SNESL formalization

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September 18, 2017

0 Level-0

Draft version 0.0.6:

- bug fix in the main correctness theroem (but not all fixed)
- changed the definition of store similarity and related lemmas
- some other trivial bug fixes, and adjustment of some subsection

0.1 Source language syntax

SNESL Expressions:

$$e ::= x \mid \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 \mid \phi(x_1, ..., x_k) \mid \{e : x \ \mathbf{in} \ y \ \mathbf{using} \cdot \}$$

$$\phi = \mathbf{const}_n \mid \mathbf{iota} \mid \mathbf{plus}$$

Values:

$$n \in \mathbf{Z}$$
$$v ::= n \mid \{v_1, ..., v_k\}$$

0.2 Type system

$$\tau ::= \mathbf{int} | \{\tau_1\}$$

Type environment $\Gamma = [x_1 \mapsto \tau_1, ..., x_i \mapsto \tau_i].$

• Expression typing rules:

Judgment
$$\Gamma \vdash e : \tau$$

$$\frac{\Gamma \vdash x : \tau}{\Gamma \vdash x : \tau} (\Gamma(x) = \tau) \qquad \frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma[x \mapsto \tau_1] \vdash e_2 : \tau}{\Gamma \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 : \tau}$$

$$\frac{\phi : (\tau_1, ..., \tau_k) \to \tau}{\Gamma \vdash \phi(x_1, ..., x_k) : \tau} ((\Gamma(x_i) = \tau_i)_{i=1}^k) \qquad \frac{[x \mapsto \tau_1] \vdash e : \tau}{\Gamma \vdash \{e : x \ \mathbf{in} \ y \ \mathbf{using} \cdot\} : \{\tau\}} (\Gamma(y) = \{\tau_1\})$$

• Auxiliary Judgment $\boxed{\phi:(\tau_1,...,\tau_k)\to\tau}$

$$\mathbf{const}_n:() o \mathbf{int} \qquad \qquad \mathbf{iota}:(\mathbf{int}) o \{\mathbf{int}\} \qquad \qquad \mathbf{plus}:(\mathbf{int},\mathbf{int}) o \mathbf{int}$$

• Value typing rules:

Judgment
$$v:\tau$$

$$\frac{n:\mathbf{int}}{\{v_1,\dots,v_k\}:\{\tau\}}$$

0.3 Source language semantics

$$\rho = [x_1 \mapsto v_1, ..., x_i \mapsto v_i]$$

• Judgment
$$\rho \vdash e \downarrow v$$

$$\frac{\rho \vdash e_1 \downarrow v_1 \qquad \rho[x \mapsto v_1] \vdash e_2 \downarrow v}{\rho \vdash \text{let } e_1 = x \text{ in } e_2 \downarrow v}$$

$$\frac{\phi(v_1, ..., v_k) \vdash v}{\rho \vdash \phi(x_1, ..., x_k) \downarrow v} ((\rho(x_i) = v_i)_{i=1}^k)$$

$$\frac{([x \mapsto v_i] \vdash e \downarrow v_i')_{i=1}^k}{\rho \vdash \{e : x \text{ in } y \text{ using } \cdot\} \downarrow \{v_1', ..., v_k'\}} (\rho(y) = \{v_1, ..., v_k\})$$

• Auxiliary Judgment
$$\phi(v_1,...,v_k) \vdash v$$

$$\frac{-}{\mathbf{const}_n() \vdash n} \qquad \frac{-}{\mathbf{iota}(n) \vdash \{0, 1, ..., n-1\}} (n \ge 0)$$

$$\frac{-}{\mathbf{plus}(n_1, n_2) \vdash n_3} (n_3 = n_1 + n_2)$$

0.4 SVCODE syntax

(1) Stream id:

$$s \in \mathbf{SId} = \mathbf{N} = \{0, 1, 2...\}$$

(2) Stream tree:

$$\mathbf{STree}\ni st::=s\mid (st_1,s)$$

(3) SVCODE operations:

$$\psi ::= \mathtt{Const}_\mathtt{a} \mid \mathtt{ToFlags} \mid \mathtt{Usum} \mid \mathtt{MapTwo}_\oplus \mid \mathtt{ScanPlus}_{n_0}$$

where \oplus stands for some binary operation on **int**.

(4) SVCODE program:

$$\begin{split} p &::= \epsilon \\ &\mid s := \psi(s_1,...,s_i) \\ &\mid st := \texttt{WithCtrl}(s,p) \\ &\mid p_1; p_2 \end{split}$$

(5) Target language values:

$$b \in \{\mathtt{T},\mathtt{F}\}$$

$$a ::= n \mid b \mid ()$$

$$ec{b} = \langle b_1, ..., b_i \rangle$$
 $ec{a} = \langle a_1, ..., a_i \rangle$

$$\mathbf{SVal} \ni w ::= \vec{a} \mid (w, \vec{b})$$

- (6) Some notations and operations:
 - For some a_0 and $\vec{a} = \langle a_1, ..., a_i \rangle$, let $\langle a_0 | \vec{a} \rangle = \langle a_0, a_1, ..., a_i \rangle$.
 - ++: SVal \rightarrow SVal \rightarrow SVal $\langle a_1, ..., a_i \rangle$ +++ $\langle a'_1, ..., a'_j \rangle$ = $\langle a_1, ..., a_i, a'_1, ..., a'_j \rangle$ (w_1, \vec{b}_1) +++ (w_2, \vec{b}_2) = $(w_1 ++w_2, \vec{b}_1 ++\vec{b}_2)$
 - sids is a function that converts a $st \in \mathbf{STree}$ to a set of $s \in \mathbf{SId}$: $\mathtt{sids}(s) = \{s\}$ $\mathtt{sids}(st,s) = \mathtt{sids}(st) \cup \{s\}$
 - For some set of **SId**, t, and some $s \in$ **SId**, let $t \lessdot s$ denote $\forall s' \in t.s' < s.$

0.5 SVCODE semantics

SVCODE runtime environment $\sigma = [s_1 \mapsto \vec{a}_1, ..., s_i \mapsto \vec{a}_i]$

• Judgment $\left[\langle p, \sigma \rangle \downarrow^{\vec{c}} \sigma' \right]$ \vec{c} is the control stream.

