# SNESL formalization Level-0

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### September 21, 2017

## 0 Level-0

Draft version 0.0.7:

- changed WithCtrl: added import and export list
- adjusted section structure
- small changes of some function notations
- Note: the symbols/functions used in the main correctness theroem have not been updated yet

## 1 Source Language

### 1.1 Source language syntax

SNESL Expressions:

$$e ::= x \mid \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 \mid \phi(x_1, ..., x_k) \mid \{e : x \ \mathbf{in} \ y \ \mathbf{using} \cdot \}$$

$$\phi = \mathbf{const}_n \mid \mathbf{iota} \mid \mathbf{plus}$$

Values:

$$n \in \mathbf{Z}$$
$$v ::= n \mid \{v_1, ..., v_k\}$$

#### 1.2 Type system

$$\tau ::= \mathbf{int} | \{\tau_1\}$$

Type environment  $\Gamma = [x_1 \mapsto \tau_1, ..., x_i \mapsto \tau_i].$ 

• Expression typing rules:

$$\text{Judgment} \boxed{\Gamma \ \vdash \ e : \tau}$$

$$\frac{\Gamma \vdash x : \tau}{\Gamma \vdash x : \tau} (\Gamma(x) = \tau) \qquad \frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma[x \mapsto \tau_1] \vdash e_2 : \tau}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 : \tau}$$

$$\frac{\phi : (\tau_1, ..., \tau_k) \to \tau}{\Gamma \vdash \phi(x_1, ..., x_k) : \tau} ((\Gamma(x_i) = \tau_i)_{i=1}^k) \qquad \frac{[x \mapsto \tau_1] \vdash e : \tau}{\Gamma \vdash \{e : x \text{ in } y \text{ using } \cdot\} : \{\tau\}} (\Gamma(y) = \{\tau_1\})$$

• Auxiliary Judgment  $\phi:(\tau_1,...,\tau_k)\to \tau$ 

• Value typing rules:

Judgment 
$$v:\tau$$

$$n: \mathbf{int}$$
  $(v_i: \tau)_{i=1}^k$   $\{v_1, ..., v_k\} : \{\tau\}$ 

#### 1.3 Source language semantics

$$\rho = [x_1 \mapsto v_1, ..., x_i \mapsto v_i]$$

• Judgment 
$$\rho \vdash e \downarrow v$$

$$\frac{\rho \vdash e_1 \downarrow v_1 \qquad \rho[x \mapsto v_1] \vdash e_2 \downarrow v}{\rho \vdash \text{let } e_1 = x \text{ in } e_2 \downarrow v}$$

$$\frac{\phi(v_1, ..., v_k) \vdash v}{\rho \vdash \phi(x_1, ..., x_k) \downarrow v} ((\rho(x_i) = v_i)_{i=1}^k)$$

$$\frac{([x \mapsto v_i] \vdash e \downarrow v_i')_{i=1}^k}{\rho \vdash \{e : x \text{ in } y \text{ using } \cdot\} \downarrow \{v_1', ..., v_k'\}} (\rho(y) = \{v_1, ..., v_k\})$$

• Auxiliary Judgment  $\phi(v_1,...,v_k) \vdash v$ 

$$\frac{-}{\operatorname{\mathbf{const}}_n() \vdash n} \qquad \frac{-}{\operatorname{\mathbf{iota}}(n) \vdash \{0, 1, ..., n-1\}} (n \ge 0)$$

$$\frac{-}{\operatorname{\mathbf{plus}}(n_1, n_2) \vdash n_3} (n_3 = n_1 + n_2)$$

# 2 Target language

#### 2.1 SVCODE syntax

(1) Stream id:

$$s \in \mathbf{SId} = \mathbf{N} = \{0, 1, 2...\}$$

A list of SId:

$$S = [s_1, ..., s_i]$$

Note: for simplicity, in some cases where duplicate of some elements does not affect the correctness we will also use S to stand for a set of SIds which keeps only one copy of each element in S.

(2) SVCODE operations:

$$\psi ::= \mathtt{Const_a} \mid \mathtt{ToFlags} \mid \mathtt{Usum} \mid \mathtt{MapTwo}_{\oplus} \mid \mathtt{ScanPlus}_{n_0}$$

where  $\oplus$  stands for some binary operation on **int**.

(3) SVCODE program:

$$\begin{split} p &::= \ \epsilon \\ & \mid s := \psi(s_1,...,s_i); p_1 \\ & \mid \mathbf{S}_{out} := \mathtt{WithCtrl}(s,\mathbf{S}_{in},p_1); p_2 \end{split} \qquad & (\mathbf{S}_{in} = \{s\} \cup \mathtt{fv}(p_1), \mathbf{S}_{out} \subseteq \mathtt{dv}(p_1)) \end{split}$$

Note: here this  $\mathbf{S}_{in}$  is actually slightly different from the import list used in our streaming interpreter which does not contain the new control stream id. So it may cause some confusion later when we introduce the streaming language. We may want to remove this  $\mathbf{S}_{in}$  from WithCtrl instruction if it turns out it is not necessary to make it an explicit component here.

(4) Differentce of sets:

For two sets A and B,

$$A - B = \{s | s \in A, s \notin B\}$$

It is easy to prove the following properties:

• For any three sets A, B and C:

$$(A - B) \cap C = (A \cap C) - B = A \cap (C - B)$$

• For two sets A and B,

$$A \cap B = \emptyset \Leftrightarrow A - B = A$$

(5) Free variables: a set (or list) of stream ids that are not defined but referred to by a SVCODE program

$$\begin{split} & \texttt{fv}(\epsilon) &= \{\} \\ & \texttt{fv}(s := \psi(s_1, ..., s_i); p_1) = \{s_1, ..., s_i\} \cup \texttt{fv}(p_1) - \{s\} \\ & \texttt{fv}(\mathbf{S}_{out} := \texttt{WithCtrl}(s_c, \mathbf{S}_{in}, p_1); p_2) = \mathbf{S}_{in} \cup \texttt{fv}(p_2) - \mathbf{S}_{out} \end{split}$$

(6) Defined variables: a set (or list) of stream ids that are defined by a SVCODE program and accessible to the outside environment of the program

$$\begin{split} \mathrm{d} \mathbf{v}(\epsilon) &= \{\} \\ \mathrm{d} \mathbf{v}(s := \psi(s_1, ..., s_i); p_1) &= \{s\} \cup \mathrm{d} \mathbf{v}(p_1) \\ \mathrm{d} \mathbf{v}(\mathbf{S}_{out} := \mathtt{WithCtrl}(s_c, \mathbf{S}_{in}, p_1); p_2) &= \mathbf{S}_{out} \cup \mathrm{d} \mathbf{v}(p_2) \end{split}$$

(7) SVCODE streams:

$$\begin{split} b &\in \{\mathtt{T},\mathtt{F}\} \\ a &::= n \mid b \mid () \\ \vec{b} &= \langle b_1,...,b_i \rangle \\ \vec{a} &= \langle a_1,...,a_i \rangle \end{split}$$

(8)

- (9) Notations and operations about streams:
  - For some  $a_0$  and  $\vec{a} = \langle a_1, ..., a_i \rangle$ , let  $\langle a_0 | \vec{a} \rangle = \langle a_0, a_1, ..., a_i \rangle$ .
  - $\langle a_1, ..., a_i \rangle + + \langle a'_1, ..., a'_i \rangle = \langle a_1, ..., a_i, a'_1, ..., a'_i \rangle$

#### 2.2 SVCODE semantics

SVCODE stores  $\sigma = [s_1 \mapsto \vec{a}_1, ..., s_i \mapsto \vec{a}_i].$ 

• Judgment  $[\langle p, \sigma \rangle \downarrow^{\vec{c}} \sigma']$  $\vec{c}$  is the control stream.

