

# Algorithm Homework 06

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## 1 Problem 01 - Clique with Half Size

*Proof.* First we prove that the problem is *NP*.

We prove that the problem is polynomial-time verifiable.

Consider the algorithm  $V : \{0, 1\}^* \times \{0, 1\}^* \rightarrow \{0, 1\}$ ,  $(x, y) \mapsto V(x, y)$ .  $x$  is a 0, 1-sequence representing a graph  $G = (V, E)$  while  $y$  is a 0, 1-sequence representing a clique  $V'$ . Obvious  $|y| = |x|^{O(1)}$ .  $V(x, y)$  checks whether  $|V'| = \frac{n}{2} = \frac{|V|}{2}$  and whether  $V'$  is a clique on the graph represented by  $x$ . Obvious the checking process takes  $O(|E|)$  time, i.e.  $V$  terminates in  $|x|^{O(1)}$  time.

Thus,  $V$  is a verifier. Therefore, the problem is polynomial-time verifiable, i.e. *NP*.  $\square$

Let the *exact  $k$ -clique* problem on  $G$  be whether  $G$  contain a clique with size exactly  $k$ . Now we prove *exact  $k$ -clique*  $\leq_K$  *clique with half size*.

We convert the *exact  $k$ -clique* problem on  $G$  into a *clique with half size* problem on  $G'$  as follows.

Let the clique on  $G$  be  $\mathcal{V}_G$ . Let the clique on  $G'$  be  $\mathcal{V}$ .

**CASE 01.**  $k = \frac{|V|}{2}$ . Construct  $G'_k = (V'_k, E'_k) = G = (V, E)$ .

Obvious the solutions of the two problems are exactly the same.

**CASE 02.**  $k < \frac{|V|}{2}$ .

First we construct a complete graph  $G_c = (V_c, E_c)$  with  $|V_c| = |V| - 2k$ ,

(i.e.  $E_c = \{(u, v) \mid \forall u, v \in V_c, u \neq v\}$ .)

Then we construct  $G'_k = (V'_k, E'_k)$ , where  $V'_k = V \cup V_c$  and  $E'_k = E \cup E_c \cup (V \times V'_k)$ .

Obvious  $\mathcal{V}$  must contain  $V_c$  and  $\mathcal{V}_G = \mathcal{V} \setminus V_c$ , i.e.  $|\mathcal{V}_G| = |\mathcal{V}| - |V_c|$ .

Thus,  $|\mathcal{V}_G| = k \iff |\mathcal{V}| = |\mathcal{V}_G| + |V_c| = k + (|V| - 2k) = |V| - k = \frac{|V'_k|}{2}$ .

Therefore, *exact  $k$ -clique* on  $G'$  and *clique with half size*  $G$  has the same solution.

**CASE 03.**  $k > \frac{|V|}{2}$ .

First we construct a graph  $G_n = (V_n, E_n)$ , where  $|V_n| = 2k - |V|$ ,  $E_n = \emptyset$ .

Then we construct  $G'_k = (V'_k, E'_k)$ , where  $V'_k = V \cup V_n$  and  $E'_k = E \cup E_n = E$ .

Obvious  $\mathcal{V}$  cannot contain  $V_c$  and  $\mathcal{V}_G = \mathcal{V}$ , i.e.  $|\mathcal{V}_G| = |\mathcal{V}|$ .

Thus,  $|\mathcal{V}_G| = k \iff |\mathcal{V}| = k = \frac{2k}{2} = \frac{|V|+2k-|V|}{2} = \frac{|V'_k|}{2}$ .

Therefore, *exact k-clique* on  $G'$  and *clique with half size*  $G$  has the same solution.

Through the process above, we can convert a *k-clique* problem on  $G$  into a *clique with half size* problem on  $G'$ . Thus,

$$\text{exact } k\text{-clique} \leq_K \text{clique with half size}.$$

Moreover,  $k\text{-clique} \leq_K \text{exact } k\text{-clique}$ .

For any *k-clique* problem, we can solve a series of *exact k-clique* problems, i.e. *exact k-clique*, *exact (k+1)-clique*, ... *exact |V|-clique*. Solving these  $|V| - k + 1$  problems, we can decide the solution of *k-clique*.

Since the number of the series of *exact k-clique* problem is polynomial, we have

$$k\text{-clique} \leq_K \text{exact } k\text{-clique} \implies k\text{-clique} \leq_K \text{clique with half size}.$$

Meanwhile, we know *k-clique* problem is NP-complete.

Therefore, the problem is NP-complete. ■

## 2 Problem 02 - (C, V)-Knapsack

*Proof.* First we prove the  $(C, V)$ -Knapsack problem is NP.

