  $R[G](z, n)$   
 438 has become useless once obtained  $R[G](z, n + 1)$  from it. Packing  $R[G](z, n)$  into  
 439  $s$ , so that we can eventually recover it, is *mandatory*. We cannot “replace”  
 440  $R[G](z, n)$  with 0 because that would not be a reversible action.

441 *Remark 6.* The function `cp` in Figure 10c can replace `mkpair` in Figure 14c as  
 442 a bijective map  $\mathbb{N}^2$  into  $\mathbb{N}$ . Indeed, the original PRF-completeness of RPP relies  
 443 on `cp`. We favor `mkpair` to take the most out of `mathlib`.  $\square$

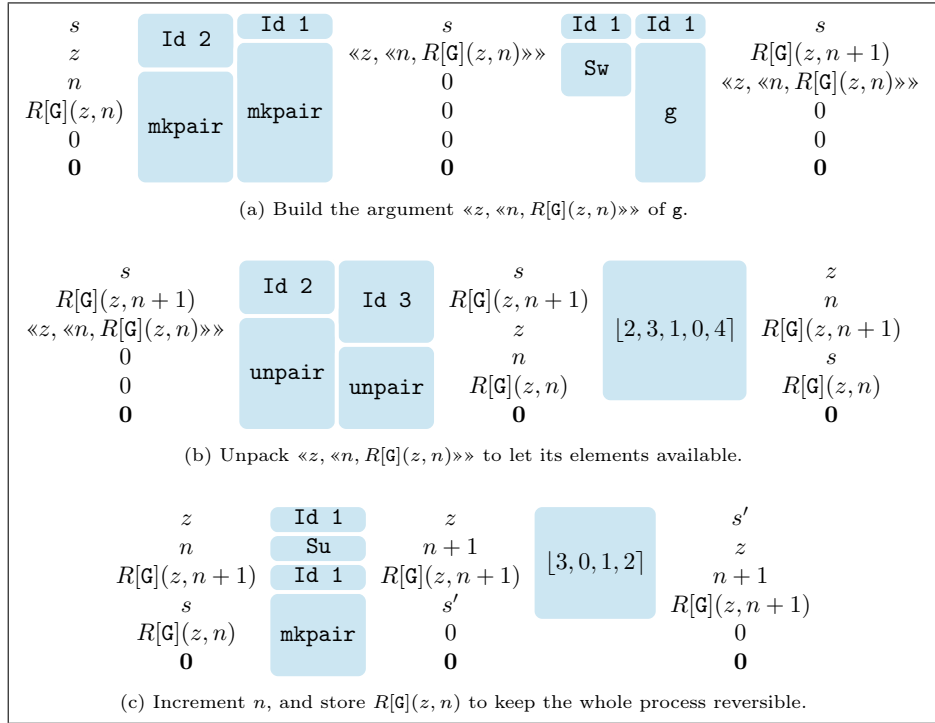


Figure 14: Encoding  $R[G]$  in (6) as  $R[g]:rpp$ .

## 444 5. Proving in Lean that RPP is PRF-sound

445 We formally show in Lean that every function we can express as (algorithm)  
 446 in `rpp` can be expressed as an element of PRF, the class of Primitive Recursive  
 447 Functions; we say that “`rpp` is PRF-sound”. This means that, through a suitable  
 448 embedding of `list ℤ` in  $\mathbb{N}$  and thus seeing each `<f>:list ℤ → list ℤ` as a  
 449 function of type  $\mathbb{N} \rightarrow \mathbb{N}$ , this is always primitive recursive. In Lean terms, we  
 450 can prove:

```
451 theorem rpp_primrec (f:rpp) : primrec <f>
```

452 As far as we know, no full proof of this fact was present before, [10] included. In  
 453 order to show it, we make heavy use of previously established theorems present  
 454 in Lean `mathlib` library.