P-EMPTY: 
$$\overline{\langle \epsilon, \sigma \rangle \downarrow^{\vec{c}} \sigma}$$

$$\text{P-XDUCER}: \frac{\psi(\vec{a}_1,...,\vec{a}_k) \downarrow^{\vec{c}} \vec{a} \qquad \langle p_1, \sigma[s \mapsto \vec{a}] \rangle \downarrow^{\vec{c}} \sigma'}{\langle s := \psi(s_1,...,s_k); p_1, \sigma \rangle \downarrow^{\vec{c}} \sigma'} \left( (\sigma(s_i) = \vec{a}_i)_{i=1}^k \right)$$

$$P\text{-WC-EMP}: \frac{\langle p_2, \sigma[s_1 \mapsto \langle \rangle, ..., s_i \mapsto \langle \rangle] \rangle \downarrow^{\vec{c}} \sigma'}{\langle \mathbf{S}_{out} := \mathtt{WithCtrl}(s_c, \mathbf{S}_{in}, p_1); p_2, \sigma \rangle \downarrow^{\vec{c}} \sigma'} \begin{pmatrix} \forall s \in \mathbf{S}_{in}.\sigma(s) = \langle \rangle \\ \mathbf{S}_{out} = [s_1, ..., s_i] \end{pmatrix}$$

$$\text{P-WC-NONEMP}: \frac{\langle p_1, \sigma \rangle \downarrow^{\vec{c}_1} \sigma'' \qquad \langle p_2, \sigma[s_1 \mapsto \sigma''(s_1), ..., s_i \mapsto \sigma''(s_i)] \rangle \downarrow^{\vec{c}} \sigma'}{\langle \mathbf{S}_{out} := \texttt{WithCtrl}(s_c, \mathbf{S}_{in}, p_1); p_2, \sigma \rangle \downarrow^{\vec{c}} \sigma'} \begin{pmatrix} \sigma(s_c) = \vec{c}_1 = \langle () | ... \rangle \\ \mathbf{S}_{out} = [s_1, ..., s_i] \end{pmatrix}$$

$$P-Seq: \frac{\langle p_1, \sigma \rangle \downarrow^{\vec{c}} \sigma'' \qquad \langle p_2, \sigma'' \rangle \downarrow^{\vec{c}} \sigma'}{\langle p_1; p_2, \sigma \rangle \downarrow^{\vec{c}} \sigma'}$$

• Transducer semantics:

Judgment 
$$\psi(\vec{a}_1,...,\vec{a}_k) \downarrow^{\vec{c}} \vec{a}$$

$$\text{P-X-Loop}: \frac{\psi(\vec{a}_{11},...,\vec{a}_{k1}) \Downarrow \vec{a}_1 \qquad \psi(\vec{a}_{12},...,\vec{a}_{k2}) \downarrow^{\vec{c}} \vec{a}_2}{\psi(\vec{a}_{11}+\!\!+\!\vec{a}_{12},...,\vec{a}_{k1}+\!\!+\!\vec{a}_{k2}) \downarrow^{\langle a_0 \mid \vec{c} \rangle} \vec{a}} \ (\vec{a} = \vec{a}_1 +\!\!+ \vec{a}_2)$$

P-X-TERMI : 
$$\overline{\psi(\langle \rangle_1, ..., \langle \rangle_k) \downarrow^{\langle \rangle}}$$

• Transducer block semantics:

Judgment 
$$\psi(\vec{a}_1,...,\vec{a}_k) \downarrow \vec{a}$$

P-Const. 
$$() \parallel \langle a \rangle$$

$$\begin{array}{l} \text{P-Const: } \overline{ \text{Const}_{\mathtt{a}}() \Downarrow \langle a \rangle } \\ \text{P-ToFLAGS: } \overline{ \text{ToFlags}(\langle n \rangle) \Downarrow \langle \mathtt{F}_1, ..., \mathtt{F}_n, \mathtt{T} \rangle } \end{array}$$

$$\text{P-MapTwo}: \overline{\text{MapTwo}_{\oplus}(\langle n_1 \rangle, \langle n_2 \rangle) \Downarrow \langle n_3 \rangle} \ (n_3 = n_1 \oplus n_2)$$

$$\begin{array}{l} \text{P-UsumF}: \frac{\text{Usum}(\vec{b}) \Downarrow \vec{a}}{\text{Usum}(\langle \textbf{F} | \vec{b} \rangle) \Downarrow \langle () | \vec{a} \rangle} \\ \text{P-UsumT}: \frac{\text{Usum}(\langle \textbf{T} \rangle) \Downarrow \langle () | \vec{a} \rangle}{\text{Usum}(\langle \textbf{T} \rangle) \Downarrow \langle () | \vec{a} \rangle} \end{array}$$

$$P\text{-}UsumT: \overline{\text{Usum}(\langle T \rangle) \Downarrow \langle \rangle}$$

$$\text{P-SCANF}: \frac{\text{ScanPlus}_{n_0+n}(\vec{b}, \vec{a}) \Downarrow \vec{a}'}{\text{ScanPlus}_{n_0}(\langle \textbf{F} | \vec{b} \rangle, \langle n | \vec{a} \rangle) \Downarrow \langle n_0 | \vec{a}' \rangle}$$

$$P$$
-SCANT:  $ScanPlus_{n_0}(\langle T \rangle, \langle \rangle) \Downarrow \langle \rangle$ 

Or if we want to use *unary* semantics maybe for later:

$$\frac{\psi(\langle \mathbf{F} \rangle, ..., \vec{a}_{k1}) \downarrow \vec{a}_1 \qquad \psi(\vec{a}_{12}, ..., \vec{a}_{k2}) \downarrow \vec{a}_2}{\psi(\langle \mathbf{F} \rangle +\!\!\!+ \vec{a}_{12}, ..., \vec{a}_{k1} +\!\!\!+ \vec{a}_{k2}) \downarrow \vec{a}} (\vec{a} = \vec{a}_1 +\!\!\!+ \vec{a}_2)}$$

$$\frac{\psi(\langle \mathbf{T} \rangle, ..., \vec{a}_k) \downarrow \vec{a}}{\psi(\langle \mathbf{T} \rangle, ..., \vec{a}_k) \downarrow \vec{a}}$$

<sup>&</sup>lt;sup>1</sup> For convenience, in this thesis we add subscripts to a sequence of constants, such as  $\langle \rangle$ , F, 1, to denote the total number of these constants.

