

Recap: Prefix Sums

- Given **A**: set of n integers
- Find **B**: *prefix sums*

$$B[i] = \sum_{k=1}^i A[k]$$

A:

3	1	1	7	2	5	9	2	4	3	3
---	---	---	---	---	---	---	---	---	---	---

B:

3	4	5	12	14	19	28	30	34	37	40
---	---	---	----	----	----	----	----	----	----	----

Recap: Parallel Prefix Sums

- Recursive algorithm
 - Recursively computes sums
 - Use partial sums to get prefix sums
- $T(n) = O(\log n)$
- $W(n) = O(n)$
- Hard to get intuition
- Iterative algorithm easier to grasp?

Iterative prefix sum

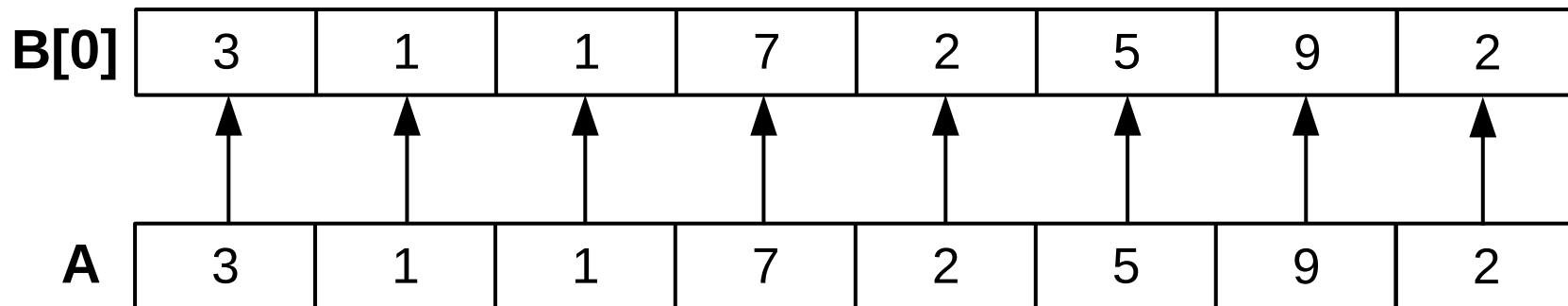
- 2 phases: up-sweep, down-sweep
- Up-sweep pseudocode:

PREFIXSUM($A[0, \dots, n - 1]$)

```
1: for  $i = 0$  to  $n - 1$  in parallel do  
2:    $B[0][i] = A[i]$   
3: end for  
4: for  $h = 1$  to  $\log n$  do  
5:   for  $i = 0$  to  $\frac{n}{2^h} - 1$  in parallel do  
6:      $B[h][i] = B[h - 1][2i] + B[h - 1][2i + 1]$   
7:   end for  
8: end for
```

Up-sweep phase

- 1: **for** $i = 0$ to $n - 1$ **in parallel do**
- 2: $B[0][i] = A[i]$
- 3: **end for**



Up-sweep phase

```
4: for  $h = 1$  to  $\log n$  do
5:   for  $i = 0$  to  $\frac{n}{2^h} - 1$  in parallel do
6:      $B[h][i] = B[h-1][2i] + B[h-1][2i+1]$ 
7:   end for
8: end for
```

$$\frac{n}{2^1} = \frac{n}{2}$$

B[1]



B[0]

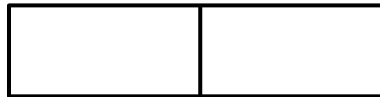
3	1	1	7	2	5	9	2
---	---	---	---	---	---	---	---

Up-sweep phase

```
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7:   end for
8: end for
```

$$\frac{n}{2^2} = \frac{n}{4}$$

B[2]



B[1]



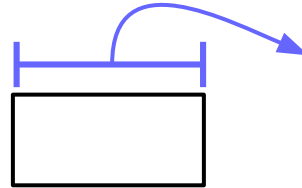
B[0]

3	1	1	7	2	5	9	2
---	---	---	---	---	---	---	---

Up-sweep phase

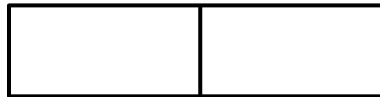
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6:      $B[h][i] = B[h-1][2i] + B[h-1][2i+1]$ 
7:   end for
8: end for
```

B[3]



$$\frac{n}{2^{\log n}} = \frac{n}{n} = 1$$

B[2]



B[1]

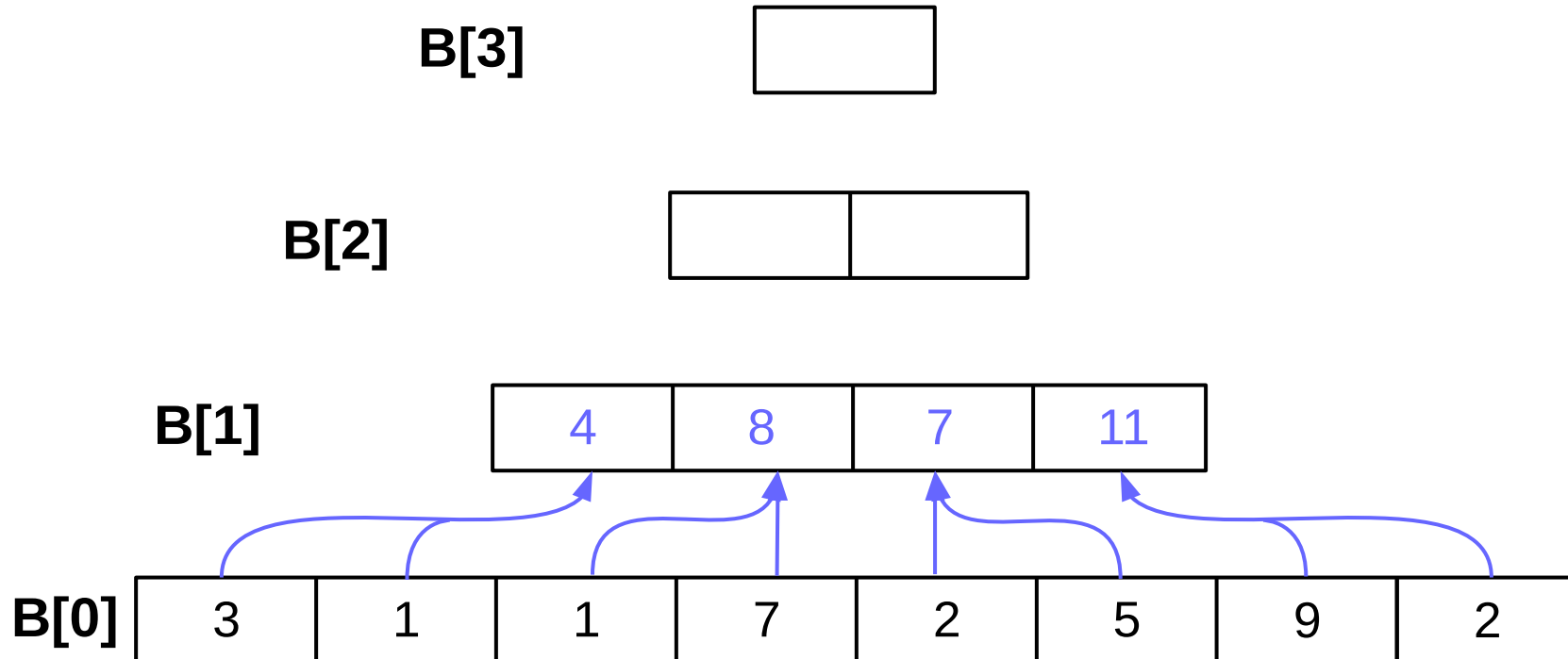


B[0]

3	1	1	7	2	5	9	2
---	---	---	---	---	---	---	---

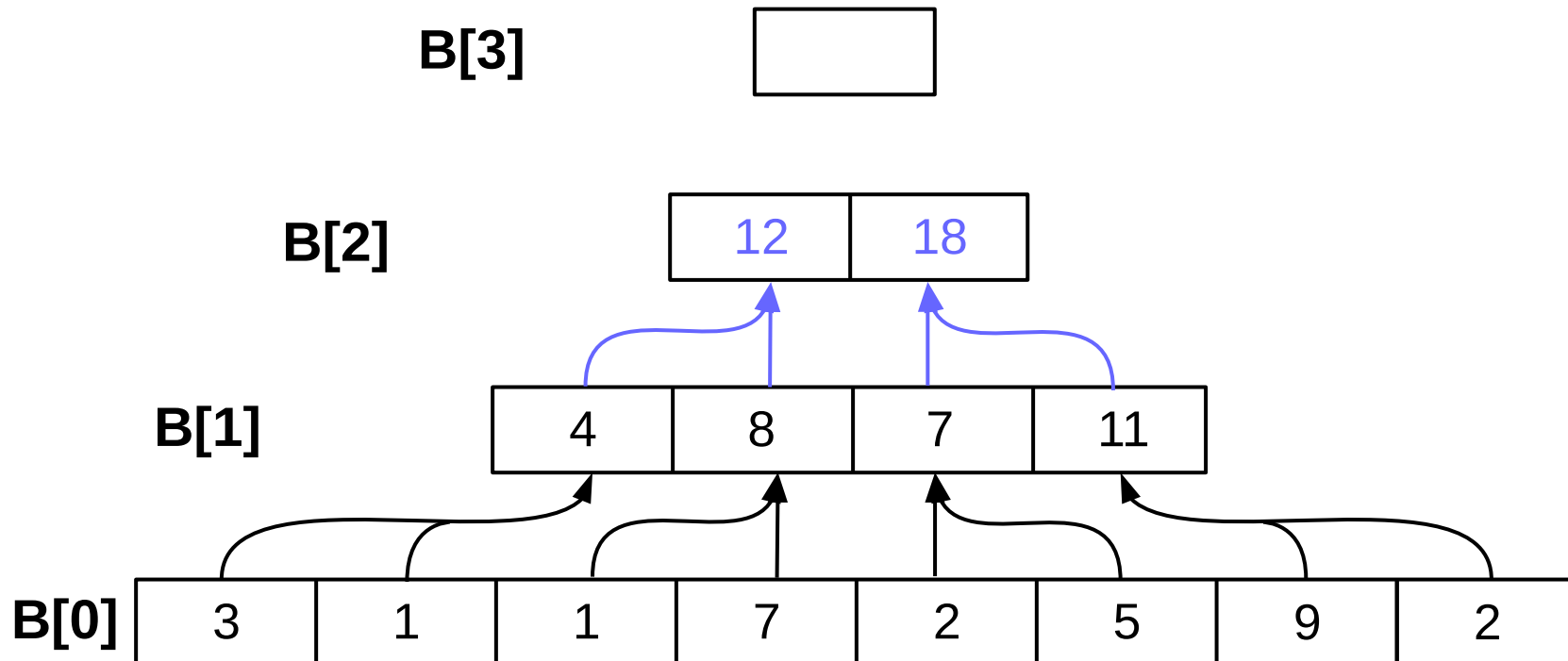
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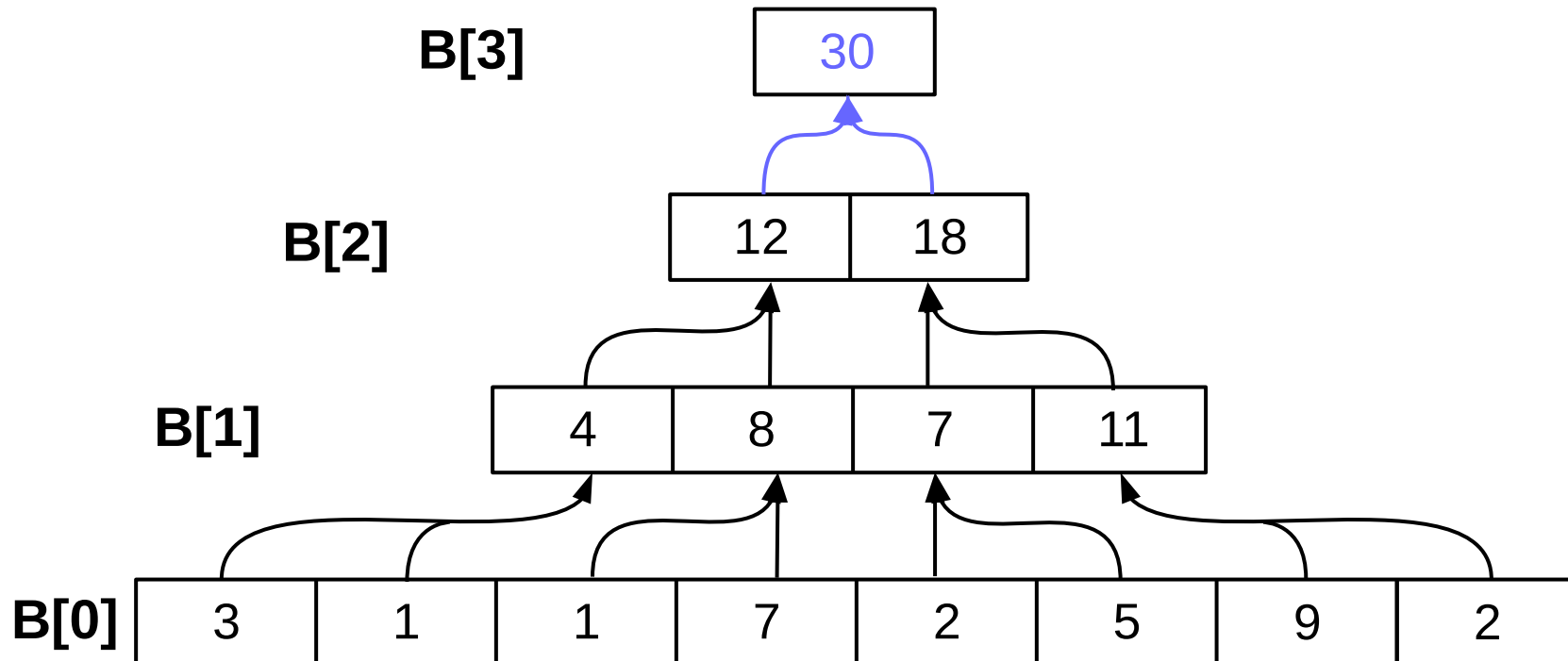
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Up-sweep phase

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7:   end for
8: end for
```



