

# Trajectory Tracking Control of Omnidirectional Mobile Robots: a Model-Free Control-based Approach

Pham Anh Tuan<sup>1</sup> and Nguyen Manh Linh<sup>2, \*</sup>

<sup>1</sup> Hanoi University of Science and Technology. E-mail: tuan.pa192147@sis.hust.edu.vn

<sup>2, \*</sup> Hanoi University of Science and Technology. E-mail: linh.nguyenmanh@hust.edu.vn

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Controlling the motion of mobile robots, especially those equipped with Mecanum wheels is challenging due to the existence of disturbances and model uncertainties. In this paper, instead of trying to build a precise model for control design, the model-free control which replaces all the unknown complex mathematical parts with an ultra-local model is chosen. Then, an iPDSC controller that combines the classical iPD and a double power rate sliding mode controller is proposed to improve the control system performance. The proposed strategy guarantees that even with a low bandwidth extended state observer used to continuously update the unknown parts of the plant, the tracking performance is maintained. The effectiveness of the proposed strategy is verified by both theoretical analysis and numerical simulations

**Keywords:** Omnidirectional mobile robot; Model-free control; Ultra-local model; Extended-state observer; Sliding mode control

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## 2 1. INTRODUCTION

3 Mobile robots, particularly the omnidirectional ones that are equipped with Mecanum wheels,  
4 have gained widespread adoption in various industries due to their exceptional capabilities.  
5 These robots offer significant advantages, making them highly desirable for numerous appli-  
6 cations. The Mecanum wheel-based mobile robots possess true omnidirectional capabilities,  
7 allowing them to move in multiple directions with ease. This exceptional maneuverability  
8 enables them to navigate through narrow spaces and crowded environments, where conven-  
9 tional wheeled robots would struggle. By effortlessly moving forward, backward, sideways,

**Table 1. List of Abbreviations**

Abbreviation	Full Form
4-MWMR	Four Mecanum Wheeled Mobile Robot
MPC	Model Predictive Control
MFC	Model Free Control
SMC	Sliding Mode Control
iPD	Intelligent Proportional-Derivative
iPDSMC	Intelligent Proportional-Derivative Sliding Mode Control
ULM	Ultra-local Model
ULMFC	Ultra-local Model-free Control
ESO	Extended state observe
LESO	Linear Extended state observe

and even rotating in tight areas, these robots excel in optimizing productivity and efficiency. These exceptional capabilities have led to the widespread adoption of mobile robots equipped with Mecanum wheels in various industries, where their applications have been notably pronounced. These robots have proven to be immensely valuable in warehouse automation [1], hospital logistics [2], services [3], industrial field [4],...

With such practical applications as mentioned above, trajectory tracking has become a fundamental and essential problem in mobile robot motion control, drawing significant attention in the field of mobile robot research. Accurate trajectory tracking is of utmost importance for 4-MWMR, as it directly reflects the effectiveness and performance of the tracking process.

In literature, control strategies can be roughly categorized into two main types: model-based and model-free approaches. Model-based control methods rely on mathematical

models that describe the dynamics or kinematics of the system being controlled. Based on the kinematic model, several research papers have proposed control strategies for Mecanum mobile robots such as PID controller in [5–7] or LQR in [8]. It is worth mentioning that while the kinematic model-based control strategies provide simplified representations of the robot's motion, they disregard the effects of inertia and motor torque limitations, assuming that the desired velocities will be precisely and instantaneously achieved. However, in the case of heavier robots or those with less powerful actuators, relying solely on a kinematic controller may not be adequate. These robots may require additional time to accelerate or may be limited by their actuator capabilities. Due to the limitations of the kinematic model, many researchers have developed controllers based on the dynamic model. Prominent examples of control strategies based on the dynamic model include: MPC controller [9–12], backstepping control [13–15], and sliding mode control. While the MPC controller can provide robustness against the model uncertainties and disturbances, it requires an accurate mathematical model of the plant. And it is important to note that SMC can introduce chattering phenomena, characterized by high-frequency oscillations around the sliding surface. This chattering effect can result in increased wear and tear on the actuators and potentially affect the overall system stability and performance. Numerous studies have been conducted to reduce and eliminate chattering associated with controlling the 4-MWMR such as super-twisting sliding mode control [16], adaptive second-order sliding mode [17], nonsingular terminal sliding mode [18], etc. However, the success of these control methods is strongly governed by the accuracy of the 4-MWMR's mathematical model. Neural networks-based control strategies are also remarkable since the reliance on explicit model assumptions can be minimized [19]. However, this approach requires a significant amount of data.

To address the challenges of model-based control, a model-free control technique has been

46 developed, with one prominent method that has garnered significant attention ultra-local  
47 model-free control [20, 21]. It works with an ultra-local model continuously updated via  
48 input-output information. The greatest advantage of the ULMFC is that it does not rely on  
49 mathematical models or even ultra-local models during the tuning process of the controller.  
50 Due to the need for continuous updating of the ultra-local model (ULM), various research  
51 studies have employed different methods to address this challenge. Some notable approaches  
52 include: approximated by a piecewise function [21], sliding mode observer [bib22], extended  
53 state observer [22], dual disturbance observers [23], etc. In this paper, we utilize the linear  
54 extended state observer (LESO) [24, 25], a simple yet highly effective observer that can  
55 simultaneously estimate unmodeled dynamics and external disturbances. Moreover, in cases  
56 where estimation errors may occur in the observer, the sliding mode control is employed  
57 to mitigate these discrepancies. In the previous section, we mentioned several improved  
58 methods for the SMC. Although significant improvements have been made in terms of  
59 performance enhancement and reducing chattering phenomena, some of these algorithms  
60 are too complex to be practically implemented. In this research, to strike a balance between  
61 real-world applicability, performance enhancement, and reduction of chattering phenomenon,  
62 the SMC with improved reaching law is adopted and clarified in the subsequent sections.

63 The remainder of this paper is organized as follows. In section II, we construct a dynamic  
64 model of the 4-MWMR. Next, the process of designing the controller and observer will be  
65 presented in section III. The stability of the controller and observer is carried out in section  
66 IV. Finally, the simulation scenarios and conclusions are conducted in sections V and VI,  
67 respectively.

