# SNESL formalization Level-1

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## 0 Level-1

Draft version 0.1.0:

• added using variables in comprehensions (grammar and rules updated, proof not yet)

# 1 Source Language

# 1.1 Source language syntax

SNESL Expressions:

$$e ::= x \mid \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 \mid \phi(x_1,...,x_k) \mid \{e : x \ \mathbf{in} \ y \ \mathbf{using} \ x_1,...,x_j\}$$
  
$$\phi = \mathbf{const}_n \mid \mathbf{iota} \mid \mathbf{plus}$$

SNESL values:

$$n \in \mathbf{Z}$$
$$v ::= n \mid \{v_1, ..., v_k\}$$

## 1.2 Type system

$$\tau ::= \mathbf{int} | \{\tau_1\}$$

Type environment  $\Gamma = [x_1 \mapsto \tau_1, ..., x_i \mapsto \tau_i].$ 

• Expression typing rules:

Judgment  $\Gamma \vdash e : \tau$ 

$$\frac{\Gamma \vdash x : \tau}{\Gamma \vdash x : \tau} (\Gamma(x) = \tau) \qquad \frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma[x \mapsto \tau_1] \vdash e_2 : \tau}{\Gamma \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 : \tau}$$

$$\frac{\phi: (\tau_1, \dots, \tau_k) \to \tau}{\Gamma \vdash \phi(x_1, \dots, x_k) : \tau} \left( (\Gamma(x_i) = \tau_i)_{i=1}^k \right)$$

$$\frac{[x \mapsto \tau_1, (x_i \mapsto \mathbf{int})_{i=1}^j] \vdash e : \tau}{\Gamma \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_i\} : \{\tau\}} (\Gamma(y) = \{\tau_1\}, (\Gamma(x_i) = \mathbf{int})_{i=1}^j)$$

• Auxiliary Judgment  $\phi:(\tau_1,...,\tau_k)\to \tau$ 

$$\mathbf{const}_n:() \to \mathbf{int}$$

$$\mathbf{iota}:(\mathbf{int}) \to \{\mathbf{int}\}$$

$$\overline{ ext{const}_n:() o ext{int}} \qquad \overline{ ext{iota}:( ext{int}) o ext{int}\}} \qquad \overline{ ext{plus}:( ext{int}, ext{int}) o ext{int}}$$

• Value typing rules:

Judgment  $v:\tau$ 

$$\frac{(v_i : \tau)_{i=1}^k}{\{v_1, ..., v_k\} : \{\tau\}}$$

# Source language semantics

$$\rho = [x_1 \mapsto v_1, ..., x_i \mapsto v_i]$$

• Judgment  $\rho \vdash e \downarrow v$ 

$$\frac{\rho \vdash e_1 \downarrow v_1 \quad \rho[x \mapsto v_1] \vdash e_2 \downarrow v}{\rho \vdash \mathbf{let} \ e_1 = x \ \mathbf{in} \ e_2 \downarrow v}$$

$$\frac{\phi(v_1, ..., v_k) \vdash v}{\rho \vdash \phi(x_1, ..., x_k) \downarrow v} ((\rho(x_i) = v_i)_{i=1}^k)$$

$$\frac{([x \mapsto v_i, (x_i \mapsto n_i)_{i=1}^j] \ \vdash \ e \downarrow v_i')_{i=1}^k}{\rho \ \vdash \ \{e : x \ \textbf{in} \ y \ \textbf{using} \ x_1, ..., x_j\} \downarrow \{v_1', ..., v_k'\}} \ (\rho(y) = \{v_1, ..., v_k\}, (\rho(x_i) = n_i)_{i=1}^j)$$

• Auxiliary Judgment  $\phi(v_1,...,v_k) \vdash v$ 

$$\overline{\mathbf{const}_n() \vdash n} \qquad \overline{\mathbf{iota}(n) \vdash \{0, 1, ..., n-1\}} \ (n \ge 0)$$

$$\frac{}{\mathbf{plus}(n_1, n_2) \ \vdash \ n_3} (n_3 = n_1 + n_2)$$

### $\mathbf{2}$ Target language

### **SVCODE** syntax 2.1

(1) Stream id:

$$s \in \mathbf{SId} = \mathbf{N} = \{0, 1, 2...\}$$

A list of **SId**:

$$\mathbf{S} = [s_1, ..., s_i]^1$$

(2) SVCODE operations:

$$\psi ::= \mathtt{Const_a} \mid \mathtt{ToFlags} \mid \mathtt{Usum} \mid \mathtt{MapTwo}_{\oplus} \mid \mathtt{ScanPlus}_{n_0} \mid \mathtt{Distr}$$

where  $\oplus$  stands for some binary operation on **int**.

 $<sup>^{1}</sup>$ For simplicity, in some cases where duplicate of some elements does not affect the correctness we will also use S to stand for a set of SIds which keeps only one copy of each element in S.

(3) SVCODE program:

$$\begin{split} p &::= \epsilon \\ &\mid s := \psi(s_1,...,s_i) \\ &\mid \mathbf{S}_{out} := \mathtt{WithCtrl}(s,\mathbf{S}_{in},p_1) \\ &\mid p_1;p_2 \end{split} \qquad \qquad (\mathtt{fv}(p_1) \subseteq \mathbf{S}_{in},\mathbf{S}_{out} \subseteq \mathtt{dv}(p_1)) \end{split}$$

Note: here this  $\mathbf{S}_{in}$  is actually slightly different from the import list used in our streaming interpreter which does not contain the new control stream id. So it may cause some confusion later when we introduce the streaming language. We may want to remove this  $\mathbf{S}_{in}$  from WithCtrl instruction if it turns out it is not necessary to make it an explicit component here.

(4) Differentce of sets:

For two sets A and B,

$$A - B = \{ s | s \in A \land s \notin B \}$$

It is easy to prove the following properties:

• For any three sets A, B and C:

$$(A - B) \cap C = (A \cap C) - B = A \cap (C - B)$$
 (2.1)

 $\bullet$  For two sets A and B,

$$A \cap B = \emptyset \Leftrightarrow A - B = A \tag{2.2}$$

(5) Defined variables: a set (or list) of stream ids that are defined by a SVCODE program and accessible to the outside environment of the program

$$\begin{split} & \operatorname{dv}(\epsilon) = \{\} \\ & \operatorname{dv}(s := \psi(s_1, ..., s_i)) = \{s\} \\ & \operatorname{dv}(\mathbf{S}_{out} := \operatorname{WithCtrl}(s_c, \mathbf{S}_{in}, p_1)) = \mathbf{S}_{out} \\ & \operatorname{dv}(p_1; p_2) = \operatorname{dv}(p_1) \cup \operatorname{dv}(p_2) \end{split}$$

(6) Free variables: a set (or list) of stream ids that are not defined but referred to by a SVCODE program

$$\begin{split} & \texttt{fv}(\epsilon) &= \{\} \\ & \texttt{fv}(s := \psi(s_1, ..., s_i)) = \{s_1, ..., s_i\} \\ & \texttt{fv}(\mathbf{S}_{out} := \texttt{WithCtrl}(s_c, \mathbf{S}_{in}, p_1)) = \{s_c\} \cup \mathbf{S}_{in} \\ & \texttt{fv}(p_1; p_2) = \texttt{fv}(p_1) \cup \texttt{fv}(p_2) - \texttt{dv}(p_1) \end{split}$$

(7) SVCODE streams:

$$b \in \{T, F\}$$

$$a ::= n \mid b \mid ()$$

$$\vec{b} = \langle b_1, ..., b_i \rangle$$

$$\vec{a} = \langle a_1, ..., a_i \rangle$$

(8)

- (9) Notations and operations about streams:
  - Let  $\langle a_0|...\rangle$  denote a non-empty stream with the first element  $a_0$ , and  $\langle a_0|\vec{a}\rangle$  also a non-empty stream  $\langle a_0, a_1, ..., a_i\rangle$  for some  $\vec{a} = \langle a_1, ..., a_i\rangle$ .
  - $\langle a_1, ..., a_i \rangle ++ \langle a'_1, ..., a'_j \rangle = \langle a_1, ..., a_i, a'_1, ..., a'_j \rangle$

### 2.2 SVCODE semantics

SVCODE stores  $\sigma = [s_1 \mapsto \vec{a}_1, ..., s_i \mapsto \vec{a}_i]$ .

• Judgment  $\sqrt{p,\sigma} \downarrow^{\vec{c}} \sigma'$  $\vec{c}$  is the control stream.

P-Empty:  $\overline{\langle \epsilon, \sigma \rangle \downarrow^{\vec{c}} \sigma}$ 

P-XDUCER:  $\frac{\psi(\vec{a}_1,...,\vec{a}_k) \downarrow^{\vec{c}} \vec{a}}{\langle s := \psi(s_1,...,s_k), \sigma \rangle \downarrow^{\vec{c}} \sigma'} ((\sigma(s_i) = \vec{a}_i)_{i=1}^k)$ 

 $\text{P-WC-EMP}: \frac{}{\langle \mathbf{S}_{out} := \mathtt{WithCtrl}(s_c, \mathbf{S}_{in}, p_1); p_2, \sigma \rangle \downarrow^{\vec{c}} \sigma[(s_i \mapsto \langle \rangle)_{i=1}^l]} \begin{pmatrix} \forall s \in \{s_c\} \cup \mathbf{S}_{in}.\sigma(s) = \langle \rangle \\ \mathbf{S}_{out} = [s_1, ..., s_l] \end{pmatrix}$ 

 $\text{P-WC-NONEMP}: \frac{\langle p_1, \sigma \rangle \downarrow^{\vec{c}_1} \sigma''}{\langle \mathbf{S}_{out} := \texttt{WithCtrl}(s_c, \mathbf{S}_{in}, p_1), \sigma \rangle \downarrow^{\vec{c}} \sigma[(s_i \mapsto \sigma''(s_i))_{i=1}^l]} \begin{pmatrix} \sigma(s_c) = \vec{c}_1 = \langle () | ... \rangle \\ \mathbf{S}_{out} = [s_1, ..., s_l] \end{pmatrix}$ 

