



Politecnico  
di Torino

# Uncertainty-based Loss for Chest X-rays data generation



Giammarinaro Silvia  
Manunza Gilberto

Repository

Bioinformatics  
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# Roadmap

Synthetic images  
generation using GAN

Improve generated  
images quality using  
uncertainty

Evaluation of our generated  
samples for a  
classification task

Exploration of various  
conditional and  
unconditional GAN  
methods

Using the Chest  
X-rays dataset for  
classification  
benchmark

1

3

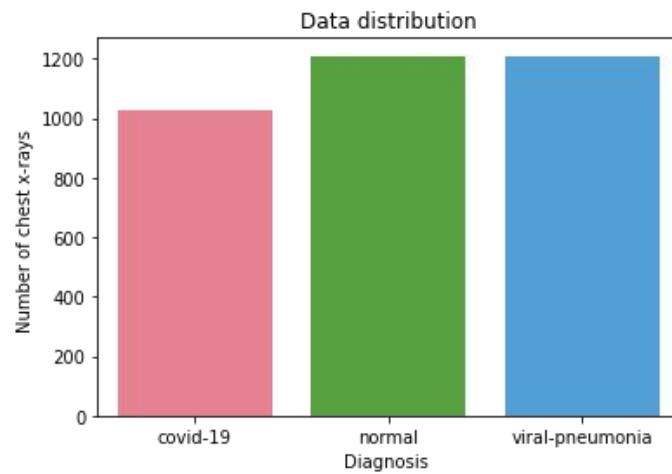
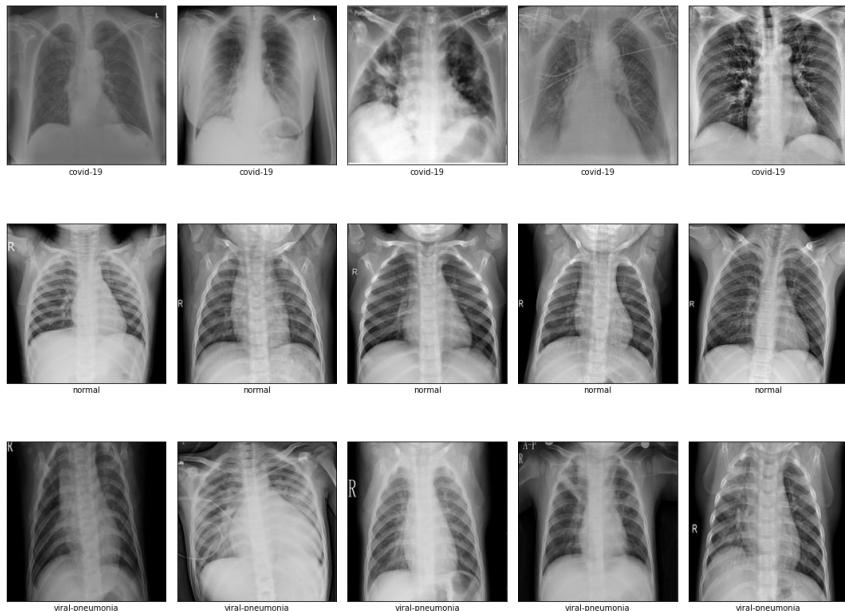
5

2

4

# The dataset

## COVID-19 Radiography Database.



# 1. Generative Adversarial Networks

Generate synthetic images of COVID-19 Chest X-rays

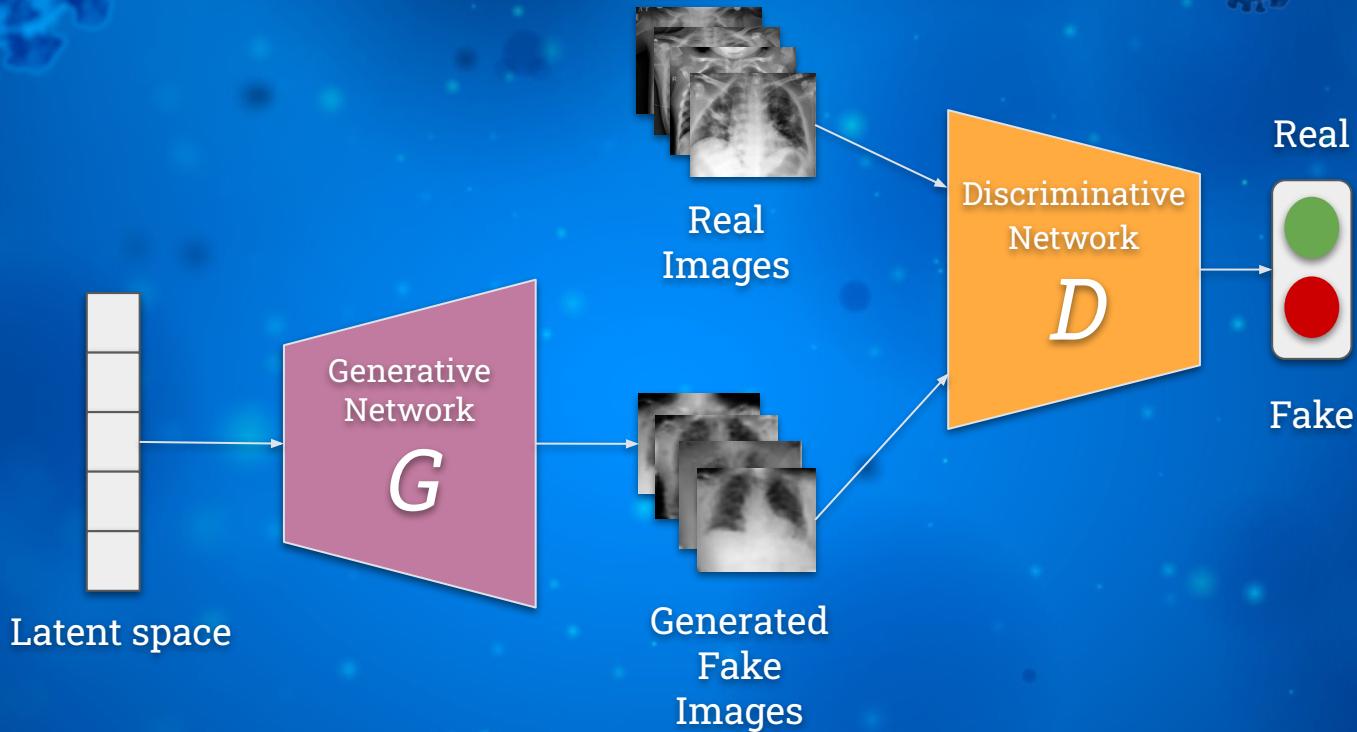
# Evaluation metric used

## Frechet Inception Distance

$$d_{FID}(x, g) = \|\mu_x - \mu_g\|^2 + \text{Tr} \left[ \Sigma_x + \Sigma_g - 2(\Sigma_x \Sigma_g)^{\frac{1}{2}} \right]$$

where  $\mu_x$  and  $\mu_g$  are the feature-wise mean of real and generated images respectively,  $\Sigma_x$  and  $\Sigma_g$  are the covariance matrix of real and generated images respectively,  $\text{Tr}$  is the trace which is the sum of the elements along the main diagonal of the square matrix,  $X_x \sim \mathcal{N}(\mu_x, \Sigma_x)$  and  $X_g \sim \mathcal{N}(\mu_g, \Sigma_g)$  are the 2048-dimensional activation's of the Inception-V3 pool3 layer for real images and generated images respectively.

