

## ORIGINAL RESEARCH

# Objective Sleep Efficiency Predicts Cardiovascular Disease in a Community Population: The Sleep Heart Health Study

Bin Yan, MD\*; Jian Yang, MD\*; Binbin Zhao, MD; Yajuan Fan, MD; Wei Wang, MD; Xiancang Ma , MD, PhD

**BACKGROUND:** There was little evidence about the role of objective sleep efficiency (SE) in the incidence of major cardiovascular disease (CVD) events. The purpose of this study was to investigate the correlation between objective SE and CVD based on polysomnography.

**METHODS AND RESULTS:** A total of 3810 participants from the SHHS (Sleep Heart Health Study) were selected in the current study. CVD was assessed during an almost 11-year follow-up period. The primary composite cardiovascular outcome was major adverse cardiovascular events, defined as CVD mortality, congestive heart failure, myocardial infarction, and stroke. The secondary composite cardiovascular outcome was major adverse cardiovascular event plus revascularization. Objective measured SE, including SE and wake after sleep onset, was based on in-home polysomnography records. Cox regression analysis was used to explore the association between SE and CVD. After multivariate Cox regression analysis, poor SE (<80%) was significantly associated with primary (hazard ratio [HR], 1.338; 95% CI, 1.025–1.745;  $P=0.032$ ) and secondary composite cardiovascular outcomes (HR, 1.250; 95% CI, 1.027–1.521;  $P=0.026$ ); it was also found to be a predictor of CVD mortality (HR, 1.887; 95% CI, 1.224–2.909;  $P=0.004$ ). Moreover, wake after sleep onset of fourth quartile (>78.0 minutes) was closely correlated with primary (HR, 1.436; 95% CI, 1.066–1.934;  $P=0.017$ ), secondary composite cardiovascular outcomes (HR, 1.374; 95% CI, 1.103–1.712;  $P=0.005$ ), and CVD mortality (HR, 2.240; 95% CI, 1.377–3.642;  $P=0.001$ ).

**CONCLUSIONS:** Poor SE and long wake after sleep onset, objectively measured by polysomnography, were associated with the increased risk of incident CVD.

**Key Words:** cardiovascular disease ■ major adverse cardiovascular event ■ sleep efficiency ■ wake after sleep onset

Sleep is an essential physiological phenomenon throughout life. Obtaining sufficient quality sleep is vital to physical and mental health.<sup>1</sup> Questionnaires, such as the Pittsburgh Sleep Quality Index, are commonly used to evaluate sleep quality.<sup>2,3</sup> Previous studies usually used sleep quality assessed by Pittsburgh Sleep Quality Index, which was found to be associated with cardiovascular disease (CVD), diabetes mellitus, and metabolic syndrome.<sup>4–6</sup> Sleep efficiency (SE), defined as the ratio of the total time spent sleeping/the time spent in bed, is an objective indicator for the evaluation of sleep

quality. SE of  $\geq 85\%$  is considered to be efficient sleep, whereas lower SE values usually lead to low energy, irritability, and depressed mood.<sup>7,8</sup>

Several studies have showed that SE is closely related to cardiovascular risk factors. Massar et al revealed that poor habitual SE increased the reactivity of cardiovascular and cortisol stress in men.<sup>9</sup> In addition, low SE was reported to be correlated with high systolic blood pressure and high nighttime blood pressure.<sup>10</sup> Dorenbos et al also found that SE was negatively associated with insulin sensitivity in

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## CLINICAL PERSPECTIVE

### What Is New?

- This community-based cohort study included 3810 participants and found that sleep efficiency and wake after sleep onset were associated with major adverse cardiovascular events.

### What Are the Clinical Implications?

- Sleep efficiency based on polysomnography may be a useful indicator to predict cardiovascular disease.

## Nonstandard Abbreviations and Acronyms

<b>AHI</b>	apnea-hypopnea index
<b>Arl</b>	arousal index
<b>SE</b>	sleep efficiency
<b>SHHS</b>	Sleep Heart Health Study
<b>SL</b>	sleep latency
<b>SWS</b>	slow wave sleep
<b>TST</b>	total sleep time
<b>WASO</b>	wake after sleep onset

overweight and obese adolescents.<sup>11</sup> Besides, no significant association was found between SE and coronary artery calcification in Pittsburgh SleepSCORE Study.<sup>12,13</sup> Wake after sleep onset (WASO) is the time spent awake from sleep onset to final awakening, which are also used to assess objective SE.<sup>14</sup> Long WASO was positively associated with high levels of von Willebrand factor antigen and soluble tissue factor antigen, which could indicate endothelial damage.<sup>14</sup>

However, there is little evidence to support an association between objective SE and major CVD based on polysomnography. Therefore, we conducted the present study using the database of the SHHS (Sleep Heart Health Study), a decade-long community-based study, to investigate the association between CVD and objectively measured SE.

## METHODS

### Study Population

Anonymized data and materials have been made publicly available at the National Sleep Research Resource and can be accessed at <https://doi.org/10.25822/ghy8-ks59>. The SHHS is a community-based, prospective cohort study investigating cardiovascular consequences

of sleep-disordered breathing (ClinicalTrials.gov identifier: NCT00005275). Details of the study design have been previously reported.<sup>15</sup> The study population was selected from prospective cohort studies, including the ARIC (Atherosclerosis Risk in Communities) Study, the CHS (Cardiovascular Health Study), the Framingham Offspring and Omni Study, the SHS (Strong Heart Study), the Tucson Epidemiological Study of Obstructive Lung Disease, cohort studies of respiratory disease in Tucson, and cohort studies of hypertension in New York. Written consent was provided by all the participants, and the study protocol was approved by the institutional review board of each participating institution. Patients or the public were not involved in the design, or conduct, or reporting, or dissemination of our research. The database was accessed on the basis of a signed agreement with the Brigham and Women's Hospital. Eligible participants had: (1) complete polysomnography data and medical records; and (2) SE was not obviously affected during in-home polysomnography (self-administered morning survey questionnaires collected quality of sleep compared with usual determined on the basis of responses to questions such as "Compared to your usual night's sleep, how well did you sleep last night?"). Participants who had a history of heart failure, myocardial infarction (MI), stroke, and revascularization were excluded (Figure S1).

