

ELECTRONIC TRADING

ET 2

CLIENT APPLET

USER GUIDE

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THOMSON REUTERS

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About this Document

Intended Readership

This guide is aimed at those users wishing to use the ET 2 client applet for the purpose of Foreign Exchange (FX) and Limit Order trading.

In this Document

This document describes the interface and lists procedures for all aspects of FX and Limit Order trading as a price taker using the ET 2 client applet:

- Viewing FX rates.
- FX trading: RFQ, one-click and Limit Orders.
- Deal searching and retrieval.

Feedback

If you have any comments on this document please contact Thomson Reuters Sales and Trading Treasury documentation by email: S&TTreasuryDocumentation@thomsonreuters.com.

Chapter 1 Getting Started

Open your web browser and navigate to the web page that contains the client applet. You must allow pop-ups for this page as the client applet will be launched in a separate window.

Note: Do not close your web browser while you are using the applet.

Contents

- [Logging In and Logging Out](#)
- [The Menu Bar](#)
- [About the Client Applet](#)

Logging In and Logging Out

The first time you log in you must change your password.

Logging in for the first time

- 1 At the *Login* window, click the *Login* button, enter your username and password in the *Username* and *Password* fields.

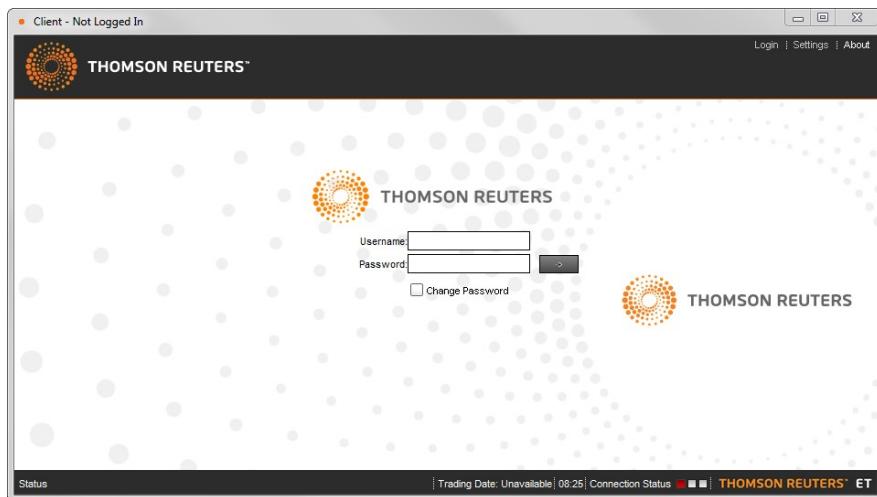


Figure 1: Login window

Note: Passwords are case-sensitive.

- 2 Activate the *Change Password* checkbox.
- 3 Enter your new password in the *New Password* and *Confirm Password* fields.

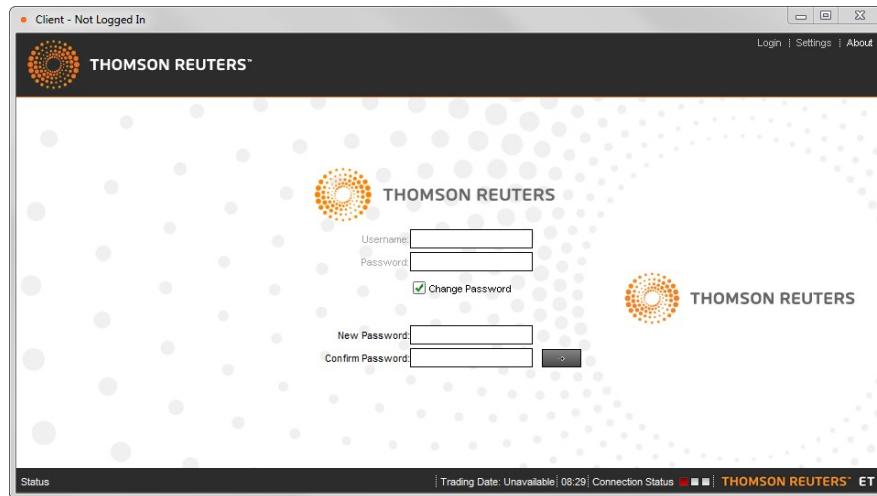


Figure 2: Login window with the *Change Password* checkbox ticked

- 4 Click the button. Your password is changed and you are logged into the client applet.

Logging in after the first time

- 1 At the *Login* window, enter your username and password in the *Username* and *Password* fields.

Note: Passwords are case-sensitive.

- 2 Click the button. You will now be logged into the client applet.

Logging out

- 1 Click the *Logout* button. See [The Menu on page 14](#) for the location of the *Logout* button.
- 2 Confirm to disconnect from the system.

The Menu Bar

See for the menu bar. The location of menu bar can be different depending on applets parameters. See [ET 2 Customization and Branding Guide](#) for details.

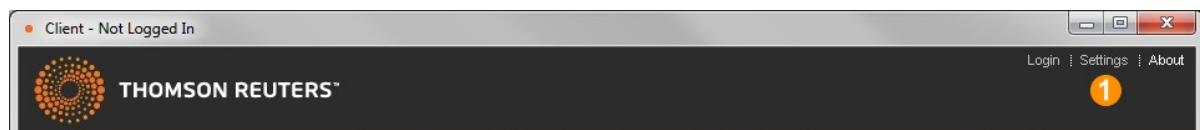
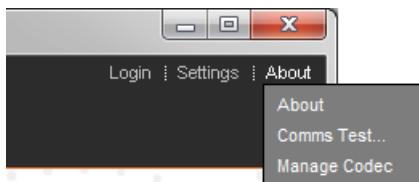


Figure 3: Client applet menu bar displayed on the top right of the applet.

Note: The menu bar will be displayed as shown in the figure above if `branding.usebannergraphic=true` and `branding.showdiscreetlinks=true`. By default, their values are `false`.

Menu	Description
Login / Logout	Logs you into or out from the client applet. See Also: Logging In and Logging Out on page 9
Settings	Opens the Settings window to specify applet settings. See Also: Settings Window on page 15
About	See About the Client Applet on page 11 .

About the Client Applet



Viewing version details

- 1 Click the *About* button. The client applet displays the *About* menu.
- 2 Click the *About* item on the menu. The client applet displays the *About* window with information on which version of the applet is running.

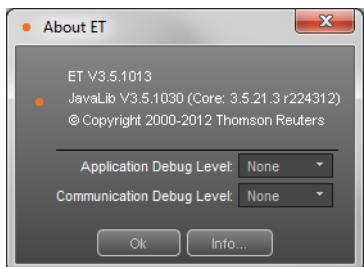


Figure 4: Client applet about window

- 3 Click the *OK* button to close the *About* window.

Viewing parameter and Java details

- 1 Click the *About* button. The client applet displays the *About* menu.
- 2 Click the *About* item on the menu. The client applet displays the *About* window.
- 3 Click the *Info* button. The client applet displays the *System Information* window.

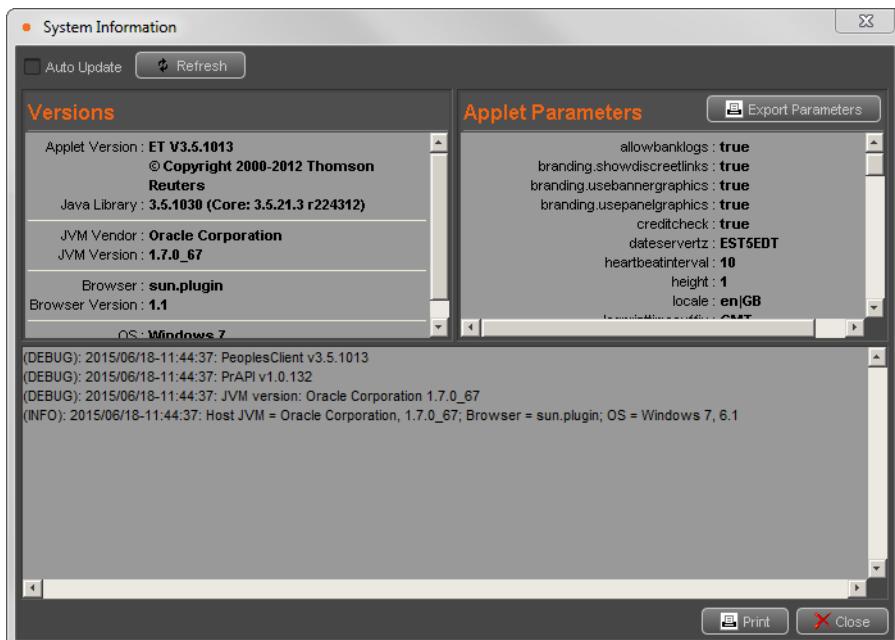


Figure 5: Client applet system information window

Area	Description
Versions	<ul style="list-style-type: none"> • Full applet name and version. • Java Library • Java Virtual Machine (JVM) in use. • Browser • PC Operating System.
Applet Parameters	A list of all the parameters being used by the applet. The <i>Export</i> button opens an html formatted page containing all the parameters.
Java log	Displays a log of Java activity. With <i>Auto Update</i> ticked the data is streamed into the log area.

Displaying communications information

- 1 Click the *About* button. The client applet displays the *About* menu.
- 2 Click the *Comms Test* item on the menu. The client applet displays the *Comms Test* window.



Figure 6: Client applet *Comms Test* window

- 3 Click the *Refresh* button for the most up to date information.

Selecting Codec

- 1 Click the *About* button. The client applet displays the *About* menu.
- 2 Click the *Manage Codec* item on the menu. The client applet displays the *Manage Codecs* window.

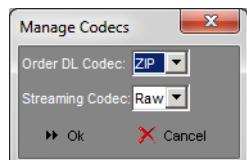


Figure 7: Manage Codecs window

- 3 Select the Codec type from the list as required and click Ok.

Chapter 2 Applet Interface



Contents

- [The Option Bar](#)
- [The Status Bar](#)
- [The Menu](#)
- [Configuration](#)

The Option Bar

Once you logged in, the client applet shows the buttons of available panels; see ①.

Panel button	Description
Blotters	Displays the <i>Blotter</i> panel for searching trades. See Also: Blotter Panel on page 107 .
Rates	Displays the <i>Rates</i> panel for viewing FX and MM rates and submitting trades. See Also: Rates Panel on page 23 .
Orders	Displays the <i>Orders</i> panel for block order trading. See Also: FX Trading - Orders (LOMS) on page 61 .

The Status Bar

The status bar is shown by ②.

The status bar contains:

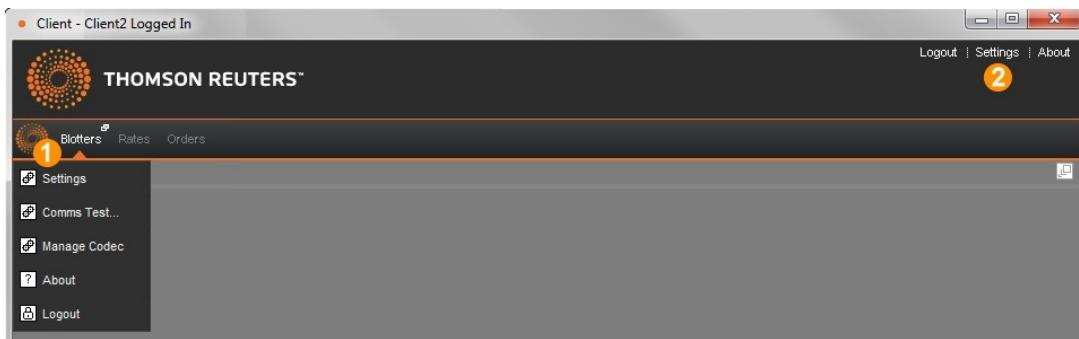
- status messages
- trading date
- local time (in 24 hour format)
- network connectivity indicators

Network connectivity indicators

Connectivity Indicator	Description
Red	Client applet not logged in.
Yellow	Client applet is connecting.
Green (constant)	Client applet is logged in.
Green (flashing)	Client applet is logged in and receiving information.

The Menu

You click the logo in the top left corner to view this menu; 1 in the picture below. This menu is the same as the menu in the top right corner; 2 in the picture below.



Item	Description
Settings	Opens the <i>Client Preferences : <Client User></i> window. See Also: Settings Window on page 15 .
Comms Test	Opens the <i>Comms Test</i> window. See Also: Displaying communications information on page 12 .
Manage Codec	Opens the <i>Manage Codecs</i> window for setting message compression. See Also: Selecting Codec on page 12 .
About	Opens the <i>About</i> window. See Also: About the Client Applet on page 11 .
Logout	Logs you out from the client applet.

Configuration

All areas of the deal ticket are now configurable using parameters.txt values. For the complete list of new colours.txt parameters, please refer to the **ET 2 Customization and Branding Guide**.

Note: RET implementations utilising the Custom Fields API will require a migration of custom API controls from AWT to Swing in order to retain a consistent look and feel.

Chapter 3 Settings Window

Contents

- [Accessing Client Applet Settings on page 15](#)
- [The Rate Setup Selector \(for ET 2.0 only\) on page 21](#)
- [The Edit Fonts Window on page 22](#)

The Settings window allows you to:

- Customise the client applet display.
- Define one-click, order and block trading behaviour.

Accessing Client Applet Settings

To access the settings window click the *Settings* link.

Preferences Settings

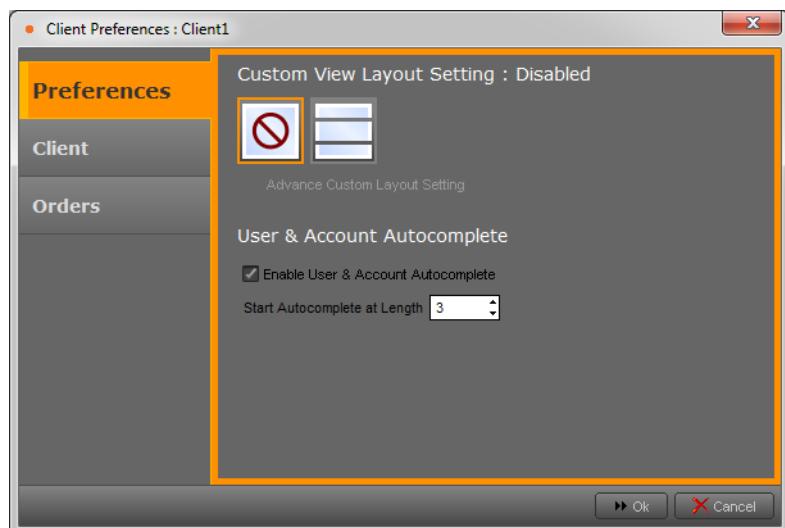


Figure 8: Settings window; Preferences tab

Custom View Layout Setting

The Custom View Layout Setting can be set as:

- **Disabled** - single panel displays at a time.
- **Triple Rows** - up to three panels display at a time via the Custom View Layout. Only *Active Deals*, *Blotters* and *Orders* panels are available to choose.

Item	Description
Disabled button	Disables the Custom View.
Triple Rows button	Enables the Custom View and the <i>Advance Custom Layout Setting</i> button.
Advance Custom Layout Setting button	Opens the <i>Advance Custom View Setting</i> dialog.

In the *Advance Custom View Setting* dialog, you can set the layout panel order via drag and drop placement. You can also set the name of the custom view in the *Custom View Name* textbox.

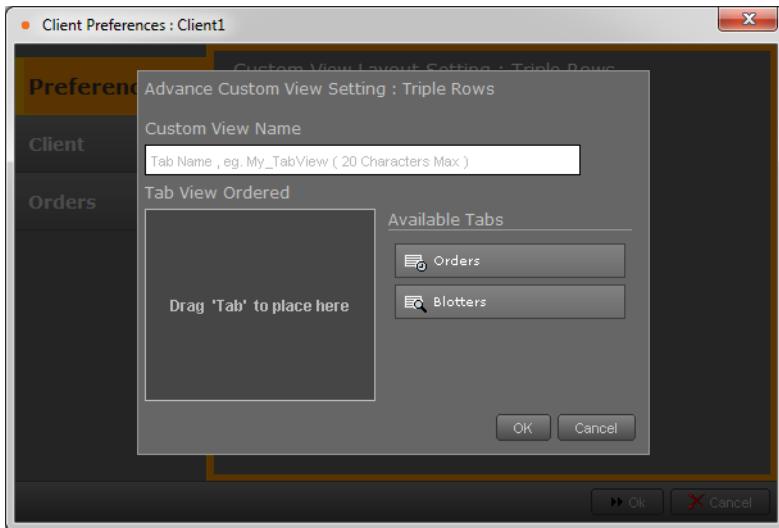


Figure 9: Advance Custom View Setting dialog window showing the two available tabs (Orders and Blotters), which you can drag and drop in the *Tab View Ordered* area.

For example, you can drag and drop both available tabs into the *Tab View Ordered* area and click *OK*.



The Client applet will display the two tabs horizontally in the *CustomView* tab.

User & Account Autocomplete Setting

The setting items are described in the table below.

Item	Description
Enable User & Account Autocomplete checkbox	When this checkbox is ticked: The client applet automatically completes the user and account. This also enables the <i>Start Autocomplete at Length</i> spinner When this checkbox is unticked: You need to manually complete the user and account.
Start Autocomplete at Length spinner	Sets the start ... to automatically complete the user and account.

Client Settings

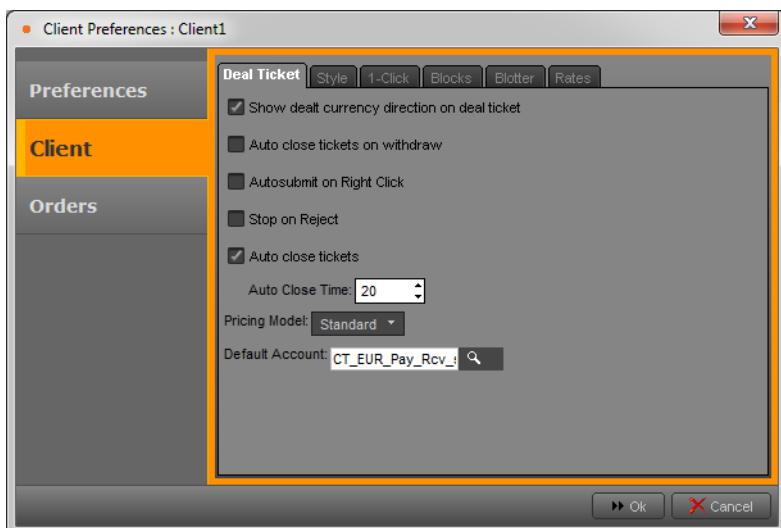


Figure 10: Client Settings window

The Client settings window applies to the following tabs.

Deal Ticket tab

Item	Description
Show dealt currency direction on deal ticket checkbox	When this checkbox is ticked: Changes the deal ticket banner to reflect the box in which the dealt amount has been entered.
Auto close tickets on withdraw checkbox	When this checkbox is ticked: Close deal tickets when the tickets are withdrawn
Autosubmit on Right Click checkbox	When this checkbox is ticked: Right-clicking a rate will open a deal ticket and submit the request.
Stop on Reject checkbox	When this checkbox is ticked: the deal request is stopped if a price from a maker is rejected. When this checkbox is not ticked: if a price is rejected, the deal request is left open for a new price from the maker.
Auto close tickets checkbox Auto Close Time numeric box	When this checkbox is ticked and the time period in the <i>Auto Close Time</i> field is defined: The client applet closes deal tickets in the defined time period after the completion of the deal.
Pricing Model drop-down	Select the pricing model from a list of those available, by choosing it from the <i>Pricing Model</i> drop-down menu.
Default Account search box	Search for the account and set it as the account when a new deal ticket is opened. To view this item, your username must have the <i>Show Accounts drop-down list</i> in <i>Client Applet</i> permission which is set via ET Admin applet > Advanced panel > <i>FX Trading</i> tab > <i>Others</i> section.

Style tab

Item	Description
Use main window for deal tickets checkbox	When this checkbox is ticked: Deal tickets are displayed within the client applet.

Item	Description
Use tab style layout checkbox	When this checkbox is ticked: You can access multiple deals from tabs across the top of the deal ticket. When this checkbox is unticked: You can access deals from a list displayed down the right-hand side of the deal ticket.

1-Click tab

Item	Description
Blotter Display Policy drop-down	In 1-Click Mode: The rates panel blotter is only displayed when the one-click trading mode has been activated. Never: The rates panel blotter is never displayed. Always: The rates panel blotter is always displayed.
Flash Blotter checkbox	When this checkbox is ticked: Completed one-click deals flash the rates panel blotter three times.
One Click Time numeric box	Allows you to specify the time in seconds after which a one-click request will be converted to a deal ticket using the <i>One Click Time</i> field.
Single Click option button and drop-down list Double Click option button	Allows you To choose if one-click trades are initiated with a <i>Double Click</i> , or <i>Single Click</i> of the <i>Left</i> or <i>Right</i> mouse button.

Blocks tab

Item	Description
Default Branch drop-down	FX branch selected when a new block ticket is opened.
Default CCY Pair selection	Currency pair selected when a new block ticket is opened.

Blotter tab

Item	Description
Font Size drop-down	A list of available font size.

Rates tab

Item	Description
Show rate setup selector checkbox	When this checkbox is ticked: a thin panel appears to the right of the defined currency pairs, showing the rates configuration menu and listing all configurations. When this checkbox is unticked, you need to click the button to see the rates configuration menu and the configuration list. See Also: The Rate Setup Selector (for ET 2.0 only) on page 21 . Note: This checkbox is removed for ET 2.1 onwards.
Show rate tool-tips checkbox	When this checkbox is ticked: The client applet displays the high, low, last, and previous six prices for the buy or sell side of the instrument, alongside a graph of the price movements when you hover the mouse over rates in the Rates panel.
Account field width numeric box	Allows you to specify the width of the <i>Account</i> field in the Rates panel.

Item	Description
Edit Font button	Opens the <i>Edit Fonts</i> window. See Also: The Edit Fonts Window on page 22 .

Orders Settings

The settings apply to Limit Order trading from the client applet.

Orders Settings - Rates Tab

Item	Description
Tracker Rate	
Show Bid / Ask option button	Shows Bid / Ask prices in Tracker Rate when it is selected.
Show Mid option button	Shows Mid price in Tracker Rate when it is selected.
Flip CCY Pairs button	Determine which currency pairs can be flipped.

Orders Settings - Order Tab

Item	Description
Save on Activate drop-down	List of options as follows: <ul style="list-style-type: none">• OFF - you must click <i>Save</i> before you can click <i>Activate</i>.• with save button - you can click <i>Save</i> before clicking <i>Activate</i>. However, if you only click <i>Activate</i>, the order is automatically saved for you.• without save button - you can only click <i>Activate</i>. The order is automatically saved and then activated for you.
Close on order activate checkbox	When this checkbox is ticked, the order dialog is automatically closed when the order is successfully activated. The order will be displayed in the order grid.
Open quick ticket on menu selection checkbox	When this checkbox is ticked, the order ticket opens automatically when you select details of each quick ticket in the list. When this checkbox is not ticked, the order ticket does not open when you select details of each quick ticket in the list. You have to press ENTER to open the order ticket.
Show full client group path checkbox	When this checkbox is ticked, the <i>Client Group</i> column in order grid displays the full path of the Client's group. This setting applies to Proxy Client, Capture Trader, and OrderWatch users.
Action on double click option	Edit - The order is opened for editing when you double click the mouse on the order View - The order is opened for viewing when you double click the mouse on the order
Max Price tolerance (%) box	The maximum percent by which the client price can exceed Market price. The OrderWatch applet pops up a dialog to warn the user if the client price exceeds Market price beyond this limit. This checking happens when you save or activate an order.
Fill Now Pickup Timeout (s) box	The length of time that an order will wait for a trader to pick it up for Fill Now before it disappears from trader's view. However, the order is still in "Activate" state.

Orders Settings - System Tab

Item	Description
Menu Context button	It shows the filter conditions that are required for each action of an order.

Orders Settings - Defaults Tab

Item	Description
CCY Pair	The default currency pair on the order ticket when you click <i>New</i> on the toolbar.
Order Type	The default order type on the order ticket when you click <i>New</i> on the toolbar.
Direction	The default direction on the order ticket when you click <i>New</i> on the toolbar.
Dealt Amount	The default amount to be traded when you click <i>New</i> on the toolbar.
Notify	The default notification methods that are set when you click <i>New</i> on the toolbar.
SMS Option	When this SMS Me checkbox is ticked: the default is to send SMS to your mobile number.
SPOT/Post-SPOT Good Till	<p>[ET 2.1 onwards] There are two options: GTC and EOD</p> <p>GTC (Good Till Cancelled) is the existing behaviour pre-ET 2.1.</p> <ul style="list-style-type: none"> - A SPOT order created with GTC will keep on rolling its value date at the end of each day and remain alive until filled or cancelled. - A Post-SPOT order will keep on rolling its value date at the end of each day and remain alive until the value date is the SPOT date or the order is filled or cancelled. <p>EOD (End of Day) indicates that for SPOT and Post-SPOT orders, the Good From time in the order ticket should default to End of Day New York time (i.e. 5pm in New York).</p>
Pre-SPOT Good Till	<p>[ET 2.1 onwards] When this checkbox is ticked: this means that the Good Till time for Pre-SPOT orders in an order ticket will default to GTC (Good Till Cancelled). A Pre-SPOT order created with GTC will keep on rolling its value date at the end of each day and remain alive until filled or cancelled.</p> <p>When this checkbox is unticked: For a Pre-SPOT order, the Good Till time will default to the appropriate cutoff time (if configured). If the cutoff time is not configured, the Good Till time will default to the end of day time for the local timezone.</p>

The Rate Setup Selector (for ET 2.0 only)

Show rate setup selector checkbox ticked

If you activate the *Show rate setup selector* checkbox, the client applet displays the setup stacker to the right of the rates in the *Rates* panel **1**.

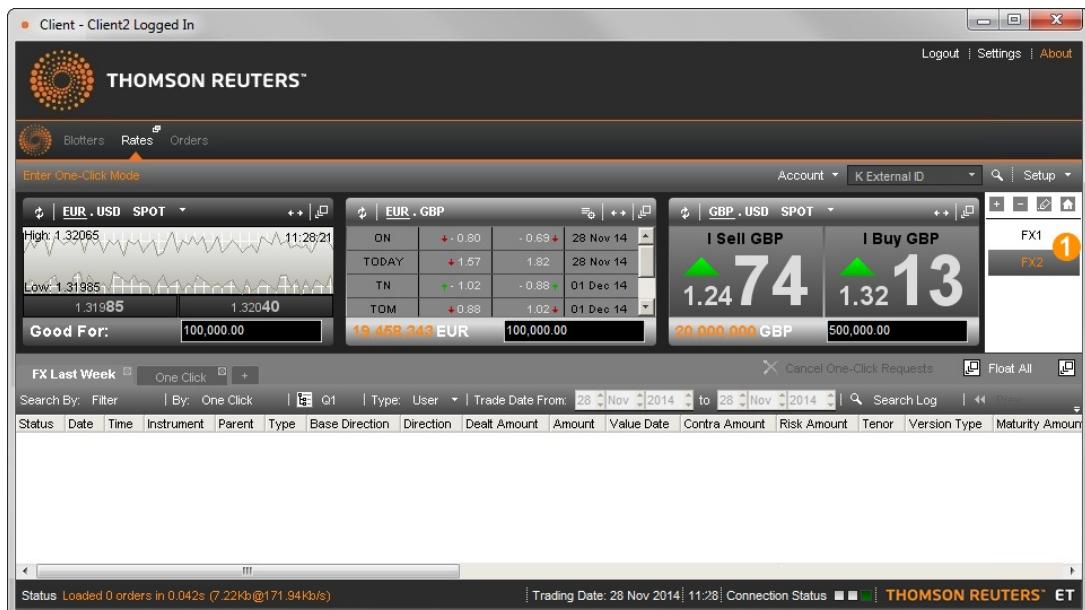


Figure 11: Client applet of ET 2.0 when the *Show rate setup selector* checkbox is ticked

Show rate setup selector checkbox unticked

If you deactivate the *Show rate setup selector* checkbox the client applet does not display the setup stacker to the right of the rates in the *Rates* panel. You click the *Setup List* **1** button to display the list of rate setups.

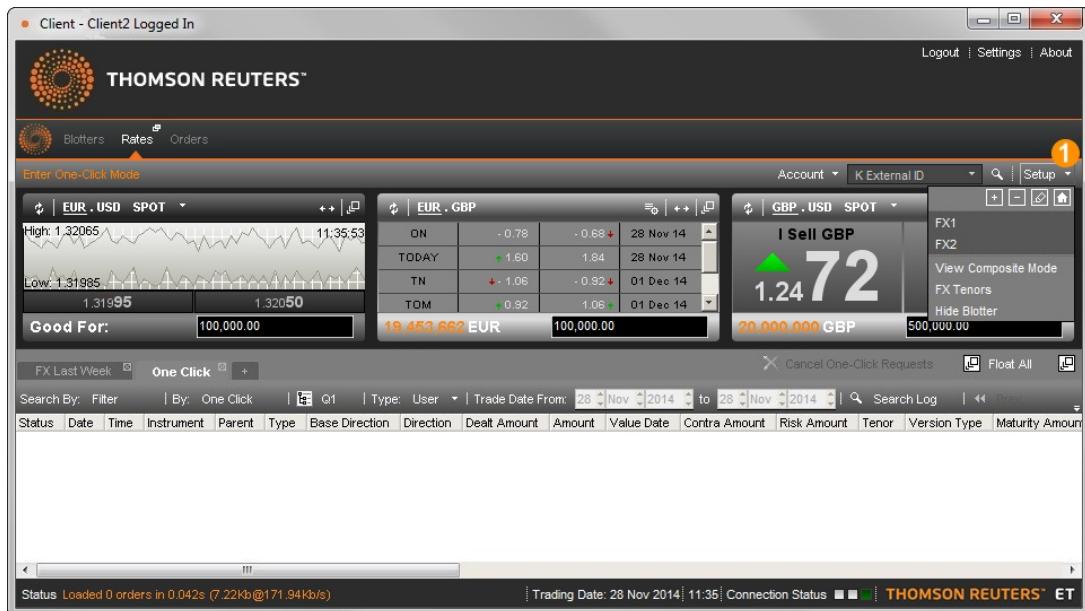


Figure 12: Client applet of ET 2.0 when the *Show rate setup selector* checkbox is unticked

The Edit Fonts Window

The Edit Fonts window allows you to specify the fonts in the Client applet Rates panel.