# covidGAN architecture



# covidGAN: definition and results

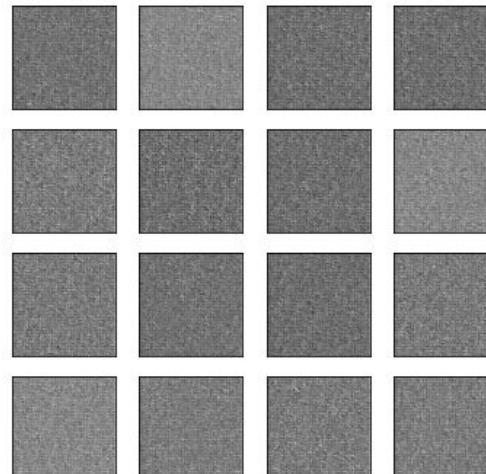
$$\mathcal{L}_D = -E_x(\log(D(x)) - E_z(\log(1 - D(G(z)))))$$

$$\mathcal{L}_G = -E_z(\log(D(G(z))))$$

where:

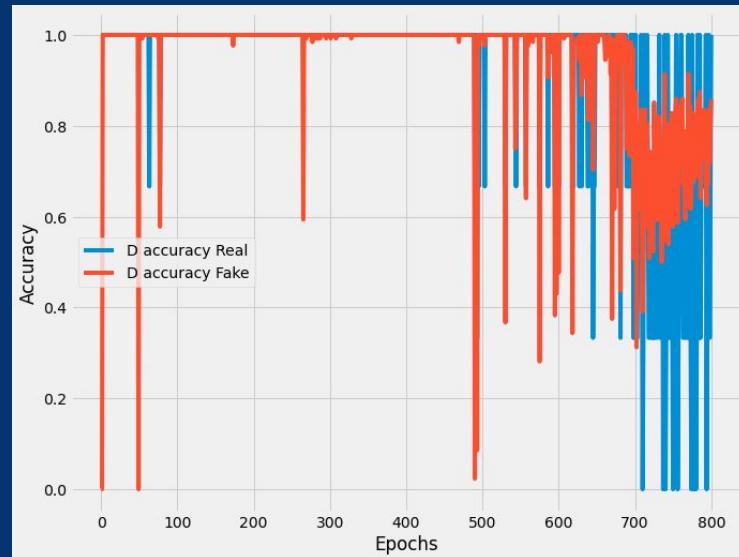
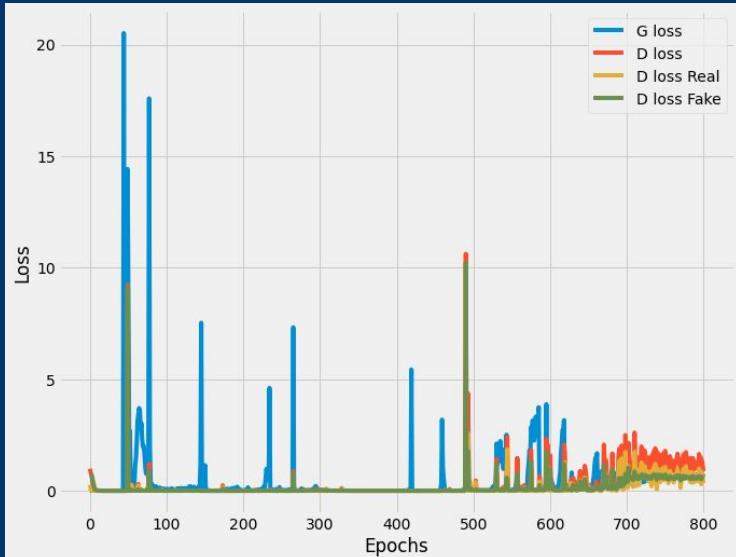
- $D(x)$  is the discriminator's estimate of the probability that a real data instance  $x$  is real.
- $E_x$  is the expected value over all real data instances.
- $G(z)$  is the generator's output when given noise  $z$ .
- $D(G(z))$  is the discriminator's estimate of the probability that a fake instance is real.
- $E_z$  is the expected value over all random inputs to the generator.

FID:  $313.84 \pm 2.48$

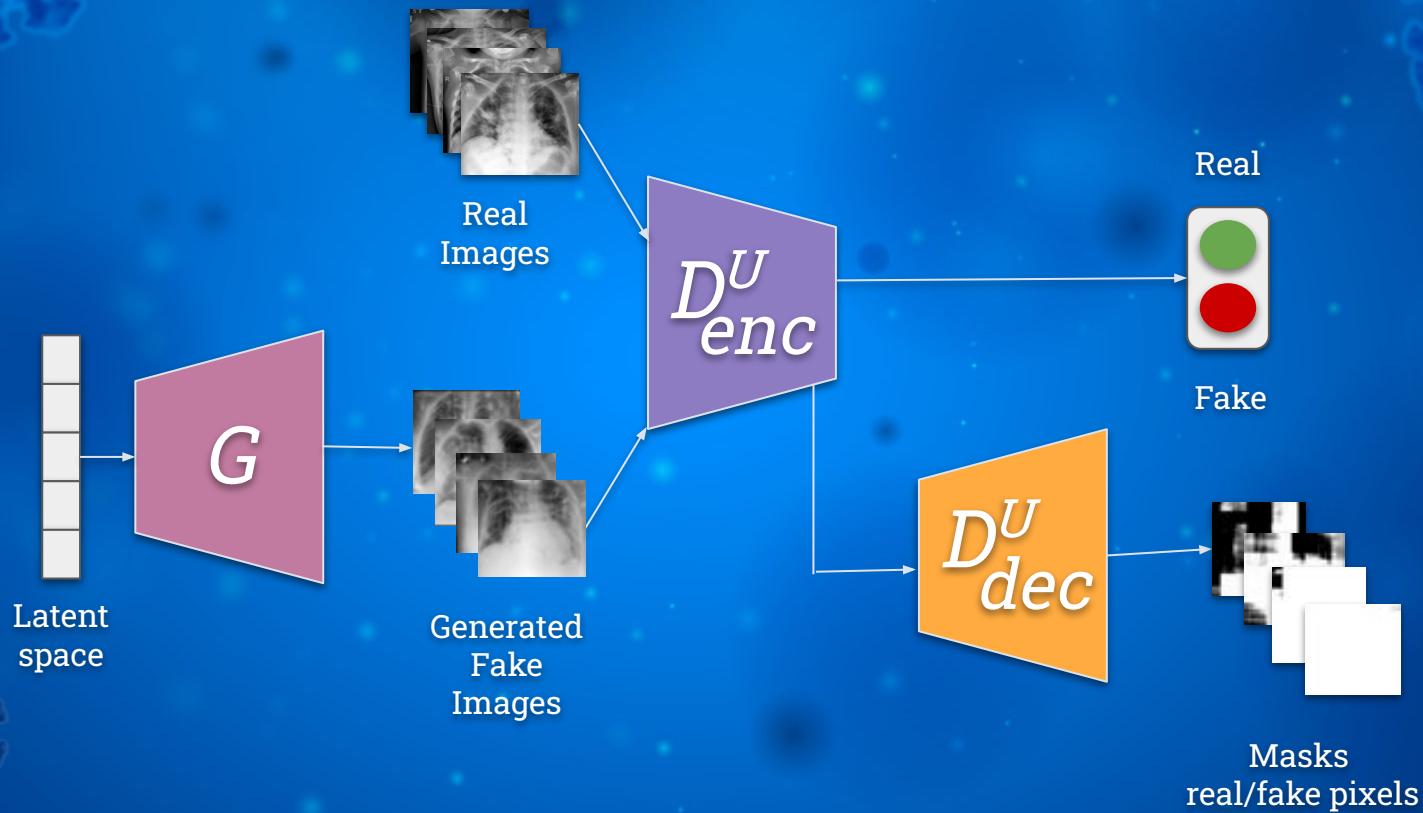


Generated images from covidGAN

# covidGAN: loss and accuracy



# covidUnetGAN architecture



# covidUnetGAN: definition and results

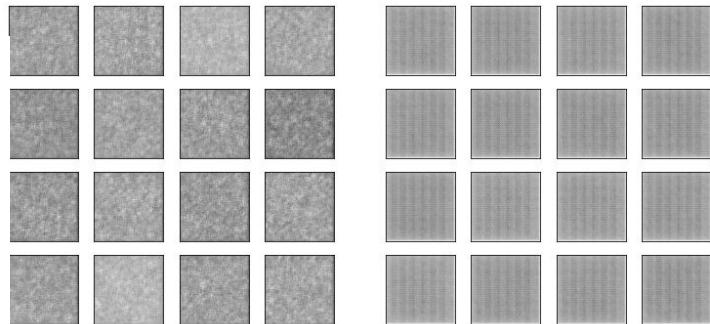
$$\mathcal{L}_{D_{enc}^U} = -E_x(\log(D_{enc}^U(x)) - E_z(\log(1 - D_{enc}^U(G(z))))$$

$$\mathcal{L}_{D_{dec}^U} = -E_x(\sum_{(i,j)} \log[D_{dec}^U(x)]_{(i,j)}) - E_z(\sum_{(i,j)} \log[1 - D_{dec}^U(G(z))]_{(i,j)})$$

$$\mathcal{L}_D = \mathcal{L}_{D_{enc}^U} + \mathcal{L}_{D_{dec}^U}$$

$$\mathcal{L}_G = -E_z(\log(D_{enc}^U(G(z))) + \sum_{(i,j)} \log[D_{dec}^U(G(z))]_{(i,j)})$$