- Transducer unary semantics:

Judgment 
$$\psi(\langle b \rangle, ..., \vec{a}_k) \downarrow \vec{a}$$

$$\overline{ \text{Usum}(\langle \mathtt{F} \rangle) \downarrow\downarrow \langle () \rangle } \qquad \overline{ \text{Usum}(\langle \mathtt{T} \rangle) \downarrow\downarrow \langle \rangle }$$

- Transducer block with accumulator:

Judgment 
$$[\psi_n(\vec{a}_1,...,\vec{a}_k) \downarrow \vec{a}]$$

$$\frac{\psi_{n_0}(\langle F \rangle,...,\vec{a}_{k1}) \downarrow^{n'_0} \langle n_1 \rangle \qquad \psi_{n'_0}(\vec{a}_{12},...,\vec{a}_{k2}) \downarrow \vec{a}_2 }{\psi_{n_0}(\langle F \rangle ++\vec{a}_{12},...,\vec{a}_{k1}++\vec{a}_{k2}) \downarrow \langle n_1 \rangle ++\vec{a}_2 }$$

$$\psi_{n_0}(\langle T \rangle,...,\vec{a}_k) \mid \vec{a}$$

$$\frac{\psi_{n_0}(\langle \mathtt{T} \rangle, ..., \vec{a}_k) \ \! \! \downarrow \ \vec{a}}{\psi_{n_0}(\langle \mathtt{T} \rangle, ..., \vec{a}_k) \ \! \! \downarrow \ \vec{a}}$$

- Transducer unary with  $accumulator\colon$ 

Judgment 
$$\psi_n(\langle F \rangle, ..., \vec{a}_k) \downarrow^{n'} \vec{a}$$

$$\mathtt{ScanPlus}_{n_0}(\langle\mathtt{F}
angle,\langle n
angle) \downarrow 
otin^{n_0+n} \langle n_0
angle$$

Judgment 
$$\psi_n(\langle T \rangle, ..., \vec{a}_k) \downarrow \vec{a}$$

$$ScanPlus_{n_0}(\langle T \rangle, \langle \rangle) \downarrow \downarrow \langle \rangle$$

#### 2.3 Definitions

We first define a binary relation  $\stackrel{\mathbf{S}}{\sim}$  on stores to denote that two stores are *similar*: they have identical domains, and their bound values by  $\mathbf{S}$  are the same. We call this  $\mathbf{S}$  an *overlap* of these two stores.

Definition 2.1 (Stores similarity).  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$  iff

- (1)  $dom(\sigma_1) = dom(\sigma_2)$
- (2)  $\forall s \in \mathbf{S}.\sigma_1(s) = \sigma_2(s)$

According to this definition, it is only meaningful to have  $\mathbf{S} \subseteq dom(\sigma_1)$  (=  $dom(\sigma_2)$ ). When  $\mathbf{S} = dom(\sigma_1) = dom(\sigma_2)$ ,  $\sigma_1$  and  $\sigma_2$  are identical. It is easy to show that this relation  $\stackrel{\mathbf{S}}{\sim}$  is transitive.

• If  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$  and  $\sigma_2 \stackrel{\mathbf{S}}{\sim} \sigma_3$ , then  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_3$ .

We define another binary operation  $\bowtie$  on stores to denote a kind of specical concatenation of two similar stores: the *concatenation* of two similar stores is a new store, in which the bound values by **S** are from any of the parameter stores, and the others are the concatenation of the values from the two stores. In other words, a *concatenation* of two similar stores is only a concatenation of the bound values that *maybe* different in these stores.

**Definition 2.2.**  $\sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2 = \sigma \ iff$ 

(1) 
$$\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$$
  
(2)  $\sigma(s) = \begin{cases} \sigma_i(s), & s \in \mathbf{S}, i \in \{1, 2\} \\ \sigma_1(s) + \sigma_2(s), & otherwise \end{cases}$ 

**Lemma 2.1.** If  $\sigma_1 \stackrel{\mathbf{S}}{\bowtie} \sigma_2 = \sigma$ , then  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma$  and  $\sigma_2 \stackrel{\mathbf{S}}{\sim} \sigma$ .

This lemma says that the concatenation result of two similar stores is still similar to each of them.

**Lemma 2.2.** If  $\psi(\vec{a}_{11},...,\vec{a}_{1k}) \downarrow^{\vec{c}_1} \vec{a}_1$ , and  $\psi(\vec{a}_{21},...,\vec{a}_{2k}) \downarrow^{\vec{c}_2} \vec{a}_2$ , then  $\psi(\vec{a}_{11}++\vec{a}_{21},...,\vec{a}_{1k}++\vec{a}_{2k}) \downarrow^{\vec{c}_1++\vec{c}_2} \vec{a}_1++\vec{a}_2$ .

### Lemma 2.3. If

- (i)  $\sigma_1 \stackrel{S}{\sim} \sigma_2$
- (ii)  $\langle p, \sigma_1 \rangle \downarrow^{\vec{c}} \sigma$
- (iii)  $fv(p) \cap S = \emptyset$
- (iv)  $\forall s \in fv(p).\sigma_2(s) = \langle \rangle$

then

- (v)  $\langle p, \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2 \rangle \downarrow^{\vec{c}} \sigma'$
- $(vi) \ \forall s' \in dv(p).\sigma(s') = \sigma'(s')$

## Lemma 2.4 (Stores concatenation lemma). If

- (i)  $\sigma_1 \stackrel{\mathbf{S}}{\sim} \sigma_2$
- (ii)  $\langle p, \sigma_1 \rangle \downarrow^{\vec{c}_1} \sigma'_1$  (by some derivation  $\mathcal{P}_1$ )
- (iii)  $\langle p, \sigma_2 \rangle \downarrow^{\vec{c}_2} \sigma'_2$  (by some derivation  $\mathcal{P}_2$ )
- (iv)  $fv(p) \cap S = \emptyset$

then  $\langle p, \sigma_1 \overset{\mathbf{S}}{\bowtie} \sigma_2 \rangle \downarrow^{\vec{c}_1 + + \vec{c}_2} \sigma_1' \overset{\mathbf{S}}{\bowtie} \sigma_2'$  (by  $\mathcal{P}$ ).

We need this lemma to prove that the results of single computations inside a comprehension body (i.e. p in the lemma) can be concatenated to express a parallel computation. From the other direction, we can consider this process as distributing or splitting the computation p on even smaller degree of parallel computations, in which all the supplier streams, i.e., fv(p), are splitted to feed the transducers. The splitted parallel degrees are specified by the control streams, i.e.,  $\vec{c}_1$  and  $\vec{c}_2$  in the lemma. Other untouched **SIds** in all  $\sigma$ s (i.e., **S**) have no change throughout the process.

Let 
$$\sigma_1 \stackrel{\leq s}{=\!=\!=} \sigma_2$$
 denote  $\forall s' < s.\sigma_1(s') = \sigma_2(s')$ .

