

Formalizing cost models for Streaming NESL

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Chapter 1

Introduction

1.1 Background

1.1.1 Nested data parallelism

1.2 NESL

1.2.1 Work-depth cost model

1.3 SNESL

Streaming NESL (SNESL) [Mad16] is a refinement of NESL that attempts to improve the efficiency of space usage. It extends NESL with two features: streaming semantics and a cost model for space usage. The basic idea behind the streaming semantics may be described as: data-parallelism can be realized not only in terms of space, as NESL has demonstrated, but also, for some restricted cases, in terms of time. When there is no enough space to store all the data at the same time, computing them chunk by chunk may be a way out.

1.3.1 Type system

The types of a minimalistic version of SNESL defined in [Mad16] are:

$$\begin{aligned}\pi &::= \mathbf{bool} \mid \mathbf{int} \mid \dots \\ \varphi &::= \pi \mid (\varphi_1, \dots, \varphi_k) \mid [\varphi] \\ \tau &::= \varphi \mid (\tau_1, \dots, \tau_k) \mid \{\tau\}\end{aligned}$$

Here π stands for the primitive types and φ the concrete types, both originally supported in NESL. The type $[\varphi]$, which is called *sequences* in NESL and *vectors* in SNESL, represents spatial collections of homogeneous data, and must be fully allocated or *materialized* in memory at once. $(\varphi_1, \dots, \varphi_k)$ are tuples with k components that may be of different types.

The novel extension is the *streamable* types τ , which generalizes the types of data that are not necessary to be completely materialized at once, but rather in a streaming fashion. In particular, the type $\{\tau\}$, called *sequences* in SNESL, represents collections of data computed in terms of time. So, even with a small size of memory, SNESL could execute programs which is impossible in NESL due to space limitation or more space efficiently than in NESL.

For clarity, from now on, we will use the terms consistent with SNESL.

1.3.2 Values and expressions

The values of SNEsL are as follows:

$$\begin{aligned} a &::= \mathbf{T} \mid \mathbf{F} \mid n \ (n \in \mathbb{Z}) \mid \dots \\ v &::= a \mid (v_1, \dots, v_k) \mid [v_1, \dots, v_l] \mid \{v_1, \dots, v_l\} \end{aligned}$$

where a is the atomic values or constants of types π , and v are general values which can be a constant, a tuple with a small number (k) of components, a vector or a stream with a large number (l) of elements.

The expressions of SNEsL are shown in Figure 1.1.

$e ::= a$	(constant)
$\mid x$	(variable)
$\mid (x_1, \dots, x_k)$	(tuple)
$\mid \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2$	(let-binding)
$\mid \phi(x_1, \dots, x_k)$	(built-in function call)
$\mid \{e_1 : x \ \mathbf{in} \ y \ \mathbf{using} \ x_1, \dots, x_j\}$	(general comprehension)
$\mid \{e_1 \mid x \ \mathbf{using} \ x_1, \dots, x_j\}$	(restricted comprehension)

Figure 1.1: SNEsL expressions

As a minimalistic version of NESL, SNEsL maintains the expressive and comfortable programming style of NESL. Basic expressions, such as the first five in Figure 1.1, are almost the same as in NESL. The general comprehension expression looks similar to the apply-to-each construct in NESL except an explicit list of the free variables (listed after the keyword **using**) occur in the body e_1 . And the sieve is independent from apply-to-each as the restricted comprehension for easier analysis and working as the only conditional expression in SNEsL. Semantically, these comprehensions extend the apply-to-each to compute from vectors (i.e., type $[\varphi]$) to streams (i.e., type $\{\tau\}$).

Another notable difference from NESL to SNEsL occurs in the built-in functions.

$\phi ::= \oplus \mid \mathbf{append} \mid \mathbf{concat} \mid \mathbf{zip} \mid \mathbf{iota} \mid \mathbf{part} \mid \mathbf{scan}_{\otimes} \mid \mathbf{reduce}_{\otimes}$	(1.1)
$\mid \mathbf{length} \mid \mathbf{elt}$	(1.2)
$\mid \mathbf{the} \mid \mathbf{empty}$	(1.3)
$\mid \mathbf{mkseq} \mid \mathbf{seq} \mid \mathbf{tab}$	(1.4)
$\oplus ::= + \mid - \mid \times \mid \div \mid \% \mid \leq \mid \dots$	(consts operations)
$\otimes ::= + \mid \times \mid \dots$	(associative binary operations)

Figure 1.2: SNEsL primitive functions

The functions listed in (1.1) and (1.2) of Figure 1.2 are original supported in NESL, doing transformations on consts and vectors. In SNEsL, list (1.1) are adapted to streaming versions with slight changes of parameter types where necessary.

Functions in (1.2) are kept their vector versions in SNEsL to guarantee the efficiency, although it is possible to realize these functions in a streaming fashion. List (1.3) are new primitives primarily working in streams. The function **the** can be used to a two if-else-then statement, *if e_0 then e_1 else e_2* **empty**, which tests if a collection is empty or not, is

much more efficient in a streaming setting as it takes only constant complexity both in time and space. Finally, list (1.4) c convert between vectors and streams. **seq**, typed as $[\varphi] \rightarrow \{\varphi\}$, converts a vector to a sequence; **tab** does the contrary work, tabulates the sequence into a vector.

1.3.3 Cost model

Based on the work-depth model, the cost model of SNESL is extended with a space component,

1.4 Mathematical background and notations

- Set difference:

For two sets A and B ,

$$A \setminus B = \{s \mid s \in A \wedge s \notin B\}$$

It is easy to prove the following properties:

- For any three sets A, B and C :

$$(A \setminus B) \cap C = (A \cap C) \setminus B = A \cap (C \setminus B) \quad (1.5)$$

- For two sets A and B ,

$$A \cap B = \emptyset \Leftrightarrow A \setminus B = A \quad (1.6)$$

Chapter 2

Implementation

In this chapter, we first talk about the high-level interpreter of our minimal SNESE but with extension of user-defined functions to give the reader a more concrete feeling about SNESE. Then we present a streaming low-level language, SVCODE, with respect to its grammar, semantics and cost model. Finally, two low-level interpreters of SVCODE will be described and compared to demonstrate the streaming mechanism and related issues with emphasis on — ?

2.1 High-level interpreter

In this thesis, the SNESE language we experimented with is a subset version introduced in the last chapter but without vectors. As our first goal is to extend SNESE with user-defined (recursive) functions, it is safe to do so because vector is only a subtype of stream that has been sufficiently investigated in [Mad16]. So removing vectors from the language should not affect the complexity of the problem itself; we believe that if the solution works with sequences, the general type in SNESE, it should be trivial to extend it to support vectors.

The adjusted type system is as follows:

$$\begin{aligned}\pi &::= \mathbf{bool} \mid \mathbf{int} \\ \tau &::= \pi \mid (\tau_1, \tau_2) \mid \{\tau\} \mid (\tau_1 \times \dots \times \tau_k) \rightarrow \tau\end{aligned}$$

The grammar of the implemented minimal SNESE with user-defined functions is given in 2.1.

$t ::= e \mid d$	(top-level statement)
$e ::= a$	(constant)
$\mid x$	(variable)
$\mid (x, y)$	(pair)
$\mid \{e_1, \dots, e_l\}$	(primitive sequence)
$\mid \{\}\tau$	(empty sequence of type τ)
$\mid \text{let } x = e_1 \text{ in } e_2$	(let-binding)
$\mid \phi(x_1, \dots, x_k)$	(built-in function call)
$\mid \{e_1 : x \text{ in } y \text{ using } x_1, \dots, x_j\}$	(general comprehension)
$\mid \{e_1 \mid x \text{ using } x_1, \dots, x_j\}$	(restricted comprehension)
$\mid f(x_1, \dots, x_k)$	(user-defined function call)
$d ::= \text{function } f(x_1 : \tau_1, \dots, x_k : \tau_k) : \tau = e$	(user-defined function)

Figure 2.1: Grammar of high-level interpreter

The high-level interpreter we have implemented supports interpreting both SNESL expressions and function definitions. The reader may find that, compared to the grammar in Figure 1.1, we simplified tuples of arbitrary number of components to pairs of only two components; also, primitive sequence and empty sequence are added. These small changes just make the language we experiment with as simple as possible to analyze, and also convenient for programming tests. Since type inference is not incorporated in the interpreter, the types of parameters and return values should be given when the user defines a function.

The built-in functions are just a subset of SNESL built-in functions shown in Figure 1.2 that has removed the vector-related ones as shown in Figure 2.2.

$\phi ::= \oplus \mid \text{append} \mid \text{concat} \mid \text{iota} \mid \text{part} \mid \text{scan}_+ \mid \text{reduce}_+$ $\mid \text{the} \mid \text{empty}$	
$\oplus ::= + \mid - \mid \times \mid \div \mid \% \mid \leq \mid \dots$	(consts operations)

Figure 2.2: Primitive functions

- **append** : $(\{\tau\} \times \{\tau\}) \rightarrow \{\tau\}$, appends one sequence to the end of another; syntactic-sugared as the infix symbol $++$.

Example 2.1.

```

1      > {3,1} ++ {4}
2      {3,1,4} :: {int}
3
4      > {{3,1},{4}} ++ {{}int} ++ {{1,5}}
5      {{3,1},{4},{},{1,5}} :: {{int}}
```


- **concat** : $\{\{\tau\}\} \rightarrow \{\tau\}$, concatenates the elements of type sequence into one sequence.

Example 2.2.

```

1      > concat({{3,1},{4}})
2      {3,1,4} :: {int}
3
4      > concat({{{3,1}, {4}}, {{1}}})
5      {{3,1},{4},{1}} :: {{int}}
```

- **iota** : $\text{int} \rightarrow \{\text{int}\}$, generates a sequence of integers starting from 0 to the given argument integer minus 1; syntactic-sugared as the symbol &.

Example 2.3.

```

1      > &10
2      {0,1,2,3,4,5,6,7,8,9} :: {int}
3
4      > &0
5      {} :: {int}
```

- **part** : $(\{\tau\} \times \{\text{bool}\}) \rightarrow \{\{\tau\}\}$, partitions a sequence into subsequences segmented by the second descriptor argument.

Example 2.4.

```

1      > part({3,1,4,1,5,9}, {F,F,T,F,T,T,F,F,F,T})
2      {{3,1},{4},{},{1,5,9}} :: {{int}}
3
4      > part({{F,T},{T},{}}bool, {F,F}, {F,F,T,F,F,T})
5      {{{F,T},{T}},{},{F,F}}} :: {{{bool}}}
```

- **scan₊** : $\{\text{int}\} \rightarrow \{\text{int}\}$, performs an exclusive scan of plus operation on the given sequence.

Example 2.5.

```

1      > scanPlus({3,1,4,1})
2      {0,3,4,8} :: {int}
3
4      > scanPlus({}int)
5      {} :: {int}
```

- **reduce₊** : $\{\text{int}\} \rightarrow \text{int}$, performs a reduction of plus operation on the given sequence, i.e., compute its sum.

Example 2.6.

```
1 > reducePlus({3,1,4,1})
2 9 :: int
3
4 > reducePlus({}int)
5 0 :: int
```

- **the** : $\{\tau\} \rightarrow \tau$, returns the element of a singleton sequence.

Example 2.7.

```
1 > the({3})
2 3 :: int
3
4 > the({(3,1)})
5 (3,1) :: (int,int)
```

- **empty** : $\{\tau\} \rightarrow \text{bool}$, tests if the given sequence is empty.

Example 2.8.

```
1 > empty({3,1,4,1})
2 F :: bool
3
4 > empty({}int)
5 T :: bool
```

Example 2.9. User-defined function to compute the multiplication of two square matrices.

```
1 -- code display format changed for readability
2 function matmul(n:int) :{{int}} =
3   let matA = {&n : _ in &n};
4   matB = {{x : _ in &n} : x in &n} -- transposition of matA
5   in {{ reducePlus({x*y : x in a, y in b}) : a in matA} : b in
6     matB}
7
8 > matmul(4)
   {{0,0,0,0},{6,6,6,6},{12,12,12,12},{18,18,18,18}} :: {{int}}
```

2.2 SVCODE

In [Mad13] a streaming target language for a minimal SNESL was defined. With trivial changes in the instruction set, this language, named as SVCODE (streaming VCODE), has been implemented on a multicore system in [Mad16]; the various experiment results have demonstrated single-core performance similar to sequential C code for some simple text-processing tasks as well as the potential for further performance improvement by scheduling optimization and code analysis.

In this thesis, we put emphasis on the formalization of this low-level language's semantics. Also, to support recursion in the high-level language at the same time preserving the cost, non-trivial extension of this language is needed.

2.3 Translation

2.4 Eager interpreter

2.5 Streaming interpreter

2.5.1 Xducers

2.6 SVCODE Syntax

The data or *streams* that an SVCODE program computes are basically vectors of consts. For our minimal language, a primitive stream \vec{a} can be a vector of booleans, integers or units, as the following grammar shows:

$$\begin{aligned} b &\in \mathbb{B} = \{\mathbf{T}, \mathbf{F}\} \\ a &::= n \mid b \mid () \\ \vec{b} &= \langle b_1, \dots, b_i \rangle \\ \vec{c} &= \langle (), \dots, () \rangle \\ \vec{a} &= \langle a_1, \dots, a_i \rangle \end{aligned}$$

The grammar of SVCODE is given in Figure 2.3.

$$\begin{aligned} p &::= \epsilon \\ &\mid s := \psi(s_1, \dots, s_k) && (s \notin \{s_1, \dots, s_k\}) \\ &\mid S_{out} := \mathbf{WithCtrl}(s, S_{in}, p_1) && (\mathbf{fv}(p_1) \subseteq S_{in}, S_{out} \subseteq \mathbf{dv}(p_1)) \\ &\mid p_1; p_2 && (\mathbf{dv}(p_1) \cap \mathbf{dv}(p_2) = \emptyset) \\ \\ s &::= 0 \mid 1 \dots \in \mathbf{SId} = \mathbb{N} && (\text{stream ids}) \\ \\ \psi &::= \mathbf{Const}_a \mid \mathbf{ToFlags} \mid \mathbf{Usum} \mid \mathbf{MapTwo}_{\oplus} \mid \mathbf{ScanPlus}_{n_0} \mid \mathbf{Distr} && (\text{Xducers}) \\ \oplus &::= + \mid - \mid \times \mid \div \mid \% \mid \leq \mid \dots && (\text{binary operations}) \\ \\ S &::= \{s_1, \dots, s_i\} \in \mathbb{S} && (\text{a set of stream ids}) \end{aligned}$$

Figure 2.3: Grammar of SVCODE

The instructions in SVCODE that perform the computation directly on primitive streams are stream definitions in the form

$$s := \psi(s_1, \dots, s_k)$$

where ψ is a primitive function, or a *Xducer*(transducer), taking stream s_1, \dots, s_k as parameters and returning s .

