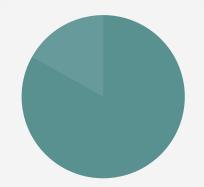
# **SQL Next Steps: Optimization**

Getting the most out of your database



By Haki Benita

# **About Me** Haki Benita

- DBA (Oracle and PostgreSQL)
- Full Stack Developer (Python / Django / Javascript)
- Team leader
- Data plumber
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# Survey Questions

- What is you main use of SQL
  - Ad-Hoc Reports

     sales reports, operational reports, etc
  - Research / Analysis
     BI / DWH / Dashboards/ Data exploration etc.
  - Development
     Backend Development / ETL / Data pipelines etc
- What is the your level of proficiency in SQL
  - Beginner
  - I'm OK
  - Expert
- What are you using to execute queries?
  - CLI tool (psql, pgcli etc.)
  - Reporting Tools / BI tool (Tableau, Metabase, Redash, Qlikview, PowerBI, Sisense, BO etc.)
  - Analytics tools (Matlab, R, SAS, Jupyter Notebooks)
  - Programming language (ORM, DBAPI, JDBC etc)

## What You'll Learn

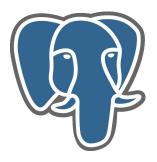
- How to avoid common mistakes in SQL
- How to improve performance of SQL
- How to be more productive writing SQL

# **SQL** History

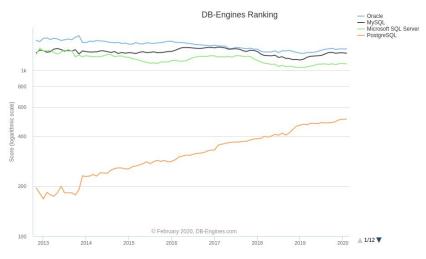
- SQL = Structured Query Language
- Pronounced **S Q L** (not *SEQUEL*!)
- Used to interact with **relational databases** (RDBMS)
- Invented in the early 70s at IBM based on work by Edgar F. Codd
- Became a standard in 1986 (ANSI-86)
- Standard revised in 1992 (ANSI-92)

- Important Papers: Codd and the Relational Model
- Comparing Database Types: How Database Types Evolved to Meet Different Needs

# **PostgreSQL**



- Based on the Berkeley POSTGRES project from 1986
- Released under the name Postgres95
- Open Source
- Free
- Growing fast!



Source: <a href="https://db-engines.com/en/ranking\_trend">https://db-engines.com/en/ranking\_trend</a>

# Anatomy of an SQL Query

**SELECT** 

FROM

WHERE

GROUP BY

HAVING

ORDER BY

LIMIT

[Q] In which order are the parts of the query executed?



**FROM** 

WHERE

**SELECT** 

GROUP BY

**HAVING** 

ORDER BY

LIMIT

# What's wrong with this query?

```
SELECT
  department,
  count(*) as number_of_employees
FROM
  employees
WHERE
  number_of_employees > 10
GROUP BY
  department
```

#### Order of execution:

```
FROM
WHERE
SELECT
GROUP BY
HAVING
ORDER BY
```

# What's wrong with this query?

```
SELECT
                                             SELECT
   department,
                                                 department,
   count(*) as number of employees
                                                 count(*) as number of employees
FROM
                                             FROM
   employees
                                                 employees
WHERE
                                             GROUP BY
   number_of_employees > 10
                                                 department
GROUP BY
                                             HAVING
                                                 number_of_employees > 10
   department
```

#### Aggregate results can only be used in the HAVING clause

When conditions in the WHERE clause are being evaluated, the result of the aggregation function is not yet available.

# What's wrong with this query?

```
SELECT
  count(*) as number_of_employees
FROM
  employees
LIMIT
  1
```

#### Order of execution:

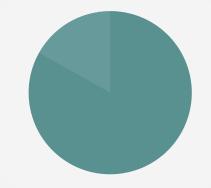
FROM
WHERE
SELECT
GROUP BY
HAVING
ORDER BY

# What's wrong with this query?

```
SELECT
  count(*) as number_of_employees
FROM
  employees
LIMIT
  1
```

#### Limit has no effect

LIMIT is executed on the aggregated results, so it has not effect in this case (if might cause confusion, so better to omit!)



# **Common Mistakes In SQL**

Writing correct SQL

# Sales Database Setup

- PostgreSQL 12
- Use <u>DB Fiddle</u>
- Use local database
- Follow along with presentation

#### **Sales Database**

```
select * from sale
1 | NY
       | 2020-03-31 20:15:00-07 | Bill | | Shoes
                                                 | 10000 | 1000
       | 2020-03-31 21:00:00-07 |
 2 | NY
                               | Shoes
                                                 I 5000 I
 3 | LA
       | 2020-03-31 23:15:00-07 | Lily | Shoes
                                               | 15000 |
 4 | LA
        | 2020-04-01 02:10:00-07 | John
                                    | Shoes
                                              | 5000 |
                                                          2500
        | 2020-03-31 20:15:00-07 |
                                      | Shirt | 1500 |
 5 I NY
        | 2020-03-31 19:07:00-07 | John
                                       | Shirt
 6 I NY
                                                  1850 I
 7 I LA
        | 2020-03-31 02:55:00-07 | Bill
                                    | Shirt
                                              | 125<u>0 |</u>
 8 | LA
        | 2020-03-31 03:45:00-07 | Lilv
                                    | Shirt
                                              | 1850 |
                                                          100
 9 | NY
        | 2020-03-31 00:45:00-07 | Lilv
                                     | Pants | 5200 |
10 | LA
          | 2020-03-31 03:45:00-07 | John
                                       | Pants
                                                   5200 I
11 | LA
         | 2020-04-01 00:01:00-07 | David
                                      | Pants | 4500 |
12 | LA
        | 2020-04-01 23:01:00-07 |
                                       | Hat
                                                l 8000 l
                                                           8000
13 | LA
        | 2020-04-01 23:01:00-07 | Bill
                                       | Give Away | 0 |
14 | NY
          | 2020-03-31 10:01:00-07 |
                                       | Give Away | 0 |
15 | LA
          | 2020-04-01 03:45:00-07 |
                                       | Give Away | 0 | 0
```

