

# Algebraic Geometry: Scheme-based

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# 1 Introduction

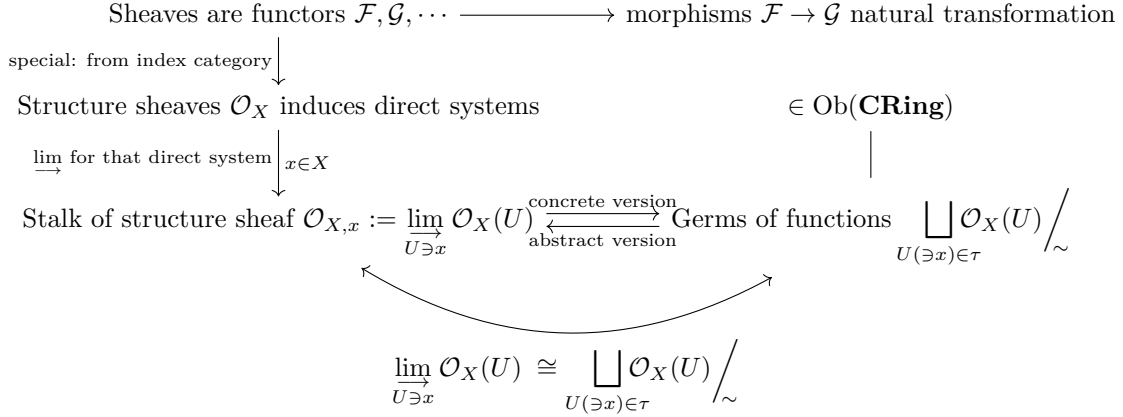
## 2 Sheaves and presheaves

### 2.1 Basic definitions

#### Definition 2.1: Induced sheaf

Let  $(X, \tau, \mathcal{O}_X)$  be a locally ringed space and  $U \overset{\text{open}}{\subseteq} X$ . The **induced sheaf** by  $U$ , denoted  $\mathcal{O}_X|_U$ , is defined elementwise:

$$\forall V \overset{\text{open}}{\subseteq} U, \quad \mathcal{O}_X|_U(V) := \mathcal{O}_X(V)$$



#### Definition 2.2: Pushforward sheaf

Let  $(X, \tau, \mathcal{O}_X)$  and  $(Y, \sigma, \mathcal{O}_Y)$  be two ringed spaces. Let  $f : X \rightarrow Y$  be a continuous map. The **pushforward** or **direct image** of  $\mathcal{O}_X$ , denoted  $f_*\mathcal{O}_X$ , is defined elementwise as

$$\forall U \overset{\text{open}}{\subseteq} Y, \quad f_*\mathcal{O}_X(U) := \mathcal{O}_X(f^{-1}(U))$$

$f_*\mathcal{O}_X$  is also called the **pushforward** of  $\mathcal{O}_X$  by  $f$ .

**Lemma 2.1** *This so-called pushforward sheaf  $f_*\mathcal{O}_X$  is a sheaf.*

**Proof:** (1)  $\forall U \overset{\text{open}}{\subseteq} Y$ , since  $f$  is continuous,  $f^{-1}(U) \overset{\text{open}}{\subseteq} X$ . Then by definition,

$$f_*\mathcal{O}_X(U) = \mathcal{O}_X(f^{-1}(U))$$

is a set (abelian group, commutative ring), because  $\mathcal{O}_X$  is a sheaf:  $\forall V \overset{\text{open}}{\subseteq} X$ ,  $\mathcal{O}_X(V)$  is a set (abelian group, commutative ring).

(2)  $\forall U \overset{\text{open}}{\subseteq} Y, \forall V \subseteq U$ , with  $V$  open in  $X$ ,  $\exists$  a 'function' <sup>[1]</sup>  $\text{res}_{U,V} : f_*\mathcal{O}_X(U) \rightarrow f_*\mathcal{O}_X(V)$ , a restriction morphism, has two additional properties:  $\bullet \forall U \overset{\text{open}}{\subseteq} X, \text{res}_{U,U} = \text{id}_{f_*\mathcal{O}_X(U)}$

$\bullet$  If  $W \subseteq V \subseteq U$ , with  $W, V, U \overset{\text{open}}{\subseteq} X$ , then  $\text{res}_{U,W} = \text{res}_{V,W} \circ \text{res}_{U,V}$

It is not hard to verify that  $\bullet$  the existence of  $\text{res}_{U,V}$  and  $\bullet$  those two properties come from definition below:

$$\text{res}_{U,V} := \text{res}_{f^{-1}(U), f^{-1}(V)}$$

(3) (Locality)  $f^{-1}(U) \overset{\text{open}}{\subseteq} X$  and let  $\{U_i\}_{i \in I}$  be any open cover of  $U$ , with  $\forall i, U_i \subseteq U$ . Then  $\{f^{-1}(U_i)\}_{i \in I}$  is an open cover of  $f^{-1}(U)$ . To be compatible with the notation before,  $\forall s, t \in f_*\mathcal{O}_X(U) = \mathcal{O}_X(f^{-1}(U))$ :

$$\text{res}_{U, U_i}(s) = \text{res}_{U, U_i}(t) \quad \text{assumption} \quad (1)$$

$$\Rightarrow \text{res}'_{f^{-1}(U), f^{-1}(U_i)}(s) = \text{res}_{U, U_i}(s) = \text{res}_{U, U_i}(t) := \text{res}'_{f^{-1}(U), f^{-1}(U_i)}(t) \quad \text{definition} \quad (2)$$

$$\Rightarrow \text{res}'_{f^{-1}(U), f^{-1}(U_i)}(s) = \text{res}'_{f^{-1}(U), f^{-1}(U_i)}(t) \quad (3)$$

$$\Rightarrow s = t \quad \text{locality of } \mathcal{O}_X \quad (4)$$

(4) (Gluing)  $f^{-1}(U) \stackrel{\text{open}}{\subseteq} X$  Let  $\{U_i\}_{i \in I}$  be any open cover of  $U$ , with  $\forall i, U_i \subseteq U$ . Then  $\{f^{-1}(U_i)\}_{i \in I}$  is an open cover of  $f^{-1}(U)$ .  $\{s_i \in \mathcal{O}_X(U_i)\}_{i \in I}$  is a family of sections.