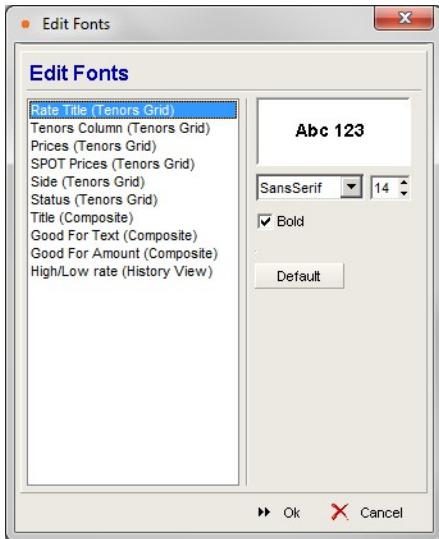


Figure 13: Edit Fonts window for ET 2.0

Good For Text (Composite) and Good For Amount (Composite) are removed for ET 2.1 onwards.

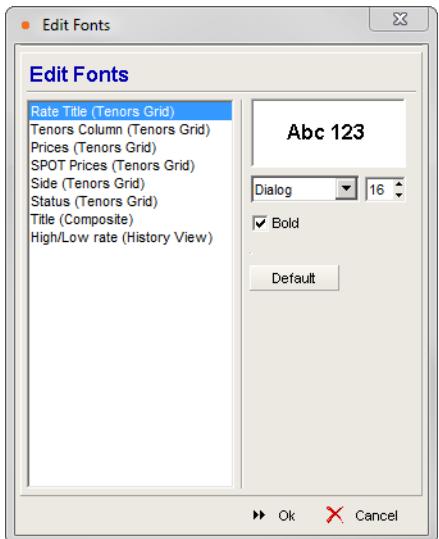


Figure 14: Edit Fonts window for ET 2.1

Chapter 4 Rates Panel

The *Rates* panel displays the rates you have specified in the *Setup* window.

- View spot and tenor rates as figures or a trend graph.
- Request a quote or (if you have the permission) perform a one-click trade on the rate seen in the panel.

Contents

- [Rates Panel Toolbar](#)
- [Setup Panel/Menu](#)
- [Rates Panel Blotter](#)
- [Composite Display](#)
- [FX Tenors display](#)
- [Historical data tool tips](#)
- [Rates Setup](#)

For ET 2.1 onwards, in the Composite view, the Rates panel always contains 12 tiles. The default applet size in ET 2.1 is 1168*637 pixels, so it allows eight tiles as shown below, where you can scroll (by using the scrollbar on the right hand side) to view the other tiles. Additionally, the size of each currency pair tile is fixed at 278*215 pixels.

In another case, if you select *Setup menu > Show Blotter*, you will see one row of four tiles at the minimum (as shown in [Figure 17](#)). You cannot drag the separator bar up beyond the bottom edge of this row.

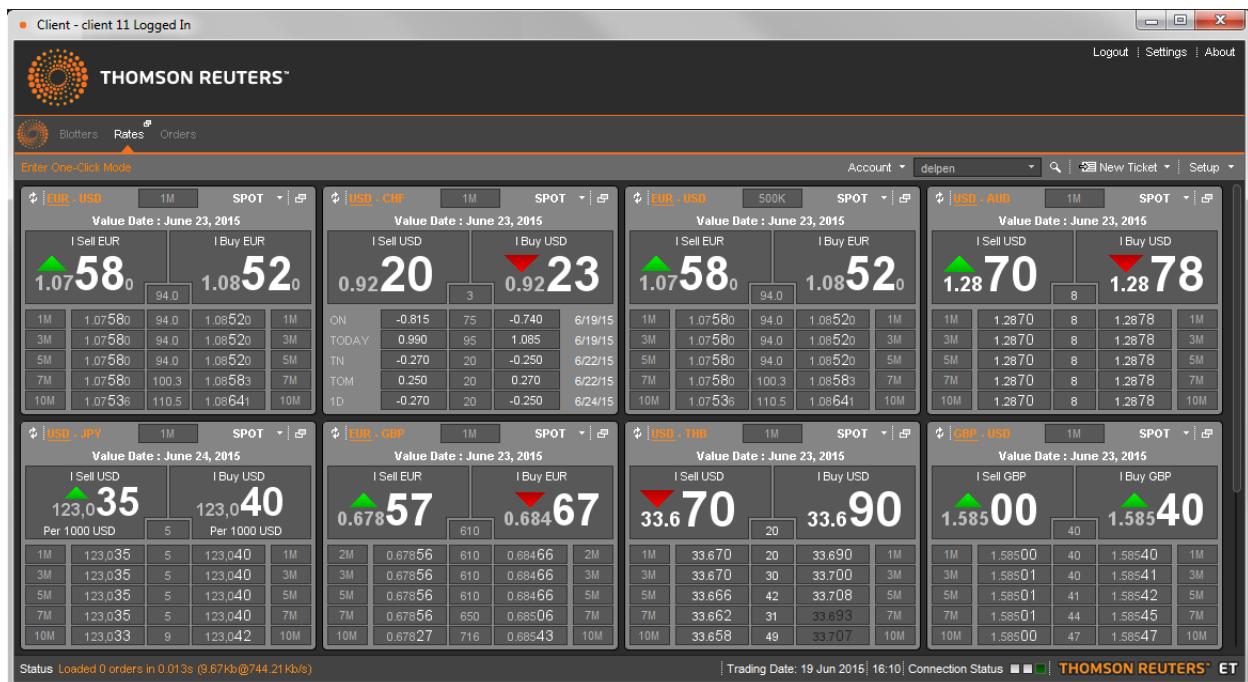


Figure 15: Rates panel in ET 2.1 showing eight tiles in the default applet size of 1168*637 pixels

Note: Additionally about parameters, the two parameters: `MaximumPairsPerSetup` and `MaxSetup` are no longer necessary and are deprecated.

In [Figure 16](#) and [Figure 17](#), the Rates panel toolbar is shown by ①; the Rates setup panel/menu is shown by ②; and the Blotter is shown by ③.

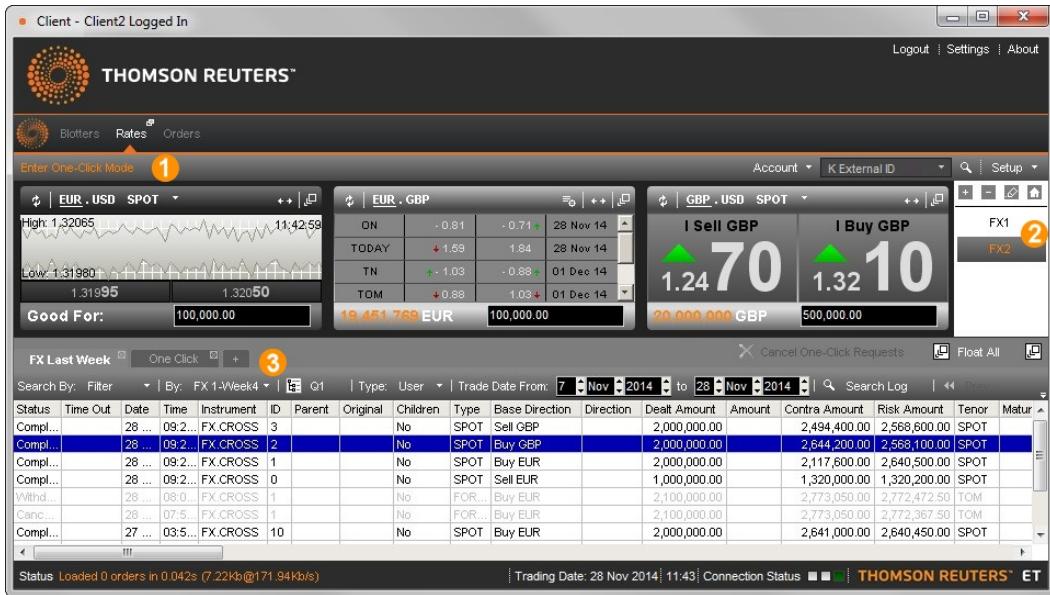


Figure 16: Rates panel of ET 2.0 with FX rates

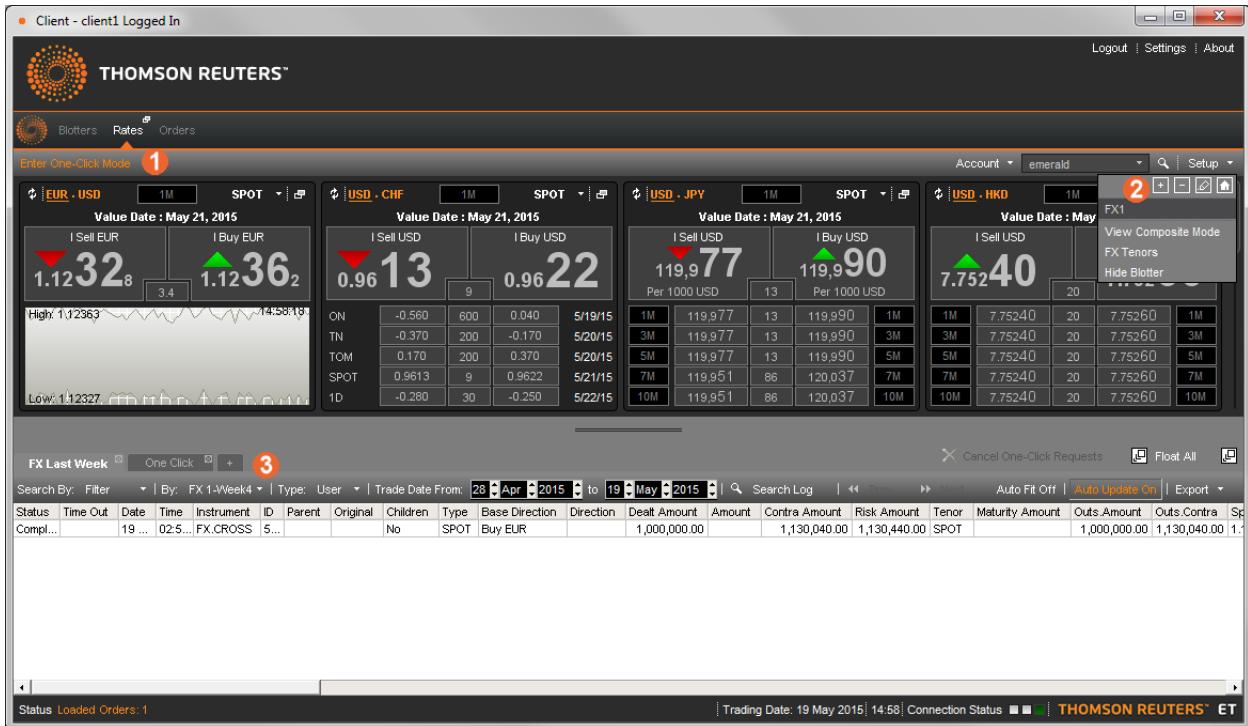


Figure 17: Rates panel of ET 2.1 with FX rates

Rates Panel Toolbar

The toolbar is shown by ① in [Figure 16](#) and [Figure 17](#).

This table describes the items in the toolbar.

Item	Description
Enter One-Click Mode button	Allows you to enable one-click trading in the client applet. Note: One-click trading is not available to all users. See Also: FX Trading - One-click on page 52 .
One-Click Ack button	Visible if your username is set to acknowledge completed one-click deals. Opens the <i>One-click Acknowledgment</i> window.
Account textbox and search button	Opens the <i>Search</i> window and allows you to search for accounts assigned to your username. The account selected in the search box will be the default account for RFQ and one-click trades.
New Ticket drop-down	Visible if your username has the permission to submit Block trades or IRS and Caps and Floors trades. This drop-down will also contain links to open FX and MM deal tickets if you do not have the permission to view rates.
Setup drop-down	Opens the setup menu. See Also: Setup Panel/Menu on page 26 . The visibility of this menu is determined by the <i>Show rate setup selector</i> checkbox in the Setting window (Rates tab on page 18) only in ET 2.0.

Searching for accounts

- 1 Click the  icon. The *Search* window opens.

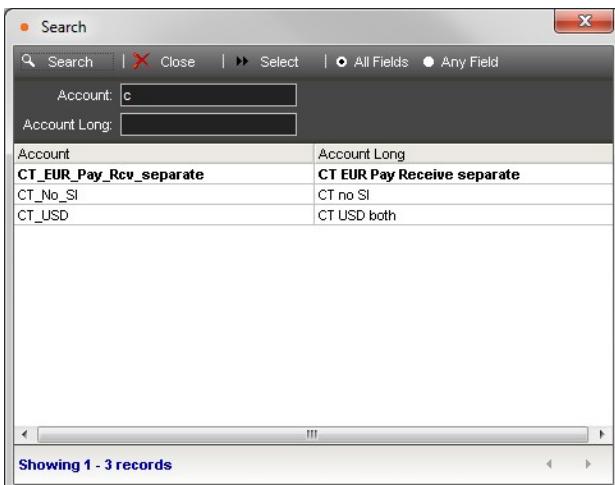


Figure 18: Account search

- 2 Enter the information for your search. You can search by whole or partial words. For partial words use * as a wildcard.
- 3 Highlight the desired account and click the *Select* button. The name is populated in the Rates panel toolbar *Account* menu.
- 4 Close the *Search* window by clicking the icon in the top right.

Setup Panel/Menu

The setup panel is shown by ② in [Figure 16](#) and the setup menu is shown by ② in [Figure 17](#).

This table describes the items in the setup panel/menu.

Item	Description
	Allows you to create a new setup.
	Deletes the current setup.
	Allows you to edit the setup.
	Makes the current setup the default.
View Composite Mode	Shows the default rate button view.
FX Tenors	Shows the FX tenor rates view.
Show/Hide Blotter	Controls visibility of the rates panel blotter.

In ET 2.0, you can activate the *Show rate setup selector* checkbox in the *Rate* tab to show the setup panel on the right of the *Rates* panel (as shown by ② in [Figure 16](#)). However, this checkbox is removed for ET 2.1 onwards.



Figure 19: Setup menu when *Show rate setup selector* checkbox in Rate tab of Client setting is ticked in ET 2.0.

Rates Panel Blotter

The blotter is shown by ③ in [Figure 16](#) and [Figure 17](#).

The presence of the blotter is dictated by the *Blotter Display Policy* drop-down in the *1-Click* tab of the *Settings* window.

- The *One-Click* tab shows the status and deal timers for one-click trades submitted during the applet session.
- Creating and editing new tabs follows the same process as the main applet *Blotter* tab. See also:
 - [Blotter Panel on page 107](#).
 - [Deal Search on page 110](#).
 - [Viewing Deals in the Blotter Panel on page 118](#).
- The search filters created are shared across the two applet search areas - Rates panel blotter and Blotter.

Switching between Blotter and Embedded Ticket (for ET 2.1 onwards)

If you select *Show Blotter* (via the Setup menu) and activate the *Use main window for deal tickets* checkbox (via Settings > Client > Style tab), the Blotter and the deal tickets will locate in the main window at the same position. You can click the toggle button to switch between these two sub windows.

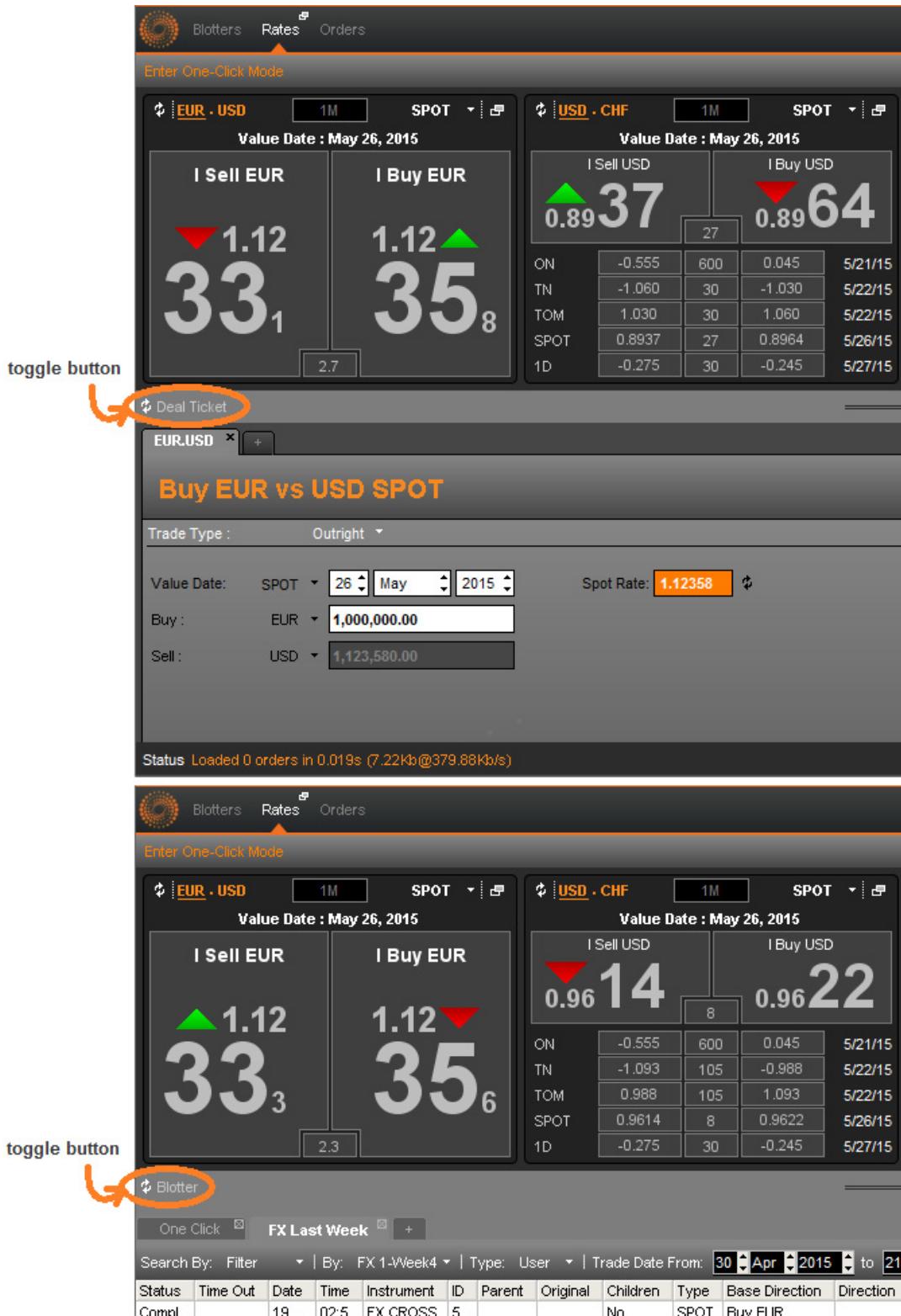


Figure 20: The toggle button for switching between the Blotter and the Deal Ticket.

Composite Display

The rate panel composite display allows you to switch between the following data views for each currency pair:

- Single rate view of any available tenor for that currency pair.
- Trend graph of any available tenor for that currency pair.
- Mini-Tenor view of any available tenor for that currency pair.

Item	Definition
1	Switches composite view. [ET 2.1 onwards] You will not see forward points rates in composite view.
2 <Currency Pair> for example, <u>GBP.USD</u>	Displays the currency pair to trade where the underlined currency is the dealt currency. You can click the other currency to switch the dealt currency.
3	Opens the <i>Tenor Selection</i> window when viewing the Tenor composite view. [ET 2.1 onwards] You will not see this icon; however, you can click each tenor in Mini-Tenor view to choose another. See Also: Mini-Tenor View on page 33
4	Opens a two-way ticket for the currency pair. [ET 2.1 onwards] This icon is no longer on a tile's header. You can view this icon by hovering the mouse over the spread. See 10 Spread below.
5 Tenor drop-down list	Shows the list of tenors for you to choose.
6 or	Floats the currency pair as a separate window.
7 or	Displays the favourite amount to trade. See Also: Setting Favourites on page 29
8 Value Date	[ET 2.1 onwards] Displays the value date according to the tenor you select.
9 Mid Price	[ET 2.1 onwards] Displays the Mid Price in One-click mode if you have the <i>Display Mid Price</i> permission and the <i>Allow One Click Trading (fwd)</i> permission.
10 Spread	[ET 2.1 onwards] Displays the spread. [ET 2.2 SP2 onwards] The spread value is displayed depending on the configuration of your system via ET Admin.



Figure 21: Composite view in ET 2.0



Figure 22: Composite view in ET 2.1 (displaying One-Click mode to show Mid Price)

Setting Favourites



Figure 23: Currency pair favourites in ET 2.0



Figure 24: Currency pair favourites in ET 2.1; the rates background is dark grey (default colour) implying that the rates are good amounts (not over the “Good For”).

The default values, or favourites used for one-click trading and RFQ tickets are set in the rate button view of the composite display.

Enter the characteristics for the currency pair:

- value date (tenor) in ①
- dealt amount in ②
- dealt currency in ③

The “Good For” value is shown in ④, this is set by the Administrator on a currency pair basis. Entering a dealt amounts above this value will cause the rates to show a different colour scheme. Attempting a one-click trade with a dealt amount above the “Good For” value will open a deal ticket instead of performing the one-click request.

For ET 2.1 onwards, the “Good For” value is not shown in figure, but the applet alerts you with different colour scheme when the rates are over the “Good For” value. The default background colour for good amounts is dark grey colour. The colour scheme can be customised; see [ET 2 Customization and Branding Guide](#).

For ET 2.1 onwards, you have the following supports in the Favourite Amount box (② in Figure 24):

- The format of digits in front of decimal point and three decimal places at the maximum in viewing and editing; for example, 1.725 M. Please do not enter four or more digits after the decimal point.
 - You can edit by using these abbreviated forms: B, M, K, T, G, and H
 - The Favourite Amount box shows these abbreviated forms only: B, M, and K

Note: If the input amount is not in abbreviated form, you can input two decimal places at the maximum, for example 1.72

- The locale support for decimal point; for example, (.) in US/UK locale and (,) in DK locale
- The maximum of 12 digits includes decimal places but excludes the decimal point; for example, 9999999999.99 which will always be rounded down in order to avoid amount overflow, so in this example, it will be rounded down to 9.999B

Note: For amounts having precision digits such as 125001 and 6125010, please input the exact amount and do not use abbreviated forms. This is to avoid data lost.

Default favourite amount

- When you have the permission to view price ladder, the default favourite amount is the first volume band (normally the lowest) in the pre-defined volume ladder of the Client Applet HTML file. This is true though you are subscribing for a currency pair with single price feed (for example, TREP).
- Once you override the favourite amount, it is kept in your user profile.

Setting Volume ladder

You can define the ladder amounts via the Client Applet HTML file.

```
<param name="ratepanel.defaultband" value="1000000;3000000;5000000;7000000;10000000" />
```

The default values are also available in the source code. If you do not define the ladder in the HTML file, the default value in the source code will be used.

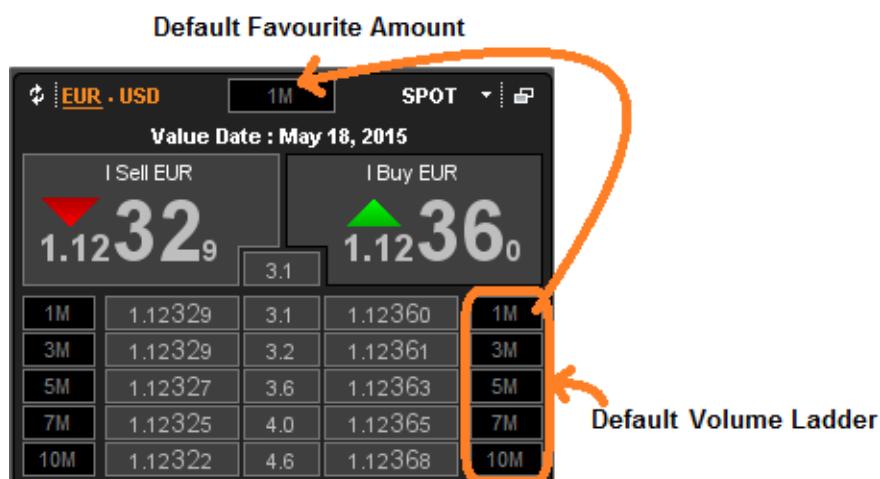


Figure 25: The Price Ladder view showing the default volume ladder and default favourite amount

If it is required, you can define an empty ladder via the Client Applet HTML file.

```
<param name="ratepanel.defaultband" value=" " />
```

Note: If you set `ratepanel.defaultband` parameter to blank, the default value for Favourite Amount will be 1.

The amount in the ladder will be empty if you remove it. In addition, there is no sorting. When you have logged out and re-logged in, you will see like what was shown in your last login.

Single Rates View

Use the drop-down ① to select the tenor.



Figure 26: Composite view: Single Rates view in ET 2.0



Figure 27: Composite view: Single rates view of one sided rate in ET 2.0

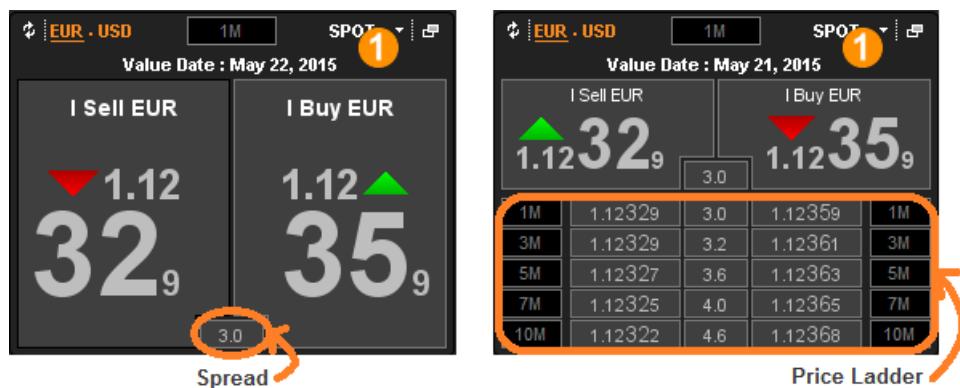


Figure 28: Composite view: Single rates view without price ladder (left) and with price ladder (right) in ET 2.1

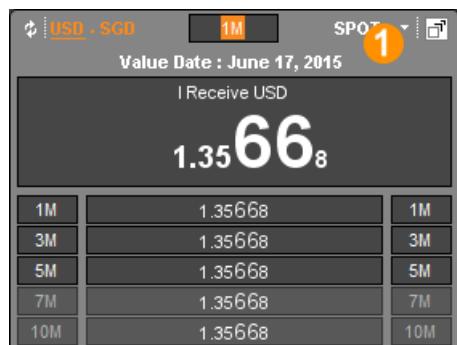
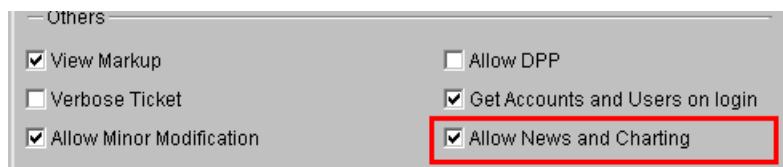


Figure 29: Composite view: Single rates view of one-sided rate with price ladder in ET 2.1

External Content Link (for ET 2.0 only)

To link external web content such as Currency News and Charts with Rates view, you need the following:

- The *Allow News and Charting* permission set via Administrator applet.



- The setting of client applet parameters: `<param name="showNewsButton" value="true">` and `<param name="showChartingButton" value="true">`

- Two strings configured for static URLs of News and Chart in strings.txt

`121875=[Chart URL]`

`121876=[News URL]`

Add CCY Pair (for ET 2.1 onwards)

Rates panel shows 12 tiles in each setup. When a tile has not been assigned with a CCY pair, it shows an *Add CCY Pair* field.

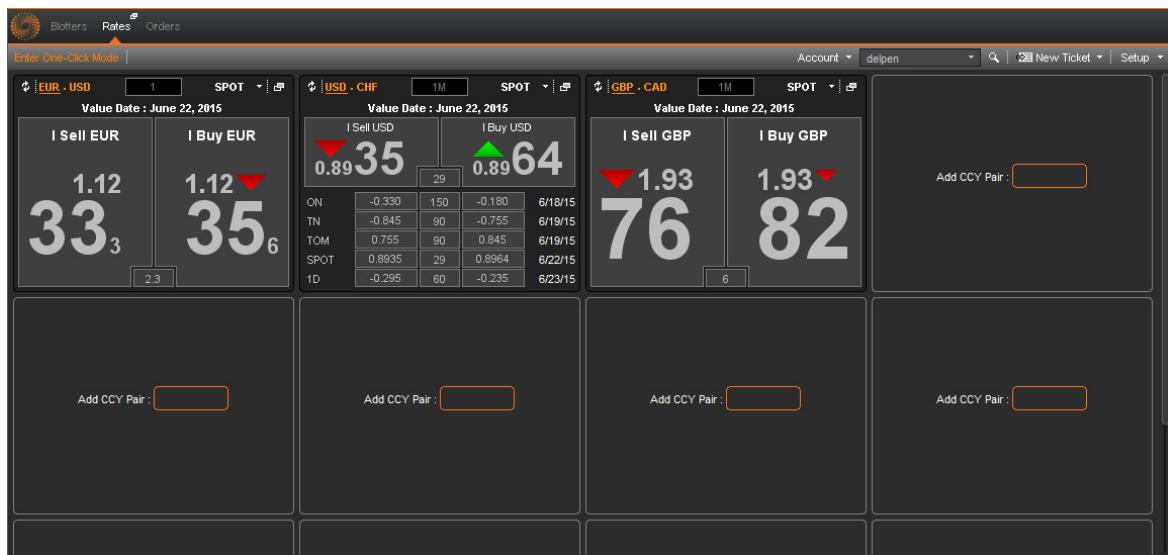


Figure 30: Rates panel showing some tiles that have not been assigned with any CCY Pair.