#### What is the discount rate on **Shoes?**

```
select * from sale where product = 'Shoes';
   | branch |
               sold at
                                  | customer | product
                                                      price | discount
                                                                       10%
           | 2020-04-01 03:15:00+00 | Bill | Shoes
                                                      10000 | 1000
        | 2020-04-01 04:00:00+00 |
                                      | Shoes
                                                        5000 | 0
    LA | 2020-04-01 06:15:00+00 | Lily
                                           | Shoes
                                                       15000 | 0
          | 2020-04-01 09:10:00+00 | John
                                           | Shoes
                                                        5000 | 2500
```

## What is the discount rate on **Shoes?**

```
SELECT price, discount, discount / price * 100 as discount rate
FROM sale
WHERE product = 'Shoes';
price | discount | discount rate
                                                  How is this
                                                   possible?
```

# Be Careful When Dividing Integers

# Integer division truncates the result

```
?column?
SELECT 1000 / 10000::float;
?column?
SELECT 1000 / 10000::float * 100;
?column?
```

Casting the denominator to float produces the expected result



**Mathematical Functions and Operators** 

#### What is the discount rate on **Shoes?**

Put it together:

#### What is the discount rate on **Shoes?**

Multiple by 100:



Find the average discount rate by product

# Find the average discount rate by product

```
product,
    product,
    AVG(discount / price::float) * 100 as discount_rate
FROM sale
GROUP BY product;

ERROR: division by zero
```

# Guard Against "division by zero" Errors

Product "Give Away" price is zero and it causes the division to fail



# Guard Against "division by zero" Errors

#### NULLIF(value1, value2)

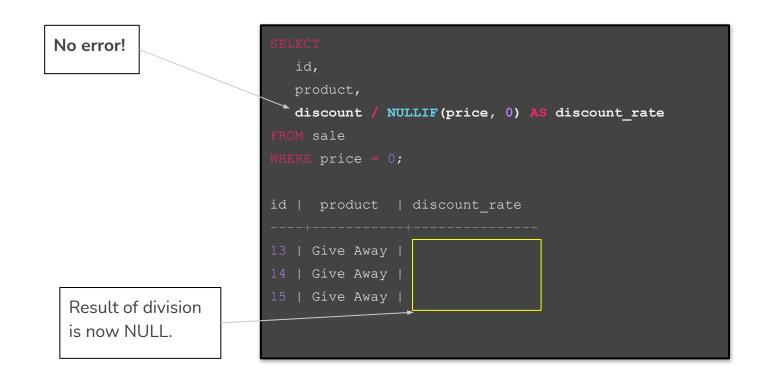
The NULLIF function returns a null value if value1 equals value2; otherwise it returns value1.

**NULLIF Documentation** 

The adjusted price is NULL when the price equals zero

```
id, product, price, discount,
  NULLIF(price, 0) AS adjusted price
FROM sale
WHERE price = 0;
     product | price | discount | adjusted price
   Give Away |
    Give Away | 0 |
  | Give Away |
```

# Dividing by null produces null, not an error



# Find the average discount rate by product

```
product,
  AVG(discount / NULLIF(price, 0)::float) * 100 AS discount rate
FROM sale
GROUP BY product;
product | discount rate
Shirt | 1.3513513513513513
                                    What is the real
Pants |
                                    discount here?
Hat |
Give Away |
```



# Find the average discount rate by product

#### COALESCE(value1, ..., valueN)

Returns the first of its arguments that is not NULL

**COALESCE** Documentation

Make discount rate zero for products with price zero

```
product,
  COALESCE(AVG(discount / NULLIF(price, 0)::float), 0) * 100
FROM sale
GROUP BY product;
product |
              discount rate
Pants
Hat
Shoes
Give Away |
```

How many unique users purchased each product?

How many unique users purchased

each product?

Is this correct?

```
product,
   COUNT (DISTINCT customer) AS customers
FROM sale
GROUP BY product;
  product
            | customers
Give Away
Pants
Shirt
```

# Be Careful When Aggregating Nullable Column

Aggregate functions ignore null values!

Why was this customer not counted?

# Be Careful When Aggregating Nullable Column

Aggregate functions ignore NULL values!

This can also be useful!

```
product,
  COUNT(customer) AS cnt customer
FROM sale
GROUP BY product;
product | cnt | cnt customer,
Shirt
Pants
Give Away |
```

How many **known customers** purchased each product?



Hint: Use the fact that aggregate functions ignore null!

