

# Chapter 3: The Data Link Layer

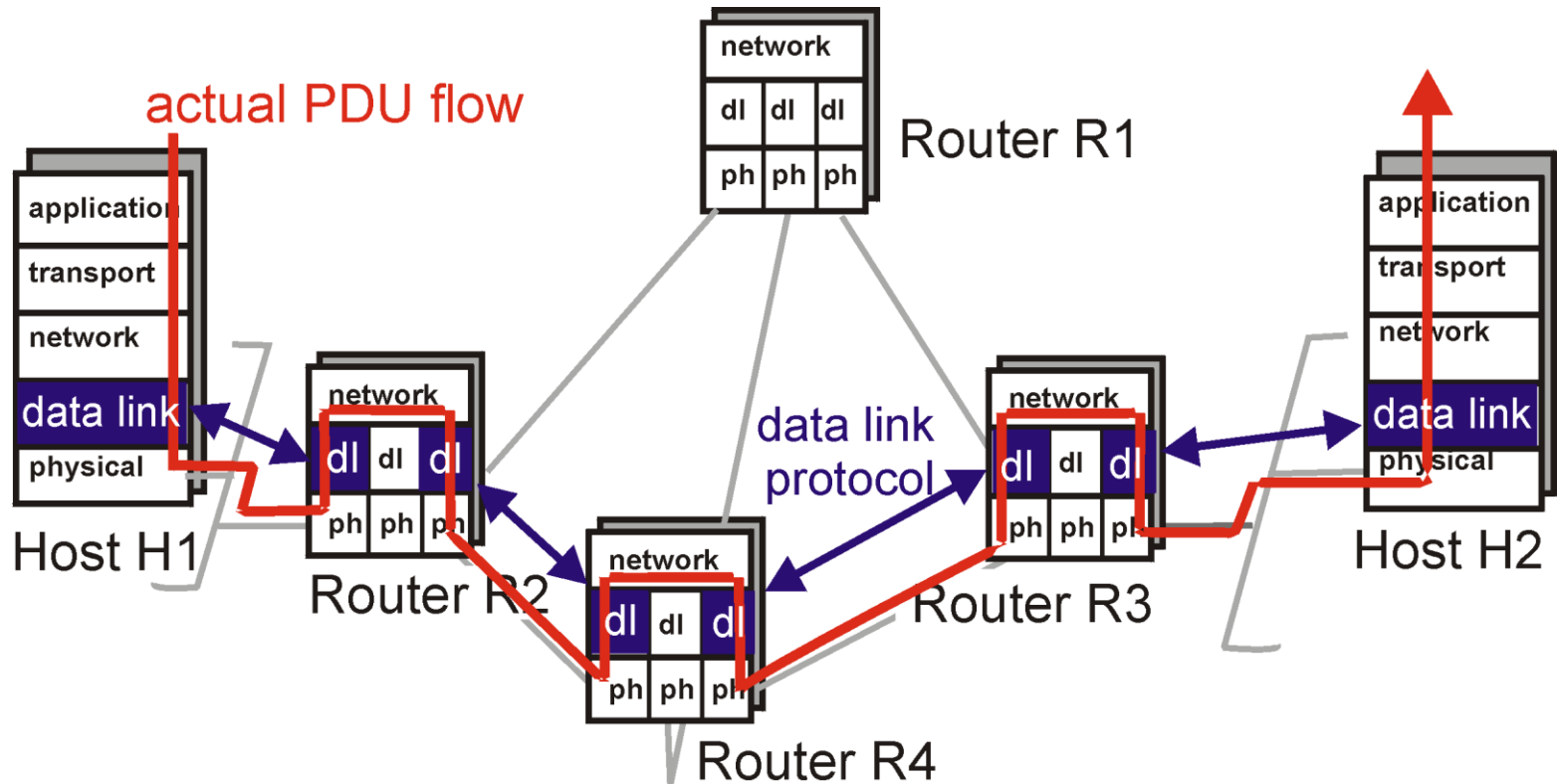
## Our goals:

- ❑ understand principles behind data link layer services:
  - framing
  - error detection, correction
  - reliable data transfer
  - sharing a broadcast channel: multiple access

## Overview:

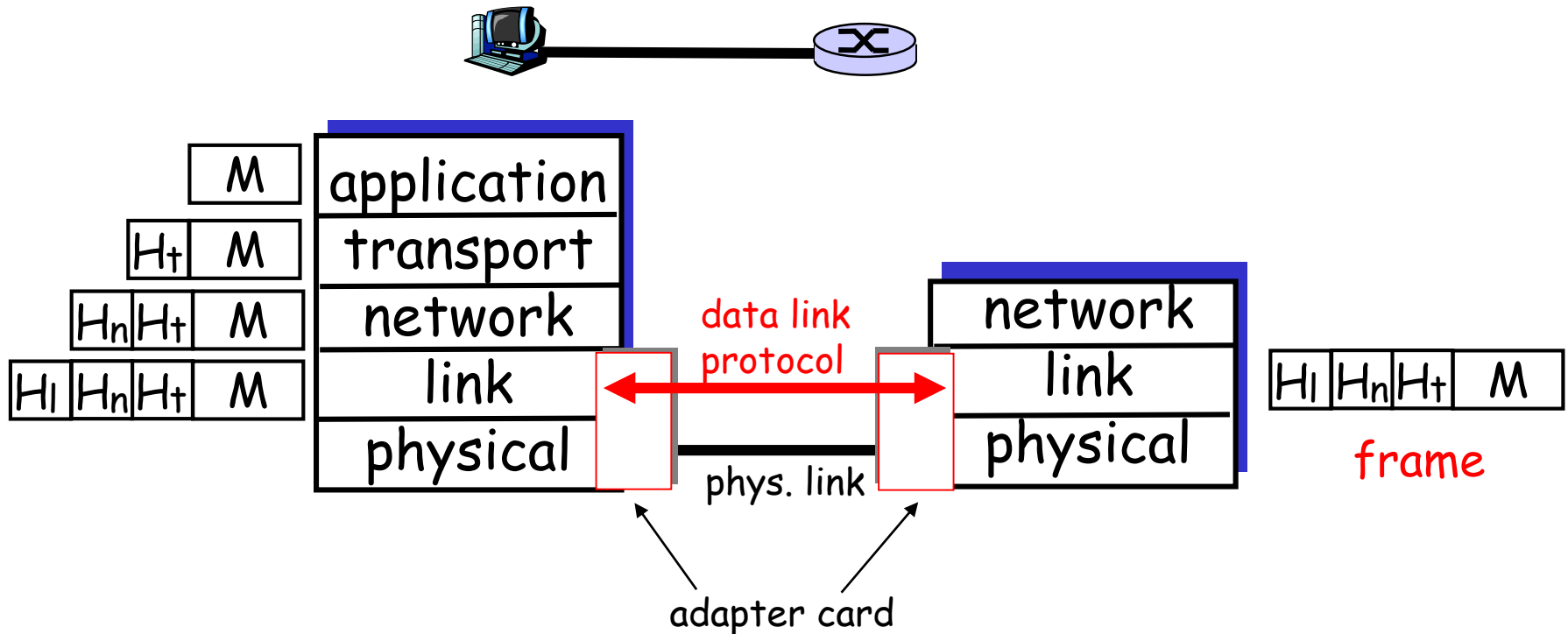
- ❑ link layer services
- ❑ framing
- ❑ error detection, correction
- ❑ reliable data transfer
- ❑ multiple access protocols

# Link Layer: setting the context



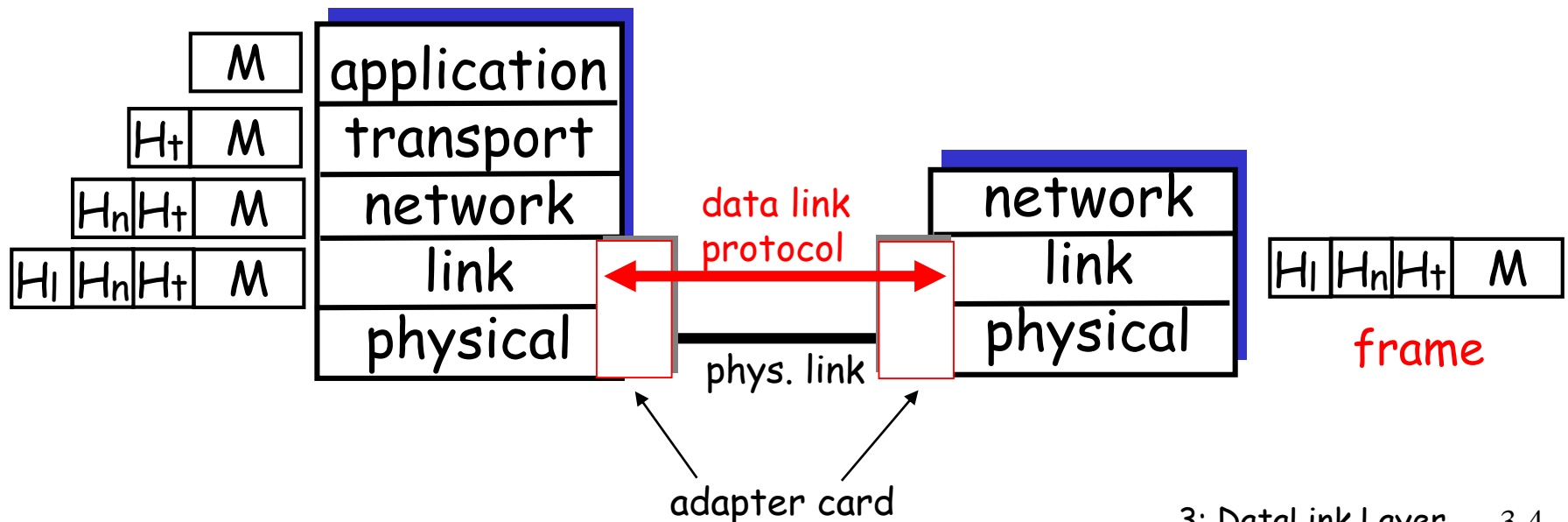
# Link Layer: setting the context

- two *physically connected* devices:
  - host-router, router-router, host-host
- unit of data: *frame*



# Link Layer: Implementation

- implemented in “adapter”
  - e.g., PCMCIA card, Ethernet card
  - typically includes: RAM, DSP chips, host bus interface, and link interface



