

COMP2009-ACE:

ADE Lec05

Rules for little-oh proofs and other  
advanced usages

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# NOTES

- These slides are meant for advanced understanding.
  - Some parts have been stated elsewhere
  - The rules are methods for little-oh that might be useful. However, if a question asks for “from the definition” then such rules should not be used.

# "Multiplication Rule" for big-Oh \* little-Oh ?

Suppose  $a, c, d$  are all strictly positive numbers

If  $c < d$

then we can multiply by  $a$  to get

$$a*c < a*d$$

Given the mnemonic " $\leq$ " ~ "Big-Oh" and " $<$ " ~ "little-oh", it is reasonable to expect to be able to multiply "a function, and an o to get an o".

But is this actually correct?

# “Multiplication Rule” for function\*little-Oh

- Suppose  $h(n)$  is (strictly) positive,  
and  
 $f(n)$  is  $o(g(n))$  [[little-oh]]
- Then, from the definition, we know  
For all  $c > 0$  exists  $n_0$   $f(n) < c g(n)$  for all  $n \geq n_0$
- Then multiplying by  $h(n)$  gives  
For all  $c > 0$  exists  $n_0$ .  $h(n) f(n) < c h(n) g(n)$  for all  $n \geq n_0$
- Which is precisely:  $h(n) f(n)$  is  $o(h(n) g(n))$
- E.g. can multiply “1 is  $o(n)$ ” by  $h(n)=n$  to get “ $n$  is  $o(n^2)$ ”
- (Note: for brevity, we may suppress extra conditions, such as needing  $h(n) > 0$ , as they will be obvious.)

# “Multiplication Rule” for big-Oh \* little-Oh ?

Suppose  $a, b, c, d$  are all strictly positive numbers

If  $a \leq b$   
and  $c < d$

then we can multiply to get

$$a*c < b*d$$

Given the mnemonic “ $\leq$ ”  $\sim$  “Big-Oh” and “ $<$ ”  $\sim$  “little-oh”, it is reasonable to expect to be able to multiply “O and o to get an o”.

But is this actually correct?

# “Multiplication Rule” for big-Oh \* little-Oh

- Suppose
  - $f_1(n)$  is  $O(g_1(n))$  [[Big-Oh]]
  - $f_2(n)$  is  $o(g_2(n))$  [[little-oh]]
- Then, from the definition, there exist positive constants  $c_1 > 0$ ,  $n_1$  such that
  1. Exists  $c_1 > 0$  exists  $n_1$   $f_1(n) \leq c_1 g_1(n)$  for all  $n \geq n_1$
  2. For all  $c_2 > 0$  exists  $n_2$   $f_2(n) < c_2 g_2(n)$  for all  $n \geq n_2$
- Then multiplying gives
  - Exists  $c_1$  for all  $c_2$ . exists  $n_1$  exists  $n_2$ ,  $n_0 = \max(n_1, n_2)$ ,  
 $f_1(n) f_2(n) < c_1 c_2 g_1(n) g_2(n)$  for all  $n \geq n_0$
- But for any (strictly) positive value of  $c_1$ , then “for all  $c_2 > 0$ ” captures the same as “for all  $c_1 * c_2$ ”.
- Hence:  $f_1(n) f_2(n)$  is  $o(g_1(n) g_2(n))$
- E.g.  $n$  is  $O(n)$ , and  $1$  is  $o(\log n)$ , hence  $n$  is  $o(n \log n)$
- E.g.  $n$  is  $O(n)$ , and  $\log(n)$  is  $o(n)$ , hence  $n \log n$  is  $o(n^2)$

# Advanced Usages of Big-Oh

- **Some of the following slides on just a reminder of work covered before**
- They are included for
  - The ways of thinking about big-oh
  - Usages that might be seen in advanced academic literature, and in mathematical literature

# Usages as sets of functions

Sometimes in (advanced theory) papers might see expressions such as  $n^{O(1)}$

If “big-Oh” only means “worst case of an algorithm” then this is nonsensical.

But if the big-Oh family are used to refer to sets of functions then we can take it to mean

$$\{ n^{f(n)} \mid f(n) \text{ is } O(1) \}$$

e.g. including  $f(n)=1$ ,  $f(n)=2$ ,  $f(n)=3$ , .... So  $n^{O(1)}$  includes  $n^1$ ,  $n^2$ ,  $n^3$ , ...

So  $n^{O(1)}$  is interpreted as

“any function that is no worse than (Big-Oh of) some power law”



# Usages as sets of functions

Another example can be seen in:

[https://en.wikipedia.org/wiki/Stirling%27s\\_approximation](https://en.wikipedia.org/wiki/Stirling%27s_approximation)

$$\ln(n!) = n \ln n - n + O(\ln n)$$

Where “ln” is the “natural log” using the base e:

I.e.  $\ln(y) = x$  iff  $y = e^x$  ( $e=2.7128\dots$ )

It means that the extra term, which one might think of as the “error”, is “some function that is  $O(\ln n)$ ”

It means that the error is small compared to the other two terms.

This approximation of  $n!$  is relevant to later lectures on “Bounds on Comparison based sorting”.

# Usages as sets of functions

$1 - o(1)$  would then mean a set of functions:

$$\{ 1 - f(n) \mid f(n) \text{ is } o(1) \text{ (and } f(n) \text{ is positive)} \}$$

Hence

forall  $c > 0$ . exists  $n_0$ . s.t. forall  $n \geq n_0$ .  $0 \leq f(n) < c$

That is:

However small we pick  $c$ , then eventually  $f(n)$ , becomes, and stays, smaller than  $c$ .

This is the same meaning as

$f(n)$  tends to zero as  $n$  goes to infinity.

So  $1 - o(1)$  is interpreted as “any function which approaches 1 (from below) in the limit of  $n$  becoming large” – e.g.  $(1 - 1/n)$