P-Seq: 
$$\frac{\langle p_1, \sigma \rangle \downarrow^{\vec{c}} \sigma'' \qquad \langle p_2, \sigma'' \rangle \downarrow^{\vec{c}} \sigma'}{\langle p_1; p_2, \sigma \rangle \downarrow^{\vec{c}} \sigma'}$$

• Transducer semantics:

Judgment  $\psi(\vec{a}_1,...,\vec{a}_k) \downarrow^{\vec{c}} \vec{a}$ 

 $\text{P-X-Loop}: \frac{\psi(\vec{a}_{11},...,\vec{a}_{k1}) \Downarrow \vec{a}_{1} \quad \psi(\vec{a}_{12},...,\vec{a}_{k2}) \downarrow^{\vec{c}} \vec{a}_{2}}{\psi(\vec{a}_{11}+\!\!\!+\!\vec{a}_{12},...,\vec{a}_{k1}+\!\!\!+\!\vec{a}_{k2}) \downarrow^{\langle a_{0}|\vec{c}\rangle} \vec{a}} (\vec{a}=\vec{a}_{1}+\!\!\!+\!\vec{a}_{2})$ 

P-X-TERMI :  $\overline{\psi(\langle \rangle_1, ..., \langle \rangle_k) \downarrow^{\langle \rangle}}$  2

• Transducer *block* semantics:

Judgment  $\psi(\vec{a}_1,...,\vec{a}_k) \downarrow \vec{a}$ 

 $\text{P-Const}: \overline{\text{Const}_{\mathtt{a}}() \Downarrow \langle a \rangle} \qquad \text{P-ToFLAGS}: \overline{\text{ToFlags}(\langle n \rangle) \Downarrow \langle \mathtt{F}_{1}, ..., \mathtt{F}_{n}, \mathtt{T} \rangle}$ 

 $\text{P-MapTwo}: \overline{\text{MapTwo}_{\oplus}(\langle n_1 \rangle, \langle n_2 \rangle) \Downarrow \langle n_3 \rangle} \ (n_3 = n_1 \oplus n_2)$ 

<sup>&</sup>lt;sup>2</sup>For convenience, in this thesis we add subscripts to a sequence of constants, such as  $\langle \rangle$ , F, 1, to denote the total number of these constants.

$$\operatorname{P-USUMF}: \frac{\operatorname{Usum}(\vec{b}) \Downarrow \vec{a}}{\operatorname{Usum}(\langle \mathbf{F} | \vec{b} \rangle) \Downarrow \langle () | \vec{a} \rangle} \qquad \operatorname{P-USUMT}: \overline{\operatorname{Usum}(\langle \mathbf{T} \rangle) \Downarrow \langle \rangle}$$

$$\text{P-SCANF}: \frac{\text{ScanPlus}_{n_0+n}(\vec{b}, \vec{a}) \Downarrow \vec{a}'}{\text{ScanPlus}_{n_0}(\langle \textbf{F} | \vec{b} \rangle, \langle n | \vec{a} \rangle) \Downarrow \langle n_0 | \vec{a}' \rangle} \qquad \text{P-SCANT}: \frac{}{\text{ScanPlus}_{n_0}(\langle \textbf{T} \rangle, \langle \rangle) \Downarrow \langle \rangle}$$

$$\text{P-DISTRF}: \frac{\text{Distr}(\vec{b}, \langle n \rangle) \Downarrow \vec{a}}{\text{Distr}(\langle \textbf{F} | \vec{b} \rangle, \langle n \rangle) \Downarrow \langle n | \vec{a} \rangle} \qquad \text{P-DISTRT}: \frac{}{} \frac{}$$

Or if we want to use *unary* semantics maybe for later:

$$\frac{\psi(\langle \mathbf{F} \rangle, ..., \vec{a}_{k1}) \downarrow \vec{a}_1 \qquad \psi(\vec{a}_{12}, ..., \vec{a}_{k2}) \downarrow \vec{a}_2}{\psi(\langle \mathbf{F} \rangle +\!\!\!+ \vec{a}_{12}, ..., \vec{a}_{k1} +\!\!\!+ \vec{a}_{k2}) \downarrow \vec{a}} (\vec{a} = \vec{a}_1 +\!\!\!+ \vec{a}_2)$$

$$\frac{\psi(\langle \mathbf{T} \rangle, ..., \vec{a}_k) \downarrow \vec{a}}{\psi(\langle \mathbf{T} \rangle, ..., \vec{a}_k) \downarrow \vec{a}}$$

- Transducer *unary* semantics:

Judgment 
$$\psi(\langle b \rangle, ..., \vec{a}_k) \downarrow \vec{a}$$

$$\overline{ \text{Usum}(\langle F \rangle) \downarrow\downarrow \langle () \rangle } \qquad \overline{ \text{Usum}(\langle T \rangle) \downarrow\downarrow \langle \rangle }$$

- Transducer block with accumulator:

Judgment 
$$\psi_n(\vec{a}_1,...,\vec{a}_k) \downarrow \vec{a}$$

$$\frac{\psi_{n_0}(\langle F \rangle, ..., \vec{a}_{k1}) \downarrow \uparrow^{n'_0} \langle n_1 \rangle \qquad \psi_{n'_0}(\vec{a}_{12}, ..., \vec{a}_{k2}) \downarrow \vec{a}_2}{\psi_{n_0}(\langle F \rangle + + \vec{a}_{12}, ..., \vec{a}_{k1} + + \vec{a}_{k2}) \downarrow \langle n_1 \rangle + + \vec{a}_2}$$

$$\frac{\psi_{n_0}(\langle \mathtt{T} \rangle, ..., \vec{a}_k) \ \downarrow \ \vec{a}}{\psi_{n_0}(\langle \mathtt{T} \rangle, ..., \vec{a}_k) \ \downarrow \vec{a}}$$

- Transducer unary with accumulator:

Judgment 
$$\psi_n(\langle F \rangle, ..., \vec{a}_k) \downarrow ^{n'} \vec{a}$$

$$\mathsf{ScanPlus}_{n_0}(\langle \mathsf{F} \rangle, \langle n \rangle) \downarrow \rangle^{n_0 + n} \langle n_0 \rangle$$

Judgment 
$$\psi_n(\langle T \rangle, ..., \vec{a}_k) \downarrow \vec{a}$$

$$ScanPlus_{n_0}(\langle \mathtt{T} \rangle, \langle \rangle) \downarrow \downarrow \langle \rangle$$

### 2.3 Definitions

We first define a binary relation  $\stackrel{\mathbf{S}}{\sim}$  on stores to denote that two stores are similar: they have identical domains, and their bound values by  $\mathbf{S}$  are the same. We call this  $\mathbf{S}$  an overlap of these two stores.

Definition 2.1 (Stores similarity).  $\sigma_1 \stackrel{S}{\sim} \sigma_2$  iff

- (1)  $dom(\sigma_1) = dom(\sigma_2)$
- (2)  $\forall s \in \mathbf{S}.\sigma_1(s) = \sigma_2(s)$

According to this definition, it is only meaningful to have  $\mathbf{S} \subseteq dom(\sigma_1)$  (=  $dom(\sigma_2)$ ). When  $\mathbf{S} = dom(\sigma_1) = dom(\sigma_2)$ ,  $\sigma_1$  and  $\sigma_2$  are identical. It is easy to show that this relation  $\overset{\mathbf{S}}{\sim}$  is transitive.

• If  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$  and  $\sigma_2 \stackrel{\mathbf{S}}{\sim} \sigma_3$ , then  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_3$ .

We define another binary operation  $\stackrel{\mathbf{S}}{\bowtie}$  on stores to denote a kind of specical concatenation of two similar stores: the *concatenation* of two similar stores is a new store, in which the bound values by  $\mathbf{S}$  are from any of the parameter stores, and the others are the concatenation of the values from the two stores. In other words, a *concatenation* of two similar stores is only a concatenation of the bound values that *maybe* different in these stores.

Definition 2.2 (Store Concatenation).  $\sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2 = \sigma$  iff

(1) 
$$\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$$
  
(2)  $\sigma(s) = \begin{cases} \sigma_i(s), & s \in \mathbf{S}, i \in \{1, 2\} \\ \sigma_1(s) + \sigma_2(s), & otherwise \end{cases}$ 

**Lemma 2.3.** If  $\sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2 = \sigma$ , then  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma$  and  $\sigma_2 \stackrel{\mathbf{S}}{\sim} \sigma$ .

This lemma says that the concatenation result of two similar stores is still similar to each of them.

**Lemma 2.4.** If  $\psi(\vec{a}_{11},...,\vec{a}_{1k}) \downarrow^{\vec{c}_1} \vec{a}_1$ , and  $\psi(\vec{a}_{21},...,\vec{a}_{2k}) \downarrow^{\vec{c}_2} \vec{a}_2$ , then  $\psi(\vec{a}_{11}++\vec{a}_{21},...,\vec{a}_{1k}++\vec{a}_{2k}) \downarrow^{\vec{c}_1++\vec{c}_2} \vec{a}_1++\vec{a}_2$ .

Lemma 2.5. If

- (i)  $\sigma_1 \stackrel{S}{\sim} \sigma_2$
- (ii)  $\langle p, \sigma_1 \rangle \downarrow^{\vec{c}} \sigma$
- $\mathit{(iii)}\ \mathtt{fv}(p)\cap \mathbf{S}=\emptyset$
- (iv)  $\forall s \in fv(p).\sigma_2(s) = \langle \rangle$

then

- (v)  $\langle p, \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2 \rangle \downarrow^{\vec{c}} \sigma'$
- $(vi) \ \forall s' \in \mathtt{dv}(p).\sigma(s') = \sigma'(s')$

Lemma 2.6 (Stores concatenation lemma). If

(i) 
$$\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$$

- (ii)  $\langle p, \sigma_1 \rangle \downarrow^{\vec{c_1}} \sigma'_1$  (by some derivation  $\mathcal{P}_1$ )
- (iii)  $\langle p, \sigma_2 \rangle \downarrow^{\vec{c}_2} \sigma'_2$  (by some derivation  $\mathcal{P}_2$ )
- (iv)  $fv(p) \cap S = \emptyset$

then 
$$\langle p, \sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2 \rangle \downarrow^{\vec{c_1} + + \vec{c_2}} \sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2'$$
 (by  $\mathcal{P}$ ).