### Sleep Characteristics

All participants underwent in-home overnight polysomnography (P-Series; Compumedics, Abbotsville, Australia), as previously described.<sup>16,17</sup> SE was calculated as the ratio of the total time spent sleeping/the time spent in bed and divided into 4 groups ( $\geq 90\%$ , 85%–89.9%, 80%–84.9%, and  $< 80\%$ ). WASO was defined as the total amount of time spent awake after going to sleep and categorized in 4 groups (quartile IV:  $> 78.0$  minutes; quartile III: 47.5–78.0 minutes; quartile II: 29.5–47.0 minutes; and quartile I:  $< 29.5$  minutes). Sleep latency (SL) was defined as the time from lights out to the beginning of sleep. Total arousal index (Arl) was computed as the ratio of the total number of arousals/the total sleep time (TST). Slow wave sleep (SWS) was defined as stage 3 of non-rapid eye movement sleep. TST in the present study refers to the entire sleep time captured by polysomnography. Sleep duration was divided into short ( $< 6$  hours), long ( $> 8$  hours), and normal (6–8 hours). The apnea-hypopnea index (AHI) was calculated as all apnea and hypopnea episodes per hour of sleep accompanied by at least a 4% drop in oxygen saturation. According to conventional clinical categories, AHI was classified as none (AHI  $< 5$  events/h), mild (AHI  $\geq 5$ – $< 15$  events/h), moderate (AHI  $\geq 15$ – $< 30$  events/h), and severe (AHI  $\geq 30$  events/h).<sup>18</sup>

The Epworth Sleepiness Scale score was assessed using an 8-item self-report questionnaire.<sup>19</sup>

## Cardiovascular Disease

Incident cardiovascular events, including CVD mortality, MI, congestive heart failure (CHF), and stroke, were evaluated according to the parent cohorts based on exhaustive protocols.<sup>20–26</sup> Survival time was defined as the time from baseline polysomnography to the first episode of incident cardiovascular event. The primary composite cardiovascular outcome was the first occurrence of a major adverse cardiovascular event, defined as cardiovascular death, CHF, MI, and stroke. The secondary composite cardiovascular outcome was defined as major adverse cardiovascular event plus revascularization.

Participants' age, sex, race, smoking status, history of diabetes mellitus and hypertension, body mass index, and polysomnography data were obtained from the baseline examination of SHHS.

## Statistical Analysis

The comparisons of continuous variables and categorical variables were based on ANOVA and the  $\chi^2$  test, respectively. Descriptive statistics are presented as percentages for categorical variables and mean $\pm$ SD for continuous variables. Unadjusted Kaplan-Meier plots were used to calculate cumulative incidence of composite cardiovascular outcomes in different SE categories. We also performed collinearity diagnostics between sleep parameters and clinically relevant factors when exploring the role of objective SE in the incidence of CVD. Univariate and multivariate Cox regression analyses were used to analyze the relationship between composite cardiovascular outcomes and objectively measured sleep parameters. All the covariates in the univariate analysis were included in the final multivariate Cox analysis (enter model). Multivariate Cox regression analysis, following the Harrell guideline, was performed to identify independent risk factors after adjusting for age, sex, race, smoking status, body mass index, hypertension, diabetes mellitus, AHI, sleep duration, and sleep parameters.<sup>27,28</sup> Furthermore, we identified the interaction between SE/WASO and AHI in the multivariate Cox regression analysis.  $P < 0.05$  was considered statistically significant. All statistical analyses were conducted using the SPSS version 24.0 (SPSS Inc, Chicago, IL).

## RESULTS

### Participants' Characteristics

This study involved 3810 participants (1713 men and 2097 women; aged 63.2 $\pm$ 11.0 years). The 4 categories of SE ( $\geq 90\%$ , 85%–89.9%, 80%–84.9%, and  $< 80\%$ )

were present in 1125 (29.5%), 932 (24.5%), 672 (17.6%), and 1081 (28.4%) individuals, respectively. Participants with poor SE ( $< 80\%$ ) were older and more likely to be men; they were also more likely to have been diagnosed with sleep apnea, diabetes mellitus, and hypertension when compared with participants with SE  $\geq 90\%$ . Moreover, individuals with SE  $\geq 90\%$  had the lowest body mass index than those with SE with 85% to 89.9%, 80% to 84.9%, and  $< 80\%$ . Table 1 reports the study populations' characteristics, according to the 4 categories of SE.

### Cardiovascular Composite Outcomes and SE

After a mean follow-up period of 10.9 $\pm$ 2.8 years from the baseline polysomnography, 474 (12.4%) cases of primary (major adverse cardiovascular event) and 839 (22.0%) cases of secondary cardiovascular composite outcomes occurred. Participants with SE  $< 80\%$  had the highest incidence of primary (17.5% versus 13.2% versus 10.5% versus 7.3%, respectively;  $P < 0.001$ ) and secondary composite cardiovascular outcomes (32.3% versus 23.3% versus 19.8% versus 14.6%, respectively;  $P < 0.001$ ) compared with those with 85% to 89.9%, 80% to 84.9%, and  $< 80\%$ . The distribution of CVD mortality, CHF, stroke, and MI was significantly higher in those with low SE than in those with high SE (Figure S2). In addition, participants with poor SE had an elevated cumulative incidence of primary and secondary composite cardiovascular outcomes and CVD mortality (Figures 1 and 2).

Variables associated with primary and secondary composite cardiovascular outcomes by unadjusted and adjusted Cox regression are shown in Table 2. In the final multivariate model, SE  $< 80\%$  was found to be an independent predictor of primary (hazard ratio [HR], 1.338; 95% CI, 1.025–1.745;  $P = 0.032$ ) and secondary (HR, 1.250; 95% CI, 1.027–1.521;  $P = 0.026$ ) composite cardiovascular outcomes after adjustment for age, sex, smoking status, body mass index, diabetes mellitus, hypertension, and AHI. Furthermore, participants with poor SE ( $< 80\%$ ) had a high incidence of CVD mortality (HR, 1.887; 95% CI, 1.224–2.909;  $P = 0.004$ ) (Table 3). SE (per 1%) was also associated with the incidence of primary (HR, 0.988; 95% CI, 0.979–0.996;  $P = 0.005$ ) and secondary (HR, 0.989; 95% CI, 0.982–0.995;  $P = 0.001$ ) composite cardiovascular outcomes and CVD mortality (HR, 0.979; 95% CI, 0.967–0.991;  $P = 0.001$ ) (Table 2 and Table 3).