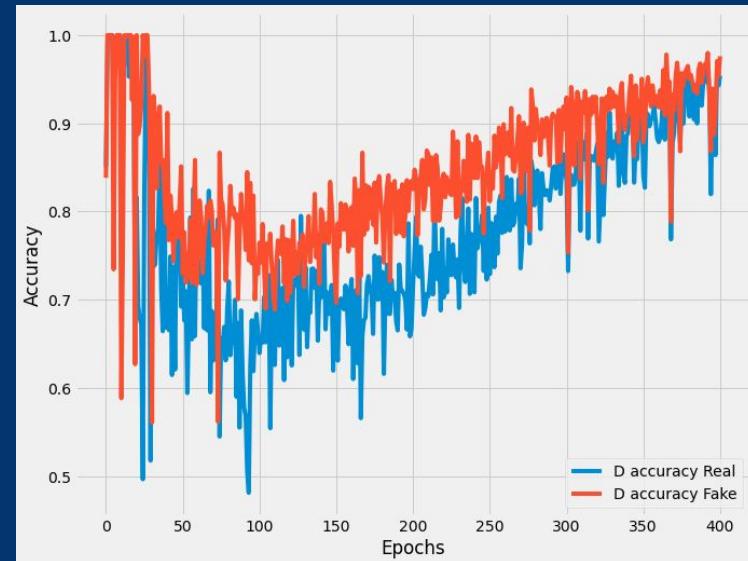
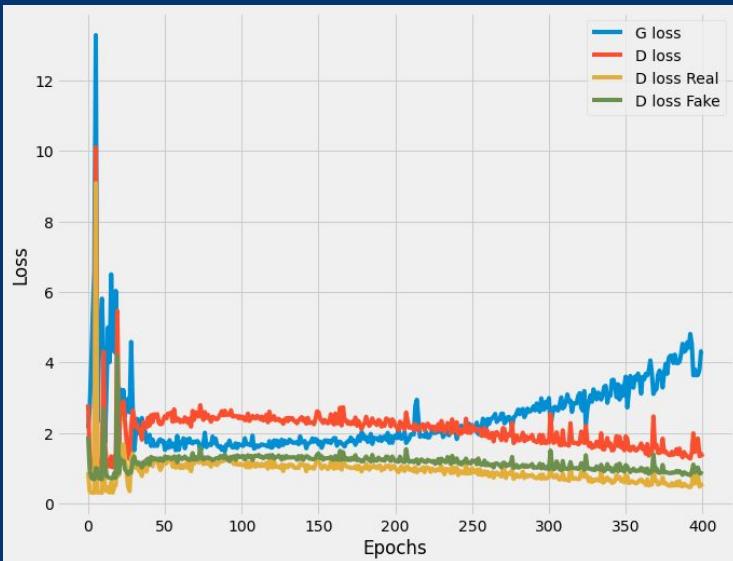
$[D_{dec}^U(x)]_{(i,j)}$  and  $[D_{dec}^U(G(z))]_{(i,j)}$  refer to the discriminator decision at pixel  $(i,j)$ .



Generated images and masks from covidUnetGAN

FID:  $188.48 \pm 3.84$

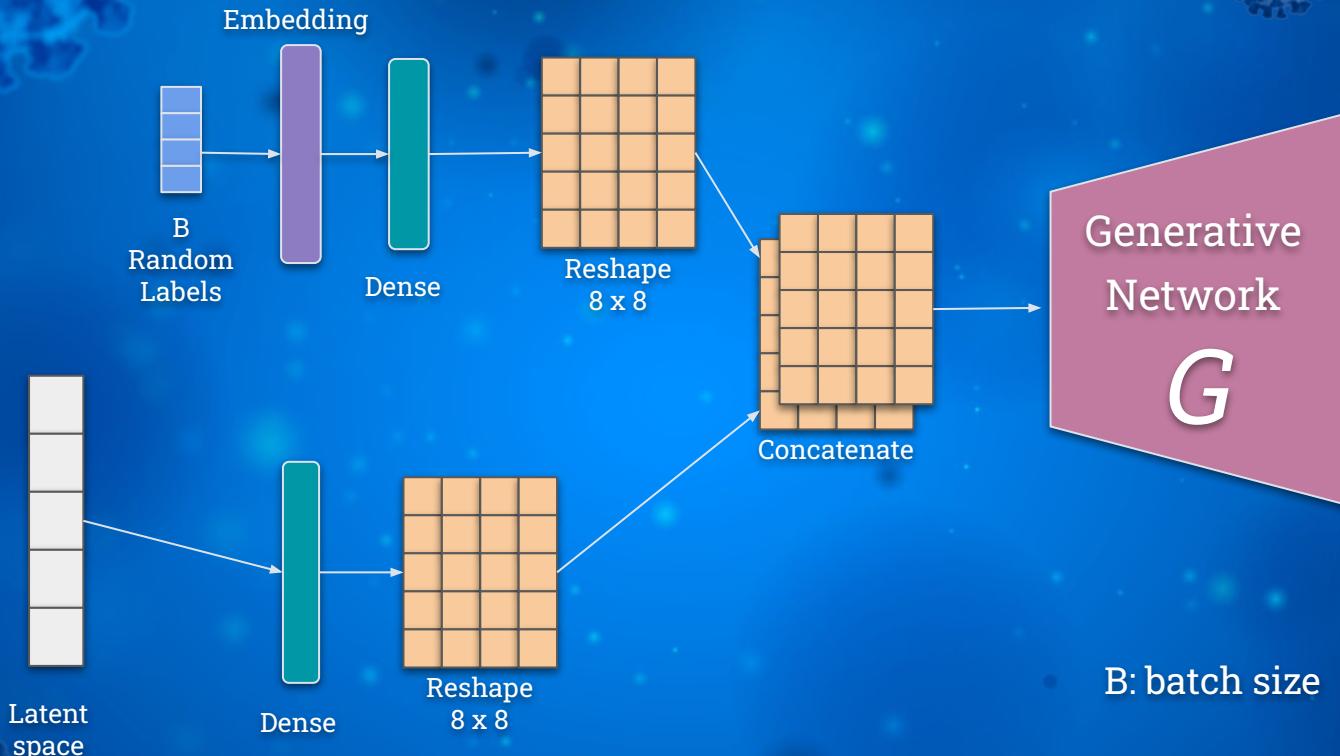
# covidUnetGAN: loss and accuracy



## 2. Conditional GANs

Generate synthetic images of Chest X-rays with different pathologies

# Generator Input for cGAN



The same input concatenation is used for the discriminator, where real images are given