**Table 2. NOMENCLATURE**

Symbol	Description
$\mathbf{q}_q = \begin{bmatrix} x_q & y_q & \phi \end{bmatrix}^T$	Robot position in inertial frame
$\mathbf{q} = \begin{bmatrix} x_r & y_r & \phi \end{bmatrix}^T$	Robot position in body frame
$\mathbf{q}_{ref}$	The reference trajectory
$\theta_i$	Angular velocity of the $i$ th wheel
$\boldsymbol{\tau}_c = \begin{bmatrix} \tau_1 & \tau_2 & \tau_3 & \tau_4 \end{bmatrix}^T$	External generalized force generated by four motors
$\mathbf{f} = \begin{bmatrix} f_1 & f_2 & f_3 & f_4 \end{bmatrix}^T$	Static friction of 4 wheels
$D_\theta$	Wheel's viscous friction coefficient
$I_w$	The moment of inertia of the wheel around its center of revolution
$I_z$	Robot's moment of inertia around the rotation center
$m$	Total mass of the FMWMR
$R$	The radius of Mecanum wheel
$a$	Half width of the FMWNR
$b$	Half length of the FMWMR
$R(\phi)$	Coordinate Transformation Matrix between Inertial and Body frame

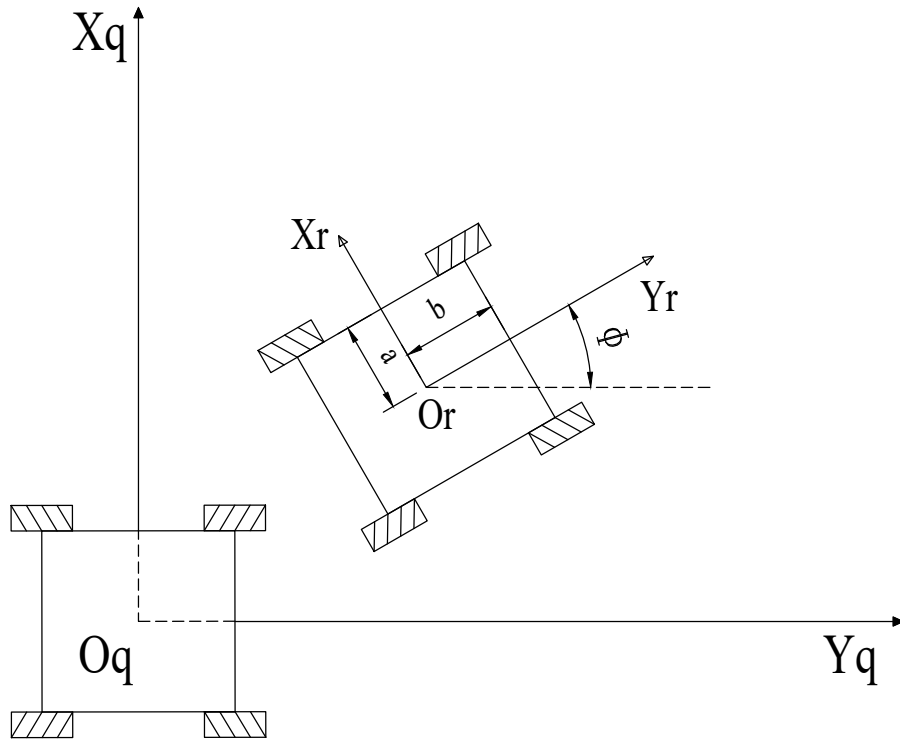
## 2. System Description

The mathematical model described here is intended exclusively for simulation purposes and is not intended for use in controller design.

### 2.1. Kinematic of FMWMR

To obtain the kinematic model of the 4-MWMR, we assume that

- The robot moves on a flat horizontal plane
- The 4-MWMR is composed of a rigid body



**Fig. 1.** Coordinate system assignment of the 4-MWMR

- There is no slipping and skidding when the robot moves

Based on extensive and meticulous research conducted over the years, the kinematic model of the 4-MWMR with coordinate system assignment shown in Fig. 1 can be described by

$$\begin{bmatrix} \dot{\theta}_1 \\ \dot{\theta}_2 \\ \dot{\theta}_3 \\ \dot{\theta}_4 \end{bmatrix} = \frac{1}{R} \begin{bmatrix} 1 & 1 & (a+b) \\ -1 & 1 & -(a+b) \\ 1 & 1 & -(a+b) \\ -1 & 1 & (a+b) \end{bmatrix} \begin{bmatrix} \dot{x}_r \\ \dot{y}_r \\ \dot{\phi} \end{bmatrix} \quad (1)$$

78 Define a Jacobian matrix as

$$J = \begin{bmatrix} 1 & 1 & (a+b) \\ -1 & 1 & -(a+b) \\ 1 & 1 & -(a+b) \\ -1 & 1 & (a+b) \end{bmatrix} \quad (2)$$

79 Since  $J$  is a non-square matrix, there exists a pseudo-inverse of  $J$  such that

$$J^+ = \frac{1}{4} \begin{bmatrix} 1 & -1 & 1 & -1 \\ 1 & 1 & 1 & 1 \\ \frac{1}{a+b} & \frac{-1}{a+b} & \frac{-1}{a+b} & \frac{1}{a+b} \end{bmatrix} \quad (3)$$

80 Substituting (2) into (1), it yields

$$\begin{bmatrix} \dot{x}_r \\ \dot{y}_r \\ \dot{\phi} \end{bmatrix} = R J^+ \begin{bmatrix} \dot{\theta}_1 \\ \dot{\theta}_2 \\ \dot{\theta}_3 \\ \dot{\theta}_4 \end{bmatrix} \quad (4)$$

81 The constraint between the inertial and body frames can be described by

$$\begin{bmatrix} \dot{x}_q \\ \dot{y}_q \\ \dot{\phi} \end{bmatrix} = \mathbf{R}(\phi) \begin{bmatrix} \dot{x}_r \\ \dot{y}_r \\ \dot{\phi} \end{bmatrix} \quad (5)$$

82

$$\mathbf{R}(\phi) = \begin{bmatrix} \cos(\phi) & -\sin(\phi) & 0 \\ \sin(\phi) & \cos(\phi) & 0 \\ 0 & 0 & 1 \end{bmatrix}. \quad (6)$$