$$\forall i, j \in I, s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j} \Rightarrow \exists s \in \mathcal{O}_X(U) : \forall i \in I, s|_{U_i} = s_i$$

$$\text{res}_{U, U_i \cap U_j}(s_i) = \text{res}_{U, U_i \cap U_j}(s_j) \quad \text{assumption} \quad (5)$$

$$\Rightarrow \text{res}'_{f^{-1}(U), f^{-1}(U_i \cap U_j)}(s_i) =: \text{res}_{U, U_i}(s_i) = \text{res}_{U, U_i}(s_j) := \text{res}'_{f^{-1}(U), f^{-1}(U_i \cap U_j)}(s_j) \quad \text{definition} \quad (6)$$

$$\Rightarrow \text{res}'_{f^{-1}(U), f^{-1}(U_i) \cap f^{-1}(U_j)}(s_i) = \text{res}'_{f^{-1}(U), f^{-1}(U_i) \cap f^{-1}(U_j)}(s_j) \quad (7)$$

$$\Rightarrow \exists s \in \mathcal{O}_X(f^{-1}(U)) : \forall i \in I, \text{res}'_{f^{-1}(U), f^{-1}(U_i)} = s_i \quad \text{gluability in } \mathcal{O}_X \quad (8)$$

$$\Leftrightarrow \exists s \in f_* \mathcal{O}_X(U) : \forall i \in I, \text{res}_{U, U_i} = s_i \quad \text{definition} \quad (9)$$

□

## 2.2 Local information: Stalk and germs

### Definition 2.3: Stalk of a sheaf

Let  $(X, \tau, \mathcal{O}_X)$  be a ringed space. Given  $x \in X$ , the **stalk** of the sheaf  $\mathcal{O}_X$  **at**  $x$ , denoted  $\mathcal{O}_{X,x}$ , is defined as

$$\mathcal{O}_{X,x} := \varinjlim_{U \ni x} \mathcal{O}_X(U)$$

**Remark** (1) To see that this definition is well-defined, we have **Sheaf induces a direct system**. Then it is possible to define the notion of direct limit.

(2) This is a direct limit in the category **CRing**.

(3) In general,  $\mathcal{O}_{X,x}$  is merely a commutative ring. We do not know whether it is a local ring up to now.

Stalk **generalises** the notion of germs of functions.

Let  $x \in X$  and  $U$  be an open neighbourhood of  $x$ . The germs of functions of  $f \in \mathcal{O}_X(U)$  at  $x$  is defined as the followings:

For  $f \in \mathcal{O}_X(V)$  and  $g \in \mathcal{O}_X(W)$ , with  $V, W \subseteq U$ ,  $(f, V) \sim (g, W) \Leftrightarrow V, W$  are open neighbourhood of  $x$  and  $f|_{V \cap W} = g|_{V \cap W}$ . Then,  $[(f, U)]$  is defined to be the equivalence class of  $(f, U)$ . Sometimes, we use another notation  $f_x := [(f, U)]$ . Let  $\text{Germ}_x(X)$  be the collection of all germs of functions at  $x$ . Explicitly,

$$\text{Germ}_x(X) = \{f_x | x \in X\} = \{[(f, U)] | f \in \mathcal{O}_X(U), U \text{ is an open neighbourhood of } x\}$$

- The germs of functions at  $x$  is a stalk because it is a direct limit of the direct system  $\{\mathcal{O}_X(U)\}_U$ :

$$\begin{array}{ccc} \mathcal{O}_X(U) & \xrightarrow{\text{res}_{U,V}} & \mathcal{O}_X(V) \\ \searrow \varphi_U & & \swarrow \varphi_V \\ & \text{Germ}_x(X) & \\ \swarrow \psi_U & \downarrow \exists! \Phi & \searrow \psi_V \\ & Y & \end{array}$$

Define  $\varphi_U : f \mapsto [(f, U)]$  for all open neighbourhood of  $x$ ,  $U$ . Clearly,  $\varphi_U = \varphi_V \circ \text{res}_{U,V}$ . Also, for any commutative ring  $Y$ , suppose that  $\psi_U$  is another ring homomorphism such that  $\psi_U = \psi_V \circ \text{res}_{U,V}$ . Then, define  $\Phi : [(f, U)] \mapsto \psi_U(f)$ . This is well-defined because for another representative  $[(g, V)] = [(f, U)]$  such that  $V \subseteq U$ ,  $\psi_U(f) = (\psi_V \circ \text{res}_{U,V})(f) = \psi_V(g)$  by  $f|_V = g|_V$ . This  $\Phi$  is also a ring homomorphism because  $\psi_U$  is. The definition of  $\Phi$  automatically makes the diagram being commutative. Moreover,  $\Phi$  is unique. ??

Importance of stalk and relations between sheaf and stalk:

- Stalk  $\Rightarrow$  Sheaf: In many situations, knowing the stalks of a sheaf is enough to control the sheaf itself.
- Sheaf  $\Rightarrow$  Stalk: the global information present in a sheaf typically carry less information.

### Definition 2.4: Locally-ringed space

A ringed space  $(X, \tau, \mathcal{O}_X)$  is called a locally ringed space  $\Leftrightarrow \forall x \in X, \mathcal{O}_{X,x}$  is a local ring.  
In the language of stalk 2.3, a locally ringed space is a ringed space  $(X, \tau, \mathcal{O}_X)$  such that all stalks of  $\mathcal{O}_X$  are local rings.

Elements of  $(X, \mathcal{O}_X)$  are denoted as  $(U, f) \in (X, \mathcal{O}_X)$ .

**Example** (1)

(2) A Riemann surface  $\widehat{\mathbb{C}}$  with its sheaf  $\mathcal{O}_{\widehat{\mathbb{C}}}$  of analytic functions, i.e.  $(\widehat{\mathbb{C}}, \mathcal{O}_{\widehat{\mathbb{C}}})$

Given a morphism of ringed spaces,  $(f, f^\#) : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ .

We have a collection of morphisms:  $f_U^\# : \mathcal{O}_Y(U) \rightarrow f_*\mathcal{O}_X(U)$ . Consider the direct limit  $\mathcal{O}_{Y,f(x)}$  and  $\mathcal{O}_{X,x}$ , we want  $f^\#$  somehow connects the two local rings, in other words, ring homomorphism between them.