P-EMPTY: $\langle \epsilon, \sigma \rangle \downarrow^{\vec{c}} \sigma$

P-XDUCER: $\frac{\psi(\vec{a}_1,...,\vec{a}_k)\downarrow^{\vec{c}}\vec{a}}{\langle s := \psi(s_1,...,s_k),\sigma\rangle \downarrow^{\vec{c}}\sigma[s \mapsto \vec{a}]} ((\sigma(s_i) = \vec{a}_i)_{i=1}^k)$

 $\text{P-WC-EMP}: \frac{}{\langle st := \mathtt{WithCtrl}(s,p), \sigma \rangle \downarrow^{\vec{c}} \sigma[s_1 \mapsto \langle \rangle, ..., s_i \mapsto \langle \rangle]} \ (\sigma(s) = \langle \rangle, \mathtt{sids}(st) = \{s_1, ..., s_i\})$

 $\text{P-WC-NONEMP}: \frac{\langle p, \sigma \rangle \downarrow^{\vec{a}_s} \sigma''}{\langle st := \texttt{WithCtrl}(s, p), \sigma \rangle \downarrow^{\vec{c}} \sigma[s_1 \mapsto \sigma''(s_1), ..., s_i \mapsto \sigma''(s_i)]} \begin{pmatrix} \sigma(s) = \vec{a}_s = \langle a_0 | \vec{a} \rangle \\ \texttt{sids}(st) = \{s_1, ..., s_i\} \end{pmatrix}$

P-SEQ: $\frac{\langle p_1, \sigma \rangle \downarrow^{\vec{c}} \sigma'' \qquad \langle p_2, \sigma'' \rangle \downarrow^{\vec{c}} \sigma'}{\langle p_1; p_2, \sigma \rangle \downarrow^{\vec{c}} \sigma'}$

• Transducer semantics:

Judgment $\psi(\vec{a}_1,...,\vec{a}_k)\downarrow^{\vec{c}}\vec{a}$

 $\text{P-X-Loop}: \frac{\psi(\vec{a}_{11},...,\vec{a}_{k1}) \Downarrow \vec{a}_{1} \qquad \psi(\vec{a}_{12},...,\vec{a}_{k2}) \downarrow^{\vec{c}} \vec{a}_{2}}{\psi(\vec{a}_{11}+\!\!+\!\vec{a}_{12},...,\vec{a}_{k1}+\!\!+\!\vec{a}_{k2}) \downarrow^{\langle a_{0} \mid \vec{c} \rangle} \vec{a}} (\vec{a} = \vec{a}_{1}+\!\!+\!\vec{a}_{2})$

P-X-TERMI: $\overline{\psi(\vec{a}_1,...,\vec{a}_k)\downarrow^{\langle\rangle}}$

• Transducer *block* semantics:

Judgment $\psi(\vec{a}_1,...,\vec{a}_k) \downarrow \vec{a}$

 $P\text{-Const}: \overline{\text{Const}_{\mathtt{a}}() \Downarrow \langle a \rangle} \qquad P\text{-ToFLAGS}: \overline{\text{ToFlags}(\langle n \rangle) \Downarrow \langle \mathtt{F}_{1}, ..., \mathtt{F}_{n}, \mathtt{T} \rangle}$

$$\begin{aligned} & \text{P-MapTwo}: \overline{\text{MapTwo}_{\oplus}(\langle n_1 \rangle, \langle n_2 \rangle) \Downarrow \langle n_3 \rangle} \ \, (n_3 = n_1 \oplus n_2) \\ & \text{P-UsumF}: \overline{\frac{\text{Usum}(\vec{b}) \Downarrow \vec{a}}{\text{Usum}(\langle \textbf{F} | \vec{b} \rangle) \Downarrow \langle () | \vec{a} \rangle}} \quad \text{P-UsumT}: \overline{\text{Usum}(\langle \textbf{T} \rangle) \Downarrow \langle \rangle} \\ & \text{P-ScanF}: \overline{\frac{\text{ScanPlus}_{n_0 + n}(\vec{b}, \vec{a}) \Downarrow \vec{a}'}{\text{ScanPlus}_{n_0}(\langle \textbf{F} | \vec{b} \rangle, \langle n | \vec{a} \rangle) \Downarrow \langle n_0 | \vec{a}' \rangle}} \quad \text{P-ScanT}: \overline{\frac{\text{ScanPlus}_{n_0}(\langle \textbf{T} \rangle, \langle \rangle) \Downarrow \langle \rangle}{\text{ScanPlus}_{n_0}(\langle \textbf{T} \rangle, \langle \rangle) \Downarrow \langle \rangle}} \end{aligned}$$

Or if we want to use *unary* semantics maybe for later:

$$\frac{\psi(\langle \mathbb{F} \rangle, ..., \vec{a}_{k1}) \coprod \vec{a}_{1} \quad \psi(\vec{a}_{12}, ..., \vec{a}_{k2}) \Downarrow \vec{a}_{2}}{\psi(\langle \mathbb{F} \rangle + + \vec{a}_{12}, ..., \vec{a}_{k1} + + \vec{a}_{k2}) \Downarrow \vec{a}} \quad (\vec{a} = \vec{a}_{1} + + \vec{a}_{2})$$

$$\frac{\psi(\langle \mathbb{F} \rangle, ..., \vec{a}_{k}) \coprod \vec{a}}{\psi(\langle \mathbb{F} \rangle, ..., \vec{a}_{k}) \Downarrow \vec{a}}$$

$$- \text{ Transducer } unary \text{ semantics:}$$

$$\text{Judgment } \boxed{\psi(\langle b \rangle, ..., \vec{a}_{k}) \coprod \vec{a}}$$

$$\overline{\text{Usum}(\langle \mathbb{F} \rangle) \coprod \langle () \rangle} \quad \overline{\text{Usum}(\langle \mathbb{F} \rangle) \coprod \langle \rangle}$$

