
Sensorial software evolution comprehension

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I certify that except where due acknowledgement has been given, the work presented in this thesis is that of the author alone; the work has not been submitted previously, in whole or in part, to qualify for any other academic award; and the content of the thesis is the result of work which has been carried out since the official commencement date of the approved research program.

Gianlorenzo Occhipinti
Lugano, Yesterday July 2022

To my beloved

Someone said ...

Someone

Abstract

The comprehension of software evolution is essential for the understandability and maintainability of systems. However, the sheer quantity and complexity of the information generated during systems development make the comprehension process challenging. We present an approach, based on the concept of synesthesia (the production of a sense impression relating to one sense by stimulation of another sense), which represents the evolutionary process through an interactive visual depiction of the evolving software artifacts complemented by an auditive portrayal of the evolution. The approach is exemplified in SYN, a web application, which enables sensorial software evolution comprehension. We applied SYN on real-life systems and presented several insights and reflections.

Acknowledgements

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Chapter 1

Introduction

In 1971 Dijkstra made an analogy between computer programming and art [15]. It stated that it is not essential to learn how to compose software but instead, it is necessary to develop its own style and implications. During the lifetime of a software system, many developers work on it, each one in their manner. This is one of the multiple reasons behind software complexity. Today's systems are characterized by sheer size and complexity. Software maintenance takes up the most of a system's cost. It is hard to quantify the impact of software maintenance on the global cost of the software. However, Researchers estimated it to be between 50% and 90% [12] [48][18] [47]. Many factors influence the cost of maintenance; among these, there is the understanding activity needed to perform maintenance tasks [9].

The comprehension of software evolution is essential for the understandability and, consequently, maintainability of systems. However, the sheer quantity and complexity of the information generated during systems development challenge the comprehension process.

Lehman and Belady, in 1985, were the first to observe that maintaining a software system becomes a more complex activity over time. [34] The term "software evolution" was used for the first time in their set of laws. One of the goals of its analysis is to identify potential defects in the system's logic or architecture. Visualization techniques often support software evolution analysis.

Software visualization is a specialization of information visualization with a focus on software [33]. In literature, a lot of visualization techniques have been presented to support a complex software system's analysis. Usually, a massive quantity of multivariate evolutionary data needs to be depicted. Several tools have been proposed in the literature to do that [38].

Moreover, numerous techniques have been presented in the literature to facilitate program comprehension. The main challenge that each visualization technique has to deal with is to identify the relevant aspects to be depicted and effectively present them. The effectiveness of a software visualization technique could be enhanced by combining it with audio. The term "program auralization" was coined for this reason, and it aims to communicate information about the program in an auditory way. Several studies were done to measure the advantages given by audio as a communication medium [3].

In this work, our focus is an explorative visualization that depicts the evolution of a system. We present an approach with an evolutionary design-level visualization to facilitate the comprehension of the system's history. Our technique models and mines efficiently large git repositories. We also offer a visualization strategy based on the concept of synesthesia (the

production of a sense impression relating to one sense by stimulation of another sense), which represents the evolutionary process through an interactive visual depiction of the evolving software artifacts complemented by an auditive portrayal of the evolution.

1.1 Contribution

We can summarize the main contributions of this work as follows:

- an approach and an implementation to model the history of a large git repository.
- an approach and an implementation to represent the evolutionary process through an interactive visual depiction of the evolving software artifacts.
- an approach and an implementation to auralize evolutionary information.

1.2 Structure of the document

This document is organized as follows

- In Chapter 2, we describe the state of the art in the field of software visualization, repository mining, and software auralization. We look at evolution models, 2D and 3D visualizations.
- In Chapter 3, we describe three approaches. One to mine and model the history of large git repositories, one to visualize evolutionary information of software systems and one to produce an auditive model of the evolution.
- In Chapter 4, we present SYN, a software platform that implements our approach.
- In Chapter 5, we validate our approach by analyzing three open-source software systems and we present the results.
- In Chapter 6, we conclude the thesis with a summary and possible directions for future work.

Chapter 2

State of the art

2.1 Software visualization

Software maintenance and evolution are essential parts of the software development lifecycle. Both require that developers deeply understand their system. Mayrhofer and Vans defined *program comprehension* as a process that "knowledge to acquire new knowledge" [55]. Generally, programmers possess two types of knowledge: general knowledge and software-specific knowledge. Software comprehension aims to increase this specific knowledge of the system, and it can leverage some software visualization techniques for this purpose. Software visualization supports the understanding of software systems by visually presenting various information about them, e.g., their architecture, source code, or behavior. Stasko et al.[19] conducted a study in 1998 that shows how visualization arguments human memory since it works as external cognitive aid and thus, improves thinking and analysis capabilities.

There are cases when software visualization can be used to aid the analysis activity. For example, when programmers need to comprehend the architecture of a system [42], when researchers analyze version control repositories [21], or to support developers' activity [35].

According to Butler et. al. [6] there are three categories of visualization:

- Descriptive visualization. Widely used for education purposes, the visualization is used to present data to other people.
- Explorative visualization. Used to discover the nature of the data being analyzed. With this visualization, the user usually does not know what he/she is looking for.

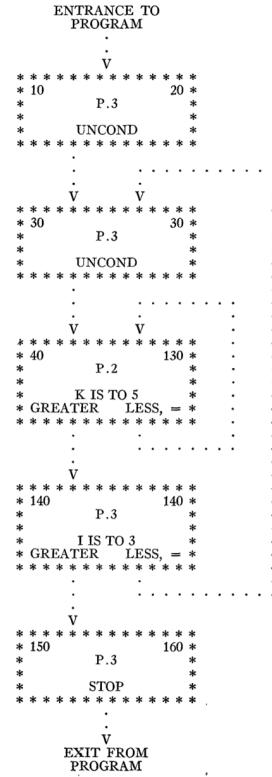


Figure 2.1. Flowchart presented by Haibt in 1959

- Analytical visualization. Adapted when we need to find something known in the available data.

Dijkstra1971a All the software visualization approaches vary with respect to two dimensions: the level of abstraction and the visualized data. According to the type of the data, we can classify visualization as:

- Evolutionary visualizations. Used to present information extracted from the history of a system. Mainly used to find the cause of problems in software.
- Static visualizations. Used to present information extracted with static analysis of the software. It provides information about the structure of the system.
- Dynamic visualizations. Used to present information extracted with dynamic instrumentation of the software execution. It provides information about the behavior of the system.

Moreover, the level of abstraction can be classified as:

- Code-level visualization. Used to visualize fine grained sourcecode information, such as the lines of code.
- Design-level visualization. Used to visualize self-contained pieces of code, such as classes in object-oriented systems.
- Architectural-level visualization. Used to visualize the system architecture and the relationships among its components.

The earliest software visualization techniques in the literature used 2D diagrams. For example, Haibt, the first to use them in 1959, provided a graphical outline of a program and its behavior with flowcharts [22]. As shown in Figure 2.1, they were 2D diagrams that described the execution of a program. He wrapped each statement in a box, representing the control flow with arrows.

Ten years later, Knuth also confirmed the effectiveness of flowcharts [30]. He evidenced that programs around that time were affected by a lack of readability. Therefore, he introduced a tool to generate visualizations from the software documentation automatically.

Nassi and Schneiderman[41], in 1973, introduced the Nassi–Shneiderman diagram (NSD), able to represent the structure of a program. The diagram was divided into multiple sub-block, each with a given semantic based on its shape and position.

The 80s registered two main directions of software visualization. The first was the source code presentation. For example, Hueras and Ledgard [23] then Waters [56] developed techniques to format the source code with a prettyprinter. The second direction was the program behavior, used mainly for educational purposes.

One of that period's most prominent visualization systems was Balsa-II [5]. Balsa-II was a visualization system that, through animations, displayed the execution of an algorithm. Programmers were able to customize the view and the control execution of the algorithm, to understand them with a modest amount of effort. The program was domain-independent, and learners could use it with any algorithm.

Around the end of the 80s, Müller et al. [40] released Rigi, a tool used to visualize large programs. It exploited the graph model, augmented with abstraction mechanisms, to represent systems components and relationships.

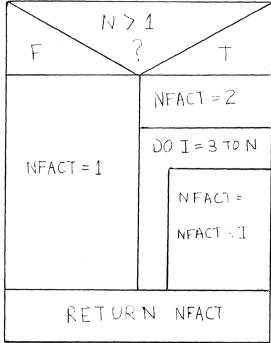


Figure 2.2. NSD of the factorial function.

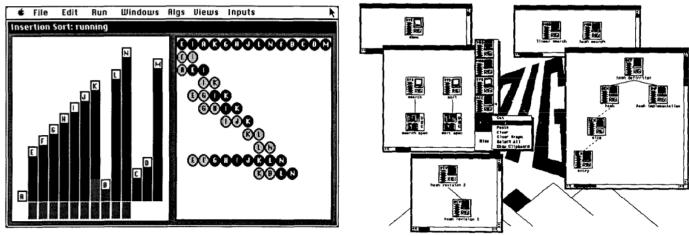


Figure 2.3. Balsa-II

Figure 2.4. Rigi

The 1990s recorded more interest in the field of software visualization. In 1992, Erik et al. introduced a new technique to visualize line-oriented statistics [16]. It was embodied in Seesoft, a software visualization system to analyze and visualize up to 50,000 lines of code simultaneously. On their visualization, each line was mapped to a thin row. Each row was associated with a color that described a statistic of interest.

One year later, De Pauw et al. [13] introduced Jinsight, a tool able to provide animated views of object-oriented systems' behavior.

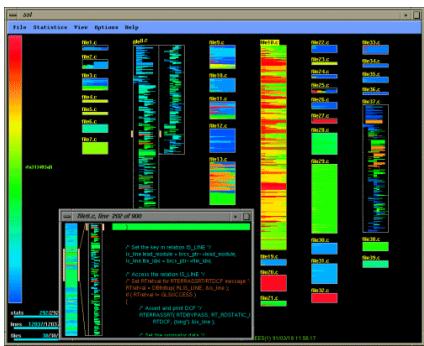


Figure 2.5. Seesoft

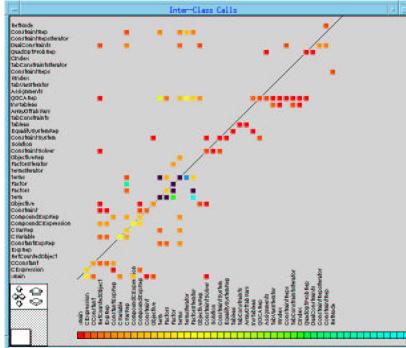


Figure 2.6. Jinsight

That period was favorable also for experimenting with novel research directions for visualization, such as 3D visualization and Virtual Reality.

In 1998, Chuah and Erick [7] proposed three different techniques to visualize project data. They exploited the concept of glyphs, a graphical object that represents data through visual parameters. The first technique was the Timewell glyph, used to visualize time-oriented information (number of lines of code, number of errors, number of added lines). The second

technique was the 3D wheel glyph; it encoded the same attributes of the time wheel, and additionally, it used the height to encode time. Infobug glyph was the last technique, where each glyph was composed of four parts, each representing essential data of the system, such as time, code size, and the number of added, deleted, or modified code lines.



Figure 2.7. Timewhell

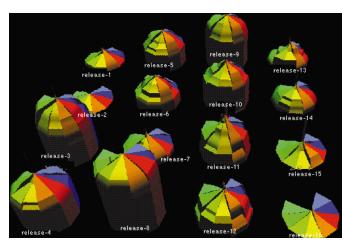


Figure 2.8. 3D wheel

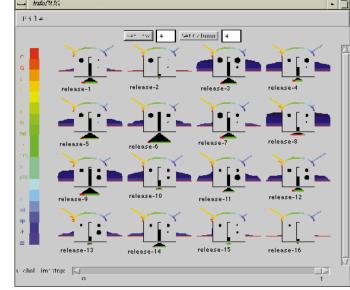


Figure 2.9. Infobug

Also in 1998, Young and Munro [58] explored representations of software for program comprehension in VR.

Finally, in 1999, Jacobson et al. [24] introduced what we now know as de facto the standard language to visualize the design of a system: UML.

At the beginning of the 21st century, thanks to the spread of version control systems and the open-source movement, visualizing a software system's evolution became a more feasible activity thanks to publicly accessible system information. As a result, many researchers focused their work on software evolution visualization.

Lanza [32] introduced the concept of the Evolution Matrix. It was a way to visualize the evolution of software without dealing with a large amount of complex data. Furthermore, this approach was agnostic to any particular programming language. The Evolution Matrix aimed to display the evolution of classes in object-oriented software systems. Each column represented a version of the software; each row represented a different version of the same class. Cells were filled with boxes whose size depended on evolutionary measurements. The shape of the matrix could also be used to infer various evolutionary patterns.