Down-sweep phase

```
9:  $C[\log n][0] = 0$ 
10: for  $h = \log n - 1$  down to 0 do
11:   for  $i = 0$  to  $\frac{n}{2^h} - 1$  in parallel do
12:     if  $i \% 2 == 0$  then
13:        $C[h][i] = C[h + 1][i/2]$ 
14:     else
15:        $C[h][i] = C[h + 1][\frac{i-1}{2}] + B[h][i - 1]$ 
16:     end if
17:   end for
18: end for
19: for  $i = 0$  to  $n - 1$  in parallel do
20:    $A[i] = A[i] + C[0, i]$ 
21: end for
```

Down-sweep phase

9: $C[\log n][0] = 0$

C[3]

0

B[2]

12

18

B[1]

4

8

7

11

B[0]

3

1

1

7



2

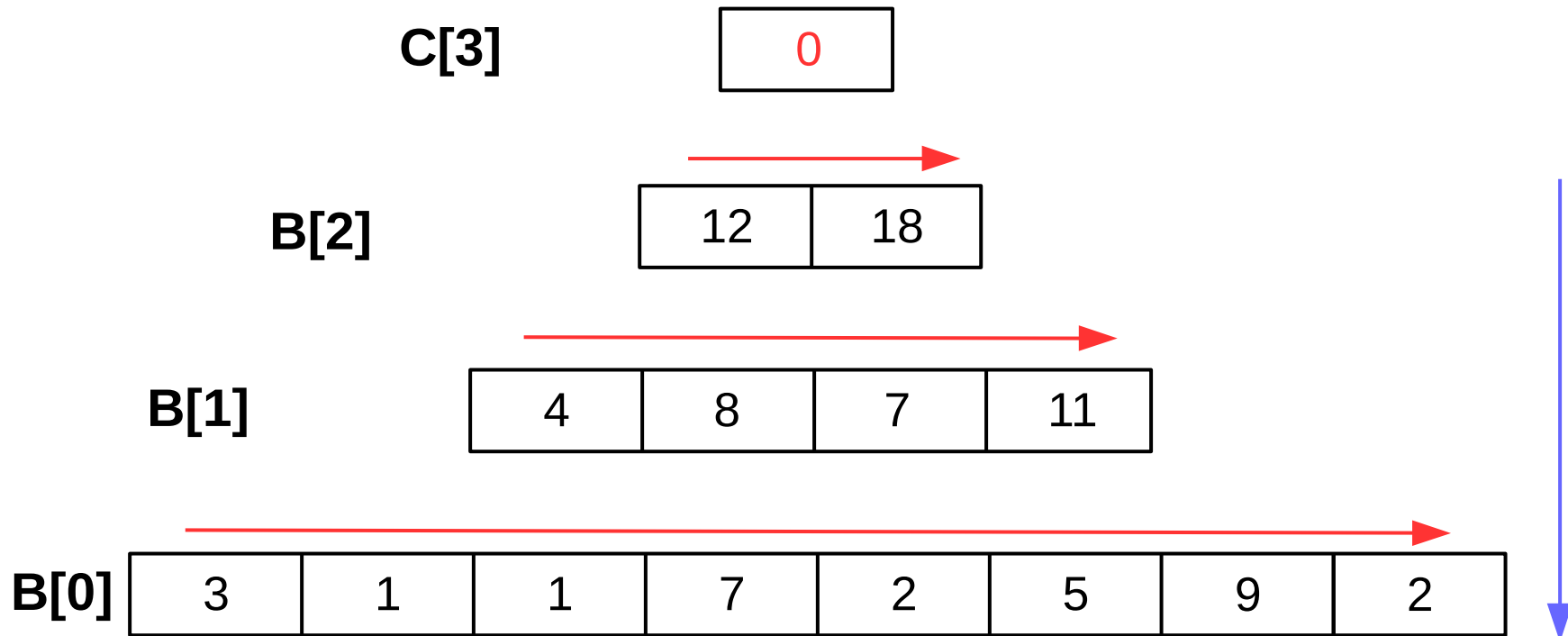
5

9

2

Down-sweep phase

10: **for** $h = \log n - 1$ down to 0 **do** 
11: **for** $i = 0$ to $\frac{n}{2^h} - 1$ **in parallel do** 