## 2.2. Dynamic model of FMWMR

As proposed in [26] and mentioned in [16], the dynamic model of the 4-MWMR can be expressed based on the Lagrange's equation as follows:

$$\begin{aligned}
 & 2(\tau_c - F_0) - \frac{\partial D_\theta \sum_{i=1}^4 \dot{\theta}_i^2}{\partial \theta} \\
 &= \frac{\partial}{\partial t} \frac{\partial \left( m \left( \dot{x}_q^2 + \dot{y}_q^2 \right) + J_z \dot{\phi}^2 + J_\omega \sum_{i=1}^4 \dot{\theta}_i^2 \right)}{\partial \theta} \\
 &= \frac{\partial \left( m \left( x_q^2 + y_q^2 \right) + J_z \dot{\phi}^2 + J_\omega \sum_{i=1}^4 \dot{\theta}_i^2 \right)}{\partial \theta},
 \end{aligned} \tag{7}$$

In which,

$$\tau_c = M\ddot{\theta} + D_\theta \dot{\theta} + F_0 \tag{8}$$

$$F_0 = f \cdot \begin{bmatrix} \text{sign}(\theta_1) & \text{sign}(\theta_2) & \text{sign}(\theta_3) & \text{sign}(\theta_4) \end{bmatrix} \tag{9}$$

$$\mathbf{M} = \begin{bmatrix} C & -B & B & D \\ -B & C & D & B \\ B & D & C & -B \\ D & B & -B & C \end{bmatrix} \tag{10}$$

With

$$\begin{aligned}
 A &= \frac{m \cdot R^2}{8}, \\
 B &= \frac{I_z \cdot R^2}{16 \cdot (W + L)^2}, \\
 C &= A + B + I_W, \\
 D &= A - B
 \end{aligned}$$

Considering the unknown dynamic disturbances and uncertainties which always exist in practice, then (8) can be rewritten as:

$$\tau_c + \tau_d = (M + \Delta M)\ddot{\theta} + (D_\theta + \Delta D_\theta)\dot{\theta} + F_0 + \Delta F_0 \tag{11}$$



90 In which,  $\tau_d$ ,  $\Delta M$ ,  $\Delta D_\theta$  and  $\Delta F_0$  represent the unknown disturbances. Hence,

$$\tau_c + \tau_d + H_d = M\ddot{\theta} + D_\theta\dot{\theta} + F_0 \quad (12)$$

91 with  $H_d = -\Delta M\ddot{\theta} - \Delta D_\theta\dot{\theta} - \Delta F_0$ .

92  $\tau_d + H_d$  is defined as the lumped disturbance in our system. Now, substitute (4) and (5) into

93 (12), the dynamic model of the 4-MWMMR can be obtained after a fundamental manipulation

94 and described by:

$$\begin{aligned} \ddot{q} = & - \left( \zeta^+(\phi)\dot{\zeta}(\phi) + D_\theta\zeta^+(\phi)M^{-1}\zeta(\phi) \right) \dot{q} \\ & + R\zeta^+(\phi)M^{-1}(\tau_c + \tau_d + H_d - F_0) \end{aligned} \quad (13)$$

95 where,

$$q = \begin{bmatrix} x_q & y_q & \Phi \end{bmatrix}^T \quad (14)$$

$$\zeta^+(\phi) = \frac{1}{4} \begin{bmatrix} \sqrt{2}\cos(\phi_a) & -\sqrt{2}\sin(\phi_a) & \sqrt{2}\cos(\phi_a) & -\sqrt{2}\sin(\phi_a) \\ \sqrt{2}\sin(\phi_a) & \sqrt{2}\cos(\phi_a) & \sqrt{2}\sin(\phi_a) & \sqrt{2}\cos(\phi_a) \\ \frac{1}{a+b} & -\frac{1}{a+b} & -\frac{1}{a+b} & \frac{1}{a+b} \end{bmatrix} \quad (15)$$

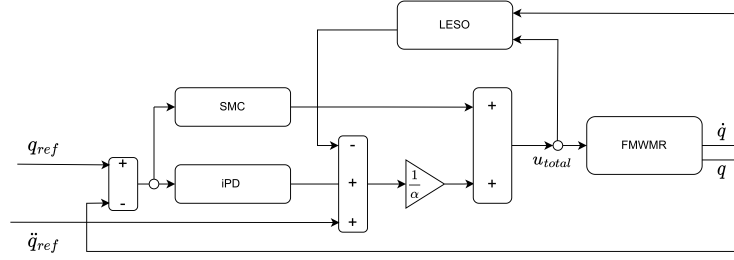
$$\zeta = \begin{bmatrix} \sqrt{2}\cos(\phi_a) & \sqrt{2}\sin(\phi_a) & a+b \\ -\sqrt{2}\sin(\phi_a) & \sqrt{2}\cos(\phi_a) & -(a+b) \\ \sqrt{2}\cos(\phi_a) & \sqrt{2}\sin(\phi_a) & -(a+b) \\ -\sqrt{2}\sin(\phi_a) & \sqrt{2}\cos(\phi_a) & a+b \end{bmatrix} \quad (16)$$

$$\dot{\zeta} = \dot{\phi} \begin{bmatrix} -\sqrt{2}\sin(\phi_a) & \sqrt{2}\cos(\phi_a) & 0 \\ -\sqrt{2}\cos(\phi_a) & -\sqrt{2}\sin(\phi_a) & 0 \\ -\sqrt{2}\sin(\phi_a) & \sqrt{2}\cos(\phi_a) & 0 \\ -\sqrt{2}\cos(\phi_a) & -\sqrt{2}\sin(\phi_a) & 0 \end{bmatrix} \quad (17)$$

96 Since the model-free controller is employed in this research, the control design is not based

97 on the dynamic model (13). However, the performance of the controller that going to be

98 designed must be verified by using (13).



**Fig. 2.** Control scheme of the proposed MFC

### 3. Control Design

In this section, the MFC design is presented. The block diagram of the proposed MFC is shown in Fig. 2, which consists of a LESO that can simultaneously estimate unmodeled dynamics and external disturbances, an iPD controller to track the reference trajectory, and an SMC to mitigate the remaining estimation errors. The control design of the proposed control system is carried out in the next subsections.

#### 3.1. Ultra-local model-free control: general principles

The main concept of the ultra-local model-free is using a local model that is continuously updated via a control signal and the system's output. Consider the following dynamic equation:

$$y^{(v)} = F + \alpha \cdot u \quad (18)$$

in which,

- $v$  is the derivative order of  $y$ , which needs to be selected appropriately for the plant. In our implementation  $v = 2$
- $\alpha$  is a constant which must be tuned appropriately
- $F$  encompasses the plant's unmodeled dynamics, uncertainty, and external disturbances

- $u$  is the control signal

Since the goal of our research is trajectory tracking control, (18) is rewritten as:

$$\ddot{\mathbf{q}} = \mathbf{F} + \alpha \mathbf{u} \quad (19)$$

Define  $\mathbf{e}$  as the tracking error, which means

$$\mathbf{e} = \mathbf{q}_{ref} - \mathbf{q} \quad (20)$$

Based on the ultra-local model [20], an *iPD* controller is employed as following:

$$\mathbf{u} = \frac{1}{\alpha} (K_p \mathbf{e} + K_D \dot{\mathbf{e}} + \ddot{\mathbf{q}}_{ref} - \hat{\mathbf{F}}) \quad (21)$$

where  $K_p$  and  $K_D$  are positive gains.