$$- \text{ Transducer block with } accumulator:}$$

$$\text{Judgment } \boxed{\psi_{n}(\vec{a}_{1}, ..., \vec{a}_{k}) \Downarrow \vec{a}}$$

$$\psi_{n_{0}}(\langle \mathbb{F} \rangle, ..., \vec{a}_{k1}) \coprod^{n_{0}} \langle n_{1} \rangle \quad \psi_{n_{0}}(\vec{a}_{12}, ..., \vec{a}_{k2}) \Downarrow \vec{a}_{2}}$$

$$\psi_{n_{0}}(\langle \mathbb{F} \rangle, ..., \vec{a}_{k}) \coprod \vec{a}}$$

$$\text{Transducer unary with } accumulator:}$$

$$\text{Judgment } \boxed{\psi_{n}(\langle \mathbb{F} \rangle, ..., \vec{a}_{k}) \coprod^{n_{0} + n}} \langle n_{0} \rangle}$$

$$\text{Judgment } \boxed{\psi_{n}(\langle \mathbb{F} \rangle, ..., \vec{a}_{k}) \coprod^{n_{0} + n}} \langle n_{0} \rangle}$$

$$\text{Judgment } \boxed{\psi_{n}(\langle \mathbb{F} \rangle, ..., \vec{a}_{k}) \coprod^{n_{0} + n}} \langle n_{0} \rangle}$$

$$\text{Judgment } \boxed{\psi_{n}(\langle \mathbb{F} \rangle, ..., \vec{a}_{k}) \coprod^{n_{0} + n}} \langle n_{0} \rangle}$$

0.6 Definitions

We define some notations and operations related to σ :

(1) Let
$$\sigma_1 \stackrel{\leq s}{=\!=\!=} \sigma_2$$
 denote $\forall s' < s.\sigma_1(s') = \sigma_2(s')$.

(2) ??? Judgment
$$\sigma(st) = w$$

(3) **S** denotes a set of **SId**, can be \emptyset ; **S**⁺ denotes a non-empty set of **SId**.

We define a binary relation $\stackrel{\mathbf{S}}{\sim}$ on stores to denote that two stores are similar: they have identical domains, and their bound values by \mathbf{S} are the same. We call this \mathbf{S} an overlap of these two stores.

Definition 0.1 (Stores similarity). $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$ iff (1) $dom(\sigma_1) = dom(\sigma_2)$ (2) $\forall s \in \mathbf{S}.\sigma_1(s) = \sigma_2(s)$

According to this definition, it is only meaningful to have $\mathbf{S} \subseteq dom(\sigma_1)$ (or $dom(\sigma_2)$), and \mathbf{S} can be empty. When $\mathbf{S} = dom(\sigma_1) = dom(\sigma_2)$, σ_1 and σ_2 are identical. It is easy to show that this relation $\stackrel{\mathbf{S}}{\sim}$ is transitive.

• If $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$ and $\sigma_2 \stackrel{\mathbf{S}}{\sim} \sigma_3$, then $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_3$.

We define another binary operation $\stackrel{\mathbf{S}}{\bowtie}$ on stores to denote a kind of specical concatenation of two similar stores: the *concatenation* of two similar stores is a new store, in which the bound values by \mathbf{S} are from any of the parameter stores, and the others are the concatenation of the values from the two stores. In other words, a *concatenation* of two similar stores is only a concatenation of the bound values that *maybe* different in these stores.

Definition 0.2.
$$\sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2 = \sigma \text{ iff}$$

$$(1) \ \sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$$

$$(2) \ \sigma(s) = \begin{cases} \sigma_i(s), & s \in \mathbf{S}, i \in \{1, 2\} \\ \sigma_1(s) + + \sigma_2(s), & otherwise \end{cases}$$

Lemma 0.1. If $\sigma_1 \bowtie \sigma_2 = \sigma$, then $\sigma_1 \sim \sigma$ and $\sigma_2 \sim \sigma$.

This lemma says that the concatenation result of two similar stores is still similar to each of them.

Lemma 0.2. If
$$\sigma_1 \stackrel{\mathbf{S}_1}{\sim} \sigma_1'$$
, $\sigma_2 \stackrel{\mathbf{S}_2}{\sim} \sigma_2'$, $\sigma_1 \stackrel{\leq s}{==} \sigma_2$, and $\sigma_1' \stackrel{\leq s}{==} \sigma_2'$ then $\sigma_1 \stackrel{\mathbf{S}_1}{\bowtie} \sigma_1' \stackrel{\leq s}{==} \sigma_2 \stackrel{\mathbf{S}_2}{\bowtie} \sigma_2'$.

Lemma 0.3. If $\psi(\vec{a}_{11},...,\vec{a}_{1k})\downarrow^{\vec{c}_1}\vec{a}_1$, and $\psi(\vec{a}_{21},...,\vec{a}_{2k})\downarrow^{\vec{c}_2}\vec{a}_2$, then $\psi(\vec{a}_{11}++\vec{a}_{21},...,\vec{a}_{1k}++\vec{a}_{2k})\downarrow^{\vec{c}_1++\vec{c}_2}\vec{a}_1++\vec{a}_2$.

Lemma 0.4. If $\sigma_1 \overset{\mathbf{S}}{\sim} \sigma_2$, $\langle p, \sigma_1 \rangle \downarrow^{\vec{c}_1} \sigma'_1$ (by some derivation \mathcal{P}_1), $\langle p, \sigma_2 \rangle \downarrow^{\vec{c}_2} \sigma'_2$ (by \mathcal{P}_2), and $\mathbf{FV}(p) \cap \mathbf{S} = \emptyset$, then $\langle p, \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2 \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma'_1 \overset{\mathbf{S}}{\bowtie} \sigma'_2$ (by \mathcal{P}).