We further explored the role of SE in the incidence of primary and secondary composite cardiovascular outcomes, and CVD mortality stratified by AHI ( $< 5$  events/h versus  $\geq 5$  events/h). Our results showed that SE was significantly associated with the incidence of

**Table 1. Subject Characteristics by SE Categories**

Characteristics	SE, %					P Value
	Total (n=3810)	<80.0 (n=1081)	80.0–84.9 (n=672)	85.0–89.9 (n=932)	≥90.0 (n=1125)	
Age, y	63.2±11.0	67.4±10.5	64.5±10.8	62.2±10.3	59.0±10.4	<0.001
Sex, n (%)						<0.001
Men	1713 (45.0)	548 (50.7)	334 (49.7)	414 (44.4)	417 (37.1)	...
Women	2097 (55.0)	533 (49.3)	338 (50.3)	518 (55.6)	708 (62.9)	...
Body mass index, kg/m <sup>2</sup>	28.3±5.0	28.5±5.0	28.4±4.9	28.4±5.1	27.8±4.8	0.004
Race, n (%)						0.715
White	3308 (86.8)	932 (86.2)	578 (86.0)	814 (87.3)	984 (87.5)	...
Other*	502 (13.2)	149 (13.8)	94 (14.0)	118 (12.7)	141 (12.5)	...
Smoking status, n (%)						0.019
Current smoker	387 (10.2)	103 (9.5)	67 (10.0)	94 (10.1)	123 (10.9)	...
Former smoker	1631 (42.8)	512 (47.4)	282 (42.0)	392 (42.0)	445 (39.6)	...
Never smoker	1786 (46.9)	462 (42.7)	322 (47.9)	446 (47.9)	556 (49.4)	...
Diabetes mellitus, n (%)	231 (6.1)	94 (8.7)	37 (5.5)	61 (6.5)	39 (3.5)	<0.001
Hypertension, n (%)	1376 (36.1)	515 (47.6)	251 (37.4)	315 (33.8)	295 (26.2)	<0.001
AHI, n (%)						<0.001
<5.0 Events/h	1919 (50.4)	448 (41.4)	326 (48.5)	459 (49.2)	686 (61.0)	...
5.0–14.9 Events/h	1147 (30.1)	367 (34.0)	190 (28.3)	295 (31.7)	295 (26.2)	...
15.0–29.9 Events/h	494 (12.9)	177 (16.4)	105 (15.6)	120 (12.9)	92 (8.2)	...
≥30.0 Events/h	250 (6.6)	89 (8.2)	51 (7.6)	58 (6.2)	52 (4.6)	...
WASO, min	59.3±41.8	106.0±45.5	62.5±19.1	43.9±13.5	25.3±10.6	<0.001
TST, h	6.1±1.0	5.3±0.9	6.1±0.8	6.4±0.8	6.7±0.9	<0.001
SWS, %	17.7±11.8	16.5±12.2	16.6±12.1	17.5±11.3	19.8±11.5	<0.001
SL, min	12.5±19.3	21.6±28.6	13.9±15.7	10.5±11.9	4.6±7.7	<0.001
Total Ari, events/h	18.9±10.2	21.9±11.6	19.9±9.9	18.3±9.1	15.8±8.7	<0.001
Sleep duration, h	7.3±0.9	7.4±0.9	7.4±0.9	7.3±0.9	7.2±0.9	<0.001
Follow-up time, y	10.9±2.8	10.3±3.2	10.9±2.8	11.3±2.5	11.3±2.6	<0.001

Results are presented as mean±SD or number (percentage). The P values represent the difference among 4 groups. AHI indicates apnea-hypopnea index; Ari, arousal index; SE, sleep efficiency; SL, sleep latency; SWS, slow wave sleep; TST, total sleep time; and WASO, wake after sleep onset.

\*Other race included Black, Native American or Alaskan Native, Asian or Pacific Islanders, and Hispanic.

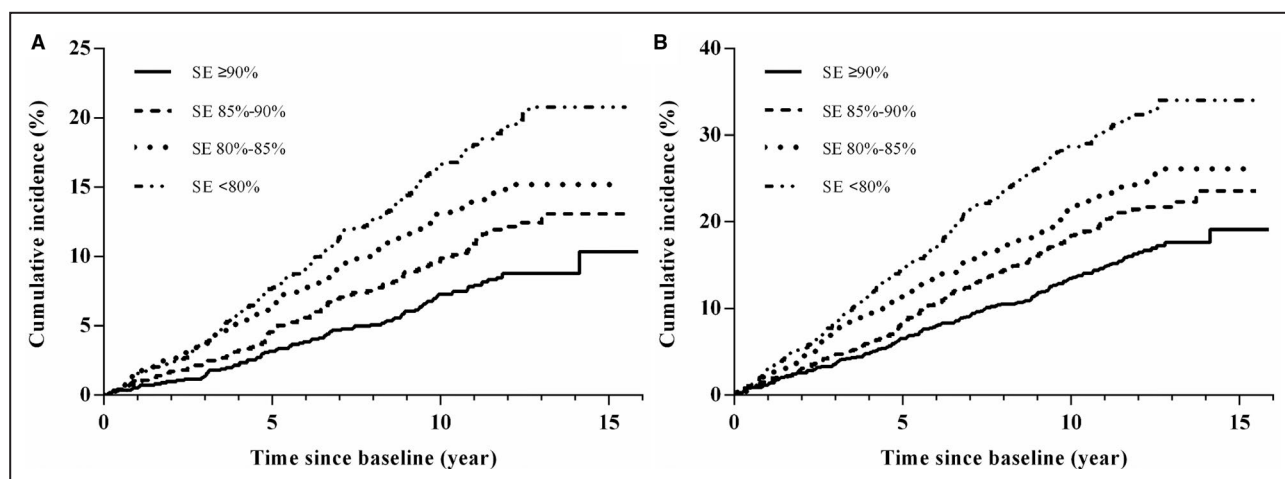
both primary and secondary composite cardiovascular outcomes, and CVD mortality in participants with or without sleep-disordered breathing. WASO was also associated with secondary composite cardiovascular outcomes and CVD mortality in participants with or without sleep-disordered breathing. No significant interactions were found in these stratified analyses (Table S1).