We need this lemma to prove that the results of single computations inside a comprehension body (i.e. p in the lemma) can be concatenated to express a parallel computation. From the other direction, we can consider this process as distributing or splitting the computation p on even smaller degree of parallel computations, in which all the supplier streams, i.e.,  $\mathbf{fv}(p)$ , are splitted to feed the transducers. The splitted parallel degrees are specified by the control streams, i.e.,  $\vec{c_1}$  and  $\vec{c_2}$  in the lemma. Other untouched **SIds** in all  $\sigma$ s (i.e., **S**) have no change throughout the process.

*Proof.* By induction on the syntax of p.

• Case  $p = \epsilon$ .  $\mathcal{P}_1$  must be  $\langle \epsilon, \sigma_1 \rangle \downarrow^{\vec{c}_1} \sigma_1$ , and  $\mathcal{P}_2$  must be  $\langle \epsilon, \sigma_2 \rangle \downarrow^{\vec{c}_2} \sigma_2$ . So  $\sigma'_1 = \sigma_1$ , and  $\sigma'_2 = \sigma_2$ , thus  $\sigma'_1 \bowtie \sigma'_2 = \sigma_1 \bowtie \sigma_2$ .

By P-EMPTY, we take  $\mathcal{P} = \overline{\langle \epsilon, \sigma_1 \bowtie \sigma_2 \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1 \bowtie \sigma_2}$  and we are done.

• Case  $p = s_l := \psi(s_1, ..., s_i); p'$ .  $\mathcal{P}_1$  must look like

$$\frac{\mathcal{P}_{10} \qquad \qquad \mathcal{P}_{11}}{\psi(\vec{a}_1, ..., \vec{a}_k) \downarrow^{\vec{c}_1} \vec{a} \qquad \langle p', \sigma_1[s_l \mapsto \vec{a}] \rangle \downarrow^{\vec{c}_1} \sigma'_1}{\langle s_l := \psi(s_1, ..., s_k); p', \sigma_1 \rangle \downarrow^{\vec{c}_1} \sigma'_1}$$

and we have

$$(\sigma_1(s_i) = \vec{a}_i)_{i=1}^k \tag{2.3}$$

Similarly,  $\mathcal{P}_2$  must look like

$$\frac{\mathcal{P}_{20} \qquad \qquad \mathcal{P}_{21}}{\psi(\vec{a}'_1, ..., \vec{a}'_k) \downarrow^{\vec{c}_2} \vec{a}' \qquad \langle p', \sigma_2[s_l \mapsto \vec{a}'] \rangle \downarrow^{\vec{c}_2} \sigma'_2}}{\langle s_l := \psi(s_1, ..., s_k); p', \sigma_2 \rangle \downarrow^{\vec{c}_2} \sigma'_2}$$

and we have

$$(\sigma_2(s_i) = \vec{a}_i')_{i=1}^k \tag{2.4}$$

From assumption (iv) we have

$$fv(s_{l} := \psi(s_{1}, ..., s_{k}); p') \cap \mathbf{S} = \emptyset$$

$$(\{s_{1}, ..., s_{k}\} \cup fv(p') - \{s_{l}\}) \cap \mathbf{S} = \emptyset$$

$$(\{s_{1}, ..., s_{k}\} \cup fv(p')) \cap (\mathbf{S} - \{s_{l}\}) = \emptyset$$

$$(\{s_{1}, ..., s_{k}\} \cup fv(p')) \cap \mathbf{S} = \emptyset$$

$$(\{s_{1}, ..., s_{k}\} \cap \mathbf{S}) \cup (fv(p') \cap \mathbf{S}) = \emptyset$$
(by definition of  $fv()$ )
(by  $(2.1)$ )

thus

$$\{s_1, ..., s_k\} \cap \mathbf{S} = \emptyset \tag{2.5}$$

$$fv(p') \cap S = \emptyset \tag{2.6}$$

By Lemma 2.4 on  $\mathcal{P}_{10}$ ,  $\mathcal{P}_{20}$ , we get a derivation  $\mathcal{P}'$  of

$$\psi(\vec{a}_1 + + \vec{a}'_1, ..., \vec{a}_k + + \vec{a}'_k) \downarrow^{\vec{c}_1 + + \vec{c}_2} \vec{a} + + \vec{a}'$$

Since  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$ , by the definitons of store similarity and concatenation, it is easy to show that

$$\sigma_1[s_l \mapsto \vec{a}] \stackrel{\mathbf{S}}{\sim} \sigma_2[s_l \mapsto \vec{a}']$$
 (2.7)

and

$$\sigma_1[s_l \mapsto \vec{a}] \stackrel{\mathbf{S}}{\bowtie} \sigma_2[s_l \mapsto \vec{a}'] = \sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2[s_l \mapsto \vec{a} + + \vec{a}']$$
 (2.8)

Then by IH on (2.7),  $\mathcal{P}_{11}$ ,  $\mathcal{P}_{21}$ , (2.6), we obtain a derivation  $\mathcal{P}''$  of

$$\langle p', \sigma_1[s_l \mapsto \vec{a}] \overset{\mathbf{S}}{\bowtie} \sigma_2[s_l \mapsto \vec{a}'] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \overset{\mathbf{S}}{\bowtie} \sigma_2'$$

By replacing the start store in  $\mathcal{P}''$  with the right-hand side of (2.8), we get  $\mathcal{P}'''$  of

$$\langle p', \sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2[s_l \mapsto \vec{a} + + \vec{a}'] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \stackrel{\mathbf{S}}{\bowtie} \sigma_2'$$

With (2.3),(2.4) and (2.5), by the definition of store concatenation,

$$\forall i \in \{1, ..., k\}. \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2(s_i) = \sigma_1(s_i) + \sigma_2(s_i) = \vec{a}_i + \vec{a}_i',$$

Therefore using the rule P-XDUCER, we can build  $\mathcal{P}$  as follows

$$\mathcal{P}' \qquad \qquad \mathcal{P}''' \\
\underline{\psi(\vec{a}_1 + + \vec{a}'_1, ..., \vec{a}_k + + \vec{a}'_k) \downarrow^{\vec{c}_1 + + \vec{c}_2} \vec{a} + + \vec{a}' \qquad \langle p', \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2[s_l \mapsto \vec{a} + + \vec{a}'] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma'_1 \overset{\mathbf{S}}{\bowtie} \sigma'_2}} \\
\langle s_l := \psi(s_1, ..., s_k); p', \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2 \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma'_1 \overset{\mathbf{S}}{\bowtie} \sigma'_2}$$

as required.

• Case  $p = \mathbf{S}_{out} := \text{WithCtrl}(s_c, \mathbf{S}_{in}, p_0); p' \text{ where}$ 

$$\mathbf{S}_{in} = \{s_c\} \cup \mathsf{fv}(p_0) \tag{2.9}$$

and

$$\mathbf{S}_{out} \subseteq \mathsf{dv}(p_0) \tag{2.10}$$

From the assumption (iv), we have

$$\begin{split} \operatorname{fv}(\mathbf{S}_{out} := \operatorname{WithCtrl}(s_c, \mathbf{S}_{in}, p_0); p') \cap \mathbf{S} &= \emptyset \\ & (\mathbf{S}_{in} \cup \operatorname{fv}(p') - \mathbf{S}_{out}) \cap \mathbf{S} &= \emptyset \\ & (\operatorname{by definition of fv}()) \\ & (\mathbf{S}_{in} \cup \operatorname{fv}(p')) \cap (\mathbf{S} - \mathbf{S}_{out}) &= \emptyset \\ & (\operatorname{by } (2.1)) \\ & (\mathbf{S}_{in} \cup \operatorname{fv}(p')) \cap \mathbf{S} &= \emptyset \\ & (\operatorname{by } (2.2) \operatorname{with } ??? \operatorname{S} \cap \mathbf{S}_{out} &= \emptyset) \\ & (\mathbf{S}_{in} \cap \mathbf{S}) \cup (\operatorname{fv}(p') \cap \mathbf{S}) &= \emptyset \end{split}$$

thus

$$\mathbf{S}_{in} \cap \mathbf{S} = \emptyset \tag{2.11}$$

$$fv(p') \cap S = \emptyset \tag{2.12}$$

Since (2.9) with (2.11), we also have

$$\{s_c\} \cap \mathbf{S} = \emptyset \tag{2.13}$$

$$fv(p_0) \cap S = \emptyset \tag{2.14}$$

Assume  $\mathbf{S}_{out} = [s_1, ..., s_j]$ . There are four possibilities:

– Subcase both  $\mathcal{P}_1$  and  $\mathcal{P}_2$  use P-WC-EMP.