We need this lemma to prove that the results of single computations inside a comprehension body (i.e. p in the lemma) can be concatenated to express a parallel computation. From the other direction, we can consider this process as distributing or splitting the computation p on even smaller degree of parallel computations, in which all the supplier streams, i.e., $\mathbf{FV}(p)$, are splitted to feed the transducers. The splitted parallel degrees are specified by the control streams, i.e., \vec{c}_1 and \vec{c}_2 in the lemma. Other untouched \mathbf{SIds} in all σ s (i.e., \mathbf{S}) have no change throughout the process.

0.7 SVCODE determinism theroem

Definition 0.3. \vec{a} is a prefix of \vec{a}' if $\vec{a} \subseteq \vec{a}'$:

$$Judgment \boxed{\vec{a} \sqsubseteq \vec{a}'}$$

$$\frac{\vec{a} \sqsubseteq \vec{a}'}{\langle a_0 | \vec{a} \rangle \sqsubseteq \langle a_0 | \vec{a}' \rangle}$$

Lemma 0.5. If

(i)
$$(\vec{a}'_i \sqsubseteq \vec{a}_i)_{i=1}^k$$
 and $\psi(\vec{a}'_1, ..., \vec{a}'_k) \Downarrow \vec{a}'$,

(ii)
$$(\vec{a}_i'' \sqsubseteq \vec{a}_i)_{i=1}^k$$
 and $\psi(\vec{a}_1'', ..., \vec{a}_k'') \Downarrow \vec{a}''$

then

(i)
$$(\vec{a}'_i = \vec{a}''_i)_{i=1}^k$$

(ii)
$$\vec{a}' = \vec{a}''$$
.

Lemma 0.6. If $\psi(\vec{a}_1,...,\vec{a}_k) \downarrow^{\vec{c}} \vec{a}$, and $\psi(\vec{a}_1,...,\vec{a}_k) \downarrow^{\vec{c}} \vec{a}'$, then $\vec{a} = \vec{a}'$.

Theorem 0.1 (SVCODE determinism). If $\langle p, \sigma \rangle \downarrow^{\vec{c}} \sigma'$ and $\langle p, \sigma \rangle \downarrow^{\vec{c}} \sigma''$, then $\sigma' = \sigma''$.

0.8 Translation

$$\delta = [x_1 \mapsto st_1, ..., x_i \mapsto st_i]$$

• Judgment $\delta \vdash e \Rightarrow_{s_1}^{s_0} (p, st)$

$$\frac{\delta \vdash x \Rightarrow_{s_0}^{s_0}(\epsilon, st)}{\delta \vdash x \Rightarrow_{s_0}^{s_0}(\epsilon, st)} \frac{\delta \vdash e_1 \Rightarrow_{s_0'}^{s_0}(p_1, st_1) \qquad \delta[x \mapsto st_1] \vdash e_2 \Rightarrow_{s_1}^{s_0'}(p_2, st)}{\delta \vdash \text{let } x = e_1 \text{ in } e_2 \Rightarrow_{s_1}^{s_0}(p_1; p_2, st)}$$

$$\frac{\phi(st_1, ..., st_k) \Rightarrow_{s_1}^{s_0}(p, st)}{\delta \vdash \phi(x_1, ..., x_k) \Rightarrow_{s_1}^{s_0}(p, st)} ((\delta(x_i) = st_i)_{i=1}^k)$$

$$\frac{[x \mapsto st_1] \ \vdash \ e \Rightarrow_{s_1}^{s_0+1} (p,st)}{\delta \ \vdash \ \{e: x \ \mathbf{in} \ y \ \mathbf{using} \ \cdot \} \Rightarrow_{s_1}^{s_0} (s_0 := \mathtt{Usum}(s_2); st := \mathtt{WithCtrl}(s_0,p), (st,s_2))} \ (\delta(y) = (st_1,s_2))$$

• Auxiliary Judgment $\phi(st_1,...,st_k) \Rightarrow_{s_1}^{s_0} (p,st)$

$$\mathbf{plus}(s_1, s_2) \Rightarrow_{s_0+1}^{s_0} (s_0 := \mathtt{MapTwo}_+(s_1, s_2), s_0)$$

0.9 Value representation

• Judgment $v \triangleright_{\tau} w$

$$\frac{(v_i \triangleright_{\tau} w_i)_{i=1}^k}{\{v_1, ..., v_k\} \triangleright_{\{\tau\}} (w, \langle \mathsf{F}_1, ..., \mathsf{F}_k, \mathsf{T} \rangle)} (w = w_1 + + ... + + w_k)$$

Lemma 0.7 (Value translation backwards determinism). If $v \triangleright_{\tau} w$, $v' \triangleright_{\tau} w$, then v = v'.

0.10 Correctness proof

Lemma 0.8 (???). If $\Gamma \vdash e : \{\tau\}, \ \rho \vdash e \downarrow \{v_1, ..., v_k\}, \ and \ \delta \vdash e \Rightarrow_{s_1}^{s_0} (p, (st, s)), \ then \ s \notin \mathtt{sids}(st).$

Lemma 0.9. If

- (i) $\phi:(\tau_1,...,\tau_k)\to \tau$ (by some derivation \mathcal{T})
- (ii) $\phi(v_1,...,v_k) \vdash v \ (by \ \mathcal{E})$
- (iii) $\phi(st_1,...,st_k) \Rightarrow_{s_1}^{s_0} (p,st)$ (by \mathcal{C})

(iv)
$$(v_i \triangleright_{\tau_i} \sigma(st_i))_{i=1}^k$$

$$(v) \bigcup_{i=1}^k \operatorname{sids}(st_i) \lessdot s_0$$

then

(vi)
$$\langle p, \sigma \rangle \downarrow^{\langle () \rangle} \sigma'$$
 (by \mathcal{P})

(vii)
$$v \triangleright_{\tau} \sigma'(st)$$
 (by \mathcal{R})

(viii)
$$\sigma' \stackrel{\langle s_0 \rangle}{===} \sigma$$

(ix)
$$s_0 \leq s_1$$

$$(x)$$
 sids $(st) \lessdot s_1$

Proof. By inducation on the syntax of ϕ .