The only essential control struture in SVCODE is the instruction

$$S_{out} := \text{WithCtrl}(s, S_{in}, p_1)$$

which may or may not execute a piece of SVCODE program p_1 , but always defines a bunch of stream variables S_{out} . Discussions about this **WithCtrl** instruction will occur again and again throughout the thesis as it plays a significant role in dealing with most of the issues we are deeply concerned, including cost model correctness and dynamic unfolding of recursive functions.

The function **dv** returns the set of defined variables of a given SVCODE program.

$$\begin{aligned} \text{dv}(\epsilon) &= \emptyset \\ \text{dv}(s := \psi(s_1, \dots, s_k)) &= \{s\} \\ \text{dv}(S_{out} := \text{WithCtrl}(s_c, S_{in}, p_1)) &= S_{out} \\ \text{dv}(p_1; p_2) &= \text{dv}(p_1) \cup \text{dv}(p_2) \end{aligned}$$

Correspondingly, **fv** returns the free variables set.

$$\begin{aligned} \text{fv}(\epsilon) &= \emptyset \\ \text{fv}(s := \psi(s_1, \dots, s_i)) &= \{s_1, \dots, s_k\} \\ \text{fv}(S_{out} := \text{WithCtrl}(s_c, S_{in}, p_1)) &= \{s_c\} \cup S_{in} \\ \text{fv}(p_1; p_2) &= \text{fv}(p_1) \cup (\text{fv}(p_2) - \text{dv}(p_1)) \end{aligned}$$

An immediate property of this language is that the defined variables of a well-formed SVCODE program are always *fresh*. In other words, there is no overlapping between the free variables and the newly generated ones.

Lemma 2.1. $\text{fv}(p) \cap \text{dv}(p) = \emptyset$.

The proof is straightward by induction on the syntax of p .

2.7 SVCODE semantics

Before showing the semantics, we first introduce some notations and operations about streams for convenience.

Notation 2.2. Let $\langle a_0 | \vec{a} \rangle$ denote a non-empty stream $\langle a_0, a_1, \dots, a_i \rangle$ for some $\vec{a} = \langle a_1, \dots, a_i \rangle$.

Notation 2.3 (Stream concatenation). $\langle a_1, \dots, a_i \rangle ++ \langle a'_1, \dots, a'_j \rangle = \langle a_1, \dots, a_i, a'_1, \dots, a'_j \rangle$

The operational semantics of SVCODE is given in Figure 2.4. The runtime environment or store σ is a map from stream variables to vectors:

$$\sigma = [s_1 \mapsto \vec{a}_1, \dots, s_i \mapsto \vec{a}_i]$$

Judgment $\boxed{\langle p, \sigma \rangle \Downarrow^{\vec{c}} \sigma'}$

$$\text{P-EMPTY: } \frac{}{\langle \epsilon, \sigma \rangle \Downarrow^{\vec{c}} \sigma}$$

$$\text{P-XDUCER: } \frac{\psi(\vec{a}_1, \dots, \vec{a}_k) \Downarrow^{\vec{c}} \vec{a}}{\langle s := \psi(s_1, \dots, s_k), \sigma \rangle \Downarrow^{\vec{c}} \sigma[s \mapsto \vec{a}]} ((\sigma(s_i) = \vec{a}_i)_{i=1}^k)$$

$$\text{P-WC-EMP: } \frac{}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_1), \sigma \rangle \Downarrow^{\vec{c}} \sigma[(s_i \mapsto \langle \rangle)_{i=1}^l]} \left(\forall s \in \{s_c\} \cup S_{in}. \sigma(s) = \langle \rangle \right) \\ S_{out} = \{s_1, \dots, s_l\}$$

$$\text{P-WC-NONEMP: } \frac{\langle p_1, \sigma \rangle \Downarrow^{\vec{c}_1} \sigma''}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_1), \sigma \rangle \Downarrow^{\vec{c}} \sigma[(s_i \mapsto \sigma''(s_i))_{i=1}^l]} \left(\sigma(s_c) = \vec{c}_1 \neq \langle \rangle \right) \\ S_{out} = \{s_1, \dots, s_l\}$$

$$\text{P-SEQ: } \frac{\langle p_1, \sigma \rangle \Downarrow^{\vec{c}} \sigma'' \quad \langle p_2, \sigma'' \rangle \Downarrow^{\vec{c}} \sigma'}{\langle p_1; p_2, \sigma \rangle \Downarrow^{\vec{c}} \sigma'}$$

Figure 2.4: SVCODE semantics

The *control stream* \vec{c} , which is basically a vector of units representing an unary number, indicates the *parallel degree* of the computation. The role of control stream will become much clearer when we come to the semantics of Xducers. It is worth noting that only in the rule P-WC-NONEMP the control stream has a chance to get changed.

The rule P-EMPTY is trivial, empty program doing nothing on the store.

The rule P-XDUCER adds the store a new stream binding where the bound vector is generated by a specific Xducer with input streams. The detailed semantics of Xducers are defined in the next subsection.

The rules P-WC-EMP and P-WC-NONEMP together show two possibilities for interpreting a `WithCtrl` instruction:

- if the new control stream s_c as well as the streams in S_{in} , which includes the free variables of p_1 , are all empty, then just bind empty vectors to the streams in S_{out} , which are part of the defined streams of p_1 .
- otherwise execute the code of p_1 as usual under the new control stream, ending in the store σ'' ; then copy the bindings of S_{out} from σ'' to the initial store.

The new control stream is crucial here, because it decides whether or not to execute p_1 , which is the key to avoiding infinite unfolding of recursive funtions. For an eager interpreter of SVCODE, if we count one stream definition as one step, then this skip guarantees the low-level step cost agrees on the high-level one. Also, skipping a certain piece of code should help improve the efficiency of execution.

2.8 Xducers

2.8.1 SVCODE Dataflow

Transducers or *Xducers* are the primitive computing functions on streams in SVCODE. Each Xducer consumes a number of streams and transforms them into another.

As we can see from the side condition of the rule P-XDUCER, a stream can be consumed only after it has been produced. Thus, the dataflow among an SVCODE program constructs a DAG (directed acyclic graph), where each Xducer performs one node. Figure 2.5 shows an example program with its DAG in Figure 2.6.

```

1      S1 := Const_3();
2      S2 := ToFlags(S1);
3      S3 := Usum(S2);
4      [S4] := WithCtrl(S3, [], S4 := Const_1());
5      S5 := ScanPlus(S2, S4);

```

Figure 2.5: A small SVCODE program

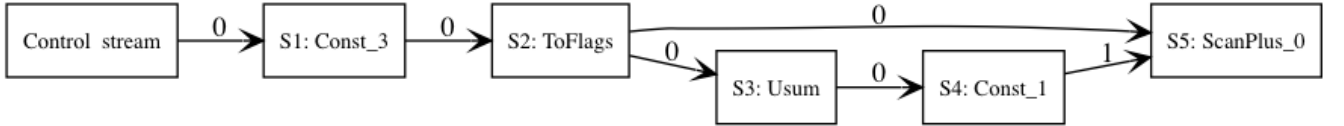


Figure 2.6: Dataflow DAG for the code in Figure 2.5. Note that, for simplicity, the control stream is added as an explicit supplier only to Xducer `Consta`.

When we talk about two Xducers A and B connected by an arrow from A to B in the DAG, we call A a *producer* or a *supplier* to B , and B a *consumer* or a *client* of A . As an Xducer can have multiple suppliers, we distinguish these suppliers by giving each of them an index, called a *channel number*. In Figure 2.6, the channel number is labeled above each edge. For example, the Xducer $S2$ has two clients, $S3$ and $S5$, for both of whom it is the No.0 channel; Xducer $S5$ has two suppliers: $S2$ the No.0 channel and $S4$ the No.1.

2.8.2 General semantics

The semantics of Xducers are abstracted into two levels: the *general* level and the *block* level. The general level summarizes the common property that all Xducers share, and the block level describes the specific behavior of each Xducer.

Figure 2.7 shows the semantics at the general level.

There are only two rules for the general semantics. They together say that the output stream is computed in a “loop” fashion, where the iteration uses specific block semantics of the Xducer and the number of iteration is the unary number that the control stream represents, i.e., the length of the control stream. In the parallel setting, we prefer to call this iteration a *block*. Recall the control stream is a representation of the parallel degree of the computation, then a block consumes exact one degree. We note that all these blocks

¹For notational convenience, in this thesis we add subscripts to a sequence of constants, such as $\langle \rangle, F, 1$, to denote the total number of these constants.

Judgment $\boxed{\psi(\vec{a}_1, \dots, \vec{a}_k) \Downarrow^{\vec{c}} \vec{a}}$

$$\text{P-X-LOOP} : \frac{\psi(\vec{a}_{11}, \dots, \vec{a}_{k1}) \downarrow \vec{a}_{01} \quad \psi(\vec{a}_{12}, \dots, \vec{a}_{k2}) \Downarrow^{\vec{c}_0} \vec{a}_{02}}{\psi(\vec{a}_1, \dots, \vec{a}_k) \Downarrow^{(\langle 0 | \vec{c}_0 \rangle)} \vec{a}_0} ((\vec{a}_{i1} ++ \vec{a}_{i2} = \vec{a}_i)_{i=0}^k)$$

$$\text{P-X-TERMI} : \frac{}{\psi(\langle \rangle_1, \dots, \langle \rangle_k) \Downarrow^{\langle \rangle} \langle \rangle} 1$$

Figure 2.7: Semantics of SVCODE transducers

are data-independent, which means they can be performed in parallel. Now it is clear that the control stream indeed carries the theoretical maximum number of processors we need to execute the computation most efficiently (if the computation within the block can not be parallelized further)(??).

2.8.3 Block semantics

After abstracting the general semantics, the remaining work of formalizing the specific semantics of Xducers within a block becomes relatively clear and easy. The block semantics are defined in Figure 2.8.

Judgment $\boxed{\psi(\vec{a}_1, \dots, \vec{a}_k) \downarrow \vec{a}}$

$$\text{P-CONST} : \frac{}{\text{Const}_a() \downarrow \langle a \rangle} \quad \text{P-TOFLAGS} : \frac{}{\text{ToFlags}(\langle n \rangle) \downarrow \langle F_1, \dots, F_n, T \rangle}$$

$$\text{P-MAPTWO} : \frac{}{\text{MapTwo}_{\oplus}(\langle n_1 \rangle, \langle n_2 \rangle) \downarrow \langle n_3 \rangle} (n_3 = n_1 \oplus n_2)$$

$$\text{P-USUMF} : \frac{\text{Usum}(\vec{b}) \downarrow \vec{a}}{\text{Usum}(\langle F | \vec{b} \rangle) \downarrow \langle () | \vec{a} \rangle} \quad \text{P-USUMT} : \frac{}{\text{Usum}(\langle T \rangle) \downarrow \langle \rangle}$$

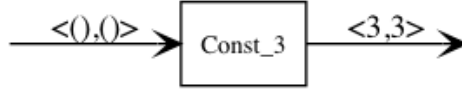
$$\text{P-SCANF} : \frac{\text{ScanPlus}_{n_0+n}(\vec{b}, \vec{a}) \downarrow \vec{a}'}{\text{ScanPlus}_{n_0}(\langle F | \vec{b} \rangle, \langle n | \vec{a} \rangle) \downarrow \langle n_0 | \vec{a}' \rangle} \quad \text{P-SCANT} : \frac{}{\text{ScanPlus}_{n_0}(\langle T \rangle, \langle \rangle) \downarrow \langle \rangle}$$

$$\text{P-DISTRF} : \frac{\text{Distr}(\vec{b}, \langle n \rangle) \downarrow \vec{a}}{\text{Distr}(\langle F | \vec{b} \rangle, \langle n \rangle) \downarrow \langle n | \vec{a} \rangle} \quad \text{P-DISTR T} : \frac{}{\text{Distr}(\langle T \rangle, \langle n \rangle) \downarrow \langle \rangle}$$

Figure 2.8: Semantics of transducer blocks

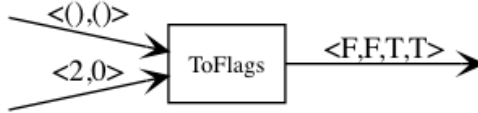
- $\text{Const}_a()$ outputs the const a until the control stream reaches EOS.

Example 2.10. $\text{Const}_3()$ with control stream $\vec{c} = \langle(), ()\rangle$:



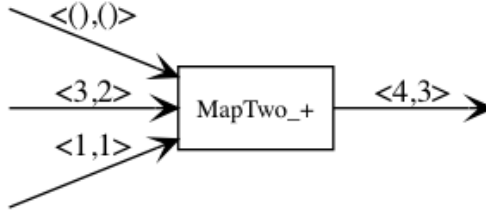
- $\text{ToFlags}(\langle n \rangle)$ first outputs n Fs, then one T.

Example 2.11. $\text{ToFlags}(\langle 2, 0 \rangle)$:



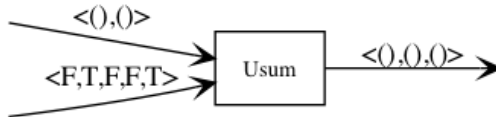
- $\text{MapTwo}_{\oplus}(\langle n_1 \rangle, \langle n_2 \rangle)$ outputs the binary operating result of \oplus on n_1 with n_2 .