## Cardiovascular Composite Outcomes and WASO

The distribution of primary (18.3% versus 11.7% versus 10.8% versus 7.1%, respectively;  $P<0.001$ ) and secondary composite cardiovascular outcomes (33.7% versus 22.8% versus 18.9% versus 14.2%, respectively;

$P<0.001$ ) was significantly different in 4 categories of WASO (quartile IV: >78.0 minutes; quartile III: 47.5–78.0 minutes; quartile II: 29.5–47.0 minutes; and quartile I: <29.5 minutes). After multivariate Cox regression was adjusted, our findings revealed that WASO of the fourth quartile had a higher incidence of primary (HR, 1.436; 95% CI, 1.066–1.934;  $P=0.017$ ) and secondary composite cardiovascular outcomes (HR, 1.374; 95% CI, 1.103–1.712;  $P=0.005$ ) and CVD mortality (HR, 2.240; 95% CI, 1.377–3.642;  $P=0.001$ ) compared with first quartile. WASO (per 1 minute) was also found to be a predictor for the incidence of primary (HR, 1.003; 95% CI, 1.001–1.005;  $P=0.012$ ) and secondary composite cardiovascular outcomes (HR, 1.003; 95% CI, 1.001–1.004;  $P=0.001$ ) and CVD mortality (HR, 1.005; 95% CI, 1.002–1.008;  $P=0.002$ ) (Table 4).





**Figure 1.** Kaplan-Meier plots of cumulative risk for cardiovascular disease, stratified by sleep efficiency (SE) categories ( $\geq 90.0\%$ ,  $85.0\%$ – $89.9\%$ ,  $80.0\%$ – $84.9\%$ , and  $<80.0\%$ ).

**A**, Primary composite cardiovascular end point. **B**, Secondary composite cardiovascular end point.

## Cardiovascular Composite Outcomes and SL, SWS, Total Ari, or TST

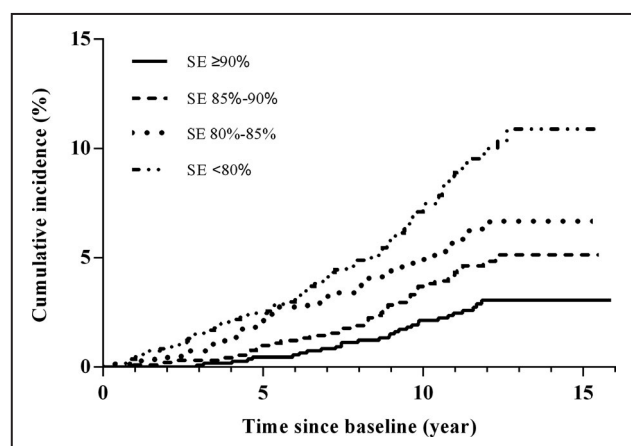
Our study also investigated the associations between CVD and SL, SWS, total Ari, and TST. Short TST ( $<5$  hours) was found to be associated with CVD mortality (HR, 2.049; 95% CI, 1.200–3.500;  $P=0.009$ ). No significant association was found between CVD and SL, SWS, and total Ari (Table S2).

## DISCUSSION

Previous studies demonstrated a correlation between SE and CVD risk factors.<sup>10,29,30</sup> In this study, we used several objective indicators from polysomnography, including SE, WASO, SL, total Ari, SWS, and TST, to

explore the role of objective sleep characteristics in CVD. Our results showed that low SE and long WASO were prone to have a high proportion of incident CVD. SE and WASO were significantly associated with primary/secondary composite cardiovascular outcomes and CVD mortality.

Monitoring of SE, a significant feature of poor health and pathological conditions, is commonly used in the objective evaluation of sleep quality.<sup>7</sup> Efficient sleep means a deeper sleep of higher quality with fewer interruptions. An efficient sleep usually had an SE with  $\geq 85\%$ . SE  $>90\%$  is considered to be good, whereas  $<85\%$  is considered poor.<sup>7</sup> SE has been found to be a determinant of insulin sensitivity in overweight or obese adolescents.<sup>11</sup> Massar et al also showed that poor habitual SE is associated with increased cardiovascular and cortisol stress reactivity in men.<sup>9</sup> In addition, objectively measured SE strongly predicts mortality in patients with CHF.<sup>31</sup> However, there is little evidence supporting an association between SE and CVD. SE in the current study was based on in-home polysomnography, and participants whose sleep quality was affected by polysomnography were excluded. Our analyses showed that participants with SE  $<80\%$  had significantly higher incident CVD and CVD mortality than other participants. In the final Cox regression model, poor SE ( $<80\%$ ) was associated with the incidence of primary and secondary composite cardiovascular outcomes. SE was also found to be a strong predictor of CVD mortality in our study. WASO, which calculates the total wake time after going to sleep, was also identified as an important indicator reflecting sleep quality. Our results showed that longer WASO leads to an elevated incidence of cardiovascular mortality.



**Figure 2.** Kaplan-Meier survival curves for the risk of cardiovascular disease mortality, stratified by sleep efficiency (SE) categories ( $\geq 90.0\%$ ,  $85.0\%$ – $89.9\%$ ,  $80.0\%$ – $84.9\%$ , and  $<80.0\%$ ).

