

# DataBase

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MainLine: Designment,Development,Management

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## 1. Conception:

- DataBase(数据库): A collection of data
- DataBase Management System & DBMS(数据库管理系统): A software
  - Massive
  - Persistent
  - Safe
  - Multi-user
  - Convenient
  - Efficient
  - Reliable
- Data Model:
  - Data design
  - 关键的entities和attributes
  - Stage: Conceptual system modeling
  - e.g. Entity Relation Diagram or UML Class Diagram
- Database Schema:
  - Database implementation
  - 每一个定义的数据和relation
  - Stage: System implementation
  - e.g. Structures in DBMS: tables, columns, foreign keys etc.
- Data Dictionary:
  - 每一个定义的table和attribute
  - Define each data attribute
  - e.g. Tables of metadata
- ER Diagram
- People:
  - DBMS implementer
  - Database designer
  - Database application developer
  - Database administrator

## 2. Relational Model:

- 是一种基于表的数据模型
- Schema: structural decription of relation
  - Student(sno,sname,age,gender,dept)
- Instance: actual contents
  - Student(95001,"Amy",21,"M","SE")
- Some Facts:
  - Database = set of named **relations(tables)**
  - Each relation has a set of named **attributes(columns)**

- Each **tuple(row)** has a value for each attribute
- Each attribute has a **type(domain)**
- Key:(独特不重复的一个属性或者属性集)
  - Super Key: 所有的Key都是
  - Candidate Key: 最小不可分割的Super Key,允许为null
  - Primary Key: 人为选定的Candidate Key
  - Foreign Key: 用于外间索引的别人的Key

### 3. Relational Algebra

- basic operations:
  - select  $\sigma$
  - project  $\Pi$
  - union  $\cup$
  - set difference  $-$
  - Cartesian product  $\times$
  - rename  $\rho$
- additional operations:
  - Set intersection  $\cap$
  - Natural join  $\bowtie$
  - Outer join  $=\bowtie=$
  - Assignment  $\leftarrow$
- Aggregate Functions
  - avg
  - min
  - max
  - sum
  - count
- Aggregate Operations
  - $\{G_1, \dots, G_n\}G\{F_1(A_1), \dots, F_n(A_n)\}(E)$
  - **Null**不参与聚集运算操作
    - $sum(a) - sum(b) \neq sum(a - b)$
    - $count(*) \neq count(a) \neq count(b)$
    - $count(name) \neq count(distinct name)$

### 4. SQL(Structured Query Language)

- DDL(Data Definition Language): **For Schema**
  - CREATE DATABASE
  - CREATE/ALTER/DROP/TRUNCATE TABLE
  - CREATE/ALTER/DROP VIEW
  - CREATE/DROP INDEX
  - data type:
    - char(n)
    - varchar(n)
    - numeric(p,d)
    - float(n)
    - Date/Time/Timestamp
    - Interval

- DML(Data Manipulation Language): **For Data**
  - Modification: INSERT, UPDATE, DELETE
  - Query: SELECT
  - data type:
    - Blob(binary large object):返回的是指针，而不是Blob
    - Clob(character large object):同上
- DCL(Data Control Language)
  - Authorization: GRANT, REVOKE
- Integrity Constraints
  - not null
  - primary key: not null + unique
  - unique
  - check(P)
  - foreign key: Referential Integrity
    - on delete/update cascade/set null/set default
  - Complex Check Clauses Like:
    - subquery in check clause
    - create assertion <assertion-name> check <predicate> not supported by anyone.
- Trigger:
  - Create Trigger name Before|After|Instead Of events [referencing-variables] [For Each Row] When (condition) action
- Procedure: 无返回值
- Function: 有返回值
- Cursor: 更新时需要显式声明for update
- API & Application
  - ODBC(Open Database Connectivity): works with C, C++, C#, and Visual Basic
  - JDBC(Java Database Connectivity): works with Java
  - Basic steps:
    1. open a connection with a database
    2. send queries and updates
    3. get back results

## 5. Entity\_Relationship Model

## 6. Relational Database Design

- Functional Dependency(FD)
  - 1NF: 属性是原子的
  - 2NF: 所有的非主属性，不能部分依赖于码
  - 3NF: 所有的非主属性，不能传递依赖于码
  - BCNF: 所有的非平凡函数依赖，应依赖于码
- Multivalued Dependency(MVD)
  - 4NF: 对所有的非平凡多值依赖，其决定因子均来自超码