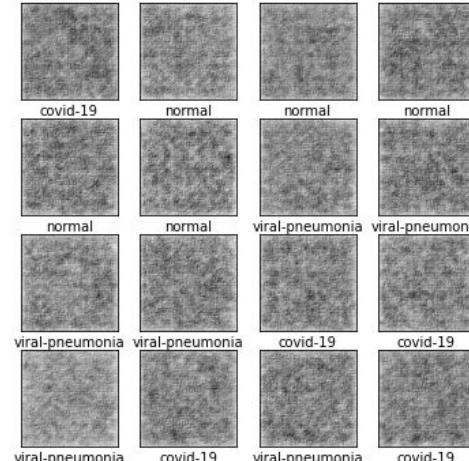
# cGAN: definition and results

$$\mathcal{L}_D = -E_x(\log(D(x|y))) - E_z(\log(1 - D(G(z|y))))$$

$$\mathcal{L}_G = -E_z(\log(D(G(z|y))))$$

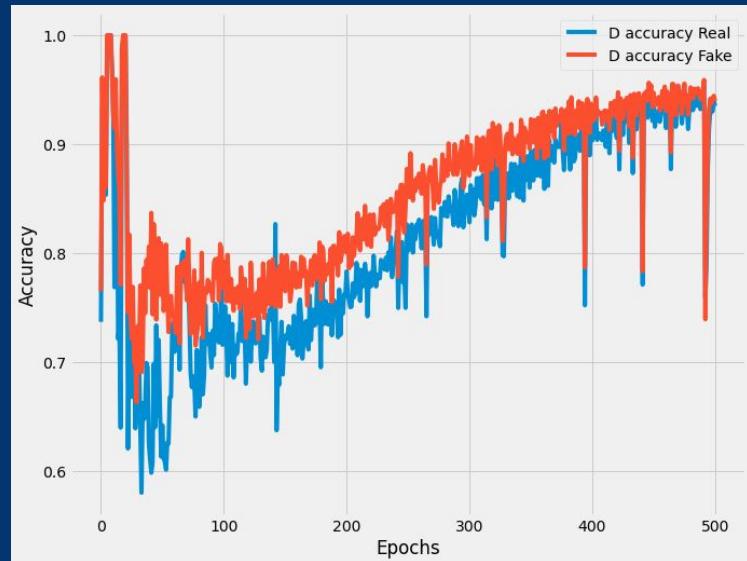
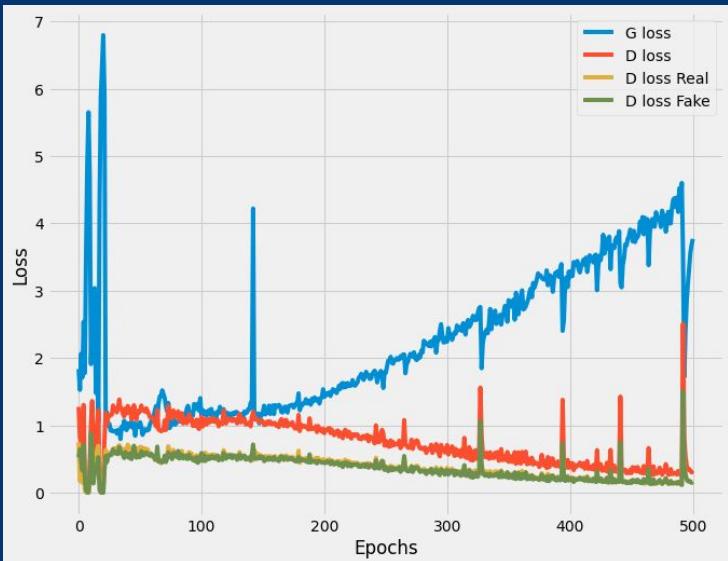
where  $y$  is the class label.

FID:  $80.65 \pm 1.27$



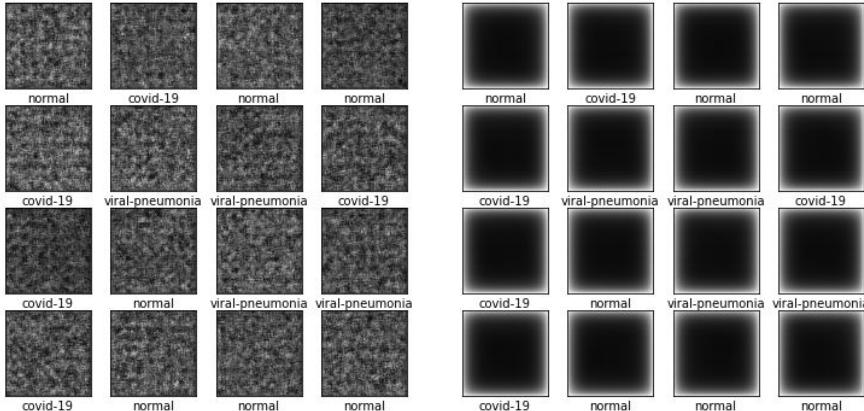
Generated images from cGAN

# cGAN: loss and accuracy



# UnetCGAN: definition and results

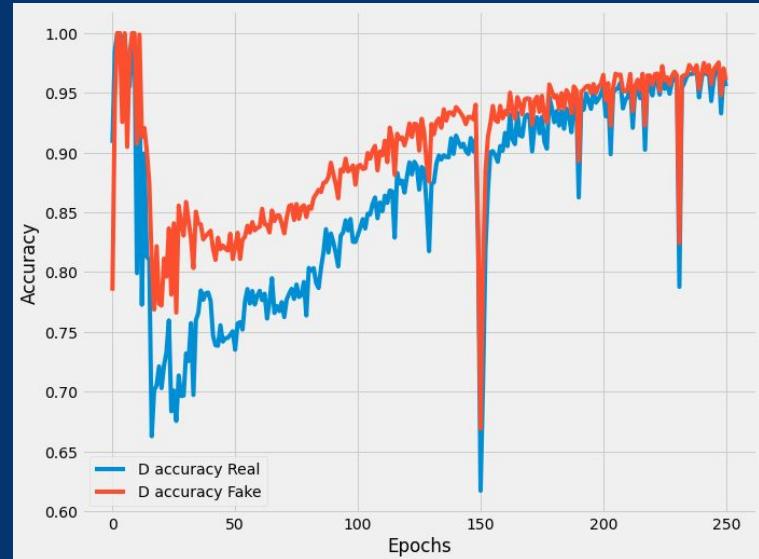
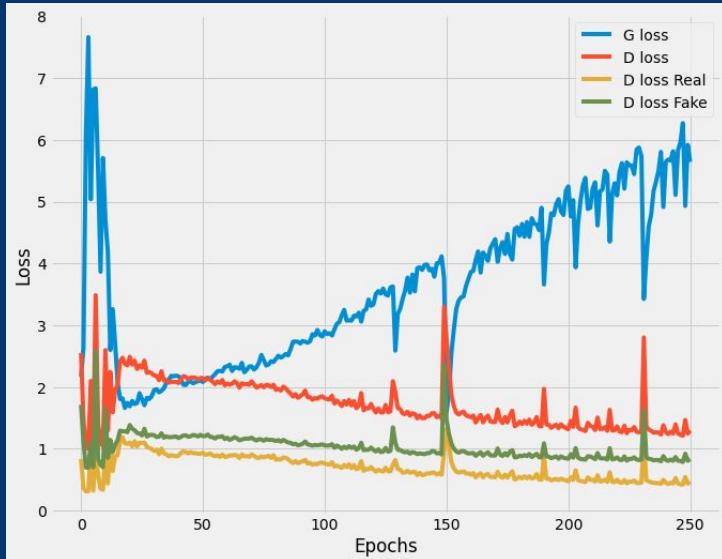
The class label is inserted into the covidUnetGAN loss as done for the cGAN case.