Example 2.12. $\text{MapTwo}_{+}(\langle 3, 2 \rangle, \langle 1, 1 \rangle)$:



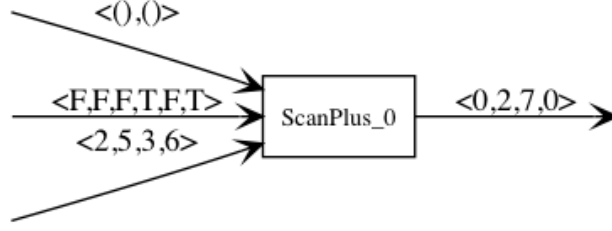
- $\text{Usum}(\vec{b})$ transforms an F to a unit, or a T to nothing. It is the only Xducer that can generate a unit vector, so it is mainly used when we need to replace the control stream.

Example 2.13. $\text{Usum}(\langle F, T, F, F, T \rangle)$:



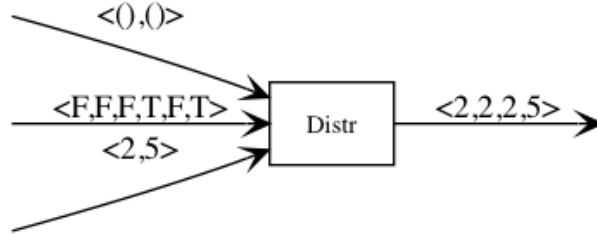
- $\text{ScanPlus}_{n_0}(\vec{b}, \vec{a})$ performs an exclusive scan of the binary operation plus on \vec{a} , segmented by \vec{b} , with a starting element n_0 .

Example 2.14. $\text{ScanPlus}_0(\langle F, F, F, T, F, T \rangle, \langle 2, 5, 3, 6 \rangle)$



- $\text{Distr}(\vec{b}, \langle n \rangle)$ replicates the const n u times where u is the unary number segmented by b .

Example 2.15. $\text{Distr}(\langle F, F, F, T, F, T \rangle, \langle 2, 5 \rangle)$



As we have discussed before, we consider a block as the minimum computing unit assigned to a single processor. This is reasonable for Xducers such as Const_a and MapTwo_{\oplus} , because they are already sequential at the block level.

However, some other Xducers, such as Usum , can be parallelized further inside a block. As we extend the language with more Xducers, we could find that computations on unary numbers within blocks are common, which is mainly due to the value representation strategy we use, but also more difficult to be regularized. For the scope of this thesis, the block semantics we have shown are already relatively clear and simple enough to reason about, and the unary level parallelism can be investigated in future work.

2.9 SVCODE determinism

As we have given formal semantics to the language, we now argue that a well-formed SVCODE program is deterministic.

Definition 2.4 (Stream prefix). \vec{a} is a prefix of \vec{a}' , written $\vec{a} \sqsubseteq \vec{a}'$, if one the following rules applies:

Judgment $\boxed{\vec{a} \sqsubseteq \vec{a}'}$

PRE-EMP: $\frac{}{\langle \rangle \sqsubseteq \vec{a}'}$ PRE-NONEMP: $\frac{\vec{a} \sqsubseteq \vec{a}'}{\langle a_0 | \vec{a} \rangle \sqsubseteq \langle a_0 | \vec{a}' \rangle}$

Lemma 2.5. If $\vec{a}_1 ++ \vec{a}_2 = \vec{a}$, then $\vec{a}_1 \sqsubseteq \vec{a}$.

Proof. The proof is straightforward by induction on \vec{a}_1 : case $\vec{a}_1 = \langle \rangle$ and case $\vec{a}_1 = \langle a_0, \vec{a}'_1 \rangle$ for some \vec{a}'_1 . ■

One may notice that in the rule P-X-TERMI both the control stream and the parameter stream(s) must be all empty, and no rules apply to the other cases where one of them is

empty while the other is not. The following lemma explains why the other cases can never happen: there is only one way to cut down a prefix of each input stream for a specific Xducer to be consumed in a block.

Lemma 2.6. *If*

- (i) $(\vec{a}'_i \sqsubseteq \vec{a}_i \text{ by some derivation } \mathcal{P}_{r_i})_{i=1}^k$ and $\psi(\vec{a}'_1, \dots, \vec{a}'_k) \downarrow \vec{a}'$ by some \mathcal{P} ,
- (ii) $(\vec{a}''_i \sqsubseteq \vec{a}_i \text{ by some derivation } \mathcal{P}'_{r_i})_{i=1}^k$ and $\psi(\vec{a}''_1, \dots, \vec{a}''_k) \downarrow \vec{a}''$ by some \mathcal{P}' .

then

- (i) $(\vec{a}'_i = \vec{a}''_i)_{i=1}^k$
- (ii) $\vec{a}' = \vec{a}''$.

Proof. The proof is by induction on the syntax of ψ . We show two cases **ToFlags** and **ScanPlus_{n₀}** here; the others are analogous.

- Case $\psi = \text{ToFlags}$.

Since there is only one rule for **ToFlags**, we must have

$$\mathcal{P} = \frac{}{\text{ToFlags}(\langle n_1 \rangle) \downarrow \langle F_1, \dots, F_{n_1}, T \rangle}$$

and

$$\mathcal{P}' = \frac{}{\text{ToFlags}(\langle n_2 \rangle) \downarrow \langle F_1, \dots, F_{n_2}, T \rangle}$$

so $k = 1$, $\vec{a}'_1 = \langle n_1 \rangle$, $\vec{a}' = \langle F_1, \dots, F_{n_1}, T \rangle$, and $\vec{a}''_1 = \langle n_2 \rangle$, $\vec{a}'' = \langle F_1, \dots, F_{n_2}, T \rangle$.

Since both \vec{a}'_1 and \vec{a}''_1 are nonempty, \mathcal{P}_{r_1} and \mathcal{P}'_{r_1} must all use the rule **PRE-NONEMP**, which implies $n_1 = n_2$. Then it is clear that $\vec{a}'_1 = \vec{a}''_1$ and $\vec{a}' = \vec{a}''$ as required.

- Case $\psi = \text{ScanPlus}_{n_0}$.

From the two rules **P-SCANT** and **P-SCANF**, it is clear that $k=2$, and both \vec{a}'_1 and \vec{a}''_1 must be nonempty, which means \mathcal{P}_{r_1} and \mathcal{P}'_{r_1} must all use **PRE-NONEMP**.

By induction on \vec{a}_1 , there are two subcases:

- Subcase $\vec{a}_1 = \langle T | \vec{a}_{10} \rangle$.

By **PRE-NONEMP** we know \vec{a}'_1 and \vec{a}''_1 must start with a **T**, thus both \mathcal{P} and \mathcal{P}' must use **P-SCANT**, and they must be identical:

$$\mathcal{P} = \mathcal{P}' = \frac{}{\text{ScanPlus}_{n_0}(\langle T \rangle, \langle \rangle) \downarrow \langle \rangle}$$

So immediately we have $\vec{a}'_1 = \vec{a}''_1 = \langle T \rangle$, $\vec{a}'_2 = \vec{a}''_2 = \langle \rangle$, and $\vec{a}' = \vec{a}'' = \langle \rangle$ as required.

- Subcase $\vec{a}_1 = \langle F | \vec{a}_{10} \rangle$.

By **PRE-NONEMP** we know \vec{a}'_1 and \vec{a}''_1 must start with an **F**, therefore both \mathcal{P} and \mathcal{P}' must use **P-SCANF**. Assume $\vec{a}_2 = \langle n | \vec{a}_{20} \rangle$, then we must have

$$\mathcal{P} = \frac{\mathcal{P}_0 \quad \text{ScanPlus}_{n_0+n}(\vec{a}'_{10}, \vec{a}'_{20}) \downarrow \vec{a}'_0}{\text{ScanPlus}_{n_0}(\langle F | \vec{a}'_{10} \rangle, \langle n | \vec{a}'_{20} \rangle) \downarrow \langle n_0 | \vec{a}'_0 \rangle}$$

where

$$(\vec{a}'_{i0} \sqsubseteq \vec{a}_{i0})_{i=1}^2 \tag{2.1}$$

So $\vec{a}'_1 = \langle \mathbf{F} | \vec{a}'_{10} \rangle$, $\vec{a}'_2 = \langle n | \vec{a}'_{20} \rangle$, and $\vec{a}' = \langle n_0 | \vec{a}'_0 \rangle$.

Similarly,

$$\mathcal{P}' = \frac{\mathcal{P}'_0 \text{ScanPlus}_{n_0+n}(\vec{a}''_{10}, \vec{a}''_{20}) \downarrow \vec{a}''_0}{\text{ScanPlus}_{n_0}(\langle \mathbf{F} | \vec{a}''_{10} \rangle, \langle n | \vec{a}''_{20} \rangle) \downarrow \langle n_0 | \vec{a}''_0 \rangle}$$

where

$$(\vec{a}''_{i0} \sqsubseteq \vec{a}_{i0})_{i=1}^2 \quad (2.2)$$

and $\vec{a}''_1 = \langle \mathbf{F} | \vec{a}''_{10} \rangle$, $\vec{a}''_2 = \langle n | \vec{a}''_{20} \rangle$, $\vec{a}'' = \langle n_0 | \vec{a}''_0 \rangle$.

By IH on (2.1) with \mathcal{P}_0 , (2.2), \mathcal{P}'_0 , we get $(\vec{a}'_{i0} = \vec{a}''_{i0})_{i=1}^2$, and $\vec{a}'_0 = \vec{a}''_0$.

Thus $\langle \mathbf{F} | \vec{a}'_{10} \rangle = \langle \mathbf{F} | \vec{a}''_{10} \rangle$, i.e., $\vec{a}'_1 = \vec{a}''_1$. Likewise, $\vec{a}'_2 = \langle n | \vec{a}'_{20} \rangle = \langle n | \vec{a}''_{20} \rangle = \vec{a}''_2$, and $\vec{a}' = \langle n_0 | \vec{a}'_0 \rangle = \langle n_0 | \vec{a}''_0 \rangle = \vec{a}''$ as required. ■

Lemma 2.7 (Xducer determinism). *If $\psi(\vec{a}_1, \dots, \vec{a}_k) \Downarrow^{\vec{c}} \vec{a}_0$ by some derivation \mathcal{P} , and $\psi(\vec{a}_1, \dots, \vec{a}_k) \Downarrow^{\vec{c}'} \vec{a}'_0$ by some derivation \mathcal{P}' , then $\vec{a}_0 = \vec{a}'_0$.*

Proof. The proof is by induction on the structure of \vec{c} . There are two cases: $\vec{c} = \langle \rangle$ and $\vec{c} = \langle () | \vec{c}_0 \rangle$ for some \vec{c}_0 . The first case is trivial, so we just show the second here.

- Case $\vec{c} = \langle () | \vec{c}_0 \rangle$.

\mathcal{P} must use P-X-LOOP:

$$\mathcal{P} = \frac{\mathcal{P}_1 \quad \mathcal{P}_2}{\psi(\vec{a}_{11}, \dots, \vec{a}_{k1}) \downarrow \vec{a}_{01} \quad \psi(\vec{a}_{12}, \dots, \vec{a}_{k2}) \Downarrow^{\vec{c}_0} \vec{a}_{02} \quad \psi(\vec{a}_1, \dots, \vec{a}_k) \Downarrow^{\langle () | \vec{c}_0 \rangle} \vec{a}_0}$$

where

$$(\vec{a}_{i1} ++ \vec{a}_{i2} = \vec{a}_i)_{i=1}^k \quad (2.3)$$

$$\vec{a}_{01} ++ \vec{a}_{02} = \vec{a}_0 \quad (2.4)$$

Similarly,

$$\mathcal{P}' = \frac{\mathcal{P}'_1 \quad \mathcal{P}'_2}{\psi(\vec{a}'_{11}, \dots, \vec{a}'_{k1}) \downarrow \vec{a}'_{01} \quad \psi(\vec{a}'_{12}, \dots, \vec{a}'_{k2}) \Downarrow^{\vec{c}_0} \vec{a}'_{02} \quad \psi(\vec{a}_1, \dots, \vec{a}_k) \Downarrow^{\langle () | \vec{c}_0 \rangle} \vec{a}'_0}$$

where

$$(\vec{a}'_{i1} ++ \vec{a}'_{i2} = \vec{a}_i)_{i=1}^k \quad (2.5)$$

$$\vec{a}'_{01} ++ \vec{a}'_{02} = \vec{a}'_0 \quad (2.6)$$

Using Lemma 2.5 k times on (2.3), we have

$$(\vec{a}_{i1} \sqsubseteq \vec{a}_i)_{i=1}^k \quad (2.7)$$

Analogously, from (2.5),

$$(\vec{a}'_{i1} \sqsubseteq \vec{a}_i)_{i=1}^k \quad (2.8)$$

By Lemma 2.6 on (2.7) with \mathcal{P}_1 , (2.8), \mathcal{P}'_1 , we get

$$(\vec{a}_{i1} = \vec{a}'_{i1})_{i=1}^k \quad (2.9)$$

$$\vec{a}_{01} = \vec{a}'_{01} \quad (2.10)$$

It is easy to show that from (2.3), (2.5) and (2.9) we can get

$$(\vec{a}_{i2} = \vec{a}'_{i2})_{i=1}^k \quad (2.11)$$

Then by IH on \mathcal{P}_2 with \mathcal{P}'_2 , we obtain $\vec{a}_{02} = \vec{a}'_{02}$.

Therefore, with (2.4), (2.6), (2.10), we obtain $\vec{a}_0 = \vec{a}_{01} ++ \vec{a}_{02} = \vec{a}'_{01} ++ \vec{a}'_{02} = \vec{a}'_0$, as required. ■

Theorem 2.8 (SVCODE determinism). *If $\langle p, \sigma \rangle \Downarrow^{\vec{c}} \sigma'$ (by some derivation \mathcal{P}) and $\langle p, \sigma \rangle \Downarrow^{\vec{c}} \sigma''$ (by some derivation \mathcal{P}'), then $\sigma' = \sigma''$.*

Proof. The proof is by induction on the syntax of p . There are four cases: the case for $p = \epsilon$ is trivial; with the help of Lemma 2.7, the case for $p = s := \psi(s_1, \dots, s_k)$ is also trivial; proof of $p = p_1; p_2$ can be done by IH; the only interesting case is $p = S_{out} := \text{WithCtrl}(s_c, S_{in}, p_1)$.

- Case $p = S_{out} := \text{WithCtrl}(s_c, S_{in}, p_1)$.