By substituting (21) into (19), it can easily be deduced that

$$\ddot{\mathbf{e}} + K_p \mathbf{e} + K_D \dot{\mathbf{e}} = \hat{\mathbf{F}} - \mathbf{F} \quad (22)$$

or

$$\ddot{\mathbf{e}} + K_p \mathbf{e} + K_D \dot{\mathbf{e}} = \tilde{\mathbf{F}} \quad (23)$$

In which,

- $\hat{\mathbf{F}}$  is the estimation of  $\mathbf{F}$  using an extended state observer that is going to be discussed in the next subsection.

- $\tilde{\mathbf{F}} = \hat{\mathbf{F}} - \mathbf{F}$  is the estimation error

It can be realized from (23) that if the observer is perfect, i.e.,  $\tilde{\mathbf{F}} \approx 0$ , then the dynamic of the tracking error can be described by

$$\ddot{\mathbf{e}} + K_p \mathbf{e} + K_D \dot{\mathbf{e}} = 0 \quad (24)$$

Meaning that the tracking performance is now only dependent on  $K_p$  and  $K_D$  of the *iPD* controller.

### 3.2. Linear Extended State Observer Design

As has been discussed, the disturbance observer plays an essential role in affecting the quality of the control system. Hence, this subsection focuses on the observer design. The Extended state observer (ESO) was initially introduced by Han [24] as a component of the ARDC, which is capable of simultaneously estimating unmodeled dynamics, parameter uncertainties, and external disturbances by treating them as a lumped perturbation. The robustness and outstanding performance of the non-linear extended state observer have attracted significant attention from researchers and have been validated through practical applications. However, one disadvantage of this observer is that the tuning of multiple parameters is complicated. To address this issue, Gao [25] proposes to use linear feedback rather than non-linear feedback, resulting in the development of the LESO in which only one parameter, i.e., the observer's bandwidth  $\omega_0$ , needs to be adjusted. In our research, the LESO is adopted to estimate the lumped disturbance  $F$ . In detail, define the state variables as:

$$\begin{cases} x_1 = \dot{q} \\ x_2 = F \end{cases} \quad (25)$$

Define  $f$  and  $\dot{f}$  are the first and the second order differentiation of the unknown lumped disturbance  $F$ , i.e.,  $f = \dot{F}$  and  $\dot{f} = \ddot{F}$

*Assumption 1:* There exists positive number  $\varepsilon_1$  and  $\varepsilon_2$  such that  $f$  and its differentiation  $\dot{f}$  are bounded by

$$\|f\| \leq \varepsilon_1 \quad (26)$$

$$\|\dot{f}\| \leq \varepsilon_2 \quad (27)$$

Base on (25), (19) can be rewritten in state-space form as:

$$\begin{cases} \dot{x}_1 = x_2 + \alpha u \\ \dot{x}_2 = f \end{cases} \quad (28)$$

Since we can directly measure  $x_1 = \dot{q}$  by an encoder in practice, the LESO can be designed as:

$$\begin{cases} \dot{\hat{x}}_1 = \hat{x}_2 + \alpha \cdot u + \beta_1 \cdot (x_1 - \hat{x}_1) \\ \dot{\hat{x}}_2 = \beta_2 (x_1 - \hat{x}_1) \end{cases} \quad (29)$$

In (29),  $\hat{x}_1 = \dot{q}$  and  $\hat{x}_2 = \hat{F}$  are the estimation of  $\dot{q}$  and  $F$ , respectively. The two remaining

parameters  $\beta_1$  and  $\beta_2$  are the observer's gains that must be tuned appropriately. Now,

subtract (29) from (28), the estimation error can be formulated by:

$$\begin{cases} \dot{\tilde{x}}_1 = \tilde{x}_2 - \beta_1 \tilde{x}_1 \\ \dot{\tilde{x}}_2 = f - \beta_2 \tilde{x}_1 \end{cases} \quad (30)$$

Differentiate both sides of (30), a fundamental manipulation yields:

$$\begin{cases} \ddot{\tilde{x}}_1 + \beta_1 \dot{\tilde{x}}_1 + \beta_2 \tilde{x}_1 = f \\ \ddot{\tilde{x}}_2 + \beta_1 \dot{\tilde{x}}_2 + \beta_2 \tilde{x}_2 = \beta_1 f + \dot{f} \end{cases} \quad (31)$$

Since  $\tilde{x}_1$ ,  $\tilde{x}_2$  and  $f$  are the same size, i.e.,  $[3 \times 1]$ , a simple Laplace transformation on both

sides of (31) gives

$$\begin{aligned} \frac{\tilde{x}_{1,i}}{f_i} &= \frac{1}{p^2 + \beta_1 p + \beta_2} \\ \frac{\tilde{x}_{2,i}}{\beta_1 f_i + \dot{f}_i} &= \frac{1}{p^2 + \beta_1 p + \beta_2} \end{aligned} \quad (32)$$

In which,  $i = [1, 2, 3]$  and  $p$  is the Laplace operator. Now, based on (32) and taking assumption

(27) into account, the following two conclusions can be drawn.