So  $\mathcal{P}_1$  must look like

$$\frac{\mathcal{P}_{1}'}{\langle p', \sigma_{1}[s_{1} \mapsto \langle \rangle, ..., s_{j} \mapsto \langle \rangle] \rangle \downarrow^{\vec{c}_{1}} \sigma_{1}'}{\langle \mathbf{S}_{out} := \mathtt{WithCtrl}(s_{c}, \mathbf{S}_{in}, p_{0}); p', \sigma_{1} \rangle \downarrow^{\vec{c}_{1}} \sigma_{1}'}$$

and we have

$$\forall s \in \mathbf{S}_{in}.\sigma_1(s) = \langle \rangle$$

that is,

$$\sigma_1(s_c) = \langle \rangle \tag{2.15}$$

and

$$\forall s \in fv(p_0).\sigma_1(s) = \langle \rangle \tag{2.16}$$

Similarly,  $\mathcal{P}_2$  must look like

$$\begin{split} \mathcal{P}_2' \\ \frac{\langle p', \sigma_2[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle] \rangle \downarrow^{\vec{c}_2} \sigma_2'}{\langle \mathbf{S}_{out} := \mathtt{WithCtrl}(s_c, \mathbf{S}_{in}, p_0); p', \sigma_2 \rangle \downarrow^{\vec{c}_2} \sigma_2'} \end{split}$$

and we have

$$\forall s \in \mathbf{S}_{in}.\sigma_2(s) = \langle \rangle$$

that is,

$$\sigma_2(s_c) = \langle \rangle \tag{2.17}$$

and

$$\forall s \in fv(p_0).\sigma_2(s) = \langle \rangle \tag{2.18}$$

Since  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$ , it is easy to show that

$$\sigma_1[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle] \stackrel{\mathbf{S}}{\sim} \sigma_2[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle]$$
 (2.19)

and

$$\sigma_1[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle] \overset{\mathbf{S}}{\bowtie} \sigma_2[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle] = (\sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2)[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle]$$
(2.20)

By IH on (2.19) with  $\mathcal{P}'_1$ ,  $\mathcal{P}'_2$ , (2.12), we get a derivation

$$\langle p', \sigma_1[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle] \overset{\mathbf{S}}{\bowtie} \sigma_2[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \overset{\mathbf{S}}{\bowtie} \sigma_2',$$

and replacing the start store with the right-hand side of (2.20) gives us a derivation  $\mathcal{P}'$  of

$$\langle p', (\sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2)[s_1 \mapsto \langle \rangle, ..., s_i \mapsto \langle \rangle] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \overset{\mathbf{S}}{\bowtie} \sigma_2'$$

Since (2.13), by the definition of store concatenation with (2.15), (2.17), we have

$$\sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2(s_c) = \sigma_1(s_c) + + \sigma_2(s_c) = \langle \rangle$$

Also, with (2.16) and (2.18), we must have

$$\forall s \in \mathtt{fv}(p_0).\sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2(s) = \langle \rangle$$

Thus,  $\forall s \in \mathbf{S}_{in}.\sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2(s) = \langle \rangle.$ 

Therefore, using P-Wc-Emp we can build  $\mathcal{P}$  as follows

$$\mathcal{P}' \\ \frac{\langle p', (\sigma_1 \bowtie \sigma_2)[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \bowtie \sigma_2'}{\langle \mathbf{S}_{out} := \mathtt{WithCtrl}(s_c, \mathbf{S}_{in}, p_0); p', \sigma_1 \bowtie \sigma_2 \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \bowtie \sigma_2'}$$

and we are done.

- Subcase  $\mathcal{P}_1$  uses P-Wc-Nomemp,  $\mathcal{P}_2$  uses P-Wc-Emp.

 $\mathcal{P}_1$  must look like

$$\frac{\mathcal{P}_{1}'}{\langle p_{0}, \sigma_{1} \rangle \downarrow^{\vec{c}_{1}'} \sigma_{1}''} \qquad \langle p', \sigma_{1}[s_{1} \mapsto \sigma_{1}''(s_{1}), ..., s_{j} \mapsto \sigma''(s_{j})] \rangle \downarrow^{\vec{c}_{1}} \sigma_{1}'}{\langle \mathbf{S}_{out} := \mathtt{WithCtrl}(s_{c}, \mathbf{S}_{in}, p_{0}); p', \sigma_{1} \rangle \downarrow^{\vec{c}_{1}} \sigma_{1}'}$$

and we have

$$\sigma_1(s_c) = \vec{c}_1' = \langle ()|...\rangle \tag{2.21}$$

 $\mathcal{P}_2$  must look like

$$\frac{\mathcal{P}_2'}{\langle p', \sigma_2[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle] \rangle \downarrow^{\vec{c}_2} \sigma_2'}{\langle \mathbf{S}_{out} := \mathtt{WithCtrl}(s_c, \mathbf{S}_{in}, p_0); p', \sigma_2 \rangle \downarrow^{\vec{c}_2} \sigma_2'}$$

and we have

$$\sigma_2(s_c) = \langle \rangle \tag{2.22}$$

and

$$\forall s \in fv(p_0).\sigma_2(s) = \langle \rangle \tag{2.23}$$

By Lemma 2.5 on  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$  with  $\mathcal{P}'_1$ , (2.23), (2.14), we obtain a derivation  $\mathcal{P}_0$  of

$$\langle p_0, \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2 \rangle \downarrow^{\vec{c}_1'} \sigma_0$$

for some  $\sigma_0$ , and

$$\forall s \in dv(p_0).\sigma_0(s) = \sigma_1''(s),$$

thus, with (2.10), we have

$$(\sigma_0(s_i) = \sigma_1''(s_i))_{i=1}^j \tag{2.24}$$

Since  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$ , it is easy to show that

$$\sigma_1[s_1 \mapsto \sigma_1''(s_1), ..., s_j \mapsto \sigma_1''(s_j)] \stackrel{\mathbf{S}}{\sim} \sigma_2[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle]$$
 (2.25)

and

$$\sigma_1[s_1 \mapsto \sigma_1''(s_1), ..., s_j \mapsto \sigma_1''(s_j)] \overset{\mathbf{S}}{\bowtie} \sigma_2[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle] = (\sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2)[s_1 \mapsto \sigma_1''(s_1), ..., s_j \mapsto \sigma_1''(s_1), ..., s_j \mapsto \sigma_1''(s_1), ..., s_j \mapsto \sigma_1''(s_1), ..., \sigma_1''($$

By IH on (2.25) with  $\mathcal{P}_1''$ ,  $\mathcal{P}_2'$ , (2.12), we get a derivation

$$\langle p', \sigma_1[s_1 \mapsto \sigma_1''(s_1), ..., s_j \mapsto \sigma_1''(s_j)] \overset{\mathbf{S}}{\bowtie} \sigma_2[s_1 \mapsto \langle \rangle, ..., s_j \mapsto \langle \rangle] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \overset{\mathbf{S}}{\bowtie} \sigma_2',$$

and replacing the start store with the right-hand side of (2.26) gives us a derivation  $\mathcal{P}'$  of

$$\langle p', (\sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2)[s_1 \mapsto \sigma_1''(s_1), ..., s_j \mapsto \sigma_1''(s_j)] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \overset{\mathbf{S}}{\bowtie} \sigma_2'$$

With (2.24), we replicate  $\sigma_1''(i)$  with  $\sigma_0(i)$  for all  $i \in \{1, ..., j\}$ , then we obtain  $\mathcal{P}''$  of

$$\langle p', (\sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2)[s_1 \mapsto \sigma_0(s_1), ..., s_j \mapsto \sigma_0(s_j)] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \stackrel{\mathbf{S}}{\bowtie} \sigma_2'$$

By the definition of store concatenation with (2.21), (2.22), we have

$$\sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2(s_c) = \sigma_1(s_c) + + \sigma_2(s_c) = \vec{c}_1' = \langle () | \dots \rangle$$

Therefore, we use the rule P-WC-Nonemp to build  $\mathcal{P}$  as follows

$$\begin{split} \mathcal{P}_0 & \mathcal{P}'' \\ \frac{\langle p_0, \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2 \rangle \downarrow^{\vec{c}_1'} \sigma_0 \qquad \langle p', (\sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2)[s_1 \mapsto \sigma_0(s_1), ..., s_j \mapsto \sigma_0(s_j)] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \overset{\mathbf{S}}{\bowtie} \sigma_2'}{\langle \mathbf{S}_{out} := \mathtt{WithCtrl}(s_c, \mathbf{S}_{in}, p_0); p', \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2 \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \overset{\mathbf{S}}{\bowtie} \sigma_2'} \end{split}$$

and we are done.

- Subcase  $\mathcal{P}_1$  uses P-WC-EMP and  $\mathcal{P}_2$  uses P-WC-NONEMP. This subcase is symmetric to the second one.
- Subcase both  $\mathcal{P}_1$  and  $\mathcal{P}_2$  use P-WC-NONEMP.  $\mathcal{P}_1$  must look like

$$\begin{split} & \mathcal{P}_1' & \mathcal{P}_1'' \\ & \frac{\langle p_0, \sigma_1 \rangle \downarrow^{\vec{c}_1'} \sigma_1'' & \langle p', \sigma_1[s_1 \mapsto \sigma_1''(s_1), ..., s_j \mapsto \sigma''(s_j)] \rangle \downarrow^{\vec{c}_1} \sigma_1'}{\langle \mathbf{S}_{out} := \mathtt{WithCtrl}(s_c, \mathbf{S}_{in}, p_0); p', \sigma_1 \rangle \downarrow^{\vec{c}_1} \sigma_1'} \end{split}$$

and

$$\sigma_1(s_c) = \vec{c}_1' = \langle ()|...\rangle \tag{2.27}$$

Similarly,  $\mathcal{P}_2$  must look like

$$\begin{array}{c|c} \mathcal{P}_2' & \mathcal{P}_2'' \\ \hline \langle p_0, \sigma_2 \rangle \downarrow^{\vec{c}_2'} \sigma_2'' & \langle p', \sigma_2[s_1 \mapsto \sigma_1''(s_1), ..., s_j \mapsto \sigma''(s_j)] \rangle \downarrow^{\vec{c}_2} \sigma_2' \\ \hline \langle \mathbf{S}_{out} := \mathtt{WithCtrl}(s_c, \mathbf{S}_{in}, p_0); p', \sigma_2 \rangle \downarrow^{\vec{c}_2} \sigma_2' \end{array}$$

and

$$\sigma_2(s_c) = \vec{c}_2' = \langle ()|...\rangle \tag{2.28}$$

By IH on  $\mathcal{P}'_1$ ,  $\mathcal{P}'_2$  with (2.14), we get a derivation  $\mathcal{P}_0$  of

$$\langle p_0, \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2 \rangle \downarrow^{\vec{c}_1' + + \vec{c}_2'} \sigma_1'' \overset{\mathbf{S}}{\bowtie} \sigma_2''$$