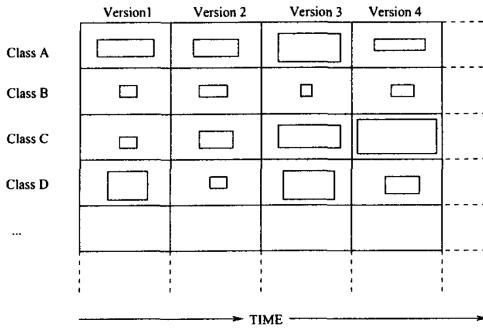


Figure 2.10. A schematic display of the Evolution Matrix

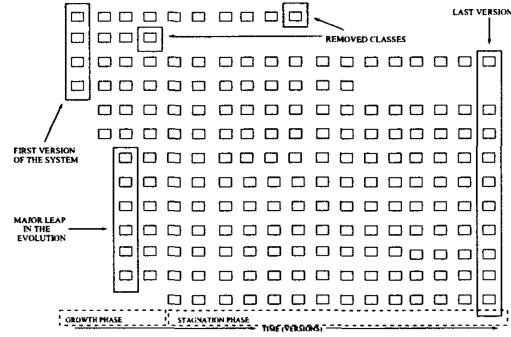


Figure 2.11. Some characteristics of the Evolution Matrix

Taylor and Munro [52], demonstrated that it was possible to use the data contained in a version control repository to visualize the evolution of a system. They developed Revision Tower, a tool that showed change information at the file level. Pinzger et al. [43] visualized the evolution of a software system through Kivat diagrams. RelVis, their tool, was able to depict a multivariate visualization of the evolution of a system.

During the same year, Ratzinger et al. presented EvoLens [44], a visualization approach and tool to explore evolutionary data through structural and temporal views.

Langelier et al. [31] investigated the interpretation of a city metaphor [29] to add a new level of knowledge to the visual analysis.

D'Ambros and Lanza [10] introduced the concept of Discrete-Time Figure concept. It was a visualization technique that embedded historical and structural data in a simple figure. Their approach depicted relationships between the histories of a system and bugs. They also presented the Evolution Radar [11], a novel approach to visualize module-level and file-level logical coupling information.

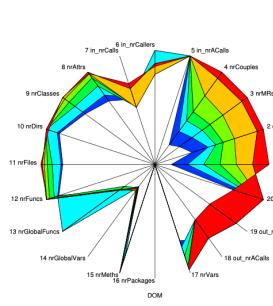


Figure 2.12. RelVis

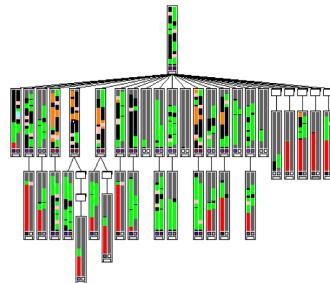


Figure 2.13. Tree of Discrete Time Figures

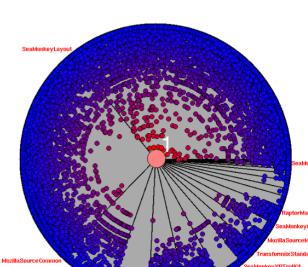


Figure 2.14. Evolution Radar

Steinbrückner and Lewerentz [51] described a three-staged visualization approach to visualize large software systems. Thir visualization was supported by a tool called Evo-Streets.

Each stage of their approach was responsible for representing a different aspect of the system with the city metaphor.

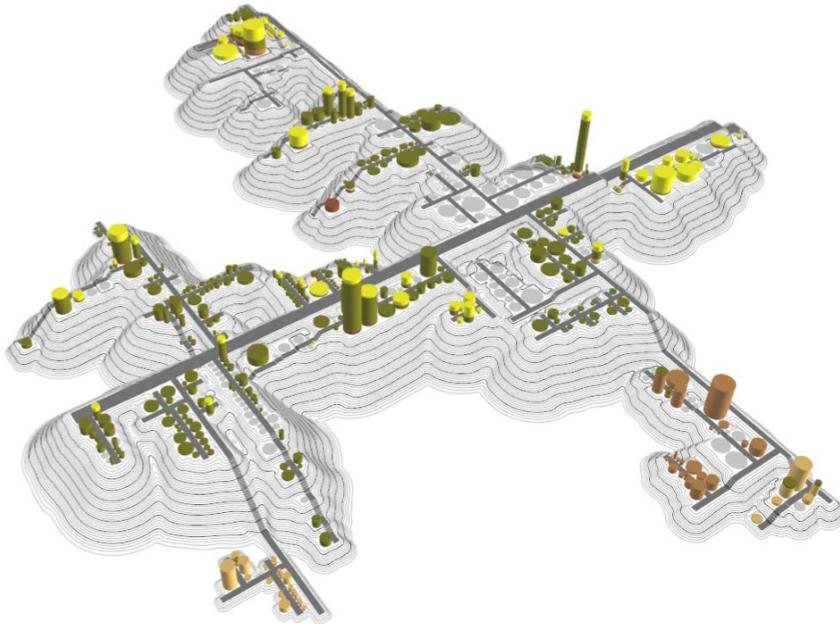


Figure 2.15. Evo-Streets

Wettel revised the city metaphor to represent metrics meaningfully [57]. In his thesis, he represented packages as districts and classes as buildings. The metaphor was used for various purposes, e.g., reverse engineering, program comprehension, software evolution, or software quality analysis. He claimed that the city metaphor brought visual and layout limitations; for example, not all visualization techniques fit well. Under those circumstances, he preferred simplicity over the accuracy, so he obtained a simple visual language that facilitated data comprehension. His approach was implemented as a software visualization tool called CodeCity.

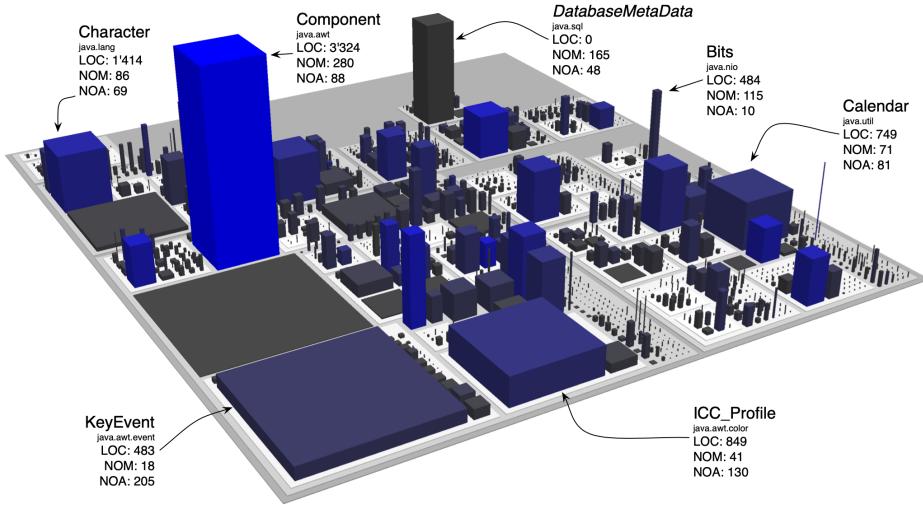


Figure 2.16. CodeCity

Ens et al. [17] applied visual analytics methods to software repositories. His approach helped users comprehend co-evolution information by visualizing how source and test files were developed together.

Kapec et al. [27] proposed a graph analysis approach with augmented reality. They made a prototype of a tool that provided a graph-based visualization of software, and then they studied some interaction methods to control it with augmented reality.

Schneider et al. [46] presented a tool, CuboidMatrix, that employed a space-time cube metaphor to visualize a software system. A space-time cube is a well-known 3D representation of an evolving dynamic graph.

Merino et al. [39] aimed to augment software visualization with gamification. They introduced CityVR, a tool that displays a software system through the city metaphor with a 3D environment. Working with virtual reality, they scaled the city visualization to the physically available space in the room. Therefore, developers needed to walk to navigate the system.

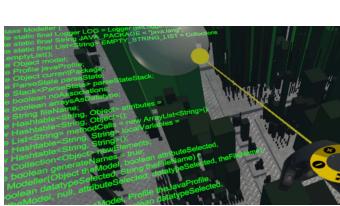


Figure 2.17. CityVR

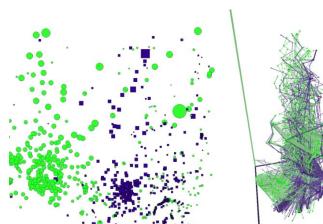


Figure 2.18. ChronoTwigger

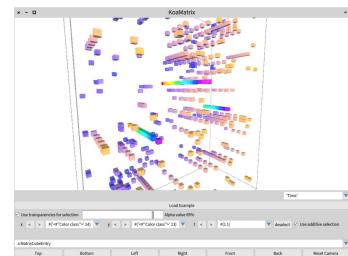


Figure 2.19. CuboidMatrix

Khaloo et al. [28] revised the idea of gamification with a 3D park-like environment. They

mapped each class in the codebase with a facility. The wall structure depended on the class's constituent parts, e.g., methods and signatures.

Finally, we mention Alexandru et al., who proposed a method to visualize software structure and evolution, with reduced accuracy and a fine-grained highlighting of changes in individual components [2].

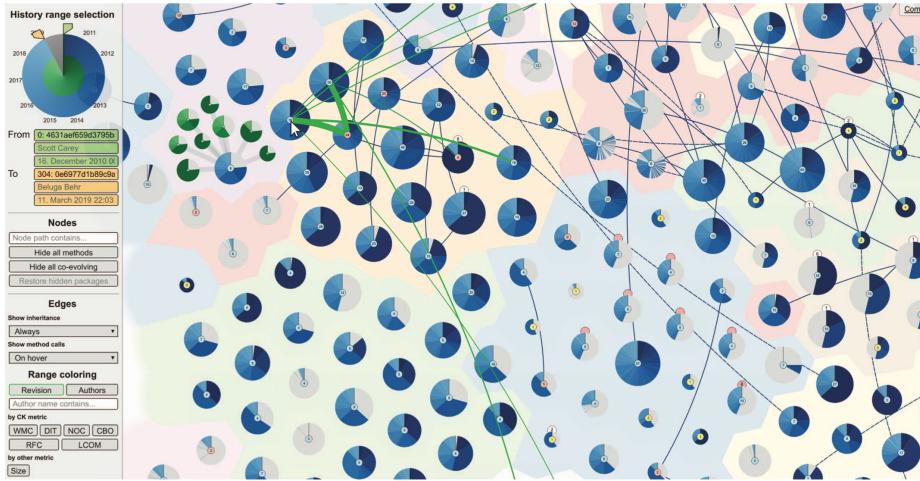


Figure 2.20. Evo-Clock

2.2 Analysis of software evolution

Software repositories contain historical data about the evolution of a software system. Thanks to the spread of the git protocol, and consequently of GitHub, Mining Software Repositories (MSR) has become a popular research field.

D'Ambros et al. in [1] presented several analysis and visualization techniques to understand software evolution. They developed an approach based on a Release History Database (RHDB). It is a database that stores historical information about source code and bugs. The strength of RHDB was the association between historical versions of files and bugs. Having this information stored on a database, they were able to run some evolution analysis to obtain information such as how many developers worked on a file to fix a bug or how the effort was to fix it.

Finally, they concluded by evidencing two main challenges in MSR:

- Technical challenge: repositories contain a sheer amount of data, posing scalability problems.
- Conceptual challenge: how to do something meaningful with the collected data. Most of the approaches present in literature to visualize software evolution have unanswered questions about the effectiveness of the comprehension.

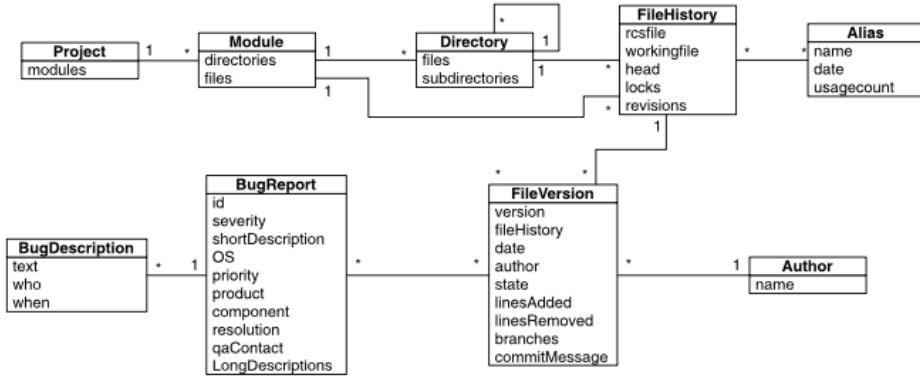


Figure 2.21. RHDB

In 2022, the number of GitHub repositories lays around 200 million. Even if it seems a promising data source, Kalliamvakou et al. raised some issues with its mining. [26] For example, they evidenced that a repository does not always match a project. A reason for this can be found in the fact that most repositories had had very few commits before becoming inactive. Over 70 percent of the GitHub projects were personal when they did their research, and some weren't used for software development. Finally, the last perils they raised were related to GitHub features that software developers do not properly use. They considered only projects with a good balance between the number of commits, the number of pull requests, and the number of contributors to find actively developed repositories.

Spadini, Aniche and Bacchelli [50]. They developed a Python framework called PyDriller, enabling users to mine software repositories. Their tool can be used to extract information about the evolution of a software system from any git repository.

We also mention the work done by Salis and Spinellis [45]. They introduced RepoFS, a tool that allows navigating a git repository as a file system. Their approach sees commits, branches, and tags as a separate directory tree. Figure 2.22 shows an example of a repository data structure.