**Table 2. HRs and 95% CIs for SE (<80.0%, 80.0%–84.9%, 85.0%–89.9%, and ≥90.0%) Associated With Primary (MACE) and Secondary Composite Cardiovascular End Points**

Variable	Individuals, N	Person-Years	Events, n (%)	Morbidity Rate*	Univariate Models		Multivariable Adjusted†	
					HR (95% CI)	P Value	HR (95% CI)	P Value
Primary composite cardiovascular end point	3810	40101.7	474 (12.4)	11.8				
SE, %								
<80.0	1081 (28.4)	10581.0	185 (17.1)	17.5	2.412 (1.874–3.103)	<0.001	1.338 (1.025–1.745)	0.032
80.0–84.9	672 (17.6)	7032.8	93 (13.8)	13.2	1.815 (1.359–2.426)	<0.001	1.271 (0.943–1.713)	0.115
85.0–89.9	932 (24.5)	10113.3	106 (11.4)	10.5	1.438 (1.086–1.904)	0.011	1.112 (0.836–1.479)	0.467
≥90	1125 (29.5)	12374.7	90 (8.0)	7.3	1 (Reference)		1 (Reference)	
Continuous (per 1%)					0.969 (0.962–0.977)	<0.001	0.988 (0.979–0.996)	0.005
Secondary composite cardiovascular end point	3810	38130.4	839 (22.0)	22.0				
SE, %								
<80.0	1081 (28.4)	9825.3	317 (29.3)	32.3	2.218 (1.843–2.668)	<0.001	1.250 (1.027–1.521)	0.026
80.0–84.9	672 (17.6)	6682.3	156 (23.2)	23.3	1.601 (1.290–1.987)	<0.001	1.126 (0.901–1.407)	0.296
85.0–89.9	932 (24.5)	9682.1	192 (20.6)	19.8	1.361 (1.108–1.671)	0.003	1.069 (0.868–1.317)	0.530
≥90	1125 (29.5)	11940.7	174 (15.5)	14.6	1 (Reference)		1 (Reference)	
Continuous (per 1%)					0.970 (0.965–0.976)	<0.001	0.989 (0.982–0.995)	0.001

HR indicates hazard ratio; MACE, major adverse cardiovascular event; and SE, sleep efficiency.

\*Crude event rate per 1000 person-years.

†Adjusted by age, sex, race, smoking status, body mass index, prevalent hypertension and diabetes mellitus, apnea-hypopnea index, and sleep duration.

**Table 3. HRs and 95% CIs for SE Associated With CVD Mortality**

Variable	Total	SE <80.0%	SE 80.0%–84.9%	SE 85.0%–89.9%	SE ≥90.0%	Continuous (per 1%)
CVD mortality						
No. of subjects	3810	1081	672	932	1125	
Person-years	41640.3	11115.7	7342.2	10488.1	12694.3	
Events, n (%)	199 (5.2)	90 (8.3)	39 (5.8)	41 (4.4)	29 (2.6)	
Morbidity rate*	4.8	8.1	5.3	3.9	2.3	
Hazard ratio for SE						
Univariate models		3.647 (2.399–5.543) <sup>†</sup>	2.336 (1.445–3.778) <sup>‡</sup>	1.709 (1.062–2.750) <sup>§</sup>	1 (Reference)	0.961 (0.951–0.971) <sup>†</sup>
Age and sex adjusted		2.356 (1.543–3.598) <sup>†</sup>	1.747 (1.077–2.833) <sup>§</sup>	1.351 (0.839–2.176)	1 (Reference)	0.973 (0.962–0.984) <sup>†</sup>
Multivariable adjusted <sup>  </sup>		1.887 (1.224–2.909) <sup>‡</sup>	1.587 (0.972–2.590)	1.233 (0.764–1.991)	1 (Reference)	0.979 (0.967–0.991) <sup>‡</sup>

CVD indicates cardiovascular disease; HR, hazard ratio; and SE, sleep efficiency.

\*Crude event rate per 1000 person-years.

<sup>†</sup> $P < 0.001$ .

<sup>‡</sup> $P < 0.01$ .

<sup>§</sup> $P < 0.05$ .

<sup>||</sup>Adjusted by age, sex, race, smoking status, body mass index, prevalent hypertension and diabetes mellitus, apnea-hypopnea index, and sleep duration.

AHI has been proven to be a strong risk factor for CVD mortality, CHF, stroke, MI, and diabetes mellitus.<sup>32,33</sup> An AHI of >5 events per hour is considered as sleep apnea and may accompanied with microarousal during the nighttime. We considered that AHI may be a confounding factor in the association between AHI and coronary artery disease. Therefore, we performed stratified analyses and used an interaction term to detect the association between SE and CVD in participants with and without sleep-disordered breathing. Our results showed that SE was associated with CVD in individuals with or without sleep-disordered breathing. Besides, no significant interaction was found in these stratified analyses.

On the basis of our findings, SE and WASO were associated with the risk of cardiovascular events. Improving SE may be a way to reduce the risk of CVD. As we know, chronic diseases, such as chronic bronchitis, angina pectoris, gastric ulcer, anxiety, pain, and kidney disease, reduced the SE during the night. In addition, sleep environment, sleeping habit, daytime nap habit, and the intake of tea, coffee, and alcohol were also important influence factors for SE.<sup>7</sup> Comfortable bedtime environment, good eating habit before going to bed, regular exercise, relaxing activity before sleep, and limiting the time of daytime nap may help people improve SE.<sup>34,35</sup> Cognitive behavioral therapy for insomnia is also a highly effective way to improve SE.<sup>36</sup>

Although there might be a relationship between SE and CVD, the pathophysiological mechanism remains obscure. Poor sleep may cause an increase in stress response, further activating the hypothalamic-pituitary-adrenal axis and the sympathetic nervous system, which could lead to elevated blood pressures, increased heart rates, decreased variability of heart rates, increased urinary cortisol and catecholamines,

and change of blood flow.<sup>37</sup> All these may contribute to endothelial dysfunction and atherosclerosis. Moreover, poor quality of sleep was also found to be associated with metabolic disturbances and quality of life.<sup>1</sup> In this study, participants with poor sleep were more likely to have hypertension, diabetes mellitus, and sleep apnea-hypopnea syndrome, which are strong risk factors for CVD.