Assume $S_{out} = \{s_1, \dots, s_l\}$. There are two subcases by induction on $\sigma(s_c)$:

- Subcase $\sigma(s_c) = \langle \rangle$.

Then \mathcal{P} and \mathcal{P}' must all use P-WC-EMP, and they must be identical:

$$\mathcal{P} = \mathcal{P}' = \frac{}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_1), \sigma \rangle \Downarrow^{\vec{c}} \sigma[(s_i \mapsto \langle \rangle)_{i=1}^l]}$$

with $\forall s \in S_{in}. \sigma(s) = \langle \rangle$. So $\sigma' = \sigma'' = \sigma[(s_i \mapsto \langle \rangle)_{i=1}^l]$, as required.

- Subcase $\sigma(s_c) \neq \langle \rangle$.

Then we must have

$$\mathcal{P} = \frac{\mathcal{P}_1 \quad \langle p_1, \sigma \rangle \Downarrow^{\vec{c}_1} \sigma_1}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_1), \sigma \rangle \Downarrow^{\vec{c}} \sigma[(s_i \mapsto \sigma_1(s_i))_{i=1}^l]}$$

Also, we have

$$\mathcal{P}' = \frac{\mathcal{P}'_1 \quad \langle p_1, \sigma \rangle \Downarrow^{\vec{c}_1} \sigma'_1}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_1), \sigma \rangle \Downarrow^{\vec{c}} \sigma[(s_i \mapsto \sigma'_1(s_i))_{i=1}^l]}$$

So $\sigma' = \sigma[(s_i \mapsto \sigma_1(s_i))_{i=1}^l]$, and $\sigma'' = \sigma[(s_i \mapsto \sigma'_1(s_i))_{i=1}^l]$.

By IH on \mathcal{P}_1 and \mathcal{P}'_1 , we obtain

$$\sigma_1 = \sigma'_1$$

Then it is clear that $\sigma' = \sigma''$, as required. ■

2.10 Streaming interpreter

2.11 Recursion

Chapter 3

Formalization

3.1 SNESL0

3.1.1 Type system

$$\tau ::= \mathbf{int} \mid \{\tau_1\}$$

Type environment $\Gamma = [x_1 \mapsto \tau_1, \dots, x_i \mapsto \tau_i]$.

- Expression typing rules:

Judgment $\boxed{\Gamma \vdash e : \tau}$

$$\frac{}{\Gamma \vdash x : \tau} (\Gamma(x) = \tau) \qquad \frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma[x \mapsto \tau_1] \vdash e_2 : \tau}{\Gamma \vdash \mathbf{let } x = e_1 \mathbf{ in } e_2 : \tau}$$

$$\frac{\phi : (\tau_1, \dots, \tau_k) \rightarrow \tau}{\Gamma \vdash \phi(x_1, \dots, x_k) : \tau} ((\Gamma(x_i) = \tau_i)_{i=1}^k)$$

$$\frac{[x \mapsto \tau_1, (x_i \mapsto \mathbf{int})_{i=1}^j] \vdash e : \tau}{\Gamma \vdash \{e : x \mathbf{ in } y \mathbf{ using } x_1, \dots, x_j\} : \{\tau\}} (\Gamma(y) = \{\tau_1\}, (\Gamma(x_i) = \mathbf{int})_{i=1}^j)$$

- **Judgment** $\boxed{\phi : (\tau_1, \dots, \tau_k) \rightarrow \tau}$

$$\frac{}{\mathbf{const}_n : () \rightarrow \mathbf{int}} \qquad \frac{}{\mathbf{iota} : (\mathbf{int}) \rightarrow \{\mathbf{int}\}} \qquad \frac{}{\mathbf{plus} : (\mathbf{int}, \mathbf{int}) \rightarrow \mathbf{int}}$$

- Value typing rules:

Judgment $\boxed{v : \tau}$

$$\frac{}{n : \mathbf{int}} \qquad \frac{(v_i : \tau)_{i=1}^k}{\{v_1, \dots, v_k\} : \{\tau\}}$$

3.1.2 Syntax

SNESL Expressions:

$$e ::= x \mid \mathbf{let } x = e_1 \mathbf{ in } e_2 \mid \phi(x_1, \dots, x_k) \mid \{e : x \mathbf{ in } y \mathbf{ using } x_1, \dots, x_j\}$$

$$\phi = \mathbf{const}_n \mid \mathbf{iota} \mid \mathbf{plus}$$

SNESL values:

$$\begin{aligned} n &\in \mathbb{Z} \\ v &::= n \mid \{v_1, \dots, v_k\} \end{aligned}$$

3.1.3 Semantics

$$\rho = [x_1 \mapsto v_1, \dots, x_i \mapsto v_i]$$

• **Judgment** $\boxed{\rho \vdash e \downarrow v}$

$$\frac{}{\rho \vdash x \downarrow v} (\rho(x) = v) \qquad \frac{\rho \vdash e_1 \downarrow v_1 \quad \rho[x \mapsto v_1] \vdash e_2 \downarrow v}{\rho \vdash \mathbf{let} \ e_1 = x \ \mathbf{in} \ e_2 \downarrow v}$$

$$\frac{\phi(v_1, \dots, v_k) \downarrow v}{\rho \vdash \phi(x_1, \dots, x_k) \downarrow v} ((\rho(x_i) = v_i)_{i=1}^k)$$

$$\frac{([x \mapsto v_i, (x_i \mapsto n_i)_{i=1}^j] \vdash e \downarrow v'_i)_{i=1}^k}{\rho \vdash \{e : x \ \mathbf{in} \ y \ \mathbf{using} \ x_1, \dots, x_j\} \downarrow \{v'_1, \dots, v'_k\}} (\rho(y) = \{v_1, \dots, v_k\}, (\rho(x_i) = n_i)_{i=1}^j)$$

• **Judgment** $\boxed{\phi(v_1, \dots, v_k) \downarrow v}$

$$\frac{}{\mathbf{const}_n() \downarrow n} \qquad \frac{}{\mathbf{iota}(n) \downarrow \{0, 1, \dots, n-1\}} (n \geq 0)$$

$$\frac{}{\mathbf{plus}(n_1, n_2) \downarrow n_3} (n_3 = n_1 + n_2)$$

3.2 SVCODE0

3.2.1 SVCODE0 determinism

3.3 Translation

3.4 Correctness

3.4.1 Definitions

We first define a binary relation $\overset{S}{\sim}$ on stores to denote that two stores are *similar*: they have identical domains, and their bound values by S are the same. We call this S an *overlap* of these two stores.

Definition 3.1 (Stores similarity). $\sigma_1 \overset{S}{\sim} \sigma_2$ iff

- (1) $\text{dom}(\sigma_1) = \text{dom}(\sigma_2)$
- (2) $\forall s \in S. \sigma_1(s) = \sigma_2(s)$

According to this definition, it is only meaningful to have $S \subseteq \text{dom}(\sigma_1)$ ($= \text{dom}(\sigma_2)$). When $S = \text{dom}(\sigma_1) = \text{dom}(\sigma_2)$, σ_1 and σ_2 are identical. It is easy to show that this relation $\overset{S}{\sim}$ is symmetric and transitive.

- If $\sigma_1 \stackrel{S}{\sim} \sigma_2$, then $\sigma_2 \stackrel{S}{\sim} \sigma_1$.
- If $\sigma_1 \stackrel{S}{\sim} \sigma_2$ and $\sigma_2 \stackrel{S}{\sim} \sigma_3$, then $\sigma_1 \stackrel{S}{\sim} \sigma_3$.

We also define a binary operation $\stackrel{S}{\bowtie}$ on stores to denote a kind of special concatenation of two similar stores: the *concatenation* of two similar stores is a new store, in which the bound values by S are from any of the parameter stores, and the others are the concatenation of the values from the two stores. In other words, a *concatenation* of two similar stores is only a concatenation of the bound values that *maybe* different in these stores.

Definition 3.2 (Store Concatenation). For $\sigma_1 \stackrel{S}{\sim} \sigma_2$, $\sigma_1 \stackrel{S}{\bowtie} \sigma_2 = \sigma$ where

$$\sigma(s) = \begin{cases} \sigma_1(s) (= \sigma_2(s)), & s \in S \\ \sigma_1(s) ++ \sigma_2(s), & s \notin S \end{cases}$$

Lemma 3.3. If $\sigma_1 \stackrel{S}{\bowtie} \sigma_2 = \sigma$, then $\sigma_1 \stackrel{S}{\sim} \sigma$ and $\sigma_2 \stackrel{S}{\sim} \sigma$.

This lemma says that the concatenation result of two similar stores is still similar to each of them.

Lemma 3.4. If

(i) $\psi(\vec{a}_1, \dots, \vec{a}_k) \Downarrow^{\vec{c}_1} \vec{a}_0$ by some derivation \mathcal{P}_1

(ii) $\psi(\vec{a}'_1, \dots, \vec{a}'_k) \Downarrow^{\vec{c}_2} \vec{a}'_0$ by some \mathcal{P}_2 ,

then $\psi(\vec{a}_1 ++ \vec{a}'_1, \dots, \vec{a}_k ++ \vec{a}'_k) \Downarrow^{\vec{c} ++ \vec{c}'} \vec{a} ++ \vec{a}'$ by some \mathcal{P}_3 .

Proof. There are two possibilities:

- Case \mathcal{P}_1 uses P-X-TERMI.

We must have $(\vec{a}_i = \langle \rangle)_{i=0}^k$ and $\vec{c}_1 = \langle \rangle$. Then $(\vec{a}_i ++ \vec{a}'_i = \vec{a}'_i)_{i=0}^k$, and $\vec{c}_1 ++ \vec{c}_2 = \vec{c}_2$. Take $\mathcal{P}_3 = \mathcal{P}_2$ and we are done.

- Case \mathcal{P}_1 uses P-X-LOOP.

Assume $\vec{c}_1 = \langle () | \vec{c}'_1 \rangle$, then we have

$$\mathcal{P}_1 = \frac{\mathcal{P}_{11} \quad \mathcal{P}_{12}}{\psi(\vec{a}_{11}, \dots, \vec{a}_{k1}) \downarrow \vec{a}_{01} \quad \psi(\vec{a}_{12}, \dots, \vec{a}_{k2}) \Downarrow^{\vec{c}'_1} \vec{a}_{02} \over \psi(\vec{a}_1, \dots, \vec{a}_k) \Downarrow^{\langle c_0 | \vec{c}'_1 \rangle} \vec{a}_0}$$

with $(\vec{a}_i = \vec{a}_{i1} ++ \vec{a}_{i2})_{i=0}^k$.

By IH on \mathcal{P}_{12} with \mathcal{P}_2 , we get a derivation \mathcal{P}_4 of

$$\psi(\vec{a}_{12} ++ \vec{a}'_1, \dots, \vec{a}_{k2} ++ \vec{a}'_k) \Downarrow^{\vec{c}'_1 ++ \vec{c}_2} \vec{a}_{02} ++ \vec{a}'_0$$

Then using the rule P-X-LOOP we can build a derivation \mathcal{P}_5 as follows:

$$\mathcal{P}_5 = \frac{\mathcal{P}_{11} \quad \mathcal{P}_4}{\psi(\vec{a}_{11}, \dots, \vec{a}_{k1}) \downarrow \vec{a}_{01} \quad \psi(\vec{a}_{12} ++ \vec{a}'_1, \dots, \vec{a}_{k2} ++ \vec{a}'_k) \Downarrow^{\vec{c}'_1 ++ \vec{c}_2} \vec{a}_{02} ++ \vec{a}'_0 \over \psi(\vec{a}_{11} ++ (\vec{a}_{12} ++ \vec{a}'_1), \dots, \vec{a}_{k1} ++ (\vec{a}_{k2} ++ \vec{a}'_k)) \Downarrow^{\langle () | \vec{c}'_1 ++ \vec{c}_2 \rangle} \vec{a}_{01} ++ (\vec{a}_{02} ++ \vec{a}'_0)}$$

Since it is clear that

$$\begin{aligned} \forall i \in \{0, \dots, k\}. \vec{a}_{i1} ++ (\vec{a}_{i2} ++ \vec{a}'_i) &= (\vec{a}_{i1} ++ \vec{a}_{i2}) ++ \vec{a}'_i = \vec{a}_i ++ \vec{a}'_i \\ \langle () | \vec{c}'_1 ++ \vec{c}_2 \rangle &= \langle () | \vec{c}'_1 \rangle ++ \vec{c}_2 = \vec{c}_1 ++ \vec{c}_2 \end{aligned}$$

so take $\mathcal{P}_3 = \mathcal{P}_5$ and we are done. ■

Lemma 3.5. *If*

- (i) $\sigma_1 \stackrel{S}{\sim} \sigma_2$
- (ii) $\langle p, \sigma_1 \rangle \Downarrow^{\vec{c}} \sigma$
- (iii) $\mathbf{fv}(p) \cap S = \emptyset$
- (iv) $\forall s \in \mathbf{fv}(p). \sigma_2(s) = \langle \rangle$

then

- (v) $\langle p, \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}} \sigma'$
- (vi) $\forall s' \in \mathbf{dv}(p). \sigma(s') = \sigma'(s')$

Lemma 3.6. *If*

- (i) $\sigma_1 \stackrel{S}{\sim} \sigma_2$
- (ii) $\langle p, \sigma_2 \rangle \Downarrow^{\vec{c}} \sigma$
- (iii) $\mathbf{fv}(p) \cap S = \emptyset$
- (iv) $\forall s \in \mathbf{fv}(p). \sigma_1(s) = \langle \rangle$

then

- (v) $\langle p, \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}} \sigma'$
- (vi) $\forall s' \in \mathbf{dv}(p). \sigma(s') = \sigma'(s')$

Lemma 3.7 (Stores concatenation lemma). *If*

- (i) $\sigma_1 \stackrel{S}{\sim} \sigma_2$
- (ii) $\langle p, \sigma_1 \rangle \Downarrow^{\vec{c}_1} \sigma'_1$ (by some derivation \mathcal{P}_1)
- (iii) $\langle p, \sigma_2 \rangle \Downarrow^{\vec{c}_2} \sigma'_2$ (by some derivation \mathcal{P}_2)
- (iv) $\mathbf{fv}(p) \cap S = \emptyset$

then $\langle p, \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 ++ \vec{c}_2} \sigma'_1 \stackrel{S}{\bowtie} \sigma'_2$ (by \mathcal{P}).