# Link Layer Services

## ❑ Framing, link access:

- encapsulate datagram into frame, adding header, trailer
- implement channel access if shared medium,
- 'physical addresses' used in frame headers to identify source, dest

## ❑ Reliable delivery between two physically connected devices:

- Reliable data transfer protocol
- seldom used on low bit error link (fiber, some twisted pair)
- wireless links: high error rates

# Link Layer Services (more)

## ❑ Flow Control:

- pacing between sender and receivers

## ❑ Error Detection:

- errors caused by signal attenuation, noise.
- receiver detects presence of errors:
  - signals sender for retransmission or drops frame

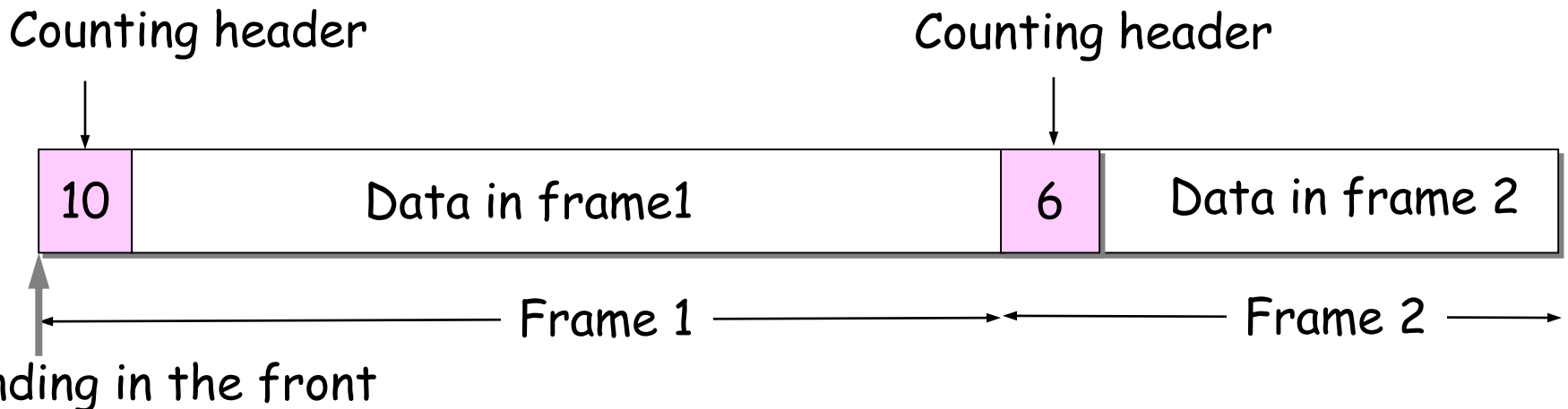
## ❑ Error Correction:

- receiver identifies *and corrects* bit error(s) without resorting to retransmission

# Framing

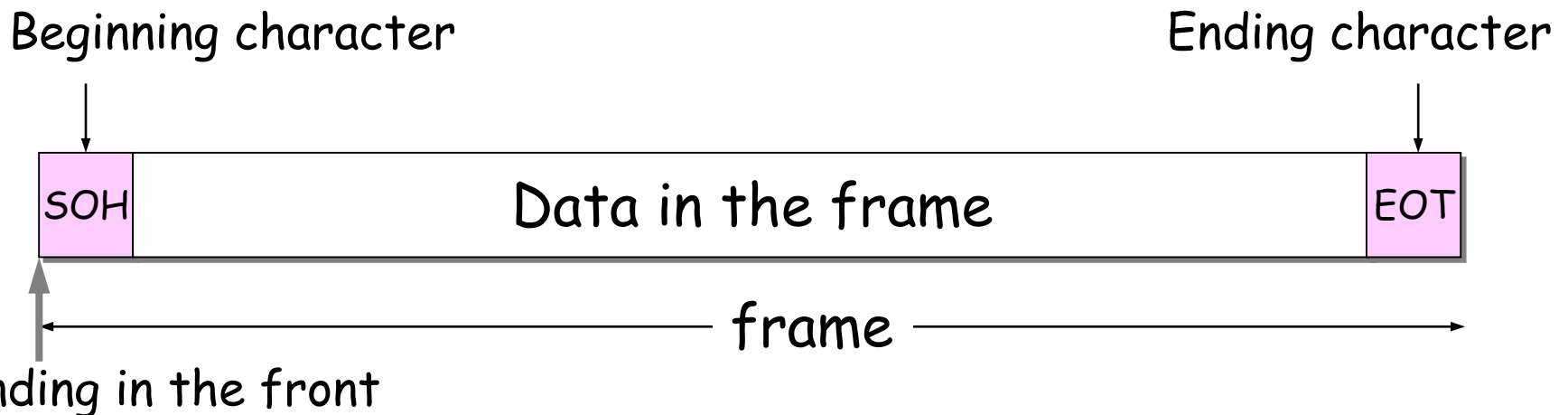
## ❑ Character count method :

- encapsulate datagram into frame, adding header (character number)



# Framing

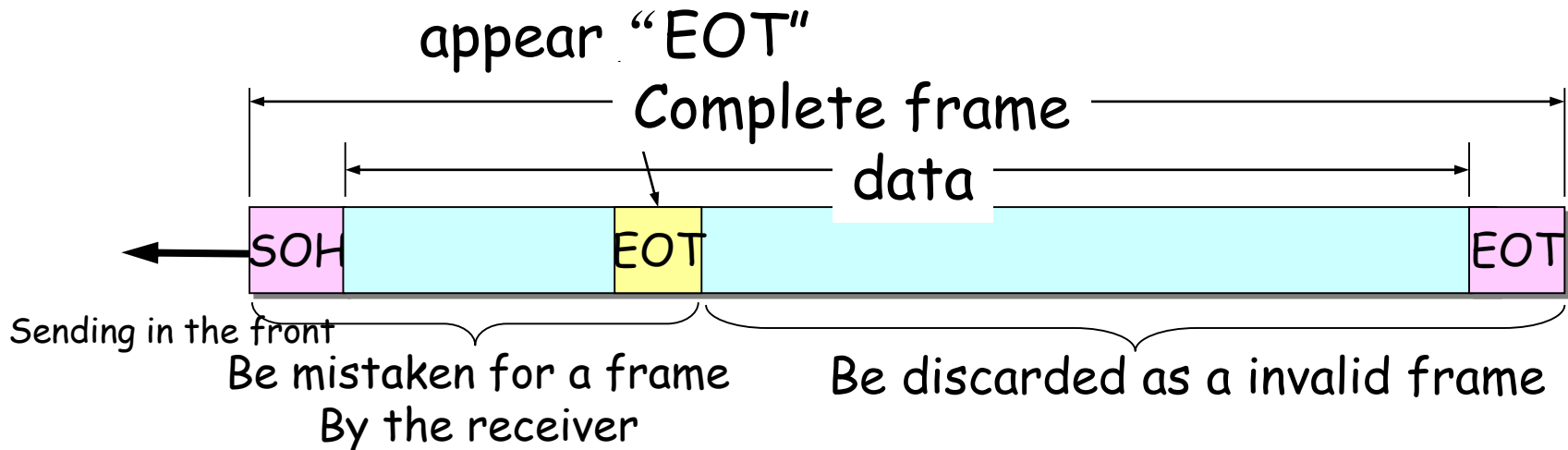
- ❑ First and tail bound method based on character:
  - encapsulate datagram into frame, adding header, trailer (character)





# Framing

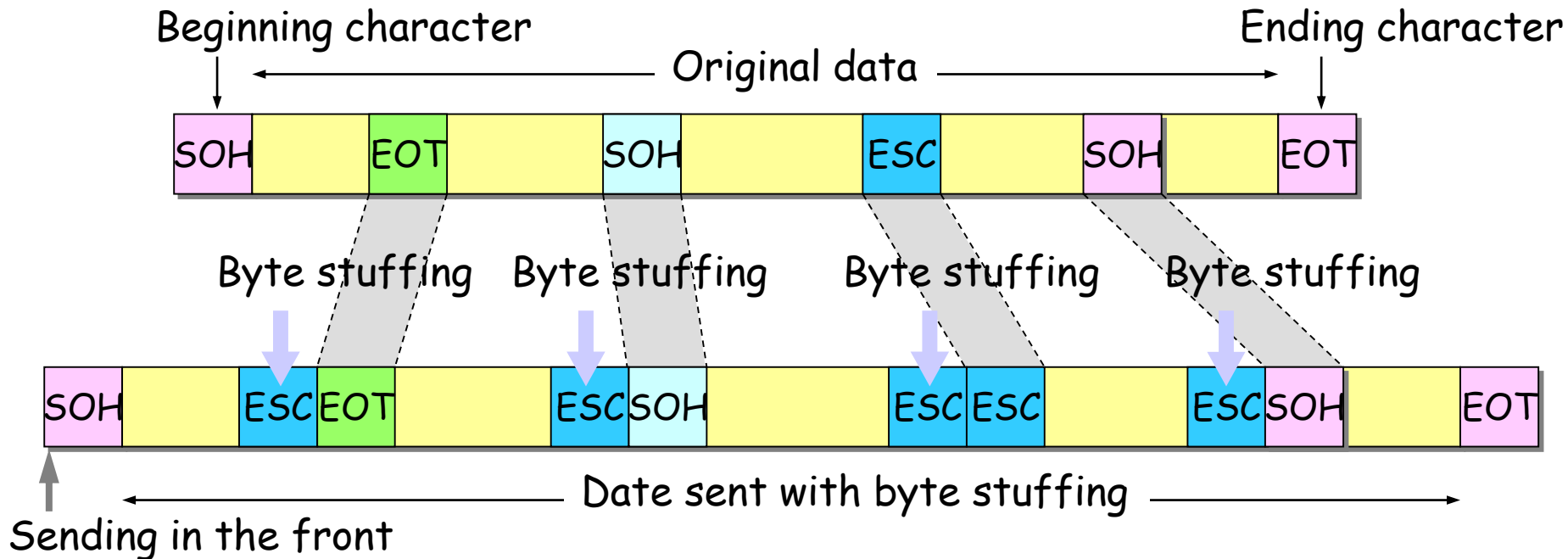
- ❑ First and tail bound method based on character:
  - encapsulate datagram into frame, adding header, trailer (character)