You can type a CCY pair in full, or just a character where the search will list the available CCY pairs. This is another way to add CCY pair to a setup. However, to delete CCY pairs from a setup, you need to delete them via the Setup window.

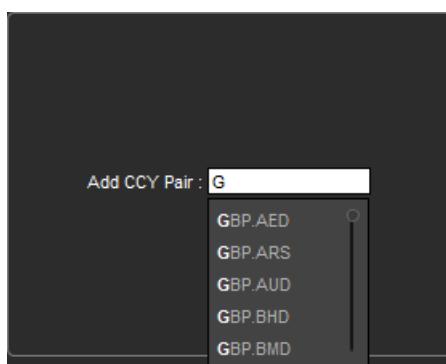


Figure 31: A tile showing the search result for CCY pairs beginning with G when you are adding a CCY pair

Changing CCY Pair instantly on each tile (for ET 2.1 onwards)

You can double click the currency pair at the title of the tile, then it will change to the field where you can enter the required currency pair. This requires no access to the setup menu.

Note: When you change the currency pair, its default favourite amount is the first volume band in the pre-defined.

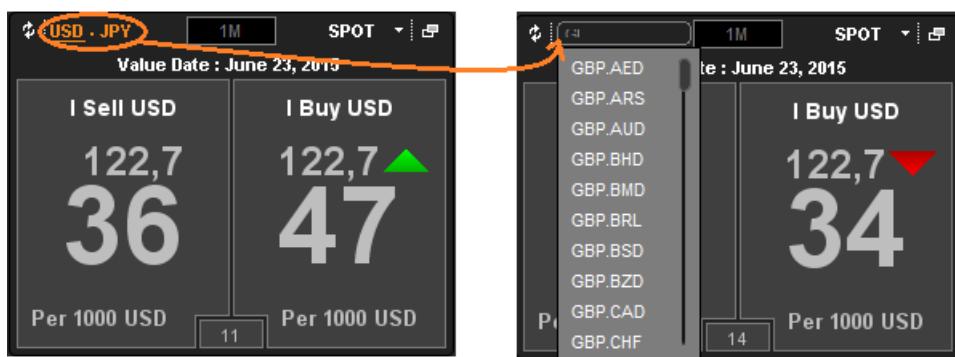


Figure 32: A tile showing the search result for CCY pairs beginning with G when you are changing the CCY pair

Mini-Tenor View



Figure 33: Composite view - Mini-Tenor view in ET 2.0

[For ET 2.0] You can open the *Tenor Selection* window by clicking the icon to:

- [Select tenors for Mini-Tenor view \(for ET 2.0 only\)](#)
- [Change view options for Mini-Tenor view \(for ET 2.0 only\)](#)



Figure 34: Composite view - Mini-Tenor view in ET 2.1

[For ET 2.1 onwards] There are five tenors in each tile, which you can change to match your preference.

Change tenors in Mini-Tenor view (for ET 2.1 onwards)

- 1 Click the  icon until Mini-Tenor view is shown.
- 2 Click the tenor that you want to change. The drop-down list of tenors will appear.

Note: Five tenors on the tile are unavailable in the drop-down list. To make a tenor on the tile available in the drop-down list, you need to click it and select another tenor; then it will appear in the drop-down list.

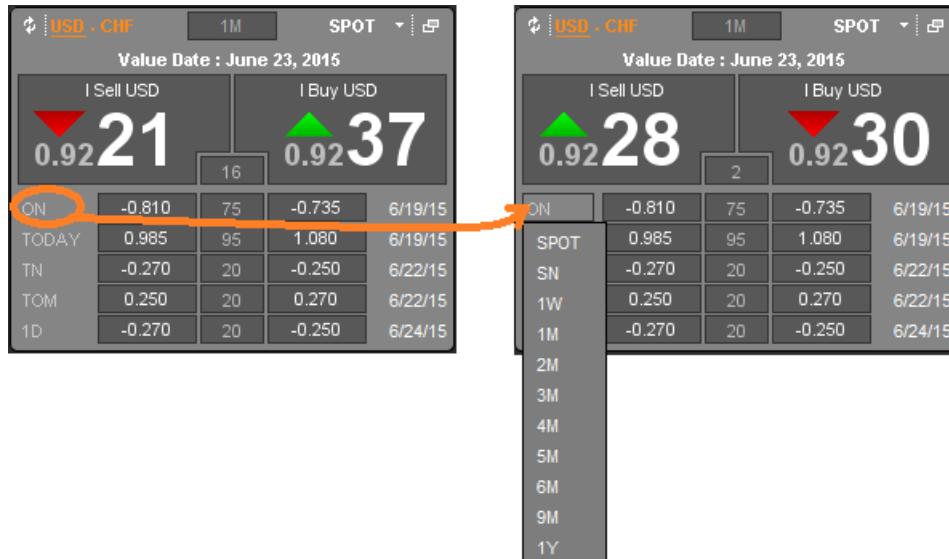


Figure 35: Mini-Tenor view in ET 2.1 showing the drop-down list of available tenors.

- 3 Select the preferred tenor from the list.

Select tenors for Mini-Tenor view (for ET 2.0 only)

- 1 Click the  icon until Mini-Tenor view is shown.
- 2 Click the  icon in the top right of the currency pair view. The *Tenor Selection* window opens.
- 3 Select *Tenors* on the left.
- 4 Activate the checkbox next to the tenors that you would like to be shown.

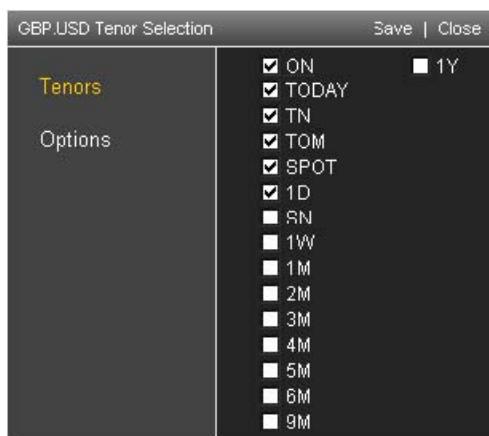


Figure 36: Composite Tenors selection window for ET 2.0

- 5 Click the Save button. The *Tenor Selection* window closes and the view updates.

Mini-Tenor View Options (for ET 2.0 only)

Mini-Tenor view can be configured to:

- Display column headers
- Display double height rows

Tenor	I Sell GBP	I Buy GBP	Value Date
ON	1.08	1.08	28 Nov 14
TODAY	- 2.58	- 2.58	28 Nov 14
TN	1.50	1.50	01 Dec 14
TOM	- 1.50	- 1.50	01 Dec 14
SPOT	↑1.2472	1.3211↓	02 Dec 14
1D	0.77	0.77	03 Dec 14

20,000,000 GBP 500,000.00

Figure 37: The Tenor Composite view with column header and double height rows for ET 2.0

Change view options for Mini-Tenor view (for ET 2.0 only)

- 1 Click the icon until Mini-Tenor view is shown.
- 2 Click the icon in the top right of the currency pair view. The *Tenor Selection* window opens.
- 3 Select *Options* on the left.
- 4 Use the two checkboxes to set the Mini-Tenor view options.

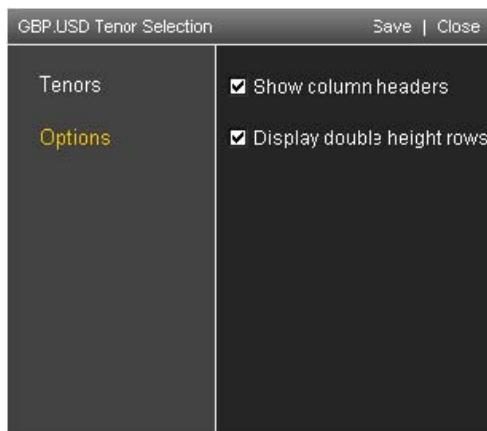


Figure 38: Composite Tenors options for ET 2.0

- 5 Click the *Save* button. The *Tenor Selection* window closes and the view updates.

Trend Graph

RFQ and one-click deals can be submitted from the trend graph composite view by clicking the rate button 1:



Figure 39: Composite view: Trend Graph for ET 2.0

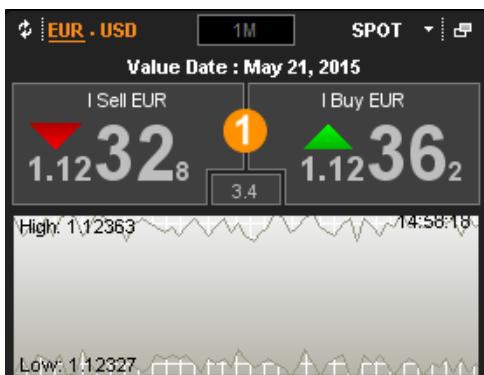


Figure 40: Composite view: Trend Graph for ET 2.1

FX Tenors display

Select the *FX Tenors* option in the *Setup* menu on the toolbar.

	EUR.USD		USD.CHF	
Good For Amount	I Sell	I Buy	I Sell	I Buy
Dir	Live	Live	Live	Live
Status	#N/A	#N/A	-0.815	-0.740
ON	#N/A	#N/A	0.995	1.090
TODAY	#N/A	#N/A	0.275	-0.255
TN	#N/A	#N/A	0.255	0.275
TOM	#N/A	#N/A	0.255	0.275
SPOT	1.07580	1.08520	0.9228	0.9231
1D	#N/A	#N/A	-0.270	-0.250
SN	#N/A	#N/A	-0.270	-0.250
1W	#N/A	#N/A	-2.000	-1.800
1M	#N/A	#N/A	-9.550	-9.150
2M	#N/A	#N/A	-22.990	-17.990
3M	#N/A	#N/A	-31.680	-31.180
4M	#N/A	#N/A	-45.193	-43.869
5M	#N/A	#N/A	-59.157	-56.981
6M	#N/A	#N/A	-72.670	-69.670
9M	#N/A	#N/A	-116.800	-113.800
1Y	#N/A	#N/A	-166.500	-162.500

Figure 41: FX Tenors view

Historical data tool tips

If the applet is set to display rate tool tips (see [Accessing Client Applet Settings on page 15](#)) then hovering the cursor over a rate in either the FX Composite or FX Tenors view will display historic rate data as a tool tip.



Figure 42: Tool tip

The tool tip displays:

- the previous six prices
- the historical high and low rate and timestamp in the current applet session

Note: The graph is valid on rate view of favourite amount but not ladder amounts.

Rates Setup

The rates *Setup* window allows you to specify the currency pairs and tenors that will appear in the *Rates* panel.

Note: Before you start trading you must create at least one setup. Each setup must have a unique name.

For ET 2.1 onwards, a setup allows only one instrument group.

See Also: [Remark on Upgrading to ET 2.1 and Later Versions on page 37](#)

Creating setups

- 1 Click the *Rates* tab. The Client applet displays the *Rates* panel.
- 2 If you are not automatically prompted to create a new setup, click the **[+]** icon in the setup panel. The Client applet displays the *Setup Name* window.

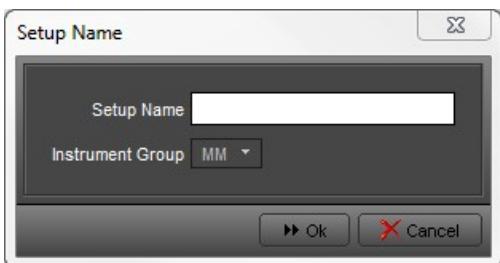


Figure 43: Setup Name window

- 3 Type a name for the setup in the *Setup Name* field.
- 4 Select the *FX Instrument Group*.
- 5 Click the *OK* button. The Client applet displays the *Setup* window.
- 6 Specify the setup. See also:
 - [Specifying currency pairs on page 38](#).
 - [Specifying tenors on page 39](#).
- 7 Click *OK* to save the setup. The *Setup* window closes and the new setup becomes active.

Remark on Upgrading to ET 2.1 and Later Versions

ET 2.1 and later versions do not support mixed instrument branch in one setup: existing setup with mixed instrument branch will be automatically deleted when you log in to ET 2.1 and later versions.

Foreign Exchange tab

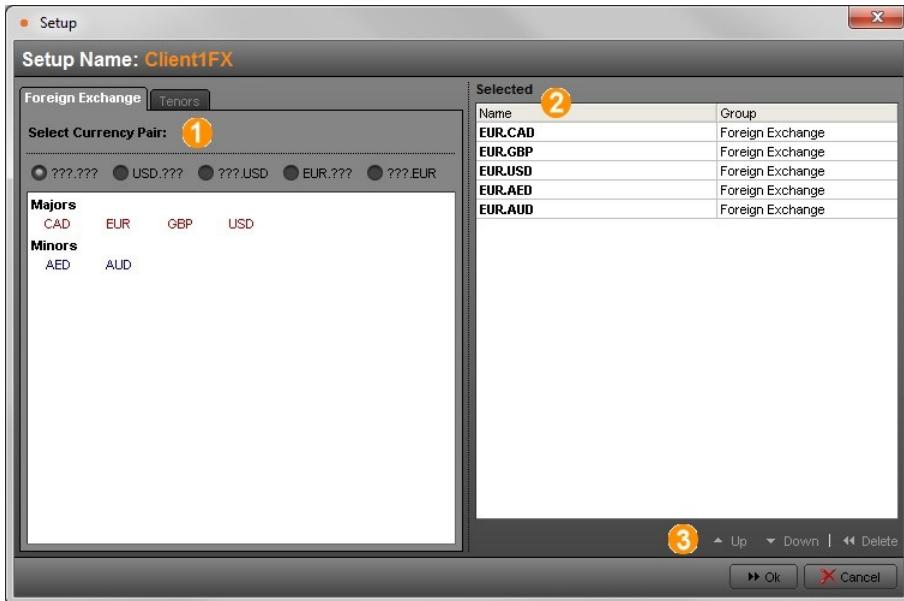


Figure 44: Foreign Exchange tab

Specifying currency pairs

- 1 In the *Edit Page* window, click the *Foreign Exchange* tab. The Client applet displays the currencies available to you.
- 2 Use the currency shortcuts in ① as a template for currency pair creation. Once a pair is selected it appears in the *Selected* panel, ②.
- 3 To complete the setup select further currency pairs.
- 4 Use the buttons in ③ to change the order or remove the selected currency pair.

Currency centricity

The ET trading system supports both global and local currency quotation market conventions. The local currency quotation, which overrides the global convention is assigned on a user basis, therefore when creating a currency pair you may see the following warning. Clicking *OK* will add the currency pair, in the supported convention, to the setup.

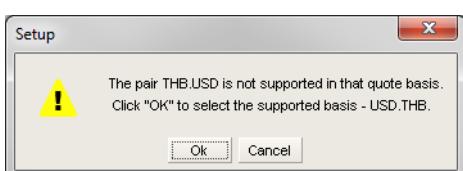


Figure 45: Non-supported quote basis warning.

Tenors tab

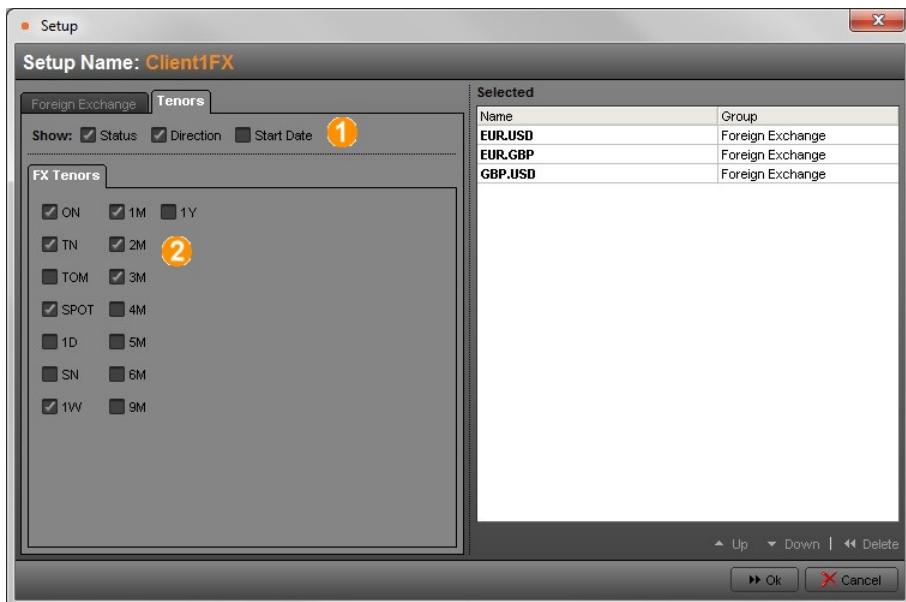


Figure 46: Tenors tab

Specifying tenors

- 1 Activate the checkbox next to the tenors you wish display in ②. You will see rates for these tenors when the *FX Tenors* view is selected in the *Rates* panel.
- 2 Click the *OK* button to save your changes.

Show panel

Additional information can be displayed in the *FX Tenors* view. This is controlled by the checkboxes in ①.

Item	Definition									
Status checkbox	Displays the rate status. <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th colspan="3">EUR.USD</th> </tr> <tr> <th>Status</th><th>Live</th><th>Live</th> </tr> </thead> <tbody> <tr> <td>ON</td><td>-0.78</td><td>-0.53</td> </tr> </tbody> </table> <ul style="list-style-type: none"> • Stale - the rate has not been updated and has expired. • Cutoff - the rate when the cutoff time has passed. • Live - the rate is valid. 	EUR.USD			Status	Live	Live	ON	-0.78	-0.53
EUR.USD										
Status	Live	Live								
ON	-0.78	-0.53								
Direction checkbox	Displays the deal direction. <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th colspan="3">EUR.USD</th> </tr> <tr> <th>Dir</th><th>I Sell</th><th>I Buy</th> </tr> </thead> <tbody> <tr> <td>ON</td><td>↓ -0.92</td><td>↑ -0.48</td> </tr> </tbody> </table>	EUR.USD			Dir	I Sell	I Buy	ON	↓ -0.92	↑ -0.48
EUR.USD										
Dir	I Sell	I Buy								
ON	↓ -0.92	↑ -0.48								
Spot checkbox	Displays a 'Spot' option to open a spot deal ticket. <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th colspan="3">EUR.USD</th> </tr> <tr> <th>Tenors</th><th>Spot</th><th>Spot</th> </tr> </thead> <tbody> <tr> <td>ON</td><td>-29.09</td><td>0.85</td> </tr> </tbody> </table>	EUR.USD			Tenors	Spot	Spot	ON	-29.09	0.85
EUR.USD										
Tenors	Spot	Spot								
ON	-29.09	0.85								

Chapter 5 PRIIPs - KID Acceptance

The regulation on key information documents for Packaged Retail and Insurance-based Investment Products (PRIIPs) helps you reach the information you should know when trading FX. The Key Information Document (KID) will be presented to you in the *KID Agreement* window which you can accept to proceed your deal.

The KID Agreement window

The KID contains key facts you need to know and accept for that particular deal type before continuing the trade.

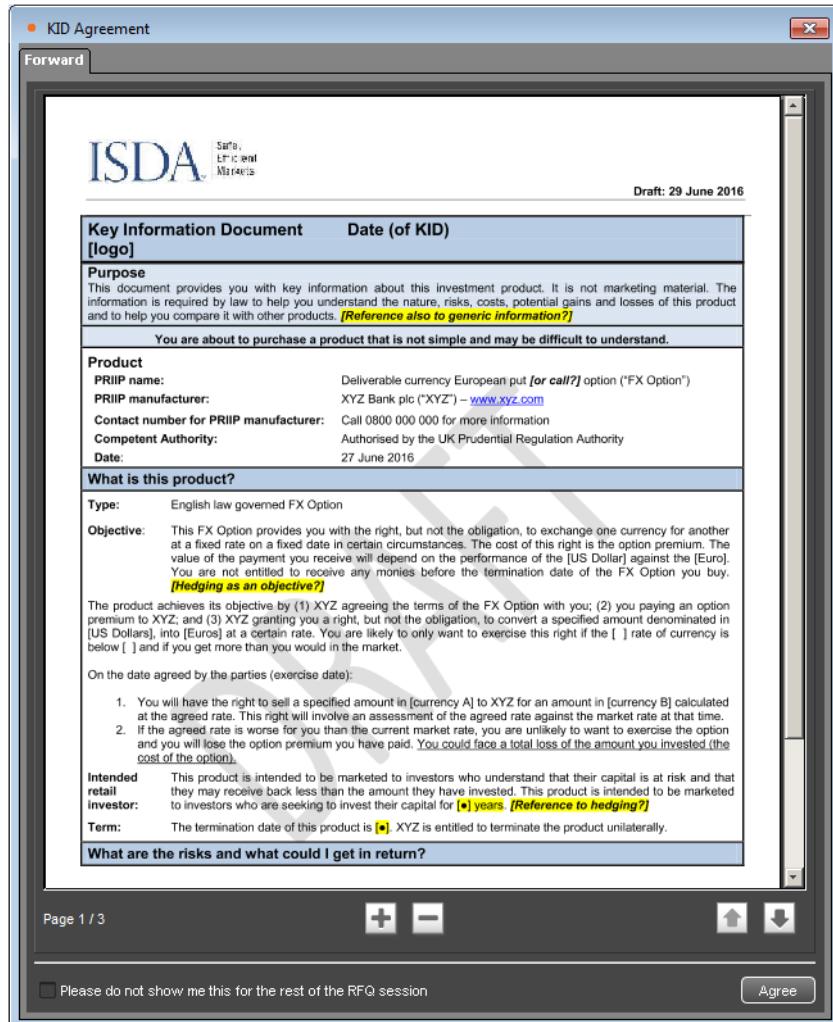
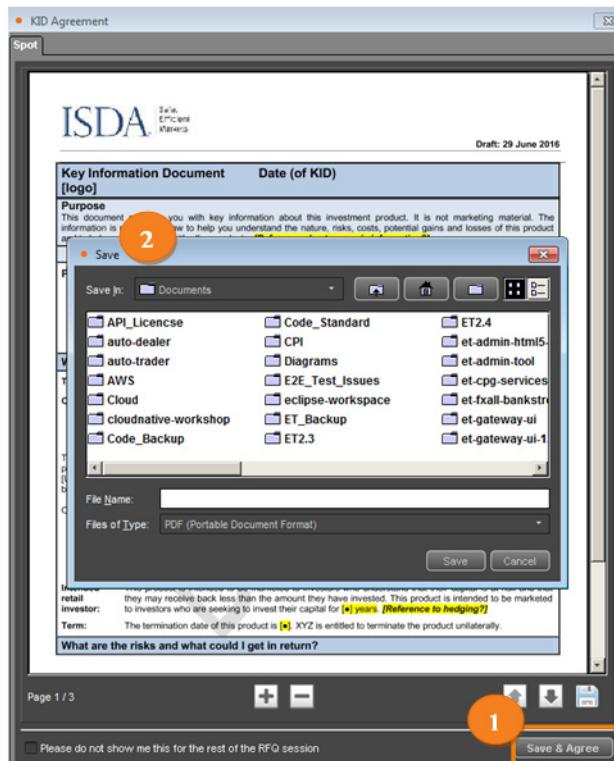


Figure 47: Example of the *KID Agreement* window showing with the KID template

Item	Description
Please do not show me this for the rest of <deal type> session checkbox	If ticked: will not show the <i>KID Agreement</i> window of the same deal type again in the same login session. The availability of this checkbox is depending on user permission.
Agree button	Accepts the KID Agreement and proceeds the deal.

The enforce save KID document window

Client and Proxy Client users who are assigned "Enforce save KID" will need to save KID document in your local machine before be allowed to submit a deal. ("Save & Agree" button will be shown instead of the Agree button.)



when you clicks on the button, the "Save" dialog will be shown as the picture above.

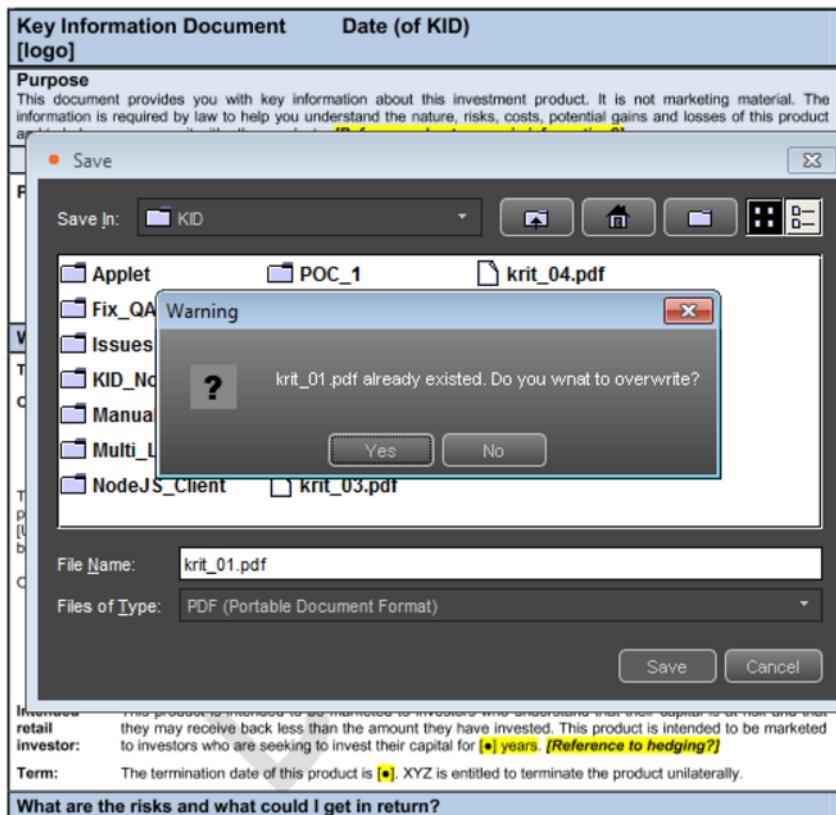
Item	Description
Save button	The users must save a KID document being displayed. Client Applet will process to submit a deal.
Cancel button	When the user cancel to save the KID document, Client Applet will not proceed to submit a deal and still show the dialog until the users save it.
Please do not show me this for the rest of <deal type> session checkbox	Also, like the Agree button, when the users check the checkbox, before clicking the "Save & Agree" button. The KID view dialog for deal types which were already accepted will not be shown again.

Note: In the situation where multipleKID documents need to be accepted, Block deal type for example, the users must save all of them in order to proceed to submit a deal.

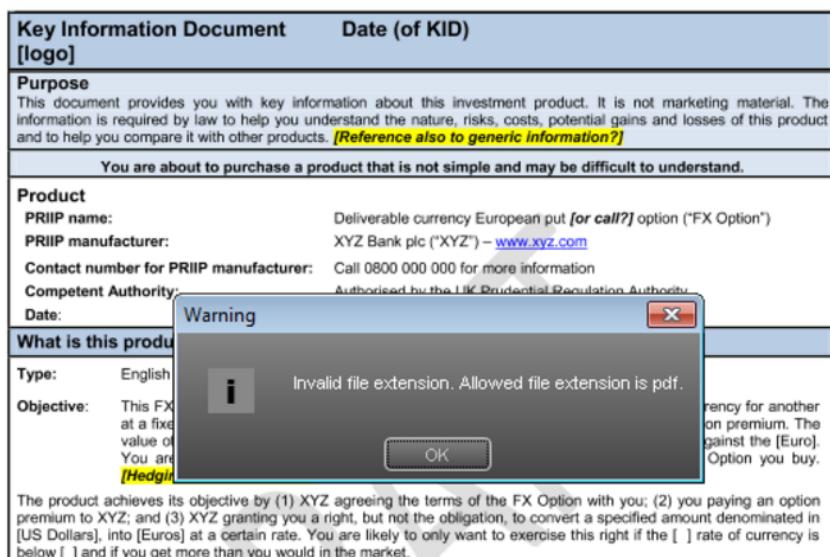
KID document save warnings

While saving KID documents, a given file path to save a KID Document will be validated and show warning messages as follows:

File Already Existed

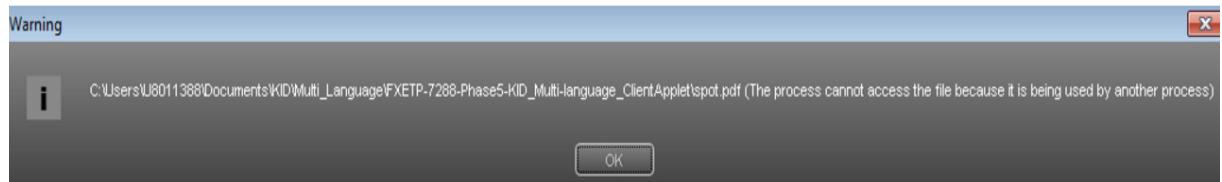


Invalid File Extension



Unexpected Exceptions

In the situation where unexpected exceptions occur due to user's local machine settings or permissions, an exception message generated from Java will be displayed. The following screenshot shows the message when saving KID document to an existing file being opened by another application on a user's local machine.



Chapter 6 FX Trading - Request for Quote (RFQ)

Contents

- [The Deal Ticket](#)
- [RFQ Trading](#)

The Deal Ticket

Requesting a quote for a currency pair and tenor involves opening a deal ticket, specifying deal criteria and submitting the request to a price maker. A price with an expiry time is then returned by the maker and displayed in the deal ticket.

See Also: [FX Trading - One-click on page 52.](#)

The screenshot shows a software window titled "Sell GBP vs USD SPOT". At the top left, there's a status bar with "Sell GBP vs USD SPOT" and a "GBP.USD" button. The main area has a dark grey background with white text. It displays the following information:

- Trade Type:** Outright
- Value Date:** SPOT, 8 Jul 2014
- Spot Rate:** 1.6109
- Sell:** GBP 2,000,000.00
- Buy:** USD 3,221,800.00

On the right side, there are buttons for "Submit" and "Close". Below these are tabs for "Accounts", "Memo", and "Chat". An "Account" dropdown is set to "CT_EUR_Pay_Rcv_s...". A section labeled "Associated Settlement Details" shows "Sell : GBP: Please Select" and "Buy : USD: Please Select". At the bottom left is a "Status Ready" indicator, and at the bottom right is the "THOMSON REUTERS" logo.