First, the stability and the dynamic of the *LESO* can be guaranteed by tuning the parameters

of the following characteristic equation

$$p^2 + \beta_1 p + \beta_2 = 0 \quad (33)$$

Since (33) represents a second-order linear dynamic system, a simple method that ensures the stability of (32) is selecting  $\beta_1$  and  $\beta_2$  as

$$\beta_1 = 2\omega_0, \beta_2 = \omega_0^2 \quad (34)$$

where  $\omega_0$  is the bandwidth of the LESO. Then, the estimation error of the LESO in steady-state is

$$\begin{aligned} \tilde{x}_{1i,s} &= \lim_{p \rightarrow 0} \frac{f_i}{p^2 + \beta_1 p + \beta_2} = \frac{f_i}{\omega_0^2} \\ \tilde{x}_{2i,s} &= \lim_{p \rightarrow 0} \frac{\beta_1 f_i + \dot{f}_i}{p^2 + \beta_1 p + \beta_2} = \frac{2\omega_0 f_i + \dot{f}_i}{\omega_0^2} \end{aligned} \quad (35)$$

Second, by taking (27) and (35) into consideration, it can be concluded that the LESO's estimation error is bounded by

$$\begin{aligned} |\tilde{x}_{1i,s}| &\leq \frac{\varepsilon_1}{\omega_0^2} \\ |\tilde{x}_{2i,s}| &\leq \frac{2\omega_0 \varepsilon_1 + \varepsilon_2}{\omega_0^2} \end{aligned} \quad (36)$$

### 3.3. Model-free Sliding Mode Control Design

As seen in (24), the *iPD* controller can perform well as the LESO is perfect. However, sensitivity to measurement noise as well as high sampling rate requirement which needs more powerful hardware are two major issues when a large bandwidth  $\omega_0$  is chosen in practice. Nevertheless, if a lower bandwidth is selected, the LESO estimation error increases as pointed out in (36), and following that the performance of the simple *iPD*-based model-free control is degraded. Hence, an additional SMC is proposed to suppress the influence of this remaining estimation error. The control design of the SMC is presented in this section, in detail.

The traditional SMC law  $u_{smc}$  is comprised of two fundamental components: an equivalent control signal and another switching term. The equivalent control action  $u_{eq}$  is responsible for

driving the system's states towards the sliding surface, which is a hyperplane that separates the desired and undesired states of the system. On the other hand, the switching control term  $u_{sw}$  ensures that the system's states remain on the sliding surface, which is essential for achieving stability and robustness in the control system. However, the problem of chattering is an inherent limitation that hinders the widespread adoption of the SMC. In this section, a model-free SMC using the double power reaching law in combination with ULM is designed. This approach not only guarantees that the sliding surface is quickly reached but also effectively reduces the chattering phenomenon. Now, consider the following SMC signal

$$u_{smc} = u_{eq} + u_{sw} \quad (37)$$

By augmenting the existing iPD controller (21) with an additional control signal  $u_{smc}$ , it yields

$$u = \frac{1}{\alpha}(K_p e + K_D \dot{e} + \ddot{q}_{ref} - \hat{F}) + u_{smc} \quad (38)$$

Substitute (38) into (19), then the dynamic of the tracking error is

$$\ddot{e} + \alpha u_{smc} + K_p e + K_D \dot{e} = \tilde{F} \quad (39)$$

Chose the sliding surface  $s$  as follows:

$$s = \dot{e} + \lambda e \quad (40)$$

In which,  $\lambda$  is a positive constant. Then, by taking the derivative on both sides of (40), it gives

$$\dot{s} = \ddot{e} + \lambda \dot{e} \quad (41)$$

Now, by extracting  $\ddot{e}$  from (39) and substituting it into (41), the dynamic of the sliding surface can be represented by

$$\dot{s} = \tilde{F} - (\alpha u_{smc} + K_p e + K_D \dot{e}) + \lambda \dot{e} \quad (42)$$

189 The equivalent control signal  $\mathbf{u}_{eq}$  can be found by solving (42) in the case of  $\dot{\mathbf{s}} = 0$  and  
 190 neglecting the unknown term  $\tilde{\mathbf{F}}$ . As a result

$$\mathbf{u}_{eq} = \frac{1}{\alpha}[-K_p \mathbf{e} + (\lambda - K_D)\dot{\mathbf{e}}] \quad (43)$$

191 In SMC design, there are plenty of reaching laws that have been proposed in the literature  
 192 to cope with the uncertainties. Among such proposed methods, the double power rate law  
 193 exhibits several advantages such as faster reaching speed and less chattering. Hence, this  
 194 approach is chosen in this research. Considering the following switching control signal

$$\mathbf{u}_{sw} = \frac{k_1}{\alpha} \cdot |\mathbf{s}|^{\cdot\gamma} \cdot \text{sign}(\mathbf{s}) + \frac{k_2}{\alpha} \cdot |\mathbf{s}|^{\cdot\beta} \cdot \text{sign}(\mathbf{s}) \quad (44)$$

195 with  $(k_1, k_2 > 0)$ ,  $\gamma > 1$ ,  $0 < \beta < 1$ . Besides,  $|\mathbf{s}|^{\cdot\gamma} \cdot \text{sign}(\mathbf{s})$  mean element-wise operation.

196 Substitute (43), (44) into (42) with consideration of (37), it gives

$$\dot{\mathbf{s}} = \tilde{\mathbf{F}} - k_1 \cdot |\mathbf{s}|^{\cdot\gamma} \cdot \text{sign}(\mathbf{s}) - k_2 \cdot |\mathbf{s}|^{\cdot\beta} \cdot \text{sign}(\mathbf{s}) \quad (45)$$

197 In (45), the two terms  $k_1 \cdot |\mathbf{s}|^{\cdot\gamma} \cdot \text{sign}(\mathbf{s})$  and  $k_2 \cdot |\mathbf{s}|^{\cdot\beta} \cdot \text{sign}(\mathbf{s})$  respectively play a dominant role  
 198 as  $|\mathbf{s}| > 1$  and  $|\mathbf{s}| < 1$ , while the influence of the remaining part is weakened. Due to this  
 199 characteristic, this law guarantees a consistently fast-reaching speed for the control system.  
 200 Finally, by taking summation of (43) and (44), the final employed SMC law is

$$\mathbf{u}_{smc} = \frac{1}{\alpha}[-K_p \mathbf{e} + (\lambda - K_D)\dot{\mathbf{e}} + k_1 \cdot |\mathbf{s}|^{\cdot\gamma} \cdot \text{sign}(\mathbf{s}) + k_2 \cdot |\mathbf{s}|^{\cdot\beta} \cdot \text{sign}(\mathbf{s})] \quad (46)$$

201 And the final control signal is obtained by substituting (46) into (38), which results in

$$\mathbf{u} = \frac{1}{\alpha}[\ddot{\mathbf{q}}_{ref} + \lambda \dot{\mathbf{e}} + k_1 \cdot |\mathbf{s}|^{\cdot\gamma} \cdot \text{sign}(\mathbf{s}) + k_2 \cdot |\mathbf{s}|^{\cdot\beta} \cdot \text{sign}(\mathbf{s}) - \hat{\mathbf{F}}] \quad (47)$$