# Framing

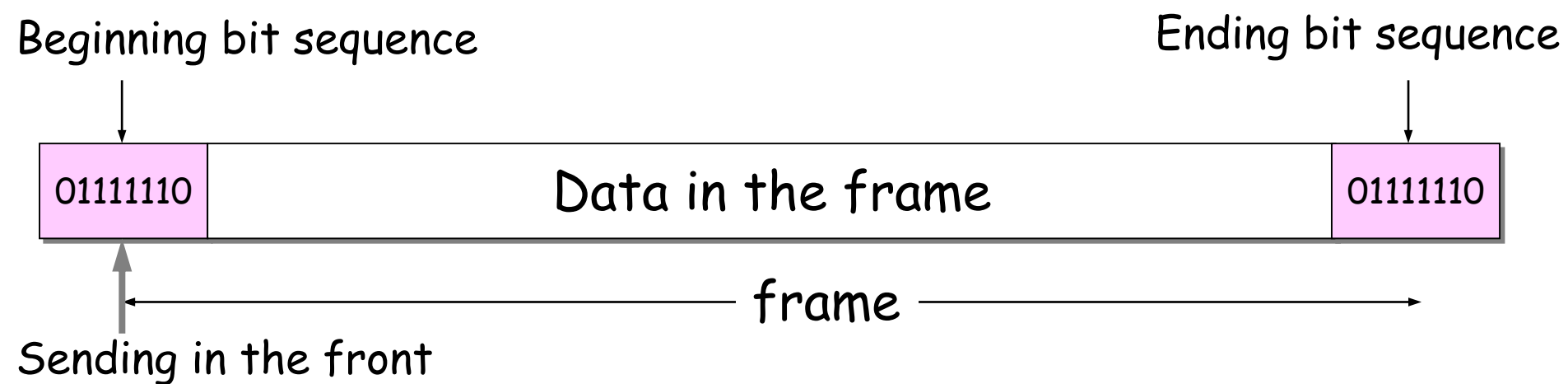
## ❑ First and tail bound method based on character:

- Inserting/ stuffing a Escape character before the special character in the data part



# Framing

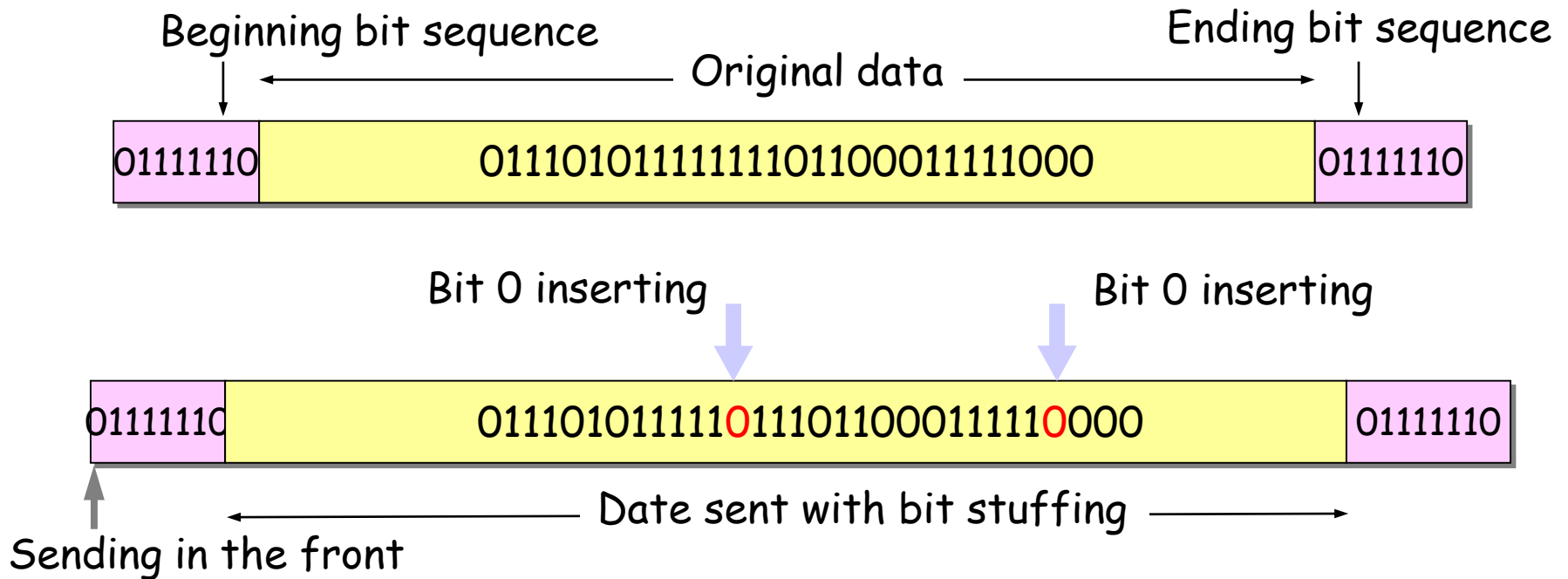
- ❑ First and tail bound method based on bit:
  - encapsulate datagram into frame, adding header, trailer (bit sequence)



# Framing

## ❑ First and tail bound method based on bit:

- Inserting a bit "0" after successive **five** bits "1" in the transmitter; vice versa



# Framing

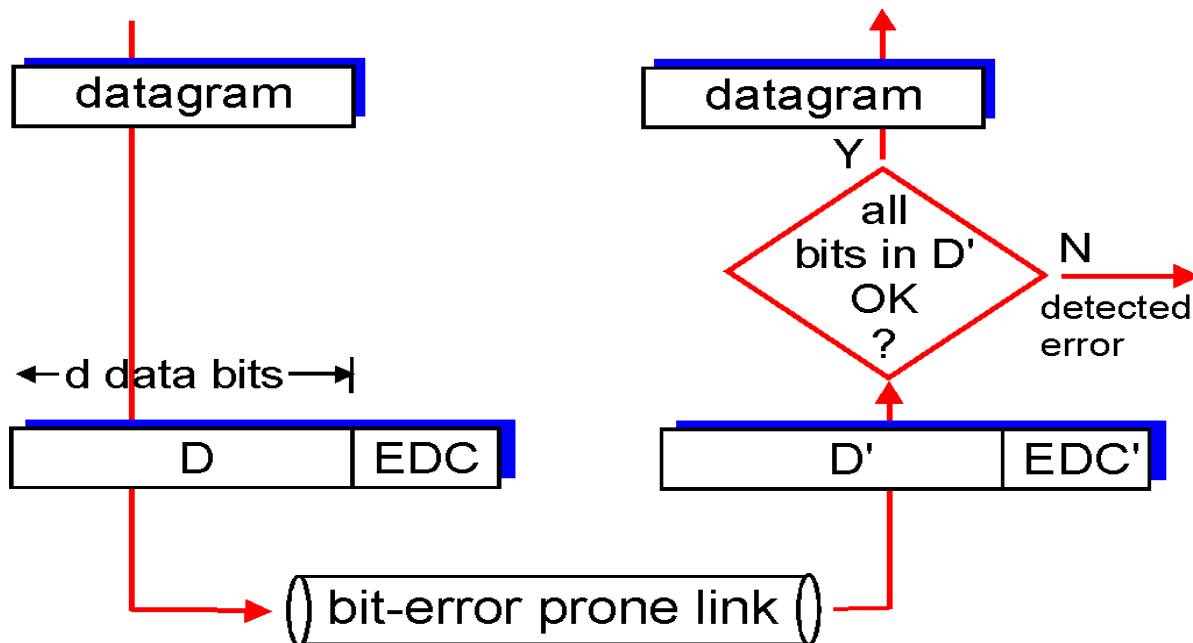
- ❑ Physical layer coding violation method:
  - encapsulate datagram into frame **without stuffing**
  - Only be used in the networks with redundancy coding technology in the physical layer
- ❑ For example (IEEE 802.11 with Manchester code):
  - Bit **1** with level jump mode from **high to low**
  - Bit **0** with level jump mode from **low to high**
  - Level jump modes from **high to high** or from **low to low** can be used for beginning and ending of a frame

# Error Detection

EDC= Error Detection and Correction bits (redundancy)

D = Data protected by error checking, may include header fields

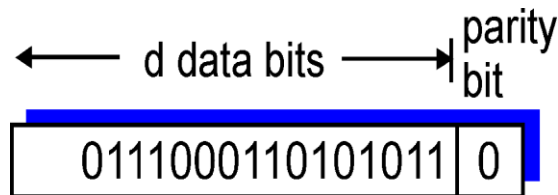
- Error detection not 100% reliable!
  - protocol may miss some errors, but rarely
  - larger EDC field yields better detection and correction



# Parity Checking

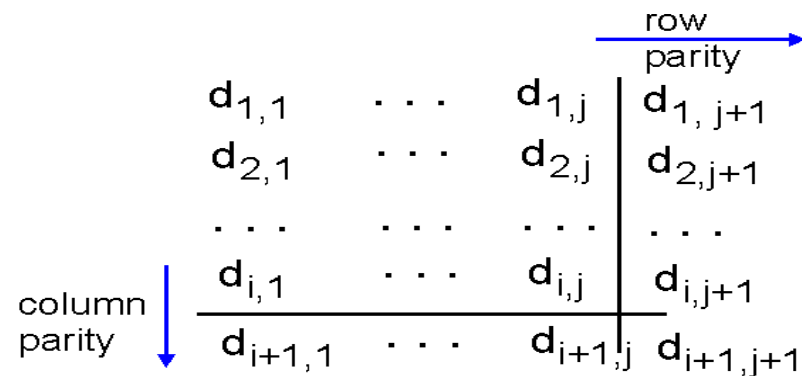
## Single Bit Parity:

Detect single bit errors



## Two Dimensional Bit Parity:

Detect and correct single bit errors



|   |   |   |   |   |
|---|---|---|---|---|
| 1 | 0 | 1 | 0 | 1 |
| 1 | 1 | 1 | 1 | 0 |
| 0 | 1 | 1 | 1 | 0 |
| 0 | 0 | 1 | 0 | 1 |