Since (from  $\mathbf{S} \cap \mathbf{S}_{out} = \emptyset$ )  $\forall i \in \{1, ..., j\}.s_i \notin \mathbf{S}$ , then

$$\sigma_1'' \stackrel{\mathbf{S}}{\bowtie} \sigma_2''(s_i) = \sigma_1''(s_i) + + \sigma_2''(s_i)$$
 (2.29)

Also it is easy to show

$$\sigma_1[s_1 \mapsto \sigma_1''(s_1), ..., s_j \mapsto \sigma_1''(s_j)] \stackrel{\mathbf{S}}{\sim} \sigma_2[s_1 \mapsto \sigma_2''(s_1), ..., s_j \mapsto \sigma_2''(s_j)]$$
 (2.30)

and

$$\sigma_{1}[s_{1} \mapsto \sigma_{1}''(s_{1}), ..., s_{j} \mapsto \sigma_{1}''(s_{j})] \overset{\mathbf{S}}{\bowtie} \sigma_{2}[s_{1} \mapsto \sigma_{2}''(s_{1}), ..., s_{j} \mapsto \sigma_{2}''(s_{j})] = \\ \sigma_{1} \overset{\mathbf{S}}{\bowtie} \sigma_{2}[s_{1} \mapsto \sigma_{1}''(s_{1}) + + \sigma_{2}''(s_{1}), ..., s_{j} \mapsto \sigma_{1}''(s_{j}) + + \sigma_{2}''(s_{j})]$$
(2.31)

By IH on (2.30) with  $\mathcal{P}_1''$ ,  $\mathcal{P}_2''$ , (2.12), we obtain a derivation of

$$\langle p', \sigma_1[s_1 \mapsto \sigma_1''(s_1), ..., s_j \mapsto \sigma_1''(s_j)] \overset{\mathbf{S}}{\bowtie} \sigma_2[s_1 \mapsto \sigma_2''(s_1), ..., s_j \mapsto \sigma_2''(s_j)] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \overset{\mathbf{S}}{\bowtie} \sigma_2',$$

and replacing the start store with the right-hand side of (2.31) gives us a derivation  $\mathcal{P}'$  of

$$\langle p', \sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2[s_1 \mapsto \sigma_1''(s_1) + + \sigma_2''(s_1), ..., s_i \mapsto \sigma_1''(s_i) + + \sigma_2''(s_i)] \rangle \downarrow^{\vec{c}_1 + \vec{c}_2} \sigma_1' \stackrel{\mathbf{S}}{\bowtie} \sigma_2'$$

With (2.29), we can replace all the new bound values  $\sigma_1'' \bowtie \sigma_2''(s_i)$  in  $\mathcal{P}'$  with  $\sigma_1''(s_i) + + \sigma_2''(s_i)$  for all  $i \in \{1, ..., j\}$ , giving us another derivation  $\mathcal{P}''$  of

$$\langle p', \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2[s_1 \mapsto \sigma_1'' \overset{\mathbf{S}}{\bowtie} \sigma_2''(s_1), ..., s_j \mapsto \sigma_1'' \overset{\mathbf{S}}{\bowtie} \sigma_2''(s_j)] \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \overset{\mathbf{S}}{\bowtie} \sigma_2'$$

Since (2.27) and (2.28), we know  $\sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2(s_c) = \vec{c}_1' + + \vec{c}_2' = \langle ()|...\rangle$ , therefore we can use the rule P-WC-NONEMP to build  $\mathcal{P}$  as follows:

and we are done.

Let  $\sigma_1 \stackrel{\leq s}{=} \sigma_2$  denote  $\forall s' < s.\sigma_1(s') = \sigma_2(s')$ .

**Lemma 2.7.** If  $\sigma_1 \stackrel{\mathbf{S}_1}{\sim} \sigma_1'$ ,  $\sigma_2 \stackrel{\mathbf{S}_2}{\sim} \sigma_2'$ ,  $\sigma_1 \stackrel{\leq s}{==} \sigma_2$ , and  $\sigma_1' \stackrel{\leq s}{==} \sigma_2'$  then  $\sigma_1 \stackrel{\mathbf{S}_1}{\bowtie} \sigma_1' \stackrel{\leq s}{==} \sigma_2 \stackrel{\mathbf{S}_2}{\bowtie} \sigma_2'$ .

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#### 2.4 SVCODE determinism theroem

**Definition 2.8.**  $\vec{a}$  is a prefix of  $\vec{a}'$  if  $\vec{a} \subseteq \vec{a}'$ :

 $Judgment \mid \vec{a} \sqsubseteq \vec{a}' \mid$ 

$$\frac{\vec{a} \sqsubseteq \vec{a}'}{\langle a_0 | \vec{a} \rangle \sqsubseteq \langle a_0 | \vec{a}' \rangle}$$

Lemma 2.9. If

(i) 
$$(\vec{a}'_i \sqsubseteq \vec{a}_i)_{i=1}^k$$
 and  $\psi(\vec{a}'_1, ..., \vec{a}'_k) \Downarrow \vec{a}'$ 

(ii) 
$$(\vec{a}_i'' \sqsubseteq \vec{a}_i)_{i=1}^k$$
 and  $\psi(\vec{a}_1'', ..., \vec{a}_k'') \Downarrow \vec{a}''$ 

then

(i) 
$$(\vec{a}'_i = \vec{a}''_i)_{i=1}^k$$

(ii) 
$$\vec{a}' = \vec{a}''$$
.

**Lemma 2.10.** If  $\psi(\vec{a}_1,...,\vec{a}_k) \downarrow^{\vec{c}} \vec{a}$ , and  $\psi(\vec{a}_1,...,\vec{a}_k) \downarrow^{\vec{c}} \vec{a}'$ , then  $\vec{a} = \vec{a}'$ .

**Theorem 2.11** (SVCODE determinism). If  $\langle p, \sigma \rangle \downarrow^{\vec{c}} \sigma'$  and  $\langle p, \sigma \rangle \downarrow^{\vec{c}} \sigma''$ , then  $\sigma' = \sigma''$ .

### 3 Translation

### 3.1 Translation rules

(1) Stream tree:

**STree** 
$$\ni st ::= s \mid (st_1, s)$$

(2) Convert a stream tree to a list of stream ids:

$$\overline{s} = [s] 
\overline{(st, s)} = \overline{st} + +[s]$$

(3) Translation environment:

$$\delta = [x_1 \mapsto st_1, \dots, x_i \mapsto st_i]$$

• Judgment  $\delta \vdash e \Rightarrow_{s_1}^{s_0} (p, st)$ 

$$\frac{\delta \vdash x \Rightarrow_{s_0}^{s_0} (\epsilon, st)}{\delta \vdash x \Rightarrow_{s_0}^{s_0} (\epsilon, st)} (\delta(x) = st) \qquad \frac{\delta \vdash e_1 \Rightarrow_{s_0'}^{s_0} (p_1, st_1) \qquad \delta[x \mapsto st_1] \vdash e_2 \Rightarrow_{s_1}^{s_0'} (p_2, st)}{\delta \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 \Rightarrow_{s_1}^{s_0} (p_1; p_2, st)}$$

$$\frac{[x \mapsto st_1, (x_i \mapsto s_i)_{i=1}^j] \vdash e \Rightarrow_{s_1}^{s_0+1} (p_1, st)}{\vdots}$$

$$\frac{\delta \vdash x \Rightarrow_{s_0}^{s_0} (\epsilon, st)}{\delta \vdash \text{let } x = e_1 \text{ in } e_2 \Rightarrow_{s_1}^{s_0} (p_1; p_2, st)}$$

$$\frac{\phi(st_1, ..., st_k) \Rightarrow_{s_1}^{s_0} (p, st)}{\delta \vdash \phi(x_1, ..., x_k) \Rightarrow_{s_1}^{s_0} (p, st)} ((\delta(x_i) = st_i)_{i=1}^k)$$

$$\frac{[x \mapsto st_1, (x_i \mapsto s_i)_{i=1}^j] \vdash e \Rightarrow_{s_1}^{s_0+1} (p_1, st)}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}$$

$$\frac{[st_1] \circ \phi(x_1, ..., x_k) \circ \phi(x_1, ..., x_k) \circ \phi(x_1, ..., x_k)}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}$$

$$\frac{[st_1] \circ \phi(x_1, ..., x_k) \circ \phi(x_1, ..., x_k)}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}$$

$$\frac{[st_1] \circ \phi(x_1, ..., x_k) \circ \phi(x_1, ..., x_k)}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}$$

$$\frac{[st_1] \circ \phi(x_1, ..., x_k) \circ \phi(x_1, ..., x_k)}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}$$

$$\frac{[st_1] \circ \phi(x_1, ..., x_j) \circ \phi(x_1, ..., x_j)}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y \text{ using } x_1, ..., x_j\} \Rightarrow_{s_1}^{s_0} (p, (st, s_b))}{\delta \vdash \{e : x \text{ in } y$$

• Auxiliary Judgment  $\phi(st_1,...,st_k) \Rightarrow_{s_1}^{s_0} (p,st)$ 

$$\overline{\mathbf{plus}(s_1, s_2) \Rightarrow_{s_0+1}^{s_0} (s_0 := \mathtt{MapTwo}_+(s_1, s_2), s_0)}$$

#### 3.2 Value representation

1. SVCODE values:

$$\mathbf{SvVal} \ni w ::= \vec{a} \mid (w, \vec{b})$$

2. SVCODE values concatenation:

$$++: \mathbf{SvVal} \to \mathbf{SvVal} \to \mathbf{SvVal}$$

$$\langle \vec{a}_1, ..., \vec{a}_i \rangle ++ \langle \vec{a}'_1, ..., \vec{a}'_j \rangle = \langle \vec{a}_1, ..., \vec{a}_i, \vec{a}'_1, ..., \vec{a}'_j \rangle$$

$$(w_1, \vec{b}_1) ++ (w_2, \vec{b}_2) = (w_1 ++ w_2, \vec{b}_1 ++ \vec{b}_2)$$

3. SVCODE value construction from a stream tree:

$$\sigma : \mathbf{STree} \to \mathbf{SvVal}$$
 $\sigma(s) = \vec{a}$ 
 $\sigma((st, s)) = (\sigma(st), \sigma(s))$ 

- 4. Value representation rules
  - Judgment  $v \triangleright_{\tau} w$

$$\frac{(v_i \triangleright_{\tau} w_i)_{i=1}^k}{\{v_1, \dots, v_k\} \triangleright_{\{\tau\}} (w, \langle \mathsf{F}_1, \dots, \mathsf{F}_k, \mathsf{T} \rangle)} (w = w_1 + \dots + w_k)$$

Lemma 3.1 (Value translation backwards determinism). If  $v \triangleright_{\tau} w$ ,  $v' \triangleright_{\tau} w$ , then v = v'.