### 455 5.1. The extended definition of `primrec` in `mathlib`

456 Section 4 recalls the meaning for a function of type  $\mathbb{N} \rightarrow \mathbb{N}$  to be `primrec`.  
 457 We are now interested in expressing a function  $f:\alpha \rightarrow \beta$ , i.e. with some given  
 458 domain of type  $\alpha$ , and co-domain of type  $\beta$ , as a primitive recursive function. If  
 459 we somehow “link” both  $\alpha$ , and  $\beta$  to  $\mathbb{N}$ , we can leverage our previous definitions  
 460 and results.

461 Three main steps do the job:

- 462 1. First, we require that both  $\alpha$ , and  $\beta$  be `encodable`, notion defined in Lean  
 463 by means of:

```
464 class encodable (α : Type*) :=
465   (encode : α → ℕ)
466   (decode [] : ℕ → option α)
467   (encodek : ∀ a, decode (encode a) = some a)
```

468 It means that *computable immersions* `encode` exist with type  $\alpha \rightarrow \mathbb{N}$   
 469 (and  $\beta \rightarrow \mathbb{N}$ ). The inverse function `decode` needs only be defined for  
 470 those  $n : \mathbb{N}$  which are in the image of the immersion: for this reason,  
 471 `decode` has return type `option α`, a type in which all elements are of the  
 472 form `none` or `some a` for  $a : \alpha$ ; the elements of  $n : \mathbb{N}$  not in the image  
 473 can just be mapped to `none`.

- 474 2. Second, it is important to remark that:

- 475 • `mathlib` supplies a natural set of encodable types, to start from, in  
 476 order to build new ones;
- 477 • Lean `class` mechanism can infer new `encodable` types from previous  
 478 types already known to be `encodable`.

479 So, building on top of instances of *computable immersion* given by Lean, we  
 480 always work up to automorphisms of  $\mathbb{N}$  which are primitive recursive, with  
 481 no worries about the risk to deal with some non computable immersion.

482 3. Third, we notice that it may happen that the composition `encode`  $\circ$   
 483 `decode` is not primitive recursive, which is undesirable. To fix this, we  
 484 make it a requirement with the `primcodable` class:

```
485 class primcodable ( $\alpha$  : Type*) extends encodable  $\alpha$  :=
486   (prim [] : nat.primrec ( $\lambda$  n, encodable.encode (decode n)))
```

487 and we require  $\alpha$ , and  $\beta$  to be `primcodable`.

488 The definition of `primrec` can be extended to functions  $f:\alpha \rightarrow \beta$  whose  
 489 types  $\alpha$ , and  $\beta$  are `primcodable`. Specifically, for  $f:\alpha \rightarrow \beta$  to be `primrec`  
 490 requires that the composition `encode`  $\circ$   $f$   $\circ$  `decode` :  $\mathbb{N} \rightarrow \mathbb{N}$  is primitive  
 491 recursive. This is how we can express this requirement in Lean:<sup>2</sup>

```
492 def primrec { $\alpha$   $\beta$ } [primcodable  $\alpha$ ] [primcodable  $\beta$ ]
493 (f: $\alpha \rightarrow \beta$ ):Prop := nat.primrec ( $\lambda$  n, encode ((decode  $\alpha$  n).map f))
```

494 The relevant consequence of all this formalization is that Lean automati-  
 495 cally deduces that `list  $\mathbb{Z}$`  is `primcodable`; this follows from the fact that  $\mathbb{Z}$  is  
 496 `primcodable`, and by knowing that if a type  $\alpha$  is an instance of `primcodable`,  
 497 then so is `list  $\alpha$`  automatically through the `class` mechanism.

498 Once everything is set up as described, we can eventually prove `theorem`  
 499 `rpp_primrec` above, i.e. that for every  $f:rpp$ , the function  $\langle f \rangle : \text{list } \mathbb{Z} \rightarrow$   
 500 `list  $\mathbb{Z}$`  is `primrec`. We proceed by induction on  $f$ , by tackling the base cases  
 501 `Id`, `Ne`, `Su`, `Pr`, `Sw` and the inductive cases `Co`, `Pa`, `It`, `If`.