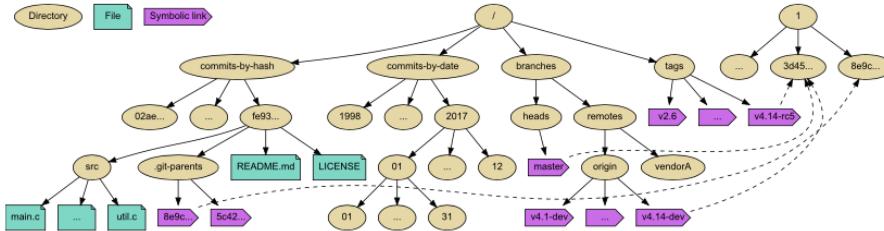


Figure 2.22. RepoFS

Clem and Thomson [8], members of the semantic code team at GitHub, built a static analyzer of repositories to implement symbolic code navigation. That feature was released on

GitHub some years ago and let developers click on a name identifier to navigate to the definition of that entity. They were looking for a solution that would not bring them scalability problems. Moreover, they built the symbolic navigation feature around some ideas like:

- Zero configuration needed by the owner of a repository
- Incrementality of the process. There was no need to process the entire repository for every commit made by a developer. Instead, they analyzed only the files that had changed.
- Language agnosticism of the static analysis.

Working on that feature, they recognized the difficulty of scaling a static analysis like that regarding human behavior. Nevertheless, their idea was to have an agnostic static analyzer, but they could not reach this goal, and they were forced to implement it for just nine programming languages.

2.3 Data sonification

External auditory representations of programs (known as "program auralisation") is a research field that is getting even more interest in the recent years.

Sonnenwald et al. made one of the first attempts. [49] They tried to enhance the comprehension of complex applications by playing music and special sound effects. This approach was supported by a tool called InfoSound It was mainly adopted to understand the program's behavior.

Many other researchers followed this first technique. To cite some of them, DiGiano and Baecker [14] made LogoMedia, a tool to associate non-speech audio with program events while the code is being developed. Jameson [25]] developed Sonnet, audio-enhanced monitoring and debugging tool. Alty and Vickers [54] had a similar idea. Using a structured musical framework, they could map the execution behavior of a program to locate and diagnose software errors.

Despite the usefulness of these tools, they adopted an essential kind of mapping, and thus they had a limited musical representation. Vickerts [53] evidenced the necessity of a multi-threaded environment to enhance the comprehension given by the musical representation. He proposed adopting an orchestral model of families of timbres to enable programmers to distinguish between different activities of different threads.

The size and the complexity of systems can represent a problem for the effectiveness of a visual representation of a software system. Having a large number of visual information, observers might find it difficult to focus only on the relevant aspects. Boccuzzo and Gall [4] supported software visualization with sonification. They used audio melodies to improve navigation and comprehension of their tool, called CocoViz. Their ambient audio software exploration approach exploited audio to describe the position of an entity in the space intuitively. Thanks to the adoption of surround sound techniques, the observers perceived the origin of an audio source so it could adjust their navigation in the visualization. Each kind of entity played a different sound based on mapping criteria.

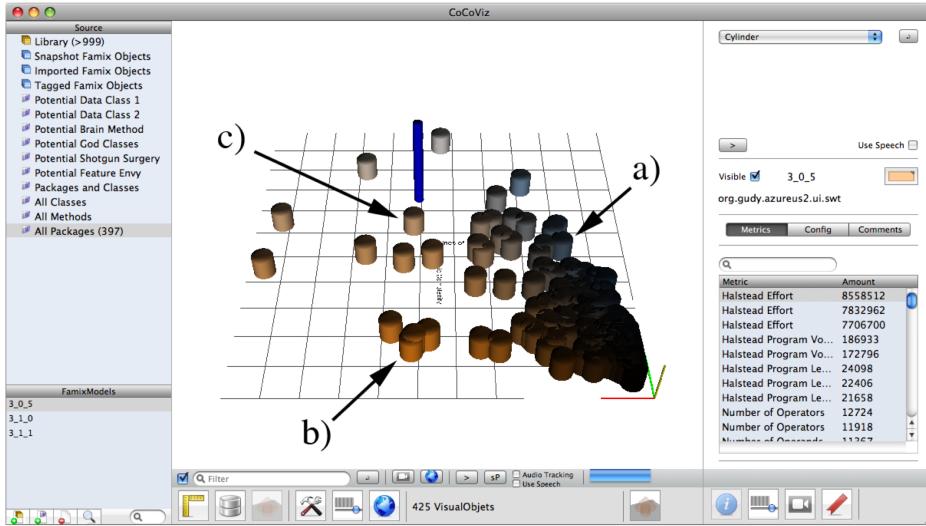


Figure 2.23. CocoViz

McIntosh et al. [37] explored the use of a parameter-based sonification to produce a musical interpretation of the evolution of a software system. Their technique mapped musical rests to an inactive period of development and consonance and dissonance to interesting phenomena (like co-changing of components).

Finally, Mancino and Scanniello [36] presented an approach to transforming source code metrics into a musical score that can be both visualized and played.

2.4 Conclusion

We have seen many different techniques and tools focused on visualizing the source code of software systems, their evolution, or some metrics. Our approach won't consider the source code visualization. Instead, it is focused on the evolution of a software system and how some metrics run over its history. Moreover, in contrast to what some tools did, we are not focused on the evolution code bugs.

The codebase of a system is composed of a group of files. In our approach, each file represents a system entity that mutates over time. It is not based on a previously identified metaphor, such as CodeCity or CityVR with the city metaphor. We created a new layout where the position of each entity is defined by its discovery time.

At present, git has become de-facto the standard tool for version control. Having this in mind, we aim to find a suitable model to represent the histories of mined git repositories. Therefore, we created a model inspired by the EvolutionMatrix, but with some adjustments to make it work with git.

As the GitHub team did, we propose a scalable approach that works with large repositories. It differs from what they did because we are not focused on a semantic analysis of the source

code; instead, we need to extract some metrics. Moreover, in contrast to what they did, our technique is purely language-agnostic.

Finally, we augmented the effectiveness of our approach with an external auditory representation. Conversely to what they did in the first approaches, we used a multithreaded environment to play the musical notes. Whereas CocoViz used audio melodies to support the navigation of space created for the visualization, we mapped sounds to the magnitudes of changes in a given moment.

Chapter 3

Approach

Comprehending the evolution of a software system is a complex activity, mainly because of the sheer amount of data and its complexity. The term "software evolution" was coined for the first time by Lehman in 1985 in a set of laws. [34] He stated that the complexity of a system is destined to increase over time, as the system always needs to be adapted to its evolutionary environments. To be managed, software systems need to be comprehended by developers, and this activity can be simplified with software visualization.

Over the last ten years, developers have stored their codebase on git repositories. For this reason, we focused our attention on systems versioned with this protocol.

Git is a versioning control system that tracks all the changes made to every system file. Internally git holds all the information that we need to reconstruct the history of a repository.

In this chapter, we present our approach for visualizing a software system using a visual and auditive depiction of the evolution of a system. To fulfill this purpose, we have chosen to leverage the phenomenon of Synesthesia, the production of a sense impression relating to one sense by stimulation of another sense. Moreover, we also present how we reconstruct and model the history of a repository.

Our approach is composed of three parts:

- in the first part, we model the evolution of a system;
- in the second part, we present two ways to visualize the system
- in the last part, we show how music can be used to communicate evolutionary information.

3.1 Evolution Model

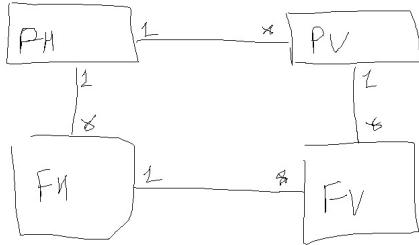


Figure 3.1. Evolutionary Model

To model the evolution of software systems, we developed the model, showed in figure 3.1, based on Hismo, a solution presented by Tudor Girba [20].

The need to develop a novel evolutionary model comes from the fact that Hismo was designed to work with another versioning system: Subversion (SVN). There are several differences between SVN and git. In terms of design, the most important is how they keep track of changes. SVN works with the concept of "snapshot" while git works with the concept of "commits." In SVN, when a file has been changed, a new revision of the whole system is created, and consequently, the number of revisions is incremented. In contrast, in git, only the modified files would get committed, and thus we don't have every time a new snapshot of the system. Therefore, we took Hismo as the starting point of our model and we adapted it to make it work with the git protocol. Initially, the model was based on three concepts:

- Snapshot. A representation of the entity whose evolution is studied.
- Version. An entity that adds the notion of time to a Snapshot by relating it to History.
- History. An entity that holds a set of Versions.

Git does not have the concept of Snapshot, so we replaced it with a novel concept: FileVersion. AFileVersion is essentially the version of a file at a particular point in time. It has the same fashion as a Snapshot. Still, instead of being related to every version of the system, it is related only to the Versions where the file was effectively updated. Moreover, we made a distinction between File entities and Project entities. So, we mapped the concept of History to FileHistory and the idea of Version to ProjectVersion. The entity responsible for holding both of them is called ProjectHistory. To summarize, these are the four main concepts of our model:

- **ProjectHistory:** it represents the history of a repository. It is the holder of two sets: a set of FileHistories and a set of ProjectVersions.
- **FileHistory:** it represents a file of the repository. We consider each file as an entity of the system. Even if the entity's name or location is changed, our mode will treat it as the

same. So, our model is resilient to the renaming and moving activities. Each FileHistory holds a set ofFileVersion, each one representing a different version of the entity at another point in time.

- **ProjectVersion:** it represents a commit or a version of the system. For each changed file inside a commit, the respective ProjectVersion contains aFileVersion representing that change. A ProjectVersion also holds contextual information about the commit, such as the timestamp, the hash of the commit, and the message.
- **FileVersion:** it represents the version of an entity at a particular point in time. It is responsible for holding all evolutionary information of an entity since it represents an evolutionary step of that entity.

Historical information retrieval

To build the history of a repository, we need to extract the historical information from git.

To understand better how we approached it, we have to explain how git internally represents the repository history. Git works with the concept of branches; each branch can be seen as a different repository timeline. Usually, developers exploit branches to develop features on them and then merge the developed code with the stable codebase. They need to create a "merge commit" to do that. Each time we create a new git commit, we deploy a new version of the system that records all the changes made to the commits' tracked files. Internally, in git, all the commits are stored as nodes of a commit tree. The root node represents the repository's first commit since it has no parents. All the other nodes instead represent the commits made during the whole lifecycle of the repository. Each commit usually has only one parent, representing the previous commit made before that one. There is one case where a commit might have more than one parent: merges commits.

Each repository should have a branch containing stable, production-ready code as a convention. Usually, this branch is named "main" or "master". In our approach, we aim to analyze the timeline of this stable branch. We start from the root of the commit tree, which represents the initial commit, and then we traverse the whole tree. However, we don't consider "merge commits" during this process since they already incorporate commits previously made, and thus they would be considered twice. Once we have extracted all the valid commits that reside on the stable branch, we need to take from them all the representative information that we need to represent a ProjectVersion.

Git can recognize the following actions made on a file:

- **ADD.** A file is sent to the repository.
- **DELETE.** A file has been removed from the repository.
- **MODIFY.** A file has been modified.

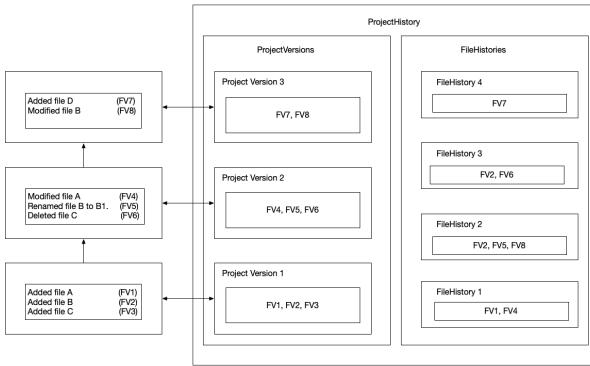


Figure 3.2. Rebuilding history example

- **RENAME.** A file's name has been changed. Whether the file path has been changed, the parent directory path must remain the same.
- **MOVE.** A file was moved from one location to another, so the file path changed. This action detected whether the filename remained the same.

From a commit, we could also extract additional information such as the name of the file being modified, the parent directory, the number of lines added and removed, the path of the file before and after the changes, and many more. We used the commit's information to track all the paths of an entity. We can update the entity path when it was renamed or moved to follow it during its lifecycle.

When we reconstruct the history of a repository, each FileHistory starts with aFileVersion representing an ADD action. Then, in the middle of theFileVersion set, we can find only three kinds of actions: MODIFY, RENAME, and MOVE. An entity might be deleted in some cases, so the lastFileVersion held by a FileHistory will represent a DELETE action.