Let  $I := \{U \subseteq X | x \in U \text{ open sets}\}$  and  $J := \{V \subseteq Y | f(x) \in V \text{ open sets}\}$ .

Since  $f^\#$  gives a morphism between two collections of rings, it naturally induces a map

$$\mathcal{O}_{Y,f(x)} := \varinjlim_{V \in J} \mathcal{O}_Y(V) \longrightarrow \varinjlim_{V \in J} f_*\mathcal{O}_X(V) = \varinjlim_{V \in J} \mathcal{O}_X(f^{-1}(V)) \xrightarrow{\dagger} \varinjlim_{U \in I} \mathcal{O}_X(U) =: \mathcal{O}_{X,x}$$

$\dagger$ : This is because  $\{f^{-1}(V)\}_{V \in J} \subseteq \{U\}_{U \in I} = I$ , since  $f$  is continuous

$\subseteq$ :  $\forall V \in J, f^{-1}(V)$  is open in  $X$  and  $f(x) \in V \Rightarrow x \in f^{-1}(V)$  so  $f^{-1}(V) \in I$

### Definition 2.5: Morphism between locally ringed spaces

Let  $(X, \tau, \mathcal{O}_X)$  and  $(Y, \sigma, \mathcal{O}_Y)$  be two locally ringed spaces. A morphism from  $(X, \mathcal{O}_X)$  to  $(Y, \mathcal{O}_Y)$  is a pair  $(f, f^\#)$ , denoted

$$(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$$

given by the following data:

- A continuous map  $f : X \rightarrow Y$ .
- A morphism of sheaves  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ , where  $f_*\mathcal{O}_X$  is the pushforward of  $\mathcal{O}_Y$  by  $f$ .
- The morphism of sheaves  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$  is a local homomorphism, i.e.

$$(f^\#)^{-1}(\mathfrak{m}_x) = \mathfrak{m}_{f(x)}$$

where  $\mathfrak{m}_x \overset{\max}{\leq} \mathcal{O}_{X,x}$  and  $\mathfrak{m}_{f(x)} \overset{\max}{\leq} \mathcal{O}_{Y,f(x)}$ .

**Remark** **Intuition** **Change**: the (3) into the form of  $f_x^\# : \mathcal{O}_{Y,f(x)} \rightarrow \mathcal{O}_{X,x}$ . **should be**  $\mathcal{O}_{X,x}$  instead of  $(f^*\mathcal{O}_Y)_{f(x)}$ .

The condition  $(f^\#)^{-1}(\mathfrak{m}_x) = \mathfrak{m}_{f(x)}$  can be reformulated as  $f^\#(\mathfrak{m}_{f(x)}) \subseteq \mathfrak{m}_x$ :

Because  $(X, \tau, \mathcal{O}_X)$  is a locally ringed space,  $\mathcal{O}_{X,x}$  is a local ring. Let its unique maximal ideal be  $\mathfrak{m}_x$ .

$\mathfrak{m}_x$  is prime. So,  $(f^\#)^{-1}(\mathfrak{m}_x)$  is a prime ideal of  $\mathcal{O}_{Y,f(x)}$ .

$(Y, \sigma, \mathcal{O}_Y)$  is a locally ringed space as well. So,  $\mathcal{O}_{Y,f(x)}$  is a local ring, unique maximal ideal  $\mathfrak{m}_{f(x)}$ . By uniqueness and maximality of  $\mathfrak{m}_x$ , we know that

$$(f^\#)^{-1}(\mathfrak{m}_x) \subseteq \mathfrak{m}_{f(x)}$$

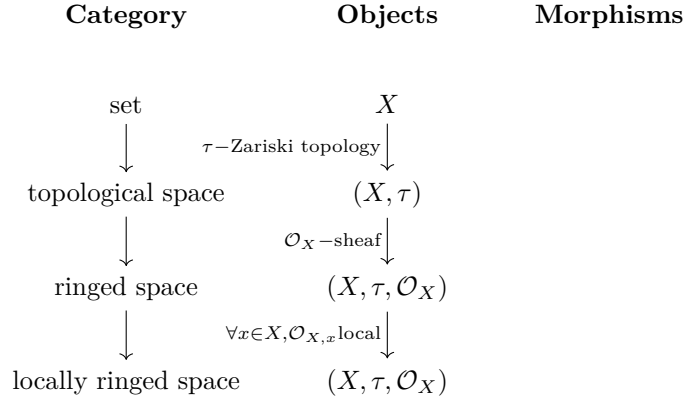
So, to say  $(f^\#)^{-1}(\mathfrak{m}_x) = \mathfrak{m}_{f(x)}$ , it suffices to recast it as  $\mathfrak{m}_{f(x)} \subseteq (f^\#)^{-1}(\mathfrak{m}_x) \xLeftrightarrow{\dagger} f^\#(\mathfrak{m}_{f(x)}) \subseteq \mathfrak{m}_x$ .

$\dagger$ :  $\Rightarrow$  Assume  $\mathfrak{m}_{f(x)} \subseteq (f^\#)^{-1}(\mathfrak{m}_x)$ , then  $f^\#(\mathfrak{m}_{f(x)}) \subseteq f^\# \circ (f^\#)^{-1}(\mathfrak{m}_x) \subseteq \mathfrak{m}_x$ .

$\Leftarrow$  Assume  $f^\#(\mathfrak{m}_{f(x)}) \subseteq \mathfrak{m}_x$ , then  $\mathfrak{m}_{f(x)} \subseteq (f^\#)^{-1} \circ f^\#(\mathfrak{m}_{f(x)}) \subseteq (f^\#)^{-1}(\mathfrak{m}_x)$ .

**Example** **must have**

Here is a diagram to upshot the hierarchy of different concepts.