#### 3.3 Correctness proof

Lemma 3.2. If

(i) 
$$\phi:(\tau_1,...,\tau_k)\to \tau$$
 (by some derivation  $\mathcal{T}$ )

(ii) 
$$\phi(v_1,...,v_k) \vdash v \ (by \ \mathcal{E})$$

(iii) 
$$\phi(st_1,...,st_k) \Rightarrow_{s_1}^{s_0} (p,st)$$
 (by  $\mathcal{C}$ )

(iv) 
$$(v_i \triangleright_{\tau_i} \sigma(st_i))_{i=1}^k$$

$$(v) \bigcup_{i=1}^k \operatorname{sids}(st_i) \lessdot s_0$$

then

(vi) 
$$\langle p, \sigma \rangle \downarrow^{\langle () \rangle} \sigma'$$
 (by  $\mathcal{P}$ )

(vii) 
$$v \triangleright_{\tau} \sigma'(st)$$
 (by  $\mathcal{R}$ )

(viii) 
$$\sigma' \stackrel{\langle s_0 \rangle}{=\!=\!=} \sigma$$

(ix) 
$$s_0 \leq s_1$$

$$(x)$$
 sids $(st) \lessdot s_1$ 

*Proof.* By inducation on the syntax of  $\phi$ .

• Case  $\phi = \mathbf{const}_n$ There is only one possibility for each of  $\mathcal{T}$ ,  $\mathcal{E}$  and  $\mathcal{C}$ :

$$\mathcal{T} = \overline{\operatorname{\mathbf{const}}_n : () o \operatorname{\mathbf{int}}}$$
  $\mathcal{E} = \overline{\hspace{0.2cm} \vdash \operatorname{\mathbf{const}}_n() \downarrow n}$   $\mathcal{C} = \overline{\hspace{0.2cm} \operatorname{\mathbf{const}}_n() \Rightarrow_{s_0+1}^{s_0} (s_0 := \operatorname{\mathsf{Const}}_n(), s_0)}$ 

So  $k = 0, \tau = \text{int}, v = n, p = s_0 := \text{Const}_n(), s_1 = s_0 + 1, \text{ and } st = s_0$ 

By P-Xducer, P-X-Loop, P-X-Termi and P-Const, we can construct  $\mathcal P$  as follows:

$$\mathcal{P} = rac{ \overline{ ext{Const}_{ ext{n}}() \downarrow \langle n 
angle } \ \overline{ ext{Const}_{ ext{n}}() \downarrow^{\langle l 
angle} \langle n 
angle } }{ \overline{ \langle s_0 := ext{Const}_{ ext{n}}(), \sigma 
angle \downarrow^{\langle (l) 
angle} \langle n 
angle } }$$

So  $\sigma' = \sigma[s_0 \mapsto \langle n \rangle].$ 

Then we take  $\mathcal{R} = \frac{1}{n \triangleright_{\mathbf{int}} \sigma'(s_0)}$ 

Also clearly,  $\sigma' \stackrel{\leq s_0}{=\!=\!=} \sigma$ ,  $s_0 \leq s_0 + 1$ ,  $sids(s_0) \leq s_0 + 1$ , and we are done.

• Case  $\phi = \mathbf{plus}$ We must have

$$\mathcal{T} = \overline{\mathbf{plus} : (\mathbf{int}, \mathbf{int}) \to \mathbf{int}}$$

$$\mathcal{E} = \overline{\vdash \mathbf{plus}(n_1, n_2) \downarrow n_3}$$

where  $n_3 = n_2 + n_1$ , and

$$\mathcal{C} = \overline{\mathbf{plus}(s_1, s_2) \Rightarrow_{s_0+1}^{s_0} (s_0 := \mathtt{MapTwo}_+(s_1, s_2), s_0)}$$

So  $k = 2, \tau_1 = \tau_2 = \tau = \text{int}, v_1 = n_1, v_2 = n_2, v = n_3, st_1 = s_1, st_2 = s_2, st = s_0, s_1 = s_0 + 1$  and  $p = s_0 := \text{MapTwo}_+(s_1, s_2)$ .

Assumption (iv) gives us  $\overline{n_1 \triangleright_{\mathbf{int}} \sigma(s_1)}$  and  $\overline{n_2 \triangleright_{\mathbf{int}} \sigma(s_2)}$ , which implies  $\sigma(s_1) = \langle n_1 \rangle$  and  $\sigma(s_2) = \langle n_2 \rangle$  respectively.

For (v) we have  $s_1 < s_0$  and  $s_2 < s_0$ .

Then using P-XDUCER with  $\sigma(s_1) = \langle n_1 \rangle$  and  $\sigma(s_2) = \langle n_2 \rangle$ , and using P-X-LOOP and P-X-Termi, we can build  $\mathcal{P}$  as follows:

$$\frac{\operatorname{\mathsf{MapTwo}}_+(\langle n_1\rangle,\langle n_2\rangle) \Downarrow \langle n_3\rangle}{\operatorname{\mathsf{MapTwo}}_+(\langle \rangle,\langle \rangle) \downarrow^{\langle \rangle} \langle \rangle} \\ \frac{\operatorname{\mathsf{MapTwo}}_+(\langle n_1\rangle,\langle n_2\rangle) \downarrow^{\langle ()\rangle} \langle n_3\rangle}{\langle s_0 := \operatorname{\mathsf{MapTwo}}_+(s_1,s_2),\sigma\rangle \downarrow^{\langle ()\rangle} \sigma[s_0 \mapsto \langle n_3\rangle]}$$

Therefore,  $\sigma' = \sigma[s_0 \mapsto \langle n_3 \rangle].$ 

Now we can take  $\mathcal{R} = \overline{n_3 \triangleright_{\mathbf{int}} \sigma'(s_0)}$ , and it is clear that  $\sigma' \stackrel{\leq s_0}{=} \sigma$ ,  $s_0 \leq s_0 + 1$  and  $\mathbf{sids}(s_0) \leq s_0 + 1$  as required.

• Case  $\phi = \mathbf{iota}$ 

Theorem 3.3. If

(i)  $\Gamma \vdash e : \tau$  (by some derivation  $\mathcal{T}$ )

(ii)  $\rho \vdash e \downarrow v \ (by \ some \ \mathcal{E})$ 

(iii)  $\delta \vdash e \Rightarrow_{s_1}^{s_0} (p, st) \ (by \ some \ \mathcal{C})$ 

- $\begin{array}{ll} (iv) \ \forall x \in dom(\Gamma). \ \vdash \ \rho(x) : \Gamma(x) \wedge \operatorname{sids}(\delta(x)) \lessdot s_0 \wedge \rho(x) \rhd_{\Gamma(x)} \sigma(\delta(x)) \\ \boldsymbol{then} \end{array}$
- (v)  $\langle p, \sigma \rangle \downarrow^{\langle () \rangle} \sigma'$  (by some derivation  $\mathcal{P}$ )
- (vi)  $v \triangleright_{\tau} \sigma'(st)$  (by some  $\mathcal{R}$ )
- (vii)  $\sigma' \stackrel{\langle s_0 \rangle}{=} \sigma$
- (viii)  $s_0 \leq s_1$ 
  - (ix) sids $(st) \lessdot s_1$

*Proof.* By induction on the syntax of e.

• Case  $e = \{e_1 : x \text{ in } y \text{ using } \cdot \}.$ 

We must have:

(i) 
$$\mathcal{T} = \frac{[x \mapsto \tau_1] \vdash e_1 : \tau_2}{\Gamma \vdash \{e_1 : x \text{ in } y \text{ using } \cdot\} : \{\tau_2\}} (\Gamma(y) = \{\tau_1\})$$

$$\mathcal{E}_i$$

(ii) 
$$\mathcal{E} = \frac{([x \mapsto v_i] \vdash e_1 \downarrow v_i')_{i=1}^k}{\rho \vdash \{e_1 : x \text{ in } y \text{ using } \cdot\} \downarrow \{v_1', ..., v_k'\}} (\rho(y) = \{v_1, ..., v_k\})$$

$$\begin{array}{c} \mathcal{C}_{1} \\ (\mathrm{iii}) \\ \mathcal{C} = \frac{[x \mapsto st_{1}] \ \vdash \ e_{1} \Rightarrow_{s_{1}}^{s_{0}+1} (p_{1}, st_{2})}{\delta \ \vdash \ \{e_{1} : x \ \mathbf{in} \ y \ \mathbf{using} \cdot \} \Rightarrow_{s_{1}}^{s_{0}} (s_{0} := \mathtt{Usum}(s_{2}); \overline{st_{2}} := \mathtt{WithCtrl}(s_{0}, \mathbf{S}_{in}, p_{1}), (st_{2}, s_{2}))} \\ \mathrm{So} \ p = (s_{0} := \mathtt{Usum}(s_{2}); \overline{st_{2}} := \mathtt{WithCtrl}(s_{0}, \mathbf{S}_{in}, p_{1})), \tau = \{\tau_{2}\}, v = \{v'_{1}, ..., v'_{k}\}, st = (st_{2}, s_{2}). \end{array}$$