Figure 3.2 shows an example of how history is rebuilt. First, we create a ProjectHistory that holds a set of ProjectVersions and a set of FileHistories. After that, we start to traverse the repository's commit-tree. As we can notice, for each commit, we create a new ProjectVersion. It represents a new version of the system in our model. Therefore, we inspect the commit's channels and create a new ProjectVersion for each list entry. With this operation, we can discover if a file has been added to the system because, in that case, the change should represent an ADD operation, and thus we can create a new FileHistory. At version 1, three new files were added to the repository (A, B, C), and, as we can see, three new FileHistories were created. Each change was mapped to aFileVersion (FV) and consequently added to the respective FileHistory and ProjectVersion. We did the same thing for ProjectVersion 2 and 3.

Partial historical representation

One of the goals that we had when we developed this approach was the possibility of analyzing a large repository in an acceptable amount of time. In other words, our approach needs to be scalable. GitHub host the code of some notorious open-source systems, such as LibreOffice,

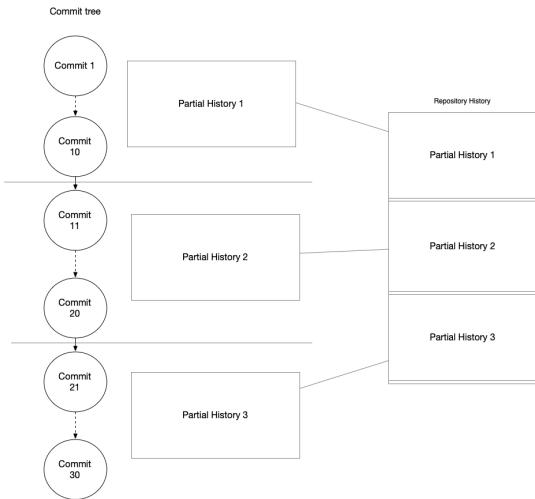


Figure 3.3. Partial history example

Elasticsearch, and Linux. They all have more than 500,000 commits in each, and thus, we cannot aim to reconstruct their histories with a single analyzer; it will take too much time. To prove that, just consider the worse case: Linux. When this thesis was redacted, the repository of Linux had 1,090,563 commits. To move from one commit to another, we could assume that git needs one second. As a result, just to navigate through the whole history of Linux, we would need 11 days. Moreover, in this simple estimation, we are omitting the vast amount of time that the analyzer needs to extract metrics from every single file on each version.

We present a scalable approach based on the concept of "partial history". A partial history is an entity that holds information about a specific range of time of the ProjectHistory. It can be seen as a subset of a ProjectHistory. We can split the repository's history into multiple parts, each represented by a partial record. Then when all the analyses are completed, we merge them to reconstruct the whole story of the repository.

Figure 3.3 shows an example of PartialHistory representation. We split the commit tree into multiple chunks, and then we ran the analysis on each piece. This analysis can be done in parallel since these chunks are independent of each other. In the end, the final history will be represented by a merge of all the PartialHistories.

Nonetheless, we can build PartialHistories in parallel; we cannot do the same for the final History. The final merge needs to be done sequentially. The sequence needs to follow the order of the commit tree. In figure 3.3, for example PartialHistory1 represents the history from commit 1 to commit 10, PartialHistory2 represents the history from commit 11 to commit 20, and finally PartialHistory3 represents the history from commit 21 to commit 30. Therefore, the commit order is respected if we merge them in this order: 1, 2, 3.

The result of a single analysis and a parallel analysis must be identical. To ensure that, we need to pay attention to the merge operations of our analysis. When we merge the history of a repository with a partial history, we need to preserve the characteristics of our model. In particular, if FileHistory is already present in our history, we don't have to duplicate it, but instead, we need to update it.

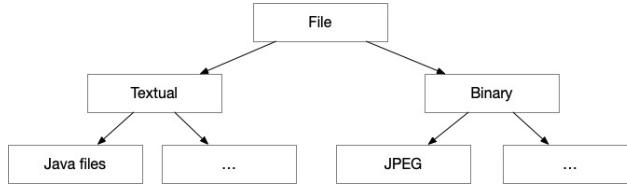


Figure 3.4. Taxonomy

File	SIZE
Textual	LOC, LinesAdded, LinesRemoved
Binary	
Java	SLOC

Table 3.1. aaaa

Evolutionary metrics

Every version of the system holds a set of files. As we said, each file is represented by a `FileVersion`, which is part of a `FileHistory`. To understand all the differences between `FileVersions` of a `FileHistory`, we decided to collect metrics to represent the state of a file in a version.

Since we aim to have a language-agnostic approach, we have selected only language-agnostic metrics. We have defined a taxonomy to classify and categorize all the files present in a system. Each category is then mapped to a set of metrics. Moreover, metrics can be inherited by parent categories.

We mapped in the following way.

So, for each file, we compute the metric `SIZE`, and then, based on the type of the file, if it is a textual file, we also calculate the `Lines Of Code` (`LOC`) and the number of lines added and removed. In addition, if a file is also a `Java` file, we compute the `Source Lines of Code` (`SLOC`).

Our approach can be extended in multiple ways. For example, we can define some object-oriented metrics defined only for a particular kind of file, or also, we can introduce a new category (e.g., Object Oriented or Functional).

3.2 Visualization

The approach that we have defined can be applied to different contexts. We can represent a `ProjectHistory` with two kinds of visualization: a 2D visualization, that uses a matrix and works better with small systems, and it is easier to be implemented, and a 3D environment that can exploit human perception as a vector of information.

2D representation

This visualization is based on the `EvolutionMatrix` approach defined by Lanza [32].

	C1	C2	C3	C4	C5	C6	C7
A	A						
B	A	M		Proj			
B1				Proj	M		
C		A	M	M			
D		A	M	M	X		
E			A	M			
B				A	M	H	

Figure 3.5. Evolution matrix of a repository

We said that a ProjectHistory is a holder of ProjectVersions and FileHistories. A ProjectVersion represents a commit or a version of the system, whereas a FileHistory represents the history of a file. The connection between these two entities is aFileVersion that describes the state of a file in a system's version.

We can represent a ProjectHistory through a matrix with the following properties:

- Each column of the matrix represents ProjectVersion, so a version of a commit of the repository.
- Each row of the matrix represents a FileHistory, so the history of a file.
- Each cell of the matrix represents a ProjectVersion, so the state of a file is in a specific version.

Since we built our model on the top of git, we don't track snapshots of a system but only change. As a consequence, the difference between our matrix and the one defined by Lanza [32] is that we can have holes in the row of an entity. A FileHistory was not modified in a ProjectVersion; this event will be represented by an empty cell. This concept was not present in the Evolution Matrix of Lanza because its model worked with SVN, and thus, it worked with the idea of incremental snapshots.

Figure 3.5 present a schematic evolution matrix of a repository with seven versions. As we can see, in the first ProjectVersion, there were added two files, A and B. In the second revision, B was modified, and C and D were added in the third revision. The fourth revision recorded a rename of B to B1. It's essential to notice that B and B1 represent the same entity; therefore, the same FileHistory represents them.

Based on our aim, we can read this matrix as follows:

- **by rows**, if we are interested in the history of a particular entity of our system. For example, the FileHistory represented by the first third row in figure 3.5 represents the history

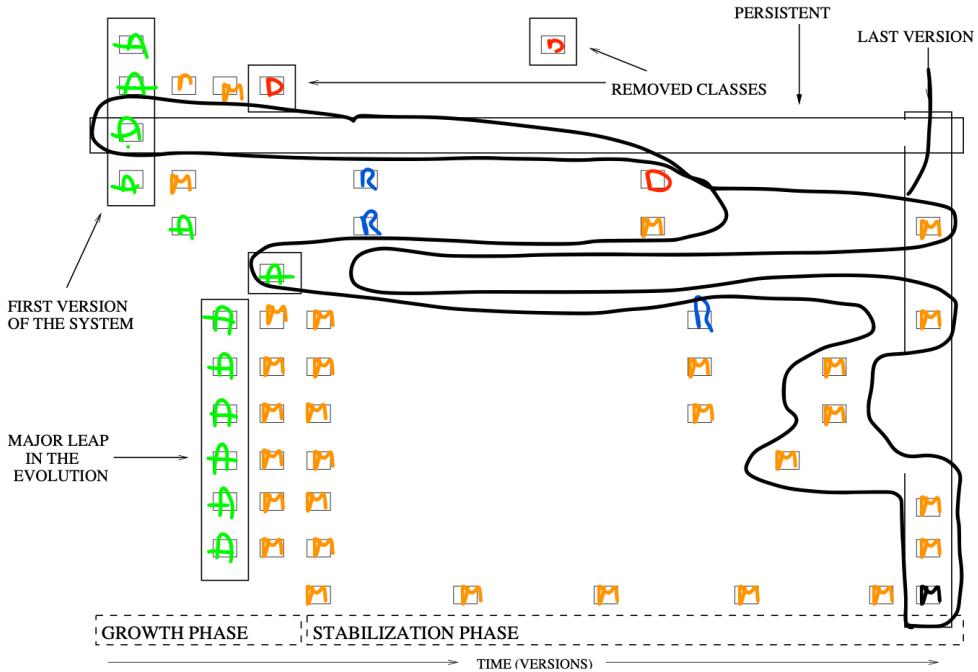


Figure 3.6. Evolution matrix of a repository

of the file D. The file D was added in the third ProjectVersion (so the third commit), modified in the fourth and fifth ProjectVersion, and then deleted in the sixth ProjectVersion. The figure 3.5 is also an excellent example to understand why we cannot rely on the name of the file to identify the entity. We can notice that file B, represented by the second FileHistory, was added on the first version and then renamed on the fourth from B to B1. Then, a new file called B was added in the fifth version. Nonetheless, the name of the files are the same; they must represent two different entities. Even if file B were added in version four, we would have had the same result.

- **by columns**, if we are interested in which entities were updated on each ProjectVersion. For example, on the first ProjectVersion, we have added the first and the second entity. On the fourth ProjectVersion, we have renamed the second entity, we have modified both the third and the fourth, and finally, we have added the fifth entity.

Figure 3.6 shows an example of how to recover evolution information from the matrix. As we have seen, each version does not represent a snapshot of the system. Instead, it represents only the difference in changes made to the previous version. To recover a snapshot of a specific version, we need to consider the last changes made before that particular version. Under those circumstances, for each FileHistory, we need to go back in time until we find the leftmost change. Of course, if the leftmost difference was deleted, we have to ignore the related FileHistory. In contrast, if we have to display the evolution of a snapshot, we need to consider only the changes made after that snapshot. So, each time we need to display a ProjectVersion, we have to take all its FileVersions and merge them with the current state of the snapshot.

3D representation

Software systems are hard to understand due to the complexity and the sheer size of the data to be analyzed. Our 3D representation aims to make the analysis of a system easier for engineers by exploiting the human senses. This is why we have chosen to leverage the phenomenon of Synesthesia. The phenomenon of synesthesia occurs when stimulation of a sense or a cognitive pathway leads to the involuntary stimulation of another reason or a cognitive path. We experience synesthesia when two or more things are perceived as the same. For example, synesthetic people might associate the red color with the letter D or the green color with the letter A. There are many forms of synesthesia, each one representing a different type of perception, such as visual forms, auditory, tactile, etc.

In our approach, we use the following visual aspects to trigger involuntary associations:

- **Color:** we use the color to describe actions made on an entity (ADD, MODIFY, RENAME, MOVE, DELETE). Ideally, when an entity is deleted, it should be removed from the visualization. This decision is up to the user.
- **Shape:** we use the shape of the entity to describe the category of the entity. We have defined a taxonomy to categorize entities, and these categories are also mapped. For example, a java file could be represented by a cube, whereas a sphere could represent a binary file.
- **Height:** we use the height of the entity to describe the value of a metric. The decision of which metric is up to the user.

Layout

We developed our visualization approach to visualize the evolution of a system incrementally. We cannot track hierarchical relationships with a language-agnostic approach, such as classes belonging to a package. So, we have adopted a simple representation that takes care of the creation order of entities. To layout FileHistories, we use an outward spiral layout, where the center of the spiral is the first entity added to the system.

Time traversal

Histories are definer over real human time. Some repositories have been developed for more than ten years. Consequently, there are several ways to traverse the history of an entity. We can consider the human time or just view the commits made.

The visualization needs to start from the first moment and go forward until the end. In our approach, we define two strategies to traverse the history of a repository:

- Strategy 1 We can display n version at the time, so we are traversing the history as it was written. A limitation of this approach is that we lose the concept of time. We cannot have any idea about how much time was passed between the two commits, thus we cannot distinguish active development phases from unactive development phases.