FID:  $89.76 \pm 2.03$

Generated images and masks from UnetCGAN

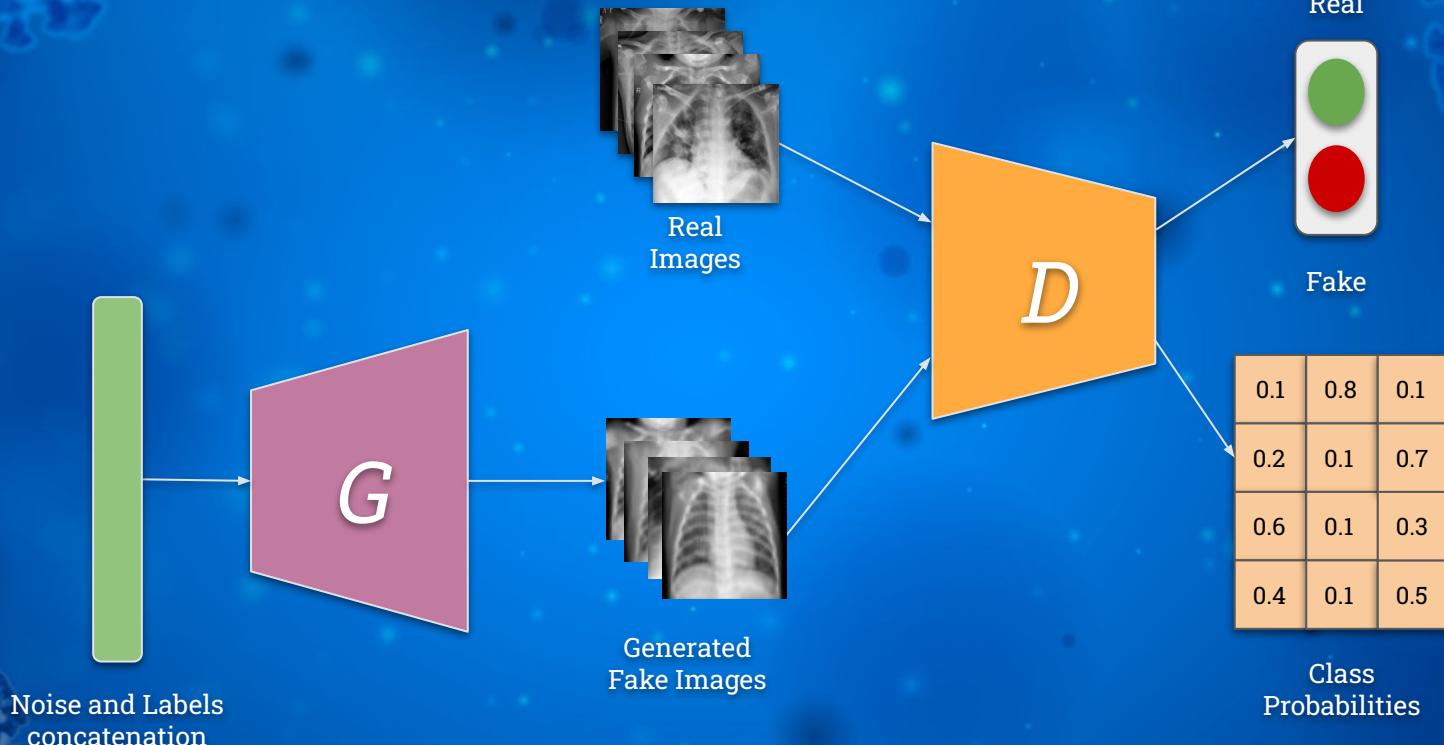
# UnetCGAN: loss and accuracy



# 3. Auxiliary Classifier Conditional GAN

An extension of conditional GANs

# AC-CGAN architecture



# AC-CGAN: definition and results

$$\mathcal{L}_{disc} = -E_x(\log(D_{disc}(x)) - E_z(\log(1 - D_{disc}(G(z|y))))$$

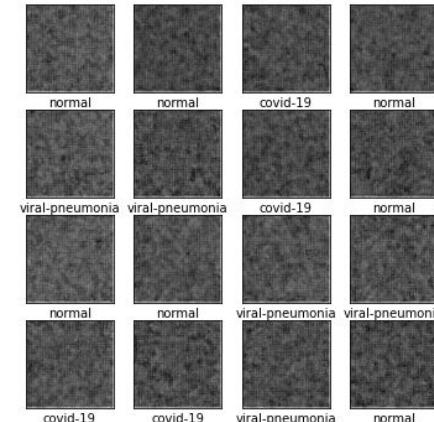
$$\mathcal{L}_{class}(x) = -E_x(\sum_{c \in \mathcal{C}} y_c \log(D_{class}(x)_c)$$

$$\mathcal{L}_D = \mathcal{L}_{disc} + \mathcal{L}_{class}(x) + \mathcal{L}_{class}(G(z))$$

$$\mathcal{L}_G = -E_z(\log(D(G(z)))) + \mathcal{L}_{class}(G(z))$$

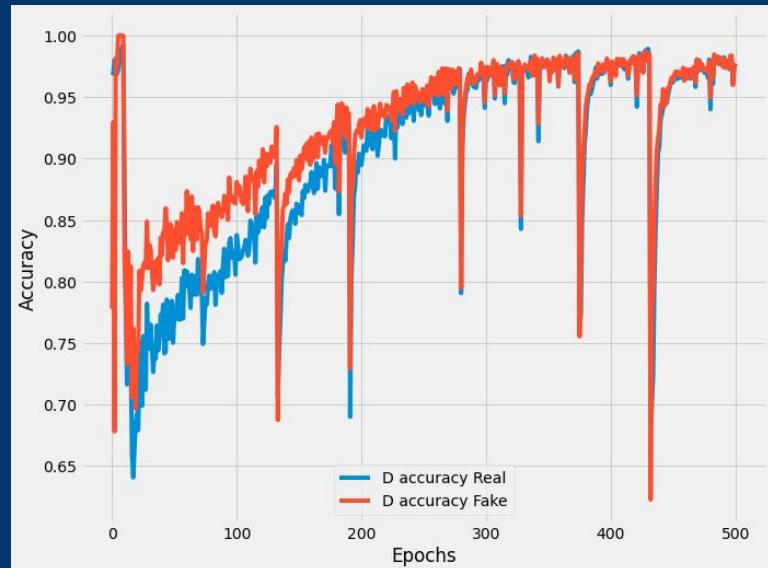
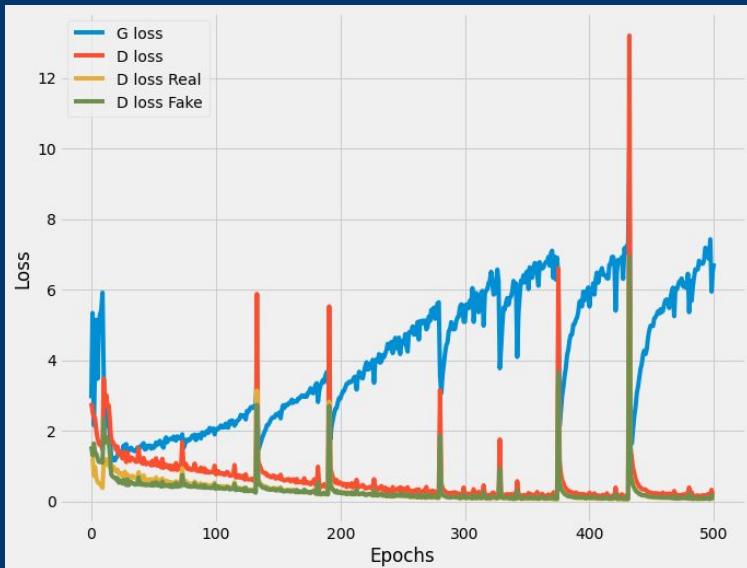
where  $D_{disc}$  is the binary discriminator output (like in the standard GAN),  $D_{class}$  is the classification discriminator softmax output and  $\mathcal{C}$  is the the set of classes.

FID:  $81.87 \pm 1.85$



Generated images from ACCGAN

# AC-CGAN: loss and accuracy



# 4. Uncertainty regularization

Our proposed method

# Uncertainty in Deep Learning

In the Monte Carlo Dropout setting, we compute for T times the predictions of the net. In this case the uncertainty is the following:

$$\underbrace{\frac{1}{T} \sum_{t=1}^T \text{diag}(\hat{p}_t) - \hat{p}_t^{\otimes 2}}_{\text{aleatoric}} + \underbrace{\frac{1}{T} \sum_{t=1}^T (\hat{p}_t - \bar{p})^{\otimes 2}}_{\text{epistemic}}$$

where  $\bar{p} = \sum_{t=1}^T \hat{p}_t / T$  and  $\hat{p}_t = p(\hat{\omega}_t) = \text{Softmax}\{f^{\hat{\omega}_t}(x^*)\}$ .