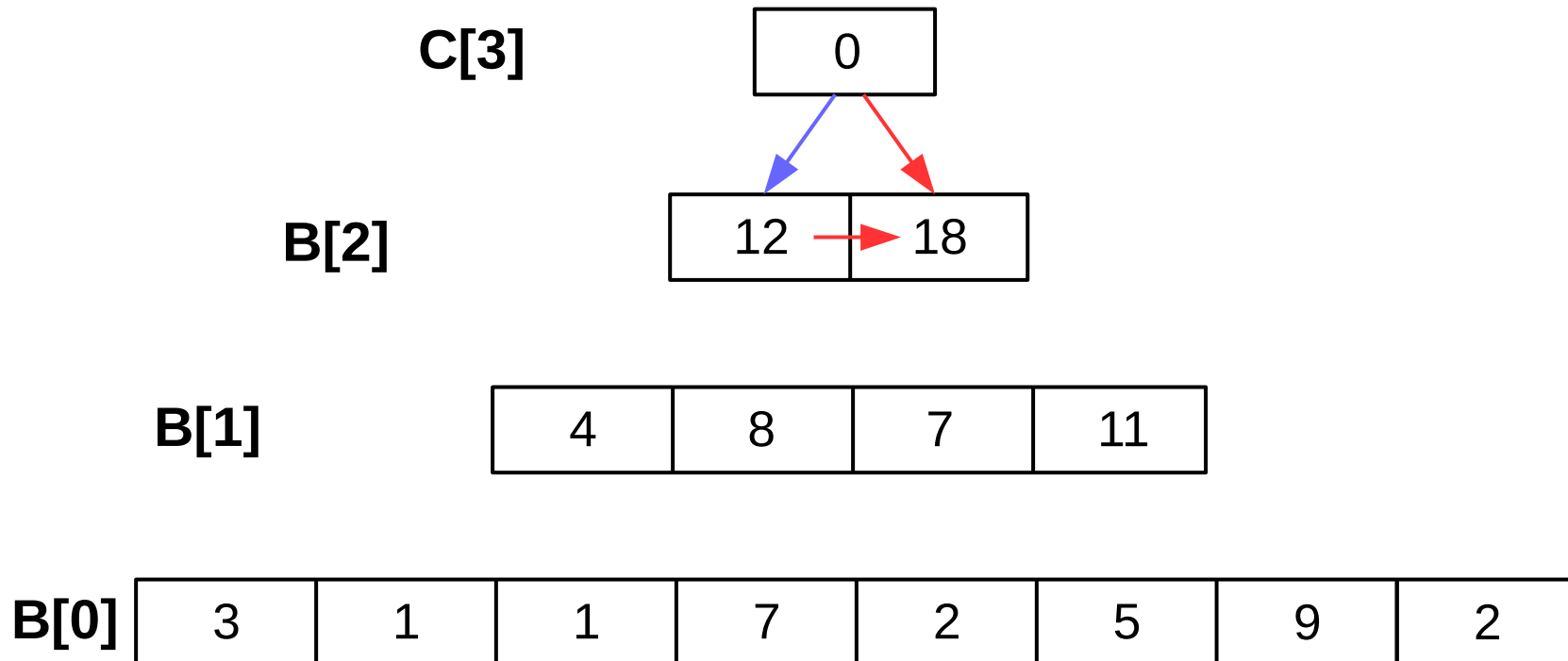
Down-sweep phase

12: **if** $i \% 2 == 0$ **then**

13: $C[h][i] = C[h + 1][i/2]$ ←

14: **else**

15: $C[h][i] = C[h + 1][\frac{i-1}{2}] + B[h][i - 1]$ ←



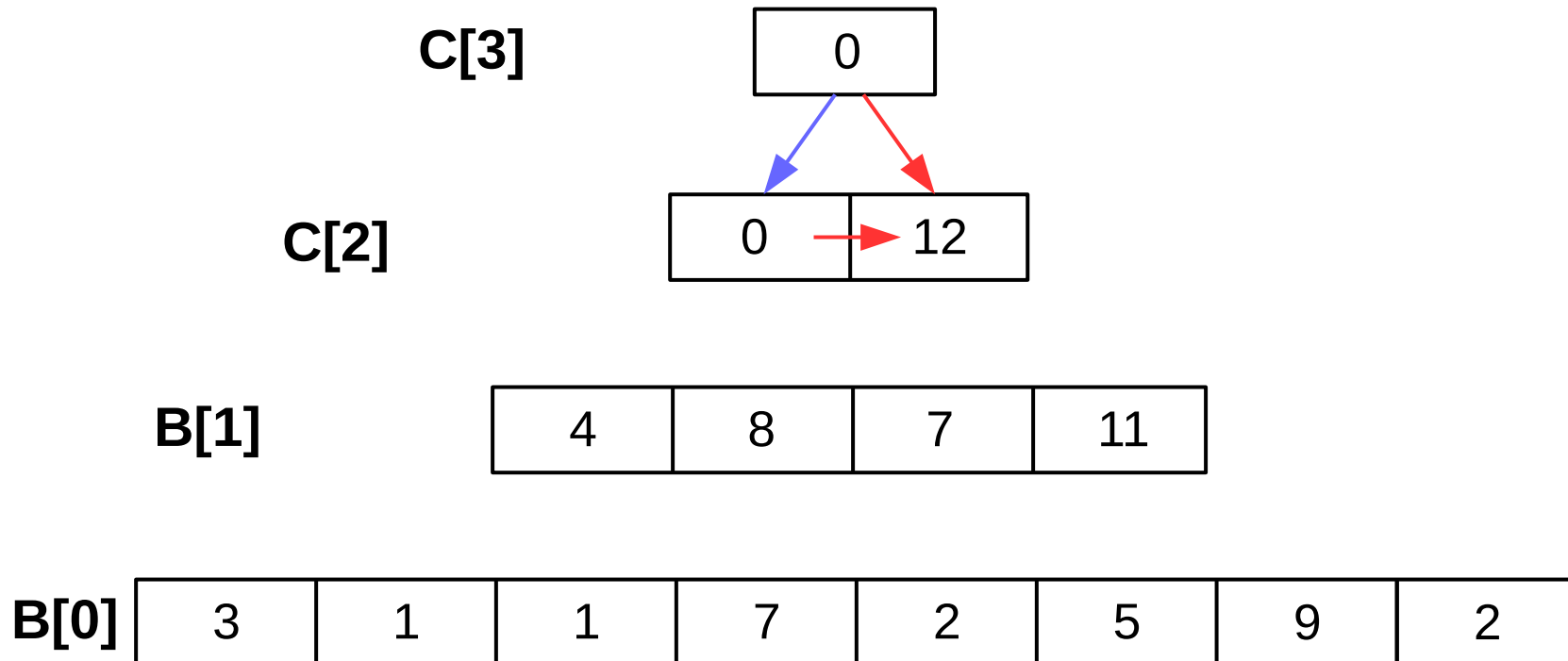
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Down-sweep phase

12: **if** $i \% 2 == 0$ **then**

13: $C[h][i] = C[h + 1][i/2]$ ←

14: **else**

15: $C[h][i] = C[h + 1][\frac{i-1}{2}] + B[h][i - 1]$ ←

C[3]

0

C[2]

0

12

B[1]

4

8

7

11

B[0]

3

1

1

7

2

5

9

2

Down-sweep phase

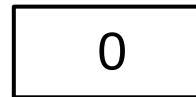
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C[3]



C[2]



C[1]



B[0]



Down-sweep phase

12: **if** $i \% 2 == 0$ **then**

13: $C[h][i] = C[h + 1][i/2]$ ←

14: **else**

15: $C[h][i] = C[h + 1][\frac{i-1}{2}] + B[h][i - 1]$ ←

C[3]

0

C[2]

0

12

C[1]

0

4

12

19

B[0]

3

1

1

7

2

5

9

2

Down-sweep phase

12: **if** $i \% 2 == 0$ **then**

13: $C[h][i] = C[h + 1][i/2]$ ←

14: **else**

15: $C[h][i] = C[h + 1][\frac{i-1}{2}] + B[h][i - 1]$ ←

C[3]

0

C[2]

0

12

C[1]

0

4

12

19

C[0]

0

3

4

5


12

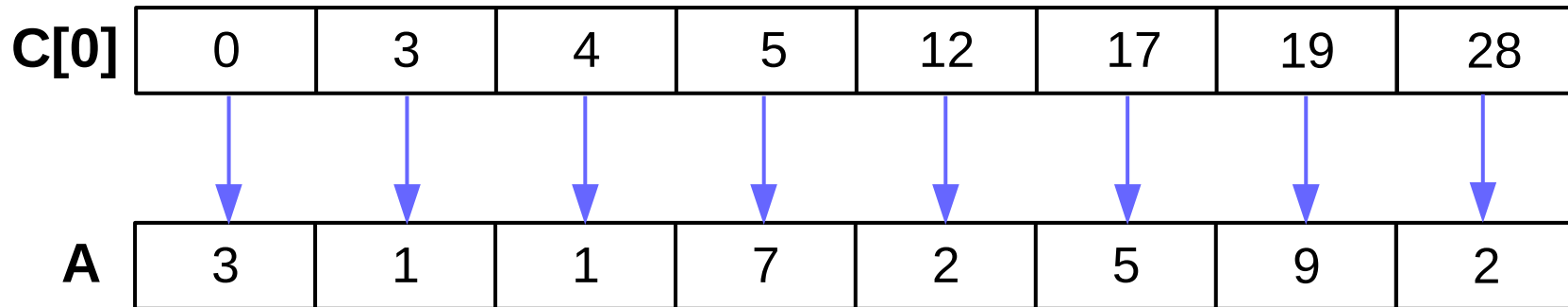
17

19


28

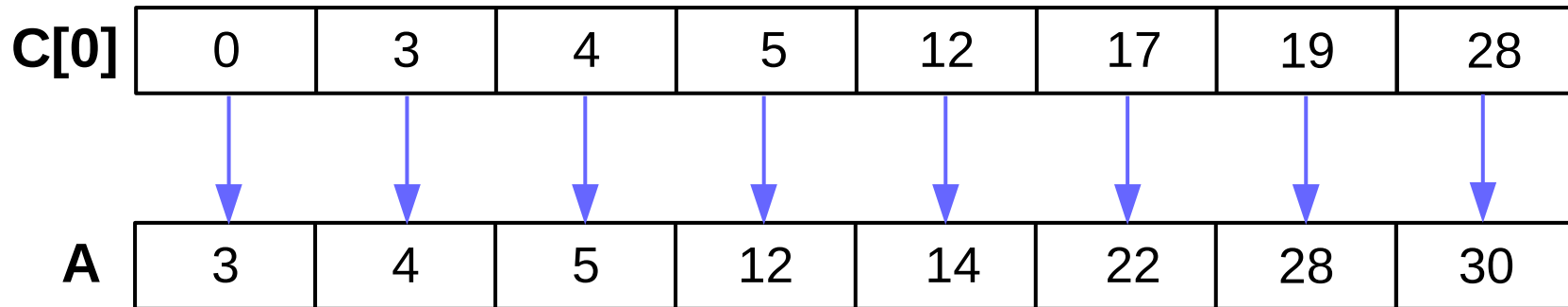
Down-sweep phase

```
19: for  $i = 0$  to  $n - 1$  in parallel do  
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21: end for
```



Down-sweep phase

```
19: for  $i = 0$  to  $n - 1$  in parallel do  
20:    $A[i] = A[i] + C[0, i]$    
21: end for
```



Applications of prefix sums

- More useful than it seems:
 - Create an array of 1s and 0s
 - Prefix sums gives # of 1s up to each point
 - Used to **separate** an array into 2
 - Using almost **any** criteria!
- Examples:
 - separate array into upper-case and lower-case letters
 - separate array into numbers $>x$ and $<x$

Example: string separation

- Separate array **A** into lower-case and upper-case:

A

a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

Example: string separation

- Create bitstring B:
- 1 if upper-case, 0 otherwise

A

a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

Example: string separation

- Create bitstring B:
- 1 if upper-case, 0 otherwise

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B	0	1	0	0	1	1	0	1	1	0	1	0	1	1	0	1	1

- Time/work to do this in parallel?