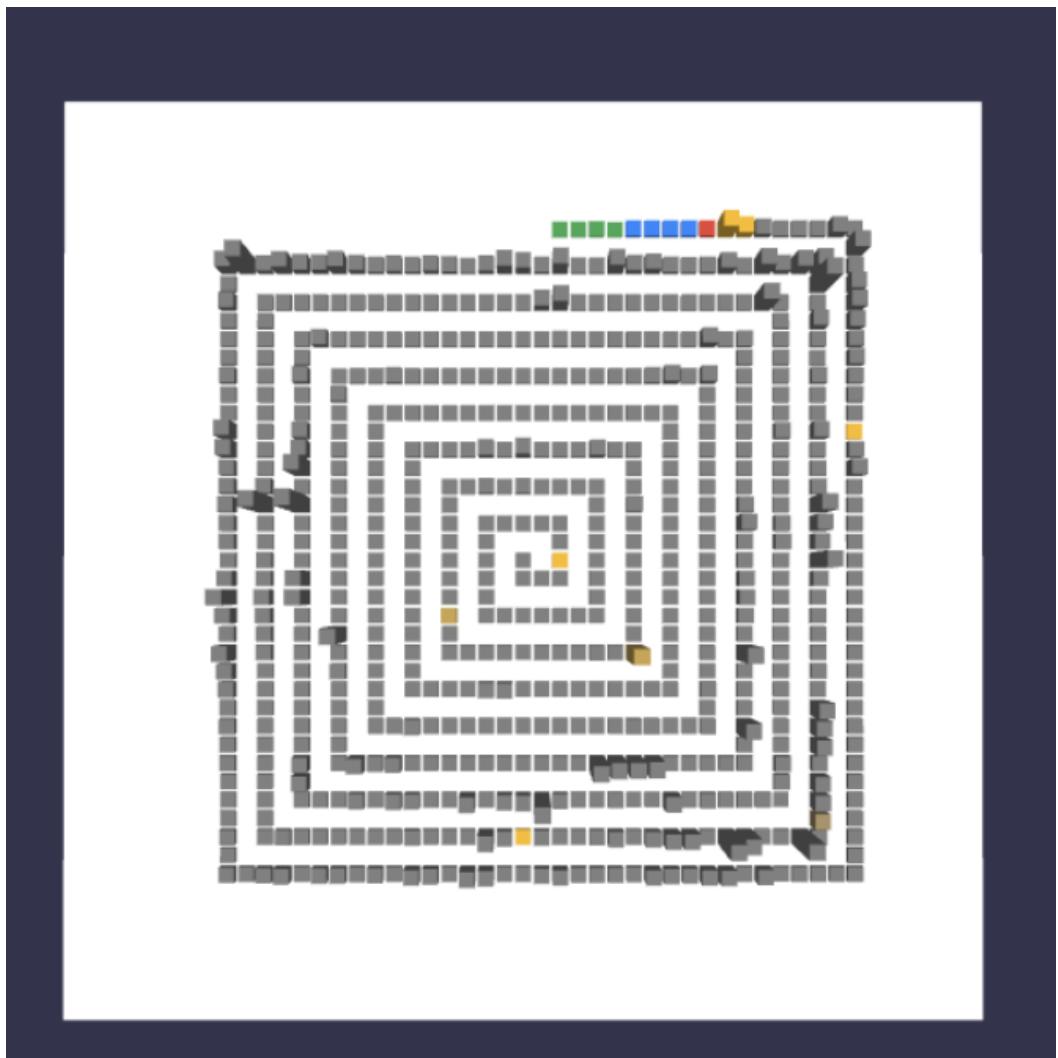


Figure 3.7. Spial layout example

- Strategy 2 We can group versions by timestamp. So, all the commits made in the same period will be displayed simultaneously. This strategy works very well if we need to comprehend how the system evolved and at which speed in time.

In both our strategies, we might need to aggregate more versions into a single entity: a **moment**. We define a moment as a group of versions that will be displayed simultaneously.

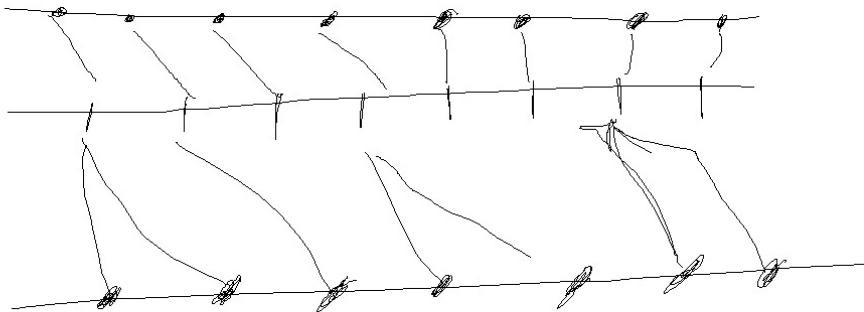


Figure 3.8. Example of two different strategies to identify moments. In the first strategy, we mapped one commit with one moment, so the number of moments will equal the number of commits. Alternatively, in the second strategy, we created a moment every day. As a result, we have moments with many commits and some without anyone. With this strategy, the number of moments will be the same as the number of days between the first and the last commit.

Figure 3.8 highlights the difference between the two strategies mentioned above.

Color

The entity's color should recall the last action made on that entity. To achieve this purpose, we used the color association described in figure 3.9. Nonetheless, each person has their perception of colors. Thus, we can not assume that this color will work similarly for all people. To remedy this issue, users can customize the color palette.

We decided to put another piece of information on the color of the entity: the **aging**. We define the aging of an entity as the number of moments since the last modification of that entity

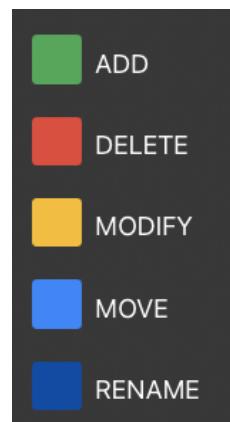


Figure 3.9. Color association

happened. We mapped the age of an entity with the darkness of its color to do that. As a result, older entities will be displayed with a darker color. This way, users can immediately recognize the last action and the time passed since the entity was modified.

Shape

We have chosen to play with the entity's shape to distinguish them based on their type. Our visualization layout works with an outward spiral that always adds a new entity at the tail. If a commit involves lots of entities, it would be helpful to know immediately which kinds of entities are modified. For this reason, we mapped the entity's shape to the file type of the entity itself. Usually, there are many file types in a repository, so we cannot aim to have an exhaustive set of shapes to represent each file type individually.

Height

As we said, the height of an entity must represent the value of a chosen metric. Entities without the selected metric would not be represented in our approach.

To extract the value of the height from a metric, we used to map it with a **mapper**. A mapper is defined as a function that returns its height given an entity and a metric.

This mapping function might be defined in several ways, such as with a linear process whose maximum value is predefined and its minimum value is 0. The choice of which strategy should be used is up to the user.

3.3 Auditive model

We supported our visualization approach with an auditive portrayal of ProjectVersions being displayed. The goal is to transmit information such as the number of version changes, number of additions, and number of deletions, through audio notes.

We investigated several approaches to map evolutionary metrics to sound; as a result, our approach uses the following audio concepts:

- BPM: Beats Per Minute or tempo sets the rhythm of a song.
- Note's pitch: the quality that makes it possible to judge sounds as "higher" and "lower" in a sense associated with musical melodies.
- Synthesizer: a producer of audio signals.

As we said, our visualization works over the concept of moments. We mapped each moment to a sound that is generated procedurally. Each sound is composed of three different synthesizers. We used them to distinguish between the three pieces of information that we want to provide: number of version changes, number of additions, and number of deletions. Moreover, the note reproduced by each synthesizer depends on the magnitude of the metric. For example, if we compose the sound of a moment that holds lots of additions, the note played by the synthesizer that represents additions will be high. In contrast, a moment with few additions will

be mapped to a note with a low pitch. Finally, the tempo depends on the number of changes in our approach. So a moment with lots of changes will sound more fierce than a moment with few changes.

Chapter 4

Implementation

In this chapter, we present how we designed and implemented SYN, a tool that supports the software evolution comprehension approach defined in section 3.1 and 3.2.

4.1 Platform overview

SYN is a platform tool that allows developers to have a visual and auditive depiction of an evolving system. It was designed to be extensible and efficient, therefore, we created a set of modules each one with its own responsibility:

- **SYN Core:** the core module, required by other modules. It holds the core concepts of SYN, such as ProjectHistories, ProjectVersions, and so on. It also provides some abstract concepts that are open to any kind of implementation to achieve the extensibility goal.
- **SYN CLI:** responsible to provide a Command Line Interface interaction to users.
- **SYN Analyzer:** implements the analysis approach described in section 3.1.
- **SYN Server:** provides GraphQL endpoints to retrieve data from SYN and display them in a user interface.
- **SYN Debugger:** the user interface of SYN developed to debug and visually depict information collected during the analysis.

All the modules of SYN are written in Java with the exception of SYN Debugger which is written with React and TypeScript.

The modular architecture of SYN allows the user to interact with the tool in two different ways: through the console with the commands provided by the SYN CLI module or through a web application embedded inside the SYN Debugger module.

Figure 4.1 provides an high-level overview of the architecture. Each row represents the dependencies between modules. The heart of the system is the SYN Core module. It specifies concepts described in our approach and it also standardize how modules should interact with it. It holds a View Generator used by other components to create views over the collected repository's data. This collection is done by the SYN Analyzer module, internally, it has classes that act as a repository explorer to retrieve data from the repository history. Moreover, metrics are

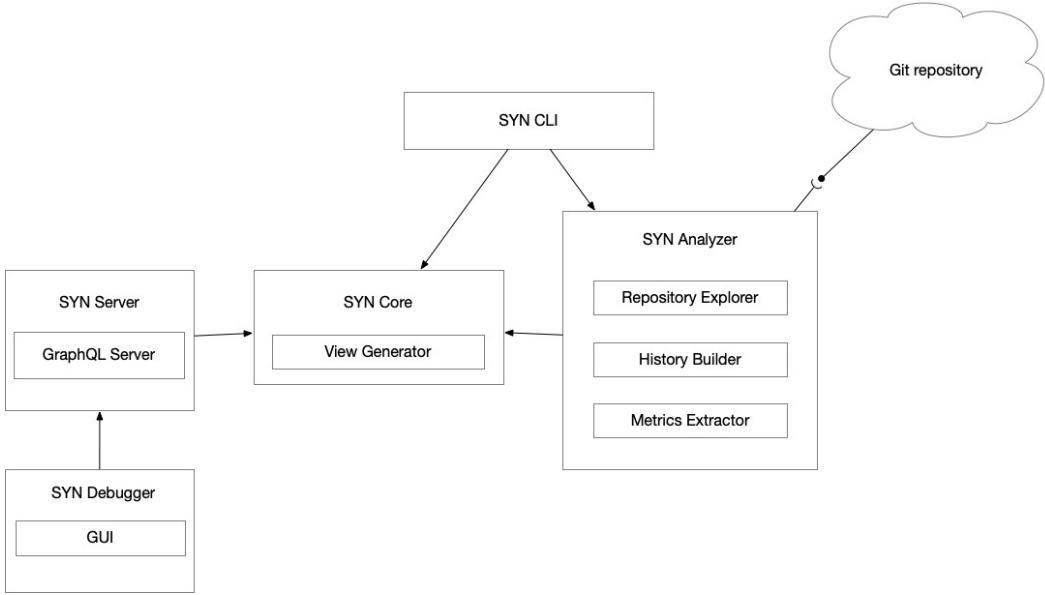


Figure 4.1. Architecture of SYN

extracted with the metrics extractor component and put inside the internal historical representation through the history builder component. Since we wanted to provide a direct access to the analysis capabilities of SYN, the SYN CLI provides commands that directly calls functions defined inside SYN Analyzer. This is the reason why it has both SYN Core and SYN Analyzer as dependencies.

SYN Debugger holds the GUI of SYN to graphically represent a view. Information are retrieved through endpoints specified by the GraphQL server of the SYN Server module, that, in turn, retrieves view data from the SYN Core module.

Having reached a low coupling between modules, the extensibility of SYN is preserved. In fact, modules such as the server or the analysis implementation could be changed without altering the codebase of other modules.

4.2 SYN Core

SYN Core is a module that holds all the entities that we have introduced in section 3.1. All the classes of our model, extend the **Entity** class that is composed of the field **id**, which is unique and is used to identify an object inside our domain. To quickly identify the type of the object, each class has an identifier that makes the prefix of the id. Classes inside the model of SYN Core could be partitioned into four subdomains: Project, History, Analysis, and View.

Project

The first part of the model consists of the **Project** entities. The diagram is shown in image 4.2. The abstract class **Project** represents a software system. It defines the following fields:

- **name**: the name of the project.

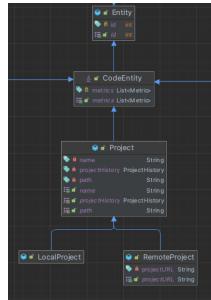


Figure 4.2. Model: Project entities

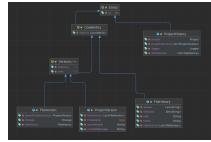


Figure 4.3. Model: History entities

- **projectHistory**: an object that represents the history of the project. It holds the results of the analysis.
- **path**: the path of the git repository.

Moreover, we defined two additional classes `LocalProject` and `RemoteProject` that respectively represent a project that was already cloned and present in the local storage and a project that needs to be retrieved from the internet. Therefore, if on one hand, `LocalProject` does not need any further fields to represent a local project on the other hand `RemoteProject` needs at least the `projectURL` field. We might add more information such as the git branch, and the remote credentials but we decided to keep the implementation as simple as possible.

LocalProject

History

As we evidenced above, each project might hold `ProjectHistory` object that represents its history. Following what we have explained in section 3.1, the `ProjectHistory` class holds a group of `ProjectVersion` and a group of `FileHistories`. The `FileHistory` class, which represents the history of a single file, consists of:

- **aliases**: a list of paths related to the file. We know that a file is identified by a single unique name. However, since our approach is tolerant to moving and renaming activities, we have to store somewhere all the paths that a file had. In section X we explain the importance of this field and why it has such a crucial role in our analysis.
- **fileTypes**: A set of Strings each one representing the type of this file.
- **Path**: the path of the file.
- **Name**: the name of the file.