 $\textbf{Lemma 2.5.} \ \textit{If} \ \sigma_1 \overset{\mathbf{S}_1}{\sim} \sigma_1', \ \sigma_2 \overset{\mathbf{S}_2}{\sim} \sigma_2', \ \sigma_1 \overset{< s}{\Longrightarrow} \sigma_2, \ \textit{and} \ \sigma_1' \overset{< s}{\Longrightarrow} \sigma_2' \ \textit{then} \ \sigma_1 \overset{\mathbf{S}_1}{\bowtie} \sigma_1' \overset{< s}{\Longrightarrow} \sigma_2 \overset{\mathbf{S}_2}{\bowtie} \sigma_2'.$ 

### 2.4 SVCODE determinism theroem

**Definition 2.3.**  $\vec{a}$  is a prefix of  $\vec{a}'$  if  $\vec{a} \sqsubseteq \vec{a}'$ :

 $Judgment \ \vec{a} \sqsubseteq \vec{a}'$ 

$$\frac{\vec{a} \sqsubseteq \vec{a}'}{\langle a_0 | \vec{a} \rangle \sqsubseteq \langle a_0 | \vec{a}' \rangle}$$

#### Lemma 2.6. If

- (i)  $(\vec{a}'_i \sqsubseteq \vec{a}_i)_{i=1}^k$  and  $\psi(\vec{a}'_1, ..., \vec{a}'_k) \Downarrow \vec{a}'$ ,
- (ii)  $(\vec{a}_i'' \sqsubseteq \vec{a}_i)_{i=1}^k$  and  $\psi(\vec{a}_1'', ..., \vec{a}_k'') \Downarrow \vec{a}''$

then

- (i)  $(\vec{a}'_i = \vec{a}''_i)_{i=1}^k$
- (ii)  $\vec{a}' = \vec{a}''$ .

**Lemma 2.7.** If  $\psi(\vec{a}_1,...,\vec{a}_k) \downarrow^{\vec{c}} \vec{a}$ , and  $\psi(\vec{a}_1,...,\vec{a}_k) \downarrow^{\vec{c}} \vec{a}'$ , then  $\vec{a} = \vec{a}'$ .

**Theorem 2.1** (SVCODE determinism). If  $\langle p, \sigma \rangle \downarrow^{\vec{c}} \sigma'$  and  $\langle p, \sigma \rangle \downarrow^{\vec{c}} \sigma''$ , then  $\sigma' = \sigma''$ .

## 3 Translation

#### 3.1 Translation rules

(1) Stream tree:

**STree** 
$$\ni st ::= s \mid (st_1, s)$$

(2) Convert a stream tree to a list of stream ids:

$$\overline{s} = [s]$$

$$\overline{(st, s)} = \overline{st} + +[s]$$

(3) Translation environment:

$$\delta = [x_1 \mapsto st_1, ..., x_i \mapsto st_i]$$

• Judgment  $\delta \vdash e \Rightarrow_{s_1}^{s_0} (p, st)$ 

$$\frac{\delta \vdash x \Rightarrow_{s_0}^{s_0} (\epsilon, st)}{\delta \vdash x \Rightarrow_{s_0}^{s_0} (\epsilon, st)} (\delta(x) = st) \qquad \frac{\delta \vdash e_1 \Rightarrow_{s_0'}^{s_0} (p_1, st_1) \qquad \delta[x \mapsto st_1] \vdash e_2 \Rightarrow_{s_1}^{s_0'} (p_2, st)}{\delta \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 \Rightarrow_{s_1}^{s_0} (p_1; p_2, st)}$$

$$\phi(st_1, ..., st_k) \Rightarrow_{s_1}^{s_0} (p, st) \qquad ((\delta(x) - st)^k)$$

$$\frac{\phi(st_1,...,st_k)\Rightarrow_{s_1}^{s_0}(p,st)}{\delta \ \vdash \ \phi(x_1,...,x_k)\Rightarrow_{s_1}^{s_0}(p,st)} \left((\delta(x_i)=st_i)_{i=1}^k\right) \\ \frac{[x\mapsto st_1] \ \vdash \ e\Rightarrow_{s_1}^{s_0+1}(p_1,st)}{\delta \ \vdash \ \{e:x\ \ \text{in}\ y\ \ \text{using}\ \cdot\}\Rightarrow_{s_1}^{s_0}(s_0:=\text{Usum}(s_2); \mathbf{S}_{out}:=\text{WithCtrl}(s_0,\mathbf{S}_{in},p_1),(st,s_2))}{\mathbf{S}_{out}=\overline{st}\cap \text{dv}(p_1)} \\ \frac{\delta(y)=(st_1,s_2)}{\mathbf{S}_{out}=\overline{st}\cap \text{dv}(p_1)}$$

• Auxiliary Judgment  $\phi(st_1,...,st_k) \Rightarrow_{s_1}^{s_0} (p,st)$ 

$$\mathbf{plus}(s_1, s_2) \Rightarrow_{s_0+1}^{s_0} (s_0 := \mathtt{MapTwo}_+(s_1, s_2), s_0)$$

#### 3.2 Value representation

1. SVCODE values:

$$\mathbf{SvVal} \ni w ::= \vec{a} \mid (w, \vec{b})$$

2. SVCODE values concatenation:

$$++: \mathbf{SvVal} \to \mathbf{SvVal} \to \mathbf{SvVal}$$
$$\langle \vec{a}_1, ..., \vec{a}_i \rangle ++ \langle \vec{a}'_1, ..., \vec{a}'_j \rangle = \langle \vec{a}_1, ..., \vec{a}_i, \vec{a}'_1, ..., \vec{a}'_j \rangle$$
$$(w_1, \vec{b}_1) ++ (w_2, \vec{b}_2) = (w_1 ++ w_2, \vec{b}_1 ++ \vec{b}_2)$$

3. SVCODE value construction from a stream tree:

$$egin{aligned} \sigma: \mathbf{STree} &
ightarrow \mathbf{SvVal} \ \sigma(s) = \vec{a} \ \sigma((st,s)) = (\sigma(st),\sigma(s)) \end{aligned}$$

- 4. Value representation rules
  - Judgment  $v \triangleright_{\tau} w$

$$\frac{(v_i \triangleright_{\tau} w_i)_{i=1}^k}{\{v_1, ..., v_k\} \triangleright_{\{\tau\}} (w, \langle F_1, ..., F_k, T \rangle)} (w = w_1 + + ... + w_k)$$

Lemma 3.1 (Value translation backwards determinism). If  $v \triangleright_{\tau} w$ ,  $v' \triangleright_{\tau} w$ , then v = v'.