How many **known customers** purchased each product?

```
product,
  COUNT (customer) as known customers,
  COUNT(*) - COUNT(customer) as unknown customers
FROM sale
GROUP BY product;
product | known customers | unknown customers
Shirt | 3 |
Pants |
Hat |
Give Away |
```

Write a query to find the sales made by the customer **Bill** 

Write a query to find the sales made by the customer **Bill** 

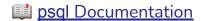
Write a query to find the sales made by an **unknown customer** 

Write a query to find the sales made by an **unknown customer** 

Write a query to find the sales made by a customer, **using a parameter** 



Using psql \set command to set the values of this parameter





Write a query to find the sales made by a customer, **using a parameter** 

Write a query to find the sales made by a customer, **using a parameter** 

To compare null, we need to use IS

```
?column?
?column?
?column?
```

How to compare both null and literal values?

How to compare both null and literal values?

```
\set name '\''Bill'\''
SELECT * FROM sale
WHERE (:name IS NULL AND customer IS NULL)
OR (:name IS NOT NULL AND customer = :name);
id | branch | sold at | customer | product | price | discount
       | 2020-03-31 20:15:00-07 | Bill | Shoes | 10000 | 1000
       | 2020-03-31 02:55:00-07 | Bill | Shirt | 1250 | 0
13 | LA | 2020-04-01 23:01:00-07 | Bill | Give Away | 0 | 0
```

How to compare both null and literal values?

```
SELECT * FROM sale
WHERE (:name IS NULL AND customer IS NULL)
OR (:name IS NOT NULL AND customer = :name);
id | branch | sold at | customer | product | price | discount
        | 2020-03-31 21:00:00-07 | | Shoes | 5000 | 0
        | 2020-03-31 20:15:00-07 | | Shirt | 1500 | 0
      | 2020-04-01 23:01:00-07 | | Hat | 8000 | 8000
         | 2020-03-31 10:01:00-07 | | Give Away | 0 | 0
         | 2020-04-01 03:45:00-07 | | Give Away | 0 | 0
```

There must be a better way!



# Comparing NULL values IS DISTINCT FROM

```
SELECT *
FROM sale
WHERE customer IS NOT DISTINCT FROM :name;
```

- a IS DISTINCT FROM b
- a IS NOT DISTINCT FROM b

Treating null like an ordinary value

- Comparison Functions and Operators
- The Many Faces of DISTINCT in PostgreSQL

Write a query to find the sales made by a customer, **using a parameter** 

```
\set name '\''Bill'\''
SELECT * FROM sale WHERE customer IS NOT DISTINCT FROM :name;
id | branch | sold at | customer | product | price | discount
1 | NY | 2020-03-31 20:15:00-07 | Bill | Shoes | 10000 | 1000
SELECT * FROM sale WHERE customer IS NOT DISTINCT FROM :name;
id | branch | sold at | customer | product | price | discount
2 | NY | 2020-03-31 21:00:00-07 | | Shoes | 5000 | 0
```

#### IS DISTINCT FROM

#### All cases

```
a,
   b as equal,
t;
```

#### **SUMMARY**

# Mistakes you can now avoid!



- Be Careful when dividing integers

  Dividing by an integer truncates the result
- Guard against "division by zero" errors
   Use NULLIF and COALESCE
- Be Careful when aggregating nulls
  Aggregate functions ignore NULL values
- Be Careful when comparing nulls
   Use IS DISTINCT FROM to treat NULL like a value





# Find the amount of sales during each month



Hint: Use <u>date\_trunc</u> to get the month

How is date represented in the database?

- **EPOCH**: 01/01/1970 00:00:00 UTC
- Unix time: Seconds since EPOCH

# Working with dates and times The year 2038 problem (Y2k38)

- On 32-bit systems, signed integer can only go as far as 2038-01-19 03:14:07 UTC
- Remember Y2K?

```
SET TIME ZONE UTC;
SET

SELECT
'1970-01-01 UTC'::timestamptz
+ interval '1 second' * (pow(2, 31) - 1) AS y28k;

y28k | 2038-01-19 03:14:07+00
```





#### Time zones

- UTC, Coordinated Universal Time: the primary time standards, not adjusted to daylight savings.
- **GMT, Greenwich Mean Time**: Synonym for UTC (time at Greenwich, England).

```
SELECT
  now() at time zone 'UTC' as utc,
  now() at time zone 'GMT' as gmt;

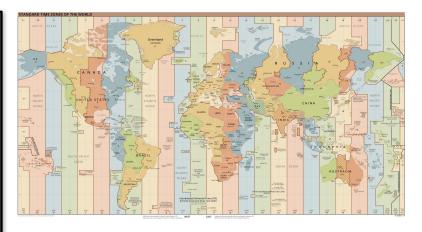
utc | 2020-03-12 14:07:03.139617
gmt | 2020-03-12 14:07:03.139617
```

Timezones: Documentation

### Time Zone

# Represented as an offset from UTC

```
from pg timezone names
where name ~* 'Sydney|Tel Aviv|Paris|London|New York'
order by utc offset;
                 | abbrev | utc offset | is dst
America/New York | EST
Europe/London
                 | GMT
Europe/Paris | CET
Asia/Tel Aviv
                 | IST
Australia/Sydney | AEDT
```



**IMAGE CREDIT** 

**IANA:** Database of all the time zones

# **Exercise**Find your time zone



# Using time zones

- Specify the time zone of a timestamp

```
SELECT '2020-03-22 17:00:00 America/New_York'::timestamptz;
```

- Convert a timestamp to a different time zone

```
SELECT '2020-03-22 17:00:00 America/New_York'::timestamptz AT TIME ZONE 'Australia/Sydney';

timezone

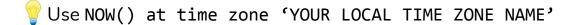
2020-03-23 08:00:00

SELECT now() AT TIME ZONE 'Australia/Sydney'

timezone

2020-03-08 00:13:37.826917
```

What is the time in your local time zone?