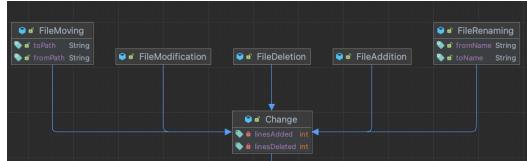


Figure 4.4. Model: Change entities

- fileVersions: a list of FileVersions related to this fileHistory.

With the `VersionV` class we want to represent the state of an entity at a particular point in time. The generic parameter `V` is used as a constraint to force a consistency of the type of the previous and the next version. The `ProjectVersion` class, used to represent git commits, defines the following fields:

- fileVersions: a list of FileVerions that are part of this commit.
- timestamp: The timestamp of the commit.
- commitHash: the hash of the commit.
- commitMessage: the message of the commit.

Extending the `Version` class, the `ProjectVersion` class inherits also the previous and next field that could be used to traverse the history similar to how we traverse a `LinkedList`. Finally, the `FileVersion` class has the following fields:

- parentProjectVersion: the `ProjectVersion` holding this `FileVersion` instance. It can be used to retrieve the commit's related information such as the timestamp or the message.
- change: an object that represents the action made on that file.
- fileHistory: the `FileHistory` that represents the file being modified.

A change represents an action made on a file. In our approach, we have identified five different types of changes, and in our implementation, all of them extend the `Change` class. It is composed of the field `linesAdded` and `linesRemoved` each one representing the number of lines added and removed. The goal of our model is to be easily extensible. With that in mind, if in a future implementation we want to extend the variety of metrics specifically collected for each kind of change, we just need to extend the relative class and the polymorphic mechanisms of Java will do the rest.

Analysis

Even though the analysis is effectively implemented into another module, SYN Core provides some abstract classes that need to be implemented to allow the exchange of analysis results. The first class that the model defines is `AnalysisWorkDescriptor`. An `AnalysisWorkDescriptor` is, as the name suggests, a holder of useful information to instruct the analyzer on what it has to do. This information comprehends the project on which we have to run the analysis and the list of commits that have to be analyzed. The reasons behind this implementation choice are to allow multiple threads to run in parallel multiple analyses without analyzing the same commit multiple times. We described this approach in section 3.1.

Inside the core module we also have the **FileTypeManager** class. Its responsibility is to map a set of function to compute evolutionary metrics, (presented in section 3.1) given a fileHistory. The returned set of functions could be easily extended also with external modules. This choice was made to easily allow external components to increase the set of metrics computed in SYN. In fact, we allow any developer to write any kind of function able to compute any kind of metric and put it inside the set of the corresponding file type. We can easily infer the implications of this design, for example, if in the future an engineer wants to write an OO (Object Oriented) metric, such as the number of parents, they can create a function to compute that and associate that function to the "JAVA" or the "OO" type.

Finally, in our model, we also defined the **ProjectAnalysisResult** class. It must be used by an analyzer to return the results that it collected. Moreover, this class made a distinction between partial and total analysis results, allowing it to be used with the partial history retrieval approach defined in section 3.1. The fields declared on this class are:

- project: the projects on which the analysis was done.
- analysisCompleted: whether if the analysis is partial or total.
- timestamp: the timestamp of the moment when the analysis was completed.
- firstCommit: the first commit considered in this analysis.
- lastCommit: the last commit considered in this analysis.
- projectVersions: a list of ProjectVersion discovered during the analysis.
- fileHistories: a list of FileHistories discovered during the analysis.
- fileVersions: a list of FileVersions discovered during the analysis.

Moreover, this module also provides an abstraction of the core concepts of the Git protocol. The classes are **GitProject**, **GitCommit** and **GitChange** each one respectively representing a repository, a commit and a change on a file. With this choice each external module has an high level of autonomy, having the possibility to decide how it should interact with git.

View

The concept of View in SYN is related to how information should be displayed. In section 3.2 explained our visual approach. To implement it, we have defined a class called **View**, that designates how the visualization should be rendered. In our visualization approach, we have to display the evolution of a repository. To do that the user interface needs to sequentially display one by one a list of frames, each one representing a moment of the repository. A moment could be either a group of commits in space or a group of commits in time. We designed the class **ViewAnimation** to represent a frame of the evolutionary animation of the view. This class has two properties:

- **representedEntities**: a list of ProjectVersions whose data had been used to create that frame.
- **viewFigureList**: a list of ViewFigure each one representing a FileHistory in the view.

The **ViewFigure** class holds graphical properties to properly display each FileHistory

- **position**: the position of the entity.
- **color**: the color of the entity.
- **height**: the height of the entity.
- **shape**: a literal that represents the shape of the entity.
- **age**: the age of the entity.
- **enabled**: whether the entity should be visualized or not.
- **opacity**: the opacity of the entity.
- **size**: the size of the entity.

All these properties are computed when the View object is instantiated. A view is created on the top of the user's preferences. So, for example, if the user wants to use a specific color for a specific action, like the dark green for the additions, we have to store this information somewhere. This is the reason why we defined the **ViewSpecification** class. A View Specification is the key element of each view. All the views are generated from a ViewSpecification instance. The list of available properties defined inside a ViewSpecification are:

- **versionGroupingStrategy**: the strategy that SYN needs to follow to group up commits and create moments.
- **versionGroupingChunkSize**: the dimension of each group; it can be a number of commits or an amount of time.
- **colorPalette**: the mapping that SYN has to follow to mapFileVersion's actions to colors.
- **agingGroupingStrategy**: the strategy that SYN needs to follow to define an age.
- **agingStepSize**: the dimension of each age; it can be a number of commits or an amount of time.
- **agingSteps**: the number of available aging steps.
- **mapperStrategy**: the strategy that SYN has to follow to compute the height of each entity.
- **mapperStrategyOptions**: optional properties of the mapper if needed.
- **mapperMetricName**: the metric that the mapper should consider to compute the height.
- **showUnmappedEntities**: whether the view should display entities without the selected metric.
- **fileTypeShape**: the mapping that SYN has to follow to associate a FileType with a shape.
- **fileTypeOpacity**: the mapping that SYN has to follow to associate a FileType with an opacity level.
- **figureSize**: the size of each figure.

- `figureSpacing`: the space between each figure.
- `showDeletedEntities`: whether the view should display deleted entities.
- `withGround`: whether the view should include a ground element.

Moreover, we defined an abstract class **PositionLayout** whose implementation specifies how entities should be laid out and a class **MapperStrategy** whose implementation specifies how the height of the entity is computed. With SYN we ship five possible mapperStrategy:

- `BucketCountStrategy`: where the height of the entity is computed after performing a bucketing on the selected metric's values.
- `LinearBucketValueStrategy`: where the height of the entity is computed after performing first a bucketing and then a linear mapping on the selected metric's values.
- `LinearMapperStrategy`: where the height of the entity is computed after performing a linear mapping on the selected metric's values.
- `NormalizerMapperStrategy`: where the height of the entity is computed after performing a normalization on the selected metric's values.

4.3 SYN CLI

SYN CLI is a command-line interface that allows developers to interact with SYN. It gives to developers full control over the system.

Analysis commands

```
syn analyze auto -p <project_id> -o <output_file> -t <thread_count>
```

This command is used to run an automatic analysis with SYN. Given the id of the project, the system automatically creates all the thread workers (5 by default), runs in parallel the analysis, and joins the results in the output file. Moreover, this command ensures that each thread has its own git repository.

```
syn analyze join -o <output_file> <...analysis_file>
```

This command is used to join analysis results into a single output file.

```
syn analyze manual -p <project_id> -g <git_repo_path>
    -rc <first_commit> -lc <last_commit> -o <output_file>
```

This command is used to perform a manual analysis with SYN. This command lets the developer have the freedom to choose the repository path and both the first and the last commit of the analysis. If the first commit is not specified the analysis starts from the beginning of the history and, in the same way, if the last commit is not specified the analysis ends with the end of the history.

```
syn analyze prepare -p <project_id> -g <git_repo_path>
-wn <workers_number> -of <output_folder>
```

This command is used to create a folder of workers to analyze a project. Based on the number of workers, the repository history is equally partitioned into chunks, and each one is assigned to a worker.

```
syn analyze worker -p <project_id> -g <git_repo_path> -w <worker_file> -o <output_file>
```

This command is used to run the analysis on a project given its worker file. Moreover, here the user can specify the git path because it cannot be used by two analyzers at the same time, so it is up to the user to choose a free git repository.

Project commands

```
syn project list
```

This command is used to print in the console a list of available projects

```
syn project create -n <project_name> -p <project_location>
```

This command is used to create a project given its name and its location. The location could be either a path or an URL.

```
syn project inspect -g <git_path> <project_id> <FileHistory_id>
```

This command is used to inspect all the FileVersions of a FileHistory. Moreover, if the git repository is provided, SYN performs a double check to ensure that all the FileVersions were spotted. To do so, it exploits the output of the command "git log --full-history -- <FileHistory_path>", keeping attention if the FileHistory's path was changed (git cannot do that).

```
syn util csv <project_id> -o <output_file>
```

This command produces a CSV containing all the commit's tracked information of the selected project.

4.4 SYN Analyzer

SYN Analyzer is a module that provides an implementation of the analysis approach described in section 3.1. To walk through the git-tree, it uses a Java git adapter called JGit. To do so, we designed three subclasses of the SYN Core git classes, `JGitProject`, `JGitCommit` and `JGitChange`, that calls the JGit API to retrieve historical information.



Figure 4.5. Partition of the commit tree with 3 workers.

To run the analysis we need to obtain first an `AnalysisWorkDescriptor`. In this modules, we developed a class `JGitAnalysisWorkerDescriptorFactory` to partition the commit tree and obtain a set of workers. Figure 4.5 shows an example of a partition with 3 workers. First, the whole history of git is retrieved and stored in memory. Secondly, all the commits from all the branches are merged into a single list sorted by their timestamp. Then, the merge commits are removed since the changes recorded by them are duplicated and finally the resulting list is partitioned to match the requested number of workers.

The analysis process of a single worker contemplates the following steps:

1. we call the method `runAnalysis` of the class `ProjectAnalyzer` with a worker descriptor and a project as an argument.
2. the analyzer reads the git path of the project and instantiates a new `GitProject`.
3. a list of commits, specified in the worker, is retrieved from the `GitProject`.
4. the first commit of the list is retrieved, a new `ProjectVersion` is created with its details.
5. the analyzer runs the checkout command of git to restore the version of the system at the one specified by the commit.
6. the analyzer retrieves a list of modified files.

7. for each modified file, the analyzer creates a new `FileVersion`, links it with the corresponding `ProjectVersion` and `FileHistory` (creates it if it doesn't not exist yet), and finally extracts all the evolutionary metrics expected for that kind of file.
8. once all the modified files are analyzed, and the analyzer repeats steps 5, 6, and 7 considering, each time the subsequent commit of the list.
9. once all the commits are analyzed, and the analyzer returns all the discovered results within an `ProjectAnalysisResult` object.

If we want to analyze a large repository in an acceptable amount of time, we have to run the analysis in parallel and thus we have to use a considerable amount of workers. Each worker produces a `ProjectAnalysisResult`, then to obtain the full history of the repository we have to collect all the analysis results. In SYN each entity is identified by an id. The order in which entities are created is important because we visually sort entities based on their creation timestamp. Therefore, having consistency between ids of `FileHistory` is the most important feature that our algorithm must-have. We said that each analysis result has: a list of `FileVersions`, a list of `ProjectVersions` and a list of `FileHistories`. Analyzers are not able to communicate, therefore each one works in a sandbox. When we have to join them, concatenating two lists of `FileVersions` or two lists of `ProjectVersions` is not a problem if the historical sequence was respected. In fact, these objects are mutually independent of each other. The challenge comes with `FileHistories`. When the analyzer encodes a file, if it was not discovered yet, it creates a new `FileHistory` with a new id. This sounds like a problem with merging because we might append the same file twice. In other words, we have an issue with linking `FileHistories` between analysis results. Assuming for a moment that we do not have this obstacle, there is another situation that might happen, more tricky than the previous one. If in the middle of two analysis results a file changed its path, on the first analysis we have an entity with the old path, and on the second analysis, we have an entity with a new path. Unless we won't keep track of all the possible paths of an entity, it is impossible to reconstruct a connection between these two files. This is the reason that brought us to put the `alias` field in the `FileHistory` class.

In the join algorithm that we developed, we employ a map to keep track of `FileHistories`. As a result, nevertheless, the file has a different id on any analysis result, the consistency between `FileHistories` is guaranteed and moreover, we can guarantee the absence of duplicates inside the newly built repository history.