(iv)  $\vdash \rho(y) : \Gamma(y)$  gives us  $\vdash \{v_1, ..., v_k\} : \{\tau_1\}$ , which must have the derivation:

$$\frac{(\vdash v_i : \tau_1)_{i=1}^k}{\vdash \{v_1, ..., v_k\} : \{\tau_1\}}$$
(3.1)

 $sids(\delta(y)) \lessdot s_0$  gives us

$$\operatorname{sids}(\delta(y)) = \operatorname{sids}((st_1, s_2)) = \operatorname{sids}(st_1) \cup \{s_2\} \lessdot s_0 \tag{3.2}$$

 $\rho(y) \triangleright_{\Gamma(y)} \sigma(\delta(y)) = \{v_1, ..., v_k\} \triangleright_{\{\tau_1\}} \sigma((st_1, s_2))$  must have the derivation:

$$\frac{\mathcal{R}_{i}}{(v_{i} \triangleright_{\tau_{1}} w_{i})_{i=1}^{k}} \frac{(v_{i} \triangleright_{\tau_{1}} w_{i})_{i=1}^{k}}{\{v_{1}, ..., v_{k}\} \triangleright_{\{\tau_{1}\}} (w, \langle F_{1}, ..., F_{k}, T \rangle)} (w = w_{1} + + ... + + w_{k})$$
(3.3)

therefore

$$\sigma(st_1) = w \tag{3.4}$$

and

$$\sigma(s_2) = \langle F_1, ..., F_k, T \rangle. \tag{3.5}$$

First we shall show:

- (v)  $\langle s_0 := \mathtt{Usum}(s_2); \overline{st_2} := \mathtt{WithCtrl}(s_0, \mathbf{S}_{in}, p_1), \sigma \rangle \downarrow^{\langle () \rangle} \sigma'$  by some  $\mathcal{P}$
- (vi)  $\{v'_1, ..., v'_k\} \triangleright_{\{\tau_2\}} \sigma'((st_2, s_2))$  by some  $\mathcal{R}$
- (vii)  $\sigma' \stackrel{\langle s_0 \rangle}{==} \sigma$

By P-SEQ, we can build  $\mathcal{P}$  as follows:

$$\begin{split} & \mathcal{P}_0 & \mathcal{P}_1 \\ & \underbrace{\langle s_0 := \mathtt{Usum}(s_2), \sigma \rangle \downarrow^{\langle () \rangle} \sigma_0} & \langle \overline{st_2} := \mathtt{WithCtrl}(s_0, \mathbf{S}_{in}, p_1), \sigma_0 \rangle \downarrow^{\langle () \rangle} \sigma'} \\ & \underbrace{\langle s_0 := \mathtt{Usum}(s_2); \overline{st_2} := \mathtt{WithCtrl}(s_0, \mathbf{S}_{in}, p_1), \sigma \rangle \downarrow^{\langle () \rangle} \sigma'} \end{split}$$

By P-XDUCER with  $\sigma(s_2) = \langle F_1, ..., F_k, T \rangle$ , we can continue to build  $\mathcal{P}_0$  as follows:

$$\begin{split} \mathcal{P}_0' \\ \mathcal{P}_0 &= \frac{\mathtt{Usum}(\langle \mathtt{F}_1, ..., \mathtt{F}_k, \mathtt{T} \rangle) \downarrow^{\langle () \rangle} \vec{a}}{\langle s_0 := \mathtt{Usum}(s_2), \sigma \rangle \downarrow^{\langle () \rangle} \sigma[s_0 \mapsto \vec{a}]} \end{split}$$

So  $\sigma_0 = \sigma[s_0 \mapsto \vec{a}].$ 

We split  $\langle F_1,...,F_k,T\rangle$  into two parts:  $\vec{b}_1=\langle F_1,...,F_k,T\rangle$  and  $\vec{b}_2=\langle \rangle$ . By P-X-loop and P-X-termi with  $\vec{b}_1$  and  $\vec{b}_2$ , we continue building  $\mathcal{P}_0'$  as follows:

$$\mathcal{P}_{0}'' = \frac{\mathcal{P}_{0}''}{\operatorname{Usum}(\vec{b}_{1}) \Downarrow \vec{a}} \frac{\operatorname{Usum}(\vec{b}_{2}) \downarrow^{\langle \rangle} \langle \rangle}{\operatorname{Usum}(\langle \mathbf{F}_{1}, ..., \mathbf{F}_{k}, \mathbf{T} \rangle) \downarrow^{\langle () \rangle} \vec{a}}$$

Then using P-UsumF k times and P-UsumT once, we obtain

$$\mathcal{P}_0'' = \frac{\overline{\text{Usum}(\langle \mathbf{T} \rangle) \Downarrow \langle \rangle}}{\underline{\text{Usum}(\langle \mathbf{F}_2, ..., \mathbf{F}_k, \mathbf{T} \rangle) \Downarrow \langle ()_2, ..., ()_k \rangle}}}{\overline{\text{Usum}(\langle \mathbf{F}_1, ..., \mathbf{F}_k, \mathbf{T} \rangle) \Downarrow \langle ()_1, ..., ()_k \rangle}}}$$

Thus so far we have constructed  $\mathcal{P}_0$  of  $\langle s_0 := \text{Usum}(s_2), \sigma \rangle \downarrow^{\langle () \rangle} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]$ . Since we have

$$\mathcal{T}_1 = [x \mapsto \tau_1] \vdash e_1 : \tau_2$$

$$(\mathcal{E}_i = [x \mapsto v_i] \vdash e_1 \downarrow v_i' )_{i=1}^k$$

$$\mathcal{C}_1 = [x \mapsto st_1] \vdash e_1 \Rightarrow_{s_1}^{s_0+1} (p_1, st_2)$$

Let  $\Gamma_1 = [x \mapsto \tau_1], \rho_i = [x \mapsto v_i]$  and  $\delta_1 = [x \mapsto st_1]$ . From (3.1) and (3.2) it is clear that

$$\forall z \in dom(\Gamma_1)$$
.  $\vdash \rho_i(z) : \Gamma_1(z) \land sids(\delta_1(z)) \lessdot s_0$ .

Let *i* range from 1 to *k*: we take  $\sigma_i \stackrel{st_1}{\sim} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]$  such that  $\sigma_i(st_1) = w_i$ . From  $\mathcal{R}_i$  in (3.3) we know that

$$\forall z \in dom(\Gamma_1).\rho_i(z) \triangleright_{\Gamma_1(z)} \sigma_i(\delta_1(z)).$$

Then by IH (k times) on  $\mathcal{T}_1$  with  $\mathcal{E}_i$ ,  $\mathcal{C}_1$  we obtain the following result:

$$(\langle p_1, \sigma_i \rangle \downarrow^{\langle (i) \rangle} \sigma_i')_{i=1}^k \tag{3.6}$$

$$(v_i' \triangleright_{\tau_2} \sigma_i'(st_2))_{i=1}^k \tag{3.7}$$

$$\left(\sigma_i' \stackrel{\leq s_0 + 1}{==} \sigma_i\right)_{i=1}^k \tag{3.8}$$

$$s_0 + 1 \le s_1 \tag{3.9}$$

$$\operatorname{sids}(st_2) \lessdot s_1 \tag{3.10}$$

Assume  $sids(st_2) = \{s'_1, ..., s'_j\}.$ 

There are two possibilities:

- Subcase k = 0, that is  $\sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle](s_0) = \langle \rangle$ . By P-WC-EMP We build

$$\mathcal{P}_1 = \frac{}{\langle \overline{st_2} := \mathtt{WithCtrl}(s_0, \mathbf{S}_{in}, p_1), \sigma \rangle \downarrow^{\langle () \rangle} \sigma[s_0 \mapsto \langle \rangle, s_1' \mapsto \langle \rangle, ..., s_i' \mapsto \langle \rangle]}$$

So in this subcase,

$$\sigma' = \sigma[s_0 \mapsto \langle \rangle, s_1' \mapsto \langle \rangle, ..., s_j' \mapsto \langle \rangle].$$

Since k = 0, then  $v = \{\}$ ,  $\sigma(s_2) = \langle T \rangle$  (from (3.5)), we have  $\sigma'(s_2) = \sigma(s_2) = \langle T \rangle$  (?? not correct if  $s_2 \in sids(st_2)/sids(st_1)$ ), and  $\sigma'(st_2) = (...(\langle \langle \rangle, \langle \rangle)_1, \langle \rangle)_2, ...)_{j-1}$ .

Therefore  $\sigma'((st_2, s_2)) = (\sigma'(st_2), \sigma'(s_2))$ , with which we construct

$$\mathcal{R} = \overline{\{\} \triangleright_{\{\tau_2\}} ((...(\langle \rangle, \langle \rangle)_1, ...)_{j-1}, \langle \mathsf{T} \rangle)}$$

as required.

Since k = 0, from (3.4) we know  $\forall s' \in \operatorname{sids}(st_1).\sigma(s') = \langle \rangle$ . For any  $s' \in \operatorname{sids}(st_2)$  and  $s' < s_0$ , it must have  $s' \in \operatorname{sids}(st_1)$  (because  $\operatorname{codom}(\delta_1) = \{st_1\}$ ), hence  $\sigma(s') = \langle \rangle = \sigma'(s')$ . Therefore,

$$\sigma' \stackrel{\langle s_0 \rangle}{===} \sigma$$

– Subcase k > 0, that is  $\sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle] = \langle () | \vec{a} \rangle$  for some  $\vec{a}$ . By P-Wc-Nonemp, we take  $\mathcal{P}_1 =$ 

$$\mathcal{P}_1' \\ \langle p_1, \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle] \rangle \downarrow^{\langle ()_1, ..., ()_k \rangle} \sigma'' \\ \overline{\langle \overline{st_2} := \mathtt{WithCtrl}(s_0, \mathbf{S}_{in}, p_1), \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle] \rangle \downarrow^{\langle () \rangle} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle, s_1' \mapsto \sigma''(s_1'), ...}}$$

So in this subcase

$$\sigma' = \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle, s_1' \mapsto \sigma''(s_1'), ..., s_i' \mapsto \sigma''(s_i')].$$

Using Lemma 2.6 (k-1) times on (3.6) gives us

$$\langle p_1, (\stackrel{st_1}{\bowtie} \sigma_i)_{i-1}^k \rangle \downarrow^{\langle ()_1, \dots, ()_k \rangle} (\stackrel{st'_2}{\bowtie} \sigma'_i)_{i-1}^k$$
 (3.11)

where  $st_2' = \mathtt{sids}(st_1) \cup \mathtt{sids}(st_2)$  (????) .