# Working with dates and times Daylight Saving

- **DST**, **Daylight saving**: Changes to the clock to extend daylight (in warm seasons)
- For example: In the US, clock moves on the second Sunday in March (8/3/2020):

```
timezone
```

# Working with dates and times Daylight Saving

- Daylight saving can produce surprising results:

The day the clock moves there's only 23 hours!

# Database types

- date: date without time
- **timestamp:** date and time without time zone
- timestamp with time zone (timestamptz): date and time with time zone

```
Table "public.sale"

Column | Type

id | integer

branch | text

sold_at | timestamp with time zone

customer | text

product | text

price | integer

discount | integer
```

### Interval

```
SELECT '2020-03-22 17:00 UTC'::timestamptz + INTERVAL '3 hours';

2020-03-22 20:00:00+00

SELECT '2020-03-22 17:00 UTC'::timestamptz + INTERVAL '3 hours 2 minutes 55 seconds';

2020-03-22 20:02:55+00

SELECT '2020-03-22 17:00 UTC'::timestamptz - INTERVAL '1 days' * 3;

2020-03-19 17:00:00+00
```

### Useful functions

```
SELECT now();
SELECT now()::date;
now | 2020-03-12
SELECT date trunc('month', now());
date trunc | 2020-03-01 00:00:00+00
SELECT date trunc('hour', now());
date trunc | 2020-03-12 14:00:00+00
```

```
SELECT date part('month', now());
date part | 3
SELECT date part('dow', now());
date part | 4
SELECT extract('month' from now());
date part | 3
date part | 12
```

Find the amount of sales during each month What went wrong...

```
SELECT date trunc('month', sold at) AS month,
sum(price) AS total sales
FROM sale
                       | total sales
```

```
SELECT date trunc('month', sold at) AS month,
sum(price) AS total sales
FROM sale
                       | total sales
```

# **Take Away**

Unless explicitly mentioned, timezone is usually set by the client application

```
show time zone;

TimeZone
-----America/New_York
```

# Find the amount of sales during each month

\*Assuming that billing for all branches is according to time zone "America/New\_York"



Pint: Explicitly set the time zone for the sold\_at date.

# Find the amount of sales during each month

\*Assuming that billing for all branches is according to time zone "America/New\_York"

```
date trunc('month', sold at at time zone 'America/New York') AS month,
  SUM(price) AS total sales
FROM sale
                    | total sales
                                                         Time zone is
                                                         set explicitly
```

What is the busiest hour of the day in all branches?



Pint: Which time zone should you use to extract the hour?

What is the busiest **hour of the day** in all branches?

```
SELECT extract('hour' FROM sold at) AS hour of day, COUNT(*) AS sales
FROM sale
GROUP BY hour of day
ORDER BY sales desc;
hour of day | sales
                                                          Is this correct?
```

What is the busiest **hour of the day** in all branches?

# When working with dates and times be explicit about the time zone

Wrong!	Right!
<pre>date_part('month', sold_at)</pre>	<pre>date_part('month', sold_at at time zone 'America/New_York')</pre>
<pre>extract('month' from sold_at)</pre>	<pre>extract('month' from sold_at at time zone 'America/New_York')</pre>
sold_at::date	<pre>(sold_at at time zone 'America/New_York')::date</pre>
'2020-03-22 11:00'	'2020-03-22 11:00 America/New_York'

How many sales were there in March?

\*In "America/New\_York" time

#### Exercise

# How many sales were there in March?

\*In "America/New\_York" time

```
SELECT count(*)

FROM sale

WHERE sold_at BETWEEN '2020-03-01 America/New_York' AND '2020-04-01 America/New_York';

count
-----
9
```

#### Exercise

# How many sales were there in **April**?

\*In "America/New\_York" time

```
SELECT count(*)
FROM sale
WHERE sold_at BETWEEN '2020-04-01 America/New_York' AND '2020-05-01 America/New_York';
count
-----
```

#### Exercise

What can possibly go wrong?

```
SELECT count(*) FROM sale;
```

March (9) + April (7) = 16 😭 😭 😭







#### **BETWEEN** is Inclusive!

#### One sale is counted twice

# Use Half Open Ranges

# Ranges that don't overlap

```
FROM sale
FROM sale
```

March (8) + April (7) = 15  $\Re$ 



#### **BETWEEN** is Inclusive!

# Not only timestamps...

- Timestmaps
  - Unlikely if data is created by users (odd times)
  - More likely when data is created automatically (by batch jobs etc.)
- Integers
  - Binning
  - Dividing to buckets
  - Search for ranges...
- **Date** (with no time)
  - Very common when filtering on range of dates

```
-- Search for 90's babies...

SELECT * FROM birthdates WHERE birthdate BETWEEN '1990-01-01' AND '2000-01-01';
```