Example: string separation

- Create bitstring B:
- 1 if upper-case, 0 otherwise

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B	0	1	0	0	1	1	0	1	1	0	1	0	1	1	0	1	1

- Time/work to do this in parallel?

$$W(n) = O(n)$$

$$T(n) = O(1)$$

Example: string separation

- Perform **prefix sums** on B

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B	0	1	0	0	1	1	0	1	1	0	1	0	1	1	0	1	1

Example: string separation

- Perform **prefix sums** on B

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B	0	1	1	1	2	3	3	4	5	5	6	6	7	8	8	9	10

- What is $B[i]$?

Example: string separation

- Perform **prefix sums** on B

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B	0	1	1	1	2	3	3	4	5	5	6	6	7	8	8	9	10

- What is $B[i]$?
 - The number of capital letters with index $\leq i$

Example: string separation

- Copy capital letters into C

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B	0	1	1	1	2	3	3	4	5	5	6	6	7	8	8	9	10
C																	

- How can we use B to write **only capitals** into C?

Example: string separation

- Copy capital letters into C

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B	0	1	1	1	2	3	3	4	5	5	6	6	7	8	8	9	10
C																	

- How can we use B to write **only capitals** into C?
 - B[i] is the **index** of each capital in C!

Example: string separation

- Copy capital letters into C

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B	0	1	1	1	2	3	3	4	5	5	6	6	7	8	8	9	10
C	P	R	E	F	I	X	S	U	M	S							
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17

- How can we use B to write **only capitals** into C?
 - B[i] is the **index** of each capital in C!

Example: string separation

- Create **B'**
- 1 for lower-case, 0 otherwise

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B'	1	0	1	1	0	0	1	0	0	1	0	1	0	0	1	0	0
C	P	R	E	F	I	X	S	U	M	S							
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17

Example: string separation

- Prefix sums on **B'**

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B'	1	1	2	3	3	3	4	4	4	5	5	6	6	6	7	7	7
C	P	R	E	F	I	X	S	U	M	S							
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17

Example: string separation

- Copy lower-case into the rest of C

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B'	1	1	2	3	3	3	4	4	4	5	5	6	6	6	7	7	7
C	P	R	E	F	I	X	S	U	M	S							
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17

Example: string separation

- Copy lower-case into the rest of C

A	a	P	r	e	R	E	c	F	I	o	X	o	S	U	I	M	S
B'	1	1	2	3	3	3	4	4	4	5	5	6	6	6	7	7	7
C	P	R	E	F	I	X	S	U	M	S	a	r	e	c	o	o	I
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
											1	2	3	4	5	6	7

- $A[i] = C[j]$
 - where $j = B[n] + B'[i] = 10 + B'[i]$

Example: string separation

	$W(n)$	$T(n)$
Create B and B'	$O(n)$	$O(1)$
Prefix sums		
Copy into C		
<hr/>		
Total algorithm		

Example: string separation

	$W(n)$	$T(n)$
Create B and B'	$O(n)$	$O(1)$
Prefix sums	$O(n)$	$O(\log n)$
Copy into C		
<hr/>		
Total algorithm		

Example: string separation

	$W(n)$	$T(n)$
Create B and B'	$O(n)$	$O(1)$
Prefix sums	$O(n)$	$O(\log n)$
Copy into C	$O(n)$	$O(1)$
Total algorithm	$O(n)$	$O(\log n)$

Quicksort Review

- Quicksort is a popular sorting algorithm
 - Works **in-place**
 - $O(n^2)$ worst-case
 - BUT $O(n \log n)$ **expected**
- Each recursive call:
 - Find pivot
 - Partition around pivot

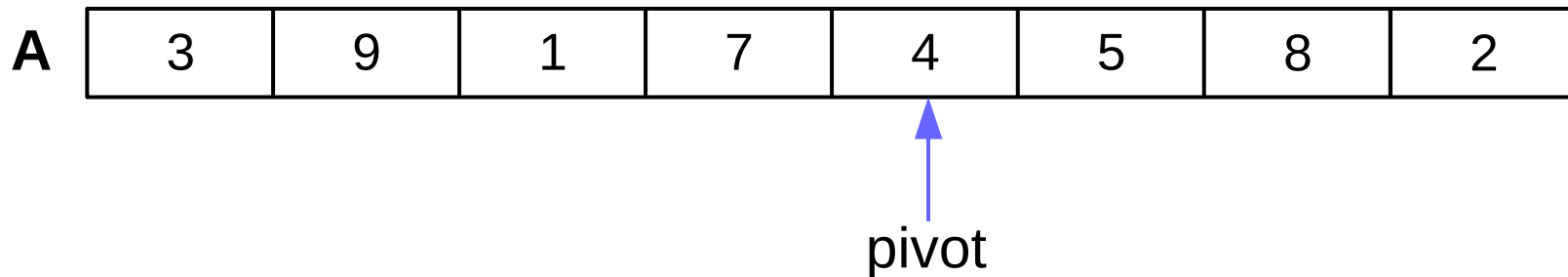
Sequential Quicksort

Quicksort($A[0, \dots, n-1]$)

```
1 pivot = random( $1 \dots n$ )
2 swap( $A[0]$ ,  $A[\text{pivot}]$ )
3 part = 1
4 for  $i = 1$  to  $n-1$  do
5     if  $A[i] \leq A[0]$  then
6         swap( $A[i]$ ,  $A[\text{part}]$ )
7         part++
8     end
9 end
10 if  $\text{part} > 2$  then
11     Quicksort( $A[0, \dots, \text{part}-1]$ )
12 end
13 if  $\text{part} < n-1$  then
14     Quicksort( $A[\text{part}, \dots, n-1]$ )
15 end
```

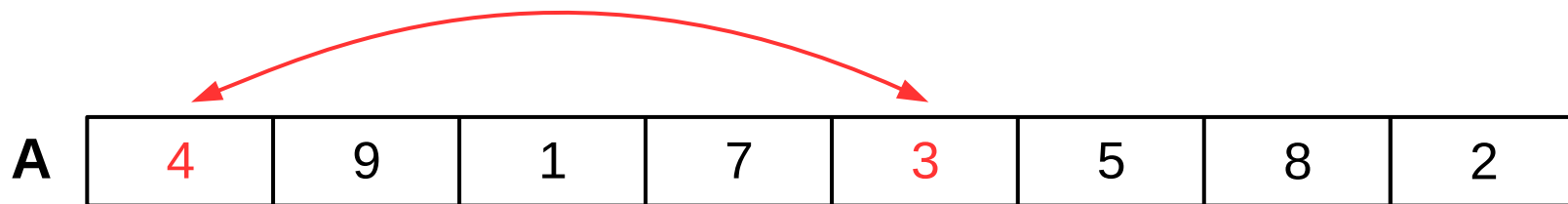
Select pivot

- 1 pivot = random($1 \dots n$) ←
- 2 swap($A[0]$, $A[\text{pivot}]$)



Select pivot

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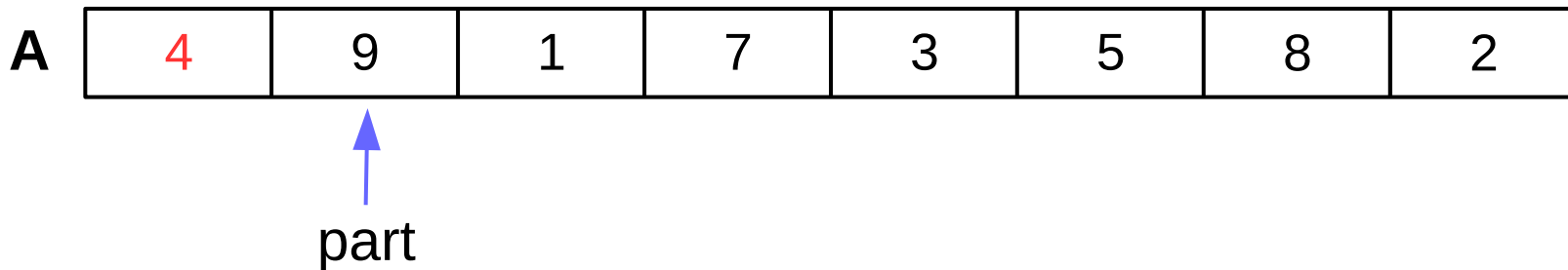
Partition elements

```
3 part = 1
4 for  $i = 1$  to  $n-1$  do
5     if  $A[i] \leq A[0]$  then
6         swap( $A[i]$ ,  $A[part]$ )
7         part++
8     end
9 end
```

A	4	9	1	7	3	5	8	2
---	---	---	---	---	---	---	---	---

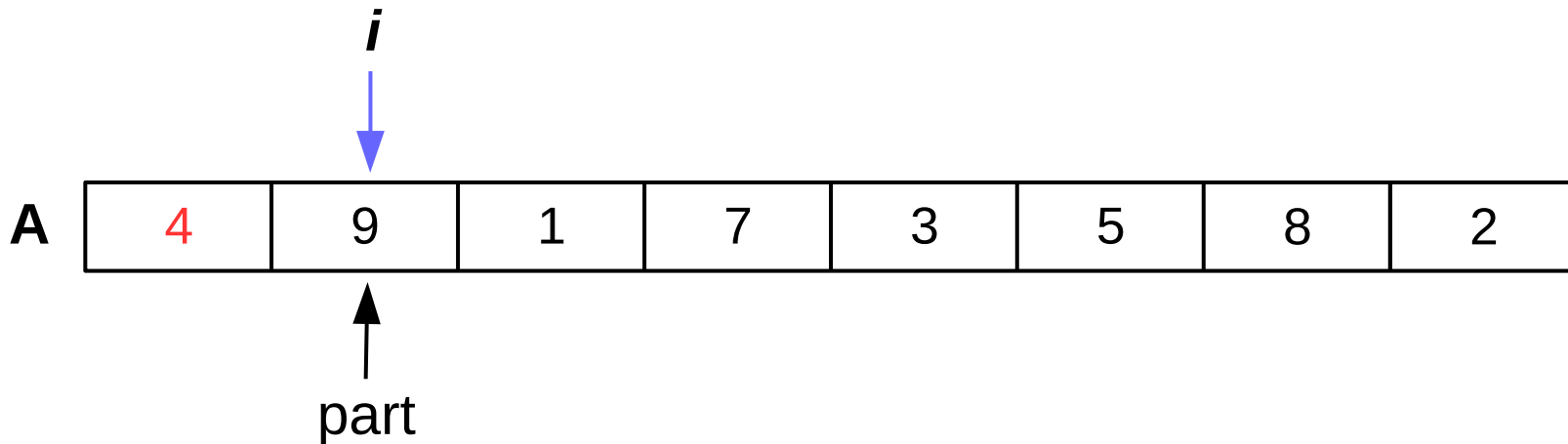
Partition elements

```
3 part = 1 ←
4 for i = 1 to n-1 do
5     if A[i] ≤ A[0] then
6         swap(A[i], A[part])
7         part++
8     end
9 end
```