Some potential limitations of this study should be noted. The average age of the study population was >60 years, and most of the participants were White people. Therefore, the results obtained should not be extended to all ethnic groups or younger populations. Depression, exercise, drinking tea and coffee, and drug use may be important covariates in the association between SE/WASO and incident coronary artery disease. However, we did not adjust these variables because of lack of data. Although the participants whose sleep qualities were affected by in-home polysomnography were excluded in this study, 1-night polysomnography may not fully represent the real SE. Multiple in-home polysomnography recordings over a long period of time may provide additional details and decrease measurement error. Besides, wrist actigraphy is particularly useful in the long-period documentation of sleep patterns. We will further explore the fluctuation in SE and the relationship between repeated-measure SE and CVD based on wrist actigraphy.

## CONCLUSIONS

In this community-based cohort study, we investigated the role of SE in CVD based on polysomnography records. SE and WASO were demonstrated to be associated with the incidence of primary (major adverse cardiovascular event) and secondary composite cardiovascular outcomes. Moreover, SE was

**Table 4.** HRs and 95% CIs for WASO Quartiles (Quartile I: <29.5 Minutes; Quartile II: 29.5–47.0 Minutes; Quartile III: 47.1–78.0 Minutes; and Quartile IV: >78.0 Minutes) Associated With Primary (MACE) and Secondary Composite Cardiovascular End Points

Variable	Individuals, N	Person-Years	Events, n (%)	Morbidity Rate*	Univariate Models		Multivariable Adjusted†	
					HR (95% CI)	P Value	HR (95% CI)	P Value
Primary composite cardiovascular end point								
WASO, min								
Fourth quartile	958	9379.5	172 (18.0)	18.3	2.574 (1.960–3.381)	<0.001	1.436 (1.066–1.934)	0.017
Third quartile	957	10094.8	118 (12.3)	11.7	1.634 (1.222–2.185)	0.001	1.169 (0.863–1.584)	0.313
Second quartile	951	10224.0	110 (11.6)	10.8	1.509 (1.124–2.026)	0.006	1.334 (0.987–1.803)	0.061
First quartile	944	10403.4	74 (7.8)	7.1	1 (Reference)		1 (Reference)	
Continuous (per 1 min)					1.007 (1.006–1.009)	<0.001	1.003 (1.001–1.005)	0.012
Secondary composite cardiovascular end point								
WASO, min								
Fourth quartile	958	8688.6	293 (30.6)	33.7	2.378 (1.947–2.905)	<0.001	1.374 (1.103–1.712)	0.005
Third quartile	957	9514.6	217 (22.7)	22.8	1.606 (1.300–1.984)	<0.001	1.166 (0.935–1.455)	0.173
Second quartile	951	9839.5	186 (19.6)	18.9	1.334 (1.073–1.659)	0.010	1.178 (0.943–1.473)	0.149
First quartile	944	10087.6	143 (15.1)	14.2	1 (Reference)		1 (Reference)	
Continuous (per 1 min)					1.007 (1.006–1.009)	<0.001	1.003 (1.001–1.004)	0.001
CVD mortality								
WASO, min								
Fourth quartile	958	9852.3	88 (9.2)	8.9	4.227 (2.671–6.691)	<0.001	2.240 (1.377–3.642)	0.001
Third quartile	957	10479.5	49 (5.1)	4.7	2.167 (1.320–3.556)	0.002	1.447 (0.871–2.404)	0.153
Second quartile	951	10645.0	39 (4.1)	3.7	1.698 (1.014–2.842)	0.044	1.431 (0.851–2.406)	0.176
First quartile	944	10663.6	23 (2.4)	2.2	1 (Reference)		1 (Reference)	
Continuous (per 1 min)					1.009 (1.007–1.012)	<0.001	1.005 (1.002–1.008)	0.002

HR indicates hazard ratio; MACE, major adverse cardiovascular event; and WASO, wake after sleep onset.

\*Crude event rate per 1000 person-years.

†Adjusted by age, sex, race, smoking status, body mass index, prevalent hypertension and diabetes mellitus, apnea-hypopnea index, and sleep duration.



also a predictor for CVD mortality. This indicates that SE and WASO may predict the incidence of CVD.

## ARTICLE INFORMATION

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Author contributions: Drs Yan, Yang, Zhao, Wang, and Ma raised the idea for the study. Drs Yan, Yang, and Fan contributed to the study design and writing and review of the report. Drs Yan and Ma acquired the data in SHHS, and Drs Yan and Ma participated in further data analysis. Dr Ma handled supervision in our study. All authors approved the final version of the report.

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### Disclosures

None.

### Supplementary Material

Tables S1–S2

Figures S1–S2

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# **SUPPLEMENTAL MATERIAL**

**Table S1. Multivariate Cox regression analysis for SE and WASO associated with CVD stratified by AHI.**

	AHI ( $\geq 5$ events/h)		AHI ( $< 5$ events/h)		
<b>Primary composite cardiovascular endpoint</b>	HR (95% CI)	P	HR (95% CI)	P	P <sub>interaction</sub>
SE, %	0.988 (0.977 to 0.999)	0.039	0.981 (0.969 to 0.993)	0.003	0.748
WASO, min	1.002 (1.000 to 1.005)	0.077	1.005 (1.001 to 1.008)	0.005	0.799
<b>Secondary composite cardiovascular endpoint</b>	HR (95% CI)	P	HR (95% CI)	P	P <sub>interaction</sub>
SE, %	0.988 (0.980 to 0.997)	0.006	0.966 (0.955 to 0.977)	$< 0.001$	0.354
WASO, min	1.002 (1.000 to 1.004)	0.016	1.005 (1.003 to 1.008)	$< 0.001$	0.230
<b>CVD mortality</b>	HR (95% CI)	P	HR (95% CI)	P	P <sub>interaction</sub>
SE, %	0.975 (0.960 to 0.991)	0.003	0.976 (0.957 to 0.995)	0.012	0.733
WASO, min	1.005 (1.001 to 1.009)	0.010	1.006 (1.001 to 1.011)	0.011	0.723

AHI, apnea hypopnea index; 95% CI, 95% confidence interval; OR, odds ratio; SE, sleep efficiency; WASO, wake after sleep onset.