• Case $\phi = \mathbf{const}_n$

There is only one possibility for each of \mathcal{T} , \mathcal{E} and \mathcal{C} :

So $k = 0, \tau = \mathbf{int}, v = n, p = s_0 := Const_n(), s_1 = s_0 + 1, and st = s_0$

By P-XDUCER, P-X-LOOP, P-X-TERMI and P-CONST, we can construct \mathcal{P} as follows:

$$\mathcal{P} = \frac{ \begin{array}{c|c} \overline{\mathtt{Const_n}() \Downarrow \langle n \rangle} & \overline{\mathtt{Const_n}() \downarrow^{\langle \rangle} \; \langle \rangle} \\ \\ \overline{\mathtt{Const_n}() \downarrow^{\langle () \rangle} \; \langle n \rangle} \\ \hline \langle s_0 := \mathtt{Const_n}(), \sigma \rangle \downarrow^{\langle () \rangle} \; \sigma[s_0 \mapsto \langle n \rangle] \\ \end{array} }$$

So $\sigma' = \sigma[s_0 \mapsto \langle n \rangle].$

Then we take $\mathcal{R} = \overline{n \triangleright_{\mathbf{int}} \sigma'(s_0)}$

Also clearly, $\sigma' \stackrel{\langle s_0 \rangle}{=\!=\!=\!=} \sigma$, $s_0 \leq s_0 + 1$, $\operatorname{sids}(s_0) \leqslant s_0 + 1$, and we are done.

• Case $\phi = \mathbf{plus}$ We must have

$$\mathcal{T} = egin{array}{c} \mathbf{plus}: (\mathbf{int}, \mathbf{int})
ightarrow \mathbf{int} \ \mathcal{E} = \overline{\hspace{0.2cm} dash \mathbf{plus}(n_1, n_2) \downarrow n_3} \end{array}$$

where $n_3 = n_2 + n_1$, and

$$\mathcal{C} = \frac{}{\mathbf{plus}(s_1, s_2) \Rightarrow_{s_0+1}^{s_0} (s_0 := \mathtt{MapTwo}_+(s_1, s_2), s_0)}$$

So $k=2, \tau_1=\tau_2=\tau=\inf, v_1=n_1, v_2=n_2, v=n_3, st_1=s_1, st_2=s_2, st=s_0, s_1=s_0+1$ and $p=s_0:=\operatorname{MapTwo}_+(s_1,s_2).$

Assumption (iv) gives us $\overline{n_1 \triangleright_{\mathbf{int}} \sigma(s_1)}$ and $\overline{n_2 \triangleright_{\mathbf{int}} \sigma(s_2)}$, which implies $\sigma(s_1) = \langle n_1 \rangle$ and $\sigma(s_2) = \langle n_2 \rangle$ respectively.

For (v) we have $s_1 < s_0$ and $s_2 < s_0$.

Then using P-XDUCER with $\sigma(s_1) = \langle n_1 \rangle$ and $\sigma(s_2) = \langle n_2 \rangle$, and using P-X-LOOP and P-X-TERMI, we can build \mathcal{P} as follows:

Therefore, $\sigma' = \sigma[s_0 \mapsto \langle n_3 \rangle].$

Now we can take $\mathcal{R} = n_3 \triangleright_{\mathbf{int}} \sigma'(s_0)$, and it is clear that $\sigma' \stackrel{\leq s_0}{=\!=\!=\!=} \sigma$, $s_0 \leq s_0 + 1$ and $\mathsf{sids}(s_0) \leqslant s_0 + 1$ as required.

• Case $\phi = \mathbf{iota}$

Theorem 0.2. If

(i) $\Gamma \vdash e : \tau$ (by some derivation \mathcal{T})

(ii) $\rho \vdash e \downarrow v \ (by \ some \ \mathcal{E})$

(iii) $\delta \vdash e \Rightarrow_{s_1}^{s_0} (p, st) \ (by \ some \ \mathcal{C})$

 $\begin{array}{ll} (iv) \ \forall x \in dom(\Gamma). \ \vdash \ \rho(x) : \Gamma(x) \wedge \operatorname{sids}(\delta(x)) \lessdot s_0 \wedge \rho(x) \rhd_{\Gamma(x)} \sigma(\delta(x)) \\ \boldsymbol{then} \end{array}$

(v) $\langle p, \sigma \rangle \downarrow^{\langle () \rangle} \sigma'$ (by some derivation \mathcal{P})

(vi) $v \triangleright_{\tau} \sigma'(st)$ (by some \mathcal{R})

(vii) $\sigma' \stackrel{\langle s_0 \rangle}{=\!=\!=} \sigma$

(viii) $s_0 \leq s_1$

(ix) sids $(st) \lessdot s_1$

Proof. By induction on the syntax of e.

• Case $e = \{e_1 : x \text{ in } y \text{ using } \cdot \}.$

We must have:

(ii)
$$\mathcal{E} = \frac{([x \mapsto v_i] \vdash e_1 \downarrow v_i')_{i=1}^k}{\rho \vdash \{e_1 : x \text{ in } y \text{ using } \cdot\} \downarrow \{v_1', ..., v_k'\}} (\rho(y) = \{v_1, ..., v_k\})$$

$$\mathcal{C}_{1}$$
 (iii)
$$\mathcal{C} = \frac{ [x \mapsto st_{1}] \ \vdash \ e_{1} \Rightarrow_{s_{1}}^{s_{0}+1} (p_{1}, st_{2}) }{\delta \ \vdash \ \{e_{1} : x \ \textbf{in} \ y \ \textbf{using} \ \cdot\} \Rightarrow_{s_{1}}^{s_{0}} (s_{0} := \texttt{Usum}(s_{2}); st_{2} := \texttt{WithCtrl}(s_{0}, p_{1}), (st_{2}, s_{2})) }$$
 (\delta(y) = (st_{1}, s_{2})) So $p = (s_{0} := \texttt{Usum}(s_{2}); st_{2} := \texttt{WithCtrl}(s_{0}, p_{1})), \tau = \{\tau_{2}\}, v = \{v'_{1}, ..., v'_{k}\}, st = (st_{2}, s_{2}).$