By Definition 2.2 we have

$$(\stackrel{st_1}{\bowtie} \sigma_i)_{i=1}^k = \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]. \tag{3.12}$$

Then by Theorem 2.11 on  $\mathcal{P}'_1$  with (3.11), we get

$$\sigma'' = (\stackrel{st_2'}{\bowtie} \sigma_i')_{i=1}^k \tag{3.13}$$

Therefore,  $\sigma''(st_2) = \sigma'_1(st_2) + + ... + + \sigma'_k(st_2)$  by Definition 2.2. Let  $\sigma'_i(st_2) = w'_i$  and  $\sigma''(st_2) = w'$ , then  $w' = w'_1 + + ... + w'_k$ .

Since  $\sigma'(st_2) = \sigma''(st_2) = w'$ , and  $\sigma'(s_2) = \sigma(s_2) = \langle F_1, ..., F_k, T \rangle$ , we now have  $\sigma'((st_2, s_2)) = (\sigma'(st_2), \sigma'(s_2)) = (w', \langle F_1, ..., F_k, T \rangle)$ . With (3.7), we can construct

$$\mathcal{R} = \frac{(v_i' \triangleright_{\tau_2} w_i')_{i=1}^k}{\{v_1', ..., v_k'\} \triangleright_{\{\tau_2\}} (w', \langle \mathbf{F}_1, ..., \mathbf{F}_k, \mathbf{T} \rangle)}$$

as required.

By Lemma 2.3 on (3.12) we get  $\sigma_i \stackrel{st_1}{\sim} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]$ , and similarly  $\sigma_i' \stackrel{st_2'}{\sim} \sigma''$  from (3.13).

Since (3.8) implies

$$(\sigma_i' \stackrel{< s_0}{=\!\!\!=} \sigma_i)_{i=1}^k$$

using Lemma 2.7 (k-1) times, we obtain

$$\sigma'' \stackrel{\langle s_0}{=} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle].$$

Therefore,  $\sigma' \stackrel{\langle s_0 \rangle}{=} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle] \stackrel{\langle s_0 \rangle}{=} \sigma$ .

- (viii) TS:  $s_0 \le s_1$ From (3.9) we immediately get  $s_0 \le s_1 - 1 < s_1$ .
- (ix) TS:  $sids((st_2, s_2)) \leq s_1$ From (3.2) we know  $s_2 < s_0$ , thus  $s_2 < s_0 \leq s_1$ . And we already have (3.10). Therefore,

 $\mathtt{sids}((st_2,s_2))=\mathtt{sids}(st_2)\cup\{s_2\}\lessdot s_1.$ 

• Case e = x. We must have

$$\mathcal{T} = \frac{\Gamma}{\Gamma} \vdash x : \tau \qquad (\Gamma(x) = \tau)$$

$$\mathcal{E} = \frac{\Gamma}{\rho} \vdash x \downarrow v \qquad (\rho(x) = v)$$

$$\mathcal{C} = \frac{\Gamma}{\delta} \vdash x \Rightarrow_{s_0}^{s_0} (\epsilon, st) \qquad (\delta(x) = st)$$

So  $p = \epsilon$ .

Immediately we have  $\mathcal{P} = \overline{\langle \epsilon, \sigma \rangle \downarrow^{\langle () \rangle} \sigma}$ 

So  $\sigma' = \sigma$ , which implies  $\sigma' \stackrel{\langle s_0 \rangle}{=} \sigma$ .

From the assumption we already have  $v \triangleright_{\tau} \sigma(st)$ , and  $sids(st) \lessdot s_0$ .

Finally it's clear that  $s_0 \leq s_0$ , and we are done.

• Case e =let  $x = e_1$  in  $e_2$ .

We must have:

$$\mathcal{T} = \frac{\mathcal{T}_{1} \qquad \mathcal{T}_{2}}{\Gamma \vdash e_{1} : \tau_{1} \qquad \Gamma[x \mapsto \tau_{1}] \vdash e_{2} : \tau}$$

$$\mathcal{E}_{1} \qquad \qquad \mathcal{E}_{2}$$

$$\mathcal{E} = \frac{\rho \vdash e_{1} \downarrow v_{1} \qquad \rho[x \mapsto v_{1}] \vdash e_{2} \downarrow v}{\rho \vdash \mathbf{let} \ x = e_{1} \ \mathbf{in} \ e_{2} \downarrow v}$$

$$\mathcal{C}_{1} \qquad \qquad \mathcal{C}_{2}$$

$$\mathcal{C} = \frac{\delta \vdash e_{1} \Rightarrow_{s_{0}^{s_{0}}}^{s_{0}}(p_{1}, st_{1}) \qquad \delta[x \mapsto st_{1}] \vdash e_{2} \Rightarrow_{s_{1}^{s_{0}}}^{s_{0}}(p_{2}, st)}{\delta \vdash \mathbf{let} \ x = e_{1} \ \mathbf{in} \ e_{2} \Rightarrow_{s_{1}^{s_{0}}}^{s_{0}}(p_{1}; p_{2}, st)}$$

So  $p = p_1; p_2$ .

By IH on  $\mathcal{T}_1$  with  $\mathcal{E}_1, \mathcal{C}_1$ , we get

- (a)  $\mathcal{P}_1$  of  $\langle p_1, \sigma \rangle \downarrow^{\langle () \rangle} \sigma_1$
- (b)  $\mathcal{R}_1$  of  $v_1 \triangleright_{\tau_1} \sigma_1(st_1)$
- (c)  $\sigma_1 \stackrel{\langle s_0 \rangle}{===} \sigma$
- (d)  $s_0 \leq s_0'$
- (e)  $\operatorname{sids}(st_1) \lessdot s'_0$

From (b), we know  $\rho[x \mapsto v_1](x) : \Gamma[x \mapsto \tau_1](x)$  and  $\rho[x \mapsto v_1](x) \triangleright_{\Gamma[x \mapsto \tau_1](x)} \sigma_1(\delta[x \mapsto st_1](x))$  must hold. From (e), we have  $\operatorname{sids}(\delta[x \mapsto st_1](x)) \lessdot s'_0$ .

Then by IH on  $\mathcal{T}_2$  with  $\mathcal{E}_2, \mathcal{C}_2$ , we get

- (f)  $\mathcal{P}_2$  of  $\langle p_2, \sigma_1 \rangle \downarrow^{\langle () \rangle} \sigma_2$
- (g)  $\mathcal{R}_2$  of  $\sigma_2 \triangleright_{\tau} \sigma_2(st)$
- (h)  $\sigma_2 \stackrel{\langle s_0' \rangle}{===} \sigma_1$
- (i)  $s_0' \le s_1$
- (j)  $\operatorname{sids}(st) \lessdot s_1$

So we can construct:

$$\mathcal{P} = rac{\mathcal{P}_1}{\left\langle p_1, \sigma 
ight
angle \downarrow^{\left\langle \left( 
ight) 
ight
angle} \sigma_1 \quad \left\langle p_2, \sigma_1 
ight
angle \downarrow^{\left\langle \left( 
ight) 
ight
angle} \sigma_2}{\left\langle p_1; p_2, \sigma 
ight
angle \downarrow^{\left\langle \left( 
ight) 
ight
angle} \sigma_2}$$

From (c), (d) and (h), it is clear that  $\sigma_2 \stackrel{\leq s_0}{=} \sigma_1 \stackrel{\leq s_0}{=} \sigma$ . From (d) and (i),  $s_0 \leq s_1$ . Take  $\sigma' = \sigma_2$  (thus  $\mathcal{R} = \mathcal{R}_2$ ) and we are done.

• Case  $e = \phi(x_1, ..., x_k)$ We must have

$$\mathcal{T}_{1}$$

$$\mathcal{T} = \frac{\phi : (\tau_{1}, \dots, \tau_{k}) \to \tau}{\Gamma \vdash \phi(x_{1}, \dots, x_{k}) : \tau} \left( (\Gamma(x_{i}) = \tau_{i})_{i=1}^{k} \right)$$

$$\mathcal{E}_{1}$$

$$\mathcal{E} = \frac{\vdash (v_{1}, \dots, v_{k})(\downarrow) \vdash v}{\rho \vdash \phi(x_{1}, \dots, x_{k}) \downarrow v} \left( (\rho(x_{i}) = v_{i})_{i=1}^{k} \right)$$

$$\mathcal{C}_{1}$$

$$\mathcal{C} = \frac{\phi(st_{1}, \dots, st_{k}) \Rightarrow_{s_{1}}^{s_{0}} (p, st)}{\delta \vdash \phi(x_{1}, \dots, x_{k}) \Rightarrow_{s_{1}}^{s_{0}} (p, st)} \left( (\delta(x_{i}) = st_{i})_{i=1}^{k} \right)$$

From our assumption (iv), for all  $i \in \{1, ..., k\}$ :

- (a)  $\vdash \rho(x_i) : \Gamma(x_i)$ , that is,  $\vdash v_i : \tau_i$
- (b)  $\operatorname{sids}(\delta(x_i)) \leqslant s_0$ , that is,  $\operatorname{sids}(st_i) \leqslant s_0$
- (c)  $\rho(x_i) \triangleright_{\Gamma(x_i)} \sigma(st_i)$ , that is,  $v_i \triangleright_{\tau_i} \sigma(st_i)$

So using Lemma 3.2 on  $\mathcal{T}_1, \mathcal{E}_1, \mathcal{C}_1, (a), (b)$  and (c) gives us exactly what we shall show.