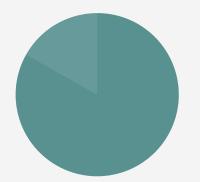
#### **SUMMARY**

# Working with date and time



- Dates and times in PostgreSQL
  - How is date represented in the database?
  - Using time zones
  - Daylight saving
  - Database types date, timestamp, timestamptz
  - Interval
  - Useful functions now, date\_trunc, date\_part, extract
- Be explicit about the time zone when working with times Otherwise you might get incorrect results
- BETWEEN is inclusive
   Use half open ranges to avoid overlap





**Performance Tips** 



Connection	Parser Stage	Rewrite System	Planner / Optimizer	Executor	
Client application creates a connection to the database server	Check the query for syntax errors	Make adjustments to the query for the database internal needs (for example, inline views). This stage does not change the logic of the query	Evaluate the query and find the <b>best execution plan</b>	Execute the query according to the execution plan	





Connection Parser Stage Rewrite System Planner / Executor Described Planner / Planner

- Generate all possible execution plans
  - This can take some time, depending on the query.
- Estimate the cost for each plan
  - Cost is measured in arbitrary units
  - Cost mostly measures disk page fetches (IO)
  - Using statistics obtained from analysing tables and indexes
  - Cost can be used to compare execution plans
- Choose the plan with the lowest cost
  - The lower the cost the faster is execution is (expected) to be

## The Optimizer

## Rule vs. Cost

#### Rule based optimizer

- Using rules and heuristics to come up with the best plan
- Intuitive
- Easier to implement

#### Cost based optimizer

- Score all possible execution plans based on statistics
- Less intuitive
- Harder to implement
- Expected to produce better plans for most queries

Cockroach Labs: How We Built a Cost-Based SQL Optimizer

You are here

# Can't optimize with just 15 rows...

```
INSERT INTO sale (id, branch, sold at, customer, product, price, discount)
   (SELECT MAX(id) FROM sale) + generate series(1, 99985) as id,
   (ARRAY['NY', 'LA'])[ceil(random() * 2)] AS branch,
   '2020-03-01 00:00:00 UTC'::timestamptz + interval '1 hour' * random() * 24 * 30 * 6 AS sold at,
   (ARRAY['Bill', 'David', 'John', 'Lily']) [ceil(random() * 30)] AS customer,
   (ARRAY['Shoes', 'Shirt', 'Pants', 'Hat', 'Give Away'])[ceil(random() * 4)] AS product,
   round(random() * 150 * 100)::integer / 10 * 10 as price,
   0 as discount;
ANALYZE sale;
```

# Tricks to generate random data...

```
INSERT INTO sale (id, branch, sold_at, customer, product, price, discount)

SELECT

(SELECT MAX(id) FROM sale) + generate_series(1, 99985) as id,

(ARRAY['NY', 'LA'])[ceil(random() * 2)] AS branch,

'2020-03-01 00:00:00 UTC'::timestamptz + interval '1 hour' * random() * 24 * 30 * 6 AS sold_at,

(ARRAY['Bill', 'David', 'John', 'Lily'])[ceil(random() * 30)] AS customer,

(ARRAY['Shoes', 'Shirt', 'Pants', 'Hat', 'Give Away'])[ceil(random() * 4)] AS product,

round(random() * 150 * 100)::integer / 10 * 10 as price,

0 as discount;
```

#### Generate a range of 9985 consecutive numbers starting for the last sale\_id

generate\_series is a "Set Returning Function": A function that returns more than one rows. This is what's making the query return many rows.

Set Returning Functions

# Tricks to generate random data...

```
INSERT INTO sale (id, branch, sold_at, customer, product, price, discount)
SELECT

(SELECT MAX(id) FROM sale) + generate_series(1, 99985) as id,

(ARRAY['NY', 'LA'])[ceil(random() * 2)] AS branch,

'2020-03-01 00:00:00 UTC'::timestamptz + interval '1 hour' * random() * 24 * 30 * 6 AS sold_at,

(ARRAY['Bill', 'David', 'John', 'Lily'])[ceil(random() * 30)] AS customer,

(ARRAY['Shoes', 'Shirt', 'Pants', 'Hat', 'Give Away'])[ceil(random() * 4)] AS product,

round(random() * 150 * 100)::integer / 10 * 10 as price,

0 as discount;
```

#### Pick a random value from an array from values

To produce both known and unknown customers, the customer field is using a range greater than the length of the array (index outside the array will result in NULL).

Arrays in PostgreSQL starts at index 1

# Tricks to generate random data...

```
INSERT INTO sale (id, branch, sold_at, customer, product, price, discount)
SELECT

(SELECT MAX(id) FROM sale) + generate_series(1, 99985) as id,
    (ARRAY['NY', 'LA'])[ceil(random() * 2)] AS branch,

'2020-03-01 00:00:00 UTC'::timestamptz + interval '1 hour' * random() * 24 * 30 * 6 AS sold_at,
    (ARRAY['Bill', 'David', 'John', 'Lily'])[ceil(random() * 30)] AS customer,
    (ARRAY['Shoes', 'Shirt', 'Pants', 'Hat', 'Give Away'])[ceil(random() * 4)] AS product,
    round(random() * 150 * 100)::integer / 10 * 10 as price,
    0 as discount;
```

#### Use interval arithmetics to produce random dates in the next 6 months

1 hour \* 24 = 1 day \* 30 = ~one month \* 6 = six months!