Figure 48: FX deal ticket

Deal ticket buttons

Button	Description
Submit button	Submits the deal for pricing.
Select CCY	Opens the currency chooser to change the currency pair within the deal ticket.
Latest button	Requests the latest market rate.
Ack button	Click to acknowledge receipt of a quote. The Accept and Reject buttons become active.
Accept button	Accepts the price and logs the deal.
Reject button	Rejects the price.
Memo button	Opens the <i>Deal Memo</i> window to add additional information.
Pre Check button	Fills the fields in the <i>Custom</i> tab with the data of client.
Withdraw button	Closes a submitted deal before a price has been returned.
Close	Closes the deal ticket.

Deal ticket fields

Field	Deal Type	Description
Non-negotiated	Outright Swap	If ticked, any new price the trader offers is automatically accepted. Inactive (greyed out) where not appropriate.
Value date	Outright Two-way Outright	The tenor / date on which the currencies are exchanged. SPOT is the only tenor available to Clients without permission to trade Forward deals.
I Trade I Buy I Sell	All	Free text fields for relevant amounts to be specified. Each label is appended by the Buy/ Sell/ Trade currency. Buy/ Sell currencies may be toggled using the Flip button.
Quotation Unit Amount	Spot Outright Swap Two-way Spot Outright Two-way Swap	The amount that you quote the commodity in a specified unit (which is not ounces). This amount is automatically converted to ounces and displayed in the dealt amount field (Trade/Buy/Sell) See Also: Chapter 11: FX Trading - Commodities on page 99
Quotation Unit	Spot Outright Swap Two-way Spot Outright Two-way Swap	The unit (which is not ounces) that you quote the commodity. The list of quotation units is pre-defined. See Also: Chapter 11: FX Trading - Commodities on page 99
Spot	Outright Two-way Outright Swap Two-way Swap	The spot rate.
Swap Pts	Swap Two-way Swap	The premium or discount points to be applied to the deal for the relevant period.
Flip	Outright Swap	Toggles the Buy/ Sell currencies.
Roll Spot To Forward	Outright Two-way Outright	Tick the checkbox to roll spot to forward once the deal is logged,
Post Trade Allocation	Outright Two-way Outright Swap Two-way Swap	Tick the checkbox to perform a post trade allocation once the deal is logged.
Near Leg	Swap Two-way Swap	The details for the start date of the swap.
Far Leg	Swap Two-way Swap	The details for the maturity date of the swap.
Fwds	Outright Two-way Outright Swap Two-way Swap	The premium or discount points to be applied to a spot trade to create a rate for a forward period.
All-in	Outright Two-way Outright Swap Two-way Swap	The final rate to a client once forward points and margins are applied to market rates.

Field	Deal Type	Description
Contra	Two-way Outright Two-way Swap	The deal amount in the Terms currency.
Near	Two-way Swap	The start date of the swap (selection from the drop-down automatically populates the first scrollable field with the appropriate value, and vice-versa).
Far	Two-way Swap	The maturity date of the swap (selection from the drop-down automatically populates the first scrollable field with the appropriate value, and vice-versa).

Keyboard shortcuts

The shortcuts listed below apply to the dealt amount field in the deal ticket.

Key(s)	Action
h or H	Convert numeric entry to hundreds. For example 5h or 5H => 500.00.
t or T	Convert numeric entry to thousands. For example 5t or 5T => 5,000.00.
k or K	Convert numeric entry to thousands. For example 5k or 5K => 5,000.00.
m or M	Convert numeric entry to millions. For example 5m or 5M => 5,000,000.00.
b or B	Convert numeric entry to billions. For example 5b or 5B => 5,000,000,000.00.

Accounts panel fields

The accounts panel fields are shown in.

Field	Description
Select Account drop-down menu	List of available accounts.
Search button	Opens the <i>Search</i> window to search for available accounts.
SI	Settlement Instruction. If you check this box, the new dialog will be popped up and you can fill your instruction.
NPFT	Tick the checkbox to mark that the account is Non-Price Forming Trade (NPFT) which would not contribute to the price discovery process such as cancellation and post trade allocation. This checkbox has been moved from Deal ticket fields to Accounts panel since ET 2.3 SP7.

Chat panel fields

The chat panel fields are shown in.

Field	Description
Chat window	Displays in-deal chat messages from the price maker.
Chat textbox	Free text field for entering your chat message.
Send button	Sends your chat message to the price maker.

Traders panel fields

The traders panel fields are shown in.

Field	Description
Trader column	The name of the trader logged in.
Group column	The name of the trader's group.

RFQ Trading

Opening a deal ticket

Opening a deal ticket with the rates panel

- 1 Click the *Rates* button. The client applet displays the *Rates* panel.
- 2 Select the account with which you will be trading using the *Account* box in the menu bar.

Note: The account can be changed within the deal ticket.

- 3 Select tenor, dealt amount and dealt currency under the rate button. The streaming rate is resubscribes for the chosen tenor and dealt amount.

Note: These values can be changed within the deal ticket.

- 4 Click the *I Sell*, *I Buy* or *Two Way* button on the currency pair. The deal ticket opens.

Opening a deal ticket without the rates panel

- 1 Click the *New FX Trade* button in the menu bar. The deal ticket opens.
- 2 Select the tenor and account and specify the dealt amount and currency from within the deal ticket.

Selecting the currency pair within the deal ticket

There are two methods (*Chooser* and *Drop-down*) of selecting the currency pair in the deal ticket.

Note: The method that is available to you is set at a user level by the System Administrator (*User groups panel > General tab > Currency Selection Control*).

Using the chooser

- 1 Click the down arrow button ① or ② beside the currency. A chooser opens with the available currencies.

- 2 Select the currency you would like to trade. The chooser closes and the deal ticket updates with the selected currency pair.

Using drop-down menus



Figure 49: Currency drop-down menus

- 1 Click the drop-down list next to the **Sell** **1** field and select the currency.
- 2 Click the drop-down list next to the **Buy** **2** field and select the currency.

Changing account details

Selecting a single account

- 1 Click the *Accounts* radio button on the right hand side of the deal ticket. The account options are shown.
- 2 Use the *Select Account* drop-down menu to change the account. The *Accounts* panel refreshes with the account name selected and associated default settlement instructions.

Selecting multiple accounts

- 1 Click the *Accounts* radio button on the right hand side of the deal ticket. The account options are shown.
- 2 Activate the *Use Multiple Accounts* checkbox. The *Accounts* updates to allow multiple accounts to be selected.
- 3 Select the dealt currency for all accounts in the CCY drop-down menu.
- 4 Click the ... entry in the first column to start a new row. The row populates with the default account and a dealt amount of *0.00*.
- 5 Select the account using the drop-down, or search accounts by clicking the search icon to the right of the drop-down menu.
- 6 Populate the dealt amount for the account. See also [Keyboard shortcuts on page 46](#).
- 7 Click into the checkbox in the SI column to specify the default settlement instructions for the account or edit settlement instructions.
- 8 Additional rows can be added by clicking the ... entry in the next available row. The total for the deal across all accounts is updated in the *Total* box at the bottom of the *Accounts* panel.

Submitting outright RFQs

Submitting a one-way outright ticket

- 1 Open a deal ticket as explained in [Opening a deal ticket on page 47](#).
- 2 Use the *Value Date* drop-down menu or date spinners to change the value date.
- 3 Click into the *I Buy or I Sell* textbox and enter a dealt amount.
- 4 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 5 If traders are logged in and have the permission to price your request, the status changes to *Waiting for price*.
- 6 [User permission dependent] If your user has the permission to acknowledge the price the status changes to *Acknowledge new price* and the *Ack* button becomes active. Click the *Ack* button to acknowledge the price.
- 7 The deal ticket is populated with the price. The status changes to *Accept or Reject new price*. The *Accept* and *Reject* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.
- 8 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 9 Click the *Accept* button to accept the price and complete the deal. The status updates with *Deal Logged (ID [xx])*.

Submitting a two-way outright ticket

- 1 Open a deal ticket as explained in [Opening a deal ticket on page 47](#).
- 2 Use the *Value Date* drop-down menu or date spinners to change the value date.
- 3 Click into the *I Trade* textbox and enter a dealt amount.
- 4 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 5 If traders are logged in and have the permission to price your request, the status changes to *Waiting for price*.
- 6 [User permission dependent] If your user has the permission to acknowledge the price the status changes to *Acknowledge new price* and the *Ack* button becomes active. Click the *Ack* button to acknowledge the price.
- 7 The deal ticket is populated with the price. The status changes to *Accept or Reject new price*. The *Sell [CCY]* and *Buy [CCY]* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.
- 8 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 9 Click either the *Sell [CCY]* or *Buy [CCY]* button to accept the price and complete the deal. The status updates with *Deal Logged - I Have Bought [CCY] - Sold [CCY] (ID [xx])*.

Submitting swap RFQs

Submitting an even one-way swap ticket

- 1 Open a deal ticket as explained in [Opening a deal ticket on page 47](#).
- 2 Click the *Swap* radio button, or select *Swap* from the *Select Trade Type* drop-down menu. The deal ticket refreshes with the swap deal fields.
- 3 Use the tenor drop-down menus or date spinners to change the *Near Leg* and *Far Leg* dates.
- 4 Click into the *I Buy* or *I Sell* textbox and enter a dealt amount. Both textboxes update with the dealt amount.
- 5 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 6 If traders are logged in and have the permission to price your request, the status changes to *Waiting for price*.
- 7 [User permission dependent] If your user has the permission to acknowledge the price the status changes to *Acknowledge new price* and the *Ack* button becomes active. Click the *Ack* button to acknowledge the price.
- 8 The deal ticket is populated with the price. The status changes to *Accept or Reject new price*. The *Buy/Sell [CCY]* and *Sell/Buy [CCY]* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.
- 9 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 10 Click the *Accept* button to accept the price and complete the deal. The status updates with *Deal Logged (ID [xx])*.

Submitting an even two-way swap ticket

- 1 Open a deal ticket as explained in [Opening a deal ticket on page 47](#).
- 2 Click the *Two Way Swap* radio button, or select *Two Way Swap* from the *Select Trade Type* drop-down menu. The deal ticket refreshes with the swap deal fields.
- 3 Use the tenor drop-down menus or date spinners to change the *Near Leg* and *Far Leg* dates.
- 4 Click into the *Near Leg* or *Far Leg I Trade* textbox and enter a dealt amount. Both textboxes update with the dealt amount.
- 5 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 6 If traders are logged in and have the permission to price your request, the status changes to *Waiting for price*.
- 7 [User permission dependent] If your user has the permission to acknowledge the price the status changes to *Acknowledge new price* and the *Ack* button becomes active. Click the *Ack* button to acknowledge the price.
- 8 The deal ticket is populated with the price. The status changes to *Accept or Reject new price*. The *Accept* and *Reject* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.
- 9 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 10 Click either the *I Buy/Sell [CCY]* or *I Sell/Buy [CCY]* button to accept the price and complete the deal. The status updates with *Deal Logged - I Have Bought [CCY] - Sold [CCY] (ID [xx])*.

Submitting an uneven one-way swap ticket

- 1 Open a deal ticket as explained in [Opening a deal ticket on page 47](#).
- 2 Click the *Swap* radio button, or select *Swap* from the *Select Trade Type* drop-down menu. The deal ticket refreshes with the swap deal fields.
- 3 Activate the *Uneven-Swap* checkbox.
- 4 Use the tenor drop-down menus or date spinners to change the *Near Leg* and *Far Leg* dates.
- 5 Click into the *I Buy* textbox and enter a dealt amount. The textbox updates with the dealt amount.
- 6 Click into the *I Sell* textbox and enter a dealt amount. The textbox updates with the dealt amount.
- 7 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 8 If traders are logged in and have the permission to price your request, the status changes to *Waiting for price*.
- 9 [User permission dependent] If your user has the permission to acknowledge the price the status changes to *Acknowledge new price* and the *Ack* button becomes active. Click the *Ack* button to acknowledge the price.
- 10 The deal ticket is populated with the price. The status changes to *Accept or Reject new price*. The *Buy/Sell [CCY]* and *Sell/Buy [CCY]* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.
- 11 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 12 Click the *Accept* button to accept the price and complete the deal. The status updates with *Deal Logged (ID [xx])*.

Submitting an uneven two-way swap ticket

- 1 Open a deal ticket as explained in [Opening a deal ticket on page 47](#).
- 2 Click the *Two Way Swap* radio button, or select *Two Way Swap* from the *Select Trade Type* drop-down menu. The deal ticket refreshes with the swap deal fields.
- 3 Activate the *Uneven-Swap* checkbox.
- 4 Use the tenor drop-down menus or date spinners to change the *Near Leg* and *Far Leg* dates.
- 5 Click into the *Near Leg I Trade* textbox and enter a dealt amount. The textbox updates with the dealt amount.
- 6 Click into the *Far Leg I Trade* textbox and enter a dealt amount. The textbox updates with the dealt amount.
- 7 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 8 If traders are logged in and have the permission to price your request, the status changes to *Waiting for price*.
- 9 [User permission dependent] If your user has the permission to acknowledge the price the status changes to *Acknowledge new price* and the *Ack* button becomes active. Click the *Ack* button to acknowledge the price.
- 10 The deal ticket is populated with the price. The status changes to *Accept or Reject new price*. The *Accept* and *Reject* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.
- 11 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 12 Click either the *I Buy/Sell [CCY]* or *I Sell/Buy [CCY]* button to accept the price and complete the deal. The status updates with *Deal Logged - I Have Bought [CCY] - Sold [CCY] (ID [xx])*.

Chapter 7 FX Trading - One-click

One-click trading gives you the price taker the ability to submit and complete an FX trade for a pre-defined currency pair, tenor, dealt amount, dealt currency and account with a single click. Depending on the permissions granted to your user and the market conditions, deals are logged at the exact price, or within a tolerance to that seen on the rate button at the time of execution.

Contents

- [One-click deal settings](#)
- [One-click trading](#)

One-click deal settings

Configurable options for one-click trading are specified in the:

- *Settings window, 1-Click tab, see [1-Click tab on page 18](#).*
- *One-click controls in the Rates tab, active when the applet has been switched to one-click mode, see [One-click controls on page 52](#).*

One-click controls

Three types one-click control are available:

Control	Description
Fill at latest	Completes the trade at the latest market rate.
Fill at my rate only	Completes the trade at exactly the rate showing when the button was clicked, provided that the Time to Live (TTL) on the rate has not expired.
Slippage	Completes the trade within in a price tolerance specified on a currency pair basis by the price taker.

Fill at latest

The one-click request will be filled at whatever rate is given to the autotrader by TRM at the time of pricing.

Fill at my rate only

This option takes into account the Time To Live (TTL) of the rate clicked on by the client. The deal is logged if:

- The price received by the autotrader from TRM is the same as that clicked on by the client.
- The price has changed, but the TTL is still valid.

The TTL validation uses the equation below and can allow for latency via a parameter that specifies a latency value in milliseconds.

`(current time - submitted time) <= (previous price TTL + latency)`

Slippage

The slippage factor comes into play when the price has changed between the client submitting the one-click trade and the autotrader receiving the latest price from TRM. If the previous price has timed out then the slippage factor and one-click threshold values are used to determine the validity of the one-click trade.

The slippage factor is determined on a currency pair basis by the client and is the number of pips away from the market he or she will be willing to trade.

The one-click threshold is a similar factor set by the bank on a currency pair basis.

The system will check the slippage factor first, then the bank's threshold setting, as demonstrated in the examples below.

Example

The price difference of 4 pips is within the price taker's slippage value, so the deal is completed. Below is an example of a USD.CHF deal, I Sell CHF.

Rate seen in client applet	Rate at pricing engine	Slippage	Logged at
1.0291	1.0287	6	1.0287

Note: The RET pricing engine has a similar price tolerance per currency pair mechanism. For this reason your request may be not logged even if the rate used by the pricing engine is within the slippage value you set.

One-click trading

Switching to one-click deal mode

- 1 Click the *Rates* button. The client applet displays the *Rates* panel.
- 2 Click the *Enter One-Click Mode* button in the toolbar. The *One Click Trading* window opens.

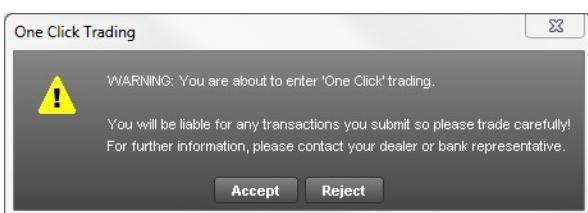


Figure 50: One Click Trading window

- 3 Click the *Accept* button to proceed with one-click trading activation. The *KID Agreement* window will appear and if you click the *Accept* button, the window closes and the rates buttons change colour.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

Note: In One-click mode, the *KID Agreement* window may appear for every deal depending on user permission.

Selecting the one-click control type

- 1 Switch to one-click mode as instructed above.
- 2 Select either *@Latest*, *@My Rate Only* or *Slippage*.

Displaying the one-click blotter

- 1 Click the *Settings* link in the top right applet menu bar. The *Settings* window opens.
- 2 Click the *1-Click* tab.
- 3 Ensure that the *Blotter* display policy is set to *Always* or *In 1-Click Mode*.

Submitting a one-click deal

- 1 Switch to one-click mode and select the desired one-click control, as instructed above.
- 2 Perform the left or right, single or double click as set in the *Settings* window, *1-Click* tab. The default is a single left click.
- 3 The one-click blotter updates with the progress of the one-click request.
- 4 The request will time out after the number of seconds displayed in the *Time Out* column. This value is set in the *Settings* window, *1-Click* tab.

See Also: [1-Click tab on page 18](#).

Note: The number of one-click request open at any time and whether it is restricted to one currency pair or all currency pairs is controlled on a user basis by your administrator.

Cancelling a one-click deal request

- 1 With at least one one-click trade active, do the following:
- 2 Click the *Cancel All* button in the Rates panel toolbar. The *Cancel All* window opens and displays the message *All one-click deals will be cancelled.*
- 3 Click the Yes button. All one-click deals not already completed will be cancelled. The *Negotiation State* in the Rates panel blotter will show *Withdrawn* for that deal.

Acknowledging completed one-click deals

Note: You may be required to acknowledge each completed one-click trade before logging out of your client applet session.

- 1 A one-click request is logged as completed. The *Acknowledge* window opens.
- 2 You can either:
 - Select the deal in the window and click the *Accept* button.
 - Close the *Acknowledge* window and acknowledge the deals later using the *One Click Ack* button in the client applet toolbar.

Chapter 8 FX Trading - Blocks

Block trading functionality allows you to configure multiple deals for a single submission. These deals may be across multiple accounts.

Block deals may be created in two ways:

- From scratch, using the *Requirements* tab.
- Imported through the use of a delimited text file, using the *Data Import* tab.

Contents

- [Accessing the Block Ticket](#)
- [Blocks Procedures](#)

Accessing the Block Ticket

In the *Rates* panel menu bar, click the *New Ticket* button and select *New Block* from the drop-down menu.



The Block ticket

A screenshot of a Windows application window titled 'EUR v USD'. The window has a toolbar at the top with buttons for 'Select CCY', 'Memo', 'Submit', 'Cancel', 'Non-negotiated', 'Close', and 'Print'. Below the toolbar are four tabs: 'Requirements' (selected), 'Trading', 'Data Import', and 'Chat'. Under the 'Requirements' tab, there are several input fields: 'Deal CCY' set to 'EUR', 'Total Reqs' set to '0', 'Total Net' set to '0.00 EUR', 'Total Buy' set to '0.00 EUR', and 'Total Sell' set to '0.00 EUR'. Below these fields is a table with columns: ID, Account, Type, Amount, Dir, Date 1, Date 2, SI, and Error. A single row is present with an ellipsis (...). The main area of the window is a large text box for notes, which is currently empty.

Figure 51: Block ticket

Toolbar

Item	Description
Select CCY button	Opens the currency chooser to change the currency pair within the deal ticket.
Memo button	Opens the <i>Deal Memo</i> window to add additional information.
Submit button	Submits the block deal for pricing.
Non Negotiated checkbox	When this checkbox is ticked, any new price the trader offers is automatically accepted.
Close button	Closes the deal ticket.

Item	Description
Print button	Opens the printed deal ticket once the block has been logged.

Requirements tab

See Also: [Creating a block ticket using the Requirements tab on page 57.](#)

Item	Description
Dealt CCY drop-down	Dealt currency for the block trade.
Total Reqs field	Total number of requirements in the block.
Total Net field	The net value of all the valid requirements in the block.
Total Buy field	The total value of requirements where the direction is Buy.
Total Sell field	The total value of requirements where the direction is Sell.

Requirement table:

Item	Description
ID	Sequence number
Account	Account
Type	Type (e.g. Outright, Swap, Time Option.)
Amount	Amount
Dir	Direction (e.g. Buy, Sell)
Date 1	Value date 1
Date 2	Value date 2
SI	Settlement Instruction (e.g. std, non-std). You can click to set more instruction.
NPFT	Tick the checkbox to mark that the order is Non-Price Forming Trade (NPFT)
Error	Error message. It displays "OK" if all inputs are correct.

Trading tab

See Also: [Submitting a block trade on page 59.](#)

Item	Description
Ack button	Click to acknowledge receipt of a quote. The Accept and Reject buttons become active.
Accept button	Accepts the price and logs the deal.
Reject button	Rejects the price.
Withdraw button	Closes a submitted deal before a price has been returned.

Data Import tab

See also:

- [Creating a block ticket using the Data Import tab on page 58.](#)
- [Exporting block trade requirements on page 59.](#)

Item	Description
Status field	The status of the imported or exported data.
Show Error button	Highlights any errors in the delimited data.
Import Now button	Imports the data into the Requirements tab.
Export button	Exports all the rows in the Requirements to comma delimited data.
Clear Text button	Clears the contents of the data window.
Field Order window	Displays the expected order of delimited fields.

Chat tab

Item	Description
Chat window	Displays in-deal chat messages from the price maker.
Chat textbox	Free text field for entering your chat message.
Send button	Sends your chat message to the price maker.

Keyboard shortcuts

Key(s)	Action
Up / Down	Navigate editable fields in the Requirement tab. Scroll through drop-down menus when editing fields in the Requirements tab
Left / Right	Navigate editable fields in the Requirement tab. Scroll through drop-down menus when editing fields in the Requirements tab
Tab / Shift+Tab	Navigate editable fields in the Requirement tab.
Enter	Edit the selected field.
Delete	Remove the highlighted row.
In Amount column	
h or H	Convert numeric entry to hundreds. For example 5h or 5H => 500.00.
t or T	Convert numeric entry to thousands. For example 5t or 5T => 5,000.00.
k or K	Convert numeric entry to thousands. For example 5k or 5K => 5,000.00.
m or M	Convert numeric entry to millions. For example 5m or 5M => 5,000,000.00.
b or B	Convert numeric entry to billions. For example 5b or 5B => 5,000,000,000.00.

Blocks Procedures

Creating a block ticket using the Requirements tab

- In the *Rates* panel, click the *New Ticket* button and select *New Block* button. The *KID Agreement* window will appear and if you click the *Accept* button, a block ticket opens with the currency pair as selected in the *Block* tab of the *Settings* window.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 2 Click the *Requirements* tab.
- 3 If required, change the currency pair from the default using the *Select CCY* button.
- 4 If required, change the dealt currency from the default using the *Dealt CCY* drop-down menu.
- 5 Click into the first row to begin adding requirements to the block. The row populates with the *Account*, *Type*, *Amount*, *Dir*, *Date 1* and *Error* columns.
- 6 Edit the *Account*, *Type*, *Amount*, *Dir*, *Date 1* and *Date 2* columns. The *Error* entry column changes to *OK* when all the entries in the row are valid.

Note: The *Date 2* column becomes active for *Swap* requirements.

- 7 Additional requirements can be added by clicking into the next empty row.
- 8 To submit the block trade see [Submitting a block trade on page 59](#).

See also:

- [Editing settlement instructions on page 58](#).
- [Editing the date\(s\) on page 58](#).

Editing settlement instructions

- 1 Add requirements to the block, see [Creating a block ticket using the Requirements tab on page 57](#).
- 2 Select the appropriate account for the block requirement using the *Account* column.
- 3 Click into the *S/I* column. The *Settlement Instructions* window opens.
- 4 Edit the settlement instructions as required and click *OK* to close the *Settlement Instructions* window.

Editing the date(s)

- 1 Add requirements to the block, see [Creating a block ticket using the Requirements tab on page 57](#).
- 2 Select the appropriate requirement row.
- 3 Click into the *Date1* or *Date2* column.
- 4 Change the date as required.

Creating a block ticket using the Data Import tab

- 1 Click the *New Block* button. A block ticket opens with the currency pair as selected in the *Block* tab of the *Settings* window.
- 2 Click the *Data Import* tab. The client applet displays the *Data Import* tab.

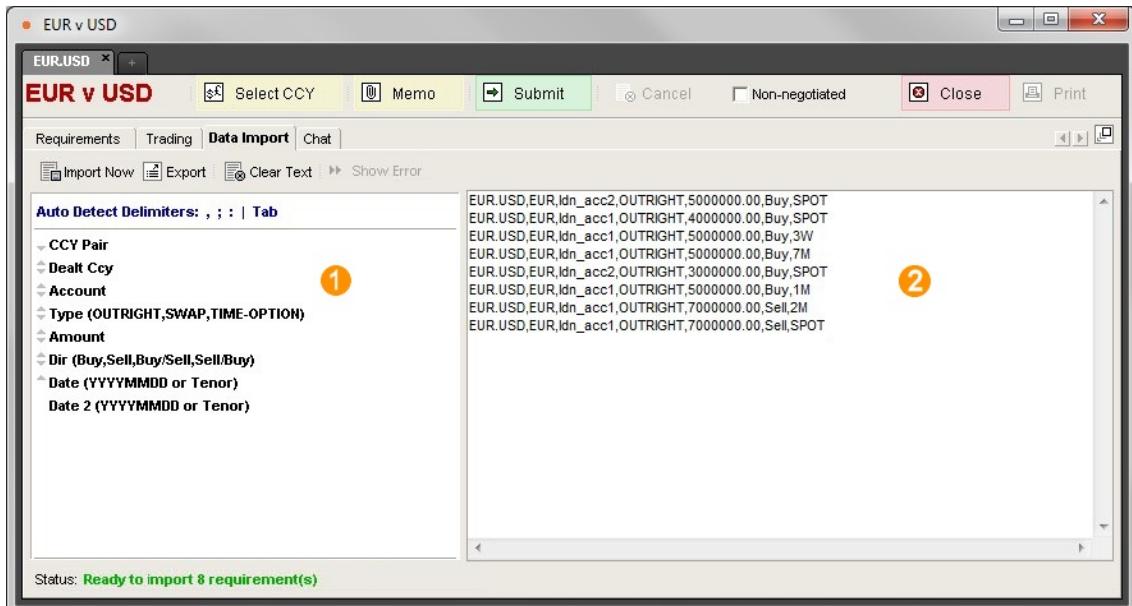


Figure 52: Block ticket *Data Import* tab.

- 3 Adjust the order of the delimited fields by using the up and down arrows next to the item name in the Field Order window, in ①.
- 4 Copy the delimited data from the source text file or spreadsheet.
- 5 Paste the delimited data into the box, either by using CTRL-V on your keyboard, or selecting *Paste* from the right-click menu. The applet automatically detects comma, semi-colon, colon, pipe and tab delimiters. The data window, ② updates with the imported requirements.

Note: Selections from an Excel spreadsheet will import as tab delimited.

- 6 The status bar displays *Ready to import n requirements*. The *Import Now* button becomes active.
- 7 Click the *Import Now* button. The applet activates the Requirements tab and displays the imported data as requirements.
- 8 To submit the block trade see [Submitting a block trade on page 59](#).

Submitting a block trade

- 1 Populate the requirements for the block trade.
- 2 Click the *Submit* button. The Trading tab becomes active and the status bar updates.
- 3 When a price is returned, the *Spot*, *Fwds*, *All-in* and *Contra Net* columns populate and the *Accept* and *Reject* buttons become active. The *Timeout* updates with the number of seconds until price expiry.
- 4 To complete the deal at the price shown. click the *Accept* button. The status bar updates and the deal is logged.

See also:

- [Creating a block ticket using the Requirements tab on page 57](#).
- [Creating a block ticket using the Data Import tab on page 58](#).

Exporting block trade requirements

- 1 Populate the requirements for the block trade.
- 2 Click the *Data Import* tab. The client applet displays the *Data Import* tab.

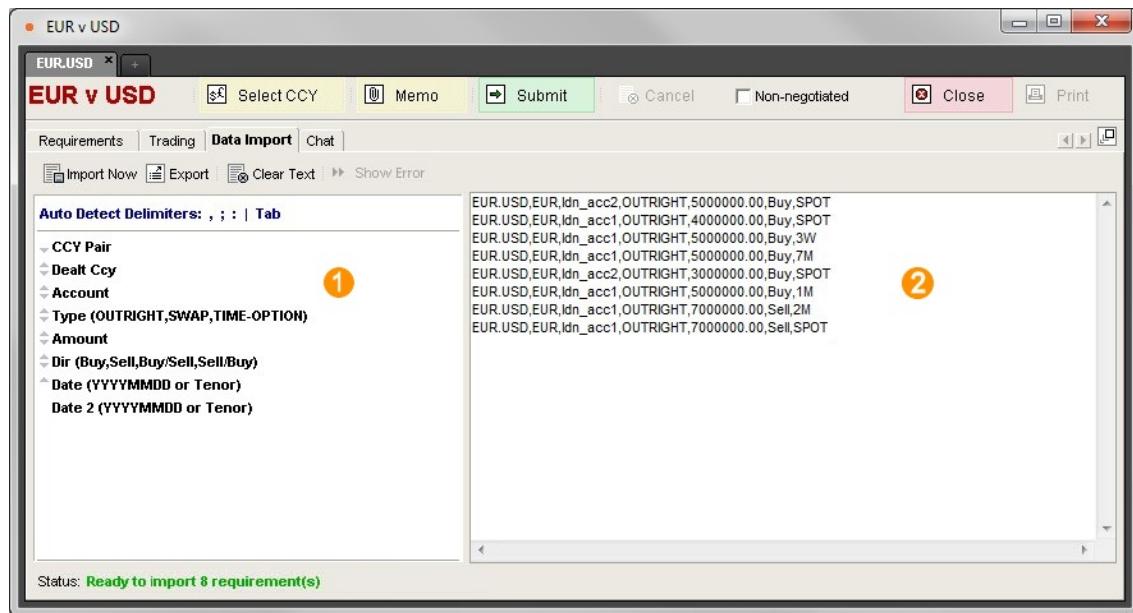


Figure 53: Block ticket *Data Import* tab.