Therefore, the algorithm takes as input a list of already discovered `FileHistories` and an `ProjectAnalysisResult` and it returns a dictionary. This dictionary is used to map `partialFileHistories`, the `FileHistory` of an analysis result, to `definitiveFileHistories`, which are part of the full history of the repository. To obtain this map it uses the following strategy:

1. If the last alias of a `definitiveFileHistories` is equal to the first alias of a `partialFileHistory` then they represent the same file.
2. If in the `partialAnalysisResult` there is more than one `FileHistory` with the same first alias, only the first is mapped to a `definitiveFileHistories` and the other `partialAnalysisResult` are mapped to a new `newFileHistory`.
3. If a `partialFileHistory` has not an alias match with a previously created `definitiveFileHistories` then it represents a new `definitiveFileHistories`.

Algorithm 1 Algorithm to create a mapping between partialFileHistories and definitiveFileHistories

```

1: procedure PARTIALTODEFINITIVEFH(projectAnalysisResult, partialFileHistories)
2:   partialToDefinitive  $\leftarrow$  Map()
3:   partialAliasToFH  $\leftarrow$  Map()                                      $\triangleright$  Strategy 1
4:   unmappedPartialFileHistories  $\leftarrow$  List()                                 $\triangleright$  Strategy 2
5:   for all partialFH in partialFileHistories do
6:     firstAlias  $\leftarrow$  partialFH.aliases[0]
7:     if !partialAliasToFH.has(firstAlias) then
8:       partialAliasToFH.set(firstAlias, partialFH)                          $\triangleright$  Strategy 1
9:     else
10:      unmappedPartialFH.append(partialAliasToFH)                            $\triangleright$  Strategy 2
11:    end if
12:   end for
13:   for all definitiveFH in projectAnalysisResult do                       $\triangleright$  Strategy 1
14:     lastAlias  $\leftarrow$  definitiveFH.aliases[definitiveFH.length - 1]
15:     if partialAliasToFH.has(lastAlias) then
16:       partialFH = partialAliasToFH.get(lastAlias)
17:       definitiveFH.path = partialFH.path
18:       definitiveFH.aliases.addAll(partialFH.aliases)
19:       partialToDefinitive.set(partialFH, definitiveFH)
20:       partialAliasToFH.remove(lastAlias)
21:     end if
22:   end for
23:   unmappedPartialFH.addAll(partialAliasToFH)                                $\triangleright$  Strategy 3
24:   for all partialFH in unmappedPartialFH do
25:     definitiveFH = FileHistory(partial.name, partial.path)
26:     definitiveFH.aliases = partialFH.aliases
27:     partialToDefinitive.set(partialFH, definitiveFH)
28:   end for
29:   return partialToDefinitive
30: end procedure

```

4.5 SYN Server

SYN Server is responsible for providing the elaborated information, given by the analysis results, in an intermediate language between the front-end (SYN Debugger) and the back-end. We used SpringBoot, a Spring-based tool for developing "production-ready" applications efficiently.

GraphQL API

This type of communication is used in SYN for retrieving repository information. GraphQL is a query language for APIs that gives clients the possibility to ask for exactly what they need. This is an advantage compared to a REST API because, instead of always returning a predefined set of data, with GraphQL only the needed information will be returned making the communication more effective. In GraphQL endpoints are divided into queries and mutations. Queries are used to retrieve data while mutations are used to create or alter data.

Mutations

```
=> createProject(projectName: String!, projectLocation: String!): Project
```

used to create and automatically analyze a new project. It takes as parameters the name of the project and its location (the path on the local machine or the URL), both as a String.

Queries

```
projectList: [PartialProjectInformation]!
```

is used to retrieve a list of projects. For performance reasons, with this query only the name and the id of a project can be retrieved.

```
view(projectId: Int!, viewSpecification: ViewSpecificationInput!): View
```

returns a view of the project identified by the argument `projectId`, built following the directives specified in the `viewSpecification` object. This query returns an object representing a view, thus it has all the fields that we have described in section 4.2.

```
partialView(projectId: Int!, viewSpecification: ViewSpecificationInput!, viewAnimationId: Int): View
```

returns a view with only the next 100 animations starting from the one identified by `viewAnimationId`. This endpoint was created to enable performance optimizations on clients. If the `viewSpecification` expected too many animations, the resulting view would be a bottleneck for the client. With this endpoint it can still retrieve the view, and then animation can be lazily loaded once they are effectively required.

```
fileHistory(projectId: Int!, fileHistoryId: Int!): FileHistory
```

used to retrieve details of the `FileHistory` identified with `fileHistoryId` of the project identified with `projectId`.

```
projectVersions(projectId: Int!, projectVersionsId: [Int]!): [ProjectVersion]!
```

used to retrieve details of the `ProjectVersion` identified with `projectVersionsId` of the project identified with `projectId`.

`groupingPreview(projectId: Int!, viewSpecification: ViewSpecificationInput!): Int`

this endpoint is used to compute the number of AnimationFrames that are gonna be created with the given `viewSpecification`.

`fileTypeCounter(projectId: Int!): [FileTypeCounter!]!`

this endpoint returns a list that maps each FileType with the number of occurrences that it has in the project `projectId`.

`fileTypeMetrics(projectId: Int!, fileTypeFilter: [String]): [FileTypeMetrics!]!`

this endpoint returns a list that maps each FileType with a list of metrics available for it. Only the FileTypes specified in the `fileTypeFilter` list are considered.

4.6 SYN Debugger

SYN Debugger is a web application that allows developers to interact with SYN. It is written with React.js, a popular JavaScript framework. The aim of this application is to have a visual depiction of the view generated by the server, plus some additional information. For example, it allows you to click on an entity and see the information that is related to it. The visualization is based on Babylon.js, a popular 3D library. SYN Debugger provides a different kinds of customizations to the view, such as the shape and the colors of the entities. All these customizations are sent to the back-end server, through a *view specification file*.

The main purpose of this application is to debug the view and explore all the possible visualization combinations of a system. The main page of the visualization is a list of project.

Project setup

Once a project is selected, the UI searches in the local storage of the web browser a view specification. If it is not present, the first view loaded is the project setup. The project setup is a view that allows the user to express preferences about how the UI should be rendered. There are five steps that compose this view:

- *Component selection: where the user can express which kind of FileType must be considered in the viewSpecification. To ease the choice, for each FileType a counter representing how many FileHistories have that FileType is shown.*
- *Grouping version strategy: enables the user to choose how moments should be created.*
- *Figure settings: to customize shape, metrics and opacity of each FileType. Moreover, with this view the user can choose the mapping strategy for the height of entities.*
- *View color: to customize the color of each change and how aging must act.*
- *View settings: to customize some general settings such as the speed of the visualization, shadows or whether deleted entities should be kept.*

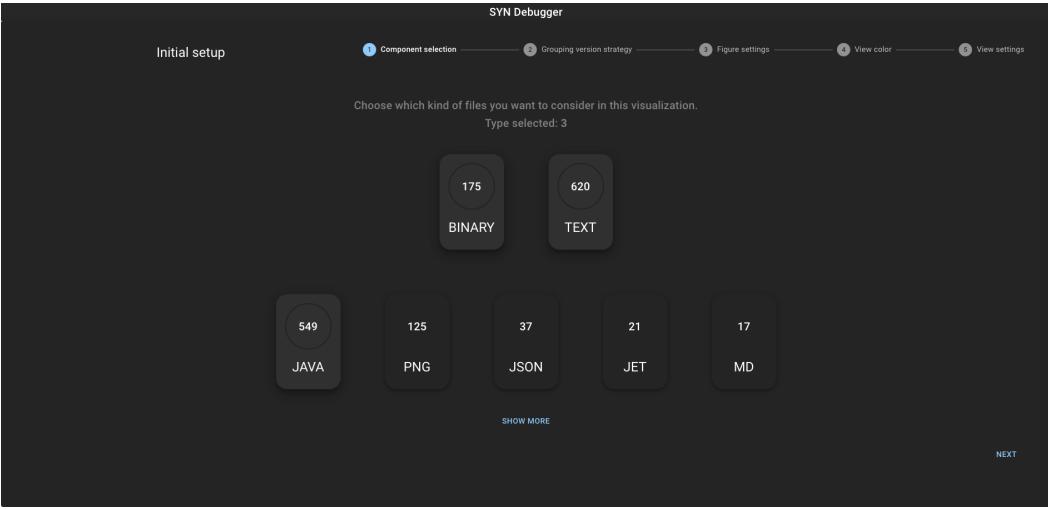


Figure 4.6. Project setup: component selection

Component selection

The first step of the setup, shown in figure 4.6, shows a list of cards, each one representing a *FileType*. We can break the visualization area into two parts: on the top one, there are two cards to represent binary files and text files. The sum of this two counters represent the total number of *FileHistories* present in the system because each file must be textual or binary. On the bottom area there are all the cards whose type is extracted by the extension of the *FileHistory*. For example, if a file is named "foo.java" it is represented by the JAVA card in this area. Cards are sorted in a descending order by their counter. This view considers all the *FileType* present in the system, to show the complete list users has to click on the button "show more".

Grouping strategy

The second step of the setup, shown in figure 4.7, allows the user to choose how SYN groups *ProjectVersions*. Following what we have presented in the visual approach section 3.2, we provide two strategies to group *ProjectVersions*:

- by commits: we create a moment every *n* commits.
- by timestamp: we create a moment every *n* seconds.

To ease the selection of the timestamp strategy, instead of manually compute the width of the time window, the user has only to specify an amount and its time measure (hour, day, week, month, year) and the UI automatically does the rest. Moreover, the UI shows a preview of the grouping strategy to give an idea on how many animations are created with the selected settings.

Figure settings

The third step of the setup, shown in figure 4.8, allows the user to express graphical preferences. For each *FileType* selected in the step "Component selection", a card is created in this view. On each card user can customize:

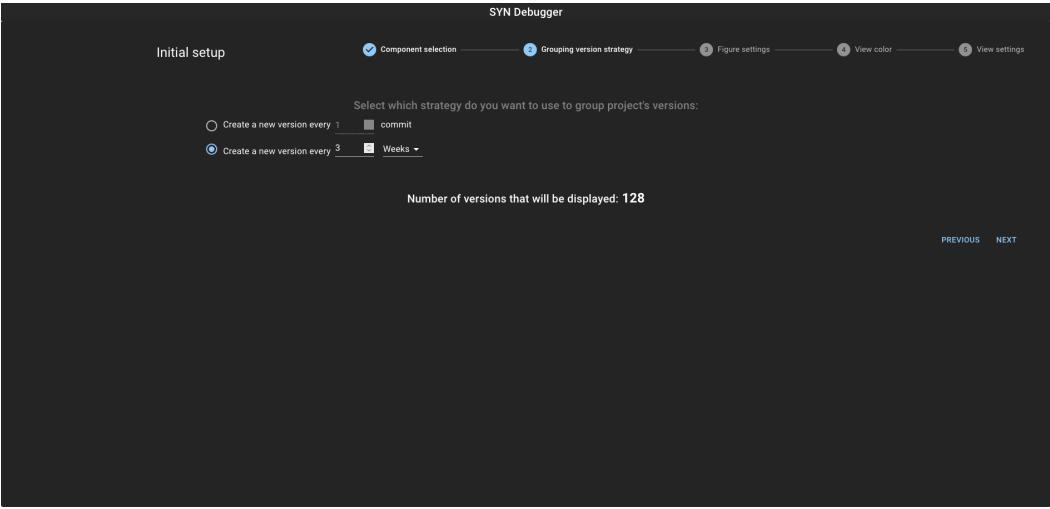


Figure 4.7. Project setup: grouping strategy

- which metrics should be considered by the visualization. These metrics are displayed when an entity is selected.
- the shape of the entity in the visualization. In our visualization we implemented five kinds of shapes: *BOX*, *TRIANGULAR*, *CONE*, *SPHERE* and *CYLINDER*.
- the opacity of each *FileType*.

Moreover, the user can also express their preferences to chose which mapper strategies SYN has to use to compute the height of entities, which metric must be used by the mapper and the maximum height of the entities. The list of available metrics for the mapper, is composed by the metrics selected for each *FileType*. As a consequence, the UI has a checkbox to specify wether *FileHistories* that do not have the selected metric, should be rendered or not.

With all the settings available on this page the user has the full control about how *FileHistories* are rendered. There are a lot of possible combinations, each one with its own purpose. For example, if we want a visualization that has a focus only on Java files, we can use the following settings:

- *BINARY* metrics: *none*, *shape*: *SPHERE*, *opacity*: 0.3
- *TEXT* metrics: *none*, *shape*: *SPHERE*, *opacity*: 0.3
- *JAVA* metrics: *SLOC*, *shape*: *BOX*, *opacity*: 1

and for the mapper use the *LinearBucketValueStrategy*, with the *SLOC* metric and a maximum height of 20.

View color

The fourth step of the setup, shown in figure 4.9, allows the user to express preferences about the color mapping of entities.