# cGAN uncertainty

$$D_{mean} = \frac{1}{T} \sum_{i=1}^T D_i(x|y)$$

$$\hat{p} = D(x|y), \bar{p} = \sum_{i=1}^T \hat{p}_i / T$$

$$U = \frac{1}{T} \sum_{i=1}^T (\hat{p}_i(1 - \hat{p}_i)) + \frac{1}{T} \sum_{i=1}^T (\hat{p}_i - \bar{p})^2$$

$$\mathcal{L}_D = -E_x(\log(D_{mean}(x|y))) - E_z(\log(1 - D_{mean}(G(z|y)))) + \alpha(U_{real} + U_{fake})$$

$$\mathcal{L}_G = -E_z(\log(D_{mean}(G(z|y)))) - \alpha U_{fake}$$

where  $D_{mean}$  is the mean of the prediction using the discriminator model with Monte Carlo Dropout with  $T$  samples.  $U$  is the new uncertainty term added to the model and  $\alpha$  is an hyper-parameter to weight the uncertainty contribution. In this formulation, we consider the max mode. For the min mode we have:

$$\mathcal{L}_D = -E_x(\log(D_{mean}(x|y))) - E_z(\log(1 - D_{mean}(G(z|y)))) - \alpha(U_{real} + U_{fake})$$

$$\mathcal{L}_G = -E_z(\log(D_{mean}(G(z|y)))) + \alpha U_{fake}$$

# The different uncertainty modes

- **Min uncertainty case:**

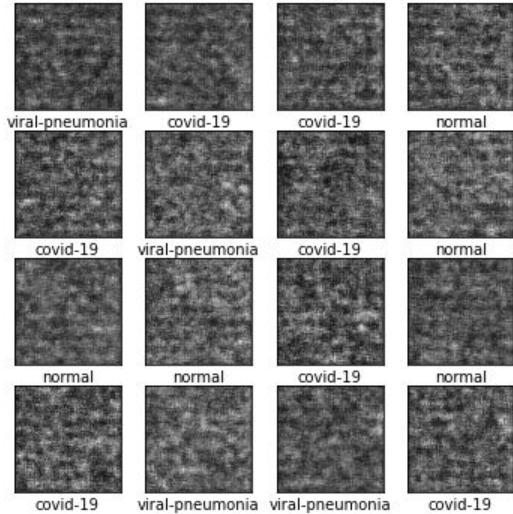
The generator ( $G$ ) wants to fool the discriminator ( $D$ ) and minimize its doubts. On the other hand  $D$  wants to maximize its own "critic spirit" and judge taking into account multiple possibilities.

- **Max uncertainty case:**

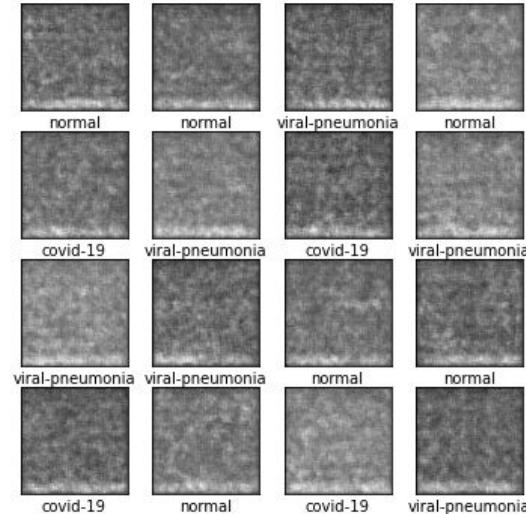
$G$  wants to make  $D$  uncertain about its evaluations so that  $G$  can learn better from its mistakes. It is like  $G$  is asking to  $D$  a more complete explanation on where  $G$  should improve. On the other hand  $D$  wants to minimize its uncertainty and be more sure about its predictions.

# cGAN uncertainty: results

\*Note: cGAN FID:  $80.65 \pm 1.27$



Generated images from CGAN uncertainty  
min  
FID:  $72.68 \pm 0.92$

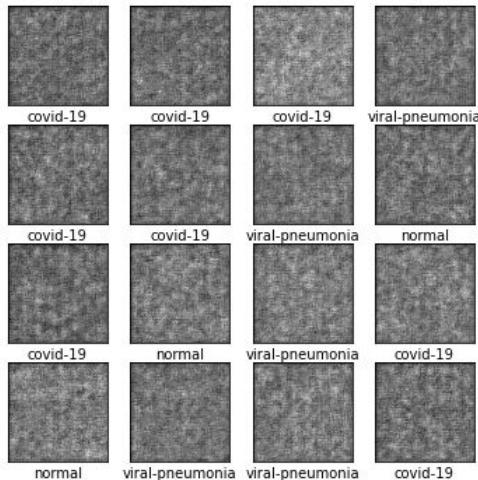


Generated images from CGAN uncertainty  
max  
FID:  $62.59 \pm 0.61$

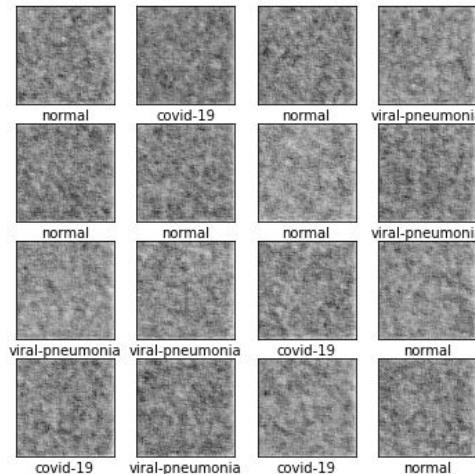
# AC-cGAN uncertainty

The uncertainty loss has been applied to the AC-cGAN model as well in the same way as in the cGAN case