- 3 Adjust the order of the fields by using the up and down arrows next to the item name in the Field Order window, in ①.
- 4 Click the *Export* button. The data window ② updates with a comma delimited list of the requirements in the order specified in the *Field Order* window.
- 5 Select all the data and copy to the clipboard either by using CTRL-C on your keyboard, or selecting *Copy* from the right-click menu.
- 6 You can now paste the data to a text file.

See also:

- [Creating a block ticket using the Requirements tab on page 57.](#)
- [Creating a block ticket using the Data Import tab on page 58.](#)

Chapter 9 FX Trading - Orders (LOMS)

The LOMS trading functionality in the client applet allows you to input, amend, activate, and deactivate Foreign Exchange Limit Orders.

Once activated, the status of an order is maintained in real time. Colour coding is used to indicate an order's proximity to the market rates, allowing you to track its progress.

ET-OM Client Applet users with the required permissions can take ownership of orders created by other users or interfaces and can amend, activate, and deactivate them.

Contents

- [Viewing and Filtering Orders](#)
- [Basic Limit Order Trading](#)

Viewing and Filtering Orders

This section describes how orders are displayed and the ways in which their display can be tailored.

Order Colour Codes

Order background colours are used to show how close the order is to the market or its processing current state.

Note: The colours listed in the table below are the default values. These are defined in the colours.txt file.

Background	Description
White	The order is deactivated or is a FIX order that has passed its fixing date.
Pale yellow	The order is active and quite close to the market rate and falls into the Warm temperature band.
Peach	The order is active and close to the market rate and falls into the Hot temperature band
Pale pink	The order is active and very close to the market rate and falls into the Very Hot temperature band
Cyan	The order is active but far from the market rate (Cold), falling outside the Warm temperature band
Light green	The order has hit the market price at some point. Depending upon certain criteria, the order can be reset to display its current closeness to the market rate. See Also: Resetting Price Hit Orders on page 69
Dark green	The order is completed.
Pink	The order is in the process of activation.
Yellow	The order is currently being traded (in negotiation)

Order font colours are used in the following manner:

Colour	Description
Black	The order is with a trader.
Grey	The order is cancelled.
White	The order is completed.
Blue	The order has been passed to your user group and has yet to be accepted.
Red	The order is an active stop loss order.

Viewing and Editing Orders

The differences between opening an order ticket in *View* or *Edit* mode are:

- **Status** - when you edit an order it is deactivated, in view mode the order remains active.
- In view mode, you cannot amend, add or remove the parent or child orders, so certain functions and options are disabled.

To view or Edit a specific order using the context menu

- 1 Right-click the order you want to view. The context menu is displayed.
- 2 Choose *View* or *Edit* to open the order ticket.

To view by double-clicking

- 1 Double-click a specific order to open the order ticket.
- 2 The ticket opens in view or edit mode depending on the Action on double click setting in the *Settings* window, *Order* tab.

See Also: [Orders Settings - Order Tab on page 19](#).

Pre-defined Filters

The *Orders* panel of client applet has a number of pre-defined filter views, in addition to the default *All* orders view.

To display a predefined view

- 1 Click the *Filters* drop-down arrow. The *Filters* drop-down menu displays.
- 2 Click the *Predefined* menu item. A list of options displays:

Option	Displays
All	All orders, including cancelled and filled orders.
Done	Only the filled (done) orders.
BlockTicket	Only the block ticket orders (of type Deferred and Fixing) that have been submitted.
Request	Only the orders that are being requested to cancel or deactivate.

- 3 Click the view you would like to display. The view opens in a floating window.
- 4 To display this view as a tab under the main orders panel, close the floating window. The window appears as a tab.

Creating Your Own Basic Filters

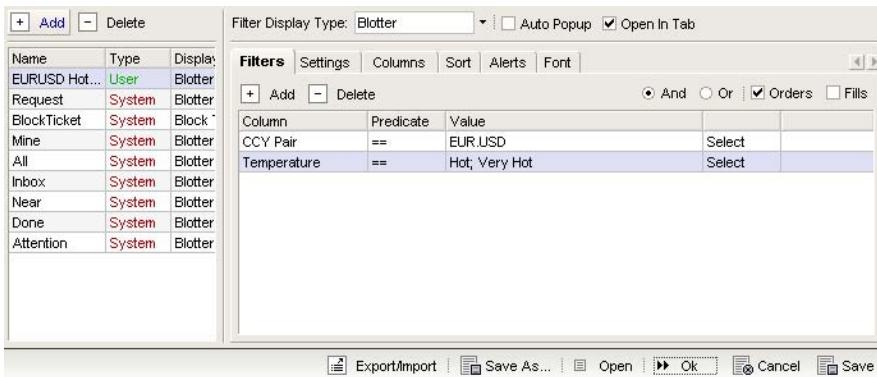
User-defined filters can be created and saved. These filters are then added to the *Filters* list.

To create a basic filter

- 1 Click the *Filters* button. Or, click the *Filters* drop-down arrow and select *Manage*. The *Filter* window opens.
- 2 Click the *Add* button. The *New Filter* window opens.
- 3 Enter a name for the filter and click *OK*. The *New Filter* window closes and the *Filters* window displays the name of the new filter on the left, with the item selected.
- 4 In the *Filters* tab, click the *Add* button. A new row is added.
- 5 Double click the *Column* cell and select the filter criteria from the list.
- 6 Double click the *Predicate* cell to change the operator from the default of $=$.

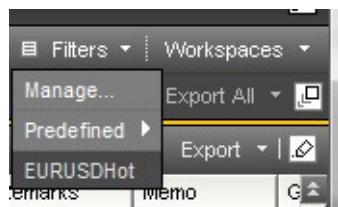
See Also: [Using Conditional Statements on page 63](#).

- 7 Click the *Value* cell and enter the value for your filter criteria. Certain filter criteria values are added via a selection window, for example currency pairs. In these cases, click the *Select* button to the right of the *Value* cell for your filter row.

**Figure 54:** Filter window

- 8 You can add further criteria rows by clicking the *Add* button.
- 9 When you have finished defining the filter criteria, click the *Save* button to save the filter. Then, close the *Filter* window to return to the *Orders* panel.

The new filter can be selected from the Filters drop-down menu. Once you select it, it appears as a tab in the *Orders* panel.

**Figure 55:** The newly created filter is listed.**Figure 56:** The newly created filter appears as a tab in the *Orders* panel.

Filter Example

To search for OCO orders, that have not been completed, where the dealt amount is between one and two million, the following settings are entered:

Using Conditional Statements

The table below contains a list of the different conditions that can be used when searching for orders:

This ...	Means the Column field is...	For example ...
==	Equal to the criterion entered under Value.	The currency pair is EUR.USD CCY pair == EUR.USD
>=	Greater than or equal to the Value. For numeric fields only.	The dealt amount is equal to or higher than 1,000,000 Dealt Amount >= 1M
>	Greater than the Value. For numeric fields only.	The markup is more than 2 pips Markup > 2
<	Less than the Value. For numeric fields only.	
<=	Less than or equal to the Value. For numeric fields only.	

This ...	Means the Column field is...	For example ...
!=	Not equal to the Value.	The order state is not Completed or Deactivated State != Completed, Deactivated
!NULL	Not blank or zero.	
=NULL	Blank or zero.	

Using @me and @mylocation substitution values

The substitution values @me and @mylocation are used to filter those records with fields that contain your user ID or your trading location.

@me

You can use the @me value to filter records by any column that contains user IDs. These columns are listed below alphabetically:

- Changed By
- Client
- Entered By
- Owner

@mylocation

You can use the @mylocation value to filter records by any columns that contain trading locations. These columns are listed below alphabetically:

- Client Loc.
- Fixing Loc

Note: Since ET 2.3, @mylocation is applicable to Proxy Client users and non-applicable to Client users.

Additional Filter Configuration Settings

Settings Tab - Rate Bars

The Settings tab allows you to select which type of Rate Bar will be displayed in the filter. Rate Bars provide a means of breaking up the filter view by currency pair. There are three types available:



Figure 57: Rate Bars drop-down in Settings tab of Filters window

Note: In the Orders view, you can toggle between the three rate bar types by pressing F6.

Bar Type	Description
Market Bar	A single consolidated Market Mid rate bar will be shown. With this bar displayed
Matching Orders	Rate bars that match the currency pairs for the current orders that are visible in this filter will be shown. The rate bars do not need to match the filter conditions.
Matching Filter	Rate bars that match the filter conditions in this filter may be shown even if there are no orders for that currency pair visible in the filter.

Note: When displaying the rate bars or market bar, sort the orders by %Diff. This way, the nearer your orders are to the bar, the nearer they are to the market.

Rate Bar Tool Tips

Tool tips are shown when you hold the mouse cursor over any rate bar or the market bar.

For the market bar, the tool tip shows the directional tracking rates for all active currency pairs. For example:

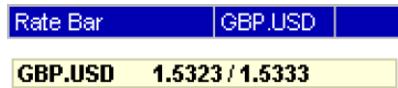


Figure 58: Rate bar

For the rate bars (Matching Orders and Matching Filter), the tool tip shows the directional market mid rates for the selected currency pair. For example:

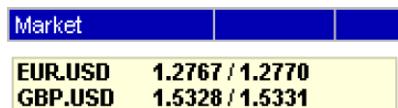


Figure 59: Market bar

Columns Tab

Field	Description
Instruments box	Column categories: <ul style="list-style-type: none"> • <i>Common</i> displays the columns common to the all the instruments, in the <i>Available</i> box. • <i>FX</i> displays the columns pertaining to Foreign Exchange in the <i>Available</i> box. • <i>MM</i> displays the columns pertaining to Money Markets in the <i>Available</i> box.
Sort Column drop-down	Select the column by which you would like the search results sorted. The list is created from the entries in the <i>Selected</i> box.
Ascending / Descending option buttons	Direction of sorting for the <i>Sort Column</i> .
Frozen Cols drop-down	The number of columns, counted from the left of the blotter panel, that do not move when the horizontal scroll bar is dragged.
Available box	Columns available to display for the selected instrument.
Add button	Moves selected column in the <i>Available</i> panel to the <i>Selected</i> panel.
Remove button	Moves selected column in the <i>Selected</i> panel to the <i>Available</i> panel.
Add All button	Moves all columns in the <i>Available</i> panel to the <i>Selected</i> panel.
Remove All button	Moves all columns in the <i>Selected</i> panel to the <i>Available</i> panel.
Selected box	Columns that will be displayed in the blotter.

Field	Description
Up button	Moves the selected column up in the column order.
Down button	Moves the selected column down in the column order.

Alerts Tab

The Alerts tab contains checkboxes that allow you to configure visual and audible alerts when orders are added to or changed in the filter view.

The tab is divided into two panels, which contain the same options:

- Order Added allows you to configure alerts for new orders that appear in the filter view.
- Order Changed allows you to configure alerts for orders in the filter view that are edited

This option...	Does this when an order is added/changed...
Select Tab	If you are viewing another filter tab, the focus changes automatically to the filter view for which this checkbox is ticked.
Highlight Tab	Focus does not move when an addition or change occurs in the filter view configured with this option. However, the filter tab is highlighted to indicate that a change has occurred.
To Front	The Orderwatch window is brought to the front. This option can be used in conjunction with either the Select Tab or Highlight Tab options.
Alarm	<none> : There is no audible alarm <beep> : The system beep is played when an order is added or changed

Note: Either the Select Tab or Highlight Tab checkbox can be selected but not both. However, the To Front checkbox can be selected alone, or together with either Select Tab or Highlight Tab.

Fonts Tab

The font type and size specified here will be used for the filter.

Using Quick Filter

Quick filter allows you to filter the contents of the Orders panel on the fly, opening an undocked blotter with the search results.

To create a quick filter

- 1 Click the *Quick Filter* button. A drop-down menu appears.
- 2 Select the Column to filter.
- 3 Select how the column field is filtered, using the Predicate. These options are explained under Creating your own filters, above.
- 4 Select whether to include unfilled orders, filled orders, or both.
- 5 Finally, enter the Data value to be filtered. For example, this can be a currency pair, a date, an owner ID, and so on, depending upon the field you chose under Column.
- 6 Press Enter. The filtered orders are displayed in an undocked blotter.

Note: If you want to filter by more than one criterion, use the Filters > Manage... option.

The following columns are supported via Quick Filter:

- ID
- State
- Date
- CCY Pair
- Temperature
- Dealt CCY
- Dealt Dir
- Deal Type
- Order Type
- Order Style
- Remarks
- Memo
- Good From
- Good Till
- Fixing Time
- Fixing Loc
- @GF
- @GT
- Date Created
- Last Modified
- Active
- Client Price
- Account
- Accepted
- Client
- Dealt Amount
- Filled Amount
- Dealt Amt MIO
- Base Dir
- Terms Dir
- Based Filled
- Terms Filled
- Base Orig Amt
- Terms Orig Amt

- Base O/S Amt
- Terms O/S Amt
- Base O/S MIO
- Terms O/S MIO
- Base Fill MIO
- Terms Fill MIO
- Monitor Side
- Multi Leg Type
- Parent ID
- OCO ID
- If Done ID
- If T/O ID
- Multi Leg
- Notify
- Val Date
- Val Date Type
- Version
- Slippage
- Repeat
- Strategy ID
- Editable
- Can Activate
- Email Notify
- SMS Notify
- Fixing Code
- GoodFromLocal
- GoodTillLocal
- #
- Base Orig MIO
- Terms Orig MIO
- Start Date
- Start Date Type

Note: Wildcards are permitted but no validation is performed against any of the free text fields.

Example

To search for unfilled orders where the currency pair is not GBP.USD

- 1 Click the Quick Filter button.
- 2 Under Column, click the down arrow button and select CCY Pair.
- 3 Under Predicate, click the down arrow button and select !=.
- 4 Under Data, enter GBP.USD
- 5 Press Enter.

Every unfilled order that does not trade the GBP.USD currency pair is displayed in a floated panel.

Resetting Price Hit Orders

Orders that have crossed the market rate remain highlighted with a green background even if the market rate moves away.

To reset the colour for crossed orders

- 1 Select the order(s) that you want to reset.
- 2 Right-click the highlighted orders, and choose *Trading > Reset* from the context menu.
The backgrounds are re-coloured according to the current market rate

Note: Price reached orders - BUY orders that are above the market or SELL orders that are below - cannot be reset.

Sorting

By default, orders are sorted by Order ID. However, you can sort by any column within an Orders panel tab by clicking the column heading.

Note: You can reverse the sort order by clicking the column heading a second time.

Order History

You can view the history of selected orders. To do this:

- Right-click an order and choose History from the context menu. The Order History window is displayed, containing the history of the selected orders.

Basic Limit Order Trading

Order Entry Fields

The table below describes the fields in the order ticket. An asterisk (*) is used to indicate the items that are mandatory:

Field Name	Description
Account*	Name of the Account.
Type*	Type of order. For example: T/P = Take profit. S/L = Stop loss. CALL = Call. TOLO T/P = Time Option Limit Order Take Profit. (Since ET 2.3 SP4)
Style	All Given Next [TP, CALL, and SL]: Indicates that the order is to be filled only when all market sources (Broker lines, D3000, EBS) have been hit on the bid. The order is executed at the next available rate, which can be above, below, or at the order level. Note: This is typically applicable for CALL orders however it is supported for TP orders (subject to the configuration via the admin applet). All or Nothing [TP, CALL, and TOLO]: The order cannot be partially filled. All Paid Next [TP, CALL, and SL]: Indicates that the order is to be filled only when all market sources (Broker lines, D3000, EBS) have been hit on the offer. The order is executed at the next rate available, which can be above, below, or at the order level. Note: This is typically applicable for CALL orders however it is supported for TP orders (subject to the configuration via the admin applet). One Touch [TP, CALL, and SL]: The order should be filled close to the rate when the market reaches the level indicated by the order or goes through the rate.
Value Date*	The value date of the order.
Direction*	Direction of the currency specified to the right.

Field Name	Description
Currency Pair*	The currency pair for the order. The default currency is set in the Settings window. See Also: Orders Settings - Defaults Tab on page 20 .
Amount*	The Buy or Sell amount.
Quotation Unit Amount*	The amount that you quote the commodity in a specified unit (which is not ounces). This field is automatically displayed when the dealt currency is a currency representing a commodity. See Also: FX Trading - Commodities on page 99
Quotation Unit*	The unit (which is not ounces) for quoting commodities. This field is automatically displayed when the dealt currency is a currency representing a commodity. The list of quotation units is pre-defined. See Also: FX Trading - Commodities on page 99
Price*	The rate at which you want to trade.
Monitor Side*	For Stop Loss orders only. Choose whether to monitor the order by the Bid or Ask price.
Repeat	Check the checkbox to create the parent and child nodes repeatedly after they are filled. This checkbox appears when you create a parent order as SELL TP and a child order as BUY TP.
Start Date*	The start date of the range for TOLO T/P.
End Date*	The end date of the range (which is taken as the Value Date) for TOLO T/P.
Good From*	Values: GFA = Good From Activation. Default. Date = Date and time at which an order becomes active. This is entered in the format dd/mm/yy hh:mm. The date format depends on the format that is specified in your PC regional settings, found under the Windows Control Panel. For example, the US format is mm/dd/yy. Time zone for the Good From date and time.
Fixing Time	Date and time at which a Fix order becomes active.
Fixing Location	Location of the benchmark fixing.
Good Till*	Values: GTC = Good Till Cancelled. Default. Date = Date and time at which an order lapses. This is entered in the format dd/mm/yy hh:mm. The date format depends on the format that is specified in your PC regional settings, found under the Windows Control Panel. For example, The US format is mm/dd/yy. Time zone for the Good From date and time.
Pay SI*	Settlement Instructions.
Receive SI*	Settlement Instructions.
Remarks	Free text field for any comments about the order. For example, client's telephone number.
Memo	Multi-line free text field.
Post Trade: Allocation	Allocate the amount to multiple accounts after the order is filled. You can right click the order to do a post trade allocation.
Post Trade: Roll Forward	Set the value date to today. After an order is filled, the client can roll the value date to forward in Blotter. The parameter loms.showRollSpotToForward must be true, to see this item.

Field Name	Description
NPFT	[ET 2.3 onwards] Tick the checkbox to mark that the order is Non-Price Forming Trade (NPFT) which would not contribute to the price discovery process such as cancellation and post trade allocation.
Notify By	Methods of user notification: E-Mail SMS Telephone See Also: SMS Notification on page 81 .
Strategies area	
If Done	Function button: If the selected order is completed, do this (additional) order.
If Timeout	Function button: If the selected order is not completed within its Good Till period, do this order.
OCO	Function button: Complete the selected order or this (additional) order. If one is complete, cancel the other.
Strategies	Opens the Strategies menu. This contains the Builder... facility and lists the standard and custom strategies.

Time Constraints

The Good From and Good Till fields in the order form allow you to specify when an order becomes active and when it lapses. When a new order is opened, the ticket defaults are GFA (Good From Activation) and GTC (Good Till Cancelled). However, these fields can be changed to Date, allowing you to enter a specific date, time, and location for the Good From and Good Till fields.

Live Status

The Live Status column is used to indicate the status of an order, relative to defined Good From Activation and Good Till times.

Live Status is...	Dormant	Near Awake	Awake	Near Lapsed	Lapsed
Displayed when...	A Good From time is set but has not been reached	Order is within a parameterised period of the Good From time being reached	The order is active	Order is within a parameterised period of the Good Till time being reached	A Good Till time has been set and has been reached
Order is...	Inactive		Active		Inactive

Notify By Checkboxes

Type	Description
Email	Enable email notification when the order is filled. The email is defined at the client user's information in Admin applet.
SMS	SMS notification. Note: This permission is on a per-user basis. See Also: SMS Notification on page 81 .

Settlement Instructions

The default FX Settlement Instructions defined for the user, account and currency pairs in the Admin applet are applied to the ticket. Depending on permissions you may be able to set non-standard settlement instructions.

Deal Memo and Remarks

The Deal Memo field is a freeform text field that can contain any note or instruction. Deal Memo information is passed through to the LBN just as the information in the Remarks field is passed, so the Bank can decide, for example, to use the Remarks field for official instruction, and the Deal Memo field for informal notes between Traders.

Creating Orders

To open an order ticket, either:

- Click the *New* button on the Orders or Rates panel toolbar, or
- Hold *Ctrl* and click the required currency pair rate under the Rates panel.

Note: The second method pre-populates the Price field in the order ticket with the selected rate.

Saving and Activating Orders

Orders are prepared for trading by a two step process of saving and activating.

- Click the *Save* button in the order ticket. The order is saved and the ticket can be closed for later activation.
- Once the order is saved the *Activate* button becomes active.
- Click the *Activate* button to submit the order into the system. The *KID Agreement* window will appear. If you click the *Accept* button to accept the agreement, the order will proceed.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- Checking the *Save on activate* option in the Settings window enables the *Activate* button before the *Save* button is pressed, allowing orders to be saved and then activated by only clicking the *Activate* button.

See Also: [Orders Settings - Order Tab](#) on page 19.

Deactivating Orders

Active orders can be requested to be deactivated.

- Right-click on the order you wish to deactivate and select *Trading > Deactivate*.
- The status changes to *Deactivation Request*.
- Once a price maker has approved the deactivation, you will be notified by a pop-up window.

Quick Ticket Entry

Quick Ticket enables a user to create a simple order by typing the order into a text field in a shorthand form, rather than having to open a ticket and populate the required fields. The Quick Ticket field is not case-sensitive, and text that is entered should take the form of space-delimited strings. The last ten orders input via the Quick Ticket are retained in a drop-down list as per the screenshot below. As the field appears in the toolbar of all panels, a ticket can be created at any time.

Quick Ticket Entry Format

When you start entering a quick ticket, a tooltip appears below the entry box. This prompts you for the next element of the order ticket.

Orders are entered in the following format:

Entry	Possible values	Tooltip
Trade direction	Buy / Sell / Call	None

Entry	Possible values	Tooltip
Currency pair	For example: EUR.GBP Quick Ticket supports use of a valid CCY symbol to detail the CCY pair. For example: GBPUSD may be entered at CABLE, CBL or £\$. EURUSD may be entered as €USD.	
Amount Note: This is not entered for Call orders.	Abbreviations can be used. For example: 1M - 1 million 200K - 200 thousand	
Rate	The rate entered must be prefixed with @. For example: @0.92570	
Trade type (optional)	T/P - Take Profit (default) S/L - Stop Loss Call Even OCO (TP/SL) - Even One Cancels the Other TOLO T/P - Time Option Limit Order Take Profit	Proposed order (see below)

The following information is optional in Quick Ticket:

- Order Type
If the order type is not entered, then the order type will default to TP.
The valid order types are SL (Stop Loss), TP (Take Profit) and with optional delimiter S/L or T/P; for Time Option Limit Order Take Profit, it can be TOLO or TOLO T/P.
- Rate
The format to enter a rate is @rate (@1.9345). If no rate is entered using the Quick Ticket functionality then the rate must be entered when the order ticket is generated.

Creating an Order Using Quick Ticket

- Enter the trade direction, currency pair, amount and rate. The proposed order is shown as a tooltip.
- Press *Return* or *Enter*. The ticket is opened.
- Make any necessary changes in the ticket.
- Save and activate the order as described in [Saving and Activating Orders on page 72](#).

Selecting Previous Quick Tickets

The client applet stores the last 10 manually-entered quick tickets, which can be accessed from a menu.

To select a previously entered quick ticket

- Click the down arrow button, to the right of the Quick Ticket entry field. A drop-down menu appears, listing up to your last 10 quick tickets.
- Select the quick ticket you want to recall by clicking the row. The selected quick ticket appears in the Quick Ticket field, where it can be edited as required.

Reusing Orders

The Reuse functions allow you to copy the individual contents or structure of an order.

To reuse an order or strategy

- Right-click the order you want to reuse. The context menu appears.
- Choose Reuse > Order, to copy the selected order. In an order strategy, this option copies only the parent order. Choose Reuse > Strategy, to copy the selected order and any child orders (If Done, OCO, Stop Loss, and so on).
- Make the required changes to the order.
- Save and activate the order as described in [Saving and Activating Orders on page 72](#).

Multi-leg Order Strategies

In some cases, one order may be conditional on the outcome of another. You can create and send this type of multi-leg order from within the Order window. A number of default trading strategies used to create multi-leg orders are available:

These strategies can be used individually or in more complex combinations.

There are a number of standard multi-leg structures configured in RET. However, you can choose to apply your own logic manually.

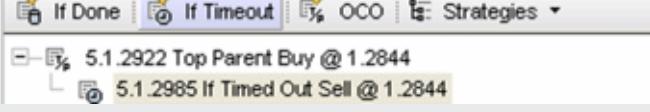
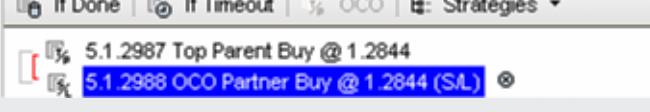
There are three types of multi-leg order:

This strategy...	Does this...
If Done	If the top level order is filled, the associated order becomes active.
If Timeout	If the top level order is not filled before the Good Till time, the associated order becomes active. Note: This time is affected by the spillover and autolapse parameters.
OCO	One Cancels Other. In this case, both the top level order and associated order are active. If either order is filled, the other is cancelled.

Creating a conditional multi-leg order

- 1 Select Ctrl+click a rate under the Rates panel, or, click the New button on the Orders toolbar. A new Order ticket opens.
- 2 Enter the details of the first order in the order details panel.
- 3 Select the order line in the multi-leg strategies panel by clicking the line.
- 4 Select the multi-leg strategy you want to apply by clicking one of the multi-leg buttons at the top of the panel.

The linked order appears, with details copied from the first order, and the applet creates the logic for you and copies the rate from the parent leg. For example:

This button...	Creates this order...
If Done	 <p>The order direction is reversed (buy > sell or sell > buy).</p>
If Timeout	 <p>The order direction is reversed (buy > sell or sell > buy).</p>
OCO	 <p>The order direction stays the same but the order type changes to stop loss (S/L).</p>

- 5 Select the new order and modify the rate as required.
- 6 Click the Save button on the Order ticket toolbar.
- 7 Click the Activate button to make the order available for trading.

To add a multi-leg to an existing order

- 1 Select an existing order.
- 2 Click the *Edit* button on the Orders toolbar. Or, right-click the selected order and choose *Edit* from the context menu. The order details are displayed in the order form and the selected order is highlighted in the multi-leg strategy panel.
- 3 Select the strategy that you want to apply to the order by clicking the appropriate strategy button.

Custom Strategies

The strategy builder is used to create your own custom trading strategies. These custom strategies can be called from any order ticket, alleviating the need to rebuild complex order strategies.

To build a custom trading strategy

- 1 Click the *Strategies* button on the Orders toolbar, or from within in an order ticket.

Note: Clicking the *Strategies* button in the order ticket displays a strategies menu. The lower part of the menu lists custom strategies created by the user, the upper *Builder ...* option opens the Strategy Builder.

- 2 The *Strategy Builder* window opens.

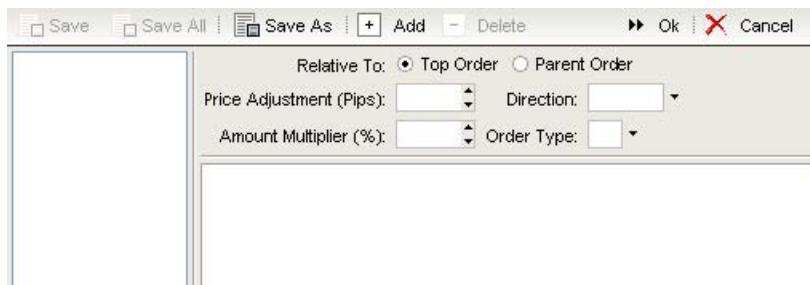


Figure 60: Strategy Builder window

- 3 Click the *Add* button. The *Template Name* window opens.
- 4 Enter a name of the strategy (once saved the strategy will be referred to by this name within the strategy menu).
- 5 Click the *OK* button. The *Template Name* window closes and the Strategy Builder updates with the name of the strategy in the left panel and a new Top Level trade in the main panel.

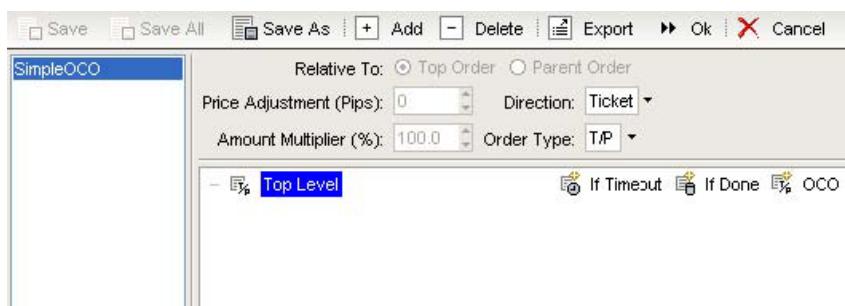


Figure 61: Strategy initial view.