### Proposition 2.1: Global-Local

Let  $\mathcal{F}, \mathcal{G}$  be sheaves of rings on  $(X, \tau)$ . If  $\exists$  a morphism of sheaves  $\eta : \mathcal{F} \rightarrow \mathcal{G}$ , then  $\eta$  induces a ring homomorphism  $\eta_x$  between rings  $\mathcal{F}_x$  and  $\mathcal{G}_x$ :

$$\eta_x : \mathcal{F}_x \longrightarrow \mathcal{G}_x$$

where  $\mathcal{F}_x := \varinjlim_{U(\ni x) \in \tau} \mathcal{F}(U)$  and  $\mathcal{G}_x := \varinjlim_{U(\ni x) \in \tau} \mathcal{G}(U)$

**Proof:** <sup>1</sup> This is directly from morphism between direct system induces a morphism of direct limits. □

### Proposition 2.2: Local-Global

Given a morphism between two ringed spaces  $(f, f^\#) : (X, \tau, \mathcal{O}_X) \rightarrow (Y, \sigma, \mathcal{O}_Y)$ .

If  $\forall x \in X$ , the induced stalk homomorphism  $f_x^\# : \mathcal{O}_{Y, f(x)} \rightarrow \mathcal{O}_{X, x}$  is an isomorphism, then  $f^\#$  is an isomorphism of the corresponding structure sheaves.

#### 2.2.1 Sheafification

##### Definition 2.6: Sheafification

Let  $\mathcal{F}$  be a presheaf. The **sheafification** of  $\mathcal{F}$  is a sheaf  $\mathcal{F}^{\text{sh}}$  such that

$\exists$  morphism of presheaf  $\alpha : \mathcal{F} \rightarrow \mathcal{F}^{\text{sh}}$ ,  $\forall$  sheaf  $\mathcal{G}$  with a morphism of presheaf  $\beta : \mathcal{F} \rightarrow \mathcal{G}$ ,  $\exists!$  morphism of sheaf  $\Phi : \mathcal{F}^{\text{sh}} \rightarrow \mathcal{G}$  such that the following diagram commutes:

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{\alpha\text{-pre}} & \mathcal{F}^{\text{sh}} \\ & \searrow \beta\text{-pre} & \downarrow \exists! \Phi\text{-sheaf} \\ & & \mathcal{G} \end{array}$$

<sup>1</sup>Note that we don't know if  $(X, \tau, \mathcal{F})$  ( $\mathcal{G}$  and so on) is a locally ringed space, so we don't know in priori if  $\mathcal{F}_x$  and  $\mathcal{G}_x$  are local rings.

## 2.3 Local properties of sheaves

# 3 Schemes

## 3.1 Preliminaries

### Proposition 3.1: $(\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)})$ a ringed space

Let  $R$  be a commutative ring and let  $\text{Spec}(R)$  be its spectrum. Define  $\mathcal{O}_{\text{Spec}(R)}$  as the following:  $\forall U \overset{\text{open}}{\subseteq} \text{Spec}(R)$ , let

$$\mathcal{O}_{\text{Spec}(R)}(U) := \{f \mid f \text{ is a function on } U \text{ such that } \forall [\mathfrak{p}] \in U, \exists V \overset{\text{open}}{\subseteq} U, f([\mathfrak{p}]) \in R_{\mathfrak{p}}\}$$

Then,  $(\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)})$  is a ringed space.

### Proposition 3.2: Stalk structure of $\mathcal{O}_{\text{Spec}(R)}$

$$\mathcal{O}_{\text{Spec}(R), [\mathfrak{p}]} \cong_{\mathbf{CRing}} R_{\mathfrak{p}}$$

## 3.2 Affine schemes and schemes

### 3.2.1 Affine scheme

#### Definition 3.1: Affine scheme

Let  $(X, \tau, \mathcal{O}_X)$  be a locally ringed space.  $(X, \tau, \mathcal{O}_X)$  is an **affine scheme**  $\Leftrightarrow \exists R \in \mathbf{CRing}$ ,

$$(X, \tau, \mathcal{O}_X) \cong (\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)})$$

where  $\cong$  is an isomorphism between **locally** ringed spaces.

**Example** (1)  $(\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)})$  itself is an affine scheme.

### 3.2.2 Scheme

#### Definition 3.2: Scheme

A scheme  $(X, \mathcal{O}_X)$  is a locally ringed space that admits an open cover  $\{U_i\}_i$  of  $X$ , such that  $\forall i$ ,  $(U_i, \mathcal{O}_X|_{U_i})$  is an affine scheme.

### 3.2.3 Zariski Tangent space

This is an example to see how the locally ringed space 2.4 is applied. Let's consider the simplest case: The stalk of  $\mathcal{O}_X$  at  $x \in X$  is explicitly considered as the collections of germs of functions  $\text{Germ}_x(X)$ . So, for every open neighbourhood  $U$  of  $x$ , we have a function

$$\psi_U : \mathcal{O}_x(U) \rightarrow \mathcal{O}_{X,x} := \text{Germ}_x(X) \quad f \mapsto f_x$$

as suggested above. At the same time,  $\mathcal{O}_{X,x}$  is a local ring with the unique maximal ideal  $\mathfrak{m}_x$ . Let  $f_x$  be an element of  $\mathcal{O}_{X,x}$ . From a proposition in local rings,  $f_x \notin \mathcal{O}_{X,x}^\times \Leftrightarrow f_x \in \mathfrak{m}_x \Leftrightarrow f(x) := \overline{f_x} = 0$  in  $\kappa(x) := \mathcal{O}_{X,x}/\mathfrak{m}_x$ . Let  $\lambda := f_x - g_x$  be the constant, then  $f_x = \lambda + g_x$ . We know how to differentiate a constant  $\lambda$ . Thus, the differentiation of any  $f$  at  $x$ ,  $D : \mathcal{O}_{X,x} \rightarrow \kappa(x)$  can be reduced to its maximal ideals  $g_x \in \mathfrak{m}_x$ . So,  $D$  can be streamlined to  $D : \mathfrak{m}_x \rightarrow \kappa(x)$ . Furthermore, for two functions  $g_x, h_x \in \mathfrak{m}_x$ , the differentiation of their product should be  $D(f_x g_x) = D(f_x)g_x + f_x D(g_x) = \mathfrak{m}_x = \bar{0}$  via the Leibniz rule. So, product of  $\mathfrak{m}_x$ ,  $\mathfrak{m}_x^2$ , lies in the kernel of  $D$ . The new map  $D : \mathfrak{m}_x/\mathfrak{m}_x^2 \rightarrow \kappa(x)$  should be well-defined.