## 202 4. Stability analysis

203 First, the stability of the *LESO* is guaranteed by (34). Then, the stability of the control system  
 204 is actually dependent on (45). Considering the following candidate Lyapunov function:

$$\mathbf{V} = \frac{1}{2} \mathbf{s}^T \mathbf{s} \quad (48)$$



205 Differentiate both sides of (48) and take (45) into account, it yields

$$\begin{aligned}\dot{\mathbf{V}} &= \mathbf{s}^T \dot{\mathbf{s}} \\ &= \mathbf{s}^T (\tilde{\mathbf{F}} - k_1 |\mathbf{s}|^\gamma \text{sign}(\mathbf{s}) - k_2 |\mathbf{s}|^\beta \text{sign}(\mathbf{s}))\end{aligned}\tag{49}$$

206 Equation (49) can be rewritten in detail as

$$\dot{V}_i = s_i [\tilde{f}_i - k_1 |s_i|^\gamma \text{sign}(s_i) - k_2 |s_i|^\beta \text{sign}(s_i)]; \text{ with } i = (1, 2, 3) \tag{50}$$

207 As previously discussed, the reaching phase of the double power reaching law can be divided  
208 into two regions, corresponding to  $|s_i| > 1$  and  $|s_i| \leq 1$ . In the former region,  $k_1 |s_i|^\gamma \text{sign}(s_i)$   
209 is dominant while  $k_2 |s_i|^\beta \text{sign}(s_i)$  is essential in the latter one. Therefore, the stability analysis  
210 can also be performed in those two regions respectively.

211 First, suppose that  $s_i > 1$ , then (50) can approximately represented by

$$\dot{V}_i \approx s_i [\tilde{f}_i - k_1 s_i^\gamma] \tag{51}$$

212 As referred from (36),  $|\tilde{f}_i| = |\tilde{x}_{2i,s}| \leq \frac{2\omega_0 \varepsilon_1 + \varepsilon_2}{\omega_0^2}$ . Then (51) fulfills

$$\begin{aligned}\dot{V}_i &\approx s_i [\tilde{f}_i - k_1 s_i^\gamma] \\ &< s_i \left[ \frac{2\omega_0 \varepsilon_1 + \varepsilon_2}{\omega_0^2} - k_1 s_i^\gamma \right]\end{aligned}\tag{52}$$

213 Inequality (52) shows that the stability of the control system in this phase can be guaranteed  
214 by choosing  $k_1$  so that  $\dot{V}_i < 0$  for all  $s_i > 1$  and  $\gamma > 1$ . Therefore,

$$\begin{aligned}k_1 &> \max \left[ \frac{1}{s_i^\gamma} \left( \frac{2\omega_0 \varepsilon_1 + \varepsilon_2}{\omega_0^2} \right) \right] \\ \rightarrow k_1 &> \frac{2\omega_0 \varepsilon_1 + \varepsilon_2}{\omega_0^2}\end{aligned}\tag{53}$$

215 Similarly, consider the situation where  $0 < s_{i,min} < s_i < 1$ , in which  $0 < s_{i,min} \ll 1$  is a small  
216 expected boundary. Then (50) can be reformulated by

$$\dot{V}_i \approx s_i [\tilde{f}_i - k_2 s_i^\beta] \tag{54}$$

217 To guarantee the stability of the control system in this case,  $k_2$  must be selected such that  
 218  $\dot{V}_i < 0$ . Consequently,

$$\begin{aligned} k_2 &> \max \frac{\tilde{f}_i}{s_i^\beta} \\ \rightarrow k_2 &> \left[ \frac{1}{s_{i,min}^\beta} \left( \frac{2\omega_0\varepsilon_1 + \varepsilon_2}{\omega_0^2} \right) \right] \end{aligned} \quad (55)$$

219 By choosing  $k_1$  and  $k_2$  according to (52) and (55),  $\dot{V}_i < 0$  is also true in the case where  $s_i < -1$   
 220 and  $-1 < s_i < -s_{i,min} < 0$ , respectively. This means the sliding variable  $s_i$  approaches a  
 221 small vicinity  $[-s_{i,min}, s_{i,min}]$  asymptotically. As a result, the stability of the control system is  
 222 guaranteed and the chattering phenomenon is restricted in a small region  $[-s_{i,min}, s_{i,min}]$ .

## 223 5. Numerical simulations and discussions

**Table 3. System's Parameters**

Symbol	Description	Symbol	Description
$a$	0.3(m)	$b$	0.3(m)
$m$	40(kg)	$R$	0.075(m)
$I$	6(N·m)	$f_i$	10(N)
$D_\theta$	0.2	$\lambda$	3
$k_1$	0.8	$k_2$	0.8
$\alpha$	1.2	$\beta$	0.6
$\omega_0$	100(rad/s)		

224 In this section, various numerical simulations are carried out to determine the effectiveness  
 225 of the proposed trajectory-tracking control system. The parameters of the 4-MWMR and  
 226 the controller are provided in Table 3. Two typical references including step and circular  
 227 trajectories are employed in simulations. In addition, the proposed controller is also compared

228 with the iPD controller and the classical SMC to show a more comprehensive evaluation.

229 Define the reference trajectory  $\mathbf{q}_{ref}$  as

$$\mathbf{q}_{ref} = \begin{bmatrix} x_{ref}(t) \\ y_{ref}(t) \\ \phi_{ref}(t) \end{bmatrix} \quad (56)$$

230 Then, a step change trajectory can be described by

$$\begin{aligned} x_{ref} &= \begin{cases} 0, t < 10 \\ 0.1(t - 10), t \geq 10 \end{cases} \\ y_{ref} &= \begin{cases} 0.1t, t < 10 \\ 1, t \geq 10 \end{cases} \\ \phi_{ref} &= \begin{cases} 0, t < 10 \\ 0, t \geq 10 \end{cases} \end{aligned} \quad (57)$$

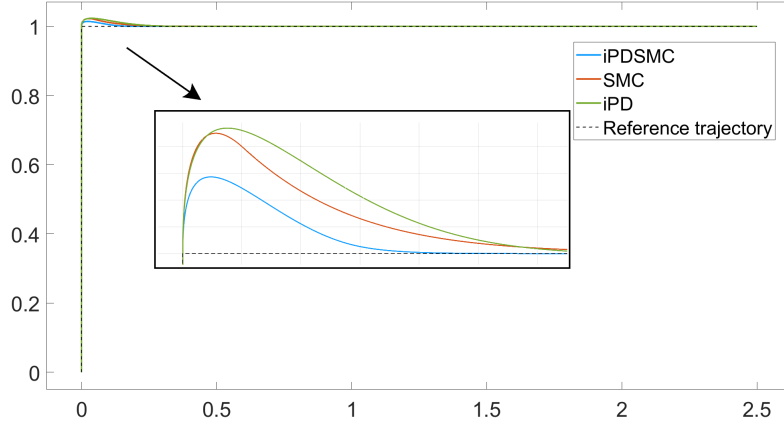
231 This means the 4-MWMMR moves along the  $y$  axis in the first 10 seconds and turns right  
232 suddenly after that without rotating its body.