- 6 Click the *Top Level* trade. The *Direction* and *Order Type* fields are populated with default values and can be amended. Three strategy buttons are displayed to the right - *If Timeout*, *If Done*, and *OCO*.
- 7 Make any necessary changes to the *Direction* and *Order Type* fields.

Direction is...	The order...
Ticket	Has the same direction specified on the source order ticket (Default).
Buy	Is to buy the selected currency.
Sell	Is to sell the selected currency.

Order Type is...	The order is...
T/P	Take Profit (Default)
S/L	Stop Loss
Call	Call

Note: TOLO T/P does not support strategies.

- 8 Select the associated order strategy you want to apply by clicking the appropriate strategy button.
 - An associated order is created
 - The order default value fields are made available
 - Strategy buttons appear to the right of the associated order:

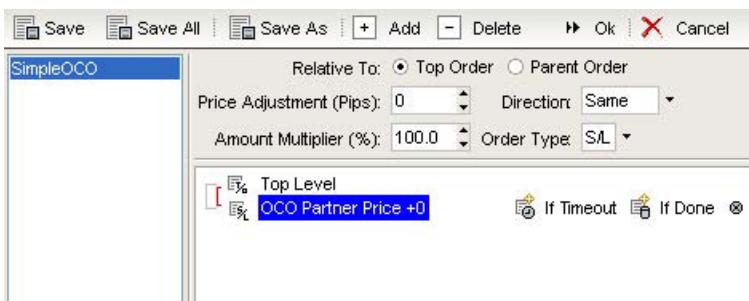


Figure 62: OCO strategy.

Note: All strategies - If Timeout, If Done, and OCO - are made available for associated orders except when an OCO strategy has been selected. A further OCO is not available, as illustrated above.

- 9 Adjust the default value fields:

This field...	Does this...
Relative to: Top Order / Parent Order	Whether the values entered are relative to the parent order or top level order. In this example, the parent order is the top level order.
Price Adjustment (pips)	The difference in pips between the parent or top level order and the selected order. This can be positive or negative.
Direction	Buy: The order is to buy the selected currency. Sell: The order is to sell the selected currency. Same: The order is to trade in the same direction as the parent or top level order. Opposite: The order is to trade in the opposite direction as the parent or top level order.
Amount Multiplier (%)	Whether you are trading the same amount (100%) or a lower or higher percentage.
Order Type	S/L: Stop Loss T/P: Take Profit Call: Call

- 10 When you have finished adding associated orders, click the OK button to save your changes and return to the client applet.

Example Strategies

If Done

Do order A. If A is done, then do order B.

You want to go short on EUR/USD at 1.2740, believing the market will fall to 1.2690. To do this, set the following values:



Figure 63: If Done strategy

All multileg												Print	
View Edit Activate Deactivate Tasks Idle Select All 1 / 3 Selected Export All Print												Print	
ID	State	CCY Pair	Temperature	Dealt CCY	Dealt Dir	Client Spot	Order Type	Good From	Good Till	Account	Accepted	Dealt Amount	
5.1.37212	Active	EUR.USD	Warm	EUR	Sell	1.2740	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00	
5.1.37213	Parent Active	EUR.USD		EUR	Buy	1.2690	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00	

Figure 64: If Done strategy in the Orders blotter.

Order B only becomes active once order A has been filled, that is, when it is *done*.

TPSL (Take Profit / Stop Loss)

Do order A. If A is done, do order B or order C.

Example:

You want to open a short 10 Mio EUR/USD at 1.2740, with a view that the market is falling to 1.2690. However, if the market trades at 1.2760, you will want to exit the position. To do this, you can set the following values:



Figure 65: TPSL strategy.

All multileg												Print	
View Edit Activate Deactivate Tasks Idle Select All 1 / 3 Selected Export All Print												Print	
ID	State	CCY Pair	Temperature	Dealt CCY	Dealt Dir	Client Spot	Order Type	Good From	Good Till	Account	Accepted	Dealt Amount	
5.1.37212	Active	EUR.USD	Warm	EUR	Sell	1.2740	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00	
5.1.37213	Parent Active	EUR.USD		EUR	Buy	1.2690	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00	
5.1.37261	Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	AngloAcct3	No	1,000,000.00	

Figure 66: TPSL strategy in the Orders blotter.

When Order A is filled, both orders (B and C) are active. In which case, you either:

- Fill at 1.2690, making 50 points profit, or
- Stop out at 1.2760, losing 20 points

When one order is filled, the other is cancelled automatically.

TPSL Reducing

Do order A. If A is done, do order B1 OR (stop loss) order C1. If B1 is done, do order B2 or (stop loss) order C2, and so on. If a Cn stop loss leg is filled, close the order.

Example:

You want to go short on EUR/USD at 1.2740, believing the market will fall. However, you want to protect yourself against a possible rise. You also want to take profits gradually, as the market falls. To do this, you can set the following values:



Figure 67: TPSL reducing strategy.

All × multileg ×											
View	Edit	Activate	Deactivate	Tasks	Idle	Select All	0 / 12 Selected	Export All			
State	CCY Pair	Temperature	Dealt CCY	Dealt Dir	Client Spot	Order Type	Good From	Good Till	Account	Accepted	Dealt Amount
Active	EUR.USD	Warm	EUR	Sell	1.2740	T/P	GFA	GTC	AngloAcct3	No	5,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2730	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2720	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2710	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2700	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2690	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2680	S/L	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2670	S/L	GFA	GTC	AngloAcct3	No	2,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2660	S/L	GFA	GTC	AngloAcct3	No	3,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2650	S/L	GFA	GTC	AngloAcct3	No	4,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2640	S/L	GFA	GTC	AngloAcct3	No	5,000,000.00

Figure 68: TPSL reducing strategy in the Orders blotter.

At each stage of the order following the parent, there is a Take Profit (T/P) and Stop Loss (S/L) order pair, connected by an OCO strategy. By default, if the parent is a Sell order, they are both Buy, or if the parent was a Buy, they are both Sell.

Notice how the S/L amount decreases at each stage by the T/P amount.

Processing a TPSL Reducing order

Once you have created a TPSL Reducing order, it is displayed in your filter views. For example:

All multileg											
View		Edit		Activate		Deactivate		Tasks		Idle	
										Select All 0 / 12 Selected	
State	CCY Pair	Temperature	Dealt CCY	Dealt Dir	Client Spot	Order Type	Good From	Good Till	Account	Accepted	Dealt Amount
Active	EUR.USD	Warm	EUR	Sell	1.2740	T/P	GFA	GTC	AngloAcct3	No	5,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2730	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2720	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2710	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2700	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2690	T/P	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	AngloAcct3	No	1,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	AngloAcct3	No	2,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	AngloAcct3	No	3,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	AngloAcct3	No	4,000,000.00
Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	AngloAcct3	No	5,000,000.00

Once the parent order has been filled, the first set of child orders will become active. **1**

5.1.37533	Completed	EUR.USD		EUR	Sell	1.2740	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37534	Active 1	EUR.USD	Warm	EUR	Buy	1.2730	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37535	Parent Active	EUR.USD		EUR	Buy	1.2720	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37536	Parent Active	EUR.USD		EUR	Buy	1.2710	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37537	Parent Active	EUR.USD		EUR	Buy	1.2700	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37538	Parent Active	EUR.USD		EUR	Buy	1.2690	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37539	Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37540	Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37541	Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37542	Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37543	Active 1	EUR.USD	Price Hit SL	EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes

Once each Take Profit order is executed and the corresponding OCO Stop Loss is cancelled, the next pair of child orders is activated: **2**

5.1.37533	Completed	EUR.USD		EUR	Sell	1.2740	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37534	Completed	EUR.USD		EUR	Buy	1.2730	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37535	Active 2	EUR.USD	Warm	EUR	Buy	1.2720	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37536	Parent Active	EUR.USD		EUR	Buy	1.2710	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37537	Parent Active	EUR.USD		EUR	Buy	1.2700	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37538	Parent Active	EUR.USD		EUR	Buy	1.2690	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37539	Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37540	Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37541	Parent Active	EUR.USD		EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37542	Active 2	EUR.USD	Price Hit SL	EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37543	Cancelled	EUR.USD	Price Hit SL	EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes

If any Stop Loss leg of a Reducing strategy is filled, all remaining legs are cancelled, as the order has been completed: **3**

5.1.37533	Completed	EUR.USD		EUR	Sell	1.2740	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37534	Completed	EUR.USD		EUR	Buy	1.2730	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37535	Completed 3	EUR.USD		EUR	Buy	1.2720	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37536	Completed	EUR.USD		EUR	Buy	1.2710	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37537	Completed	EUR.USD		EUR	Buy	1.2700	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37538	Completed	EUR.USD		EUR	Buy	1.2690	T/P	GFA	GTC	0	AngloAcct3	Yes
5.1.37539	Cancelled	EUR.USD	Price Hit SL	EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37540	Cancelled	EUR.USD	Price Hit SL	EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37541	Cancelled 3	EUR.USD	Price Hit SL	EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37542	Cancelled	EUR.USD	Price Hit SL	EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes
5.1.37543	Cancelled	EUR.USD	Price Hit SL	EUR	Buy	1.2760	S/L	GFA	GTC	0	AngloAcct3	Yes

Viewing a Strategy

The Strategy context menu option provides graphic and textual representations of the selected order.

To display representations of an order

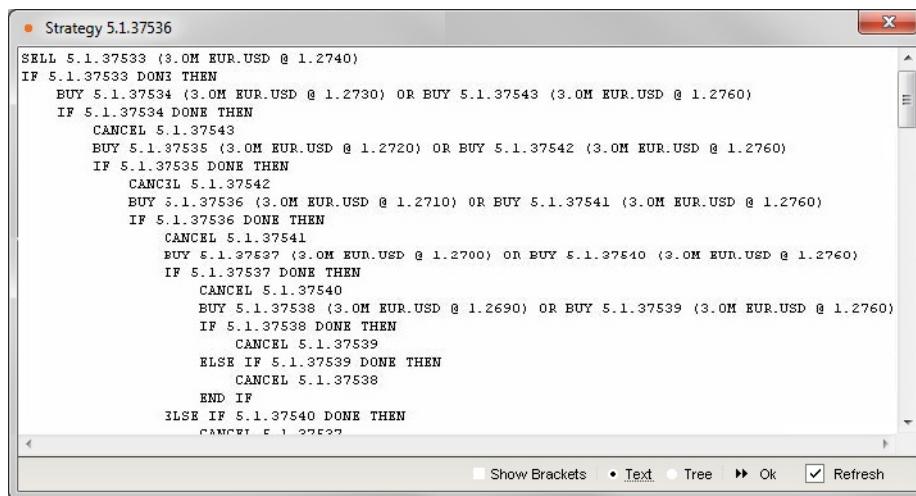
- In the Orders panel, right-click any order in a multi-leg and choose *Strategy* from the context menu.

**Figure 69:** Order strategy window - tree view

2 The Strategy window is displayed, initially showing the graphic representation in the order ticket.

3 Click the OK button to return to the main client applet window.

The Text radio button displays the same order but provides additional textual information, such as order quantities and currency pairs:

**Figure 70:** Order strategy window - text view

Extra Order Ticket Capabilities

Order Ticket Settlement Instructions

The default FX *Settlement Instructions* defined for the user, account and currency pairs in the Admin applet are chosen within the ticket; and users have the ability to change the default SSIs. Users are also able to input non-standard settlement instructions as per RET-AD 3.4.

Order Ticket Deal Memo

The *Deal Memo* field is a freeform text field that can contain any note or instruction. Deal Memo information is passed through to the LBN just as the information in the Remarks field is passed, so the Bank can decide, for example, to use the Remarks field for official instruction, and the Deal Memo field for informal notes between Traders.

Order Ticket Notify by Checkboxes

The Notify By checkboxes enable the client applet user to see the method of deal notification requested by the client:

- Email
- SMS
- Telephone

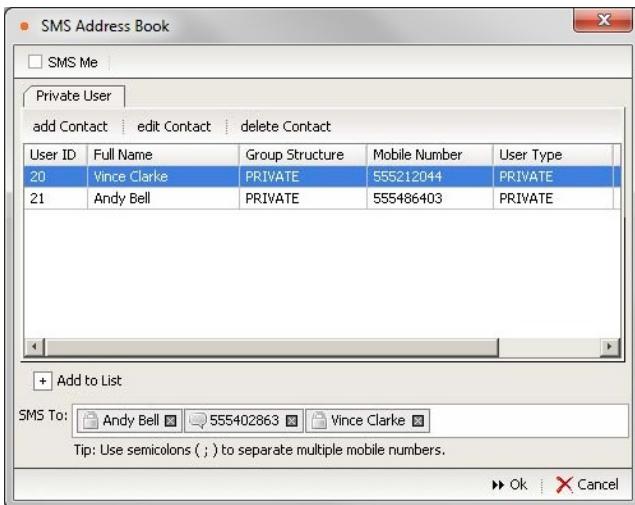
The automatically-generated email and SMS notification will occur at Fill Time for orders, and includes orders that are part-filled.

SMS Notification

The Order Watch ticket contains the facility to notify a number of users via SMS. An address book feature allows you to save user details for future use.

How to select users for SMS notification

- 1 In the Order Ticket, activate the SMS checkbox. The phone icon becomes active.
- 2 Click the phone icon. The *SMS Address Book* window opens.
- 3 To notify yourself, activate the *SMS Me* checkbox. This will use the number stored against your profile in the Administrator applet.
- 4 To add other users for notification, either:
 - Select their name from the list, click the *Add to List* button. The Full Name appears in the *SMS To* box,
 - Or
 - Enter the phone number directly into the *SMS To* box, followed by a semi-colon.



- 5 To remove a number or a contact from the list in the *SMS To* box, click the x to the right of the name or number.
- 6 Click the *OK* button. the *SMS Address Book* window closes. The number to the right of the phone button in the Orders Ticket updates with the total number of people to be notified.

How to add contacts to the SMS Address Book

- 1 In the Order Ticket, activate the SMS checkbox. The phone icon becomes active.
- 2 Click the phone icon. The *SMS Address Book* window opens.
- 3 Click the *Add Contact* button. The *Add private user* window opens.
- 4 Complete the Full Name, Location and Mobile fields.
- 5 Click the *OK* button to add the new user and close the *Add private user* window, or click the *Save* button to add the user and keep the *Add private user* window open to add more contacts.
- 6 Click the *OK* button. the *SMS Address Book* window closes.

Chapter 10 FX Trading - Time Options and Auxiliaries

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Time Options and Auxiliaries

Time Options

Time options are based around an FX outright trade, but with a variable value date. The variable value date is defined by start and end dates. Within this date range, part or all of the trade may be drawn against at the rate of the trade with no further rate adjustment i.e. the trade is drawn against at par. Multiple draws may be made against a time option trade during its option window. The action of drawing against a time option trade goes under several names, this guide and the client applet use the term Draw-Down to describe this action.

Time option



Figure 71: Time option

Submitting a time option ticket

- 1 Open a deal ticket as explained in [Opening a deal ticket on page 47](#).
- 2 Select *Time Option* from the *Select Trade Type* drop-down menu in the deal ticket. The deal ticket updates with the time option details.

Figure 72: Time Option deal ticket

- 3 Enter the *Start Date* ① and *End Date* ④ and dealt amount as *Buy* ③ or *Sell* ②.
- 4 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 5 If traders are logged in and has the permission to price your request, the status changes to *Waiting for price*.
- 6 [User permission dependent] If your user has the permission to acknowledge the price the status changes to *Acknowledge new price* and the *Ack* button becomes active. Click the *Ack* button to acknowledge the price.
- 7 The deal ticket is populated with the price. The status changes to *Accept or Reject new price*. The *Accept* and *Reject* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.
- 8 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 9 Click the *Accept* button to accept the price and complete the deal. The status updates with *Deal Logged (ID [xx])*.

Draw-down on a time option

When you would like to use part (or all) of a Time Option, this can be achieved by using the Draw-Down functionality described here. Draw Downs may be performed at any time before the original end date of the Time Option has passed. This includes entering them onto the system before the original start date of the Time Option. See the notes below for important caveats:

Note: The value date of the draw down must always fall between the original start and end dates of the Time Option (regardless of the trade date, i.e. when the transaction is actually entered into the system).

To create a trade with a value date before the original Time Option start date, you have to create an early Take Up.

To create a trade with a value date after the original Time Option end date, you have to create an Extension.

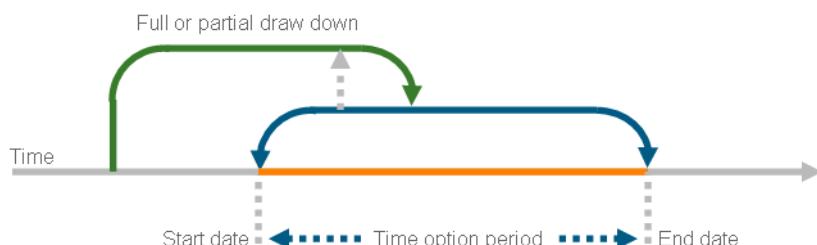


Figure 73: Draw-down on a time option

Making a draw down on a time option

- 1 Locate the Time Option deal in the blotter. If you have just created the time option and the *Auto Update* checkbox is ticked in the blotter then the deal will now be listed in the blotter.
- 2 Right-click the time option in the blotter and select *Draw-Down* from the menu. A deal ticket opens with the details of the original time option ① and the outstanding balance.

I Sell/Buy Draw-down GBP vs USD 1M at 1.247643

Deal ID: 1335461	Date: 28-Nov-2014 08:06	Client: Kadop Client2
Type: T-OPTION 1	Start Date 09-Dec-2014	End Date 02-Mar-2015
I Sell 4,500,000.00 GBP	I Buy 5,614,393.50 USD	All In: 1.247643 (GBP.USD)
Outs. Sell 1,500,000.00	Outs. Buy 1,871,464.50	
Draw-down Leg: 2 1M	2 Jan 2015	Rate 1.247643
I Sell GBP: 3 3,000,000.00	<<	
I Buy USD: 3,742,929.00	<<	
Offset Leg:	2 Mar 2015	Rate 1.247643
I Buy GBP: 3,000,000.00		
I Sell USD: 3,742,929.00		

Figure 74: Draw-Down deal ticket

- 3 Specify the draw-down leg value date **2**, and enter the amount for the draw-down **3**. Clicking the << button will populate the dealt amount box with the remaining outstanding balance. The client applet automatically fills in the details for the offset leg - the rate is taken from the original deal details: this cannot be amended.
- 4 Click the *Submit* button. The request is sent to the price maker for logging and the status updates with *Deal Logged (ID [xx])*.

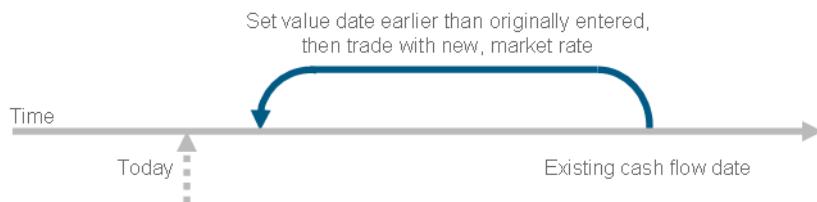
Note: You can view all draw-downs relating to a time option by selecting the retrieved time option in the blotter and selecting *Related Trades* from the right-click menu.

Auxiliary Trades

Auxiliary trade is a term used to describe a group of subsidiary trade types that can be created from an outstanding trade. The purpose of these trade types is to move a cash flow amount from one date to another without generating unnecessary additional credit utilisation. The following FX auxiliary trade types are available:

- [Market rate early take-up \(MR ETU\) on page 84.](#)
- [Market rate extension \(MR EXT\) on page 86.](#)
- [Market rate time option extension \(MR TO EXT\) on page 87.](#)
- [Historic rate early take-up \(HR ETU\) on page 89.](#)
- [Historic rate extension \(HR EXT\) on page 90.](#)
- [Historic rate time option extension \(HR TO EXT\) on page 92.](#)

Market rate early take-up (MR ETU)

**Figure 75:** Market rate early take-up

Submitting a market rate early take-up

- 1 Select a valid trade from the *Blotter* panel.
- 2 Select *MR Early Takeup* from the right-click menu. The MR Early Takeup deal ticket opens with the details of the original deal details ① and the outstanding amount.

Figure 76: MR Early Takeup ticket

- 3 Specify the required earlier leg value date ② and enter the amount to be taken up early ③. Clicking the << button will populate the dealt amount box with the remaining outstanding balance. The client applet automatically fills in the details for the offset leg - the rate is taken from the original deal details: this cannot be amended.
- 4 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 5 If traders are logged in and has the permission to price your request, the status changes to *Waiting for price*.
- 6 The deal ticket updates with the MR early take-up pricing.

Figure 77: MR Early Takeup priced ticket

Rate details for the offset leg ①.

Cash flow difference due to rate movements ②.

Swap points ③.

- 7 The status changes to *Accept or Reject new price*. The *Accept* and *Reject* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.
- 8 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 9 Click the *Accept* button to accept the price and complete the deal. The status updates with *Deal Logged (ID [xx])*.

Note: You can view all auxiliary trades relating to a deal by selecting the retrieved deal in the blotter and selecting *Related Trades* from the right-click menu.

Market rate extension (MR EXT)



Figure 78: Market rate extension

Existing swap or outright trades can be selected for market rate extension, ie. the original value date of the trade (when the cash flow is expected) can be pushed into the future.

Submitting a market rate extension

- 1 Select a valid trade from the *Blotter* panel.
- 2 Select *MR Extension* from the right-click menu. The MR Extension deal ticket opens with the details of the original deal details ① and the outstanding amount.

Sell/Buy Extension (MR) GBP vs USD 1W

Deal ID: 1335518	Date: 01-Dec-2014 08:12	Client: Client2
Type: SPOT ①	Value Date: 03-Dec-2014	
I Buy 7,000,000.00 GBP	I Sell 9,247,700.00 USD	All In: 1.321100 (GBP.USD)
Outs. Buy 0.00	Outs. Sell 0.00	
Extension Leg: ② 1W ▾ 10 Dec 2014		
I Buy GBP: 7,000,000.00 ③	Spot <input type="button" value="..."/>	
I Sell USD: 9,247,700.00	Rate <input type="button" value="..."/>	
Fwds <input type="button" value="..."/>		
Offset Leg: 3 Dec 2014		
I Sell GBP: 7,000,000.00	Rate <input type="button" value="..."/>	
I Buy USD: 9,247,700.00	Fwds <input type="button" value="..."/>	
Swap Pts <input type="button" value="..."/>		
C/F Diff <input type="button" value="..."/>		

Figure 79: MR Extension ticket

- 3 Specify the extension date ② and enter the amount of the trade to be extended ③. Clicking the << button will populate the dealt amount box with the remaining outstanding balance. The client applet automatically fills in the details for the offset leg - the rate is taken from the original time option details: this cannot be amended.
- 4 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: Chapter 5: PRIIPs - KID Acceptance on page 40 for information about *KID Agreement* window.

- 5 If traders are logged in and has the permission to price your request, the status changes to *Waiting for price*.
- 6 The deal ticket is populated with the Market Rate Extension pricing. The status changes to *Accept or Reject new price*. The *Accept* and *Reject* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.

Sell/Buy Extension (MR) GBP vs USD 1W at 1.247660			
Deal ID: 1335518	Date: 01-Dec-2014 08:12	Client: Kadop Client2	
Type: SPOT	Value Date: 03-Dec-2014		
I Buy 7,000,000.00 GBP	I Sell 9,247,700.00 USD	All In: 1.321100 (GBP.USD)	
Outs. Buy 0.00	Outs. Sell 0.00		
		Spot 1.2471	
Extension Leg: 1W	10 Dec 2014	Rate 1.247660	
I Buy GBP: 7,000,000.00		Fwds 5.60	
I Sell USD: 9,247,700.00			
Offset Leg:	3 Dec 2014	Rate 1.247100	
I Sell GBP: 7,000,000.00		Fwds 0.00	
I Buy USD: 9,247,700.00			
		Swap Pts 5.60	3
		2 C/F Diff -518,000.	

Figure 80: MR Extension priced ticket

Details of the offset leg ①.

Cash flow difference due to rate movements ②.

Swap points ③.

- 7 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 8 Click the *Accept* button to accept the price and complete the deal. The status updates with *Deal Logged (ID [xx])*.

Note: You can view all auxiliary trades relating to a deal by selecting the retrieved deal in the blotter and selecting *Related Trades* from the right-click menu.

Market rate time option extension (MR TO EXT)



Figure 81: Market rate time option extension

Submitting a market rate time option extension

- 1 Select a valid trade from the *Blotter* panel.
- 2 Select *MR TO EXT* from the right-click menu. The MR Time Option Extension deal ticket opens with the details of the original deal details ① and the outstanding amount.

Figure 82: MR Time Option Extension ticket

- 3 Specify the start date and end dates for the Time Option extension (this must be after the end date of the original Time Option trade) ②. Specify the amount of the trade to be extended (you can leave some of the trade to be executed on the original value date) ③. Clicking the << button will populate the dealt amount box with the remaining outstanding balance. The client applet automatically fills in the details for the offset leg - the rate is taken from the original time option details: this cannot be amended.
- 4 Click the *Submit* button. The status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 5 If traders are logged in and has the permission to price your request, the status changes to *Waiting for price*.
- 6 The deal ticket is populated with the Time Option Extension pricing. The status changes to *Accept or Reject new price*. The *Accept* and *Reject* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.

Figure 83: MR Time Option Extension priced ticket

Details of the offset leg ①.

Cash flow difference due to rate movements **②**.

Swap points **③**.

- 7 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 8 Click the *Accept* button to accept the price and complete the deal. The status updates with *Deal Logged (ID [xx])*.

Note: You can view all auxiliary trades relating to a deal by selecting the retrieved deal in the blotter and selecting *Related Trades* from the right-click menu.

Historic rate early take-up (HR ETU)

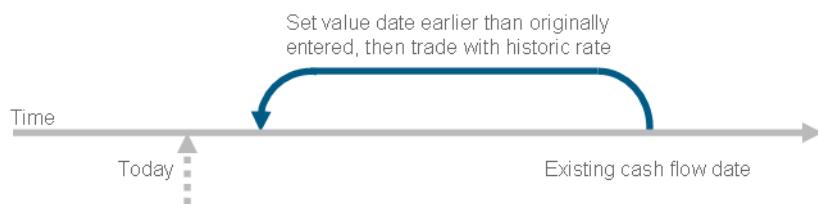


Figure 84: Historic rate early take-up

Submitting a historic rate early take-up

- 1 Select a valid trade from the *Blotter* panel.
- 2 Select *HR Early Takeup* from the right-click menu. The HR Early Takeup deal ticket opens with the details of the original deal details **①** and the outstanding amount.

Buy/Sell Early Takeup (HR) GBP vs USD 08-Dec-2014			
Deal ID: 1335517	Date: 01-Dec-2014 08:10	Client: Client2	
Type: FORWARD ①	Value Date: 10-Dec-2014		
I Buy 7,000,000.00 GBP	I Sell 9,251,270.00 USD	All In: 1.321610 (GBP.USD)	
Outs. Buy 2,000,000.00	Outs. Sell 2,643,220.00		
Take-up Leg: BROKEN ② 8 Dec 2014		Rate	④
I Buy GBP: 5,000,000.00 ③			
I Sell USD: 6,608,050.00			
Offset Leg: 10 Dec 2014		Rate	⑤
I Sell GBP: 5,000,000.00			
I Buy USD: 6,608,050.00			
		Swap Pts	<input type="text"/>
		C/F Diff	<input type="text"/>

Figure 85: HR Early Takeup ticket

- 3 Specify the required earlier leg value date **②** and enter the amount to be taken up early **③**. Clicking the << button will populate the dealt amount box with the remaining outstanding balance. The client applet automatically fills in the details for the offset leg - the rate is taken from the original deal details: this cannot be amended.
- 4 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 5 If traders are logged in and has the permission to price your request, the status changes to *Waiting for price*.
- 6 The deal ticket updates with the Historic Rate early take-up pricing.

Buy/Sell Early Takeup (HR) GBP vs USD 08-Dec-2014 at 1.321481

Deal ID:	1335517	Date:	01-Dec-2014 08:10	Client:	Client2
Type:	FORWARD	Value Date:	10-Dec-2014		
I Buy	7,000,000.00 GBP	I Sell	9,251,270.00 USD	All In:	1.321610 (GBP.USD)
Outs. Buy	2,000,000.00	Outs. Sell	2,643,220.00		
Take-up Leg: BROKEN ▾			8 Dec 2014	Rate	1.321481
I Buy GBP:	5,000,000.00	<<			
I Sell USD:	6,608,050.00	<<			
Offset Leg: 10 Dec 2014			Rate	1.321610	1
I Sell GBP:	5,000,000.00	<<			
I Buy USD:	6,608,050.00	<<			
			Swap Pts	1.29	2
			C/F Diff	0.00 USD	

Figure 86: HR Early Takeup priced ticket

Rate details for the offset leg 1.

Swap points 2.

- 7 The status changes to *Accept or Reject new price*. The *Accept* and *Reject* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.
- 8 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 9 Click the *Accept* button to accept the price and complete the deal. The status updates with *Deal Logged (ID [xx])*.

Note: You can view all auxiliary trades relating to a deal by selecting the retrieved deal in the blotter and selecting *Related Trades* from the right-click menu.

Historic rate extension (HR EXT)

**Figure 87:** Historic rate extension

Submitting an historic rate extension

- 1 Select a valid trade from the *Blotter* panel.
- 2 Select *HR Extension* from the right-click menu. The HR Extension deal ticket opens with the details of the original deal details 1 and the outstanding amount.