# Tricks to generate random data...

```
INSERT INTO sale (id, branch, sold_at, customer, product, price, discount)
SELECT

(SELECT MAX(id) FROM sale) + generate_series(1, 99985) as id,

(ARRAY['NY', 'LA'])[ceil(random() * 2)] AS branch,

'2020-03-01 00:00:00 UTC'::timestamptz + interval '1 hour' * random() * 24 * 30 * 6 AS sold_at,

(ARRAY['Bill', 'David', 'John', 'Lily'])[ceil(random() * 30)] AS customer,

(ARRAY['Shoes', 'Shirt', 'Pants', 'Hat', 'Give Away'])[ceil(random() * 4)] AS product,

round(random() * 150 * 100)::integer / 10 * 10 as price,

0 as discount;
```

#### Produce random prices in range 0 - 150\$

Use the fact PostgreSQL truncate integers to produces prices in multiples of 10 cents

```
For example: round(random() * 150 * 100)::integer = 4589 / 10 = 458 * 10 = 4580
```

## Analyze the table

```
ANALYZE sale;
```

#### Collect stats on the table



# Using the EXPLAIN command

```
EXPLAIN SELECT * FROM sale;

QUERY PLAN

Seq Scan on sale (cost=0.00..1751.00 rows=100000 width=33)
```

- View execution plan
- Will not execute the query
- Shows estimates



#### A closer look

```
EXPLAIN SELECT * FROM sale;

QUERY PLAN

Seq Scan on sale (cost=0.00...1751.00 rows=100000 width=33)
```

Database planning a **sequential scan** on the entire sale table

The estimated total cost for this plan node

Estimated the query will return 100,000 rows

# **Execution Plan**Useful statistics

- reltuples
   Number of rows in the table
- relpages
   Number of blocks in the table

pg class

```
SELECT relname, relpages, reltuples
FROM pg class
WHERE relname = 'sale';
relname | relpages | reltuples
sale
SHOW block size;
SELECT pg_size_pretty(pg_relation_size('sale'));
```



#### A closer look at the cost

- Cost can vary between platforms
- Can vary because of sampling

```
SELECT relpages, reltuples FROM pg class WHERE relname = 'sale';
relpages
reltuples | 100000
SHOW seq page cost;
seq page cost | 1
SHOW cpu tuple cost;
cpu tuple cost | 0.01
EXPLAIN SELECT * FROM sale;
                        OUERY PLAN
Seq Scan on sale cost=0.00..1751.00
                                     rows=100000 width=33)
```

#### Estimated vs. Actual Rows

```
EXPLAIN (ANALYZE ON, TIMING ON) | SELECT * FROM sale;
                            OUERY PLAN
Seq Scan on sale (cost=0.00..1751 rows=100000 width=33) (actual time=0.026..11.209 rows=100000 loops=1)
Planning Time: 0.105 ms
Execution Time: 15.568 ms
                   Executes the query
```

- View estimated rows vs actual rows
- Time execution

# **Execution Plan**Useful statistics

- null\_frac% of null value in field
- n\_distinct
  - + Number of distinct values (closed set)
  - Distinct values / num rows (sequential, unique-1 = unique)
- correlation

Correlation between physical row ordering and logical ordering of the column values.

1 = rows stored sorted (in ascending order) on disk, index scans are cheaper.

```
SELECT attname, null frac, n distinct, correlation
FROM pg stats
WHERE tablename = 'sale';
attname | null frac | n distinct | correlation
sold at |
customer |
product |
price |
discount |
branch
```



#### A closer look

```
EXPLAIN SELECT * FROM sale WHERE id = 1000;

QUERY PLAN

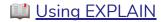
Index Scan using sale_pkey on sale (cost=0.29..8.31 rows=1 width=33)

Index Cond: (id = 1000)
```

Database **used the index** on the primary
key to access only the
relevant blocks

The estimated cost is 8

Database estimated that the query will return only one row because the field has a unique constraint



### A closer look

```
EXPLAIN SELECT * FROM sale WHERE customer IS NULL;

QUERY PLAN

Seq Scan on sale (cost=0.00..1751.00 rows=86940 width=33)

Filter: (customer IS NULL)
```

```
rows = reltuples * null_frac
86,940 = 100,000 * 0.8694
```

# **Execution Plan**Useful statistics

- most\_common\_vals
   Common values in the column
- most\_common\_freqs
   Corresponding frequency



## A closer look

NY freq = 0.50433

```
EXPLAIN SELECT * FROM sale WHERE branch =
Seq Scan on sale (cost=0.00..2001.00 rows=50433 width=33)
 Filter: (branch = 'NY'::text)
                                                                            LA freq = 0.49567
EXPLAIN SELECT * FROM sale WHERE branch = 'LA';
Seq Scan on sale (cost=0.00..2001.00 rows=49567 width=33)
 Filter: (branch = 'LA'::text)
                                                                            Unknown value
EXPLAIN SELECT * FROM sale WHERE branch = 'FOO';
Seq Scan on sale (cost=0.00..2001.00 rows=1 width=33)
 Filter: (branch = 'FOO'::text)
```