*no errors*

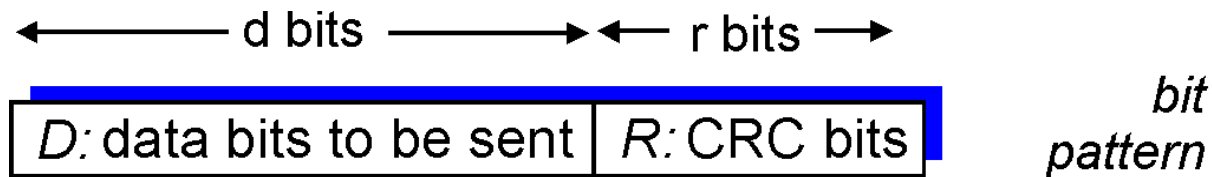
|   |   |   |   |   |
|---|---|---|---|---|
| 1 | 0 | 1 | 0 | 1 |
| 1 | 1 | 1 | 1 | 0 |
| 0 | 1 | 1 | 1 | 0 |
| 0 | 0 | 1 | 0 | 1 |

parity  
error

*correctable  
single bit error*

# Checksumming: Cyclic Redundancy Check

- ❑ view data bits, **D**, as a binary number
- ❑ choose  $r+1$  bit pattern (generator), **G**
- ❑ goal: choose  $r$  CRC bits, **R**, such that
  - $\langle D, R \rangle$  exactly divisible by  $G$  (modulo 2)
  - receiver knows  $G$ , divides  $\langle D, R \rangle$  by  $G$ . If non-zero remainder: error detected!
  - can detect all burst errors less than  $r+1$  bits
- ❑ widely used in practice (ATM, HDCL)



$$D * 2^r \text{ XOR } R$$

*mathematical formula*



# CRC Example

Want:

$$D \cdot 2^r \text{ XOR } R = nG$$

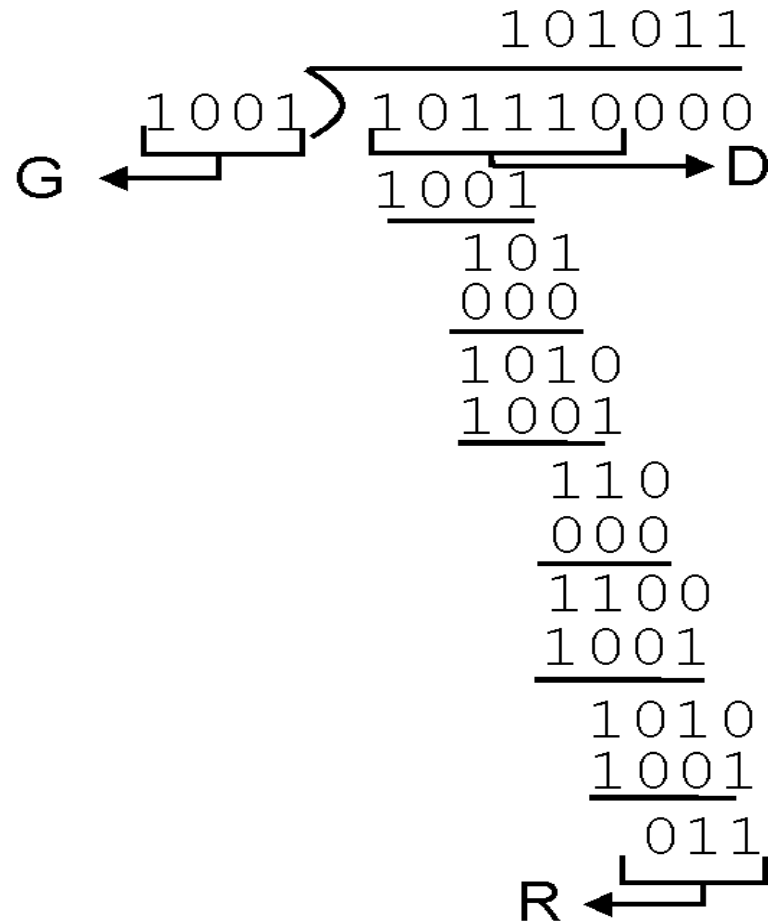
*equivalently:*

$$D \cdot 2^r = nG \text{ XOR } R$$

*equivalently:*

if we divide  $D \cdot 2^r$  by  $G$ , want remainder  $R$

$$R = \text{remainder} \left[ \frac{D \cdot 2^r}{G} \right]$$



# CRC well-known generator

- CRC-12:  $x^{12} + x^{11} + x^3 + x^2 + x^1 + 1$
- CRC-16 :  $x^{16} + x^{15} + x^2 + 1$
- CRC-CCITT :  $x^{16} + x^{12} + x^5 + 1$
- CRC-32:  $x^{32} + x^{26} + x^{23} + x^{22} + x^{16} + x^{12} + x^{11} + x^{10} + x^8$   
 $+ x^7 + x^5 + x^4 + x^2 + x^1 + 1$

CCITT: Consultative Committee on International Telegraphy and Telephone

ITU-T: International Telecommunications Union - Telecommunications  
Standardization Sector

# Exercise

- ❑ Consider the 5-bit generator,  $G=10011$ , and suppose that  $D$  has the value  $101110$ .
- ❑ What is the value of  $R$ ?

# Principles of Reliable Data Transfer

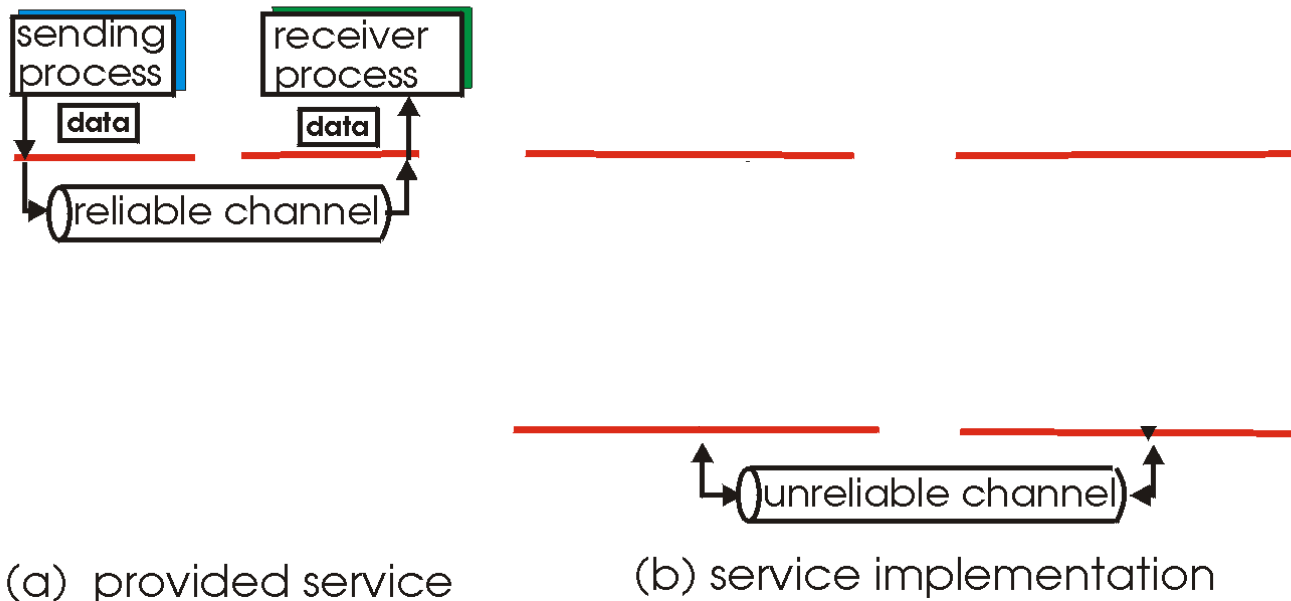
- ❑ Important in app., transport, link layers
- ❑ Top-10 list of important networking topics!



(a) provided service

# Principles of Reliable Data Transfer

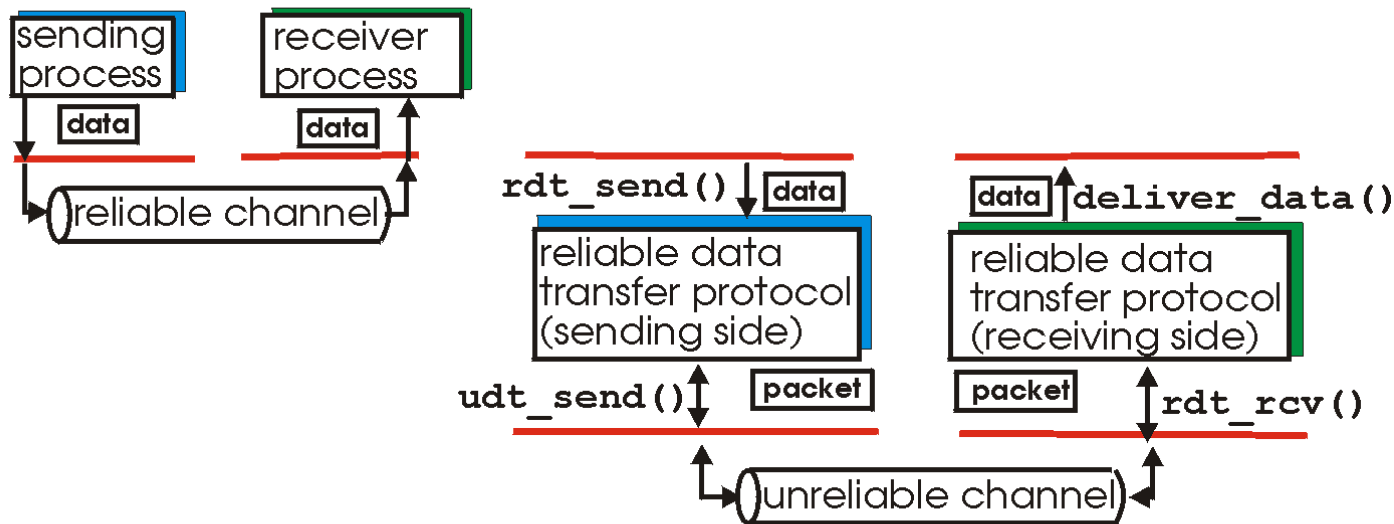
- ❑ Important in app., transport, link layers
- ❑ Top-10 list of important networking topics!



- ❑ Characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

# Principles of Reliable Data Transfer

- ❑ Important in app., transport, link layers
- ❑ Top-10 list of important networking topics!