Sell/Buy Extension (HR) GBP vs USD 06-Jan-2015

Deal ID: 1335517	Date: 01-Dec-2014 08:10	Client: Client2
Type: FORWARD	Value Date: 10-Dec-2014	
I Buy 7,000,000.00 GBP	I Sell 9,251,270.00 USD	All In: 1.321610 (GBP.USD)
Outs. Buy 5,000,000.00	Outs. Sell 6,608,050.00	
Extension Leg: BROKEN ② 6 Jan 2015 Rate [orange]		
I Buy GBP: 2,000,000.00 ③	<<	
I Sell USD: 2,643,220.00	<<	
Offset Leg: 10 Dec 2014 Rate [orange]		
I Sell GBP: 2,000,000.00		
I Buy USD: 2,643,220.00		
Swap Pts []		
C/F Diff []		

Figure 88: HR Extension ticket

- 3 Specify the value date for this historic rate extension (the Extension Leg details) which must be after the value date of the original trade ② . Enter the amount of the trade to be extended ③ . Clicking the << button will populate the dealt amount box with the remaining outstanding balance. The client applet automatically fills in the details for the offset leg - the rate is taken from the original time option details: this cannot be amended.
- 4 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 5 If traders are logged in and has the permission to price your request, the status changes to *Waiting for price*.
- 6 The deal ticket is populated with the Historic Rate Extension pricing. The status changes to *Accept or Reject new price*. The *Accept* and *Reject* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.

Sell/Buy Extension (HR) GBP vs USD 06-Jan-2015 at 1.324094

Deal ID: 1335517	Date: 01-Dec-2014 08:10	Client: Client2
Type: FORWARD	Value Date: 10-Dec-2014	
I Buy 7,000,000.00 GBP	I Sell 9,251,270.00 USD	All In: 1.321610 (GBP.USD)
Outs. Buy 5,000,000.00	Outs. Sell 6,608,050.00	
Extension Leg: BROKEN ② 6 Jan 2015 Rate 1.324094		
I Buy GBP: 2,000,000.00	<<	
I Sell USD: 2,648,188.00	<<	
Offset Leg: ① 10 Dec 2014 Rate 1.321610		
I Sell GBP: 2,000,000.00		
I Buy USD: 2,643,220.00		
② Swap Pts 24.84		
C/F Diff 0.00 USD		

Figure 89: HR Extension priced ticket

Details of the offset leg ① .

Swap points ② .

- 7 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
- 8 Click the *Accept* button to accept the price and complete the deal. The status updates with *Deal Logged (ID [xx])*.

Note: You can view all auxiliary trades relating to a deal by selecting the retrieved deal in the blotter and selecting *Related Trades* from the right-click menu.

Historic rate time option extension (HR TO EXT)

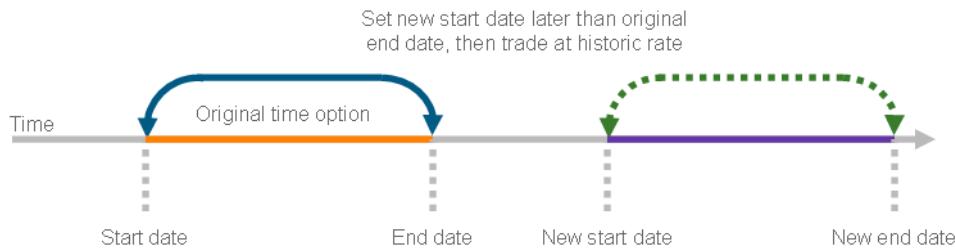


Figure 90: Historic rate time option extension

Submitting a historic rate time option extension

- 1 Select a valid trade from the *Blotter* panel.
- 2 Select *HR TO EXT* from the right-click menu. The HR Time Option Extension deal ticket opens with the details of the original deal details ① and the outstanding amount.

Buy/Sell TO Extension (HR) GBP vs USD 07-Jan-2015 -> 23-Jan-2015

Deal ID: 1335520	Date: 01-Dec-2014 08:30	Client: Client2
Type: T-OPTION	Start Date 05-Dec-2014	End Date 16-Jan-2015
I Sell 4,000,000.00 GBP	I Buy 4,989,780.00 USD	All In: 1.247445 (GBP.USD)
Outs. Sell 1,000,000.00	Outs. Buy 1,247,445.00	
Start Date BROKEN 23 Jan 2015	End Date BROKEN 12 Feb 2015	Rate
I Sell GBP: 3,000,000.00 ③	<<	
I Buy USD: 3,742,335.00	<<	
Offset Leg: 16 Jan 2015	Rate	
I Buy GBP: 3,000,000.00		
I Sell USD: 3,742,335.00		
Swap Pts		
C/F Diff		

Figure 91: HR Time Option Extension ticket

- 3 Specify the start date and end dates for the Time Option extension (new start date must after end date of the original trade) ②. Specify the amount of the trade to be extended (you can leave some of the trade to be executed on the original value date) ③. Clicking the << button will populate the dealt amount box with the remaining outstanding balance. The client applet automatically fills in the details for the offset leg - the rate is taken from the original time option details: this cannot be amended.
- 4 Click the *Submit* button. The *KID Agreement* window will appear. If you click the *Accept* button to proceed, the status message at the bottom of the ticket changes to *Waiting for trader pickup*.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 5 If traders are logged in and has the permission to price your request, the status changes to *Waiting for price*.
- 6 The deal ticket is populated with the Time Option Extension pricing. The status changes to *Accept or Reject new price*. The *Accept* and *Reject* buttons become active, and the *Timeout* box updates to show the number of seconds for which the price is valid.

Buy/Sell TO Extension (HR) GBP vs USD 23-Jan-2015 > 12-Feb-2015 at 1.248005

Deal ID:	133550	Date:	01-Dec-2014 08:30	Client:	Client2
Type:	T-OPTION	Start Date	05-Dec-2014	End Date	16-Jan-2015
I Sell	4,000,000.00 GBP	I Buy	4,989,780.00 USD	All In:	1.247445 (GBP.USD)
Outs. Sell	1,000,000.00	Outs. Buy	1,247,445.00		
Start Date	BROKEN	23	Jan	2015	
End Date:	BROKEN	12	Feb	2015	
I Sell GBP:	3,000,000.00				Rate 1.248005
I Buy USD:	3,744,015.00				
Offset Leg:	1	16	Jan	2015	
I Buy GBP:	3,000,000.00				Rate 1.247445
I Sell USD:	3,742,335.00				
		2	Swap Pts	5.60	
			C/F Diff	0.00 USD	

Figure 92: HR Time Option Extension priced ticket

- Details of the offset leg ①.
- Swap points ②.
- 7 [User permission dependent] When the timeout is close to expiry a new price is streamed and the *Accept or Reject new price* status refreshes.
 - 8 Click the *Accept* button to accept the price and complete the deal. The status updates with *Deal Logged (ID [xx])*.

Note: You can view all auxiliary trades relating to a deal by selecting the retrieved deal in the blotter and selecting *Related Trades* from the right-click menu.

Post Trade Allocations

Note: The permission to Post Trade Allocation functionality is on a per-user basis.

Post-Trade Allocation enables a trade to be submitted against a single account, and for that trade to be subsequently negotiated with multiple allocations against multiple accounts.

Post-trade allocation functionality is available for Spot, Outright and Swap trade types.

The Client deal ticket must be marked for Post Trade Allocation, i.e. the deal ticket *Post Trade Allocation* checkbox must be ticked before the deal is submitted. On pickup in the Trader applet, pending negotiation, the deal ticket states that such a deal is 'Pending Allocation'.

When configuring post-trade allocations, a Client submits instructions on how the original trade is to be split across multiple accounts and settled as individual trades for each account. The effect of the allocation instructions is to replace the original single trade with multiple trades at the same trade rate and value date.

The net aggregate of the trades generated by the allocation instruction should equal the original trade, although a bank may allow a difference between the original trade and net aggregate of the allocation trades within tolerances set by the bank.

The new allocation trades are all of the same type as the original trade; the number of legs for each allocation trade is the same as that of the original trade. The value date for the allocation trades is the same as that of the original trade.

Note: Post Trade Allocation functionality may only be utilised on the day on which the deal was logged. If the original trade is not allocated on the day on which it was traded, the trade is settled as originally defined, i.e. against a single account.

Post Trade Allocation ticket

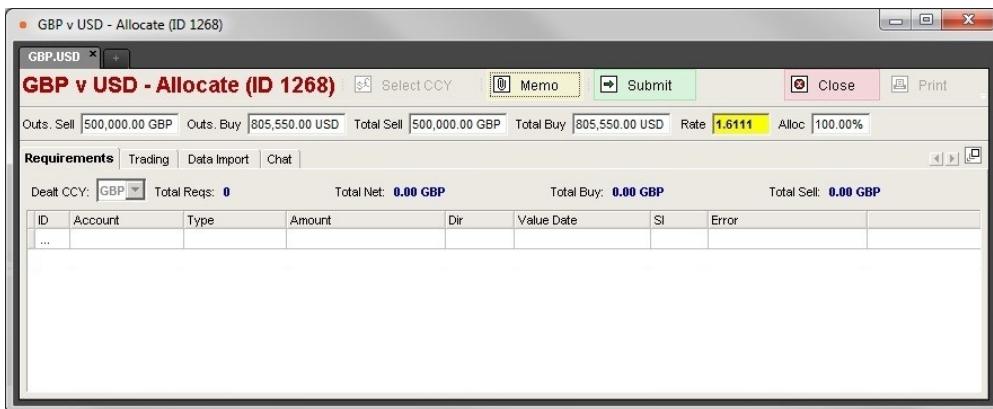


Figure 93: Post Trade Allocation ticket

Marking a deal for Post Trade Allocation

- 1 Activate the *Post Trade Allocation* checkbox before submitting a deal for pricing.

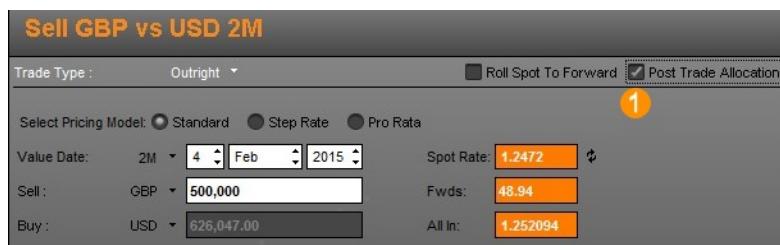


Figure 94: Post Trade Allocation checkbox.

- 2 Upon successful logging of the deal, an *Allocate* button appears in the deal ticket.

Opening a Post Trade Allocation ticket

- 1 If you have just completed the deal. Click the *Allocate* button in the top right.
- 2 Or, if no ticket is open, search for the deal in the blotter and select *Post Trade Allocation* from the right-click menu.



Figure 95: Blotter context menu - Post Trade Allocation.

Completing a Post Trade Allocation ticket

- 1 Click anywhere in the empty row. A new row with default value appears.



Figure 96: PTA ticket - initial view.

- 2 Complete the ticket with additional rows for account and amount allocation.
- 3 The background of the *Outs. Sell*, *Outs. Buy* and *Alloc* boxes changes to green once all the outstanding amount from the deal has been allocated:



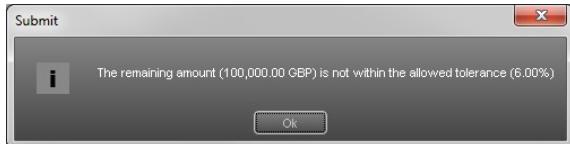
Figure 97: PTA ticket - all outstanding amount allocated.

- 4 If the unallocated percentage is within this limit, the *Alloc* box still has a green background despite being over 0.00%:



Figure 98: PTS ticket - outstanding amount within tolerance.

Note: The following message is displayed if the Post Trade Allocation is submitted with an outstanding amount over the tolerance setting:



- Click *Submit* to send the Post Trade Allocation for logging. The *KID Agreement* window will appear and if you click the *Accept* button, the ticket updates to show the *Trading* tab, and the Status updates to Deal Logged:

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

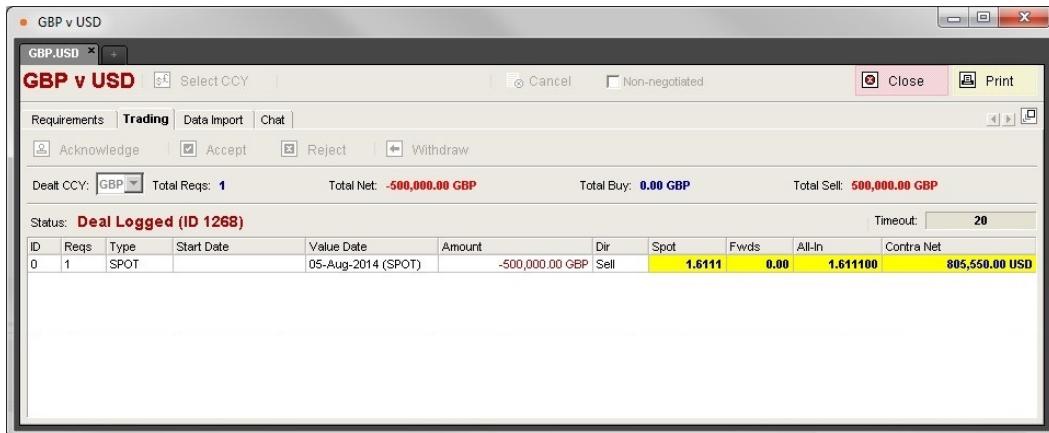


Figure 99: PTA ticket - logged.

Roll Spot to Forward

The Roll Spot to Forward function allows a client to complete a spot transaction and later convert the transaction into a forward request.

Note: The permission to the Roll Spot to Forward function is on a per-user basis.

Marking a deal for Roll Spot to Forward

- Activate the *Roll Spot to Forward* checkbox before submitting a deal for pricing. ①.



Figure 100: Roll Spot to Forward checkbox.

Rolling a Spot deal to Forward

- Search for the deal in the blotter and select *Roll Forward* from the right-click menu.



Figure 101: Blotter context menu - Roll Forward.

- 2 The *Roll Forward* ticket opens.
- 3 Select a forward value date and click the *Submit* button. The *KID Agreement* window will appear and if you click the *Accept* button, the deal is priced, click the *Accept* button to log the deal.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

See Also: [Viewing Related Trades](#) on page 98.

PTA and Roll Forward with multiple value dates

If the client user has the permission, he or she will be able to allocate filled orders and trades to multiple value dates thus saving time. This functionality uses the existing Block trade interface including the creation of templates with up to 100 component trades each associated forward points.

Creating a PTA and Rolling Forward to Multiple Value Dates

- 1 Activate the *Roll Spot to Forward* and *Post Trade Allocation* checkboxes before submitting a spot deal for pricing.
- 2 When the deal has completed, click the *Allocate* button. The *Post Trade Allocation* ticket opens.
- 3 Allocate the outstanding amount across multiple accounts and enter the forward value dates:

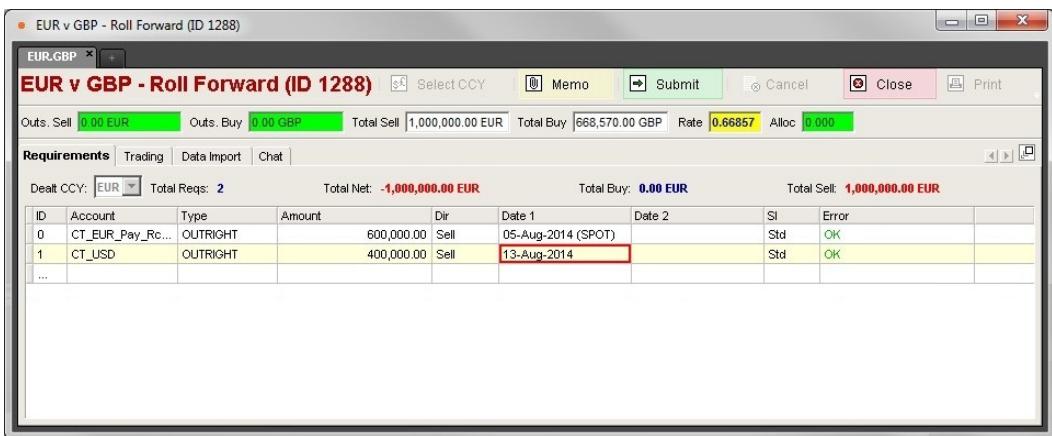


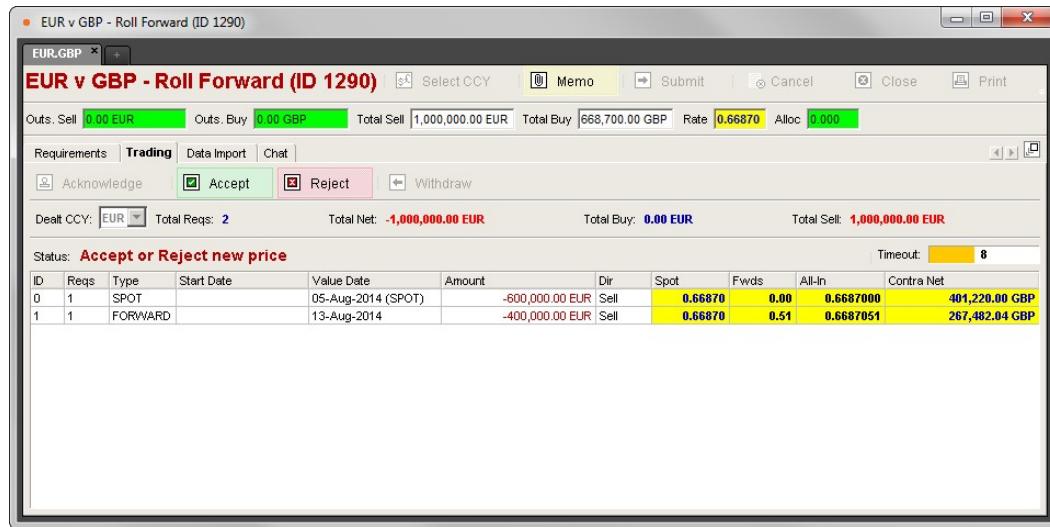
Figure 102: Roll Forward with Allocation ticket.

Note: To enter a broken value date, click into the *Date 1* column and begin typing the date.

- 4 When the amounts are allocated, click the *Submit* button. The *KID Agreement* window will appear and if you click the *Accept* button, the ticket switches to the *Trading* tab.

See Also: [Chapter 5: PRIIPs - KID Acceptance](#) on page 40 for information about *KID Agreement* window.

- 5 The new forward dates and allocated amounts are priced:

**Figure 103:**Forward date and allocated amounts

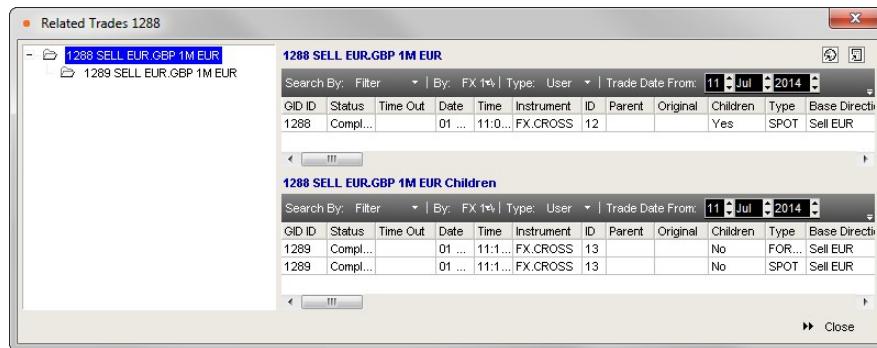
- Click the Accept button to log the deal.

See Also: [Viewing Related Trades on page 98](#).

Related Trades

Viewing Related Trades

- Search for the parent or child trade in the *Blotter*.
- Select *Related Trades* from the right-click context menu. The *Related Trades <GID ID>* window opens:

**Figure 104:**Related Trades Navigator.

- Use the left-hand tree to navigate through the parent / child deals.
- Click the Close button to close the *Related Trades <GID ID>* window.

Chapter 11 FX Trading - Commodities

The configuration and use explained in this chapter are for ET 2.2.

Contents

- [Configuration](#)
- [Rates Panel - Single Rates View](#)
- [RFQ Trading - The Deal Ticket](#)
- [One-click Trading](#)
- [LOMS Order Ticket](#)

Configuration

It is required to pre-define the currencies representing commodities, their quotation units, and the conversion rates to ounces. **ET 2 Applet Customization and Branding Guide** explains the configuration in detail. The setting applies to Rates panel, RFQ trading, One-click trading, and LOMS order ticket as explained below.

Rates Panel - Single Rates View

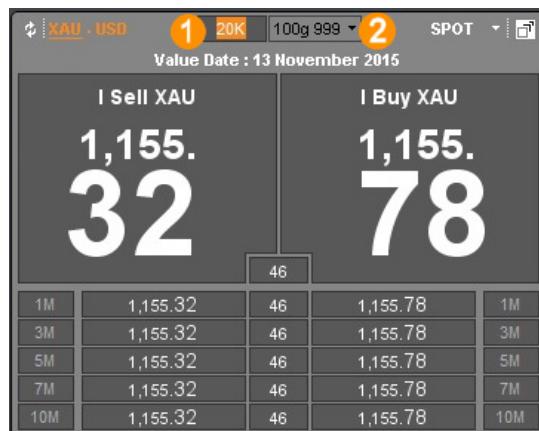


Figure 105: Composite view: Single Rates view showing XAU as the dealt currency

In the figure above, the field of pre-defined quotation units **2** appears automatically when the dealt currency is a currency representing a commodity. When the currency represents a commodity, its Good For Amount is calculated in ounces and the calculation is applied to both RFQ and one-click trading.

For example, when you trade XAU.USD where the dealt currency is XAU, you can put 20K in the Favourite Amount field **1** and select '100g 999' quotation unit from the list **2**; the dealt amount will then be automatically calculated into ounces.

Note: For other details about Rates panel, see [Chapter 4: Rates Panel on page 23](#).

RFQ Trading - The Deal Ticket

When the dealt currency is a currency representing a commodity, the Quotation Unit Amount field **1** and the Quotation Unit field **2** appear automatically. Also, they are automatically converted into ounces and displayed in the dealt amount field (Trade/Buy/Sell).

The screenshot shows a deal ticket for XAU.USD. In the 'Sell' section, the 'Quotation Unit Amount' field (labeled 1) contains '20,000'. The 'Quotation Unit' field (labeled 2) contains '100g 999'. The 'Dealt' section shows the calculated amount as '64,240.000 Ounces'.

Figure 106: FX deal ticket showing XAU deal amount automatically calculated to ounces from the input unit and amount

For example, you trade XAU.USD where the dealt currency is XAU and you put 20,000 in the Quotation Unit Amount field and select '100g 999' quotation unit; the dealt amount is then automatically calculated and displayed in ounces.

Two Additional Deal Ticket Fields for Commodity Quotation

Note: See [Chapter 6: FX Trading - Request for Quote \(RFQ\) on page 44](#) for full detail about deal ticket and RFQ trading.

These two fields automatically appear when the dealt currency is a currency representing a commodity. However, they are not displayed when the ticket is in view mode.

Field	Supported Deal Type	Explanation
Quotation Unit Amount 1	Spot Outright Swap Two-way Spot Outright Two-way Swap	The amount that you quote the commodity in a specified unit (which is not ounces). This amount is automatically converted to ounces and displayed in the dealt amount field (Trade/Buy/Sell)
Quotation Unit 2	Spot Outright Swap Two-way Spot Outright Two-way Swap	The unit (which is not ounces) that you quote the commodity. The list of quotation units is pre-defined.

Sell XAU vs USD SPOT

XAU.USD

Sell XAU vs USD SPOT

Trade Type : Outright Non-negotiated Roll Spot To Forward Post Trade Allocation

Select Pricing Model: Standard Step Rate Pro Rata

Value Date: SPOT Nov Spot Rate: 1,155.32 ₣

Sell: XAU 1 2

Buy: USD Buy

Status Ready THOMSON REUTERS® ET

Figure 107:Spot Outright on XAU deal ticket showing dealt amount calculated from quotation amount and unit

I Swap XAU vs USD

XAU.USD

I Swap XAU vs USD

Trade Type : Swap Non-negotiated Post Trade Allocation

Uneven-Swap

Near Leg: SPOT Nov Spot Price: 1,155.78 ₣

I Buy XAU: XAU 1 2 KG995 Fwds: 0.00 All In: 1,155.7600

I Sell USD: USD Mid Price:

Far Leg: 1D Nov Fwds:
I Sell XAU: XAU 1 2 KG995 All In:
I Buy USD: USD Mid Price: Swap Pts:

Status Ready THOMSON REUTERS® ET

Figure 108:Swap on XAU deal ticket showing dealt amount calculated from quotation amount and unit

● I Trade XAU vs USD 13-Nov-2015 (SPOT)

XAU.USD +

I Trade XAU vs USD 13-Nov-2015 (SPOT)

Trade Type : Two Way Outright Non-negotiated Roll Spot To Forward Post Trade Allocation

Value Date:	SPOT 13 Nov 2015	I Sell XAU at \$	I Buy XAU at \$
I Trade XAU:	XAU 479.8500 Ounces	15 ① KG995	② All In: 1,155.3400 1,155.7800
I Trade USD:	USD 	Contra 554,389.90	554,601.03

Status Ready THOMSON REUTERS® ET

Figure 109:Two-way Outright on XAU deal ticket showing dealt amount calculated from quotation amount and unit

● I Swap XAU vs USD

XAU.USD +

I Swap XAU vs USD

Trade Type : Two Way Swap Non-negotiated Post Trade Allocation

Near Leg:	SPOT 13 Nov 2015	I Buy/Sell XAU at: 1,155.78	I Sell/Buy XAU at: 1,155.34 \$
I Trade XAU:	XAU 479.8500 Ounces	Fwds: 0.00	Near Leg: I Buy XAU 0.00
I Trade USD:	USD 	All In: 1,155.7800	Near Leg: I Sell XAU 1,155.3400
Far Leg:	1D 16 Nov 2015	Fwds: 	Far Leg: I Sell XAU
I Trade XAU:	XAU 479.8500 Ounces	All In: 	Far Leg: I Buy XAU
I Trade USD:	USD 	Contra: 554,601.03	Contra: 554,389.90
		Mid Price: 	Mid Price:
		Swap Pts: 	Swap Pts:

Status Ready THOMSON REUTERS® ET

Figure 110:Two-way Swap on XAU deal ticket showing dealt amount calculated from quotation amount and unit

One-click Trading

At the Rates panel in One-click mode, on XAU.USD where the dealt currency is XAU, if you put 1000 in the Favourite Amount field **1**, select 'KG995' quotation unit **2** and perform one-click, the dealt amount will be automatically calculated in ounces.

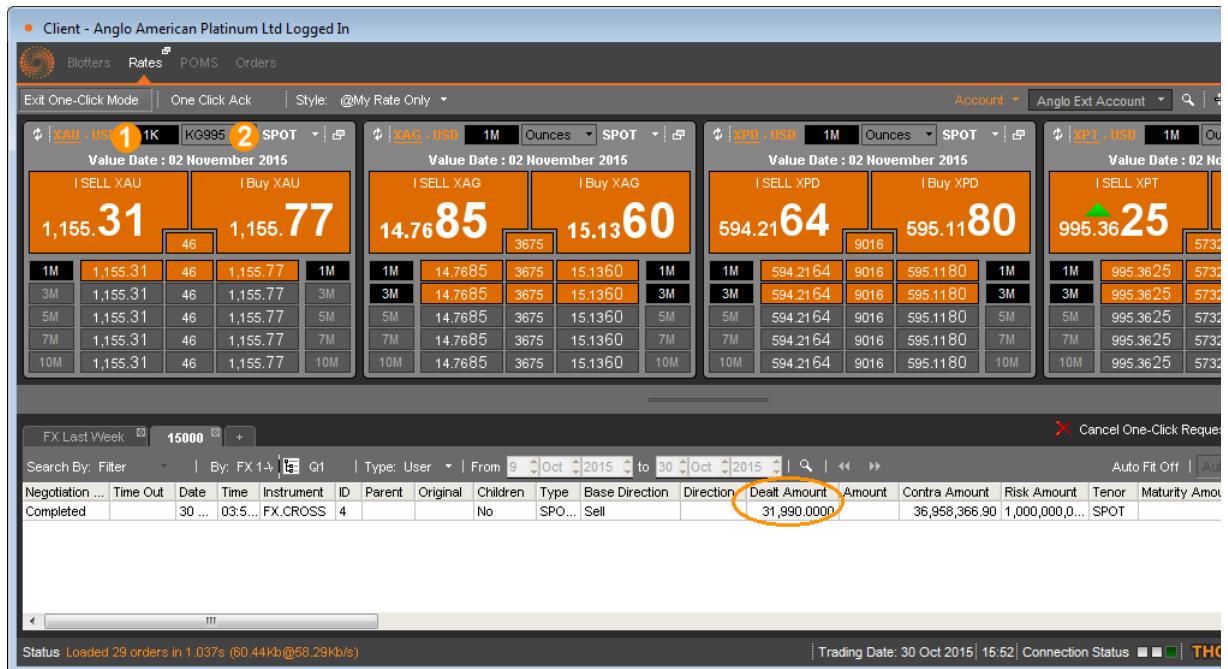


Figure 111: Rates panel in one-click mode with the Blotter showing the dealt amount calculated from quotation amount (in the Favourite Amount field) and quotation unit after a one-click for XAU.USD where XAU is the dealt currency.

LOMS Order Ticket

In the order ticket, similar to the deal ticket, the Quotation Unit Amount field and the list of quotation units have been added under the dealt amount field representing commodity. Also, the order ticket automatically calculates the dealt amount in ounces according to the given quotation unit amount and the selected quotation unit.

Note: The two fields and the conversion of quotation unit to ounces support all of the order types and strategies, except the **Call** order type.

Figure 112:LOMS order ticket on XAU showing deal amount calculated from quotation amount and unit

Two Order Entry Fields for Commodity Quotation

Note: See [Chapter 9: FX Trading - Orders \(LOMS\) on page 61](#) for full detail of LOMS order ticket and LOMS order trading.

You can edit these two fields when the order is deactivated. They are uneditable when the order is activated. When the ticket is in view mode, they are not displayed.

Field Name	Explanation
Quotation Unit Amount ①	The amount that you quote the commodity in a specified unit (which is not ounces). This amount is automatically converted to ounces and displayed in the amount field. The conversion rate is pre-defined.
Quotation Unit ②	The unit (which is not ounces) for quoting commodities. The list of quotation units is pre-defined.

Chapter 12 Proxy Trading

As a Proxy Client you can trade on behalf of other client users.