## 3.3 Correctness proof

**Lemma 3.2** (???). If  $\Gamma \vdash e : \{\tau\}, \ \rho \vdash e \downarrow \{v_1, ..., v_k\}, \ and \ \delta \vdash e \Rightarrow_{s_1}^{s_0} (p, (st, s)), \ then \ s \notin sids(st).$ 

Lemma 3.3. If

(i)  $\phi:(\tau_1,...,\tau_k)\to\tau$  (by some derivation  $\mathcal{T}$ )

(ii)  $\phi(v_1, ..., v_k) \vdash v \ (by \ \mathcal{E})$ 

(iii)  $\phi(st_1,...,st_k) \Rightarrow_{s_1}^{s_0} (p,st)$  (by C)

(iv)  $(v_i \triangleright_{\tau_i} \sigma(st_i))_{i=1}^k$ 

 $(v) \bigcup_{i=1}^k \operatorname{sids}(st_i) \lessdot s_0$ 

then

(vi) 
$$\langle p, \sigma \rangle \downarrow^{\langle () \rangle} \sigma'$$
 (by  $\mathcal{P}$ )

(vii) 
$$v \triangleright_{\tau} \sigma'(st)$$
 (by  $\mathcal{R}$ )

(viii) 
$$\sigma' \stackrel{\langle s_0 \rangle}{=\!=\!=} \sigma$$

(ix) 
$$s_0 \leq s_1$$

$$(x)$$
 sids $(st) \lessdot s_1$ 

*Proof.* By inducation on the syntax of  $\phi$ .

• Case  $\phi = \mathbf{const}_n$ There is only one possibility for each of  $\mathcal{T}$ ,  $\mathcal{E}$  and  $\mathcal{C}$ :

$$\mathcal{T} = \overline{\mathbf{const}_n : () o \mathbf{int}}$$
 $\mathcal{E} = \overline{\hspace{1em} \vdash \mathbf{const}_n () \downarrow n}$ 
 $\mathcal{C} = \overline{\hspace{1em} \mathbf{const}_n () \Rightarrow_{s_0+1}^{s_0} (s_0 := \mathsf{Const}_n (), s_0)}$ 

So  $k=0, \tau=\mathbf{int}, v=n, p=s_0:=\mathtt{Const_n}(), \, s_1=s_0+1, \, \mathrm{and} \, \, st=s_0$ 

By P-XDUCER, P-X-LOOP, P-X-TERMI and P-CONST, we can construct  $\mathcal{P}$  as follows:

So  $\sigma' = \sigma[s_0 \mapsto \langle n \rangle].$ 

Then we take  $\mathcal{R} = \frac{1}{n \triangleright_{\mathbf{int}} \sigma'(s_0)}$ 

Also clearly,  $\sigma' \stackrel{\leq s_0}{=\!=\!=\!=} \sigma$ ,  $s_0 \leq s_0 + 1$ , sids $(s_0) \leq s_0 + 1$ , and we are done.

#### • Case $\phi = \mathbf{plus}$ We must have

$$\mathcal{T} = \overline{ ext{plus}: ( ext{int}, ext{int}) o ext{int} } \ \mathcal{E} = \overline{ ext{} \vdash ext{plus}(n_1, n_2) \downarrow n_3 }$$

where  $n_3 = n_2 + n_1$ , and

$$C = \overline{\mathbf{plus}(s_1, s_2) \Rightarrow_{s_0+1}^{s_0} (s_0 := \mathtt{MapTwo}_+(s_1, s_2), s_0)}$$

So  $k = 2, \tau_1 = \tau_2 = \tau = \text{int}, v_1 = n_1, v_2 = n_2, v = n_3, st_1 = s_1, st_2 = s_2, st = s_0, s_1 = s_0 + 1$  and  $p = s_0 := \text{MapTwo}_{\perp}(s_1, s_2)$ .

Assumption (iv) gives us  $\overline{n_1 \triangleright_{\mathbf{int}} \sigma(s_1)}$  and  $\overline{n_2 \triangleright_{\mathbf{int}} \sigma(s_2)}$ , which implies  $\sigma(s_1) = \langle n_1 \rangle$  and  $\sigma(s_2) = \langle n_2 \rangle$  respectively.

For (v) we have  $s_1 < s_0$  and  $s_2 < s_0$ .

Then using P-XDUCER with  $\sigma(s_1) = \langle n_1 \rangle$  and  $\sigma(s_2) = \langle n_2 \rangle$ , and using P-X-LOOP and P-X-TERMI, we can build  $\mathcal{P}$  as follows:

$$\frac{\texttt{MapTwo}_{+}(\langle n_{1}\rangle, \langle n_{2}\rangle) \Downarrow \langle n_{3}\rangle}{\texttt{MapTwo}_{+}(\langle \rangle, \langle \rangle) \downarrow^{\langle \rangle} \langle \rangle}}{\frac{\texttt{MapTwo}_{+}(\langle n_{1}\rangle, \langle n_{2}\rangle) \downarrow^{\langle ()\rangle} \langle n_{3}\rangle}{\langle s_{0} := \texttt{MapTwo}_{+}(s_{1}, s_{2}), \sigma \rangle \downarrow^{\langle ()\rangle} \sigma[s_{0} \mapsto \langle n_{3}\rangle]}}$$

Therefore,  $\sigma' = \sigma[s_0 \mapsto \langle n_3 \rangle]$ .

Now we can take  $\mathcal{R} = \overline{n_3 \triangleright_{\mathbf{int}} \sigma'(s_0)}$ , and it is clear that  $\sigma' \stackrel{\leq s_0}{=\!=\!=\!=} \sigma$ ,  $s_0 \leq s_0 + 1$  and  $\mathbf{sids}(s_0) \leqslant s_0 + 1$  as required.

• Case  $\phi = \mathbf{iota}$ 

#### Theorem 3.1. If

- (i)  $\Gamma \vdash e : \tau$  (by some derivation  $\mathcal{T}$ )
- (ii)  $\rho \vdash e \downarrow v \ (by \ some \ \mathcal{E})$
- (iii)  $\delta \vdash e \Rightarrow_{s_1}^{s_0} (p, st) \ (by \ some \ \mathcal{C})$
- $\begin{array}{ll} (iv) \ \forall x \in dom(\Gamma). \ \vdash \ \rho(x) : \Gamma(x) \wedge \operatorname{sids}(\delta(x)) \lessdot s_0 \wedge \rho(x) \rhd_{\Gamma(x)} \sigma(\delta(x)) \\ \boldsymbol{then} \end{array}$
- (v)  $\langle p, \sigma \rangle \downarrow^{\langle () \rangle} \sigma'$  (by some derivation  $\mathcal{P}$ )
- (vi)  $v \triangleright_{\tau} \sigma'(st)$  (by some  $\mathcal{R}$ )
- (vii)  $\sigma' \stackrel{\langle s_0 \rangle}{=\!=\!=} \sigma$
- (viii)  $s_0 \leq s_1$ 
  - (ix) sids $(st) \lessdot s_1$

*Proof.* By induction on the syntax of e.