\* Multivariable adjusted for adjusted by age, sex, race, smoking status, body mass index, prevalent hypertension and diabetes mellitus, sleep duration

**Table S2. HRs and 95% CIs for the association of objective indicator of polysomnography (TST, SL and total ArI) with primary (MACE) and secondary composite cardiovascular endpoint.**

	Univariate Models		Multivariable adjusted*		Multivariable adjusted†	
<b>Primary composite cardiovascular endpoint</b>	HR (95%CI)	P	HR (95%CI)	P	HR (95%CI)	P
SL, min	1.003 (0.999-1.008)	0.114	1.004 (0.999-1.008)	0.103	1.003 (0.999-1.008)	0.130
Total ArI, %	1.011 (1.003-1.020)	0.009	0.997 (0.987-1.007)	0.517	0.996 (0.986-1.007)	0.482
TST, h						
<5	2.194 (1.604-2.999)	<0.001	1.203 (0.870-1.663)	0.264		
5-6	1.464 (1.093-1.961)	0.011	0.998 (0.740-1.346)	0.989		
6-7	1.226 (0.925-1.626)	0.157	1.017 (0.764-1.352)	0.910		
7-8	1(Ref)		1(Ref)			
8-9	0.430 (0.105-1.753)	0.239	0.540 (0.132-2.209)	0.391		
SWS, %						
First quartile (<8.5)	1.635 (1.271-2.103)	<0.001	1.184 (0.907-1.545)	0.214	1.171 (0.897-1.529)	0.247
Second quartile (8.5-17.3)	0.981 (0.743-1.296)	0.894	0.926 (0.700-1.226)	0.592	0.920 (0.695-1.218)	0.560
Third quartile (17.4-25.0)	1(Ref)		1(Ref)		1(Ref)	
Fourth quartile (>25.0)	1.259 (0.968-1.638)	0.085	1.192 (0.912-1.557)	0.199	1.191 (0.911-1.557)	0.202
ESS	0.989 (0.967-1.011)	0.306	0.985 (0.963-1.007)	0.172	0.985 (0.964-1.008)	0.194



<b>Secondary composite cardiovascular endpoint</b>						
	HR (95%CI)	P	HR (95%CI)	P	HR (95%CI)	P
SL, min	1.001 (0.998-1.005)	0.432	1.001 (0.997-1.004)	0.589	1.001 (0.997-1.004)	0.747
Total ArI, %	1.017 (1.011-1.023)	<0.001	1.001 (0.994-1.009)	0.715	1.001 (0.994-1.009)	0.783
TST, h						
<5	2.068 (1.639-2.609)	<0.001	1.101 (0.865-1.402)	0.434		
5-6	1.457 (1.176-1.804)	0.001	0.952 (0.764-1.186)	0.658		
6-7	1.096 (0.890-1.351)	0.388	0.862 (0.697-1.065)	0.169		
7-8	1(Ref)		1(Ref)			
8-9	0.437 (0.161-1.182)	0.103	0.567 (0.209-1.539)	0.265		
SWS, %						
First quartile (<8.5)	1.644 (1.359-1.989)	<0.001	1.109 (0.907-1.356)	0.315	1.088 (0.889-1.332)	0.411
Second quartile (8.5-17.3)	1.095 (0.893-1.343)	0.381	1.011 (0.822-1.243)	0.917	0.999 (0.812-1.228)	0.989
Third quartile (17.4-25.0)	1(Ref)		1(Ref)		1(Ref)	
Fourth quartile (>25.0)	1.190 (0.974-1.454)	0.089	1.175 (0.958-1.442)	0.121	1.165 (0.949-1.429)	0.144
ESS	1.011 (0.995-1.027)	0.176	1.001 (0.985-1.018)	0.867	1.002 (0.986-1.019)	0.789
<b>CVD mortality</b>						
	HR (95%CI)	P	HR (95%CI)	P	HR (95%CI)	P
SL, min	1.002 (0.995-1.009)	0.552	1.003 (0.996-1.010)	0.400	1.003 (0.996-1.010)	0.419