### A closer look

```
EXPLAIN SELECT * FROM sale WHERE product IN ('Shoes', 'Pants');

QUERY PLAN

Seq Scan on sale (cost=0.00..2001.00 rows=50447 width=33)

Filter: (product = ANY ('{Shoes, Pants}'::text[]))
```

```
Shoes freq = 0.25396666
Pants freq = 0.2505
Shoes OR Pants = 0.25396666 + 0.2505 = 0.50447
Estimated rows = 100,000 * 0.50447 = 50,447
```

#### A closer look

```
EXPLAIN SELECT * FROM sale WHERE branch = 'NY' AND product = 'Shoes';

QUERY PLAN

Seq Scan on sale (cost=0.00..2251.00 rows=12808 width=33)

Filter: ((branch = 'NY'::text) AND (product = 'Shoes'::text))
```

```
NY freq = 0.5043333
Shoes freq = 0.25396666

NY AND Pants = 0.5043333 * 0.25396666 = 0.12808

Estimated rows = 100,000 * 0.12808 = 12,808
```

#### A closer look

```
EXPLAIN SELECT * FROM sale WHERE branch = 'NY' OR product = 'Shoes';

QUERY PLAN

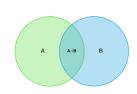
Seq Scan on sale (cost=0.00..2251.00 rows=63022 width=33)

Filter: ((branch = 'NY'::text) OR (product = 'Shoes'::text))
```

How the Planner
Uses Statistics

```
NY freq = 0.5043333
Shoes freq = 0.25396666
NY OR Pants = 0.5043333 + 0.25396666 - (0.5043333 * 0.25396666) = 0.63022
```

Estimated rows = 100,000 \* 0.63022 = 63,022



#### How Accurate is it?

```
EXPLAIN (ANALYZE ON) SELECT * FROM sale WHERE branch = 'NY' OR product = 'Shoes';

QUERY PLAN

Seq Scan on sale (cost=0.00..2251.00 rows=62683 width=33) (actual time=0.026..36.181 rows=62678 loops=1)

Filter: ((branch = 'NY'::text) OR (product = 'Shoes'::text))

Rows Removed by Filter: 37322

Planning Time: 0.180 ms

Execution Time: 40.728 ms
```

Pretty accurate!

Plan-reading is an art that requires some experience to master...





#### **KEY TAKEAWAYS**

#### **Execution Plans**



#### - 🧶 Databases are really good at optimizing queries!

- The optimizer is only as good as its stats
- Incorrect estimates can indicate a possible performance problem
- Provide the database with information about your data by analyzing and using constraints

#### - 🤎 Use cost to compare execution plans

- The lower the cost, the faster the query is expected to be
- Cost is estimated based on statistics, so it can be inaccurate
- Cost is not an absolute measure, use it only for comparison

# Working With Indexes

# Setup

1. Create an index on the field customer

```
CREATE INDEX sale_customer_ix ON sale(customer);
```

2. Create an index on the field sold\_at

```
CREATE INDEX sale_sold_at_ix ON sale(sold_at);
```

3. Create an index on the field product

```
CREATE INDEX sale_product_ix ON sale(product);
```

```
PostgreSQL Indexes: Documentation
```

```
Indexes in PostgreSQL
```

```
Indexes in PostgreSQL: B-Tree
```

```
\d sale
                                   | Nullable |
branch | text
customer | text
product | text
price | integer
discount | integer
Indexes:
   "sale pkey" PRIMARY KEY, btree (id)
   "sale customer ix" btree (customer)
   "sale sold at ix" btree (sold at)
   "sale product ix" btree (product)
```

# Avoid Transformations on Indexed Fields Common mistakes

Indexes cannot be used with transformations

```
EXPLAIN SELECT * FROM sale WHERE lower(customer) = 'bill';
Seg Scan on sale (cost=0.00..2251.00 rows=500 width=33)
Filter: (lower(customer) = 'bill'::text)
```



### **Avoid Transformations on Indexed Fields**

#### Common mistakes

Simple arithmetics on indexed field (id):

```
EXPLAIN SELECT * FROM sale WHERE id + 1 = 100;

Seq Scan on sale (cost=0.00..226.22 rows=50 width=33)

Filter: ((id + 1) = 100)

EXPLAIN SELECT * FROM sale WHERE id = 100 - 1;

Index Scan using sale_pkey on sale (cost=0.29..8.30 rows=1 width=33)

Index Cond: (id = 99)
```

### **Avoid Transformations on Indexed Fields**

#### Common mistakes

Apply timezone on indexed field in comparison:

```
EXPLAIN SELECT * FROM sale WHERE sold at at time zone 'America/New York' > '2021-01-01';
Seg Scan on sale (cost=0.00..226.22 rows=3338 width=33)
 Filter: (timezone('America/New York'::text, sold at) > '2021-01-01 00:00:00'::timestamp
EXPLAIN SELECT * FROM sale WHERE sold at > '2021-01-01 America/New York';
Index Scan using sale sold at ix on sale (cost=0.29..8.30 rows=1 width=33)
 Index Cond: (sold at > '2021-01-01 05:00:00+00'::timestamp with time zone)
```