(a) provided service

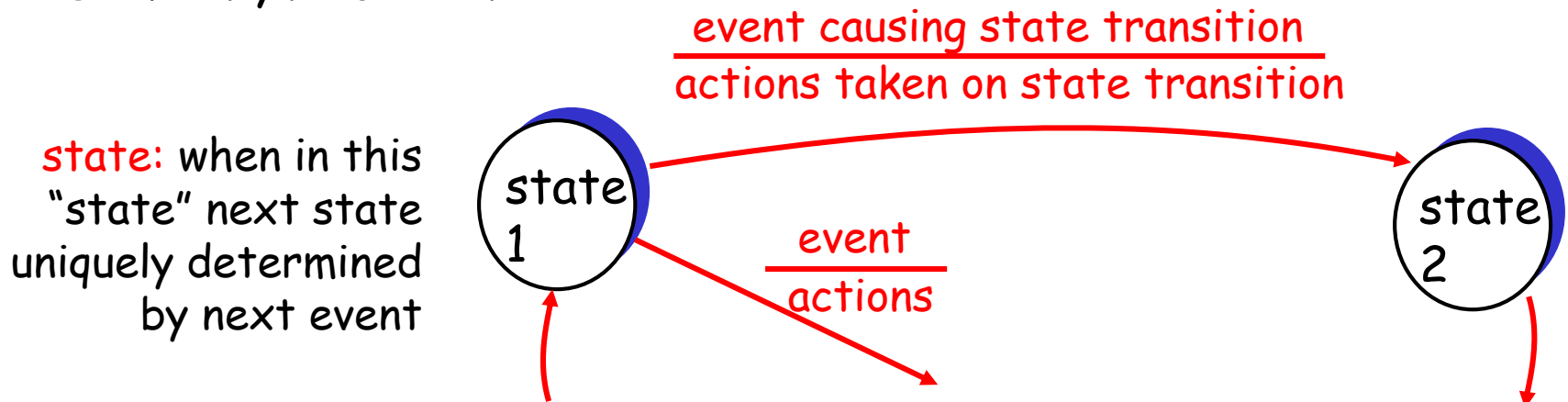
(b) service implementation

- ❑ Characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

# Reliable Data Transfer: Getting Started

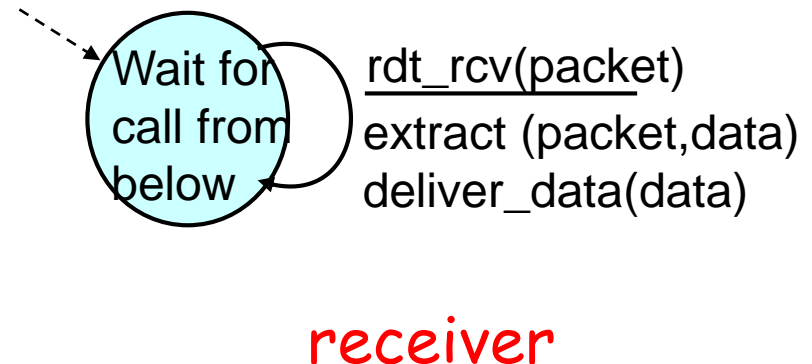
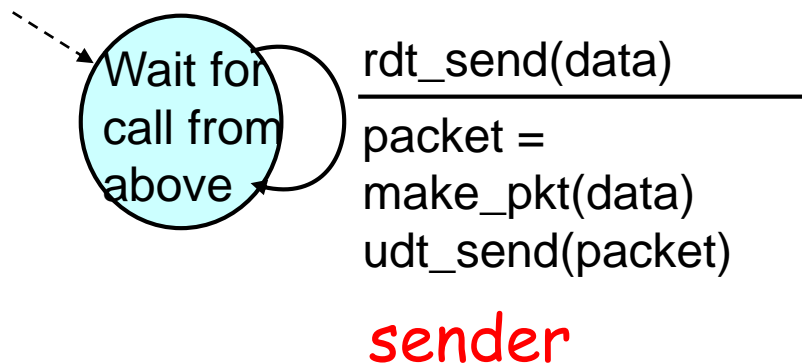
We'll:

- ❑ Incrementally develop sender, receiver sides of reliable data transfer protocol (rdt)
- ❑ Consider only unidirectional data transfer
  - But control info will flow on both directions!
- ❑ Use finite state machines (FSM) to specify sender, receiver



# Rdt1.0: Reliable Transfer over a Reliable Channel

- ❑ Underlying channel perfectly reliable
  - No bit errors
  - No loss of packets
- ❑ Separate FSMs for sender, receiver:
  - Sender sends data into underlying channel
  - Receiver read data from underlying channel





## Rdt2.0: Channel with Bit Errors

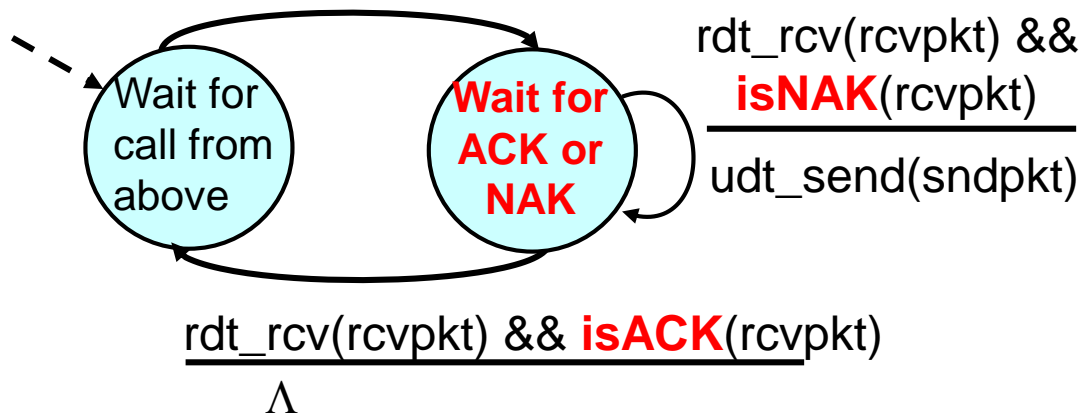
- ❑ Underlying channel may flip bits in packet
  - Checksum to detect bit errors
- ❑ *The question: how to recover from errors:*
- ❑ How do humans recover from "errors" during conversation?

# Rdt2.0: Channel with Bit Errors

- ❑ The question: how to recover from errors:
  - *Acknowledgements (ACKs)*: receiver explicitly tells sender that pkt received OK
  - *Negative acknowledgements (NAKs)*: receiver explicitly tells sender that pkt had errors
  - Sender retransmits pkt on receipt of NAK
- ❑ New mechanisms in rdt2.0 (beyond rdt1.0):
  - *Error detection*
  - *Receiver feedback*: control msgs (ACK,NAK) rcvr->sender
  - *Retransmission*

# Rdt2.0: FSM specification

rdt\_send(data)  
sndpkt = make\_pkt(data, **checksum**)  
udt\_send(sndpkt)



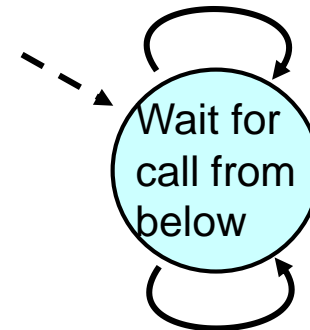
**sender**

**stop and wait**

sender sends one packet,  
then waits for receiver  
response

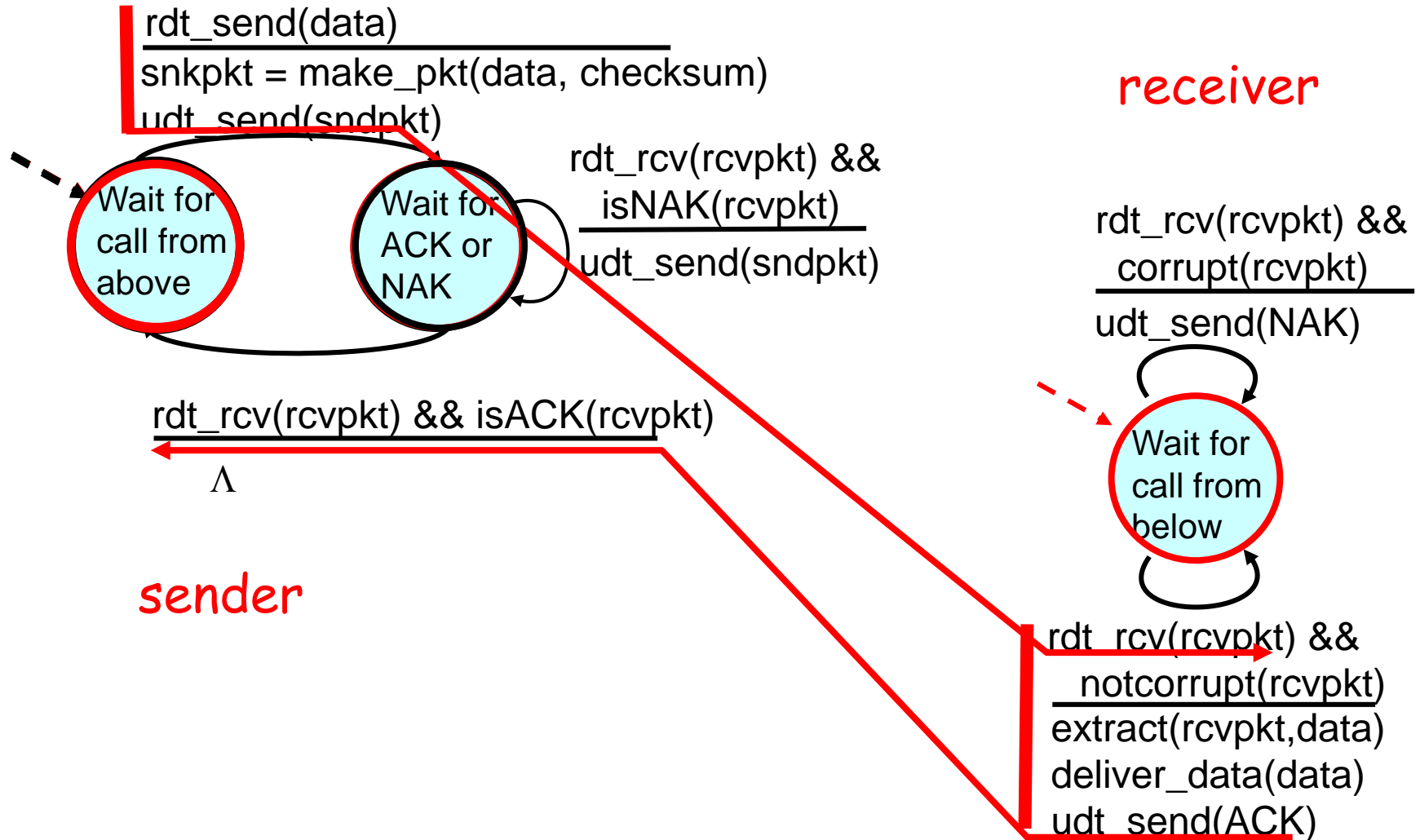
**receiver**

rdt\_rcv(rcvpkt) && **corrupt**(rcvpkt)  
udt\_send(**NAK**)