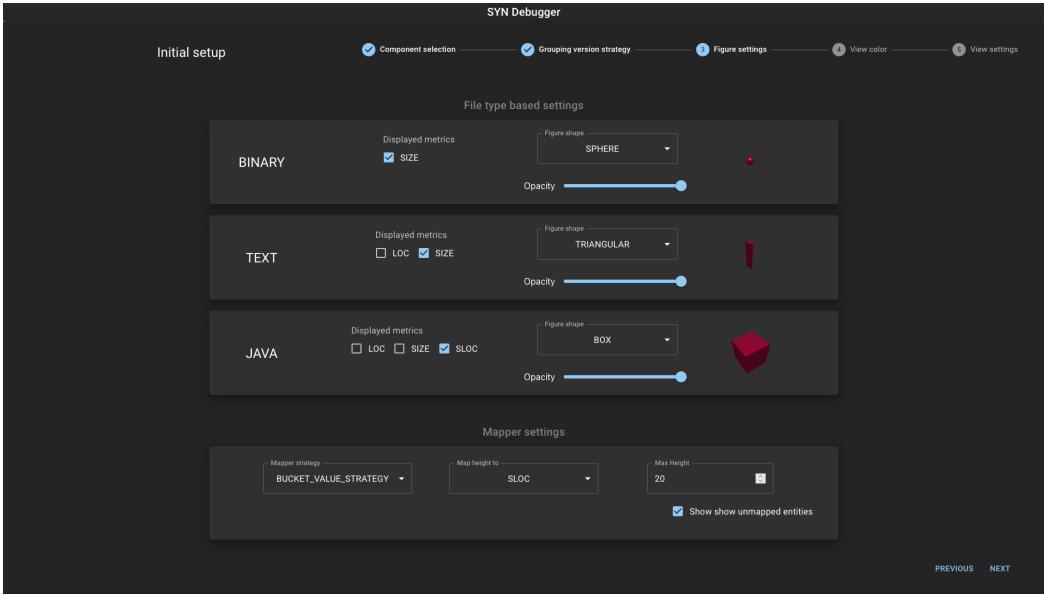


Figure 4.8. Project setup: figure settings

The user has the full control over the way how aging is computed. As with grouping versions, aging can be done following two possible strategies: one by timestamp and one by commits. The color transition is linear; therefore the user can choose the number of steps between the original color of the entity and the base color.

Finally, each action has a distinct original color, that can be customized by the user.

View settings

The last step of the setup, shown in figure 4.10, allows the user to express general preferences about the behaviour of the UI.

- *Show VR Button: if the UI should enable the full immersion experience that must be played with a VR headset.*
- *Keep deleted entities: if the UI should keep deleted entities in the visualization. By default these are not displayed.*
- *Show debug layer: if the debugger of the 3D engine should be visible.*
- *Auto screenshot: if a screenshot of the UI should be automatically done once the UI was rendered.*
- *Shadows: if the UI should compute and render shadows.*
- *Animation switching speed: the amount of time between the render of one animation and another if the autoplay button is pressed.*

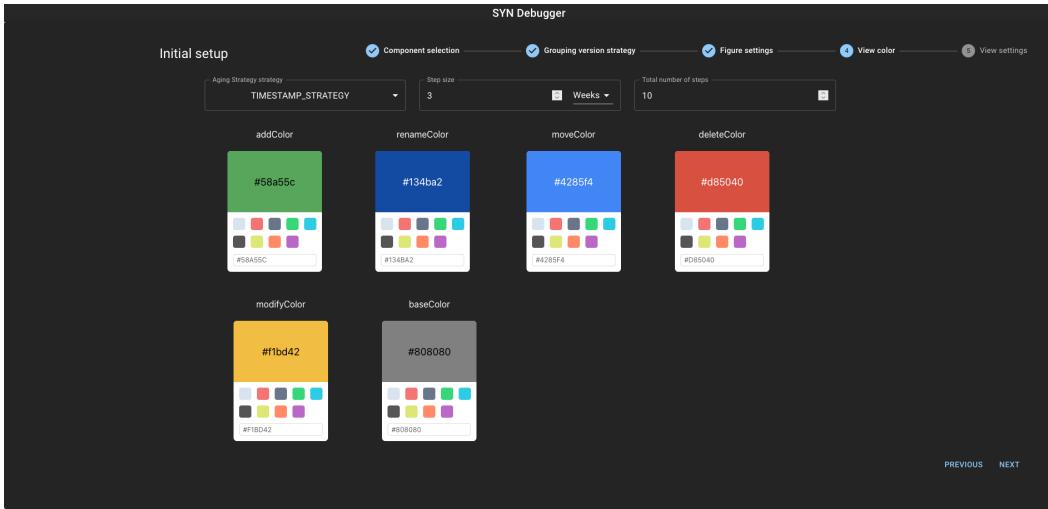


Figure 4.9. Project setup: view color

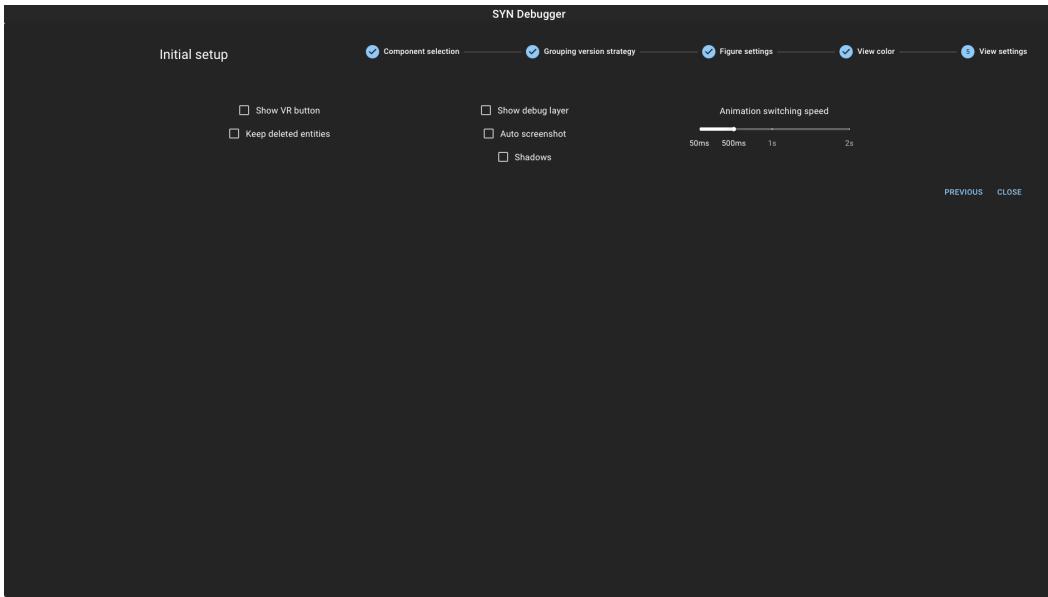


Figure 4.10. Project setup: view settings

Project visualization

After having specified all the visualization preferences with the initial setup, all of them are stored in the local storage of the web browser. Therefore, the UI can retrieve, given the project id and the view specification, a view from the backend server.

Initially it calls the partialView query provided by the SYN-Server module. Once it got the response, the visualization of the first AnimationFrame is automatically loaded. Since the partialView query returns only the first 100 AnimationFrames, to maximize the experience a prefetcher mechanisms was also implemented. Simply, once the half of the retrieved AnimationFrames have been displayed, it lazily requests a new partialView containing all the following AnimationFrames of the currently displayed view. In this way, the jump between a partialView and another is not subjected to network timing issues because the partial view was already prefetched before.

The initial display of the view is represented in figure ???. The visualization settings of this view are:

- Version grouping strategy: timestamp, 3 weeks
- Color palette: default
- Aging: timestamp, 3 weeks with 10 steps
- Height mapper: BucketValueStrategy on SLOC
- Deleted entities are not shown
- All the entities have the same shape and opacity
- All the available metrics are selected for each FileType.

The main visualization area can be broken down into four parts: In the box A we have a 3D environment displays FileHistories on a virtual plane. The camera is not fixed and with the mouse the view angle and the zoom level can be controlled. In the box B we have a card that depict the information about what the visualization is showing to the user. This card includes the tile of the project, the animation number displayed, the range of dates that this animationFrame has, a list of commits included inside this animation frame, a slider that shows the overall progress of the display, and two buttons to jump to the next or the previous animation, and finally one button to automatically jump to the next animation with the time interval previously set. Moreover, all the preferences specified during the project setup can be changed clicking on the three dots in the top right corner. In the box C we have a card to inform the user on the number of entities that are rendered by the UI. And finally, box D appears only when an entity is selected with the mouse. It has:

- the name and the current path of the entity. The current path is the path of the entity on the last commit included in the displayed animation frame.
- a table filled with all the metrics retrieved on the last commit of the displayed animation frame. The list of metrics is filtered with the one selected during the project setup.
- a table filled with all the FileVersions associated to the selected FileHistory. Of eachFileVersion the commit hash (clickable, it automatically redirects to github) and the action made on that commit is shown. Moreover, if with the mouse you hover on an action, a tooltip with commit information appears.

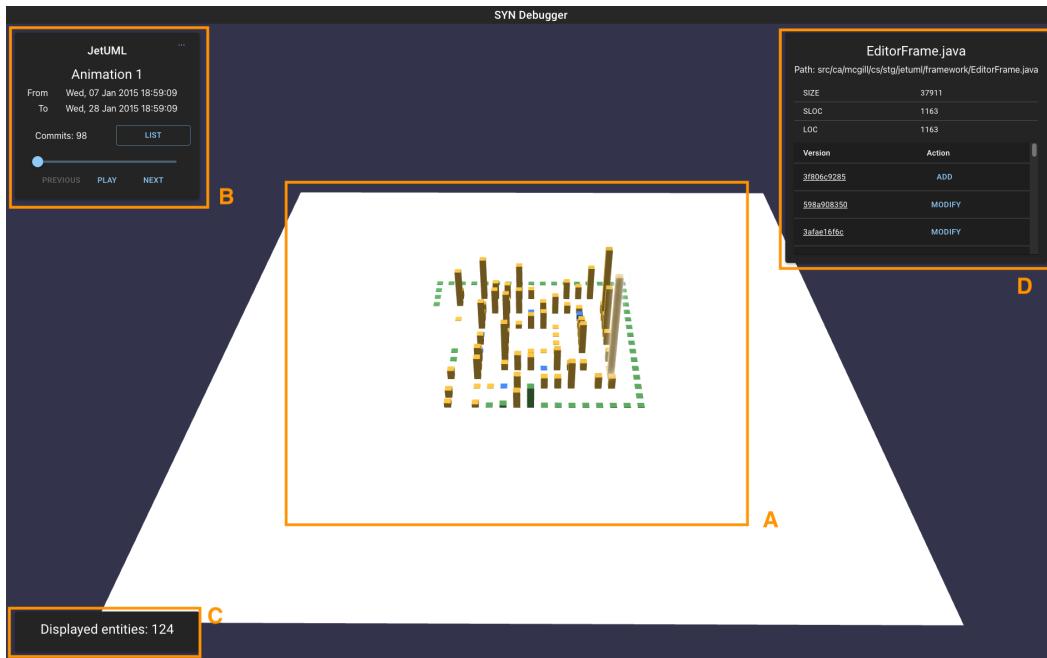


Figure 4.11. Visualization of JetUML with the default settings.

- a contextual menu shown if you right click on the card. With this contextual menu you can jump on github to see the raw file on the last commit of the current displayed animation.

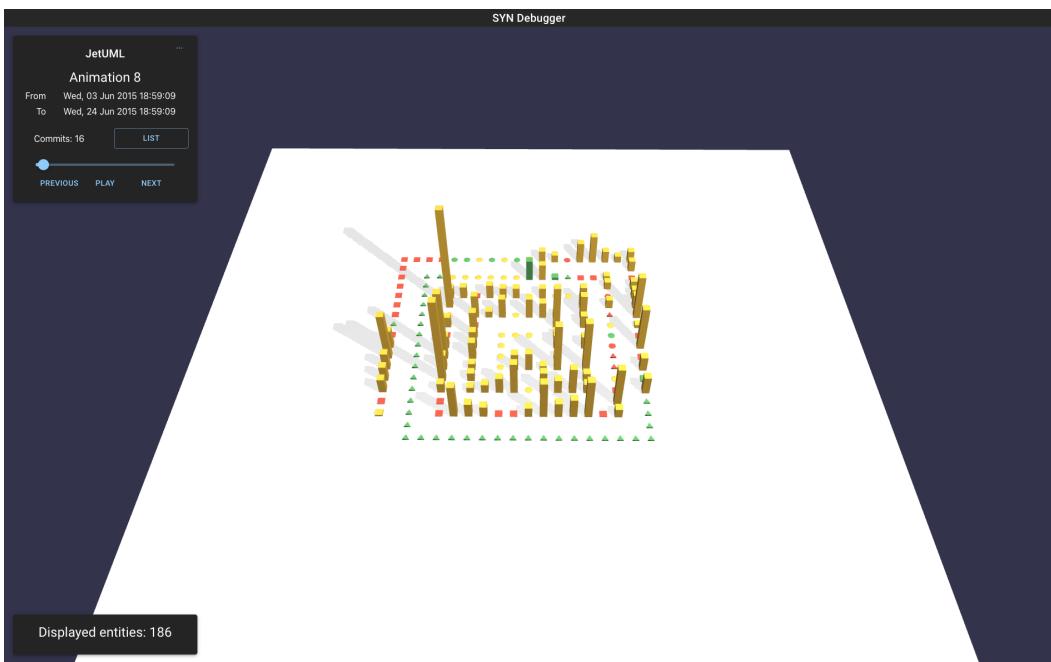


Figure 4.12. Visualization of JetUML with shadows, deleted entities and custom shapes for non-Java files.

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