(iv) $\vdash \rho(y) : \Gamma(y)$ gives us $\vdash \{v_1, ..., v_k\} : \{\tau_1\}$, which must have the derivation:

$$\frac{(\vdash v_i : \tau_1)_{i=1}^k}{\vdash \{v_1, ..., v_k\} : \{\tau_1\}}$$
 (1)

 $sids(\delta(y)) \lessdot s_0$ gives us

$$\operatorname{sids}(\delta(y)) = \operatorname{sids}((st_1, s_2)) = \operatorname{sids}(st_1) \cup \{s_2\} \lessdot s_0 \tag{2}$$

 $\rho(y) \triangleright_{\Gamma(y)} \sigma(\delta(y)) = \{v_1, ..., v_k\} \triangleright_{\{\tau_1\}} \sigma((st_1, s_2))$ must have the derivation:

$$\mathcal{R}_i$$

$$\frac{(v_i \triangleright_{\tau_1} w_i)_{i=1}^k}{\{v_1, \dots, v_k\} \triangleright_{\{\tau_1\}} (w, \langle F_1, \dots, F_k, T \rangle)} (w = w_1 + \dots + w_k)$$
(3)

therefore

$$\sigma(st_1) = w \tag{4}$$

and

$$\sigma(s_2) = \langle F_1, ..., F_k, T \rangle. \tag{5}$$

First we shall show:

- (v) $\langle s_0 := \mathtt{Usum}(s_2); st_2 := \mathtt{WithCtrl}(s_0, p_1), \sigma \rangle \downarrow^{\langle () \rangle} \sigma'$ by some \mathcal{P}
- (vi) $\{v'_1, ..., v'_k\} \triangleright_{\{\tau_2\}} \sigma'((st_2, s_2))$ by some \mathcal{R}
- (vii) $\sigma' \stackrel{\langle s_0 \rangle}{=\!=\!=} \sigma$

By P-SEQ, we can build \mathcal{P} as follow:

$$\begin{split} & \mathcal{P}_0 & \mathcal{P}_1 \\ & \underbrace{\langle s_0 := \mathtt{Usum}(s_2), \sigma \rangle \downarrow^{\langle () \rangle} \sigma_0 } & \langle st_2 := \mathtt{WithCtrl}(s_0, p_1), \sigma_0 \rangle \downarrow^{\langle () \rangle} \sigma' \\ & \underbrace{\langle s_0 := \mathtt{Usum}(s_2); st_2 := \mathtt{WithCtrl}(s_0, p_1), \sigma \rangle \downarrow^{\langle () \rangle} \sigma'} \end{split}$$

By P-XDUCER with $\sigma(s_2) = \langle F_1, ..., F_k, T \rangle$, we can continue to build \mathcal{P}_0 as follow:

$$\begin{split} \mathcal{P}_0' \\ \mathcal{P}_0 &= \frac{\mathtt{Usum}(\langle \mathtt{F}_1, ..., \mathtt{F}_k, \mathtt{T} \rangle) \downarrow^{\langle () \rangle} \vec{a}}{\langle s_0 := \mathtt{Usum}(s_2), \sigma \rangle \downarrow^{\langle () \rangle} \sigma[s_0 \mapsto \vec{a}]} \end{split}$$

So $\sigma_0 = \sigma[s_0 \mapsto \vec{a}].$

We split $\langle F_1, ..., F_k, T \rangle$ into two parts: $\vec{b}_1 = \langle F_1, ..., F_k, T \rangle$ and $\vec{b}_2 = \langle \rangle$. By P-X-LOOP and P-X-TERMI with \vec{b}_1 and \vec{b}_2 , we continue building \mathcal{P}'_0 as follow:

$$\mathcal{P}_0' = \frac{\mathcal{P}_0''}{\operatorname{Usum}(\vec{b}_1) \Downarrow \vec{a}} \frac{\operatorname{Usum}(\vec{b}_2) \downarrow^{\langle \rangle} \left\langle \right\rangle}{\operatorname{Usum}(\left\langle \mathbf{F}_1, ..., \mathbf{F}_k, \mathbf{T} \right\rangle) \downarrow^{\langle () \rangle} \vec{a}}$$

Then using P-UsumF k times and P-UsumT once, we obtain

$$\mathcal{P}_0'' = \underbrace{\frac{\operatorname{Usum}(\langle \mathbf{T} \rangle) \Downarrow \langle \rangle}{\vdots}}_{\begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \hline{\operatorname{Usum}(\langle \mathbf{F}_2, ..., \mathbf{F}_k, \mathbf{T} \rangle) \Downarrow \langle ()_2, ..., ()_k \rangle}_{} \\ \end{array}}_{\mathbf{Usum}(\langle \mathbf{F}_1, ..., \mathbf{F}_k, \mathbf{T} \rangle) \Downarrow \langle ()_1, ..., ()_k \rangle}$$

Thus so far we have constructed \mathcal{P}_0 of $\langle s_0 := \mathtt{Usum}(s_2), \sigma \rangle \downarrow^{\langle () \rangle} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]$.

Since we have

$$\mathcal{T}_1 = [x \mapsto \tau_1] \vdash e_1 : \tau_2$$

$$(\mathcal{E}_i = [x \mapsto v_i] \vdash e_1 \downarrow v_i')_{i=1}^k$$

$$\mathcal{C}_1 = [x \mapsto st_1] \vdash e_1 \Rightarrow_{s_1}^{s_0+1} (p_1, st_2)$$

Let $\Gamma_1 = [x \mapsto \tau_1], \rho_i = [x \mapsto v_i]$ and $\delta_1 = [x \mapsto st_1]$. From (1) and (2) it is clear that

$$\forall z \in dom(\Gamma_1)$$
. $\vdash \rho_i(z) : \Gamma_1(z) \land sids(\delta_1(z)) \lessdot s_0$.