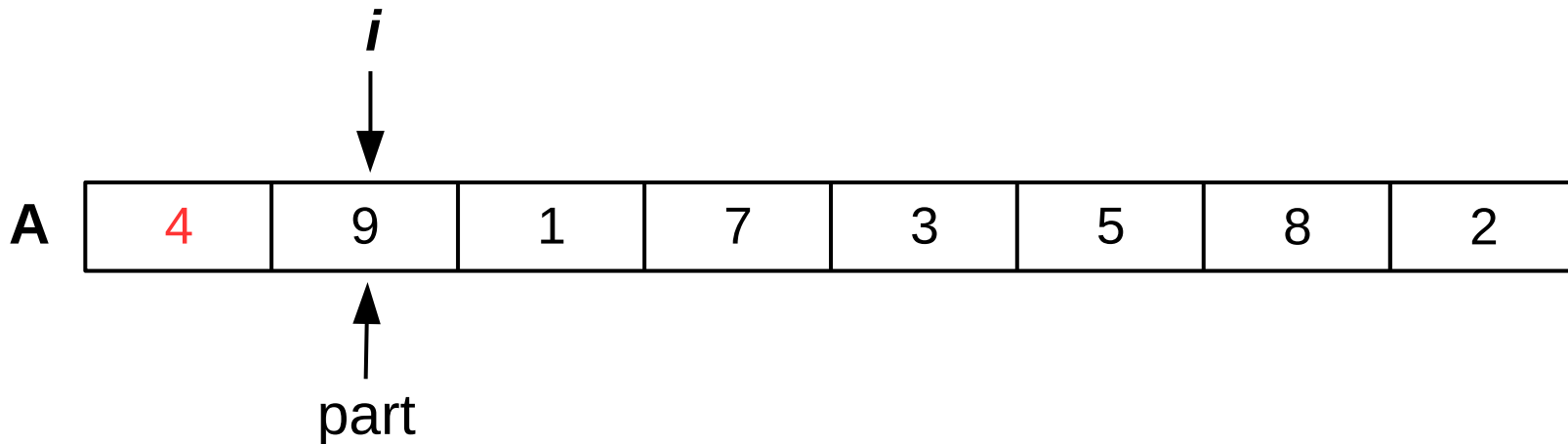
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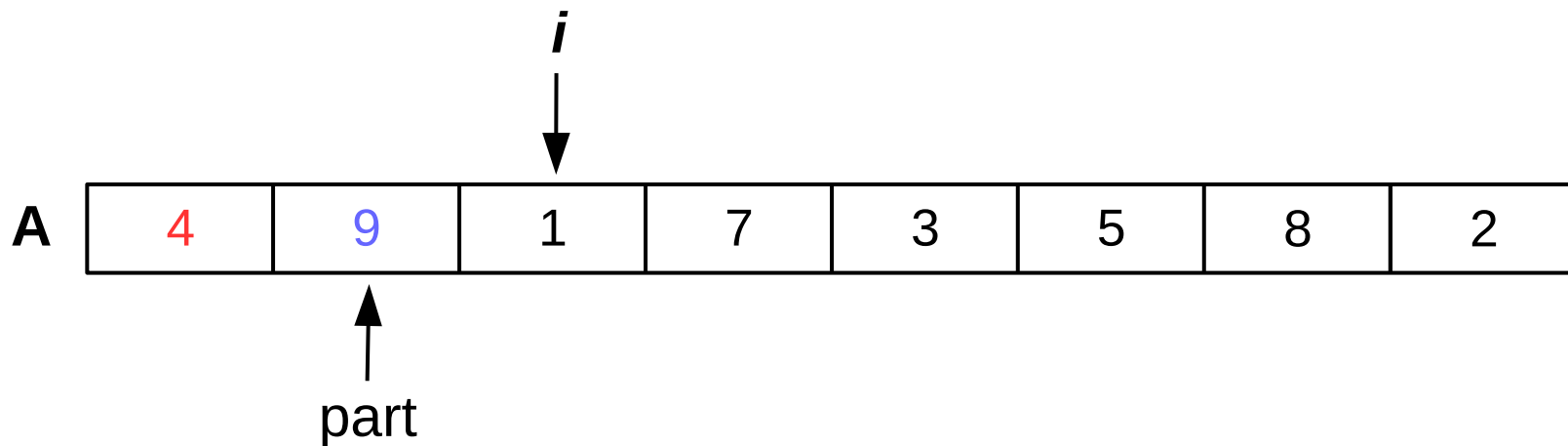
Partition elements

```
3 part = 1
4 for  $i = 1$  to  $n-1$  do
5     if  $A[i] \leq A[0]$  then FALSE
6         swap( $A[i]$ ,  $A[\text{part}]$ )
7         part++
8     end
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```



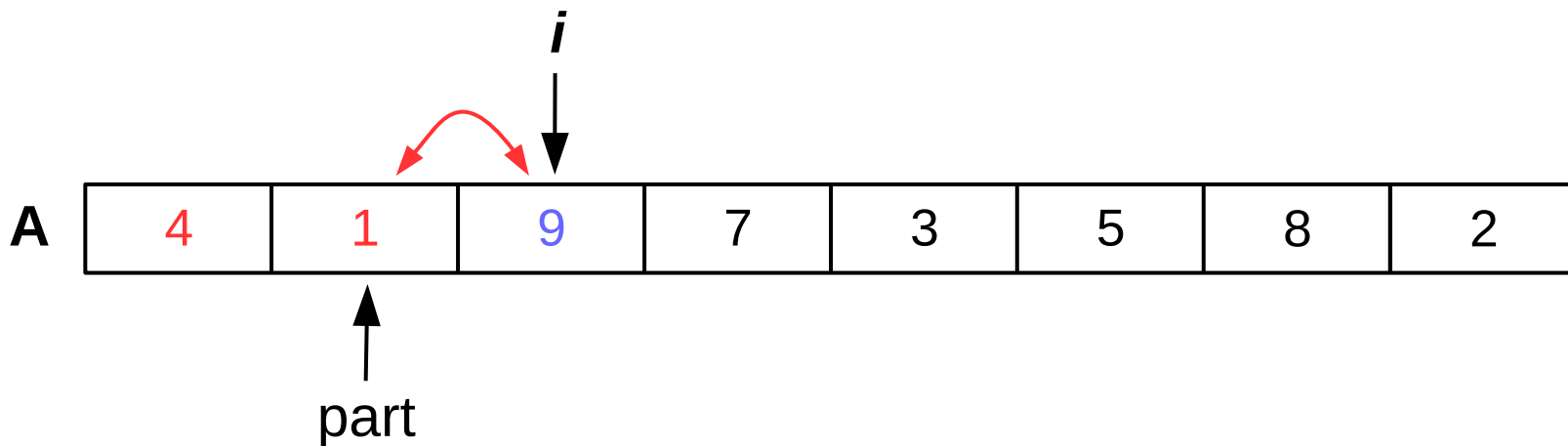
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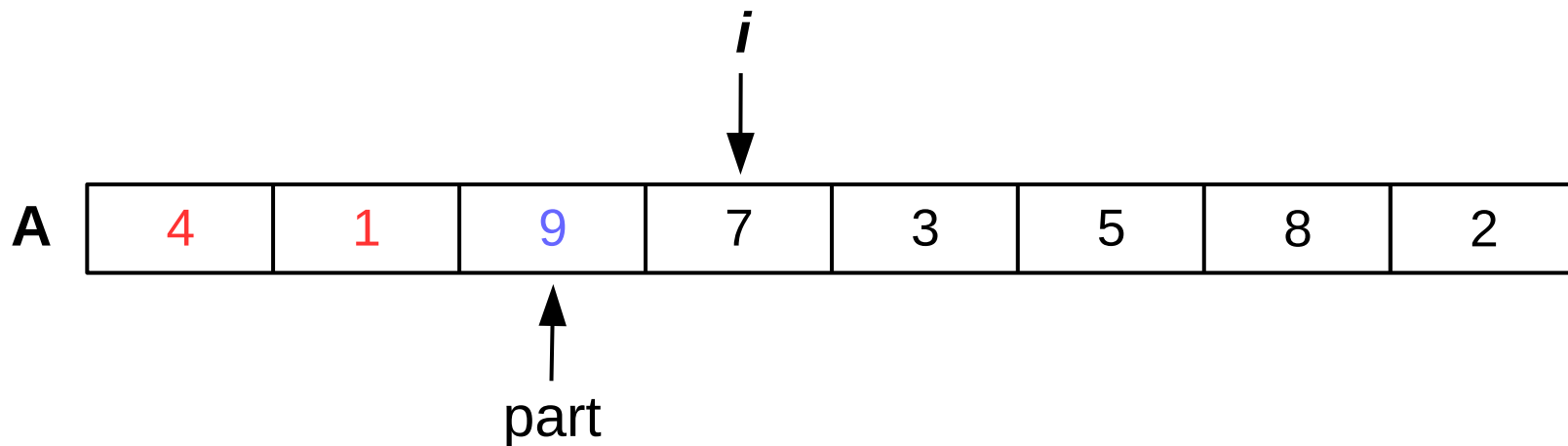
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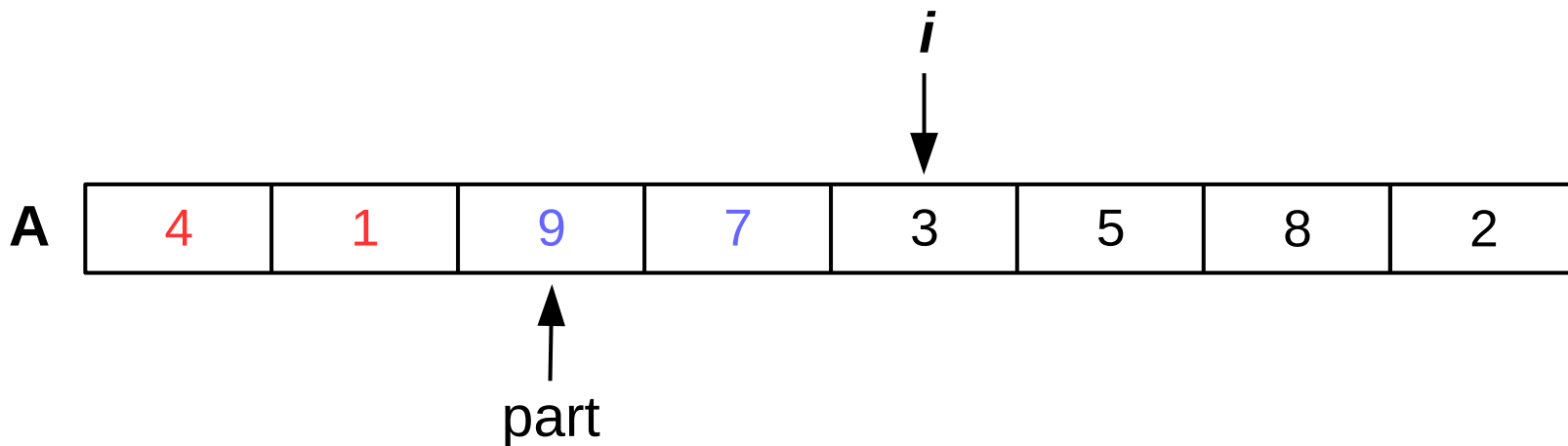
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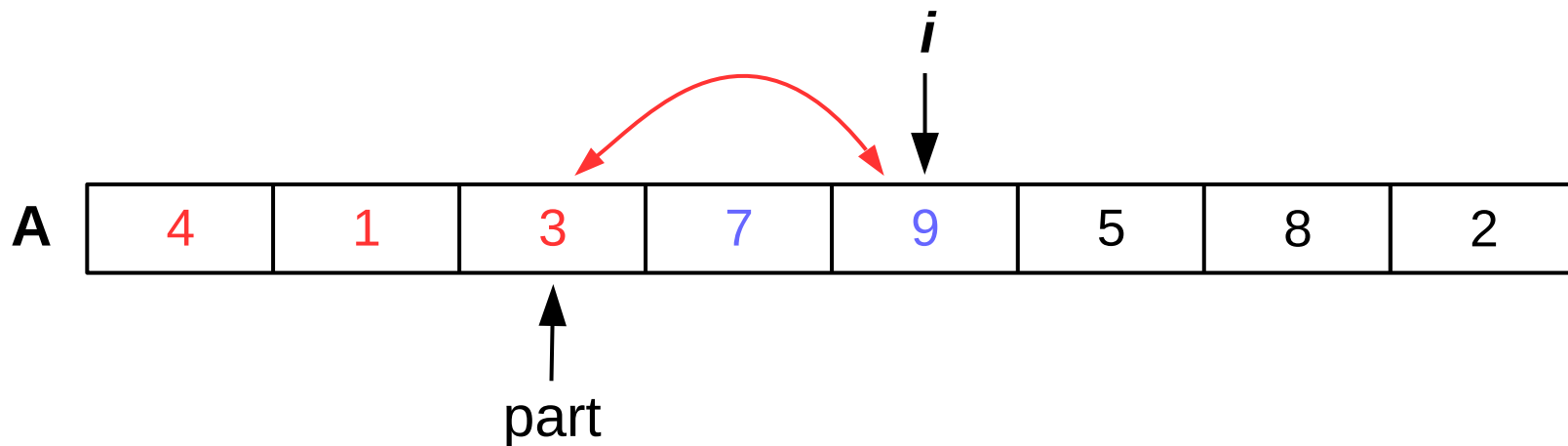
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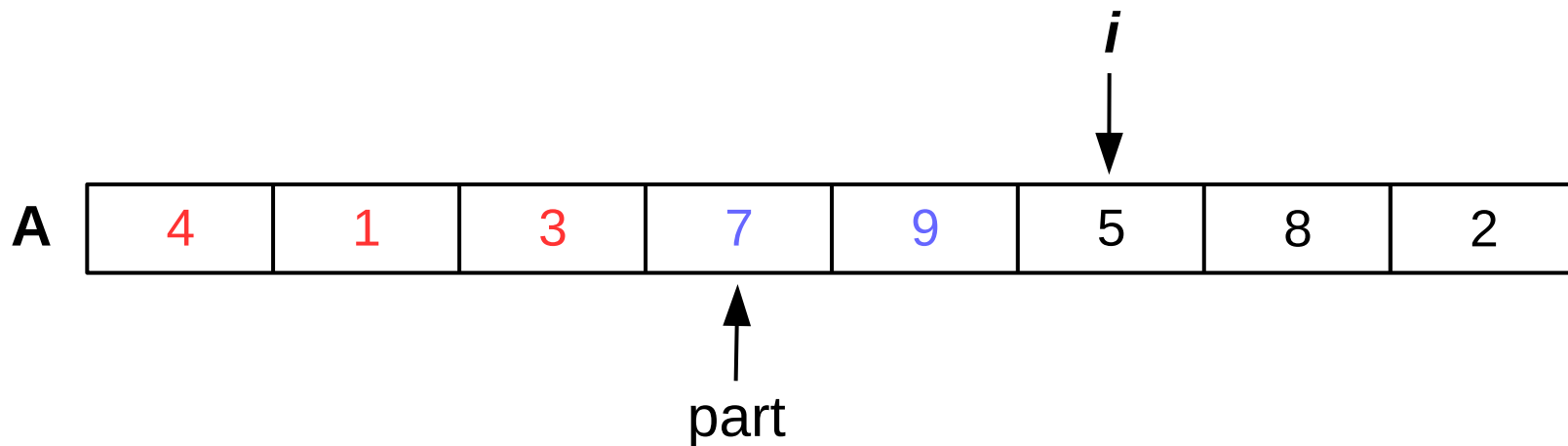
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9 end
```