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<sup>2</sup> $\mathcal{O}_{X,x}^\times$ : units of  $\mathcal{O}_{X,x}$ .

### 3.2.4 Tangent space

This is a local construction. To define the tangent space at a point  $x \in X$ , we focus on the local behavior of functions. The natural domain for differentiation is the stalk  $\mathcal{O}_{X,x}$ , which captures the germs of functions near  $x$ .

A tangent vector at  $x$  is defined as a  $k$ -linear derivation  $D : \mathcal{O}_{X,x} \rightarrow \kappa(x)$ , satisfying the Leibniz rule:

$$D(f_x g_x) = f(x)D(g_x) + g(x)D(f_x)$$

where  $f(x), g(x) \in \kappa(x)$  are the values of the germs at  $x$ .

We can simplify this structure by analyzing the maximal ideal  $\mathfrak{m}_x \subset \mathcal{O}_{X,x}$ :

1. **Decomposition:** Any germ  $f_x \in \mathcal{O}_{X,x}$  can be uniquely written as  $f_x = \lambda + g_x$ , where  $\lambda = f(x)$  is a constant (identifying  $k \subset \mathcal{O}_{X,x}$ ) and  $g_x \in \mathfrak{m}_x$  is a germ vanishing at  $x$ .
2. **Vanishing on Constants:** Since  $D$  is  $k$ -linear and satisfies the Leibniz rule,  $D(\lambda) = 0$  for any constant  $\lambda \in k$ . Thus,  $D(f_x) = D(g_x)$ . The derivation is completely determined by its action on  $\mathfrak{m}_x$ .
3. **Vanishing on  $\mathfrak{m}_x^2$ :** Consider any two elements  $g_x, h_x \in \mathfrak{m}_x$ . Their product  $g_x h_x$  lies in  $\mathfrak{m}_x^2$ . Applying the Leibniz rule:

$$D(g_x h_x) = g(x)D(h_x) + h(x)D(g_x) = 0 \cdot D(h_x) + 0 \cdot D(g_x) = 0$$

Here we used the fact that  $g(x) = 0$  and  $h(x) = 0$ .

**Conclusion:** Any derivation  $D$  vanishes on  $\mathfrak{m}_x^2$ . Consequently,  $D$  induces a well-defined linear map on the quotient space:

$$\bar{D} : \mathfrak{m}_x / \mathfrak{m}_x^2 \rightarrow \kappa(x)$$

This creates a natural isomorphism between the tangent space  $T_x X$  (the space of derivations) and the dual of the cotangent space  $(\mathfrak{m}_x / \mathfrak{m}_x^2)^*$ .

### 3.2.5 Projective scheme

Let  $S$  be a graded ring. So, naturally  $S = \bigoplus_{d \geq 0} S_d$ . The irrelevant ideal  $S_+ = \bigoplus_{d > 0} S_d$ , which is a homogeneous ideal. A homogeneous ideal of  $S$  is written as  $\mathfrak{p}_h$  to distinguish it from an arbitrary ideal  $\mathfrak{p}$ .

$\text{Proj } S$  is defined to be the collection of all homogeneous prime ideals of  $S$  that **do not contain all of**  $S_+$ :

$$\text{Proj } S := \{[\mathfrak{p}_h] : \mathfrak{p}_h \leq S \text{ is prime, } S_+ \not\subseteq \mathfrak{p}_h\}$$

Closed sets of  $\text{Proj } S$  are defined to be  $V(\mathfrak{p}_h) := \{[q] \in \text{Proj } S : \mathfrak{p}_h \subseteq q\}$ .

The following lemma checks the collection of  $V(\mathfrak{p}_h)$  indeed play the role of closed sets.

#### Lemma 3.1: Closed sets of $\text{Proj } S$

Before we define the concept of sheaf, let's define the ring  $S_{(\mathfrak{p}_h)}$ .  $S_{(\mathfrak{p}_h)}$  is defined to be the homogeneous elements of degree 0 of the localization  $T^{-1}S$  with  $T$  the multiplicative set  $\bigcup_d S_d \setminus \mathfrak{p}_h$ .

Explicitly,

$$S_{(\mathfrak{p}_h)} = \left\{ \frac{f}{g} : \exists d > 0, f, g \in S_d, g \notin \mathfrak{p}_h \right\} = \left\{ \frac{f}{g} : \deg(f) = \deg(g) > 0, f, g \text{ are homogeneous, } g \notin \mathfrak{p}_h \right\}$$

So,  $S_{(\mathfrak{p}_h)}$  is a subring of the localized ring  $S_{\mathfrak{p}_h}$  consisting of the elements of degree 0.

Now, we try to define the structure sheaf of  $\text{Proj } S$ , denoted  $\mathcal{O}_{\text{Proj } S}$ , as follows: For an open subset  $U$  of  $\text{Proj } S$ , define

$$\mathcal{O}_{\text{Proj } S}(U) = \left\{ s : U \rightarrow \prod_{\mathfrak{p}_h} S_{(\mathfrak{p}_h)} : s \text{ is locally quotient} \right\}$$

More explicitly,

$$\mathcal{O}_{\text{Proj } S}(U) = \left\{ s : U \rightarrow \prod_{\mathfrak{p}_h} S_{(\mathfrak{p}_h)} : \forall \mathfrak{p}_h \in U, \exists V(\ni \mathfrak{p}_h) \subseteq U \text{ open, } \forall \mathfrak{q}_h \in V, s(\mathfrak{q}_h) = \frac{a}{f} \in S_{(\mathfrak{p}_h)} \right\}$$



$\mathcal{O}_{\text{Proj } S}$  is a sheaf: (1) (2)

(3) Let  $\{U_i\}_i$  be an open cover of  $U$ .  $\forall i, s|_{U_i} = 0$ .  $\forall \mathfrak{p}_h \in U$ ,  $\exists i, \mathfrak{p}_h \in U_i$ ,  $s(\mathfrak{p}_h) = s|_{U_i}(\mathfrak{p}_h) = 0$ .