Let i range from 1 to k: we take $\sigma_i \stackrel{st_1}{\sim} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]$ such that $\sigma_i(st_1) = w_i$. From \mathcal{R}_i in (3) we know that

$$\forall z \in dom(\Gamma_1).\rho_i(z) \triangleright_{\Gamma_1(z)} \sigma_i(\delta_1(z)).$$

Then by IH (k times) on \mathcal{T}_1 with \mathcal{E}_i , \mathcal{C}_1 we obtain the following result:

$$(\langle p_1, \sigma_i \rangle \downarrow^{\langle (i) \rangle} \sigma_i')_{i=1}^k \tag{6}$$

$$(v_i' \triangleright_{\tau_2} \sigma_i'(st_2))_{i=1}^k \tag{7}$$

$$\left(\sigma_i' \stackrel{\langle s_0 + 1}{=} \sigma_i\right)_{i=1}^k \tag{8}$$

$$s_0 + 1 \le s_1 \tag{9}$$

$$\operatorname{sids}(st_2) < s_1 \tag{10}$$

Assume $sids(st_2) = \{s'_1, ..., s'_j\}.$

There are two possibilities:

- Subcase k = 0, that is $\sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle](s_0) = \langle \rangle$. By P-WC-EMP We build

$$\mathcal{P}_1 = \frac{}{\langle st_2 := \mathtt{WithCtrl}(s_0, p_1), \sigma \rangle \downarrow^{\langle () \rangle} \sigma[s_0 \mapsto \langle \rangle, s_1' \mapsto \langle \rangle, ..., s_j' \mapsto \langle \rangle]}$$

So in this subcase,

$$\sigma' = \sigma[s_0 \mapsto \langle \rangle, s_1' \mapsto \langle \rangle, ..., s_j' \mapsto \langle \rangle].$$

Since k=0, then $v=\{\}$, $\sigma(s_2)=\langle \mathtt{T}\rangle$ (from (5)), we have $\sigma'(s_2)=\sigma(s_2)=\langle \mathtt{T}\rangle$ (?? not correct if $s_2\in \mathtt{sids}(st_2)/\mathtt{sids}(st_1)$), and $\sigma'(st_2)=(...((\langle \rangle, \langle \rangle)_1, \langle \rangle)_2,...)_{j-1}$.

Therefore $\sigma'((st_2, s_2)) = (\sigma'(st_2), \sigma'(s_2))$, with which we construct

$$\mathcal{R} = \overline{\{\} \triangleright_{\{\tau_2\}} ((...(\langle \rangle, \langle \rangle)_1, ...)_{j-1}, \langle \mathsf{T} \rangle)}$$

as required.

Since k = 0, from (4) we know $\forall s' \in \mathtt{sids}(st_1).\sigma(s') = \langle \rangle$. For any $s' \in \mathtt{sids}(st_2)$ and $s' < s_0$, it must have $s' \in \mathtt{sids}(st_1)$ (because $codom(\delta_1) = \{st_1\}$), hence $\sigma(s') = \langle \rangle = \sigma'(s')$. Therefore,

$$\sigma' \stackrel{\langle s_0 \rangle}{===} \sigma$$

– Subcase k > 0, that is $\sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle] = \langle () | \vec{a} \rangle$ for some \vec{a} . By P-WC-Nonemp, we take $\mathcal{P}_1 =$

$$\begin{split} \mathcal{P}_1' \\ & \langle p_1, \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle] \rangle \downarrow^{\langle ()_1, ..., ()_k \rangle} \sigma'' \\ & \langle st_2 := \mathtt{WithCtrl}(s_0, p_1), \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle] \rangle \downarrow^{\langle () \rangle} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle, s_1' \mapsto \sigma''(s_1'), ..., s_j' \mapsto \sigma''(s_j')] \end{split}$$

So in this subcase

$$\sigma' = \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle, s_1' \mapsto \sigma''(s_1'), ..., s_i' \mapsto \sigma''(s_i')].$$

Using Lemma 0.4 (k-1) times on (6) gives us

$$\langle p_1, (\stackrel{st_1}{\bowtie} \sigma_i)_{i=1}^k \rangle \downarrow^{\langle ()_1, \dots, ()_k \rangle} (\stackrel{st'_2}{\bowtie} \sigma'_i)_{i=1}^k$$

$$\tag{11}$$

where $st'_2 = \operatorname{sids}(st_1) \cup \operatorname{sids}(st_2)$ (???).

By Definition 0.2 we have

$$(\stackrel{st_1}{\bowtie} \sigma_i)_{i=1}^k = \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]. \tag{12}$$

Then by Theorem 0.1 on \mathcal{P}'_1 with (11), we get

$$\sigma'' = (\stackrel{st'_2}{\bowtie} \sigma'_i)_{i=1}^k \tag{13}$$

Therefore, $\sigma''(st_2) = \sigma'_1(st_2) + + \dots + + \sigma'_k(st_2)$ by Definition 0.2. Let $\sigma'_i(st_2) = w'_i$ and $\sigma''(st_2) = w'$, then $w' = w'_1 + + \dots + + w'_k$.

Since $\sigma'(st_2) = \sigma''(st_2) = w'$, and $\sigma'(s_2) = \sigma(s_2) = \langle F_1, ..., F_k, T \rangle$, we now have $\sigma'((st_2, s_2)) = (\sigma'(st_2), \sigma'(s_2)) = (w', \langle F_1, ..., F_k, T \rangle)$. With (7), we can construct

$$\mathcal{R} = \frac{(v_i' \triangleright_{\tau_2} w_i')_{i=1}^k}{\{v_1', ..., v_k'\} \triangleright_{\{\tau_2\}} (w', \langle \mathbf{F}_1, ..., \mathbf{F}_k, \mathbf{T} \rangle)}$$

as required.

By Lemma 0.1 on (12) we get $\sigma_i \stackrel{st_1}{\sim} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]$, and similarly $\sigma_i' \stackrel{st_2'}{\sim} \sigma''$ from (13).