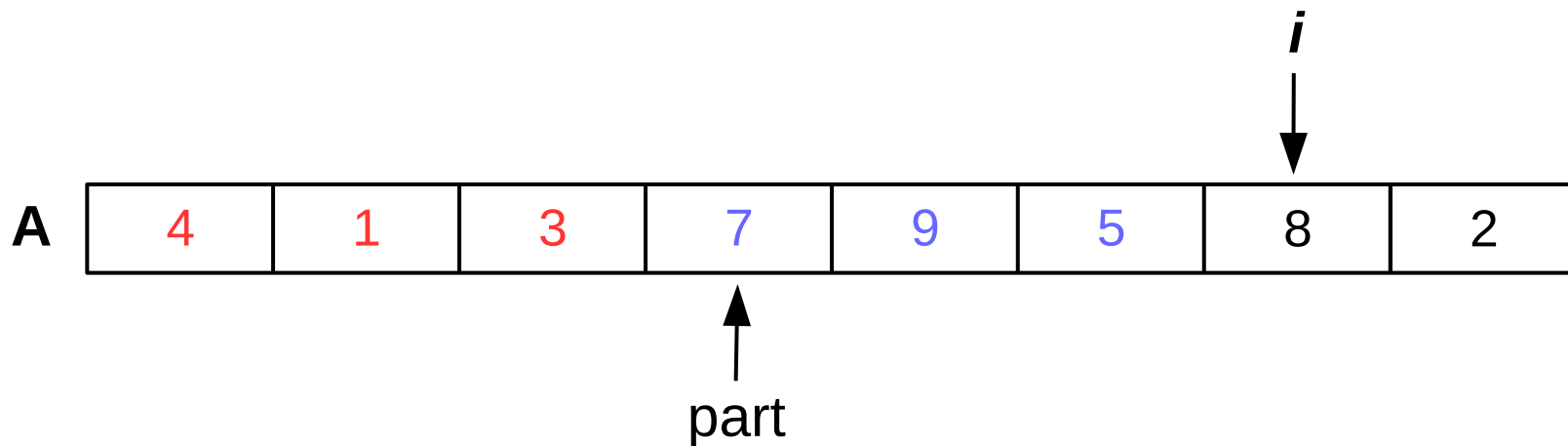
Partition elements

```
3 part = 1
4 for  $i = 1$  to  $n-1$  do
5     if  $A[i] \leq A[0]$  then FALSE
6         swap( $A[i]$ ,  $A[\text{part}]$ )
7         part++
8     end
9 end
```



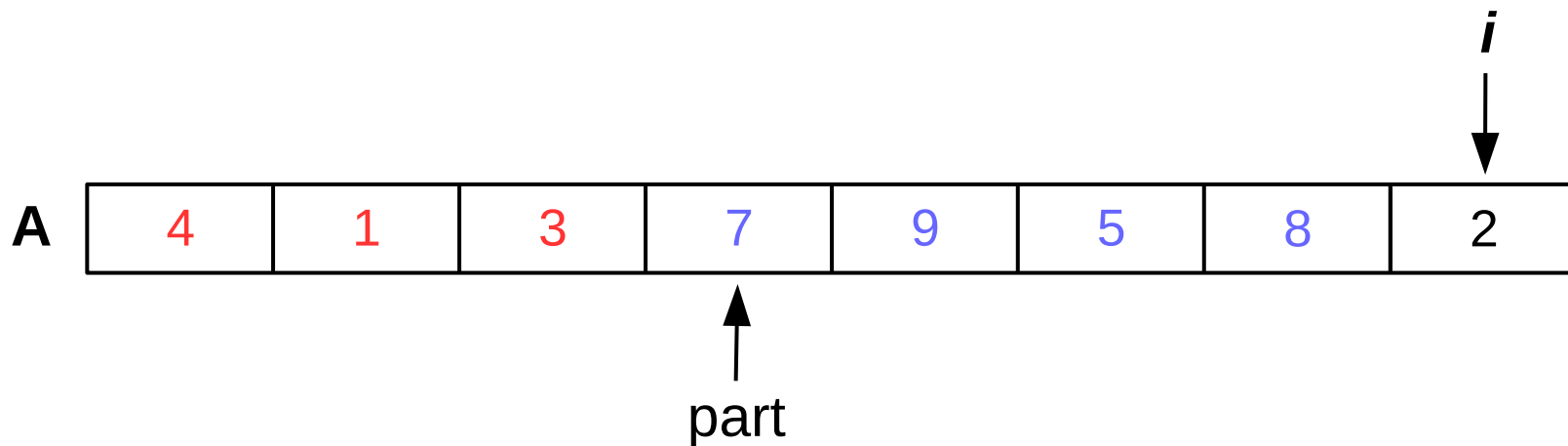
Partition elements

```
3 part = 1
4 for  $i = 1$  to  $n-1$  do
5     if  $A[i] \leq A[0]$  then FALSE
6         swap( $A[i]$ ,  $A[part]$ )
7         part++
8     end
9 end
```