(4) Still, take the same  $\{U_i\}_i$ . Define  $s : U \rightarrow \coprod_{\mathfrak{p}_h} S_{(\mathfrak{p}_h)}$  by  $\mathfrak{p}_h \mapsto s_i(\mathfrak{p}_h)$  where  $i$  is the index of  $U_i$  that  $\mathfrak{p}_h \in U_i$ . This definition is well-defined because for  $i, j$  such that  $\mathfrak{p}_h \in U_i \cap U_j$ ,  $s_i(\mathfrak{p}_h) = s_i|_{U_i \cap U_j}(\mathfrak{p}_h) = s_j|_{U_i \cap U_j}(\mathfrak{p}_h) = s_j(\mathfrak{p}_h)$ . Let's define a basis of open sets.  $\forall f \in S_+$  homogeneous, i.e.  $\forall f \in \bigcup_{d>0} S_d$ ,

$$D_+(f) := \{[\mathfrak{p}_h] \in \text{Proj } S : f \notin \mathfrak{p}_h\}$$

$D_+(f)$  is open because  $D_+(f) = \text{Proj } S \setminus \{[\mathfrak{p}_h] \in \text{Proj } S : f \in \mathfrak{p}_h\} = \text{Proj } S \setminus V(\langle f \rangle)$ .  $\langle f \rangle$  is generated by a homogeneous element, so it is a homogeneous ideal that does not contain  $S_+$  and  $V(\langle f \rangle)$  is a closed set in  $S$ .

### Proposition 3.3: Relative structures of $(\text{Proj } S, \mathcal{O}_{\text{Proj } S})$

Let  $S$  be a graded ring.

(1) Stalk of  $\mathcal{O}_{\text{Proj } S}$ : the structure of the stalk of  $\mathcal{O}_{\text{Proj } S}$  at  $[\mathfrak{p}_h] \in \text{Proj } S$ ,  $\mathcal{O}_{\text{Proj } S, \mathfrak{p}_h}$  is a local ring  $S_{\mathfrak{p}_h}$ , i.e.

$$\mathcal{O}_{\text{Proj } S, \mathfrak{p}_h} \cong S_{\mathfrak{p}_h}$$

(2) Open sets of  $\mathcal{O}_{\text{Proj } S}$ :  $\{D_+(f)\}_{f \in \bigcup_{d>0} S_d}$  is an open cover of  $\text{Proj } S$ . In addition, there is an isomorphism of locally ringed space:

$$(D_+(f), \mathcal{O}_{\text{Proj } S}|_{D_+(f)}) \cong (\text{Spec } S_{(\langle f \rangle)}, \mathcal{O}_{\text{Spec } S_{(\langle f \rangle)}})$$

$S_{(\langle f \rangle)}$  is the subring of  $S_f$  of elements of degree 0.

(3) Scheme:  $(\text{Proj } S, \mathcal{O}_{\text{Proj } S})$  is a scheme.

**Remark** (1) just says that  $(\text{Proj } S, \mathcal{O}_{\text{Proj } S})$  is a locally ringed space. (2) reveals that this locally ringed space is covered by open affine schemes. Hence, it is a scheme. So, (3) is a consequence of (2). □

**Proof:**

**Remark** Let  $R$  be a ring. The projective  $n$ -space over  $R$  is a scheme  $\mathbb{P}^n(R) \cong \text{Proj } R[x_1, \dots, x_n]$  Up to now, we have more correspondence between the language from classic AG and the schemes.

	affine cases	projective cases
$dd$	$\text{Spec } R[x_1, \dots, x_n] \cong \mathbb{A}^n(R)$	$\text{Proj } R[x_1, \dots, x_n] \cong \mathbb{P}^n(R)$

### 3.2.6 Product of schemes

#### Definition 3.3: Schemes over a fixed scheme

Let  $(S, \mathcal{O}_S)$  be a fixed scheme. A scheme  $(Y, \mathcal{O}_Y)$  is **over**  $(S, \mathcal{O}_S) \Leftrightarrow$  there is a morphism of schemes  $(\varphi, \varphi^\#) : (Y, \mathcal{O}_Y) \rightarrow (S, \mathcal{O}_S)$ .

A morphism between two schemes  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  over  $(S, \mathcal{O}_S)$  is a morphism of schemes  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  that commutes with the morphism to  $(S, \mathcal{O}_S)$ , i.e. a morphism  $(f, f^\#)$  that makes the following diagram commute:

$$\begin{array}{ccc} (X, \mathcal{O}_X) & \xrightarrow{(f, f^\#)} & (Y, \mathcal{O}_Y) \\ & \searrow (\psi, \psi^\#) \quad \swarrow (\varphi, \varphi^\#) & \\ & (S, \mathcal{O}_S) & \end{array}$$

## 3.3 Correspondence between variety language and scheme language

## 3.4 Properties of schemes

### 3.4.1 Local properties: Reducedness, Normality, Regularity and singularity, Cohen-Macaulay

#### Definition 3.4: Reducedness

A scheme  $(X, \mathcal{O}_X)$  is reduced  $\Leftrightarrow \forall$  open set of  $X$ , the ring  $\mathcal{O}_X(U)$  has no nilpotent elements.

### 3.4.2 Topological properties: Connectedness, Irreducibility, Quasi-compactness

A scheme  $(X, \mathcal{O}_X)$  is connected  $\Leftrightarrow$  its topological space  $X$  is connected.

### 3.4.3 Mixed: Integral

### 3.4.4 Gluing properties: Separatedness, properness

## 4 Sheaf of modules

### 4.1 $\mathcal{O}_X$ -modules, ideal sheaves

#### Definition 4.1: $\mathcal{O}_X$ -modules

Let  $(X, \tau, \mathcal{O}_X)$  be a ringed space. A **sheaf of  $\mathcal{O}_X$ -modules** or  **$\mathcal{O}_X$ -module** over  $(X, \tau, \mathcal{O}_X)$  is a sheaf  $\mathcal{F}$  such that

(1)  $\forall U \overset{\text{open}}{\subseteq} X$ ,  $\mathcal{F}(U)$  is an  $\mathcal{O}_X(U)$ -module.