Consider the algorithm  $V : \{0, 1\}^* \times \{0, 1\}^* \rightarrow \{0, 1\}$ ,  $(x, y) \mapsto V(x, y)$ .  $x$  is a 0,1-sequence representing  $n, w_1, w_2, \dots, w_n, v_1, v_2, \dots, v_n, C, V$ ,  $y$  is a 0,1-sequence representing a subset of the  $n$  items, i.e.  $\mathcal{I} \subset \{1, 2, \dots, n\}$ .  $V(x, y)$  checks whether  $y$  is a valid arrangement with total value of items at least  $V$ , i.e. to check whether  $\sum_{i \in \mathcal{I}} w_i \leq C$  and  $\sum_{i \in \mathcal{I}} v_i \geq V$ . Obvious this takes at most  $O(n)$  time, i.e.  $V(x, y)$  terminates in  $|x|^{O(1)}$  time.

Thus,  $V$  is a verifier. Then the problem is polynomial-time verifiable, i.e. NP. □

Now we prove  $\text{Subset Sum} \leq_K (C, V)\text{-Knapsack}$ .

For any *Subset Sum* problem, i.e. given  $n, a_1, a_2, \dots, a_n$  and  $W$ , decide whether exists  $\mathcal{I} \in [n]$  s.t.  $\sum_{i \in \mathcal{I}} a_i = W$ , we can convert it into the  $(C, V)$ -Knapsack problems as follows.

Given  $n$ . Given  $w_i = a_i, v_i = a_i$  for any  $i \in [n]$ . Determine whether exists a subset of items with total weight at most  $C = W$  and total value at least  $V = W$ . The  $(W, W)$ -Knapsack problem returning 1 means  $\exists \mathcal{I} \subset [n]$  s.t.  $\sum_{i \in \mathcal{I}} a_i \leq W, \sum_{i \in \mathcal{I}} a_i \geq W \implies \sum_{i \in \mathcal{I}} a_i = W$ .

Thus, the solutions for the two problems above are exactly the same. Therefore,

$$\text{Subset Sum} \leq_K (C, V)\text{-Knapsack}.$$

Meanwhile, *Subset Sum* is NP-complete.

Thus,  $(C, V)$ -Knapsack is also NP-complete. ■

### 3 Problem 3 - Subgraph Problem

*Proof.* First we prove that the *subgraph problem* is NP.

Consider the algorithm  $V : \{0,1\}^* \times \{0,1\}^* \rightarrow \{0,1\}, (x,y) \mapsto V(x,y)$ .  $x$  is a 0,1-sequence representing  $G = (V_G, E_G)$ ,  $H = (V_H, E_H)$ ,  $y$  is a 0,1-sequence representing a mapping from  $V_H$  to  $V_G$ , noting which vertex in  $H$  is corresponding to which vertex in  $G$ .  $V(x,y)$  checks that under  $y$ , whether  $H$  is a subgraph of  $G$ , i.e. to check whether  $\{y(u), y(v)\} \in E$  **iff.**  $\{u, v\} \in E$ . Obvious this process takes at most  $O(|E|^2)$ , i.e.  $V(x,y)$  terminates in  $|x|^{O(1)}$  time.

Thus,  $V$  is a verifier. Therefore, the problem is polynomial-time verifiable, i.e. NP. □

Now we prove that *exact k-clique*  $\leq_K$  *subgraph problem*.

We can convert the *exact k-clique* problem on  $G$  into a *subgraph problem* on  $G$  as follows.

For any two vertices in a clique, exists an edge between them on the original graph. Then we know the complete graph of vertices in the clique is a subgraph of the original graph.

Thus, we can construct a complete graph  $\mathcal{G}$  with  $k$  vertices. Determine whether  $\mathcal{G}$  is a subgraph of  $G$ . When  $\mathcal{G}$  is a subgraph of  $G$ , we know exists at least  $k$  vertices on  $G$  which can induce a clique on  $G$ , i.e. exists a clique on  $G$  with size  $\geq k$ . Otherwise, there does not exist any clique on  $G$  with size  $\geq k$ .

Thus, the solutions of the two problems are exactly the same, i.e.

$$k\text{-clique} \leq_K \text{subgraph problem}.$$

Meanwhile, *k-clique* is NP-Complete.

Therefore, *subgraph problem* is NP-complete. ■

### 4 Rating and Feedback

The completion of the homework takes me one day, about 15 hours in total (including thinkings on problem 4-9 without writing a formal proof). Still, writing a formal solution is the most time-consuming part.

The ratings of each problem is as follows.

Problem	Rating
1	3
2	2
3	2

Table 1: Ratings.

This time I finish all problems on my own.