Since (8) implies

$$(\sigma_i' \stackrel{\langle s_0 \rangle}{==} \sigma_i)_{i=1}^k$$

using Lemma 0.2 (k-1) times, we obtain

$$\sigma'' \stackrel{\langle s_0 \rangle}{=\!=\!=} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle].$$

Therefore, $\sigma' \stackrel{< s_0}{=\!\!\!=\!\!\!=} \sigma[s_0 \mapsto \langle ()_1,...,()_k \rangle] \stackrel{< s_0}{=\!\!\!=\!\!\!=} \sigma.$

- (viii) TS: $s_0 \le s_1$ From (9) we immediately get $s_0 \le s_1 - 1 < s_1$.
- (ix) TS: $sids((st_2, s_2)) \le s_1$ From (2) we know $s_2 < s_0$, thus $s_2 < s_0 \le s_1$. And we already have (10). Therefore, $sids((st_2, s_2)) = sids(st_2) \cup \{s_2\} \le s_1$.
- Case e = x.

We must have

$$\mathcal{T} = \frac{\Gamma \vdash x : \tau}{\Gamma \vdash x : \tau} (\Gamma(x) = \tau)$$

$$\mathcal{E} = \frac{\Gamma \vdash x : \tau}{\rho \vdash x \downarrow v} (\rho(x) = v)$$

$$\mathcal{C} = \frac{\Gamma}{\delta \vdash x \Rightarrow_{s_0}^{s_0} (\epsilon, st)} (\delta(x) = st)$$

So $p = \epsilon$.

Immediately we have $\mathcal{P} = \overline{\langle \epsilon, \sigma \rangle \downarrow^{\langle () \rangle} \sigma}$

So $\sigma' = \sigma$, which implies $\sigma' \stackrel{\langle s_0 \rangle}{===} \sigma$.

From the assumption we already have $v \triangleright_{\tau} \sigma(st)$, and $sids(st) \lessdot s_0$.

Finally it's clear that $s_0 \leq s_0$, and we are done.

• Case $e = \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2$.

We must have:

So $p = p_1; p_2$.

By IH on \mathcal{T}_1 with $\mathcal{E}_1, \mathcal{C}_1$, we get

- (a) \mathcal{P}_1 of $\langle p_1, \sigma \rangle \downarrow^{\langle () \rangle} \sigma_1$
- (b) \mathcal{R}_1 of $v_1 \triangleright_{\tau_1} \sigma_1(st_1)$
- (c) $\sigma_1 \stackrel{\langle s_0 \rangle}{===} \sigma$
- (d) $s_0 \le s_0'$
- (e) $\operatorname{sids}(st_1) \lessdot s'_0$

From (b), we know $\rho[x \mapsto v_1](x) : \Gamma[x \mapsto \tau_1](x)$ and $\rho[x \mapsto v_1](x) \triangleright_{\Gamma[x \mapsto \tau_1](x)} \sigma_1(\delta[x \mapsto st_1](x))$ must hold. From (e), we have $\operatorname{sids}(\delta[x \mapsto st_1](x)) \lessdot s'_0$.

Then by IH on \mathcal{T}_2 with $\mathcal{E}_2, \mathcal{C}_2$, we get

- (f) \mathcal{P}_2 of $\langle p_2, \sigma_1 \rangle \downarrow^{\langle () \rangle} \sigma_2$
- (g) \mathcal{R}_2 of $\sigma_2 \triangleright_{\tau} \sigma_2(st)$
- (h) $\sigma_2 \stackrel{\langle s_0'}{=\!=\!=} \sigma_1$
- (i) $s_0' \le s_1$
- (j) $sids(st) \lessdot s_1$

So we can construct:

$$\mathcal{P} = rac{\mathcal{P}_1}{\langle p_1, \sigma
angle \downarrow^{\langle ()
angle} \sigma_1} rac{\mathcal{P}_2}{\langle p_2, \sigma_1
angle \downarrow^{\langle ()
angle} \sigma_2}}{\langle p_1; p_2, \sigma
angle \downarrow^{\langle ()
angle} \sigma_2}$$

From (c), (d) and (h), it is clear that $\sigma_2 \stackrel{\leq s_0}{=\!\!\!=\!\!\!=} \sigma_1 \stackrel{\leq s_0}{=\!\!\!=\!\!\!=} \sigma$. From (d) and (i), $s_0 \leq s_1$. Take $\sigma' = \sigma_2$ (thus $\mathcal{R} = \mathcal{R}_2$) and we are done.

• Case $e = \phi(x_1, ..., x_k)$ We must have

$$\mathcal{T}_{1}$$

$$\mathcal{T} = \frac{\phi : (\tau_{1}, \dots, \tau_{k}) \to \tau}{\Gamma \vdash \phi(x_{1}, \dots, x_{k}) : \tau} \left((\Gamma(x_{i}) = \tau_{i})_{i=1}^{k} \right)$$

$$\mathcal{E}_{1}$$

$$\mathcal{E} = \frac{\vdash (v_{1}, \dots, v_{k})(\downarrow) \vdash v}{\rho \vdash \phi(x_{1}, \dots, x_{k}) \downarrow v} \left((\rho(x_{i}) = v_{i})_{i=1}^{k} \right)$$

$$C = \frac{\phi(st_1, ..., st_k) \Rightarrow_{s_1}^{s_0} (p, st)}{\delta + \phi(x_1, ..., x_k) \Rightarrow_{s_1}^{s_0} (p, st)} ((\delta(x_i) = st_i)_{i=1}^k)$$

From our assumption (iv), for all $i \in \{1, ..., k\}$:

- (a) $\vdash \rho(x_i) : \Gamma(x_i)$, that is, $\vdash v_i : \tau_i$
- (b) $\operatorname{sids}(\delta(x_i)) \lessdot s_0$, that is, $\operatorname{sids}(st_i) \lessdot s_0$
- (c) $\rho(x_i) \triangleright_{\Gamma(x_i)} \sigma(st_i)$, that is, $v_i \triangleright_{\tau_i} \sigma(st_i)$

So using Lemma 0.9 on $\mathcal{T}_1, \mathcal{E}_1, \mathcal{C}_1, (a), (b)$ and (c) gives us exactly what we shall show.