(2) For any sheaf satisfies (1),  $\mathcal{F}$ , it is compatible with the restriction map. i.e.  $\forall V \subseteq U$  and  $V, U \in \tau$ , the following diagram commutes:

$$\begin{array}{ccc} \mathcal{O}_X(U) \times \mathcal{F}(U) & \xrightarrow{\text{action}} & \mathcal{F}(U) \\ \text{res}_{U,V}' \times \text{res}_{U,V} \downarrow & & \downarrow \text{res}_{U,V} \\ \mathcal{O}_X(V) \times \mathcal{F}(V) & \xrightarrow{\text{action}} & \mathcal{F}(V) \end{array}$$

**Remark** To further decode this, using element  $r \in \mathcal{O}_X(U)$  and  $f \in \mathcal{F}(U)$ , through one path we finally have  $r|_V \cdot f|_V$  and through another path we have  $r \cdot f|_V$ . Compatibility  $\Leftrightarrow r|_V \cdot f|_V = r \cdot f|_V$ .

### 4.2 Quasi-coherent sheaves: 'Finite dimensional' modules

### 4.3 Coherent sheaves: The 'Noetherian' case

## 5 Projective schemes and projective morphisms: Example of a quasi-coherent sheaves

### 5.1 Quasi-coherent sheaf on $\text{Proj } S$

### 5.2 Serre's twisting sheaves $\mathcal{O}(n)$

### 5.3 Blow-ups

## 6 Sheaf cohomology: machinery

measure of failure of gluing local information into global

## 6.1 Some motivations

## 6.2 Čech cohomology

## 6.3 Cohomology of $\mathcal{O}_X$ -modules

# 7 Sheaf cohomology: examples of calculations

## 7.1 An important example: cohomology of a projective scheme $H^i(\mathbb{P}^n(k), \mathcal{O}(m))$

## 7.2 Cohomology of quasi-coherent modules

# 8 Bundles and divisors: Toolkits for geometers

## 8.1 Line bundles: Locally free sheaves

### 8.1.1 Vector bundles

#### Definition 8.1: Real vector bundle

A real vector bundle is a tuple  $(X, E, \pi, \{\varphi_U\}_U)$  such that:

- (1)  $X$  is a topological space, called the base space.
- (2)  $E$  is a locally trivial topological space, called the total space.
- (3)  $\pi : E \rightarrow X$  is a continuous surjective map, called the bundle projection.
- (4)  $\forall x \in X$ ,  $\pi^{-1}(\{x\})$  is a finite dimensional vector space.

The local triviality of  $E$  means that  $\forall$  open set  $U$  of  $X$ ,  $\pi^{-1}(U)$  is homeomorphic to  $U \times \mathbb{R}^k$ , i.e.  $\exists$  a homeomorphism  $\varphi_U : U \times \mathbb{R}^k \rightarrow \pi^{-1}(U)$ , called **local trivialization** that makes the following diagram commute:

$$\begin{array}{ccc} \pi^{-1}(U) & \xleftarrow{\varphi_U} & U \times \mathbb{R}^k \\ & \searrow \pi \quad \swarrow p & \\ & U & \end{array}$$

where  $p$  is given by:  $\forall x \in X, \forall v \in \mathbb{R}^k, p(x, v) = x$

The vector space structure is explicitly given by: Fix an  $x \in X$ .  $\mathbb{R}^k \rightarrow \pi^{-1}(\{x\})$  with  $v \mapsto \varphi_U(x, v)$  is an linear isomorphism.

**Remark** In this definition, as a topological space,  $\pi^{-1}(\{x\})$  is homeomorphic to the space  $\{x\} \times \mathbb{R}^k$ . This comes from the homeomorphism  $\varphi_U : U \times \mathbb{R}^k \rightarrow \pi^{-1}(U)$ . In the meanwhile,  $\pi^{-1}(\{x\})$  also has an algebraic structure, which is given explicitly by the linear isomorphism to  $\mathbb{R}^k$ , or  $\{x\} \times \mathbb{R}^k$ . The homeomorphism is not enough to determine the linear isomorphism. Consider an example:  $f : \mathbb{R} \rightarrow \mathbb{R}$  by  $v \mapsto v^3$ , which is a homeomorphism but not a linear map.

In one word, structures of  $\pi^{-1}(\{x\})$  align perfectly with structures of  $\{x\} \times \mathbb{R}^k$  in two levels.

When  $k = 1$ , the space  $E$  or the tuple  $(X, E, \pi, \{U_i\}_i)$  is called a **line bundle**.

When  $E = X \times \mathbb{R}^k$ , it is called a **trivial bundle**.

Sections of a vector bundle:

#### Transition functions:

There is one subtlety needs to deal with. Since the local trivializations work on each open subset of  $X$  in the definition. For the intersection of two open sets, they have to match to each other.

Suppose there are two local trivializations on  $U_\alpha$  and  $U_\beta$ ,  $\varphi_\alpha : U_\alpha \times \mathbb{R}^k \rightarrow \pi^{-1}(U_\alpha)$  and  $\varphi_\beta : U_\beta \times \mathbb{R}^k \rightarrow \pi^{-1}(U_\beta)$ . Consider their composition on  $U_\alpha \cap U_\beta$ ,  $\varphi_\beta^{-1} \circ \varphi_\alpha : (U_\alpha \cap U_\beta) \times \mathbb{R}^k \rightarrow (U_\alpha \cap U_\beta) \times \mathbb{R}^k$ , which maps  $(x, v) \in (U_\alpha \cap U_\beta) \times \mathbb{R}^k$  to some  $(x, u) \in (U_\alpha \cap U_\beta) \times \mathbb{R}^k$ . Since  $\varphi_\alpha(x, v) \in \pi^{-1}(\{x\})$  and  $\varphi_\beta^{-1}|_{\pi^{-1}(\{x\})} : \pi^{-1}(\{x\}) \rightarrow \{x\} \times \mathbb{R}^k$  is a homeomorphism.