We need this lemma to prove that the results of single computations inside a comprehension body (i.e. p in the lemma) can be concatenated to express a parallel computation. From the other direction, we can consider this process as distributing or splitting the computation p on even smaller degree of parallel computations, in which all the supplier streams, i.e., $\mathbf{fv}(p)$, are splitted to feed the transducers. The splitted parallel degrees are specified by the control streams, i.e., \vec{c}_1 and \vec{c}_2 in the lemma. Other untouched **SIDs** in all σ s (i.e., S) have no change throughout the process.

Proof. By induction on the syntax of p .

- Case $p = \epsilon$.
 \mathcal{P}_1 must be $\overline{\langle \epsilon, \sigma_1 \rangle \Downarrow^{\vec{c}_1} \sigma_1}$, and \mathcal{P}_2 must be $\overline{\langle \epsilon, \sigma_2 \rangle \Downarrow^{\vec{c}_2} \sigma_2}$.
 So $\sigma'_1 = \sigma_1$, and $\sigma'_2 = \sigma_2$, thus $\sigma'_1 \overset{S}{\bowtie} \sigma'_2 = \sigma_1 \overset{S}{\bowtie} \sigma_2$.

By P-EMPTY, we take $\mathcal{P} = \overline{\langle \epsilon, \sigma_1 \overset{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2} \sigma_1 \overset{S}{\bowtie} \sigma_2}$ and we are done.

- Case $p = s_l := \psi(s_1, \dots, s_k)$.
 \mathcal{P}_1 must look like

$$\frac{\begin{array}{c} \mathcal{P}'_1 \\ \psi(\vec{a}_1, \dots, \vec{a}_k) \Downarrow^{\vec{c}_1} \vec{a} \end{array}}{\langle s_l := \psi(s_1, \dots, s_k), \sigma_1 \rangle \Downarrow^{\vec{c}_1} \sigma_1[s_l \mapsto \vec{a}]}$$

and we have

$$(\sigma_1(s_i) = \vec{a}_i)_{i=1}^k \quad (3.1)$$

Similarly, \mathcal{P}_2 must look like

$$\frac{\begin{array}{c} \mathcal{P}'_2 \\ \psi(\vec{a}'_1, \dots, \vec{a}'_k) \Downarrow^{\vec{c}_2} \vec{a}' \end{array}}{\langle s_l := \psi(s_1, \dots, s_k), \sigma_2 \rangle \Downarrow^{\vec{c}_2} \sigma_2[s_l \mapsto \vec{a}']}$$

and we have

$$(\sigma_2(s_i) = \vec{a}'_i)_{i=1}^k \quad (3.2)$$

So $\sigma'_1 = \sigma_1[s_l \mapsto \vec{a}]$, $\sigma'_2 = \sigma_2[s_l \mapsto \vec{a}']$.

From assumption (iv) we have $\mathbf{fv}(s_l := \psi(s_1, \dots, s_k)) \cap S = \emptyset$, that is,

$$\{s_1, \dots, s_k\} \cap S = \emptyset \quad (3.3)$$

By Lemma 3.4 on $\mathcal{P}'_1, \mathcal{P}'_2$, we get a derivation \mathcal{P}' of

$$\psi(\vec{a}_1 ++ \vec{a}'_1, \dots, \vec{a}_k ++ \vec{a}'_k) \Downarrow^{\vec{c}_1 + \vec{c}_2} \vec{a} ++ \vec{a}'$$

Since $\sigma_1 \overset{S}{\sim} \sigma_2$, with (3.1), (3.2) and (3.3), by Definition 3.2 we have

$$\forall i \in \{1, \dots, k\}. \sigma_1 \overset{S}{\bowtie} \sigma_2(s_i) = \sigma_1(s_i) ++ \sigma_2(s_i) = \vec{a}_i ++ \vec{a}'_i \quad (3.4)$$

Also, it is easy to prove that $\sigma_1[s_l \mapsto \vec{a}] \overset{S}{\bowtie} \sigma_2[s_l \mapsto \vec{a}'] \overset{S}{\sim} \sigma_1 \overset{S}{\bowtie} \sigma_2[s_l \mapsto \vec{a} ++ \vec{a}']$ and

$$\sigma_1[s_l \mapsto \vec{a}] \overset{S}{\bowtie} \sigma_2[s_l \mapsto \vec{a}'] = \sigma_1 \overset{S}{\bowtie} \sigma_2[s_l \mapsto \vec{a} ++ \vec{a}'] \quad (3.5)$$

Using the rule P-XDUCER with (3.4), we can build \mathcal{P}'' as follows

$$\frac{\begin{array}{c} \mathcal{P}' \\ \psi(\vec{a}_1 ++ \vec{a}'_1, \dots, \vec{a}_k ++ \vec{a}'_k) \Downarrow^{\vec{c}_1 + \vec{c}_2} \vec{a} ++ \vec{a}' \end{array}}{\langle s_l := \psi(s_1, \dots, s_k), \sigma_1 \overset{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2} \sigma_1 \overset{S}{\bowtie} \sigma_2[s_l \mapsto \vec{a} ++ \vec{a}']}$$

Replacing $\sigma_1 \overset{S}{\bowtie} \sigma_2[s_l \mapsto \vec{a}++\vec{a}']$ in \mathcal{P}'' with the left-hand side of (3.5) gives us \mathcal{P}

$$\frac{\mathcal{P}' \quad \psi(\vec{a}_1++\vec{a}'_1, \dots, \vec{a}_k++\vec{a}'_k) \Downarrow^{\vec{c}_1++\vec{c}_2} \vec{a}++\vec{a}'}{\left\langle s_l := \psi(s_1, \dots, s_k), \sigma_1 \overset{S}{\bowtie} \sigma_2 \right\rangle \Downarrow^{\vec{c}_1++\vec{c}_2} \sigma_1[s_l \mapsto \vec{a}] \overset{S}{\bowtie} \sigma_2[s_l \mapsto \vec{a}']}$$

as required.

- Case $p = S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0)$ where

$$\text{fv}(p_0) \subseteq S_{in} \tag{3.6}$$

$$S_{out} \subseteq \text{dv}(p_0) \tag{3.7}$$

From the assumption (iv), we have

$$\begin{aligned} \text{fv}(S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0)) \cap S &= \emptyset \\ (\{s_c\} \cup S_{in}) \cap S &= \emptyset \end{aligned} \quad (\text{by definition of } \text{fv}())$$

thus

$$\{s_c\} \cap S = \emptyset \tag{3.8}$$

$$S_{in} \cap S = \emptyset \tag{3.9}$$

Since (3.6) with (3.9), we also have

$$\text{fv}(p_0) \cap S = \emptyset \tag{3.10}$$

Assume $S_{out} = [s_1, \dots, s_j]$.

There are four possibilities:

- Subcase both \mathcal{P}_1 and \mathcal{P}_2 use P-WC-EMP.

So \mathcal{P}_1 must look like

$$\overline{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_1 \rangle \Downarrow^{\vec{c}_1} \sigma_1[s_1 \mapsto \langle \rangle, \dots, s_j \mapsto \langle \rangle]}$$

and we have

$$\forall s \in \{s_c\} \cup S_{in}. \sigma_1(s) = \langle \rangle \tag{3.11}$$

thus

$$\sigma_1(s_c) = \langle \rangle \tag{3.12}$$

$$\forall s \in \text{fv}(p_0). \sigma_1(s) = \langle \rangle \tag{3.13}$$

Similarly, \mathcal{P}_2 must look like

$$\overline{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_2 \rangle \Downarrow^{\vec{c}_2} \sigma_2[s_1 \mapsto \langle \rangle, \dots, s_j \mapsto \langle \rangle]}$$

and we have

$$\forall s \in \{s_c\} \cup S_{in}. \sigma_2(s) = \langle \rangle \tag{3.14}$$

thus

$$\sigma_2(s_c) = \langle \rangle \tag{3.15}$$

$$\forall s \in \text{fv}(p_0). \sigma_2(s) = \langle \rangle \quad (3.16)$$

So $\sigma'_1 = \sigma_1[(s_i \mapsto \langle \rangle)_{i=1}^j]$, $\sigma'_2 = \sigma_2[(s_i \mapsto \langle \rangle)_{i=1}^j]$.

Since $\sigma_1 \stackrel{S}{\sim} \sigma_2$, by Definition 3.2 with (3.8), (3.9), and (3.11), (3.14), we have

$$\forall s \in \{s_c\} \cup S_{in}. \sigma_1 \stackrel{S}{\bowtie} \sigma_2(s) = \sigma_1(s) ++ \sigma_2(s) = \langle \rangle \quad (3.17)$$

Also, it is easy to show that $\sigma_1[(s_i \mapsto \langle \rangle)_{i=1}^j] \stackrel{S}{\sim} \sigma_2[(s_i \mapsto \langle \rangle)_{i=1}^j]$ and

$$\sigma_1[(s_i \mapsto \langle \rangle)_{i=1}^j] \stackrel{S}{\bowtie} \sigma_2[(s_i \mapsto \langle \rangle)_{i=1}^j] = \sigma_1 \stackrel{S}{\bowtie} \sigma_2[(s_i \mapsto \langle \rangle)_{i=1}^j] \quad (3.18)$$

Using P-WC-EMP with (3.17), we build \mathcal{P}' as follows

$$\frac{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 ++ \vec{c}_2} (\sigma_1 \stackrel{S}{\bowtie} \sigma_2)[(s_i \mapsto \langle \rangle)_{i=1}^j]}{\quad}$$

Then replcaing $\sigma_1 \stackrel{S}{\bowtie} \sigma_2[(s_i \mapsto \langle \rangle)_{i=1}^j]$ in \mathcal{P}' with the left-hand side of (3.18) gives us \mathcal{P} of

$$\frac{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 ++ \vec{c}_2} \sigma_1[(s_i \mapsto \langle \rangle)_{i=1}^j] \stackrel{S}{\bowtie} \sigma_2[(s_i \mapsto \langle \rangle)_{i=1}^j]}{\text{as required.}}$$

- Subcase \mathcal{P}_1 uses P-WC-NOMEMP, \mathcal{P}_2 uses P-WC-EMP.
 \mathcal{P}_1 must look like

$$\frac{\begin{array}{c} \mathcal{P}'_1 \\ \langle p_0, \sigma_1 \rangle \Downarrow^{\vec{c}_1} \sigma''_1 \end{array}}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_1 \rangle \Downarrow^{\vec{c}_1} \sigma_1[(s_i \mapsto \sigma''_1(s_i))_{i=1}^j]}$$

and we have

$$\sigma_1(s_c) = \vec{c}_1 = \langle () | \dots \rangle \quad (3.19)$$

\mathcal{P}_2 must look like

$$\frac{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_2 \rangle \Downarrow^{\vec{c}_2} \sigma_2[(s_i \mapsto \langle \rangle)_{i=1}^j]}{\quad}$$

and we have $\forall s \in \{s_c\} \cup S_{in}. \sigma_2(s) = \langle \rangle$ thus

$$\sigma_2(s_c) = \langle \rangle \quad (3.20)$$

$$\forall s \in \text{fv}(p_0). \sigma_2(s) = \langle \rangle \quad (3.21)$$

So $\sigma'_1 = \sigma_1[(s_i \mapsto \sigma''_1(s_i))_{i=1}^j]$, $\sigma'_2 = \sigma_2[(s_i \mapsto \langle \rangle)_{i=1}^j]$.

By Lemma 3.5 on $\sigma_1 \stackrel{S}{\sim} \sigma_2$ with \mathcal{P}'_1 , (3.21), (3.10), we obtain a derivation \mathcal{P}_0 of

$$\langle p_0, \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1} \sigma_0$$

for some σ_0 , and

$$\forall s \in \text{dv}(p_0). \sigma_0(s) = \sigma''_1(s),$$

thus, with (3.7), we have

$$(\sigma_0(s_i) = \sigma''_1(s_i))_{i=1}^j \quad (3.22)$$

Since $\sigma_1 \stackrel{S}{\sim} \sigma_2$, by Definition 3.2 with (3.19), (3.20), we have

$$\sigma_1 \stackrel{S}{\bowtie} \sigma_2(s_c) = \sigma_1(s_c) ++ \sigma_2(s_c) = \vec{c}_1 = \langle () | \dots \rangle \quad (3.23)$$

and it is also easy to prove $\sigma_1[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j] \stackrel{S}{\sim} \sigma_2[(s_i \mapsto \langle \rangle)_{i=1}^j]$ and

$$\sigma_1[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j] \stackrel{S}{\bowtie} \sigma_2[(s_i \mapsto \langle \rangle)_{i=1}^j] = \sigma_1 \stackrel{S}{\bowtie} \sigma_2[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j] \quad (3.24)$$

Using the rule P-WC-NONEMP with (3.23) we can build a derivation \mathcal{P}' as follows

$$\frac{\begin{array}{c} \mathcal{P}_0 \\ \langle p_0, \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1} \sigma_0 \end{array}}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2} (\sigma_1 \stackrel{S}{\bowtie} \sigma_2)[(s_i \mapsto \sigma_0(s_i))_{i=1}^j]}$$

With (3.22), we replace $\sigma_0(s_i)$ with $\sigma_1''(s_i)$ for $\forall i \in \{1, \dots, j\}$ in \mathcal{P}' , obtaining

$$\frac{\begin{array}{c} \mathcal{P}_0 \\ \langle p_0, \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1} \sigma_0 \end{array}}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2} (\sigma_1 \stackrel{S}{\bowtie} \sigma_2)[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j]}$$

Then replacing $(\sigma_1 \stackrel{S}{\bowtie} \sigma_2)[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j]$ in \mathcal{P}' with the left-hand side of (3.24), we get \mathcal{P} of

$$\frac{\begin{array}{c} \mathcal{P}_0 \\ \langle p_0, \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1} \sigma_0 \end{array}}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_1 \stackrel{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2} \sigma_1[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j] \stackrel{S}{\bowtie} \sigma_2[(s_i \mapsto \langle \rangle)_{i=1}^j]} \text{ as required.}$$

- Subcase \mathcal{P}_1 uses P-WC-EMP and \mathcal{P}_2 uses P-WC-NONEMP.
This subcase is symmetric to the second one, so the proof is analogous except that this subcase uses Lemma 3.6 rather than Lemma 3.5.