• Case  $e = \{e_1 : x \text{ in } y \text{ using } \cdot \}.$ 

We must have:

(i) 
$$\mathcal{T} = \frac{\mathcal{T}_1}{\Gamma \vdash \{e_1 : x \text{ in } y \text{ using } \cdot\} : \{\tau_2\}} (\Gamma(y) = \{\tau_1\})$$

(ii) 
$$\mathcal{E} = \frac{([x \mapsto v_i] \vdash e_1 \downarrow v_i')_{i=1}^k}{\rho \vdash \{e_1 : x \text{ in } y \text{ using } \cdot\} \downarrow \{v_1', ..., v_k'\}} (\rho(y) = \{v_1, ..., v_k\})$$

$$\mathcal{C}_{1}$$
 (iii) 
$$\mathcal{C} = \frac{ [x \mapsto st_{1}] \ \vdash \ e_{1} \Rightarrow_{s_{1}}^{s_{0}+1} (p_{1}, st_{2}) }{\delta \ \vdash \ \{e_{1} : x \ \textbf{in} \ y \ \textbf{using} \ \cdot\} \Rightarrow_{s_{1}}^{s_{0}} (s_{0} := \texttt{Usum}(s_{2}); \overline{st_{2}} := \texttt{WithCtrl}(s_{0}, \mathbf{S}_{in}, p_{1}), (st_{2}, s_{2})) }$$
 ( $\delta(y) = (st_{1}, s_{2})$ ) So  $p = (s_{0} := \texttt{Usum}(s_{2}); \overline{st_{2}} := \texttt{WithCtrl}(s_{0}, \mathbf{S}_{in}, p_{1})), \tau = \{\tau_{2}\}, v = \{v'_{1}, ..., v'_{k}\}, st = (st_{2}, s_{2}).$ 

(iv)  $\vdash \rho(y) : \Gamma(y)$  gives us  $\vdash \{v_1, ..., v_k\} : \{\tau_1\}$ , which must have the derivation:

$$\frac{(\vdash v_i : \tau_1)_{i=1}^k}{\vdash \{v_1, ..., v_k\} : \{\tau_1\}}$$
 (1)

 $sids(\delta(y)) \lessdot s_0$  gives us

$$\operatorname{sids}(\delta(y)) = \operatorname{sids}((st_1, s_2)) = \operatorname{sids}(st_1) \cup \{s_2\} \lessdot s_0 \tag{2}$$

 $\rho(y) \triangleright_{\Gamma(y)} \sigma(\delta(y)) = \{v_1, ..., v_k\} \triangleright_{\{\tau_1\}} \sigma((st_1, s_2))$  must have the derivation:

$$\frac{\mathcal{R}_{i}}{(v_{i} \triangleright_{\tau_{1}} w_{i})_{i=1}^{k}} \frac{(v_{i} \triangleright_{\tau_{1}} w_{i})_{i=1}^{k}}{\{v_{1}, ..., v_{k}\} \triangleright_{\{\tau_{1}\}} (w, \langle \mathsf{F}_{1}, ..., \mathsf{F}_{k}, \mathsf{T} \rangle)} (w = w_{1} + + ... + w_{k})$$
(3)

therefore

$$\sigma(st_1) = w \tag{4}$$

and

$$\sigma(s_2) = \langle F_1, ..., F_k, T \rangle. \tag{5}$$

First we shall show:

- (v)  $\langle s_0 := \text{Usum}(s_2); \overline{st_2} := \text{WithCtrl}(s_0, \mathbf{S}_{in}, p_1), \sigma \rangle \downarrow^{\langle () \rangle} \sigma'$  by some  $\mathcal{P}$
- (vi)  $\{v'_1, ..., v'_k\} \triangleright_{\{\tau_2\}} \sigma'((st_2, s_2))$  by some  $\mathcal{R}$
- (vii)  $\sigma' \stackrel{\langle s_0 \rangle}{===} \sigma$

By P-SEQ, we can build  $\mathcal{P}$  as follow:

$$\begin{split} \mathcal{P}_0 & \mathcal{P}_1 \\ \underline{\langle s_0 := \text{Usum}(s_2), \sigma \rangle \downarrow^{\langle () \rangle} \sigma_0} & \langle \overline{st_2} := \text{WithCtrl}(s_0, \mathbf{S}_{in}, p_1), \sigma_0 \rangle \downarrow^{\langle () \rangle} \sigma'} \\ \underline{\langle s_0 := \text{Usum}(s_2); \overline{st_2} := \text{WithCtrl}(s_0, \mathbf{S}_{in}, p_1), \sigma \rangle \downarrow^{\langle () \rangle} \sigma'} \end{split}$$

By P-XDUCER with  $\sigma(s_2) = \langle F_1, ..., F_k, T \rangle$ , we can continue to build  $\mathcal{P}_0$  as follow:

$$\mathcal{P}_0' = rac{\mathcal{P}_0'}{\left\langle s_0 := ext{Usum}(\langle ext{F}_1, ..., ext{F}_k, ext{T} 
angle 
ight) \downarrow^{\langle () 
angle} ec{a}}{\left\langle s_0 := ext{Usum}(s_2), \sigma 
angle \downarrow^{\langle () 
angle} \sigma[s_0 \mapsto ec{a}]}$$

So  $\sigma_0 = \sigma[s_0 \mapsto \vec{a}].$ 

We split  $\langle F_1, ..., F_k, T \rangle$  into two parts:  $\vec{b}_1 = \langle F_1, ..., F_k, T \rangle$  and  $\vec{b}_2 = \langle \rangle$ . By P-X-LOOP and P-X-TERMI with  $\vec{b}_1$  and  $\vec{b}_2$ , we continue building  $\mathcal{P}'_0$  as follow:

$$\mathcal{P}_0' = \frac{\mathcal{P}_0''}{\operatorname{Usum}(\vec{b}_1) \Downarrow \vec{a}} \frac{-\operatorname{Usum}(\vec{b}_2) \downarrow^{\langle \rangle} \left\langle \right\rangle}{\operatorname{Usum}(\left\langle \mathbf{F}_1, ..., \mathbf{F}_k, \mathbf{T} \right\rangle) \downarrow^{\langle () \rangle} \vec{a}}$$

Then using P-UsumF k times and P-UsumT once, we obtain

$$\mathcal{P}_0'' = \frac{ \overbrace{\operatorname{Usum}(\langle \mathbf{T} \rangle) \Downarrow \langle \rangle}}{\underbrace{\operatorname{Usum}(\langle \mathbf{F}_2, ..., \mathbf{F}_k, \mathbf{T} \rangle) \Downarrow \langle ()_2, ..., ()_k \rangle}}{\underbrace{\operatorname{Usum}(\langle \mathbf{F}_1, ..., \mathbf{F}_k, \mathbf{T} \rangle) \Downarrow \langle ()_1, ..., ()_k \rangle}}$$

Thus so far we have constructed  $\mathcal{P}_0$  of  $\langle s_0 := \text{Usum}(s_2), \sigma \rangle \downarrow^{\langle () \rangle} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]$ . Since we have

$$\mathcal{T}_1 = [x \mapsto \tau_1] \vdash e_1 : \tau_2$$

$$(\mathcal{E}_i = [x \mapsto v_i] \vdash e_1 \downarrow v_i')_{i=1}^k$$

$$\mathcal{C}_1 = [x \mapsto st_1] \vdash e_1 \Rightarrow_{s_1}^{s_0+1} (p_1, st_2)$$

Let  $\Gamma_1 = [x \mapsto \tau_1], \rho_i = [x \mapsto v_i]$  and  $\delta_1 = [x \mapsto st_1]$ . From (1) and (2) it is clear that

$$\forall z \in dom(\Gamma_1). \vdash \rho_i(z) : \Gamma_1(z) \land sids(\delta_1(z)) \lessdot s_0.$$