Furthermore,  $u = T_x(v)$  for some  $T_x \in \text{GL}(\mathbb{R}^k)$ . Because  $\varphi_\alpha|_{\pi^{-1}}$  and  $\varphi_\beta|_{\pi^{-1}}$  are linear isomorphisms. Fix an  $x$ .

$$\begin{array}{ccccccc}
\mathbb{R}^k & \xrightarrow{\sim} & \{x\} \times \mathbb{R}^k & \xrightarrow{\sim} & \pi^{-1}(\{x\}) & \xrightarrow{\sim} & \{x\} \times \mathbb{R}^k \xrightarrow{\sim} \mathbb{R}^k \\
& \searrow & & \nearrow & & \searrow & \\
v & \xrightarrow{\quad} & (x, v) & \xrightarrow{\quad} & \varphi_\alpha(x, v) & \xrightarrow{\quad} & (x, u) \xrightarrow{\quad} u \\
& \swarrow & & \nwarrow & & \swarrow & 
\end{array}$$

is a linear isomorphism. Notice that this linear isomorphism depends on  $x$ . So,  $x \mapsto T_x$  is actually a map, called  $g_{\beta\alpha} : U_\alpha \cap U_\beta \rightarrow \text{GL}(\mathbb{R}^k)$ .

So, more explicitly, for an  $x \in U_\alpha \cap U_\beta$ ,  $\varphi_\beta^{-1} \circ \varphi_\alpha(x, v) = (x, (g_{\beta\alpha}(x))(v))$ .

**Category of vector bundles:**

**Operations of vector bundles:**

### 8.1.2 Invertible sheaves and locally free sheaves

From now on, let's focus on the line bundle cases ( $\pi : E \rightarrow X$  has local trivialization  $\varphi : U \times \mathbb{R} \rightarrow \pi^{-1}(U)$ ). One can encapsulate the sections  $s : U \rightarrow E$  into a sheaf as the classic theory does. In the structure sheaf part, a sheaf  $\mathcal{O}$  is 'evaluated' on each open set  $U$  of  $X$  to get  $\mathcal{O}(U) = \Gamma(U, \mathcal{O})$ . Here, the process is reversed. We first care about all sections and then make them into a sheaf.

Let  $\mathcal{L}$  be the 'sheaf of line bundles'.  $\mathcal{L}$  is formally defined as follows. For every open set  $U$  of  $X$ ,  $\mathcal{L}(U)$  is defined to be the collection of all sections on  $U$  of the line bundle  $E$ . Namely,

$$\mathcal{L}(U) := \{s : U \rightarrow E \mid \pi \circ s = \text{id}_U\}$$

There is another way to rephrase the element of  $\mathcal{L}(U)$  as functions  $f_i : U_i \rightarrow \mathbb{R}$  with  $U_i$  being open in  $U$ . So, there is a correspondence

$$\{\text{sections of } U\} \xrightleftharpoons[\Psi]{\Phi} \{\text{functions } f_i : U_i \rightarrow \mathbb{R} \mid \forall i, j, f_i = g_{ij} \cdot f_j\}$$

where  $g_{ij}$  has the same meaning as above-mentioned  $g_{\beta\alpha}$ . There is a subtlety on the  $\cdot$ . Formally,  $g_{ij} : U \rightarrow \text{GL}(\mathbb{R})$ .  $(g_{ij} \cdot f_j)(x) = g_{ij}(x) \cdot f_j(x)$ . But, since  $\text{GL}(\mathbb{R}) \cong \mathbb{R}^\times$ , there is no problem to write the operation between  $g_{ij}$  and  $f_j$  as multiplication. However, for the case  $k > 1$ , it is not multiplication anymore.

Given a section  $s : U \rightarrow \pi^{-1}(U)$ , one can get a function  $U \cap U_i \rightarrow \mathbb{R}$  by composing  $\tilde{p} \circ \varphi^{-1} \circ s$ , where  $\tilde{p} : U \times \mathbb{R} \rightarrow \mathbb{R}$  is the natural projection of  $(x, v)$  to its second coordinate. In a detailed way,  $\Phi(s) := \tilde{p} \circ \varphi^{-1} \circ s$  and

$$U \xrightarrow{s} \pi^{-1}(U) \xrightarrow{\varphi^{-1}} U \times \mathbb{R} \xrightarrow{\tilde{p}} \mathbb{R}$$

For a function  $f_i : U_i \rightarrow \mathbb{R}$ , given  $x \in U_i$ , a section  $s_i$  can be given by  $s_i := \varphi_i \circ (\text{id}_{U_i} \times f_i)$ . So, we have  $\Psi(f_i) := \varphi_i \circ (\text{id}_{U_i} \times f_i)$  and

$$U \cap U_i \xrightarrow{\text{id}_{U_i} \times f_i} (U \cap U_i) \times \mathbb{R} \xrightarrow{\varphi_i} \pi^{-1}(U \cap U_i)$$

This  $\Psi(f_i)$  is well-defined. Take any  $x \in U \cap U_i \cap U_j$ .  $\Psi(f_i)(x) = \varphi_i \circ (\text{id}_{U_i} \times f_i)(x) = \varphi_i(x, f_i(x))$ . Similarly,  $\Psi(f_j)(x) = \varphi_j(x, f_j(x))$ . But,

$$\varphi_j(x, f_j(x)) = (\varphi_i \circ \varphi_i^{-1}) \circ \varphi_j(x, f_j(x)) = \varphi_i \circ (\varphi_i^{-1} \circ \varphi_j)(x, f_j(x)) = \varphi_i(x, g_{ij}(x)f_j(x)) = \varphi_i(x, f_i(x))$$

It is quick to see that  $\Psi$  is the set-theoretic inverse of  $\Phi$ .

So, now there are two sets of languages to describe  $\mathcal{L}(U)$ . Each language has its own nuances. The language of sections is straightforward. The language of

terms	Geometry perspective	Algebraic perspective
	Vector bundle $\pi : E \rightarrow X$	Locally free sheaves $\mathcal{E}$
	Fiber $E_x$	Sheaf $\mathcal{E} \otimes_{\mathcal{O}_X} \kappa(x)$
	Section $s : X \rightarrow E$	Elements $s \in \Gamma(X, \mathcal{E})$

8.1.3 Correspondence between line bundles and invertible sheaves

8.1.4 Embedding schemes into a projective space

8.1.5 Classification of vector bundles over the projective line

8.2 Divisors

8.3 Correspondence between line bundles and divisors

## 9 Curve Theory

9.1 Riemann-Roch

9.2 Classification of curves in  $\mathbb{P}^3$

## 10 Surface Theory

## 11 Calculus

11.1 Differentials

11.2 Sheaf of Kähler differentials