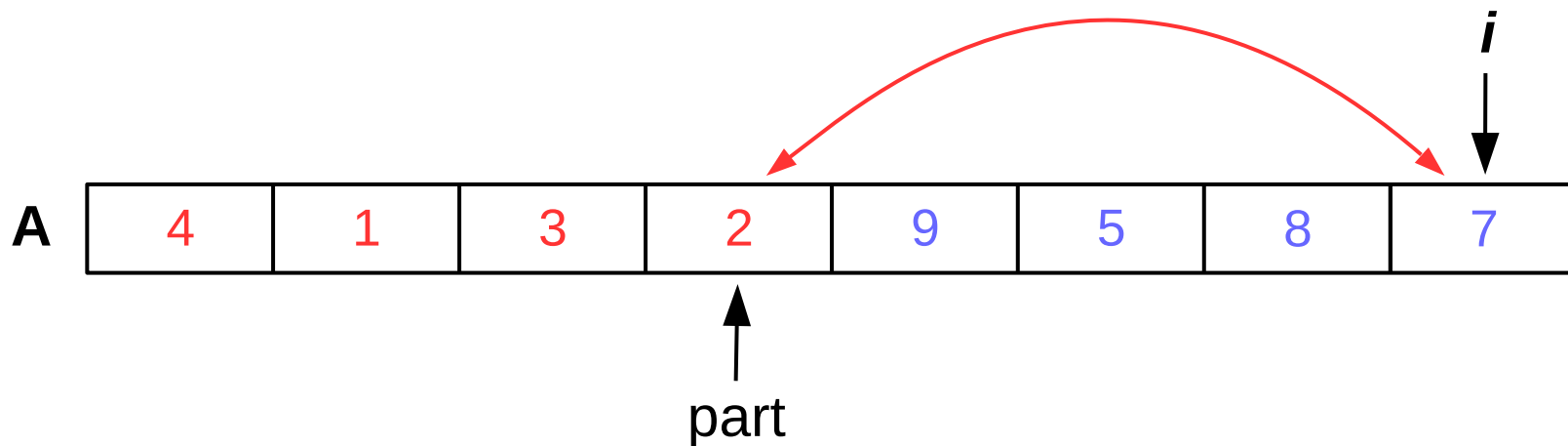
Partition elements

```
3 part = 1
4 for  $i = 1$  to  $n-1$  do
5     if  $A[i] \leq A[0]$  then TRUE
6         swap( $A[i]$ ,  $A[part]$ )
7         part++
8     end
9 end
```



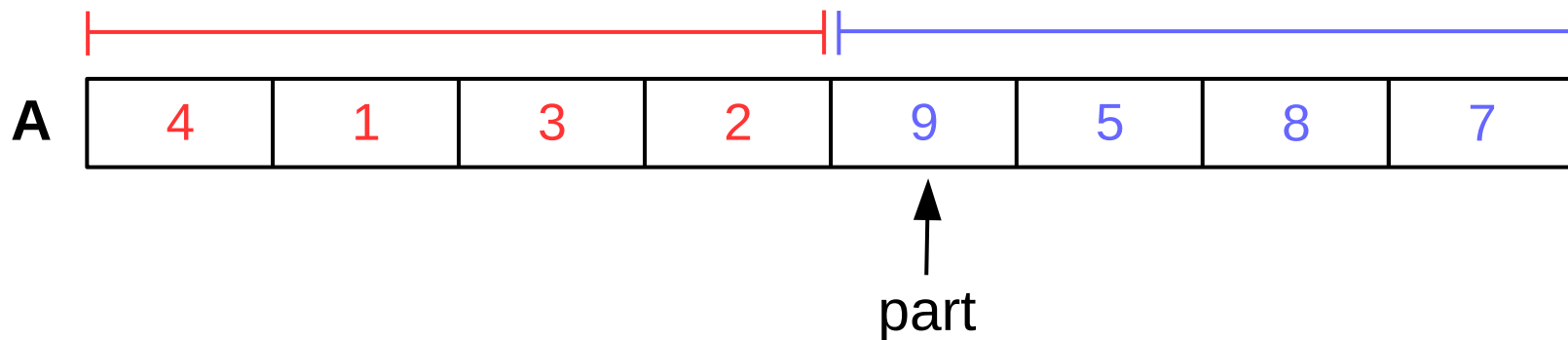
Partition elements

```
3 part = 1
4 for  $i = 1$  to  $n-1$  do
5     if  $A[i] \leq A[0]$  then TRUE
6         swap( $A[i]$ ,  $A[\text{part}]$ ) ←
7         part++
8     end
9 end
```



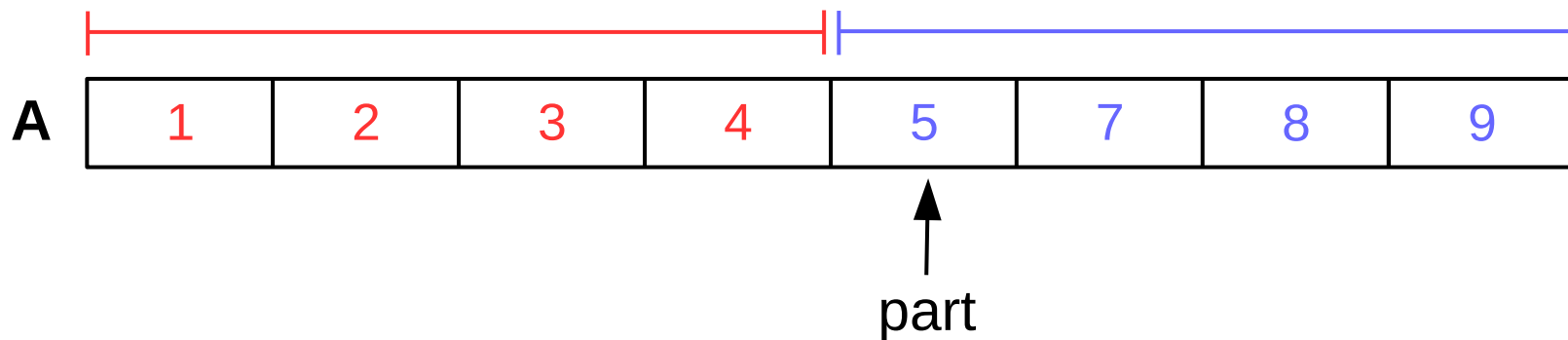
Recurse

```
10 if part > 2 then
11     Quicksort(A[0, ..., part-1]) ←
12 end
13 if part < n-1 then
14     Quicksort(A[part, ..., n-1]) ←
15 end
```



Recursion sorts sublists

```
10 if part > 2 then
11     Quicksort(A[0, ..., part-1]) ←
12 end
13 if part < n-1 then
14     Quicksort(A[part, ..., n-1]) ←
15 end
```



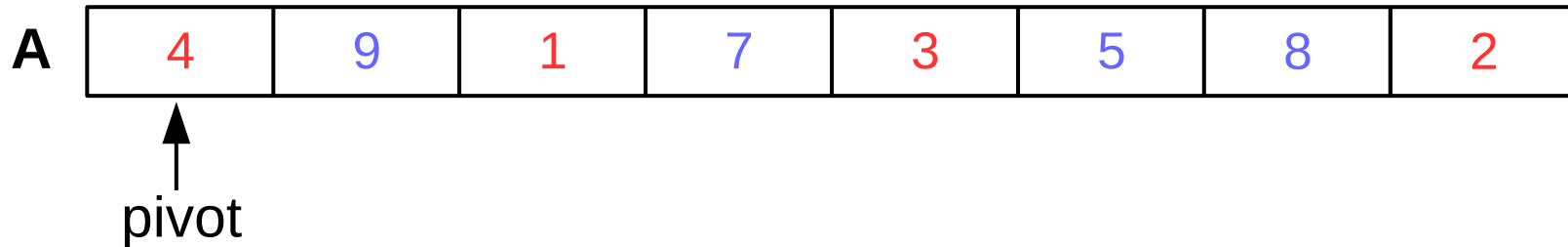
How can we parallelize?

Quicksort($A[0, \dots, n-1]$)

1 pivot = random($1 \dots n$)	}	O(1)
2 swap($A[0]$, $A[\text{pivot}]$)		
3 part = 1		
4 for $i = 1$ to $n-1$ do	}	???
5 if $A[i] \leq A[0]$ then		
6 swap($A[i]$, $A[\text{part}]$)		
7 part++		
8 end		
9 end		
10 if $\text{part} > 2$ then	}	Parallel calls
11 Quicksort($A[0, \dots, \text{part}-1]$)		
12 end		
13 if $\text{part} < n-1$ then	}	
14 Quicksort($A[\text{part}, \dots, n-1]$)		
15 end		

Parallel partition

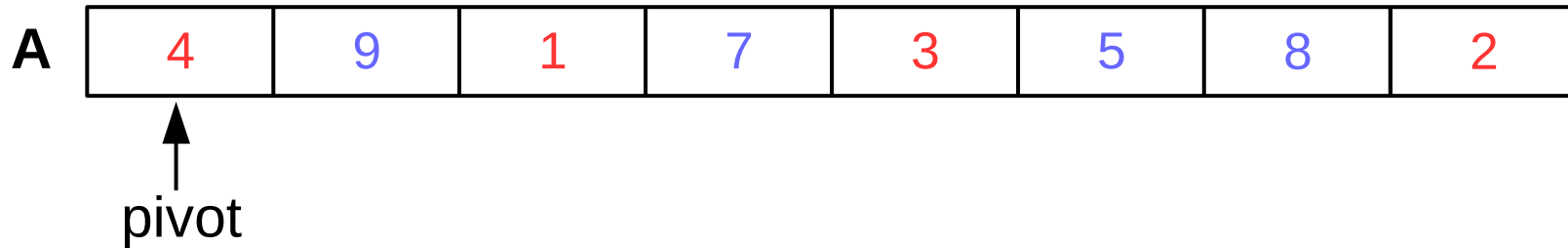
- **Separate** all elements \leq pivot



- How can we do this in parallel?

Parallel partition

- **Separate** all elements \leq pivot



- How can we do this in parallel?
 - Prefix sums!