#### **Avoid Transformations on Indexed Fields**

#### Common mistakes

Date arithmetics on the indexed field:

```
EXPLAIN SELECT * FROM sale WHERE sold at - interval '1 day' > '2021-01-01 America/New York'::timestamptz;
Seq Scan on sale (cost=0.00..226.22 rows=3338 width=33)
 Filter: ((sold at - '1 day'::interval) > '2021-01-01 05:00:00+00'::timestamp with time zone)
EXPLAIN SELECT * FROM sale WHERE sold at > '2021-01-01 America/New York'::timestamptz + interval '1 day';
Index Scan using sale sold at ix on sale (cost=0.29..8.30 rows=1 width=33)
 | Index Cond: (sold at > ('2021-01-01 05:00:00+00'::timestamp with time zone + '1 day'::interval))
```

## Avoid Transformations on Indexed Fields Common mistakes

Change string case (lower / upper)

Dont:

```
SELECT * FROM users WHERE lower(email) = 'me@hakibenita.com'
```

Do:

```
SELECT * FROM users WHERE email = lower('ME@HakiBenita.com')
```



## Avoid Transformations on Indexed Fields Common mistakes

String concatenation

Dont:

```
SELECT * FROM users WHERE first_name || ' ' || last_name = 'Haki Benita'
```

Do:

```
SELECT * FROM users WHERE first_name = 'Haki' AND last_name = 'Benita'
```

## **Common Misconception**

## Indexes are not always the best plan

```
EXPLAIN SELECT * FROM sale WHERE product in ('Shoes');
                                                                                      Few rows
                                                                                      Index used
Bitmap Heap Scan on sale (cost=474.14..1537.61 rows=24997 width=33)
 Recheck Cond: (product = 'Shoes'::text)
 -> Bitmap Index Scan on sale product ix (cost=0.00..467.89 rows=24997 width=0)
       Index Cond: (product = 'Shoes'::text)
                                                                                      Many rows
EXPLAIN SELECT * FROM sale WHERE product in ('Shoes', 'Pants');
                                                                                      Index NOT used
Seq Scan on sale (cost=0.00..2001.00 rows=49473 width=33)
 Filter: (product = ANY ('{Shoes, Pants}'::text[]))
```



Accessing a large portion of the table using an index is inefficient

#### **SUMMARY**

## Make the most of your DB!



- How the database processes a query
  Parse, rewrite, plan and execute
- How to produce and compare execution plans
   Using EXPLAIN
- How the database is using statistics
   To estimate row count and produce a plan
- How row estimates are calculated
   For different type of predicates

- Nulls are not indexed by B-TREE indexes
- Avoid transformations on indexed fields
   With examples using arithmetics, date and string manipulation
- When using an index is not the best plan
   Fetching a lot of rows using an index is inefficient



**Productivity Tips** 

### CASE Can Take Many Forms

## Avoid repetition

```
SELECT

CASE

WHEN fruit = 'apple' THEN 'red'

WHEN fruit = 'pear' THEN 'green'

WHEN fruit = 'orange' THEN 'orange'

ELSE '?'

END AS color

FROM

fruit;

SELECT

CASE fruit

'apple' THEN 'red'

'pear' THEN 'green'

'orange' THEN 'orange

ELSE '?'

END AS color

FROM

fruit;
```

# Reference Column in GROUP BY & ORDER BY Use position or alias to avoid repetition

```
SELECT

first_name || ' ' || last_name as full_name,

count(*) as sales_by_user

FROM

sale

GROUP BY

first_name || ' ' || last_name

GROUP BY

count(*) DESC

SELECT

first_name || ' ' || last_name as full_name,

count(*) as sales_by_user

FROM

Sale

GROUP BY

ORDER BY

count(*) DESC

SELECT

first_name || ' ' || last_name as full_name,

count(*) as sales_by_user

FROM

SALE

ORDER BY

SELECT

first_name || ' ' || last_name as full_name,

count(*) as sales_by_user

FROM

SALE

ORDER BY

SALES_DY_USER DESC
```

💡 It's best to avoid positional column reference in code, and use it only for ad-hoc queries.

## **Use Selective Aggregates** Where you used to use CASE



```
sale;
                                                                          sale;
```

## UNION VS. UNION ALL When to use each one...

Eliminating duplicates requires a sort, which can take some time...

```
SELECT 1 UNION ALL SELECT 1;
?column?
                                                     ?column?
```

UNTON: Concatenate results and removes duplicates

UNION ALL: Concatenate results



## Symmetric Range

When you aren't sure about the order

```
true
false
true
true
```





#### **Use DISTINCT ON**

## Get the first / last **row** in a group





- Can be used instead of RANK / ROW\_NUMBER
- DISTINCT ON clause can accept multiple fields
- Field in DISTINCT ON must be in ORDER BY
- Can control first / last using sort order (ASC / DESC)

SELECT: Documentation

The many faces of distinct in PostgreSQL: DISTINCT ON

## More Awesome Features Some *must know* PostgreSQL features

#### **JSON Functions and Operators**

Store, query and manipulate JSON documents straight in the database.

#### **Window Functions**

Write complicated analytics reports using advanced window functions.

#### **Full Text Search**

Search, index and rank results using a fully featured text search in the database.

### What You've Learned



How to avoid common mistakes in SQL



How to write faster SQL



How to write SQL faster

### Where to Find Me

If you didn't have enough...



#### https://hakibenita.com

I write about SQL, Python, Django, Testing and Performance. I publish an article about once a month. Join the mailing list for updates >>



#### @be\_haki

I tweet random tips on SQL, tuning, performance and other random stuff I learn on my day to day.



## **THANK YOU!**

Haki Benita