- Subcase both \mathcal{P}_1 and \mathcal{P}_2 use P-WC-NONEMP.
 \mathcal{P}_1 must look like

$$\frac{\begin{array}{c} \mathcal{P}'_1 \\ \langle p_0, \sigma_1 \rangle \Downarrow^{\vec{c}_1} \sigma_1'' \end{array}}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_1 \rangle \Downarrow^{\vec{c}_1} \sigma_1[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j]}$$

and

$$\sigma_1(s_c) = \vec{c}_1 = \langle () | \dots \rangle \quad (3.25)$$

Similarly, \mathcal{P}_2 must look like

$$\frac{\begin{array}{c} \mathcal{P}'_2 \\ \langle p_0, \sigma_2 \rangle \Downarrow^{\vec{c}_2} \sigma_2'' \end{array}}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_2 \rangle \Downarrow^{\vec{c}_2} \sigma_2[(s_i \mapsto \sigma_2''(s_i))_{i=1}^j]}$$

and

$$\sigma_2(s_c) = \vec{c}_2' = \langle () | \dots \rangle \quad (3.26)$$

So $\sigma_1' = \sigma_1[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j]$, $\sigma_2' = \sigma_2[(s_i \mapsto \sigma_2''(s_i))_{i=1}^j]$.

By IH on \mathcal{P}_1' , \mathcal{P}_2' with (3.10), we get a derivation \mathcal{P}_0 of

$$\langle p_0, \sigma_1 \overset{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2'} \sigma_1'' \overset{S}{\bowtie} \sigma_2''$$

Since $\forall i \in \{1, \dots, j\}. s_i \notin S$, then by Definition 3.2, we know

$$\sigma_1'' \overset{S}{\bowtie} \sigma_2''(s_i) = \sigma_1''(s_i) ++ \sigma_2''(s_i) \quad (3.27)$$

Also, it is easy to show that $\sigma_1[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j] \overset{S}{\sim} \sigma_2[(s_i \mapsto \sigma_2''(s_i))_{i=1}^j]$, and

$$\begin{aligned} & \sigma_1[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j] \overset{S}{\bowtie} \sigma_2[(s_i \mapsto \sigma_2''(s_i))_{i=1}^j] \\ &= \sigma_1 \overset{S}{\bowtie} \sigma_2[(s_i \mapsto \sigma_1''(s_i) ++ \sigma_2''(s_i))_{i=1}^j] \end{aligned} \quad (3.28)$$

thus, with (3.27),

$$\begin{aligned} & \sigma_1[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j] \overset{S}{\bowtie} \sigma_2[(s_i \mapsto \sigma_2''(s_i))_{i=1}^j] \\ &= \sigma_1 \overset{S}{\bowtie} \sigma_2[(s_i \mapsto \sigma_1'' \overset{S}{\bowtie} \sigma_2''(s_i))_{i=1}^j] \end{aligned} \quad (3.29)$$

Since (3.8) with (3.25), (3.26), we know $\sigma_1 \overset{S}{\bowtie} \sigma_2(s_c) = \vec{c}_1' ++ \vec{c}_2' = \langle () | \dots \rangle$, therefore we can use the rule P-WC-NONEMP to build a derivation \mathcal{P}' as follows:

$$\frac{\mathcal{P}_0 \quad \langle p_0, \sigma_1 \overset{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2'} \sigma_1'' \overset{S}{\bowtie} \sigma_2''}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_1 \overset{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2'} \sigma_1 \overset{S}{\bowtie} \sigma_2[(s_i \mapsto \sigma_1'' \overset{S}{\bowtie} \sigma_2''(s_i))_{i=1}^j]} .$$

Then replacing $\sigma_1 \overset{S}{\bowtie} \sigma_2[(s_i \mapsto \sigma_1'' \overset{S}{\bowtie} \sigma_2''(s_i))_{i=1}^j]$ with the left-hand side of (3.29), we obtain \mathcal{P} of

$$\frac{\mathcal{P}_0 \quad \langle p_0, \sigma_1 \overset{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2'} \sigma_1'' \overset{S}{\bowtie} \sigma_2''}{\langle S_{out} := \text{WithCtrl}(s_c, S_{in}, p_0), \sigma_1 \overset{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2'} \sigma_1[(s_i \mapsto \sigma_1''(s_i))_{i=1}^j] \overset{S}{\bowtie} \sigma_2[(s_i \mapsto \sigma_2''(s_i))_{i=1}^j]}$$

as required.

- Case $p = p_1; p_2$

We must have

$$\mathcal{P}_1 = \frac{\mathcal{P}_1' \quad \mathcal{P}_1''}{\langle p_1, \sigma_1 \rangle \Downarrow^{\vec{c}_1'} \sigma_1'' \quad \langle p_2, \sigma_1' \rangle \Downarrow^{\vec{c}_1'} \sigma_1' \quad \langle p_1; p_2, \sigma_1 \rangle \Downarrow^{\vec{c}_1'} \sigma_1'}$$

and

$$\mathcal{P}_2 = \frac{\mathcal{P}_2' \quad \mathcal{P}_2''}{\langle p_1, \sigma_2 \rangle \Downarrow^{\vec{c}_2'} \sigma_2'' \quad \langle p_2, \sigma_2' \rangle \Downarrow^{\vec{c}_2'} \sigma_2' \quad \langle p_1; p_2, \sigma_2 \rangle \Downarrow^{\vec{c}_1'} \sigma_2'}$$

Since $\text{fv}(p_1; p_2) \cap S = \emptyset$, we have $(\text{fv}(p_1) \cup \text{fv}(p_2) - \text{dv}(p_1)) \cap S = \emptyset$, thus

$$\text{fv}(p_1) \cap S = \emptyset \quad (3.30)$$

$$\text{fv}(p_2) \cap S = \emptyset \quad (3.31)$$

By IH on $\mathcal{P}'_1, \mathcal{P}'_2$, (3.30), we get \mathcal{P}' of

$$\langle p_1, \sigma_1 \overset{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2} \sigma''_1 \overset{S}{\bowtie} \sigma''_2$$

By Definition 3.2, we must have $\sigma''_1 \overset{S}{\sim} \sigma''_2$.

Then by IH on $\mathcal{P}''_1, \mathcal{P}''_2$ with (3.31), we get \mathcal{P}'' of

$$\langle p_2, \sigma''_1 \overset{S}{\bowtie} \sigma''_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2} \sigma'_1 \overset{S}{\bowtie} \sigma'_2$$

Therefore, we use the rule P-SEQ to build \mathcal{P} as follows:

$$\frac{\begin{array}{c} \mathcal{P}' \\ \langle p_1, \sigma_1 \overset{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2} \sigma''_1 \overset{S}{\bowtie} \sigma''_2 \end{array} \quad \begin{array}{c} \mathcal{P}'' \\ \langle p_2, \sigma''_1 \overset{S}{\bowtie} \sigma''_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2} \sigma'_1 \overset{S}{\bowtie} \sigma'_2 \end{array}}{\langle p_1; p_2, \sigma_1 \overset{S}{\bowtie} \sigma_2 \rangle \Downarrow^{\vec{c}_1 + \vec{c}_2} \sigma'_1 \overset{S}{\bowtie} \sigma'_2}$$

and we are done. ■

Let $\sigma_1 \overset{\leq s}{=} \sigma_2$ denote $\forall s' < s. \sigma_1(s') = \sigma_2(s')$.

Lemma 3.8. *If $\sigma_1 \overset{S_1}{\sim} \sigma'_1$, $\sigma_2 \overset{S_2}{\sim} \sigma'_2$, $\sigma_1 \overset{\leq s}{=} \sigma_2$, and $\sigma'_1 \overset{\leq s}{=} \sigma'_2$ then $\sigma_1 \overset{S_1}{\bowtie} \sigma'_1 \overset{\leq s}{=} \sigma_2 \overset{S_2}{\bowtie} \sigma'_2$.*

3.4.2 Correctness proof

Lemma 3.9. *If*

- (i) $\phi : (\tau_1, \dots, \tau_k) \rightarrow \tau$ (by some derivation \mathcal{T})
- (ii) $\phi(v_1, \dots, v_k) \downarrow v$ (by \mathcal{E})
- (iii) $\phi(st_1, \dots, st_k) \Rightarrow_{s_1}^{s_0} (p, st)$ (by \mathcal{C})
- (iv) $(v_i \triangleright_{\tau_i} \sigma(st_i))_{i=1}^k$
- (v) $\bigcup_{i=1}^k \text{sids}(st_i) \leq s_0$

then

- (vi) $\langle p, \sigma \rangle \Downarrow^{(\emptyset)} \sigma'$ (by \mathcal{P})
- (vii) $v \triangleright_{\tau} \sigma'(st)$ (by \mathcal{R})
- (viii) $\sigma' \overset{\leq s_0}{=} \sigma$
- (ix) $s_0 \leq s_1$
- (x) $\text{sids}(st) \leq s_1$

Proof. By induction on the syntax of ϕ .

- Case $\phi = \mathbf{const}_n$

There is only one possibility for each of \mathcal{T} , \mathcal{E} and \mathcal{C} :

$$\begin{aligned}\mathcal{T} &= \overline{\mathbf{const}_n : () \rightarrow \mathbf{int}} \\ \mathcal{E} &= \overline{\vdash \mathbf{const}_n() \downarrow n} \\ \mathcal{C} &= \overline{\mathbf{const}_n() \Rightarrow_{s_0+1}^{s_0} (s_0 := \mathbf{Const}_n(), s_0)}\end{aligned}$$

So $k = 0, \tau = \mathbf{int}, v = n, p = s_0 := \mathbf{Const}_n(), s_1 = s_0 + 1$, and $st = s_0$

By P-XDUCER, P-X-LOOP, P-X-TERMI and P-CONST, we can construct \mathcal{P} as follows:

$$\mathcal{P} = \frac{\frac{\overline{\mathbf{Const}_n() \downarrow \langle n \rangle} \quad \overline{\mathbf{Const}_n() \Downarrow^{\langle \rangle} \langle \rangle}}{\mathbf{Const}_n() \Downarrow^{\langle \rangle} \langle n \rangle}}{\langle s_0 := \mathbf{Const}_n(), \sigma \rangle \Downarrow^{\langle \rangle} \sigma[s_0 \mapsto \langle n \rangle]}$$

So $\sigma' = \sigma[s_0 \mapsto \langle n \rangle]$.

Then we take $\mathcal{R} = \overline{n \triangleright_{\mathbf{int}} \sigma'(s_0)}$.

Also clearly, $\sigma' \stackrel{\leq s_0}{=} \sigma$, $s_0 \leq s_0 + 1$, $\mathbf{sids}(s_0) \leq s_0 + 1$, and we are done.

- Case $\phi = \mathbf{plus}$

We must have

$$\begin{aligned}\mathcal{T} &= \overline{\mathbf{plus} : (\mathbf{int}, \mathbf{int}) \rightarrow \mathbf{int}} \\ \mathcal{E} &= \overline{\vdash \mathbf{plus}(n_1, n_2) \downarrow n_3}\end{aligned}$$

where $n_3 = n_2 + n_1$, and

$$\mathcal{C} = \overline{\mathbf{plus}(s_1, s_2) \Rightarrow_{s_0+1}^{s_0} (s_0 := \mathbf{MapTwo}_+(s_1, s_2), s_0)}$$

So $k = 2, \tau_1 = \tau_2 = \tau = \mathbf{int}, v_1 = n_1, v_2 = n_2, v = n_3, st_1 = s_1, st_2 = s_2, st = s_0, s_1 = s_0 + 1$ and $p = s_0 := \mathbf{MapTwo}_+(s_1, s_2)$.

Assumption (iv) gives us $\overline{n_1 \triangleright_{\mathbf{int}} \sigma(s_1)}$ and $\overline{n_2 \triangleright_{\mathbf{int}} \sigma(s_2)}$, which implies $\sigma(s_1) = \langle n_1 \rangle$ and $\sigma(s_2) = \langle n_2 \rangle$ respectively.

For (v) we have $s_1 < s_0$ and $s_2 < s_0$.

Then using P-XDUCER with $\sigma(s_1) = \langle n_1 \rangle$ and $\sigma(s_2) = \langle n_2 \rangle$, and using P-X-LOOP and P-X-TERMI, we can build \mathcal{P} as follows:

$$\frac{\frac{\overline{\mathbf{MapTwo}_+(\langle n_1 \rangle, \langle n_2 \rangle) \downarrow \langle n_3 \rangle} \quad \overline{\mathbf{MapTwo}_+(\langle \rangle, \langle \rangle) \Downarrow^{\langle \rangle} \langle \rangle}}{\mathbf{MapTwo}_+(\langle n_1 \rangle, \langle n_2 \rangle) \Downarrow^{\langle \rangle} \langle n_3 \rangle}}{\langle s_0 := \mathbf{MapTwo}_+(s_1, s_2), \sigma \rangle \Downarrow^{\langle \rangle} \sigma[s_0 \mapsto \langle n_3 \rangle]}$$

Therefore, $\sigma' = \sigma[s_0 \mapsto \langle n_3 \rangle]$.

Now we can take $\mathcal{R} = \overline{n_3 \triangleright_{\mathbf{int}} \sigma'(s_0)}$, and it is clear that $\sigma' \stackrel{\leq s_0}{=} \sigma$, $s_0 \leq s_0 + 1$ and $\mathbf{sids}(s_0) \leq s_0 + 1$ as required.

- Case $\phi = \mathbf{iota}$

■

Theorem 3.10. *If*

- (i) $\Gamma \vdash e : \tau$ (by some derivation \mathcal{T})
- (ii) $\rho \vdash e \downarrow v$ (by some \mathcal{E})
- (iii) $\delta \vdash e \Rightarrow_{s_1}^{s_0} (p, st)$ (by some \mathcal{C})
- (iv) $\forall x \in \text{dom}(\Gamma). \vdash \rho(x) : \Gamma(x)$
- (v) $\forall x \in \text{dom}(\Gamma). \overline{\delta(x)} \leq s_0$
- (vi) $\forall x \in \text{dom}(\Gamma). \rho(x) \triangleright_{\Gamma(x)} \sigma(\delta(x))$

then

- (vii) $\langle p, \sigma \rangle \Downarrow^{(\langle \rangle)} \sigma'$ (by some derivation \mathcal{P})
- (viii) $v \triangleright_{\tau} \sigma'(st)$ (by some \mathcal{R})
- (ix) $\sigma' \stackrel{\leq s_0}{=} \sigma$
- (x) $s_0 \leq s_1$
- (xi) $\overline{st} \leq s_1$

Proof. By induction on the syntax of e .