## 502 5.2. Inductive cases

503 We illustrate the details of the case of parallel composition  $f \parallel g$ . Let  $f$ , and  $g$   
 504 be such that  $\langle f \rangle$  and  $\langle g \rangle$  are `primrec`. The goal is to prove that  $f \parallel g$  is `primrec`.  
 505 In Lean, this amounts to prove the following lemma:

```
506 lemma rpp_pa {f g:rpp} (hf:primrec  $\langle f \rangle$ ) (hg:primrec  $\langle g \rangle$ ) :
507   primrec  $\langle f \parallel g \rangle$ 
```

508 It starts by applying the definition of the parallel composition. For every fixed  
 509  $l : \text{list } \mathbb{Z}$ , we have:

```
510  $\langle f \parallel g \rangle l = (\langle f \rangle (\text{take } f.\text{arity } l)) ++ (\langle g \rangle (\text{drop } f.\text{arity } l))$ 
```

511 So, we are left with the problem of proving that the right-hand side of the  
 512 equation is `primrec`. We break down the problem into three sub-problems:

- 513 1. prove that the `append` operation `++` is `primrec`;
- 514 2. prove that the functions `take`, and `drop` are `primrec`;

---

<sup>2</sup>The fact that `decode` has return type `option  $\alpha$`  makes this expression more complicated:  
 the function `map f` needs to be used.

515 3. prove that the composition of primitive recursive functions is `primrec`.

516 That `append` is `primrec2`<sup>3</sup> is already proven in `mathlib`:

```
517 theorem list_append :
518   primrec2 ((++) : list α → list α → list α)
```

519 Furthermore, `mathlib` has proofs to demonstrate that the composition of two  
520 `primrec` elements or the application of one `primrec2` element to two `primrec`  
521 elements remains within the `primrec` set:

```
522 theorem comp {f:β → σ} {g:α → β}
523   (hf:primrec f) (hg:primrec g) : primrec (λ a, f (g a))
524
525 theorem primrec2.comp
526   {f:β → γ → σ} {g:α → β} {h:α → γ}
527   (hf:primrec2 f) (hg:primrec g) (hh:primrec h) :
528   primrec (λ a, f (g a) (h a))
```

529 So the sub-problems enumerated here above at points 1, and 3, are concluded.

530 For now let us assume that we also know how to deal with point 2, i.e. we  
531 have proved theorems `list_take` and `list_drop`. Under that assumption, we  
532 can conclude by writing:

```
533 lemma rpp_pa {f g : rpp} (hf : primrec <f>) (hg : primrec <g>) :
534   primrec <f || g> :=
535   (list_append.comp
536     (comp hf (list_take.comp (const f.arity) primrec.id))
537     (comp hg (list_drop.comp (const f.arity) primrec.id))).of_eq
538   $ λ l, by refl
```

539 We illustrate the meaning of this, as follows. Before the expression “`.of_eq`”  
540 there is the statement that a certain “auxiliary” function, we can call it `F` for  
541 simplicity, is `primrec`. Figure 15 represents the structure of `F`: each block both  
542 defines part of the function and states that that part is `primrec`, at the same  
543 time. After “`.of_eq`” there is a proof that `F` is equal to `<f || g>` for all inputs  
544 `l`: this is a definitional equality, so it can be proved easily in `Lean` tactics mode  
545 by means of `refl`, which is a tactic specifically used for definitional equalities.  
546 Finally, `of_eq` is a theorem which, given the hypotheses:

- 547 • `F` is `primrec` (what’s before `.of_eq`);
  - 548 • `F` is equal to `<f || g>` for all inputs (what’s after `.of_eq`),
- 549 concludes that also `<f || g>` is `primrec`, which is what we wanted to show.

---

<sup>3</sup>For functions which take two arguments, `primrec2` is used instead of `primrec`.