233 The employed circular trajectory is represented by

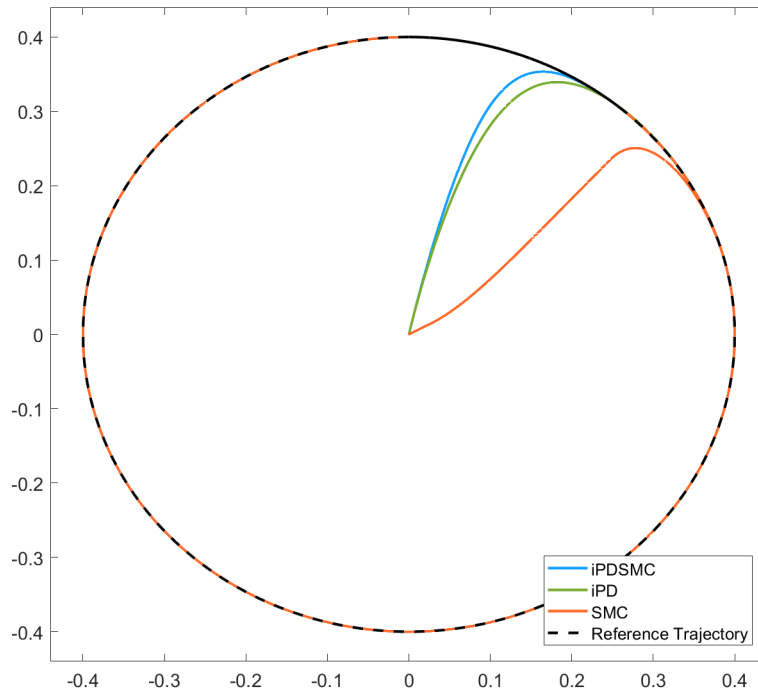
$$\mathbf{q}_{ref} = \begin{bmatrix} x_{ref}(t) \\ y_{ref}(t) \\ \phi_{ref}(t) \end{bmatrix} = \begin{bmatrix} 0.6\sin(0.2t)(m) \\ 0.6\cos(0.2t)(m) \\ 0.6\sin(0.2t)(rad) \end{bmatrix} \quad (58)$$

234 In the first scenario, simulations are conducted under ideal conditions where all parameters  
235 are constant and no modeling errors, as well as disturbances, exist. Simulation results with  
236 step and circular reference trajectories are shown in Fig. 3 and Fig 4, respectively.

237 By observing the above-mentioned results, it can be seen that all three controllers in-  
238 cluding iPD, SMC, and iPDSCMC can drive the 4-MWMMR to track the reference trajectories



**Fig. 3.** Tracking performance under ideal conditions with step trajectory



**Fig. 4.** Tracking trajectory under ideal condition with circular trajectory

asymptotically. In addition, none of the three controllers exhibit steady-state errors.

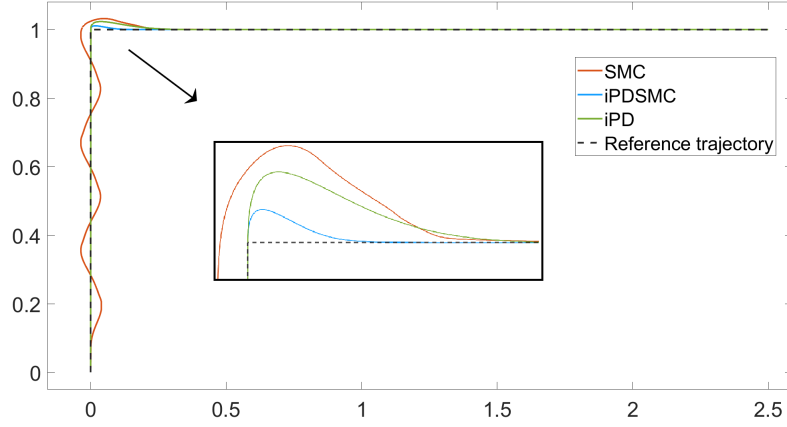
In the second scenario, simulations are conducted under the influence of the following

lumped disturbance

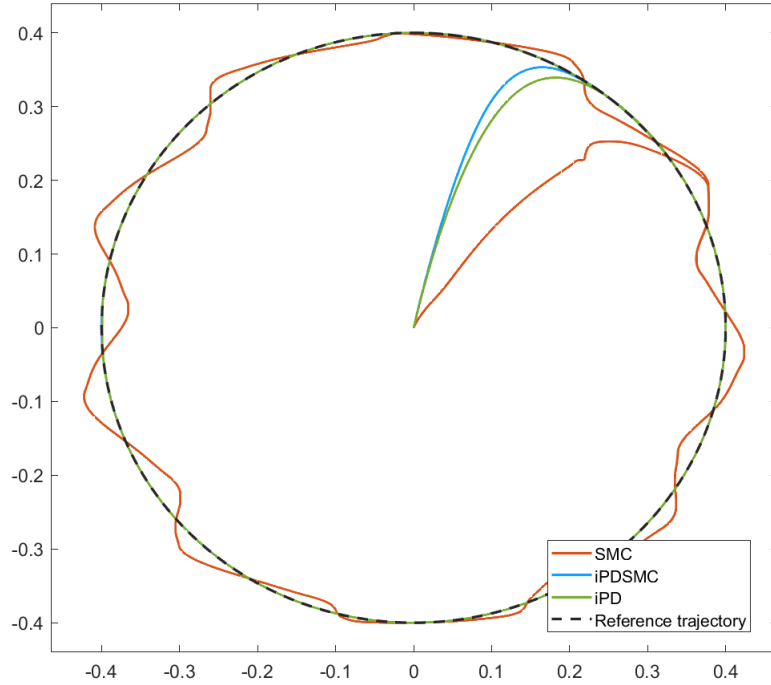
$$\tau_d = \begin{bmatrix} 0.5\sin(2t) & 0.5\cos(2t) & 0.5\sin(2t) & 0.5 * \cos(2t) \end{bmatrix}^T \quad (59)$$