- Case $e = \{e_1 : x \text{ in } y \text{ using } x_1, \dots, x_j\}$.

We must have:

(i)

$$\mathcal{T} = \frac{\mathcal{T}_1 \quad [x \mapsto \tau_1, x_1 \mapsto \mathbf{int}, \dots, x_j \mapsto \mathbf{int}] \vdash e_1 : \tau_2}{\Gamma \vdash \{e_1 : x \text{ in } y \text{ using } x_1, \dots, x_j\} : \{\tau_2\}}$$

with

$$\begin{aligned} \Gamma(y) &= \{\tau_1\} \\ (\Gamma(x_i) &= \mathbf{int})_{i=1}^j \end{aligned}$$

(ii)

$$\mathcal{E} = \frac{\left(\frac{\mathcal{E}_i}{[x \mapsto v_i, x_1 \mapsto n_1, \dots, x_j \mapsto n_j] \vdash e_1 \downarrow v'_i} \right)_{i=1}^k}{\rho \vdash \{e_1 : x \text{ in } y \text{ using } x_1, \dots, x_j\} \downarrow \{v'_1, \dots, v'_k\}}$$

with

$$\begin{aligned} \rho(y) &= \{v_1, \dots, v_k\} \\ (\rho(x_i) &= n_i)_{i=1}^j \end{aligned}$$

(iii)

$$\mathcal{C} = \frac{\mathcal{C}_1 \quad [x \mapsto st_1, x_1 \mapsto s_1, \dots, x_j \mapsto s_j] \vdash e_1 \Rightarrow_{s_1}^{s_0+1+j} (p_1, st_2)}{\delta \vdash \{e_1 : x \text{ in } y \text{ using } x_1, \dots, x_j\} \Rightarrow_{s_1}^{s_0} (p, (st_2, s_b))}$$

with

$$\begin{aligned} \delta(y) &= (st_1, s_b) \\ (\delta(x_i) &= s'_i)_{i=1}^j \\ p &= s_0 := \mathbf{Usum}(s_b); \\ (s_i &:= \mathbf{Distr}(s_b, s'_i);)_{i=1}^j \\ S_{out} &:= \mathbf{WithCtrl}(s_0, S_{in}, p_1) \\ S_{in} &= \mathbf{fv}(p_1) \\ S_{out} &= \overline{st_2} \cap \mathbf{dv}(p_1) \\ s_{i+1} &= s_i + 1, \forall i \in \{0, \dots, j-1\} \end{aligned} \tag{3.32}$$

So $\tau = \{\tau_2\}$, $v = \{v'_1, \dots, v'_k\}$, $st = (st_2, s_b)$.

(iv) $\vdash \rho(y) : \Gamma(y)$ gives us $\vdash \{v_1, \dots, v_k\} : \{\tau_1\}$, which must have the derivation:

$$\frac{(\vdash v_i : \tau_1)_{i=1}^k}{\vdash \{v_1, \dots, v_k\} : \{\tau_1\}} \tag{3.33}$$

and clearly for $\forall i \in \{1, \dots, j\}$, $\vdash \rho(x_i) : \Gamma(x_i)$, that is

$$(\vdash n_i : \mathbf{int})_{i=1}^j \tag{3.34}$$

(v) $\overline{\delta(y)} \leq s_0$ gives us

$$\overline{\delta(y)} = \overline{(st_1, s_b)} = \overline{st_1} ++ [s_b] \leq s_0 \tag{3.35}$$

and $(\overline{\delta(x_i)})_{i=1}^j \leq s_0$ implies $[s'_1, \dots, s'_j] \leq s_0$.

(vi) Since $\rho(y) \triangleright_{\Gamma(y)} \sigma(\delta(y)) = \{v_1, \dots, v_k\} \triangleright_{\{\tau_1\}} \sigma((st_1, s_b))$, which must have the derivation:

$$\frac{\left(\begin{array}{c} \mathcal{R}_i \\ v_i \triangleright_{\tau_1} w_i \end{array} \right)_{i=1}^k}{\{v_1, \dots, v_k\} \triangleright_{\{\tau_1\}} (w, \langle \mathbf{F}_1, \dots, \mathbf{F}_k, \mathbf{T} \rangle)} \tag{3.36}$$

where $w = w_1 ++ \dots ++ w_k$, therefore we have

$$\sigma(st_1) = w \tag{3.37}$$

$$\sigma(s_b) = \langle \mathbf{F}_1, \dots, \mathbf{F}_k, \mathbf{T} \rangle. \tag{3.38}$$

Also, for $\forall i \in \{1, \dots, j\}$, $\rho(x_i) \triangleright_{\Gamma(x_i)} \sigma(\delta(x_i)) = n_i \triangleright_{\mathbf{int}} \sigma(s'_i)$, which implies

$$(\sigma(s'_i) = \langle n_i \rangle)_{i=1}^j \tag{3.39}$$

First we shall show:

- (vii) $\left\langle \begin{array}{l} s_0 := \text{Usum}(s_b); \\ (s_i := \text{Distr}(s_b, s'_i);)_{i=1}^j, \sigma \end{array} \right\rangle \Downarrow^{(\langle \rangle)} \sigma' \text{ by some } \mathcal{P}$
- (viii) $\{v'_1, \dots, v'_k\} \triangleright_{\{\tau_2\}} \sigma'((st_2, s_b)) \text{ by some } \mathcal{R}$

Using P-SEQ $(j+1)$ times, we can build \mathcal{P} as follows:

$$\begin{array}{c}
\mathcal{P}_{j+1} \\
\frac{\mathcal{P}_j \quad \langle S_{out} := \text{WithCtrl}(s_0, S_{in}, p_1), \sigma_j \rangle \Downarrow^{(\langle \rangle)} \sigma'}{\vdots} \\
\frac{\mathcal{P}_1 \quad \langle s_1 := \text{Distr}(s_b, s'_1), \sigma_0 \rangle \Downarrow^{(\langle \rangle)} \sigma_1 \quad \left\langle \begin{array}{l} (s_i := \text{Distr}(s_b, s'_i);)_{i=2}^j; \\ S_{out} := \text{WithCtrl}(s_0, S_{in}, p_1) \end{array}, \sigma_1 \right\rangle \Downarrow^{(\langle \rangle)} \sigma'}{\left\langle \begin{array}{l} (s_i := \text{Distr}(s_b, s'_i);)_{i=1}^j; \\ S_{out} := \text{WithCtrl}(s_0, S_{in}, p_1) \end{array}, \sigma_0 \right\rangle \Downarrow^{(\langle \rangle)} \sigma'} \\
\frac{\mathcal{P}_0 \quad \langle s_0 := \text{Usum}(s_b), \sigma \rangle \Downarrow^{(\langle \rangle)} \sigma_0}{\left\langle \begin{array}{l} s_0 := \text{Usum}(s_b); \\ (s_i := \text{Distr}(s_b, s'_i);)_{i=1}^j, \sigma \end{array} \right\rangle \Downarrow^{(\langle \rangle)} \sigma'}
\end{array}$$

in which for $\forall i \in \{1, \dots, j\}$, \mathcal{P}_i is a derivation of $\langle s_i := \text{Distr}(s_b, s'_i), \sigma_{i-1} \rangle \Downarrow^{(\langle \rangle)} \sigma_i$.
For \mathcal{P}_0 , with $\sigma(s_b) = \langle F_1, \dots, F_k, T \rangle$, we can build it as follows:

$$\begin{array}{c}
\text{by P-USUMT} \quad \overline{\text{Usum}(\langle T \rangle) \downarrow \langle \rangle} \\
\vdots \\
\text{by P-USUMF} \quad \frac{\text{Usum}(\langle F_2, \dots, F_k, T \rangle) \downarrow \langle ()_2, \dots, ()_k \rangle}{\text{Usum}(\langle F_1, \dots, F_k, T \rangle) \downarrow \langle ()_1, \dots, ()_k \rangle} \quad \text{by P-X-TERMI} \quad \frac{}{\text{Usum}(\langle \rangle) \Downarrow^{\langle \rangle} \langle \rangle} \\
\text{by P-X-LOOP} \quad \frac{}{\text{Usum}(\langle F_1, \dots, F_k, T \rangle) \downarrow \langle ()_1, \dots, ()_k \rangle} \\
\text{by P-XDUCER} \quad \frac{\text{Usum}(\langle F_1, \dots, F_k, T \rangle) \downarrow \langle ()_1, \dots, ()_k \rangle}{\langle s_0 := \text{Usum}(s_b), \sigma \rangle \Downarrow^{(\langle \rangle)} \sigma[s_0 \mapsto \langle ()_1, \dots, ()_k \rangle]}
\end{array}$$

So $\sigma_0 = \sigma[s_0 \mapsto \langle ()_1, \dots, ()_k \rangle]$.

Similarly, with $\sigma(s_b) = \langle F_1, \dots, F_k, T \rangle$ and $(\sigma(s'_i) = \langle n_i \rangle)_{i=1}^j$ from (3.39), we can build each \mathcal{P}_i for $\forall i \in \{1, \dots, j\}$ as follows:

$$\begin{array}{c}
\text{by P-DISTR T} \quad \overline{\text{Distr}(\langle T \rangle, \langle n_i \rangle) \downarrow \langle \rangle} \\
\vdots \\
\text{by P-DISTR F} \quad \frac{\text{Distr}(\langle F_2, \dots, F_k, T \rangle, \langle n_i \rangle) \downarrow \overbrace{\langle n_i, \dots, n_i \rangle}^{k-1}}{\text{Distr}(\langle F_1, \dots, F_k, T \rangle, \langle n_i \rangle) \downarrow \overbrace{\langle n_i, \dots, n_i \rangle}^k} \quad \text{by P-X-TERMI} \quad \frac{}{\text{Distr}(\langle \rangle, \langle \rangle) \Downarrow^{\langle \rangle} \langle \rangle} \\
\text{by P-X-LOOP} \quad \frac{}{\text{Distr}(\langle F_1, \dots, F_k, T \rangle, \langle n_i \rangle) \downarrow \overbrace{\langle n_i, \dots, n_i \rangle}^k} \\
\text{by P-XDUCER} \quad \frac{\text{Distr}(\langle F_1, \dots, F_k, T \rangle, \langle n_i \rangle) \downarrow \overbrace{\langle n_i, \dots, n_i \rangle}^k}{\langle s_i := \text{Distr}(s_b, s'_i), \sigma_{i-1} \rangle \Downarrow^{(\langle \rangle)} \sigma_{i-1}[s_i \mapsto \overbrace{\langle n_i, \dots, n_i \rangle}^k]}
\end{array}$$

So $\forall i \in \{1, \dots, j\}. \sigma_i = \sigma_{i-1}[s_i \mapsto \overbrace{\langle n_i, \dots, n_i \rangle}^k]$.

Thus $\sigma_j = \sigma[s_0 \mapsto \langle ()_1, \dots, ()_k \rangle, s_1 \mapsto \langle \overbrace{n_1, \dots, n_1}^k \rangle, \dots, s_j \mapsto \langle \overbrace{n_j, \dots, n_j}^k \rangle]$.

Now it remains to build \mathcal{P}_{j+1} .

Since we have

$$\begin{aligned}\mathcal{T}_1 &= [x \mapsto \tau_1, x_1 \mapsto \mathbf{int}, \dots, x_j \mapsto \mathbf{int}] \vdash e_1 : \tau_2 \\ (\mathcal{E}_i &= [x \mapsto v_i, x_1 \mapsto n_1, \dots, x_j \mapsto n_j] \vdash e_1 \downarrow v'_i)_{i=1}^k \\ \mathcal{C}_1 &= [x \mapsto st_1, x_1 \mapsto s_1, \dots, x_j \mapsto s_j] \vdash e_1 \Rightarrow_{s_1}^{s_0+1+j} (p_1, st_2)\end{aligned}$$

Let $\Gamma_1 = [x \mapsto \tau_1, x_1 \mapsto \mathbf{int}, \dots, x_j \mapsto \mathbf{int}]$, $\rho_i = [x \mapsto v_i, x_1 \mapsto n_1, \dots, x_j \mapsto n_j]$ and $\delta_1 = [x \mapsto st_1, x_1 \mapsto s_1, \dots, x_j \mapsto s_j]$.

For $\forall i \in \{1, \dots, k\}$, we show the following three conditions, which allows us to use IH with \mathcal{T}_1 , \mathcal{E}_i , \mathcal{C}_1 later.