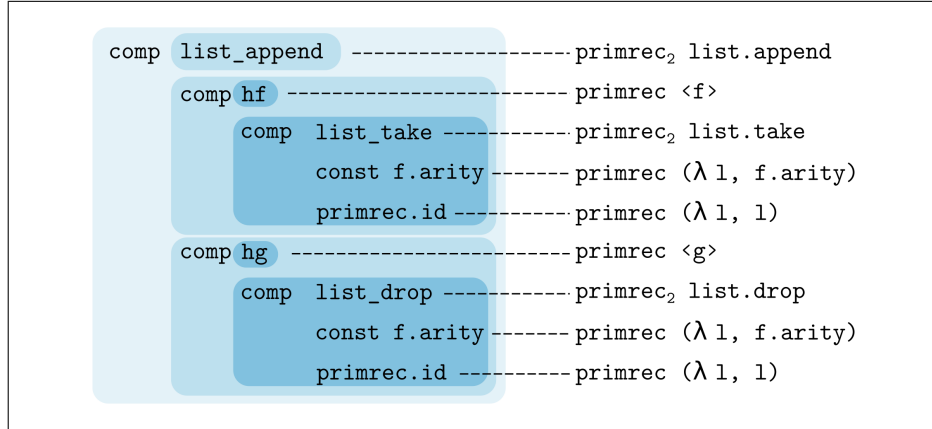


Figure 15: Diagram representing `rpp_pa`. For example, the first "comp" block means that, given the fact that `list.take` is `primrec₂` and  $(\lambda l, f.arity)$ ,  $(\lambda l, 1)$  are `primrec`, then the composition `list.take f.arity 1` is `primrec`.

550 We are eventually left with point 2 of the proof of `lemma rpp_pa`, i.e. the  
 551 proofs of `lemma list_take`, and `lemma list_drop`.

552 Let us start by focusing on:

553 `lemma list_take : primrec₂ list.take`

554 in which, we recall, `list.take` is defined as:

```

555 def take : ℕ → list α → list α
556 | 0      a      := []
557 | (succ n) []   := []
558 | (succ n) (x :: r) := x :: take n r

```

559 i.e. a function recursive in both its arguments. The built-in Lean recursion prin-  
 560 ciples for  $\mathbb{N}$ , and `list α` are both proven to be `primrec` in `mathlib` through  
 561 theorems `nat_elim` and `list_rec`; unfortunately we cannot use them simulta-  
 562 neously for free in order to reason by induction on `take`.

563 We overcome the problem in two steps:

- 564 1. we define an “auxiliary” function `take2` in terms of the known function  
 565 `foldl`, already proven to be `primrec`, and prove that `take2` is `primrec`;
- 566 2. we prove that `take2` is equal to `take` for all inputs, and conclude using  
 567 `of_eq`.

568 The proof of equivalence is established through the use of the “special” induction  
 569 principle `list.reverse_rec_on` which decomposes a list into its final element  
 570 and all preceding elements, rather than the head and tail, feature that helps to  
 571 reason with `take2`’s definition.

572 Once proven `list_take`, we can focus on the proof of `list_drop`. The key step  
 573 is `lemma reverse_drop` here below:

```
574 lemma reverse_drop {α : Type*} (n : ℕ) (l : list α) :
575   (l.drop n) = reverse (l.reverse.take (l.length - n))
```

576 Clearly, it expresses `list.drop` in terms of `list.take`, so the proof that `list.drop`  
 577 is `primrec` proceeds smoothly and this concludes our overview of how the  
 578 proof of `lemma rpp_pa` works.

579 Proving that `Co`, `It`, and `If` are `primrec` gets simpler to handle because the  
 580 relevant functions are already proven to be `primrec`.