Simulation results shown in Fig. 5 and Fig. 6 indicate that under the affection of the

unmatched disturbance (36), the classical SMC is no longer able to control the 4-MWMR to

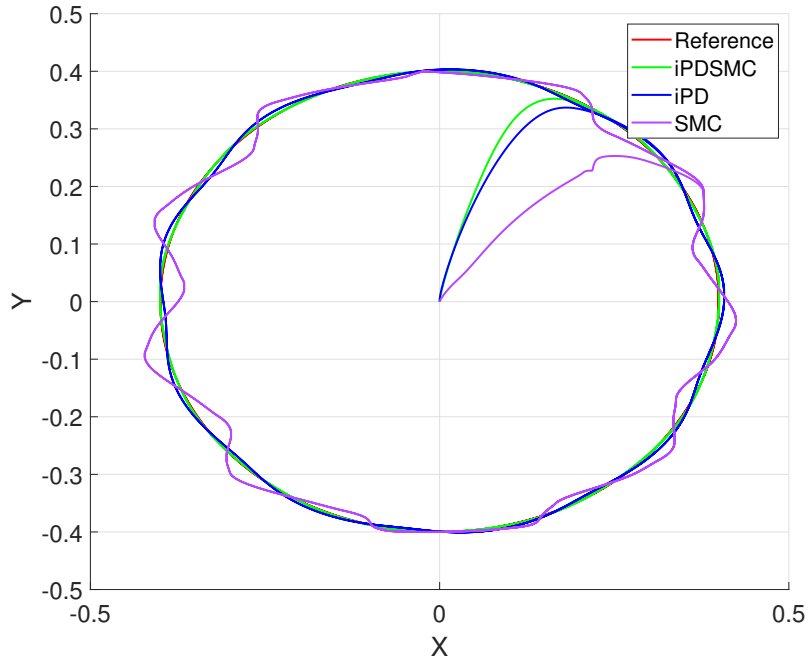


**Fig. 5.** Tracking performance with step trajectory under disturbance

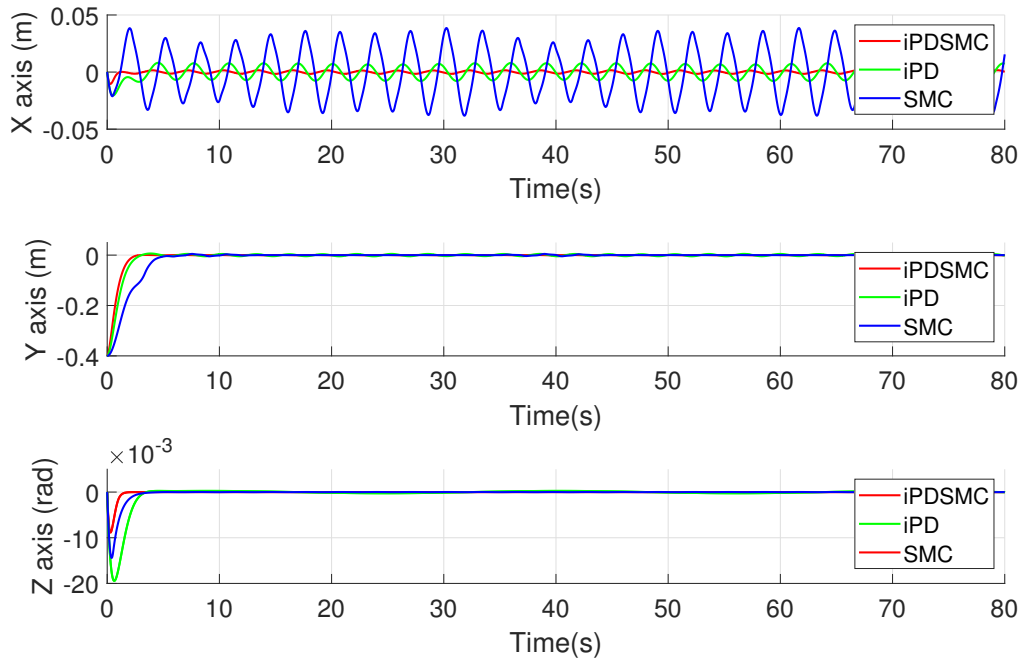


**Fig. 6.** Tracking performance with circle trajectory under disturbance

track the reference trajectory in both cases. In contrast, both iPDSC and iPD controllers  
 still perform well without steady-state error. Both above simulation results show that there  
 is no remarkable difference between the *iPD* and the *iPDSC*-based model-free control in  
 terms of tracking performance. The main reason is that the bandwidth  $\omega_0$  of the *LESO* is  
 sufficiently large, i.e.,  $100(\text{rad/s})$ , so that the estimation error of the *LESO* can be neglected.



**Fig. 7.** Circular trajectory tracking control with  $\omega_0 = 50(rad/s)$



**Fig. 8.** Tracking error with with  $\omega_0 = 50(rad/s)$

To show the advantage of the proposed *iPDSMC* over the classical *iPDSMC*, simulations with a lower bandwidth, i.e.,  $\omega_0 = 50(\text{rad/s})$  are conducted, and the results are shown in Fig. 7 and Fig. 8. It can easily be seen that the tracking performance of the *iPD* controller degrades significantly as  $\omega_0$  decreases. In contrast, the proposed *iPDSMC* maintains its performance with unnoticeable changes.

## 6. CONCLUSIONS

In this research, the trajectory tracking control problem of a 4-MWMMR based on the model-free control strategy is presented. This approach eliminates the time-consuming effort that is used to build a precise mathematical model for control design. Theoretical analysis is carried out, which shows that the performance of the control system not only depends on the parameters of the classical *iPD* controller but also the bandwidth of the LESO which continuously updates the unknown lump disturbances. Then, an *iPDSMC* control strategy is proposed to guarantee the balance between measurement noise immunity, hardware cost, and control system performance. Numerical simulations show that at low LESO bandwidth, the proposed strategy performs much better than the classical *iPD* controller in terms of tracking accuracy. This contribution is meaningful in practice since a low LESO bandwidth gives the control system the ability to reject the high-frequency noise influences. Besides, the cheaper controllers can be used due to the fact that the sampling rate of the control system can be diminished along with the bandwidth.

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