- (a) $\forall x \in \text{dom}(\Gamma_1). \vdash \rho_i(x) : \Gamma_1(x)$
- (b) $\forall x \in \text{dom}(\Gamma_1). \overline{\delta_1(x)} \leq s_0 + 1 + j$
- (c) $\forall x \in \text{dom}(\Gamma_1). \rho_i(x) \triangleright_{\Gamma_1(x)} \sigma_{ji}(\delta_1(x))$

TS: (a)

From (3.33) and (3.34) it is clear that

$$\forall x \in \text{dom}(\Gamma_1). \vdash \rho_i(x) : \Gamma_1(x)$$

TS: (b)

From (3.35), it is clear that $\overline{\delta_1(x)} = \overline{st_1} \leq s_0 + 1 + j$. From (3.32), for $\forall i \in \{1, \dots, j\}. \delta_1(x_i) = s_0 + i < s_0 + 1 + j$. Therefore,

$$\forall x \in \text{dom}(\Gamma_1). \overline{\delta_1(x)} \leq s_0 + 1 + j$$

TS: (c)

For $\forall i \in \{1, \dots, k\}$, we take $\sigma_{ji} \stackrel{S}{\sim} \sigma_j$ where $S = \text{dom}(\sigma_j) - (\overline{st_1} \cup \{s_1, \dots, s_j\})$, such that

$$\begin{aligned}\sigma_{ji}(st_1) &= w_i \\ \sigma_{ji}(s_1) &= \langle n_1 \rangle \\ &\vdots \\ \sigma_{ji}(s_j) &= \langle n_j \rangle\end{aligned}$$

It is easy to show that

$$\sigma_{j1} \stackrel{S}{\sim} \sigma_{j2} \stackrel{S}{\sim} \dots \stackrel{S}{\sim} \sigma_{jk} \stackrel{S}{\sim} \sigma_j \quad (3.40)$$

$$\sigma_{j1} \stackrel{S}{\bowtie} \sigma_{j2} \stackrel{S}{\bowtie} \dots \stackrel{S}{\bowtie} \sigma_{jk} = \sigma_j \quad (3.41)$$

Also note that

$$S_{in} = \mathbf{fv}(p_1) \subseteq (\overline{st_1} \cup \{s_1, \dots, s_j\}) \cap S = \emptyset \quad (3.42)$$

$$\overline{st_2} \subseteq (\overline{st_1} \cup \{s_1, \dots, s_j\} \cup \mathbf{dv}(p_1)) \cap S = \emptyset \quad (3.43)$$

From \mathcal{R}_i in (3.36) we have $\rho_i(x) \triangleright_{\Gamma_1(x)} \sigma_{ji}(\delta_1(x))$
and it is clear that

$$\begin{aligned} \rho_i(x_1) &\triangleright_{\Gamma_1(x_1)} \sigma_{ji}(\delta_1(x_1)) \\ &\vdots \\ \rho_i(x_j) &\triangleright_{\Gamma_1(x_j)} \sigma_{ji}(\delta_1(x_j)) \end{aligned}$$

Therefore, $\forall x \in \text{dom}(\Gamma_1). \rho_i(x) \triangleright_{\Gamma_1(x)} \sigma_{ji}(\delta_1(x))$.

Then by IH (k times) on \mathcal{T}_1 with $\mathcal{E}_i, \mathcal{C}_1$ we obtain the following result:

$$(\langle p_1, \sigma_{ji} \rangle \Downarrow^{\langle () \rangle} \sigma'_{ji})_{i=1}^k \quad (3.44)$$

$$(v'_i \triangleright_{\tau_2} \sigma'_{ji}(st_2))_{i=1}^k \quad (3.45)$$

$$(\sigma'_{ji} \xrightarrow{\leq s_0 + j + 1} \sigma_{ji})_{i=1}^k \quad (3.46)$$

$$s_0 + 1 + j \leq s_1 \quad (3.47)$$

$$\overline{st_2} \leq s_1 \quad (3.48)$$

Assume $S_{out} = \{s_{j+1}, \dots, s_{j+l}\}$. (Note here s_{j+i} is not necessary equal to $s_j + i$, but must be $\geq s_j$).

There are two possibilities for \mathcal{P}_{j+1} :

- Subcase $\sigma_j(s_0) = \langle \rangle$, i.e., $k = 0$.
Then $(\sigma_j(s_i) = \langle \rangle)_{i=1}^j$. Also, with (3.4) and (3.5), we have $\forall s \in \overline{st_1}. \sigma_j(s) = \langle \rangle$;
with (3.6), $\sigma_j(s_b) = \langle \mathbf{T} \rangle$. Thus

$$\forall s \in (\{s_0\} \cup S_{in}). \sigma_j(s) = \langle \rangle$$

Then we can use the rule P-WC-EMP to build \mathcal{P} as follows:

$$\overline{\langle S_{out} := \text{WithCtrl}(s_0, S_{in}, p_1), \sigma_j \rangle \Downarrow^{\langle () \rangle} \sigma_j[(s_{j+i} \mapsto \langle \rangle)_{i=1}^l]}$$

So in this subcase, we take

$$\sigma' = \sigma_j[(s_{j+i} \mapsto \langle \rangle)_{i=1}^l] = \sigma[s_0 \mapsto \langle \rangle, s_1 \mapsto \langle \rangle, \dots, s_{j+l} \mapsto \langle \rangle] \quad (3.49)$$

TS: (viii)

Since $k = 0$, then $v = \{\}$. Also, we have

$$\sigma'(s_b) = \sigma(s_b) = \langle \mathbf{T} \rangle$$

$$\forall s \in \overline{st_2}. \sigma'(s) = \langle \rangle$$

Therefore, $\sigma'((st_2, s_b)) = (\sigma'(st_2), \sigma'(s_b))$, with which we construct

$$\mathcal{R} = \overline{\{\} \triangleright_{\{\tau_2\}} ((\dots(\langle \rangle), \dots), \langle \mathbf{T} \rangle)}$$

as required.

- Subcase $\sigma_j(s_0) = \langle () | \dots \rangle$, i.e., $k > 0$.

Since we have (3.40), (3.44) and $\mathbf{fv}(()p_1) \cap S = \emptyset$ from (3.42), it is easy to show that using Lemma 3.7 at most $(k-1)$ times we can obtain

$$\langle p_1, (\boxtimes^S \sigma_{ji})_{i=1}^k \rangle \Downarrow^{\langle ()_1, \dots, ()_k \rangle} (\boxtimes^S \sigma'_{ji})_{i=1}^k \quad (3.50)$$

Let $\sigma'' = (\boxtimes^S \sigma'_{ji})_{i=1}^k$. Also with (3.41), we replace both the start and ending stores in (3.50), giving us a derivation \mathcal{P}'_{j+1} of

$$\langle p_1, \sigma_j \rangle \Downarrow^{\langle ()_1, \dots, ()_k \rangle} \sigma''$$

Now we build \mathcal{P}_{j+1} using the rule P-WC-NONEMP as follows:

$$\frac{\mathcal{P}'_{j+1} \quad \langle p_1, \sigma_j \rangle \Downarrow^{\langle ()_1, \dots, ()_k \rangle} \sigma''}{\langle S_{out} := \text{WithCtrl}(s_0, S_{in}, p_1), \sigma_j \rangle \Downarrow^{\langle () \rangle} \sigma_j[(s_{j+i} \mapsto \sigma''(s_{j+i}))_{i=1}^l]}$$

So in this subcase we take

$$\begin{aligned} \sigma' &= \sigma_j[(s_{j+i} \mapsto \sigma''(s_{j+i}))_{i=1}^l] \\ &= \sigma[s_0 \mapsto \langle ()_1, \dots, ()_k \rangle, s_1 \mapsto \langle \overbrace{n_1, \dots, n_1}^k \rangle, \dots, s_j \mapsto \langle \overbrace{n_j, \dots, n_j}^k \rangle, \\ &\quad s_{j+1} \mapsto \sigma''(s_{j+1}), \dots, s_{j+l} \mapsto \sigma''(s_{j+l})] \end{aligned} \quad (3.51)$$

TS : (viii)

Let $\sigma'(st_2) = w'$, and $\sigma'_{ji}(st_2) = w'_i$.

For $\forall i \in \{1, \dots, k\}$, by Definition 3.2 with (3.43), we get

$$w' = \sigma''(st_2) = w'_1 ++ \dots ++ w'_k$$

Also, $\sigma'(s_b) = \sigma(s_b) = \langle \mathbf{F}_1, \dots, \mathbf{F}_k, \mathbf{T} \rangle$, we now have $\sigma'((st_2, s_b)) = (\sigma'(st_2), \sigma'(s_b)) = (w', \langle \mathbf{F}_1, \dots, \mathbf{F}_k, \mathbf{T} \rangle)$. With (3.45), we can construct \mathcal{R} as follows:

$$\frac{(v'_i \triangleright_{\tau_2} w'_i)_{i=1}^k}{\{v'_1, \dots, v'_k\} \triangleright_{\{\tau_2\}} (w', \langle \mathbf{F}_1, \dots, \mathbf{F}_k, \mathbf{T} \rangle)}$$

as required.

(ix) TS: $\sigma' \xrightarrow{\leq s_0} \sigma$

Since $\forall s \in \{s_0\} \cup \{s_1, \dots, s_j\} \cup \{s_{j+1}, \dots, s_{j+l}\}. s \geq s_0$, with (3.49) and (3.51), it is clear $\forall s < s_0. \sigma'(s) = \sigma(s)$, i.e., $\sigma' \xrightarrow{\leq s_0} \sigma$ as required.

(x) TS: $s_0 \leq s_1$

From (3.47) we immediately get $s_0 \leq s_1 - 1 - j < s_1$.

(xi) TS: $\overline{(st_2, s_b)} \leq s_1$

From (3.35) we know $s_b < s_0$, thus $s_b < s_0 \leq s_1$. And we already have (3.48). Therefore,

$$\overline{(st_2, s_b)} = \overline{st_2} ++ [s_b] \leq s_1.$$

- Case $e = x$.

We must have

$$\begin{aligned}\mathcal{T} &= \overline{\Gamma \vdash x : \tau} (\Gamma(x) = \tau) \\ \mathcal{E} &= \overline{\rho \vdash x \downarrow v} (\rho(x) = v) \\ \mathcal{C} &= \overline{\delta \vdash x \Rightarrow_{s_0}^{s_0} (\epsilon, st)} (\delta(x) = st)\end{aligned}$$

So $p = \epsilon$.

Immediately we have $\mathcal{P} = \overline{\langle \epsilon, \sigma \rangle \Downarrow^{(\emptyset)} \sigma}$

So $\sigma' = \sigma$, which implies $\sigma' \stackrel{\leq s_0}{=} \sigma$.

From the assumptions (iv), (v) and (vi) we already have $v \triangleright_\tau \sigma(st)$, and $\overline{st} \triangleleft s_0$.

Finally it's clear that $s_0 \leq s_0$, and we are done.

- Case $e = \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2$.

We must have:

$$\begin{aligned}\mathcal{T} &= \frac{\mathcal{T}_1 \quad \mathcal{T}_2}{\Gamma \vdash e_1 : \tau_1 \quad \Gamma[x \mapsto \tau_1] \vdash e_2 : \tau} \Gamma \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 : \tau \\ \mathcal{E} &= \frac{\mathcal{E}_1 \quad \mathcal{E}_2}{\rho \vdash e_1 \downarrow v_1 \quad \rho[x \mapsto v_1] \vdash e_2 \downarrow v} \rho \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 \downarrow v \\ \mathcal{C} &= \frac{\mathcal{C}_1 \quad \mathcal{C}_2}{\delta \vdash e_1 \Rightarrow_{s'_0}^{s_0} (p_1, st_1) \quad \delta[x \mapsto st_1] \vdash e_2 \Rightarrow_{s'_1}^{s'_0} (p_2, st)} \delta \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 \Rightarrow_{s'_1}^{s_0} (p_1; p_2, st)\end{aligned}$$

So $p = p_1; p_2$.

By IH on \mathcal{T}_1 with $\mathcal{E}_1, \mathcal{C}_1$, we get

- (a) \mathcal{P}_1 of $\langle p_1, \sigma \rangle \Downarrow^{(\emptyset)} \sigma_1$
- (b) \mathcal{R}_1 of $v_1 \triangleright_{\tau_1} \sigma_1(st_1)$
- (c) $\sigma_1 \stackrel{\leq s_0}{=} \sigma$
- (d) $s_0 \leq s'_0$
- (e) $\overline{st_1} \triangleleft s'_0$

From (b), we know $\rho[x \mapsto v_1](x) : \Gamma[x \mapsto \tau_1](x)$ and $\rho[x \mapsto v_1](x) \triangleright_{\Gamma[x \mapsto \tau_1](x)} \sigma_1(\delta[x \mapsto st_1](x))$ must hold. From (e), we have $\overline{\delta[x \mapsto st_1](x)} \triangleleft s'_0$.

Then by IH on \mathcal{T}_2 with $\mathcal{E}_2, \mathcal{C}_2$, we get

- (f) \mathcal{P}_2 of $\langle p_2, \sigma_1 \rangle \Downarrow^{(\emptyset)} \sigma_2$
- (g) \mathcal{R}_2 of $\sigma_2 \triangleright_\tau \sigma_2(st)$
- (h) $\sigma_2 \stackrel{\leq s'_0}{=} \sigma_1$
- (i) $s'_0 \leq s_1$

(j) $\overline{st} \leq s_1$

So we can construct:

$$\mathcal{P} = \frac{\frac{\mathcal{P}_1}{\langle p_1, \sigma \rangle \Downarrow^{(\langle \rangle)} \sigma_1} \quad \frac{\mathcal{P}_2}{\langle p_2, \sigma_1 \rangle \Downarrow^{(\langle \rangle)} \sigma_2}}{\langle p_1; p_2, \sigma \rangle \Downarrow^{(\langle \rangle)} \sigma_2}$$

From (c), (d) and (h), it is clear that $\sigma_2 \xrightarrow{\leq s_0} \sigma_1 \xrightarrow{\leq s_0} \sigma$. From (d) and (i), $s_0 \leq s_1$. Take $\sigma' = \sigma_2$ (thus $\mathcal{R} = \mathcal{R}_2$) and we are done.

- Case $e = \phi(x_1, \dots, x_k)$
We must have

$$\begin{aligned} \mathcal{T} &= \frac{\mathcal{T}_1}{\Gamma \vdash \phi(x_1, \dots, x_k) : \tau} ((\Gamma(x_i) = \tau_i)_{i=1}^k) \\ \mathcal{E} &= \frac{\mathcal{E}_1}{\rho \vdash \phi(x_1, \dots, x_k) \Downarrow v} ((\rho(x_i) = v_i)_{i=1}^k) \\ \mathcal{C} &= \frac{\mathcal{C}_1}{\delta \vdash \phi(x_1, \dots, x_k) \Rightarrow_{s_1}^{s_0} (p, st)} ((\delta(x_i) = st_i)_{i=1}^k) \end{aligned}$$

From the assumptions (iv),(v) and (vi), for all $i \in \{1, \dots, k\}$:

- (iv) $\vdash \rho(x_i) : \Gamma(x_i)$, that is, $\vdash v_i : \tau_i$
- (v) $\overline{\delta(x_i)} \leq s_0$, that is, $\overline{st_i} \leq s_0$
- (vi) $\rho(x_i) \triangleright_{\Gamma(x_i)} \sigma(st_i)$, that is, $v_i \triangleright_{\tau_i} \sigma(st_i)$

So using Lemma 3.9 on $\mathcal{T}_1, \mathcal{E}_1, \mathcal{C}_1, (a), (b)$ and (c) gives us exactly what we shall show. ■

3.5 Scaling up

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