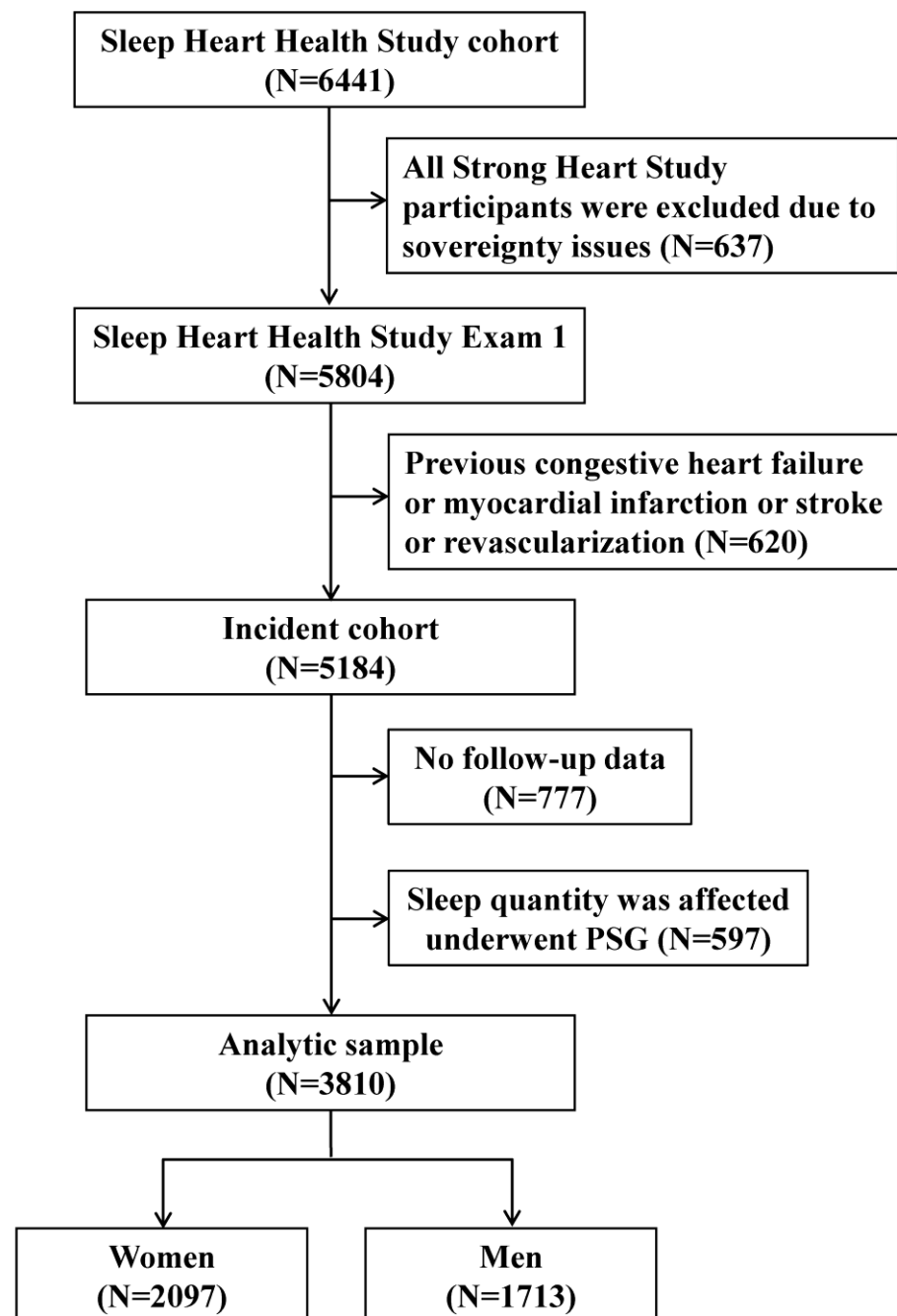
Total ArI, %	1.015 (1.003-1.028)	0.014	1.003 (0.989-1.018)	0.666	1.003 (0.989-1.018)	0.690
TST, h						
<5	3.672 (2.175-6.197)	<0.001	2.049 (1.200-3.500)	0.009		
5-6	1.901 (1.136-3.179)	0.014	1.294 (0.767-2.184)	0.334		
6-7	1.871 (1.145-3.058)	0.012	1.544 (0.941-2.534)	0.086		
7-8	1(Ref)		1(Ref)			
8-9	0.731 (0.098-5.448)	0.760	0.902 (0.120-6.766)	0.920		
SWS, %						
First quartile (<8.5)	1.475 (1.003-2.168)	0.048	1.142 (0.759-1.718)	0.523	1.135 (0.754-1.708)	0.544
Second quartile (8.5-17.3)	0.899 (0.587-1.377)	0.625	0.898 (0.583-1.382)	0.624	0.894 (0.580-1.377)	0.610
Third quartile (17.4-25.0)	1(Ref)		1(Ref)		1(Ref)	
Fourth quartile (>25.0)	1.205 (0.810-1.793)	0.358	1.103 (0.736-1.653)	0.636	1.111 (0.740-1.667)	0.613
ESS	1.014 (0.983-1.046)	0.380	1.007 (0.976-1.039)	0.668	1.007 (0.976-1.039)	0.664

ArI, arousal index; 95% CI, 95% confidence interval; HR, hazard ratio; SL, sleep latency; SWS, slow wave sleep; TST, total sleep time.

\* adjusted by age, sex, race, smoking status, body mass index, prevalent hypertension and diabetes mellitus, apnea-hypopnea index

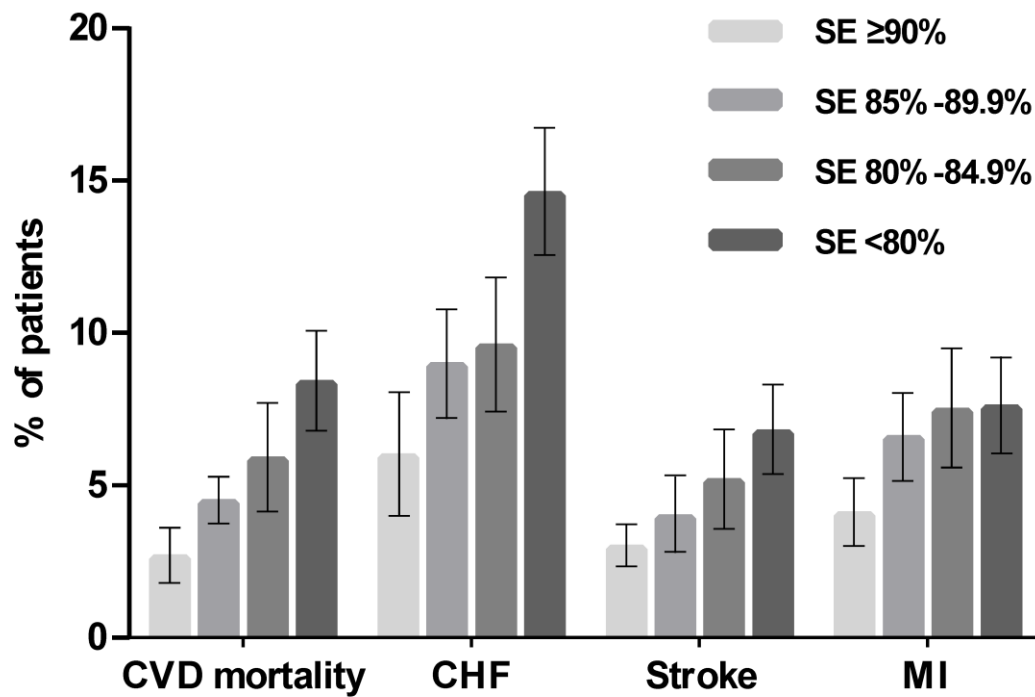
† adjusted by \*+sleep duration

**Figure S1. Flow diagram of participant selection.**



PSG, polysomnography.

**Figure S2. The distribution of cardiovascular events in different sleep efficiency categories.**



CHF, congestive heart failure; CVD, cardiovascular disease; MI, myocardial infarction; SE, sleep efficiency.