## 7. Transaction

- ACID:
  - Atomicity
  - Consistency
  - Isolation

- Durability
- State:
  - Active: 正常运行状态
  - Partially committed: 所有语句已正常执行后
  - Failed: 异常状态
  - Aborted: 事务回滚并且数据库恢复到原始状态之后
  - Committed: 成功执行后
- Lock
  - Shared(共享锁): 任意数量事务可同时持有
  - Exclusive(排他锁): 有一个排他锁, 则任何事务不可持有任何锁
  - DeadLock(死锁): 必须有一个事务先释放锁
- Isolation Level

Isolation Level	写锁	读锁	Dirty read	non-repeatable read	phantom	Consistency	Concurrency
1. Read uncommitted	行级排他锁, 事务结束释放	不用锁	Y	Y	Y	Very Low	Very High
2. Read committed	行级排他锁, 事务结束释放	行级共享锁	N	Y	Y	Low	High
3. Repeatable read	行级排他锁, 事务结束释放	行级共享锁, 事务结束释放	N	N	Y	High	Low
4. Serializable	表级排他锁, 事务结束释放	表级共享锁, 事务结束释放	N	N	N	Very High	Very Low
<b>Problem</b>	<b>解释</b>						

Problem	解释
Dirty Read	读到了别人未提交的数据
Non-repeatable Read	同一事务多次读取数据值不一致，是update引发的问题
Phantom	同一事务多次读取数据数量不一致，是insert和delete引发的问题

## 8. Recovery System

- Failure:
  - Transaction failure
    - Logical errors: 事务因逻辑错误无法完成
    - System errors: 由于死锁等被迫中断
  - System crash: 电源断了或者其他硬件软件故障
  - Disk failure: 磁盘损毁
- log-based recovery
  - Deferred database modification: 直到日志commit输出后，数据库才开始执行，只需要记录新值；恢复时只redo已经commit的log
  - Immediate database modification: 在日志commit前部分完成时数据库执行，需要记录旧值和新值；恢复时遇到commit时redo，没遇到时undo
  - checkpoint优化了日志读取的效率

## 9. Index(优化访问速度的主要机制)

- Ordered indices
  - **search keys** are stored in sorted order
  - Balanced trees
  - Primary index(cluster index)
  - Secondary index(non-clustering index)
  - Dense Index Files:index包含每个search-key
  - Sparse Index Files:仅仅包含一些search-key，要求search-key是顺序排列的
  - Multilevel Index
- Hash indices: 当索引无法适应内存时，可增加多级索引提高效率
  - **search keys** are distributed in "buckets" using "hash function"
  - Hash tables
- Covering indices: 模糊查找

## 10. Query Processing

- Parsing and translation
- Optimization
  - Equivalence Rules: 尽可能先select再join
- Evaluation
  - Query Cost
    - disk accesses
      - Number of seeks( $t_S$ )
      - Number of blocks read( $t_T$ )
      - Number of blocks written( $t_T$ )
    - CPU(ignore)
  - File scan
    - Algorithm A1(linear search)

$$cost = b_r \text{blocktransfers} + 1seek$$

- A1(linear search, equality on key)

$$cost = (b_r/2) * t_T + t_S$$

- Selections Using Indices

- A2(primary index, equality on key)

$$cost = (h_i + 1) * (t_T + t_S)$$

- A3(primary index, equality on nonkey)

$$cost = h_i * (t_T + t_S) + t_S + t_T * b$$

- A4(secondary index, equality on key)

$$cost = (h_i + 1) * (t_T + t_S)$$

- A4(secondary index, equality on nonkey)

$$cost = (h_i + n) * (t_T + t_S)$$

- Selections Involving Comparisons

- A5(primary index, comparison)
  - A6(secondary index, comparison)

- Implementation of Complex Selections

- A7(conjunctive selection using one index)
  - A8(conjunctive selection using composite index)
  - A9(conjunctive selection by intersection of identifiers)
  - A10(disjunctive selection by union of identifiers)