Note: AC-cGAN FID:  $81.87 \pm 1.85$



Generated images from AC-cGAN  
uncertainty min  
FID:  $89.65 \pm 1.45$

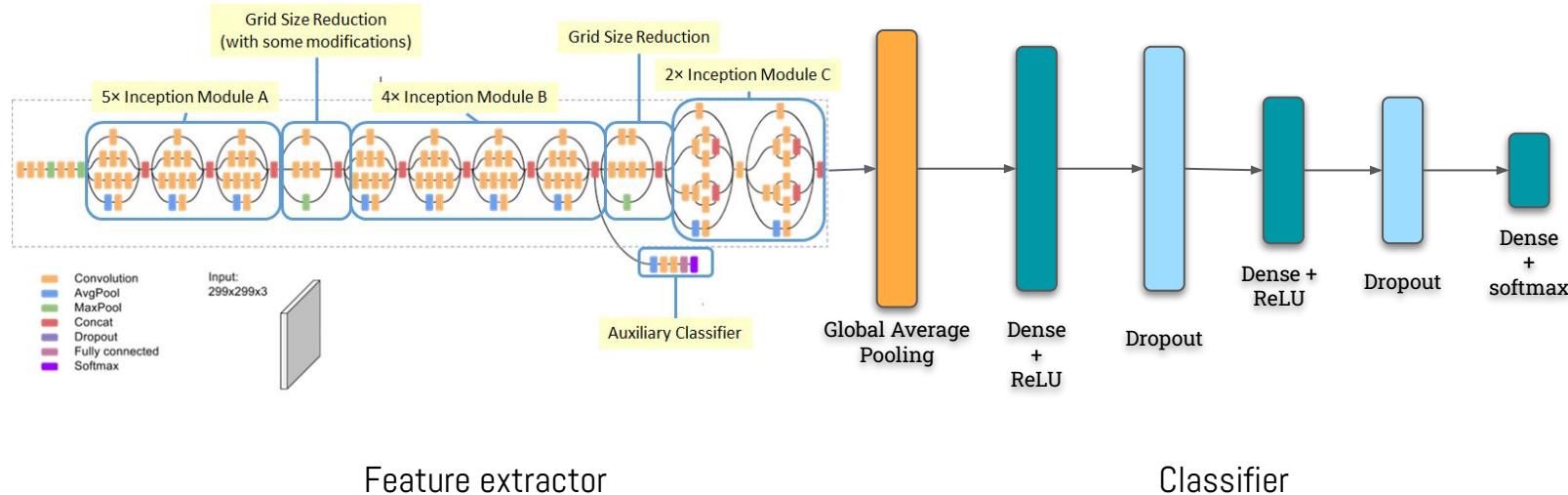


Generated images from AC-cGAN  
uncertainty max  
FID:  $78.54 \pm 1.59$

# 5. Classification task

Comparing a deterministic and a Monte Carlo Dropout method

# Modified Inception V3 net

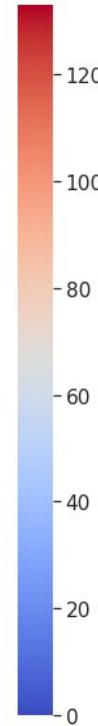


Feature extractor

Classifier

# inceptionNet results

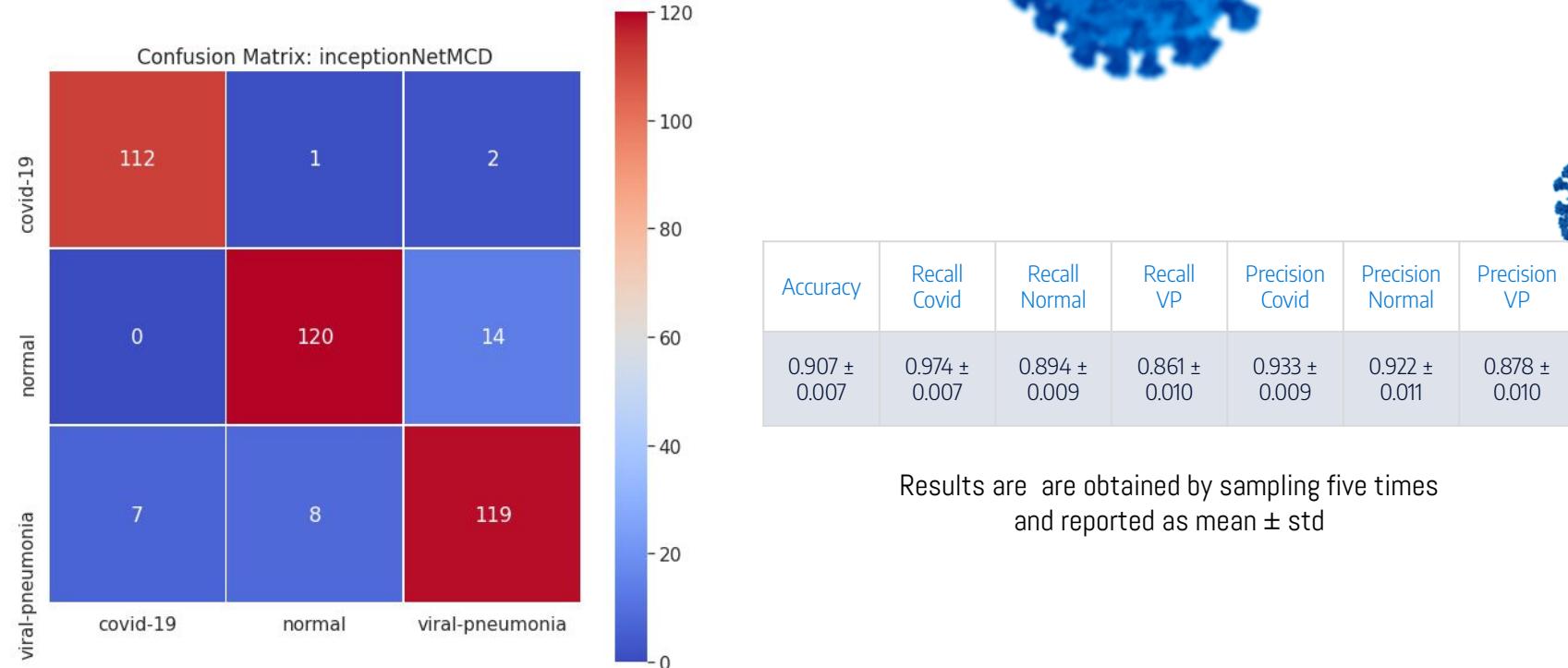
Confusion Matrix: inceptionNet (deterministic)			
covid-19	normal	viral-pneumonia	
covid-19	111	2	2
normal	0	133	1
viral-pneumonia	0	16	118



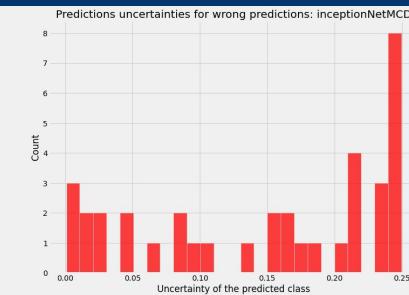
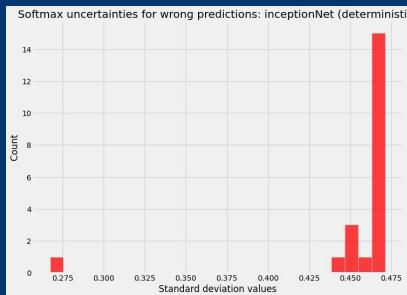
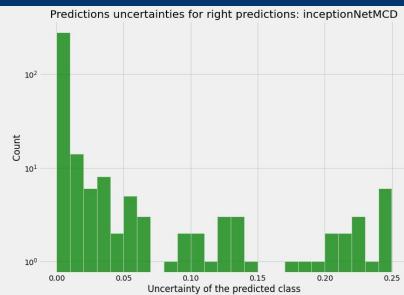
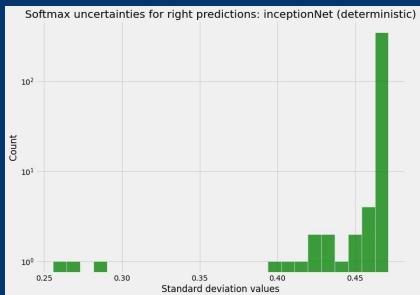
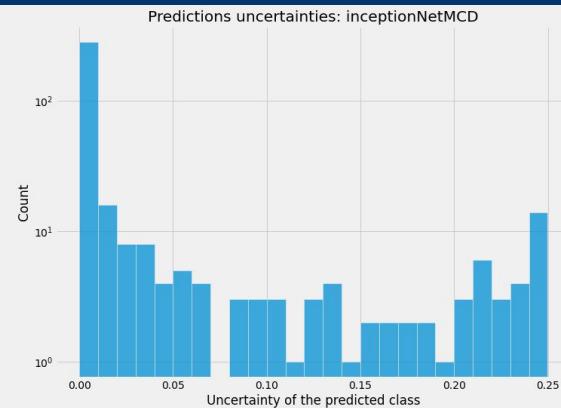
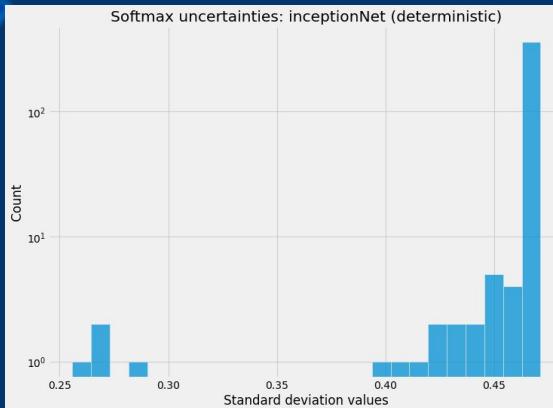
	Accuracy	Recall Covid	Recall Normal	Recall VP	Precision Covid	Precision Normal	Precision VP
	$0.944 \pm 0.026$	$0.939 \pm 0.066$	$0.966 \pm 0.025$	$0.928 \pm 0.046$	$1.000 \pm 0.000$	$0.917 \pm 0.060$	$0.936 \pm 0.020$