### 581 5.3. Base cases

582 The base cases are handled in a similar way, by building each function from  
 583 simpler ones. In particular, the operations `Ne`, `Su`, `Pr` which respectively repre-  
 584 sent negation  $x \mapsto -x$ , successor  $x \mapsto x + 1$ , predecessor  $x \mapsto x - 1$ , all represent  
 585 functions of type  $\mathbb{Z} \rightarrow \mathbb{Z}$ . Instead of focusing specifically on those functions, we  
 586 found that it was actually easier to start from more basic functions close to the  
 587 definition of integers in `Lean`, and progressively build more complex functions  
 588 following exactly their definition and development in the `mathlib` library. We  
 589 now focus on those more basic functions.

590 Let us look at the definition of integers:

```
591 inductive ℤ : Type
592 | of_nat : ℕ → ℤ
593 | neg_succ_of_nat : ℕ → ℤ
```

594 It is based on the two functions/constructors `of_nat`, and `neg_succ_of_nat`  
 595 which can be proven to be `primrec` almost directly by unfolding the definitions  
 596 of the embedding  $\mathbb{Z} \rightarrow \mathbb{N}$  and noticing that through the compositions, the func-  
 597 tions become two known functions `nat_bit0`, `nat_bit1` :  $\mathbb{N} \rightarrow \mathbb{N}$  which are  
 598 already proven to be `primrec` in `mathlib`.

599 Other than `of_nat` and `neg_succ_of_nat`, the last important building block  
 600 for functions of type  $\mathbb{Z} \rightarrow \mathbb{Z}$  is the “Cases Principle” `int.cases_on` for integers:

```
601 int.cases_on : Π {f : ℤ → Type} (z : ℤ),
602   (Π (n : ℕ), f (int.of_nat n)) →
603   (Π (n : ℕ), f (int.neg_succ_of_nat n)) → f z
```

604 It states that if a function is defined for natural numbers and for negative  
 605 numbers, then it is defined for all numbers. The reason this is important is that  
 606 almost all basic functions with domain  $\mathbb{Z}$  are defined by cases, breaking down  
 607 the case where the input number is natural and where it is negative. We can  
 608 express the fact that this cases principle is `primrec` in the following way:<sup>4</sup>

---

<sup>4</sup>The statement was slightly modified for simplicity. The original statement can be found in [22].

```

609 lemma int_cases {f:α → ℤ} {g h:α → ℕ → β}
610       (hf : primrec f) (hg : primrec2 g) (hh : primrec2 h) :
611       primrec (λ a, int.cases_on (f a) (g a) (h a))

```

612 This means that given three `primrec`/`primrec2` functions `hf`, `hg`, `hh`, we can  
613 compose them with the “Cases Principle” to get a new function, which the  
614 lemma states is `primrec`. We remark that all other cases/recursion/induction  
615 principles in `mathlib` are stated in a similar fashion. The proof, as usual, is based  
616 on the fact that more elementary operations are already proven to be `primrec`  
617 in `mathlib`.

## 618 6. Conclusion and developments

619 We give a concrete example of reversible programming in a proof-assistant.  
620 We think it is a valuable operation because programming reversible algorithms  
621 is not as much wide-spread as classical iterative/recursive programming, in par-  
622 ticular by means of a tool that allows us to certify the result. Other proof  
623 assistants have been considered, and in fact the same theorems have also been  
624 proved in `Coq`, but we found that the use of the `mathlib` library together with  
625 the `simp` tactic made our experience with `Lean` much smoother.

626 The most application-oriented obvious goal to mention is to keep develop-  
627 ing a Reversible Computation-centered certified software stack, spanning from  
628 a programming formalism more friendly than `rpp`, down to a certified emulator  
629 of Pendulum ISA [25, 26, 27], passing through compiler, and optimizer whose  
630 properties we can certify. For example, we can also think of endowing Pendu-  
631 lum ISA emulators with energy-consumption models linked to the entropy that  
632 characterize the reversible algorithms we program, or the Pendulum ISA object  
633 code we can generate from them.

634 A more speculative direction, is to keep exploring the existence of program-  
635 ming schemes in `rpp` able to generate functions, other than Cantor Pairing,  
636 etc., which we can see as discrete space-filling functions, whose behavior we can  
637 describe as steps, which we count, along a path in some space.

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