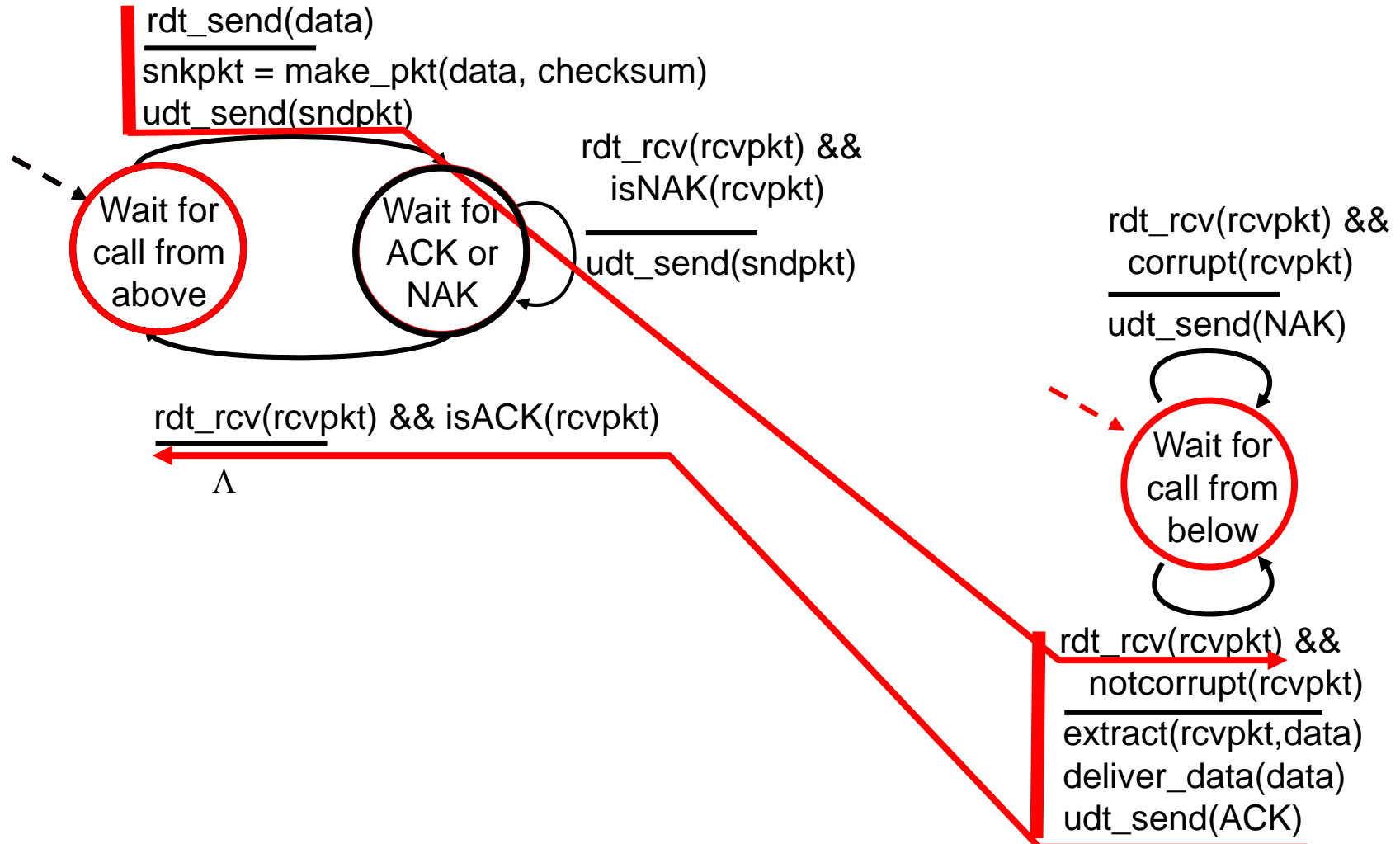


rdt\_rcv(rcvpkt) && **notcorrupt**(rcvpkt)  
extract(rcvpkt,data)  
deliver\_data(data)  
udt\_send(**ACK**)

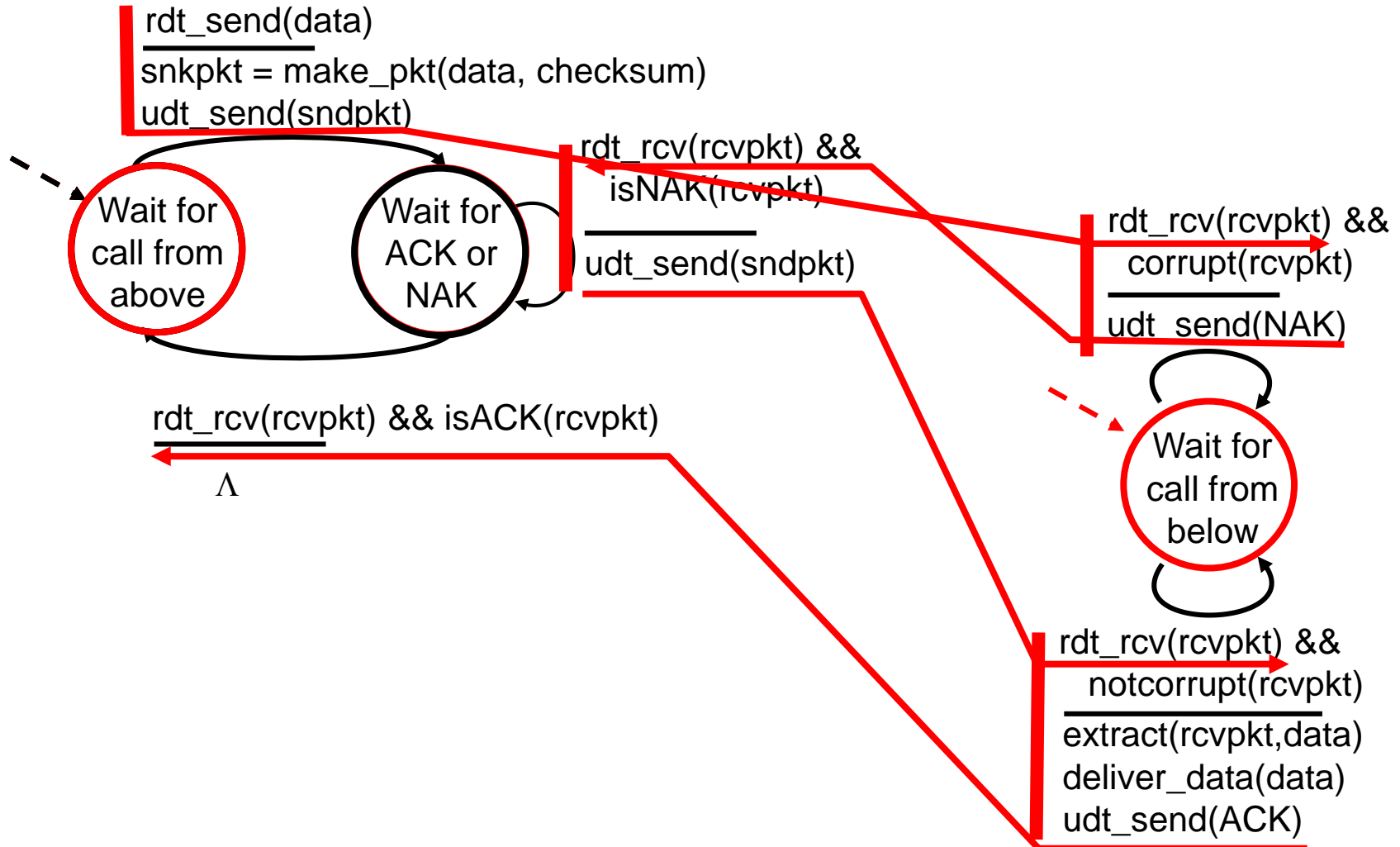
# Rdt2.0: Operation with No Errors



# Rdt2.0: operation with no errors



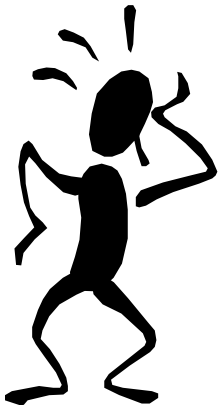
# Rdt2.0: error scenario



# Rdt2.0 Has a Fatal Flaw!

## What happens if ACK/NAK corrupted?

- ❑ Sender doesn't know what happened at receiver!
- ❑ Can't just retransmit: possible duplicate