Results are averaged over 5 runs and reported as  
mean  $\pm$  std

# InceptionNetMCD results



# InceptionNet VS InceptionNetMCD



# 6. Generative Classification

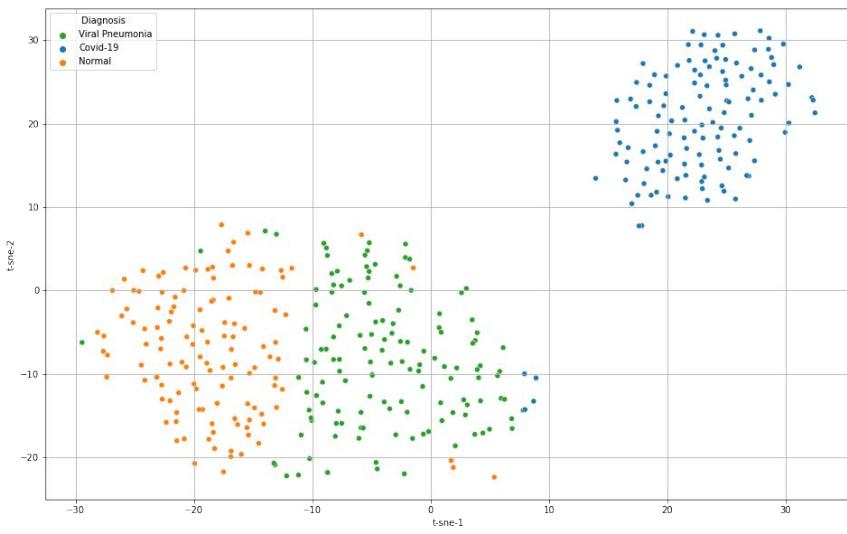
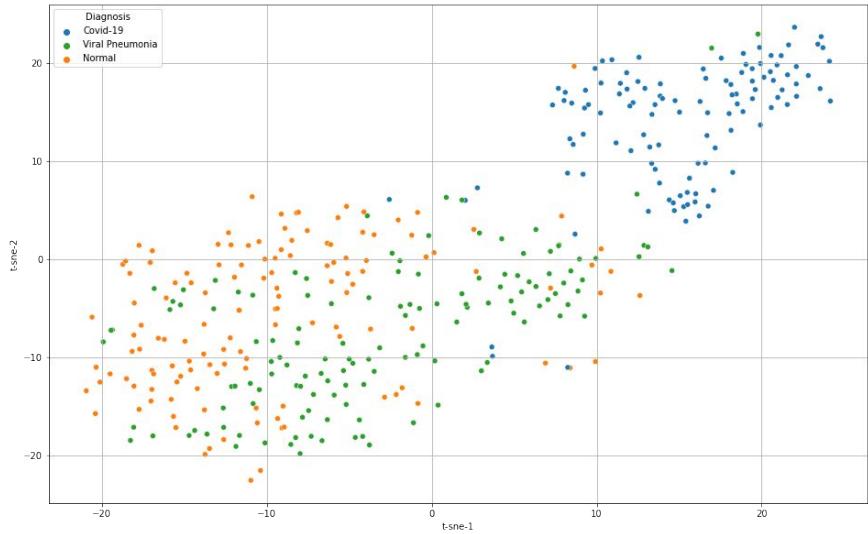
Using the GAN images for classification

# Half data training

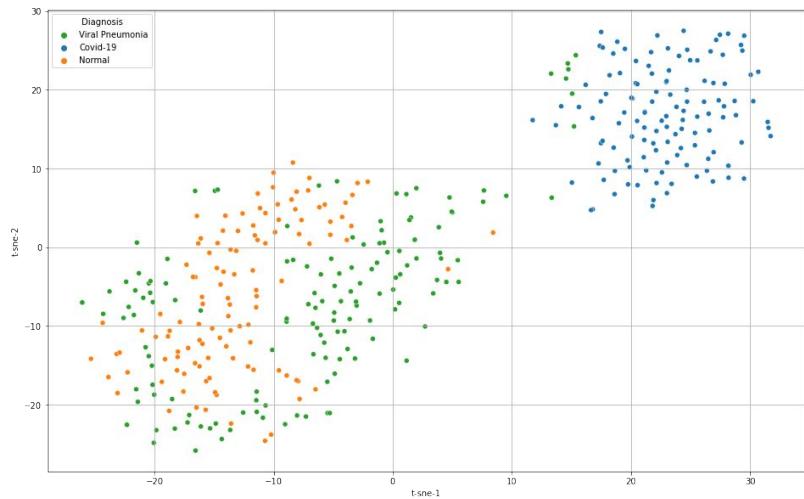
We used the deterministic inceptionNet. Half batch comes from the training set, half batch from the generated images. The baseline is the model trained only on real data.

Model	Accuracy	Recall Covid	Recall Normal	Recall VP	Precision Covid	Precision Normal	Precision VP
Baseline	$0.944 \pm 0.026$	$0.939 \pm 0.066$	<b><math>0.966 \pm 0.025</math></b>	$0.928 \pm 0.046$	<b><math>1.000 \pm 0.000</math></b>	$0.917 \pm 0.060$	$0.936 \pm 0.020$
cGAN	$0.959 \pm 0.007$	$0.974 \pm 0.012$	$0.930 \pm 0.019$	$0.973 \pm 0.017$	<b><math>1.000 \pm 0.000</math></b>	<b><math>0.972 \pm 0.016</math></b>	$0.917 \pm 0.023$
cGAN + uncertainty (min)	<b><math>0.968 \pm 0.008</math></b>	<b><math>0.981 \pm 0.007</math></b>	$0.960 \pm 0.023$	$0.966 \pm 0.010$	$0.997 \pm 0.007$	$0.966 \pm 0.009$	<b><math>0.948 \pm 0.019</math></b>
cGAN + uncertainty (max)	$0.965 \pm 0.004$	$0.979 \pm 0.009$	$0.951 \pm 0.013$	$0.967 \pm 0.012$	<b><math>1.000 \pm 0.000</math></b>	$0.965 \pm 0.014$	$0.937 \pm 0.007$
AC-cGAN	$0.948 \pm 0.016$	$0.979 \pm 0.009$	$0.894 \pm 0.039$	<b><math>0.975 \pm 0.007</math></b>	$0.995 \pm 0.004$	$0.971 \pm 0.014$	$0.897 \pm 0.037$
AC-cGAN + uncertainty (min)	$0.950 \pm 0.008$	$0.977 \pm 0.017$	$0.921 \pm 0.017$	$0.954 \pm 0.027$	$0.995 \pm 0.004$	$0.955 \pm 0.028$	$0.912 \pm 0.011$
AC-cGAN + uncertainty (max)	$0.956 \pm 0.011$	$0.977 \pm 0.009$	$0.922 \pm 0.024$	$0.970 \pm 0.016$	<b><math>1.000 \pm 0.000</math></b>	$0.961 \pm 0.023$	$0.921 \pm 0.029$

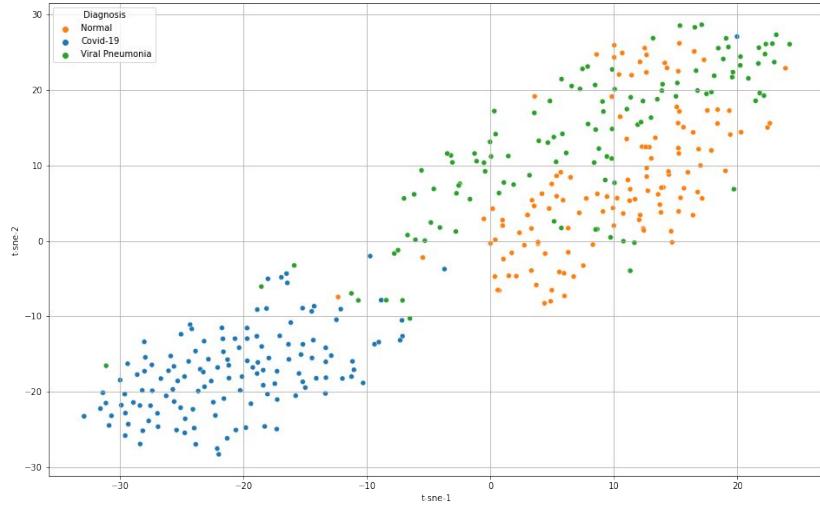
# t-SNE visualization



# t-SNE visualization



cGAN uncertainty **max** generated images t-SNE



cGAN uncertainty **min** generated images t-SNE

The background of the slide features a dark blue gradient with several stylized, light blue COVID-19 virus particles scattered across it. These particles are spherical with prominent, wavy, spike-like protrusions. They vary in size and density, creating a sense of depth and movement.

# THANKS FOR YOUR ATTENTION!

## Any questions?