Let i range from 1 to k: we take  $\sigma_i \stackrel{st_1}{\sim} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]$  such that  $\sigma_i(st_1) = w_i$ . From  $\mathcal{R}_i$  in (3) we know that

$$\forall z \in dom(\Gamma_1).\rho_i(z) \triangleright_{\Gamma_1(z)} \sigma_i(\delta_1(z)).$$

Then by IH (k times) on  $\mathcal{T}_1$  with  $\mathcal{E}_i$ ,  $\mathcal{C}_1$  we obtain the following result:

$$(\langle p_1, \sigma_i \rangle \downarrow^{\langle () \rangle} \sigma_i')_{i=1}^k \tag{6}$$

$$(v_i' \triangleright_{\tau_2} \sigma_i'(st_2))_{i=1}^k \tag{7}$$

$$\left(\sigma_i' \stackrel{\langle s_0 + 1}{=} \sigma_i\right)_{i=1}^k \tag{8}$$

$$s_0 + 1 \le s_1 \tag{9}$$

$$\operatorname{sids}(st_2) \lessdot s_1 \tag{10}$$

Assume  $sids(st_2) = \{s'_1, ..., s'_i\}.$ 

There are two possibilities:

– Subcase k = 0, that is  $\sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle](s_0) = \langle \rangle$ . By P-WC-EMP We build

$$\mathcal{P}_1 = \frac{}{\langle \overline{st_2} := \mathtt{WithCtrl}(s_0, \mathbf{S}_{in}, p_1), \sigma \rangle \downarrow^{\langle () \rangle} \sigma[s_0 \mapsto \langle \rangle, s_1' \mapsto \langle \rangle, ..., s_j' \mapsto \langle \rangle]}$$

So in this subcase,

$$\sigma' = \sigma[s_0 \mapsto \langle \rangle, s_1' \mapsto \langle \rangle, ..., s_i' \mapsto \langle \rangle].$$

Since k=0, then  $v=\{\}$ ,  $\sigma(s_2)=\langle \mathtt{T}\rangle$  (from (5)), we have  $\sigma'(s_2)=\sigma(s_2)=\langle \mathtt{T}\rangle$  (?? not correct if  $s_2\in \mathtt{sids}(st_2)/\mathtt{sids}(st_1)$ ), and  $\sigma'(st_2)=(...(\langle\langle\rangle,\langle\rangle)_1,\langle\rangle)_2,...)_{j-1}$ .

Therefore  $\sigma'((st_2, s_2)) = (\sigma'(st_2), \sigma'(s_2))$ , with which we construct

$$\mathcal{R} = \overline{\{\} \triangleright_{\{\tau_2\}} ((...(\langle \rangle, \langle \rangle)_1, ...)_{j-1}, \langle \mathsf{T} \rangle)}$$

as required.

Since k = 0, from (4) we know  $\forall s' \in \mathtt{sids}(st_1).\sigma(s') = \langle \rangle$ . For any  $s' \in \mathtt{sids}(st_2)$  and  $s' < s_0$ , it must have  $s' \in \mathtt{sids}(st_1)$  (because  $codom(\delta_1) = \{st_1\}$ ), hence  $\sigma(s') = \langle \rangle = \sigma'(s')$ . Therefore,

$$\sigma' \stackrel{\langle s_0 \rangle}{===} \sigma.$$

– Subcase k > 0, that is  $\sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle] = \langle ()|\vec{a}\rangle$  for some  $\vec{a}$ . By P-WC-Nonemp, we take  $\mathcal{P}_1 =$ 

$$\begin{split} \mathcal{P}_{1}' \\ & \langle p_{1}, \sigma[s_{0} \mapsto \langle ()_{1}, ..., ()_{k} \rangle] \rangle \downarrow^{\langle ()_{1}, ..., ()_{k} \rangle} \sigma'' \\ & \overline{\langle st_{2} := \mathtt{WithCtrl}(s_{0}, \mathbf{S}_{in}, p_{1}), \sigma[s_{0} \mapsto \langle ()_{1}, ..., ()_{k} \rangle] \rangle \downarrow^{\langle () \rangle} \sigma[s_{0} \mapsto \langle ()_{1}, ..., ()_{k} \rangle, s_{1}' \mapsto \sigma''(s_{1}'), ..., s_{j}' \mapsto \sigma''(s_{j}')]} \end{split}$$

So in this subcase

$$\sigma' = \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle, s_1' \mapsto \sigma''(s_1'), ..., s_i' \mapsto \sigma''(s_i')].$$

Using Lemma 2.4 (k-1) times on (6) gives us

$$\langle p_1, (\stackrel{st_1}{\bowtie} \sigma_i)_{i=1}^k \rangle \downarrow^{\langle ()_1, \dots, ()_k \rangle} (\stackrel{st'_2}{\bowtie} \sigma'_i)_{i=1}^k$$

$$\tag{11}$$

where  $st'_2 = \operatorname{sids}(st_1) \cup \operatorname{sids}(st_2)$  (???).

By Definition 2.2 we have

$$(\stackrel{st_1}{\bowtie} \sigma_i)_{i=1}^k = \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]. \tag{12}$$

Then by Theorem 2.1 on  $\mathcal{P}'_1$  with (11), we get

$$\sigma'' = (\stackrel{st'_2}{\bowtie} \sigma'_i)_{i=1}^k \tag{13}$$

Therefore,  $\sigma''(st_2) = \sigma'_1(st_2) + + \dots + + \sigma'_k(st_2)$  by Definition 2.2. Let  $\sigma'_i(st_2) = w'_i$  and  $\sigma''(st_2) = w'$ , then  $w' = w'_1 + + \dots + + w'_k$ .

Since  $\sigma'(st_2) = \sigma''(st_2) = w'$ , and  $\sigma'(s_2) = \sigma(s_2) = \langle F_1, ..., F_k, T \rangle$ , we now have  $\sigma'((st_2, s_2)) = (\sigma'(st_2), \sigma'(s_2)) = (w', \langle F_1, ..., F_k, T \rangle)$ . With (7), we can construct

$$\mathcal{R} = \frac{(v_i' \triangleright_{\tau_2} w_i')_{i=1}^k}{\{v_1', ..., v_k'\} \triangleright_{\{\tau_2\}} (w', \langle \mathbf{F}_1, ..., \mathbf{F}_k, \mathbf{T} \rangle)}$$

as required.

By Lemma 2.1 on (12) we get  $\sigma_i \stackrel{st_1}{\sim} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle]$ , and similarly  $\sigma_i' \stackrel{st_2'}{\sim} \sigma''$  from (13).

Since (8) implies

$$(\sigma_i' \stackrel{\leq s_0}{=\!=\!=} \sigma_i)_{i=1}^k$$

using Lemma 2.5 (k-1) times, we obtain

$$\sigma'' \xrightarrow{\leq s_0} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle].$$

Therefore,  $\sigma' \xrightarrow{\leq s_0} \sigma[s_0 \mapsto \langle ()_1, ..., ()_k \rangle] \xrightarrow{\leq s_0} \sigma$ .