Parallel partition

- Create **B[i]** by comparing $A[i]$ to pivot
 - 1 if $A[i] \leq A[0]$
 - 0 otherwise

A	4	9	1	7	3	5	8	2
----------	---	---	---	---	---	---	---	---

B	1	0	1	0	1	0	0	1
----------	---	---	---	---	---	---	---	---

Parallel partition

- Prefix sums on B

A	4	9	1	7	3	5	8	2
B	1	1	2	2	3	3	3	4

Parallel partition

- Write each $A[i] \leq A[0]$ to array **C**
 - $C[B[i]] = A[i]$

A	4	9	1	7	3	5	8	2
----------	---	---	---	---	---	---	---	---

B	1	1	2	2	3	3	3	4
----------	---	---	---	---	---	---	---	---

C	4	1	3	2				
----------	---	---	---	---	--	--	--	--

Parallel partition

- Create **B'** as opposite of **B**
 - $B'[i] = 1$ if $A[i] > A[0]$
 - $B'[i] = 0$ otherwise

A	4	9	1	7	3	5	8	2
----------	---	---	---	---	---	---	---	---

B'	0	1	0	1	0	1	1	0
-----------	---	---	---	---	---	---	---	---

C	4	1	3	2				
----------	---	---	---	---	--	--	--	--

Parallel partition

- Prefix sums on **B'**

A	4	9	1	7	3	5	8	2
----------	---	---	---	---	---	---	---	---

B'	0	1	1	2	2	3	4	4
-----------	---	---	---	---	---	---	---	---

C	4	1	3	2				
----------	---	---	---	---	--	--	--	--

Parallel partition

- Write remaining elements to **C**
 - $C[B[n-1] + B'[i]] = A[i]$

A	4	9	1	7	3	5	8	2
----------	---	---	---	---	---	---	---	---

B'	0	1	1	2	2	3	4	4
-----------	---	---	---	---	---	---	---	---

C	4	1	3	2	9	7	5	8
----------	---	---	---	---	---	---	---	---

Parallel quicksort analysis

- Each recursive call performs prefix sum
- Worst-case, pivot is always min or max:

$$W(n) = W(n - 1) + O(n) = O(n^2)$$

- If we assume “good” pivot is chosen:

$$W(n) = W\left(\frac{n}{2}\right) + O(n) = O(n \log n)$$

Parallel quicksort analysis

- Assuming a “good” pivot choice:

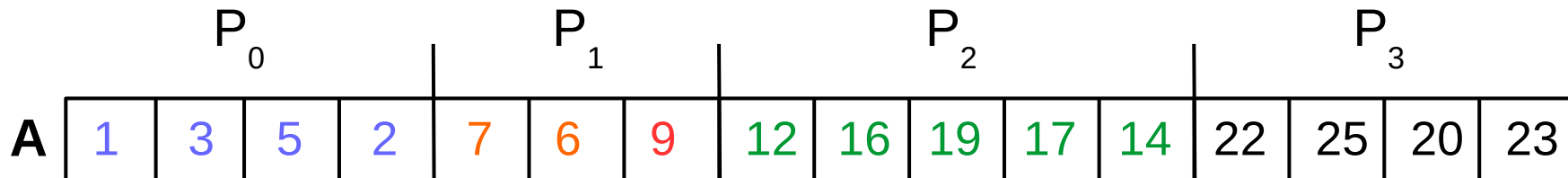
$$\begin{aligned} T(n) &= T\left(\frac{n}{2}\right) + O(\log n) \\ &= \log n + \log \frac{n}{2} + \cdots + \frac{n}{n} \\ &= \log n + (\log n - 1) + (\log n - 2) + \cdots + 1 \\ &= \frac{(\log n)(\log n + 1)}{2} = O(\log^2 n) \end{aligned}$$

Issues with parallel quicksort

- Have to copy **A** to **C** => **not** in-place
 - $O(n)$ extra space needed
- $O(\log^2 n)$ “average” parallel runtime
- Recursive definition
 - Difficult to make iterative
 - Perform **many** small prefix-sums
 - Performance overhead

Iterative solution

- What if we can combine recursive calls
 - One iteration for each level
- Separate recursive calls on partitions:



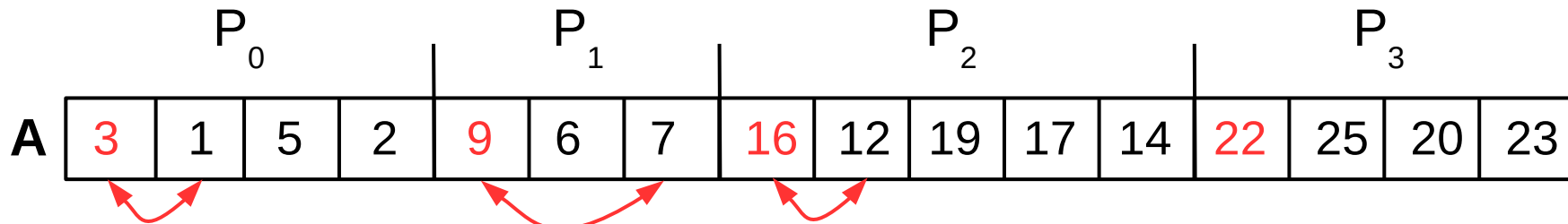
Iterative solution

- Know size of partition $i = |P_i|$
- Find a pivot for each partition

	P_0				P_1				P_2				P_3			
A	1	3	5	2	7	6	9	12	16	19	17	14	22	25	20	23

Iterative solution

- Know size of partition $i = |P_i|$
- Find a pivot for each partition
 - Move pivots to front



Iterative solution

- Know size of partition $i = |P_i|$
- Find a pivot for each partition
 - Move pivots to front
- Compute **B**
 - Compare each to the pivot in its partition

	P_0				P_1			P_2				P_3				
A	3	1	5	2	9	6	7	16	12	19	17	14	22	25	20	23
B	1	1	0	1	1	1	1	1	1	0	0	1	1	0	1	0

Iterative solution

- Want prefix sum **within** each partition:
- ***Segmented prefix sums***
 - Each partition is a separate **segment**

	P_0				P_1			P_2				P_3				
A	3	1	5	2	9	6	7	16	12	19	17	14	22	25	20	23
B	1	1	0	1	1	1	1	1	1	0	0	1	1	0	1	0

Iterative solution

- Want prefix sum **within** each partition:
- ***Segmented prefix sums***
 - Each partition is a separate **segment**
 - Can combine into 1 operation...

	P_0				P_1			P_2				P_3				
A	3	1	5	2	9	6	7	16	12	19	17	14	22	25	20	23
B	1	2	2	3	1	2	3	1	2	2	2	3	1	1	2	2

Segmented prefix sums

- Input array **A** and *flag bits* **F**
 - 1 if start of new segment
 - 0 otherwise
- Prefix sums, except sum **resets** when $F[i]=1$

A	3	1	4	1	5	2	1	3	4	0	2	6	1	0	3	4
F	0	0	0	1	0	0	0	0	0	1	0	1	1	0	0	0

Segmented prefix sums

- Input array **A** and *flag bits* **F**
 - 1 if start of new segment
 - 0 otherwise
- Prefix sums, except sum **resets** when $F[i]=1$

A	3	1	4	1	5	2	1	3	4	0	2	6	1	0	3	4
F	0	0	0	1	0	0	0	0	0	1	0	1	1	0	0	0
	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓	↓
C	3	4	8	1	6	8	9	12	16	0	2	6	1	1	4	8

Partition with segments

- Create **F** with partition boundaries

	P_0				P_1			P_2				P_3				
A	3	1	5	2	9	6	7	16	12	19	17	14	22	25	20	23
B	1	1	0	1	1	1	1	1	1	0	0	1	1	0	1	0
F	0	0	0	0	1	0	0	1	0	0	0	0	1	0	0	0

Partition with segments

- Create **F** with partition boundaries
- Perform *segmented prefix sums* on **B** and **F**

	P_0				P_1			P_2				P_3				
A	3	1	5	2	9	6	7	16	12	19	17	14	22	25	20	23
B	1	2	2	3	1	2	3	1	2	2	2	3	1	1	2	2
F	0	0	0	0	1	0	0	1	0	0	0	0	1	0	0	0

Partition with segments

- Create **F** with partition boundaries
- Perform *segmented prefix sums* on **B** and **F**
- Copy **A[i]** into **C[B[i]]** (plus partition offsets)

	P_0				P_1				P_2				P_3			
A	3	1	5	2	9	6	7	16	12	19	17	14	22	25	20	23
B	1	2	2	3	1	2	3	1	2	2	2	3	1	1	2	2
C	3	1	2		9	6	7	16	12	14			22	20		

Partition with segments

- Repeat for $>$ pivots:
 - Build **B'**

	P_0				P_1			P_2				P_3				
A	3	1	5	2	9	6	7	16	12	19	17	14	22	25	20	23
B'	0	0	1	0	0	0	0	0	0	1	1	0	0	1	0	1
C	3	1	2		9	6	7	16	12	14			22	20		

Partition with segments

- Repeat for $>$ pivots:
 - *Segmented prefix sums* on B'

	P_0				P_1			P_2				P_3				
A	3	1	5	2	9	6	7	16	12	19	17	14	22	25	20	23
B'	0	0	1	1	0	0	0	0	0	1	2	2	0	1	1	2
C	3	1	2		9	6	7	16	12	14			22	20		

Partition with segments

- Repeat for $>$ pivots:
 - Copy remaining **A** values into **C**

	P_0				P_1			P_2				P_3				
A	3	1	5	2	9	6	7	16	12	19	17	14	22	25	20	23
B'	0	0	1	1	0	0	0	0	0	1	2	2	0	1	1	2
C	3	1	2	5	9	6	7	16	12	14	19	17	22	20	25	23

Partition with segments

- Ready for next iteration...

	P_0				P_1			P_2				P_3				
A	3	1	5	2	9	6	7	16	12	19	17	14	22	25	20	23
B'	0	0	1	1	0	0	0	0	0	1	2	2	0	1	1	2
C	3	1	2	5	9	6	7	16	12	14	19	17	22	20	25	23



Notes about Iterative quicksort

- Need to keep track of partition offsets, etc.
- Still need to pick good pivots
- Same runtime as recursive

- Easier to optimize
 - Unroll loops, etc.
- Less overhead (on most architectures)