Contents

- [Proxy deal ticket](#)

Proxy deal ticket

The options to add markup to a deal become available once the trade has been completed.

Note: You can add markup to a completed deal even when the deal ticket is not available, by retrieving the deal using the *Blotter* panel and selecting *Markup* from the right-click menu.

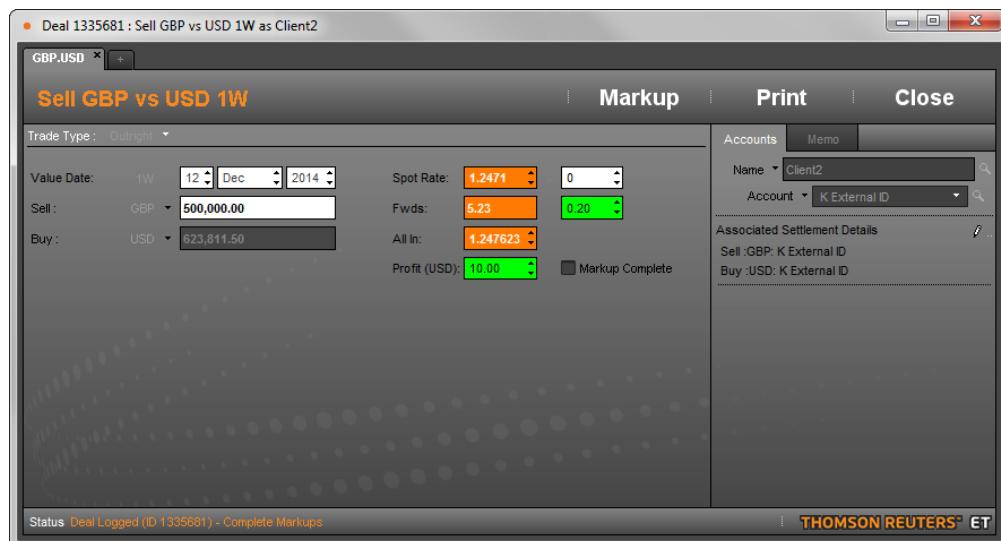


Figure 113: Proxy trading deal ticket

Submitting an FX proxy trade - RFQ

- 1 Select the user on whose behalf you will be trading by using the drop-down list in the menu bar.
- 2 Open a deal ticket as explained in [Opening a deal ticket on page 47](#).
- 3 Complete the deal ticket and log the trade. See [Submitting outright RFQs on page 49](#). The status changes to *Deal Logged (ID [xxx]) - Complete Markups*, and the deal ticket displays the markup options.
- 4 Adjust the *Spot* and *Fwd* (if applicable) markup. The *Profit* box updates with the new calculated profit.
- 5 Activate the *Markup Complete* checkbox.
- 6 Click the *Markup* button. The status changes to *Deal Logged (ID [xxx]) - Markups Saved*.



Figure 114: Completed proxy deal ticket

Chapter 13 Blotter Panel

The Blotter panel allows you to do the following:

- display deals from the current session and archived deals
- search for deals with user-defined parameters
- create blotter views accessed via tabs
- create filters within blotter views with separate criteria and visible columns
- navigate and sort deals
- modify deals
- view related trades
- export and print retrieved deals

By default no blotter tabs are available in the *Blotter* panel.

Within each blotter panel tab, you can retrieve deals using a *Filter* or *ID* search.

Contents

- [Accessing the Blotter](#)
- [Deal Modification](#)

Accessing the Blotter

Click the *Blotter* button in ①.



Figure 115: Blotter panel button

Client applet can be configured to show *Blotter* panel at the bottom half of any panel (such as Orders and Rates) via *Setup* menu on *Rates* panel.

See Also: [Setup Panel/Menu](#)

Blotter panel toolbar

The toolbar is shown by ②.

Item	Description
Delete button	Deletes the current blotter tab.
New button	Opens the <i>New</i> window to create a new blotter tab.
Float button	Floating the current setup.

To create a new blotter tab

Note: You can create up to six blotters.

- 1 Click the *Blotter* button. The client applet displays the *Blotter* panel.
- 2 Click the *New* button. The *New* window opens.



Figure 116:New blotter tab window

- 3 Type a name for the blotter tab and click the *OK* button. The *New* window closes and the *Blotter* panel updates with the defaults for your blotter tab:



Figure 117:Blotter view added

ActiveX browser permissions

If ActiveX security settings are configured incorrectly, the error message below will appear when you click the *Export* button and select *Spread Sheet*.



Ensure that the web browser allows ActiveX controls to be activated from your domain.

ActiveX configuration procedure for Internet Explorer

- 1 Log out of the client applet and close the applet and browser instance.
- 2 Open a new instance of Internet Explorer.
- 3 Select *Tools > Internet Options* and click the *Security* tab.
- 4 Click the *Custom Level* button. The *Security Settings - Internet Zone* window opens.
- 5 Ensure all the items under ActiveX controls and plug-ins are either set to *Enable* or *Prompt*.
- 6 Click the *OK* button, the *Security Settings - Internet Zone* window closes.
- 7 Click the *OK* button. the *Internet Options* window closes.

You can now browse to the html location and start a new applet.

Deal Modification

A modified deal is essentially done by cancellation of an existing deal and replacement with a new deal. Client and Proxy Clients have permission to change certain post-deal information but prior to maturity.

Use Case

In this case, Client or Proxy Client has permission to “Allow Minor Modification”.

- 1 Client deal is booked in ET via TrAPI or Applets (Client or Capture Trader).
- 2 Client finds deal in the deal Blotter.
- 3 Client right clicks on a deal and selects *Modify* from the menu.

Note: Client cannot modify the deal if one leg has matured; for example, in Swap deal.

- 4 Client can only change the following:

- Deal Memo
 - Settlement Instructions
 - Deal Remarks
 - Custom Fields
- 5 The Proxy Client is also able to modify:
- Taker Name
 - Account
- 6 DM1 deal modification is sent downstream. The reason for modification is also sent downstream, depending on the *Require Deal Modification Reason* checkbox.
- 7 DO1 message generated to notify the LBN of the modification.

Chapter 14 Deal Search

Within each blotter panel tab, you can retrieve deals using a *Filter* or *ID* search.

Contents

- [Filter search](#)
- [Searching with a filter](#)
- [Filter window in depth](#)
- [ID search](#)
- [Viewing Deals in the Blotter Panel](#)

Filter search

Searching with a filter is the default option and selected with the drop-down menu.



Figure 118: Search type

Filter search toolbar

The toolbar is shown by ①.



Figure 119: Blotter tab toolbar

Item	Description
Search By drop-down menu	Contains two options to search by either: <i>Filter</i> or <i>ID Search</i> .
By drop-down menu	Lists the saved search filter(s) and the filter options: <ul style="list-style-type: none">• <i>Delete Filter</i> - deletes the filter chosen in the <i>By:</i> drop-down menu.• <i>New Filter</i> - displays the <i>New Filter</i> window. It allows you to create new filters and specify which columns to display.• <i>View Filter</i> - opens the <i>[Blotter tab name] - Filter [Filter name]</i> window.
Type drop-down	Contains a list of available search type: <ul style="list-style-type: none">• Proxy - trades by all the users assigned to the proxy user.• Group - trades by all the users in the same group as the user logged in.• User - trades by the user logged in.
Trade Date From/to boxes	The start and end of the search time period. Note: These boxes will be deactivated if the search filter selected has <i>Any</i> specified as the <i>Date</i> range under the <i>Common</i> tab.
🔍 Search Log button	Initiates the search. The client applet displays the results or informs you that no records match.

Item	Description																																																
 Stop Search button	Stops an initiated search. You can only click this icon once you have clicked the  Search Log button and a search is in progress.																																																
 Prev button	Allows you to display the previous page, where a search returns more than one page of deals.																																																
 Next button	Allows you to display the next page, where a search returns more than one page of deals.																																																
Auto Fit button	If the button is pressed down, the applet deletes the filter chosen in the By: drop-down menu.																																																
Auto Update button	If the button is pressed down, the applet updates the Blotter in real time.																																																
Export menu	<p><i>HTML:</i> Opens a browser window with a pipe () delimited page of the search results for saving locally.</p> <p><i>Print:</i> Open a browser window with a table of the search results for printing</p> <table border="1" data-bbox="641 788 1202 983"> <thead> <tr> <th>Negotiation State</th> <th>Instrument</th> <th>ID</th> <th>Deal Type</th> <th>FX CCY Pair</th> <th>Base CCY</th> <th>Deal Dir</th> <th>Contra CCY</th> </tr> </thead> <tbody> <tr> <td>Cancelled</td> <td>FX CROSS</td> <td>5.1.490</td> <td>SPOT</td> <td>EUR.USD</td> <td>EUR</td> <td>Sell</td> <td>USD</td> </tr> <tr> <td>Completed</td> <td>FX CROSS</td> <td>5.1.604</td> <td>FORWARD</td> <td>EUR.USD</td> <td>EUR</td> <td>Buy</td> <td>USD</td> </tr> <tr> <td>Completed</td> <td>FX CROSS</td> <td>5.1.604</td> <td>FORWARD</td> <td>EUR.USD</td> <td>EUR</td> <td>Buy</td> <td>USD</td> </tr> <tr> <td>Cancelled</td> <td>FX CROSS</td> <td>5.1.604</td> <td>SPOT</td> <td>EUR.USD</td> <td>EUR</td> <td>Buy</td> <td>USD</td> </tr> <tr> <td>Cancelled</td> <td>FX CROSS</td> <td>5.1.604</td> <td>FORWARD</td> <td>EUR.USD</td> <td>EUR</td> <td>Buy</td> <td>USD</td> </tr> </tbody> </table> <p><i>Spread Sheet:</i> Creates a .csv file for saving locally.</p> <p>Note: You must set your browser to allow pop-ups. (ActiveX control).</p>	Negotiation State	Instrument	ID	Deal Type	FX CCY Pair	Base CCY	Deal Dir	Contra CCY	Cancelled	FX CROSS	5.1.490	SPOT	EUR.USD	EUR	Sell	USD	Completed	FX CROSS	5.1.604	FORWARD	EUR.USD	EUR	Buy	USD	Completed	FX CROSS	5.1.604	FORWARD	EUR.USD	EUR	Buy	USD	Cancelled	FX CROSS	5.1.604	SPOT	EUR.USD	EUR	Buy	USD	Cancelled	FX CROSS	5.1.604	FORWARD	EUR.USD	EUR	Buy	USD
Negotiation State	Instrument	ID	Deal Type	FX CCY Pair	Base CCY	Deal Dir	Contra CCY																																										
Cancelled	FX CROSS	5.1.490	SPOT	EUR.USD	EUR	Sell	USD																																										
Completed	FX CROSS	5.1.604	FORWARD	EUR.USD	EUR	Buy	USD																																										
Completed	FX CROSS	5.1.604	FORWARD	EUR.USD	EUR	Buy	USD																																										
Cancelled	FX CROSS	5.1.604	SPOT	EUR.USD	EUR	Buy	USD																																										
Cancelled	FX CROSS	5.1.604	FORWARD	EUR.USD	EUR	Buy	USD																																										

Exporting deal information

Exporting to html

- 1 In the *Blotter* panel, click the tab under which you would like to perform the search.
- 2 Perform a search to retrieve all deals you like to export to html. The deals appear in the *Blotter* panel.
- 3 Select *Export > HTML*. A new browser instance opens with an html table of all the deals in the *Blotter* panel. The

Exporting to a spread sheet

- 1 In the *Blotter* panel, click the tab under which you would like to perform the search.
- 2 Perform a search to retrieve all deals you like to export to spread sheet. The deals appear in the *Blotter* panel.
- 3 Select *Export > Spread Sheet*.

See Also: [ActiveX browser permissions on page 108](#).

Searching with a filter

Creating a new search filter

- 1 In the *Blotter* panel, click the tab under which you would like to create a search filter. The client applet displays the toolbar for the selected tab.
- 2 Select *Filter* from the *Search By:* drop-down menu.
- 3 Select *New Filter* from the *By:* drop-down menu. The *New Filter* window opens.
- 4 Enter a name for the filter and click the OK button. The *New Filter* window closes and the *[tab name] - Filter [filter name]* window opens.
- 5 Specify the search criteria using the options under each tab.

Note: You can conduct a search with your selected criteria at any time by clicking the Search button at the bottom of the filter window.

- 6 Click the Save button. The *[tab name] - Filter [filter name]* window closes and the blotter tab updates with the new filter selected.

See Also: [Filter window in depth on page 113](#).

Executing a filter search as a client user

- 1 In the *Blotter* panel, click the tab under which you would like to perform the search.
- 2 Select *Filter* from the *Search By:* drop-down menu.
- 3 Select *User* or (if you have the permission) *Group* from the *Type* drop-down menu.
- 4 Select the filter from the *By:* drop-down menu. The blotter tab updates with the *Trade Date From* and *to* boxes and column populated from those selected in the search filter.
- 5 Click the *Search Log* button. The blotter updates with entries conforming to the search criteria. If no results match the search filter the blotter displays *No deals found*.

Note:

- If the filter includes an entry in the *User Name* field, this is not overridden by selecting *Group* from the *Type* drop-down.
- Changing the date range using the *Trade Date From* and *to* boxes overrides the date range set in the filter window *Common* tab.

Executing a filter search as a proxy client user

- 1 In the *Blotter* panel, click the tab under which you would like to perform the search.
- 2 Select *Filter* from the *Search By:* drop-down menu.
- 3 Select *Proxy*, *User* or *Group* from the *Type* drop-down menu.
- 4 Specify the username whose deals you would like to search, in 1 by either typing the username or clicking the to open the *Search* window.

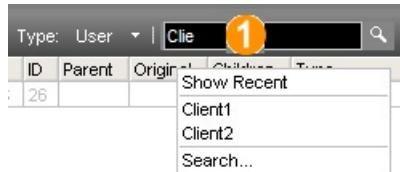


Figure 120: Username box

- 5 Select the filter from the *By:* drop-down menu. The blotter tab updates with the *Trade Date From* and *to* boxes and column populated from those selected in the search filter.
- 6 Click the *Search Log* button. The blotter updates with entries conforming to the search criteria. If no results match the search filter the blotter displays *No deals found*.

Note:

- Leaving the client username box empty will search for all users mapped to the proxy user.
- If the filter includes an entry in the *User Name* field, this is not overridden by selecting *Group* from the *Type* drop-down.
- Changing the date range using the *Trade Date From* and *to* boxes overrides the date range set in the filter window *Common* tab.

Editing a search filter

- 1 In the *Blotter* panel, select *Filter* from the *Search By:* drop-down menu.
- 2 Select the filter you would like to edit from the *By:* drop-down menu.
- 3 Select *View Filter* from the *By:* drop-down menu. The *[tab name] - Filter [filter name]* window opens.
- 4 Edit the filter. Click *Save* to overwrite the existing filter, or *Save As* to save the edited filter under a new name. The window closes.

Deleting a search filter

- 1 In the *Blotter* panel, select *Filter* from the *Search By:* drop-down menu.
- 2 Select the filter you would like to delete from the *By:* drop-down menu.
- 3 Select *Delete Filter* from the *By:* drop-down menu. The *Delete Filter Set...* pop-up window opens.
- 4 Click the *Yes* button to proceed with the deletion. The pop-up window closes and the filter is removed from the drop-down list.

Viewing a search filter

- 1 In the *Blotter* panel, select *Filter* from the *Search By:* drop-down menu.
- 2 Select the filter you would like to view from the *By:* drop-down menu.
- 3 Select *View Filter* from the *By:* drop-down menu. The *[tab name] - Filter [filter name]* window opens.

Creating a copy of a search filter

- 1 In the *Blotter* panel, select *Filter* from the *Search By:* drop-down menu.
- 2 Select the filter you would like to copy from the *By:* drop-down menu.
- 3 Select *View Filter* from the *By:* drop-down menu. The *[tab name] - Filter [filter name]* window opens.
- 4 Click the *Save As* button. The *New Filter* window opens.
- 5 Enter a name for the new filter and click the *OK* button. The *New Filter* window closes and the new filter name appears in the *By:* drop-down menu.

Filter window in depth

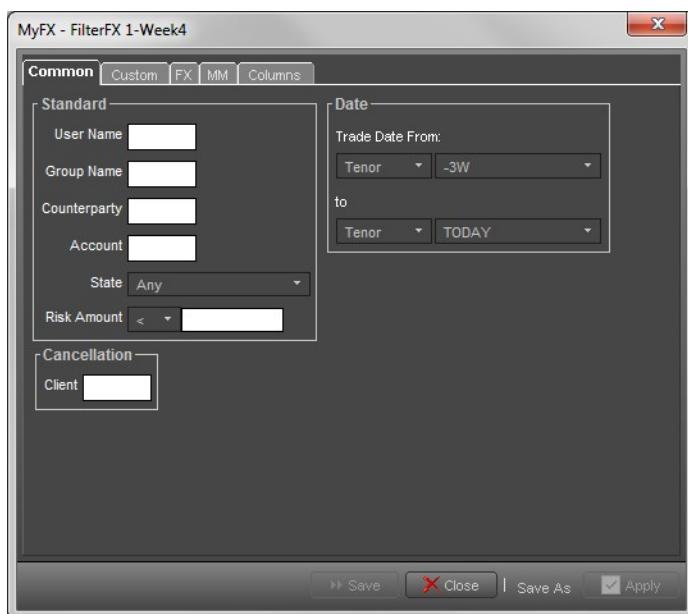


Figure 121: The filter window

Buttons

Button	Description
Save As	Allows you to save the filter with a new name.
Save	Saves the filter and closes the <i>[name of panel] Filter [name of filter]</i> window.
Close	Closes the <i>[name of panel] Filter [name of filter]</i> window and discards any changes made.
Apply	Saves the filter and closes the <i>[name of panel] Filter [name of filter]</i> window.

Common tab

Standard

Field	Description
User Name	Enter a username to refine the search results.
Group Name	Enter a group name to refine the search results.
Counterparty	Enter a counterparty name to refine the search results.
Account	Enter an account name to refine the search results.
State drop-down	Select a deal state to refine the search results.
Risk Amount	Use the operator drop-down and textbox to refine the search results with a risk amount.

Cancellation

Field	Description
Client	Enter the name of a client user to refine the search by the taker name of deals cancelled by a trader user.

Date

Field	Description
Trade Date From	Trade date from which to start the search: <ul style="list-style-type: none">• choose <i>Absolute</i> and choose the date from the drop-down menus• choose <i>Tenor</i> and choose the tenor from the drop-down menu• choose <i>Relative</i> and enter the date relative to the trade date; for example, T-1W or T+1Y• choose <i>Any</i>
to	Trade date at which the search stops: <ul style="list-style-type: none">• choose <i>Absolute</i> and choose the date from the drop-down menus• choose <i>Tenor</i> and choose the tenor from the drop-down menu• choose <i>Relative</i> and enter the date relative to the trade date; for example, T-1W or T+1Y• choose <i>Any</i>

Custom tab

The Custom tab items can be configured by a RET system administrator. The default items are listed below.

Field	Description
Client	Enter a taker name to refine the search results.
Client Group	Enter a taker group to refine the search results.
Fund	Enter an account name to refine the search results.
Sales	Enter a proxy username to refine the search results.
Trader	Enter a maker name to refine the search results.
Cancelled Only checkbox	Activate to retrieve only deals with the status <i>Cancelled</i> .

FX tab

Field	Description
Foreign Exchange checkbox	Activate to search for FX deals.

Field	Description
<i>Matured</i> checkbox	Activate to search for deals past their maturity date (The date listed in the <i>Value Date</i> column).
<i>Open</i> checkbox	Activate to search for deals that have not yet matured (reached the date in the <i>Value Date</i> column).
<i>CCY Pair</i> textbox	Enter a currency pair to refine the search. A wildcard can be used, for example: <i>GBP.*</i> or <i>*.JPY</i> .
<i>Base Direction</i> drop-down	Direction of trade. You can choose <i>Any</i> to display all results, <i>Buy</i> to display buy deals, or <i>Sell</i> or display sell deals.
<i>Amount/CCY</i>	Refine by amount, operator and currency code searching on the <i>Dealt</i> amount <i>Contra</i> amount or <i>Any</i> (dealt or contra).
<i>Dealt CCY</i> textbox	Show the amount currency that the client puts for trading in the ticket. For example, you open EUR.USD SPOT ticket and insert "1,000" at USD. The dealt CCY is USD.
<i>Value Date From</i> drop-down	Value date from which to start the search: <ul style="list-style-type: none">• choose <i>Absolute</i> and choose the date from the drop-down menus• choose <i>Tenor</i> and choose the tenor from the drop-down menu• choose <i>Relative</i> and enter the date relative to the trade date, for example T-1W or T+1Y• choose <i>Any</i>
<i>Value Date To</i> drop-down	Value date at which the search stops: <ul style="list-style-type: none">• choose <i>Absolute</i> and choose the date from the drop-down menus• choose <i>Tenor</i> and choose the tenor from the drop-down menu• choose <i>Relative</i> and enter the date relative to the trade date, for example T-1W or T+1Y• choose <i>Any</i>
<i>Spot</i> checkbox	Activate to search for Spot deals.
<i>Forward</i> checkbox	Activate to search for Forward deals.
<i>Swap</i> checkbox	Activate to search for Swap deals.
<i>Block</i> checkbox	Activate to search for Block deals.
<i>Others</i> checkbox	Activate to search for other types of deals.

MM tab

Field	Description
<i>Money Market [branch name]</i> checkbox	Activate to search for Money Market deals for the specified branch.
<i>Matured</i> checkbox	Activate to search for deals past their maturity date (The date listed in the <i>Value Date</i> column).
<i>Open</i> checkbox	Activate to search for deals that have not yet matured (reached the date in the <i>Value Date</i> column).
<i>CCY</i> textbox	Enter a currency pair to refine the search. A wildcard can be used, for example: <i>GBP.*</i> or <i>*.JPY</i> .
<i>Direction</i> drop-down	Direction of trade. You can choose <i>Any</i> to display all results, <i>Buy</i> to display buy deals, or <i>Sell</i> or display sell deals.
<i>Amount</i>	Refine by amount and operator searching on the <i>Principle</i> amount, <i>Interest</i> amount, <i>P And I</i> amount or <i>Any</i> (dealt or contra).
<i>Rollover Action</i> drop-down	Rollover Action type.

Field	Description
<i>Rollover Exceptions</i> drop-down	Search for the failed MM deals with states “Submit Error”.
<i>Rolled Over</i> drop-down	Search if the deals have been rolled over or not.
<i>Value Date From</i> drop-down	Value date from which to start the search: <ul style="list-style-type: none">• <i>Absolute</i> >> choose the date from the drop-down menus• <i>Tenor</i> >> choose the tenor from the drop-down menu• <i>Relative</i> >> enter the date relative to the trade date, for example T-1W or T+1Y• <i>Any</i>
<i>Value Date To</i> drop-down	Value date at which the search stops: <ul style="list-style-type: none">• <i>Absolute</i> >> choose the date from the drop-down menus• <i>Tenor</i> >> choose the tenor from the drop-down menu• <i>Relative</i> >> enter the date relative to the trade date, for example T-1W or T+1Y• <i>Any</i>
<i>Maturity Date From</i> drop-down	Maturity date from which to start the search: <ul style="list-style-type: none">• <i>Absolute</i> >> choose the date from the drop-down menus• <i>Tenor</i> >> choose the tenor from the drop-down menu• <i>Relative</i> >> enter the date relative to the trade date, for example T-1W or T+1Y• <i>Any</i>
<i>Maturity Date To</i> drop-down	Maturity date at which the search stops: <ul style="list-style-type: none">• <i>Absolute</i> >> choose the date from the drop-down menus• <i>Tenor</i> >> choose the tenor from the drop-down menu• <i>Relative</i> >> enter the date relative to the trade date, for example T-1W or T+1Y• <i>Any</i>

Columns tab

Note: The position of the columns in blotter can also be moved by dragging and dropping

Field	Description
<i>Instruments</i> box	Column categories: <ul style="list-style-type: none">• <i>Common</i> displays the columns common to all the instruments, in the <i>Available</i> box.• <i>FX</i> displays the columns pertaining to Foreign Exchange in the <i>Available</i> box.• <i>MM</i> displays the columns pertaining to Money Markets in the <i>Available</i> box.
<i>Sort Column</i> drop-down	Select the column by which you would like the search results sorted. The list is created from the entries in the <i>Selected</i> box.
<i>Ascending / Descending</i> radio buttons	Direction of sorting for the <i>Sort Column</i> .
<i>Frozen Cols</i> drop-down	The number of columns, counted from the left of the blotter panel, that do not move when the horizontal scroll bar is dragged.
<i>Available</i> box	Columns available to display for the selected instrument.
<i>Add</i> button	Move selected column in the <i>Available</i> panel to the <i>Selected</i> panel.

Field	Description
Remove button	Move selected column in the <i>Selected</i> panel to the <i>Available</i> panel.
Add All button	Move all columns in the <i>Available</i> panel to the <i>Selected</i> panel.
Remove All button	Move all columns in the <i>Selected</i> panel to the <i>Available</i> panel.
Selected box	Columns that will be displayed in the blotter.
Up button	Move the selected column up in the column order.
Down button	Move the selected column down in the column order.
Top button	Move the selected column up to the top.
Bottom button	Move the selected column down to the bottom.

ID search

The ID search criteria appear in the blotter toolbar when the ID Search  is selected.



Figure 122: ID search criteria

Item	Description
Field drop-down	Select the ID type for the search.
ID Value textbox	The ID to retrieve, of the type specified by the <i>Field</i> drop-down.
 Search Log button	Initiates the search. The client applet displays the results or informs you that no records match.
 Stop Search button	Stops an initiated search. You can only click this icon once you have clicked the  icon and a search is in progress.
 Prev button	Allows you to display the previous page, where a search returns more than one page of deals.
 Next button	Allows you to display the next page, where a search returns more than one page of deals.
 Columns button	Opens the <i>Columns</i> window. This is the same as the <i>Columns</i> tab. See Also: Columns tab .
Auto Fit On/Off button	If it is <i>On</i> , automatically resizes the column widths to fit the information.
Auto Update On/Off button	If it is <i>On</i> , updates the Blotter in real time.

Item	Description																																																
Export menu	<p><i>HTML:</i> Opens a browser window with a pipe () delimited page of the search results for saving locally.</p> <p><i>Print:</i> Open a browser window with a table of the search results for printing</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th>Negotiation State</th> <th>Instrument</th> <th>ID</th> <th>Deal Type</th> <th>FX CCY Pair</th> <th>Base CCY</th> <th>Deal Dir</th> <th>Contra CCY</th> </tr> </thead> <tbody> <tr> <td>Cancelled</td> <td>FXCROSS</td> <td>5.1.490</td> <td>SPOT</td> <td>EUR.USD</td> <td>EUR</td> <td>Sell</td> <td>USD</td> </tr> <tr> <td>Completed</td> <td>FXCROSS</td> <td>5.1.604</td> <td>FORWARD</td> <td>EUR.USD</td> <td>EUR</td> <td>Buy</td> <td>USD</td> </tr> <tr> <td>Completed</td> <td>FXCROSS</td> <td>5.1.604</td> <td>FORWARD</td> <td>EUR.USD</td> <td>EUR</td> <td>Buy</td> <td>USD</td> </tr> <tr> <td>Cancelled</td> <td>FXCROSS</td> <td>5.1.604</td> <td>SPOT</td> <td>EUR.USD</td> <td>EUR</td> <td>Buy</td> <td>USD</td> </tr> <tr> <td>Cancelled</td> <td>FXCROSS</td> <td>5.1.604</td> <td>FORWARD</td> <td>EUR.USD</td> <td>EUR</td> <td>Buy</td> <td>USD</td> </tr> </tbody> </table> <p><i>Spread Sheet:</i> Creates a .csv file for saving locally.</p> <p>Note: You must set your browser to allow pop-ups. (ActiveX control).</p>	Negotiation State	Instrument	ID	Deal Type	FX CCY Pair	Base CCY	Deal Dir	Contra CCY	Cancelled	FXCROSS	5.1.490	SPOT	EUR.USD	EUR	Sell	USD	Completed	FXCROSS	5.1.604	FORWARD	EUR.USD	EUR	Buy	USD	Completed	FXCROSS	5.1.604	FORWARD	EUR.USD	EUR	Buy	USD	Cancelled	FXCROSS	5.1.604	SPOT	EUR.USD	EUR	Buy	USD	Cancelled	FXCROSS	5.1.604	FORWARD	EUR.USD	EUR	Buy	USD
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Cancelled	FXCROSS	5.1.604	SPOT	EUR.USD	EUR	Buy	USD																																										
Cancelled	FXCROSS	5.1.604	FORWARD	EUR.USD	EUR	Buy	USD																																										

Searching by ID

- 1 In the *Blotter* panel, click the tab under which you would like to search by ID.
- 2 Select the *ID Search* from the *Search By:* drop-down menu. The client applet displays the ID search options.
- 3 Select an ID type from the *Field* drop-down, and enter a number in the textbox next to the drop-down.
- 4 Click the *Search Log* button. The client applet retrieves the deal with a matching ID.

Selecting columns for the ID search

- 1 In the *Blotter* panel, click the tab under which you would like to search by ID.
- 2 Select the *ID Search* from the *Search By:* drop-down menu. The client applet displays the ID search options.
- 3 Click the *Columns* button. The client applet displays the *Columns* window.
- 4 Select the columns and their position.

See Also: [Columns tab on page 116](#).

- 5 Click the *OK* button. The *Columns* window closes and the *ID Search* view updates with the new column selection.

Viewing Deals in the Blotter Panel

Opening a replica deal ticket

- 1 Search for the deal in the *Blotter* panel.
- 2 Double-click the deal, to open the deal ticket.

Viewing related trades

- 1 Search for the deal in the *Blotter* panel.
- 2 Right-click on the deal and select *Related Trades*. The *Related Trades* window opens.

Printing an individual deal ticket

- 1 Search for the deal in the *Blotter* panel.
- 2 Double-click the deal, to open the deal ticket.
- 3 Click the *Print* button in the deal ticket. The *Deal Log* window and Windows Print dialog open.

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