(viii) TS:  $s_0 \le s_1$ From (9) we immediately get  $s_0 \le s_1 - 1 < s_1$ . (ix) TS:  $sids((st_2, s_2)) \le s_1$ From (2) we know  $s_2 < s_0$ , thus  $s_2 < s_0 \le s_1$ . And we already have (10). Therefore,

$$sids((st_2, s_2)) = sids(st_2) \cup \{s_2\} \lessdot s_1.$$

• Case e = x. We must have

$$\mathcal{T} = \frac{\Gamma}{\Gamma + x : \tau} (\Gamma(x) = \tau)$$

$$\mathcal{E} = \frac{\Gamma}{\rho + x \downarrow v} (\rho(x) = v)$$

$$\mathcal{C} = \frac{\delta}{\delta + x \Rightarrow_{s_0}^{s_0} (\epsilon, st)} (\delta(x) = st)$$

So  $p = \epsilon$ .

Immediately we have  $\mathcal{P} = \frac{1}{\langle \epsilon, \sigma \rangle \downarrow^{\langle () \rangle} \sigma}$ 

So  $\sigma' = \sigma$ , which implies  $\sigma' \stackrel{\langle s_0 \rangle}{===} \sigma$ .

From the assumption we already have  $v \triangleright_{\tau} \sigma(st)$ , and  $\operatorname{sids}(st) \lessdot s_0$ . Finally it's clear that  $s_0 \leq s_0$ , and we are done.

• Case  $e = \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2$ .

We must have:

$$\mathcal{T} = \frac{\mathcal{T}_1}{\Gamma \vdash e_1 : \tau_1} \frac{\mathcal{T}_2}{\Gamma[x \mapsto \tau_1] \vdash e_2 : \tau}$$

$$\mathcal{E}_1 \qquad \mathcal{E}_2$$

$$\mathcal{E} = \frac{\rho \vdash e_1 \downarrow v_1 \qquad \rho[x \mapsto v_1] \vdash e_2 \downarrow v}{\rho \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 \downarrow v}$$

$$\mathcal{C}_1 \qquad \qquad \mathcal{C}_2$$

$$\mathcal{C} = \frac{\delta \vdash e_1 \Rightarrow_{s_0'}^{s_0} (p_1, st_1) \qquad \delta[x \mapsto st_1] \vdash e_2 \Rightarrow_{s_1}^{s_0'} (p_2, st)}{\delta \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 \Rightarrow_{s_1}^{s_0} (p_1; p_2, st)}$$

So  $p = p_1; p_2$ .

By IH on  $\mathcal{T}_1$  with  $\mathcal{E}_1, \mathcal{C}_1$ , we get

- (a)  $\mathcal{P}_1$  of  $\langle p_1, \sigma \rangle \downarrow^{\langle () \rangle} \sigma_1$
- (b)  $\mathcal{R}_1$  of  $v_1 \triangleright_{\tau_1} \sigma_1(st_1)$
- (c)  $\sigma_1 \stackrel{\langle s_0 \rangle}{===} \sigma$
- (d)  $s_0 \le s_0'$
- (e)  $\operatorname{sids}(st_1) \lessdot s'_0$

From (b), we know  $\rho[x \mapsto v_1](x) : \Gamma[x \mapsto \tau_1](x)$  and  $\rho[x \mapsto v_1](x) \triangleright_{\Gamma[x \mapsto \tau_1](x)} \sigma_1(\delta[x \mapsto st_1](x))$  must hold. From (e), we have  $\operatorname{sids}(\delta[x \mapsto st_1](x)) \leqslant s_0'$ .

Then by IH on  $\mathcal{T}_2$  with  $\mathcal{E}_2, \mathcal{C}_2$ , we get

- (f)  $\mathcal{P}_2$  of  $\langle p_2, \sigma_1 \rangle \downarrow^{\langle () \rangle} \sigma_2$
- (g)  $\mathcal{R}_2$  of  $\sigma_2 \triangleright_{\tau} \sigma_2(st)$
- (h)  $\sigma_2 \stackrel{\langle s_0'}{=\!=\!=} \sigma_1$
- (i)  $s_0' \le s_1$
- (j)  $sids(st) \lessdot s_1$

So we can construct:

$$\mathcal{P} = \frac{\begin{array}{c|c} \mathcal{P}_1 & \mathcal{P}_2 \\ \hline \langle p_1, \sigma \rangle \downarrow^{\langle () \rangle} \sigma_1 & \langle p_2, \sigma_1 \rangle \downarrow^{\langle () \rangle} \sigma_2 \\ \hline \langle p_1; p_2, \sigma \rangle \downarrow^{\langle () \rangle} \sigma_2 \end{array}}$$

From (c), (d) and (h), it is clear that  $\sigma_2 \stackrel{\langle s_0 \rangle}{=\!=\!=\!=} \sigma_1 \stackrel{\langle s_0 \rangle}{=\!=\!=} \sigma$ . From (d) and (i),  $s_0 \leq s_1$ . Take  $\sigma' = \sigma_2$  (thus  $\mathcal{R} = \mathcal{R}_2$ ) and we are done.

• Case  $e = \phi(x_1, ..., x_k)$ 

We must have

$$\mathcal{T}_{1}$$

$$\mathcal{T} = \frac{\phi : (\tau_{1}, \dots, \tau_{k}) \to \tau}{\Gamma \vdash \phi(x_{1}, \dots, x_{k}) : \tau} \left( (\Gamma(x_{i}) = \tau_{i})_{i=1}^{k} \right)$$

$$\mathcal{E}_{1}$$

$$\mathcal{E} = \frac{\vdash (v_{1}, \dots, v_{k})(\downarrow) \vdash v}{\rho \vdash \phi(x_{1}, \dots, x_{k}) \downarrow v} \left( (\rho(x_{i}) = v_{i})_{i=1}^{k} \right)$$

$$\mathcal{C}_{1}$$

$$\mathcal{C} = \frac{\phi(st_{1}, \dots, st_{k}) \Rightarrow_{s_{1}}^{s_{0}} (p, st)}{\delta \vdash \phi(x_{1}, \dots, x_{k}) \Rightarrow_{s_{1}}^{s_{0}} (p, st)} \left( (\delta(x_{i}) = st_{i})_{i=1}^{k} \right)$$

From our assumption (iv), for all  $i \in \{1, ..., k\}$ :

- (a)  $\vdash \rho(x_i) : \Gamma(x_i)$ , that is,  $\vdash v_i : \tau_i$
- (b)  $\operatorname{sids}(\delta(x_i)) \lessdot s_0$ , that is,  $\operatorname{sids}(st_i) \lessdot s_0$
- (c)  $\rho(x_i) \triangleright_{\Gamma(x_i)} \sigma(st_i)$ , that is,  $v_i \triangleright_{\tau_i} \sigma(st_i)$

So using Lemma 3.3 on  $\mathcal{T}_1, \mathcal{E}_1, \mathcal{C}_1, (a), (b)$  and (c) gives us exactly what we shall show.