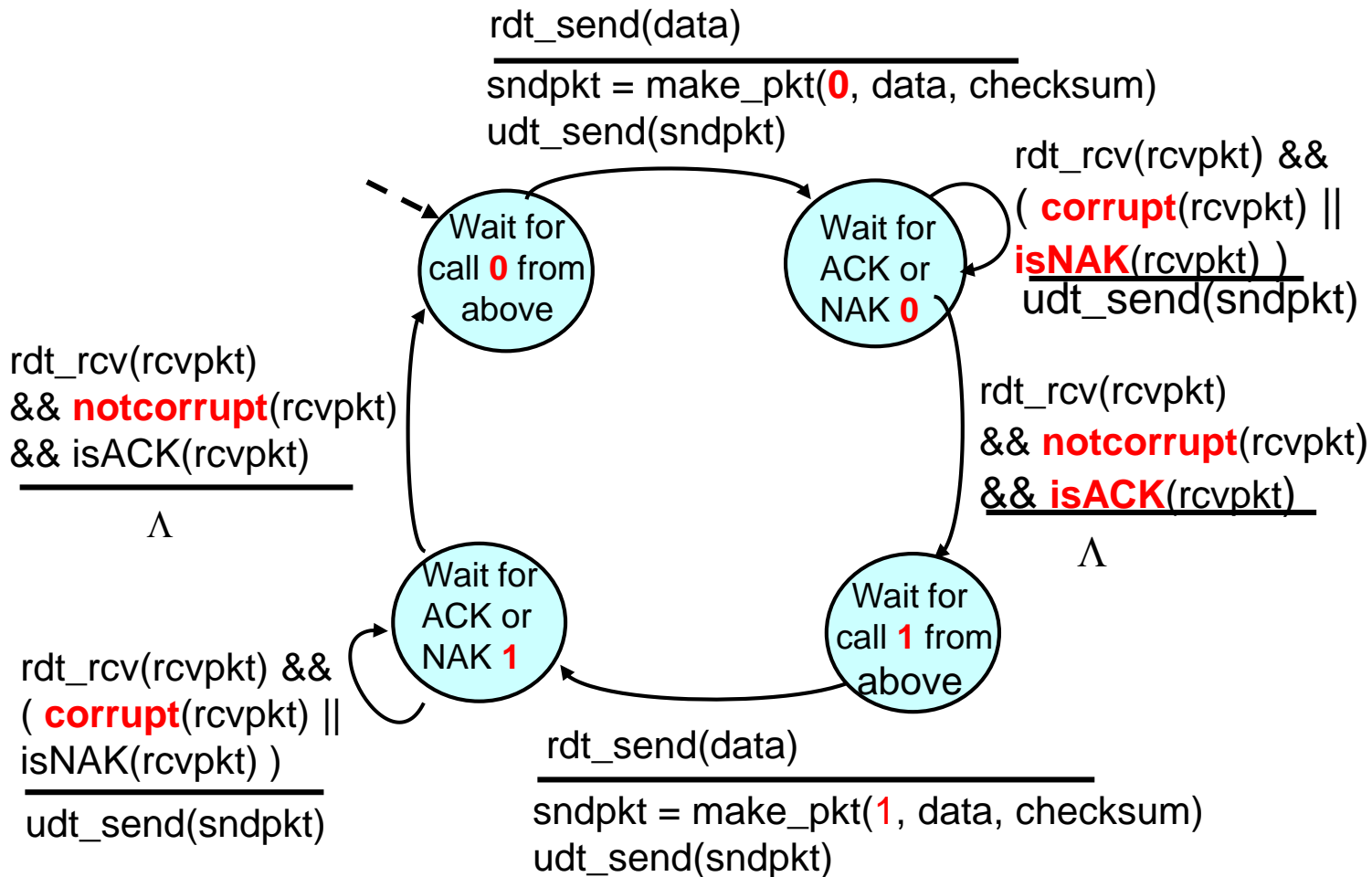
## Handling duplicates:

- ❑ Sender retransmits current pkt if ACK/NAK garbled
- ❑ Sender adds *sequence number* to each pkt
- ❑ Receiver discards (doesn't deliver up) duplicate pkt

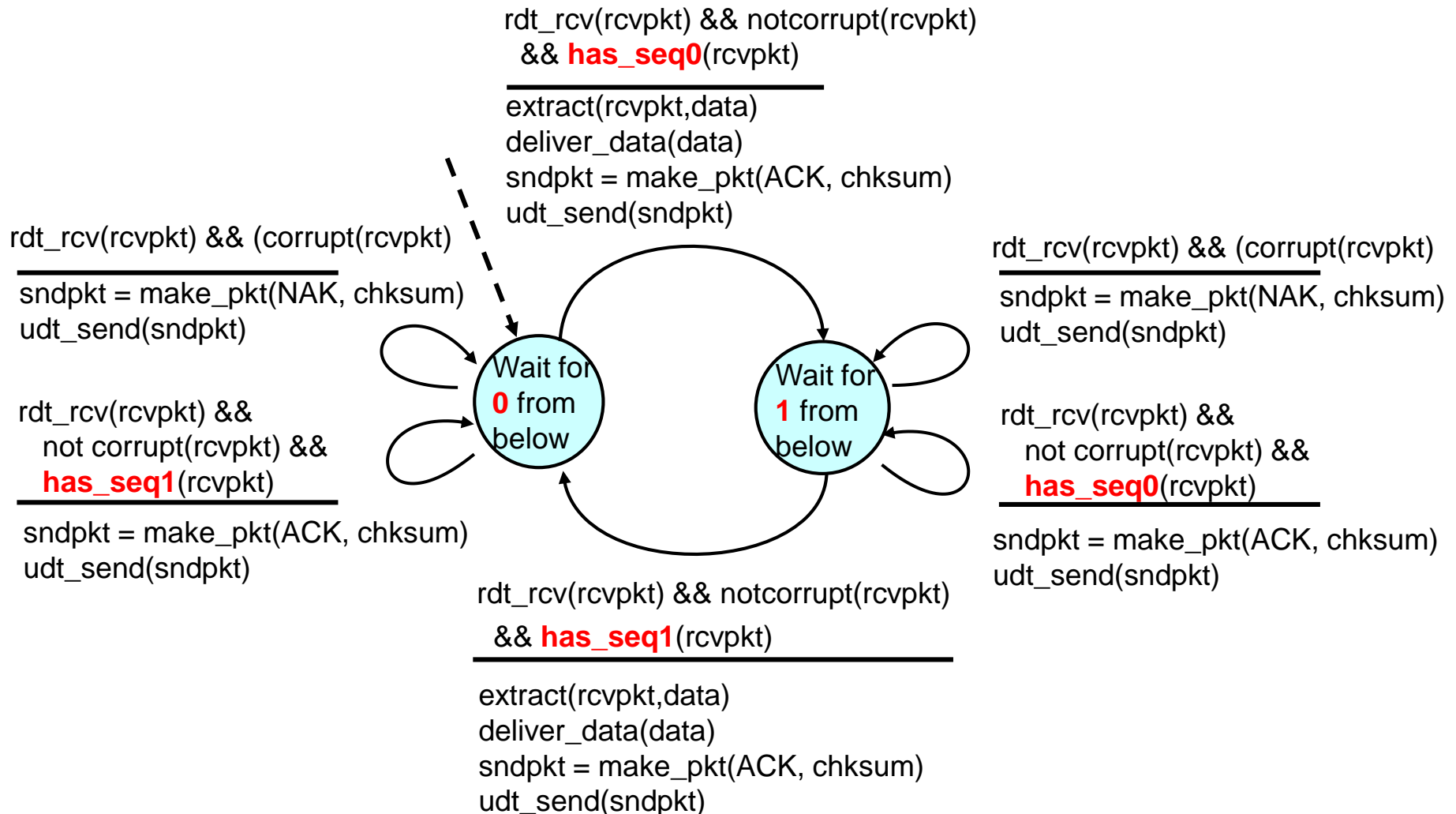
- ❑ **Sender:** whenever sender receives control message it sends a packet to receiver
  - A valid ACK: Sends next packet (if exists) with new sequence #
  - A NAK or corrupt response: resends old packet
- ❑ **Receiver:** sends ACK/NAK to sender
  - If received packet is corrupt: send NAK
  - If received packet is valid and has different sequence # as prev packet: send ACK and deliver new data up
  - If received packet is valid and has same sequence # as prev packet, i.e., is a retransmission of duplicate: send ACK
- ❑ **Note: ACK/NAK do not contain sequence #**



# Rdt2.1: Sender, Handles Garbled ACK/NAKs



# Rdt2.1: Receiver, Handles Garbled ACK/NAKs



# Rdt2.1: Discussion

## Sender:

- ❑ Seq # added to pkt
- ❑ Two seq. #'s (0,1) will suffice. Why?
- ❑ Must check if received ACK/NAK corrupted
- ❑ Twice as many states
  - State must "remember" whether "current" pkt has 0 or 1 seq. #

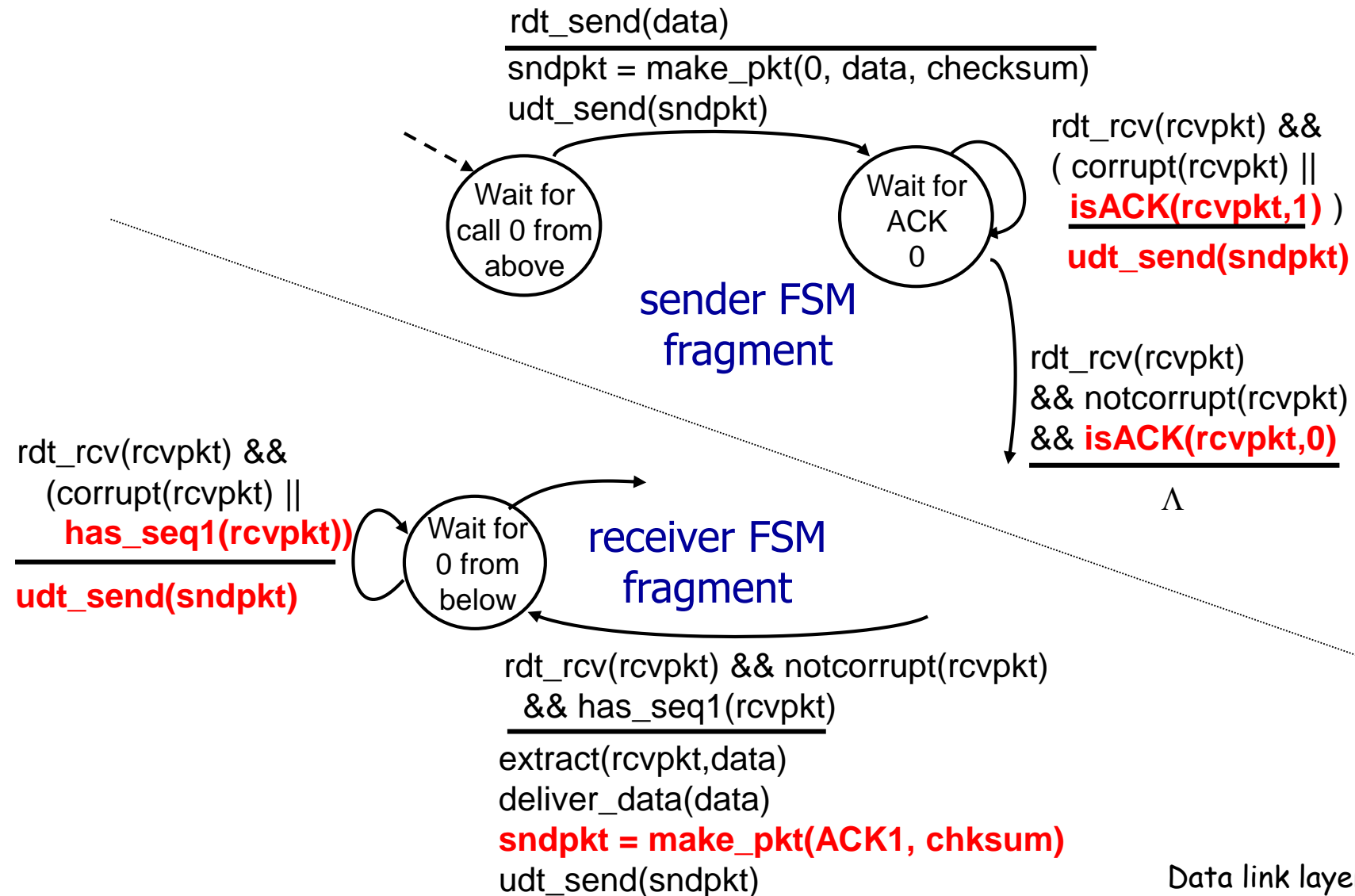
## Receiver:

- ❑ Must check if received packet is duplicate
  - State indicates whether 0 or 1 is expected pkt seq #
- ❑ Note: receiver can *not* know if its last ACK/NAK received OK at sender

## Rdt2.2: a NAK-free Protocol

- ❑ Same functionality as rdt2.1, using ACKs only
- ❑ Instead of NAK, receiver sends ACK for last pkt received OK
  - Receiver must *explicitly* include seq # of pkt being ACKed
- ❑ Duplicate ACK at sender results in same action as NAK: *retransmit current pkt*

# Rdt2.2: sender, receiver fragments



# Rdt3.0: Channels with Errors and Loss

## New assumption:

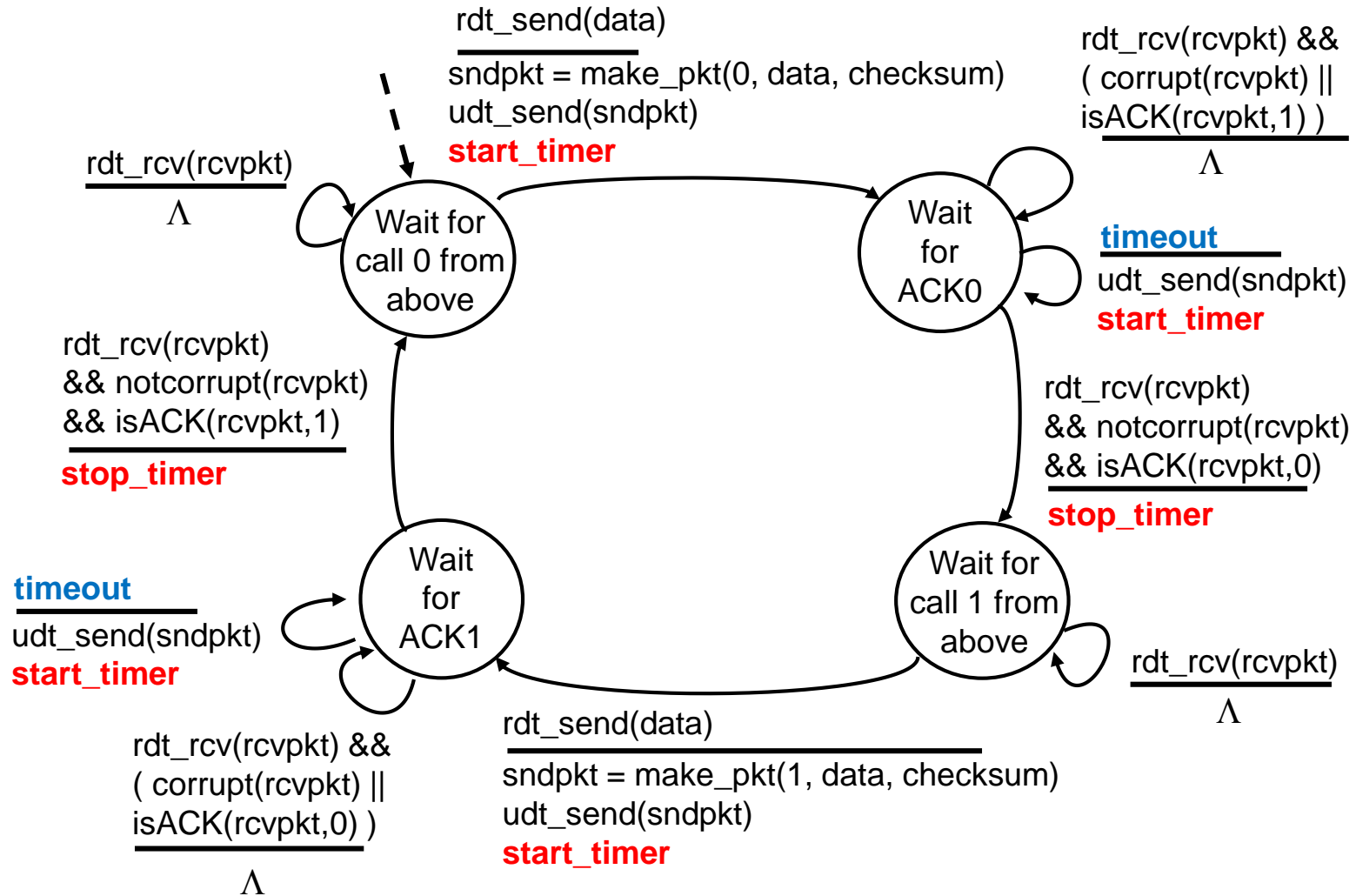
Underlying channel can also lose packets (data or ACKs)

- Checksum, seq. #, ACKs, retransmissions will be of help, but not enough
- Data lost?
- ACK lost?

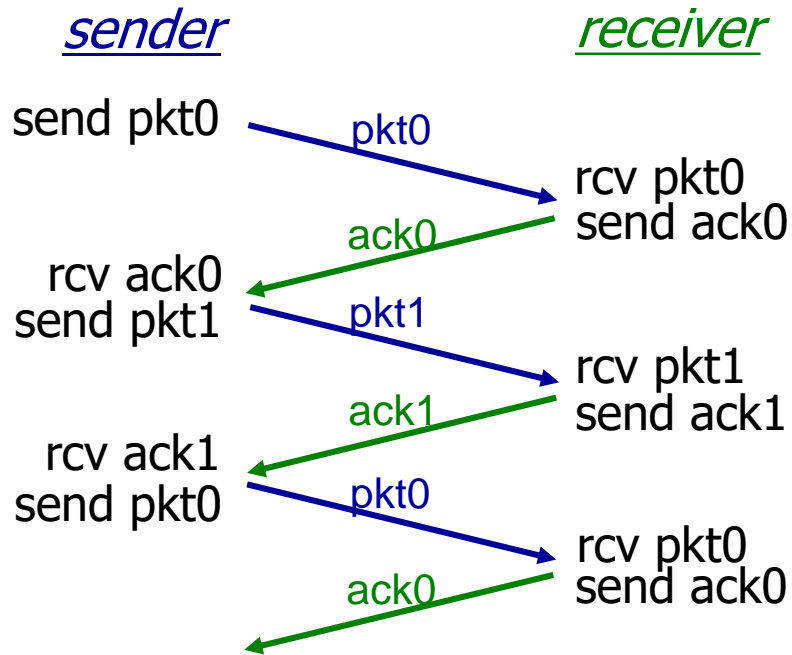
Approach: sender waits “reasonable” amount of time for ACK

- Retransmits if no ACK received in this time
- If pkt (or ACK) just delayed (not lost):
  - Retransmission will be duplicate, but use of seq. #'s already handles this
  - Receiver must specify seq # of pkt being ACKed
- Requires countdown timer

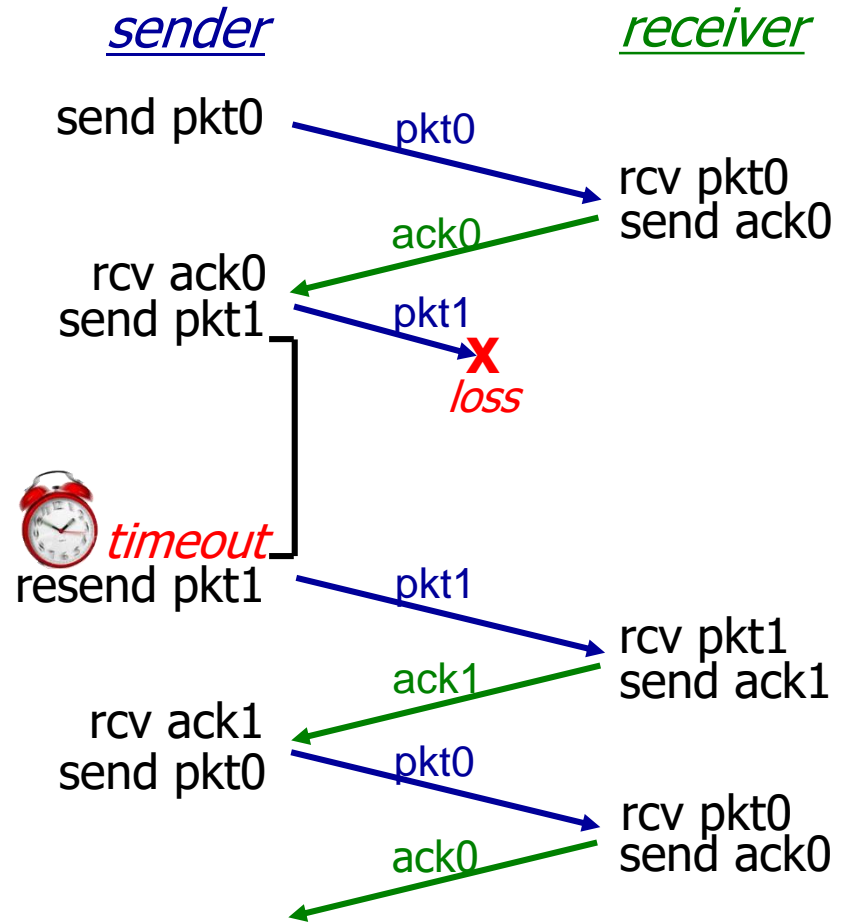
# Rdt3.0 sender



# rdt3.0 in action



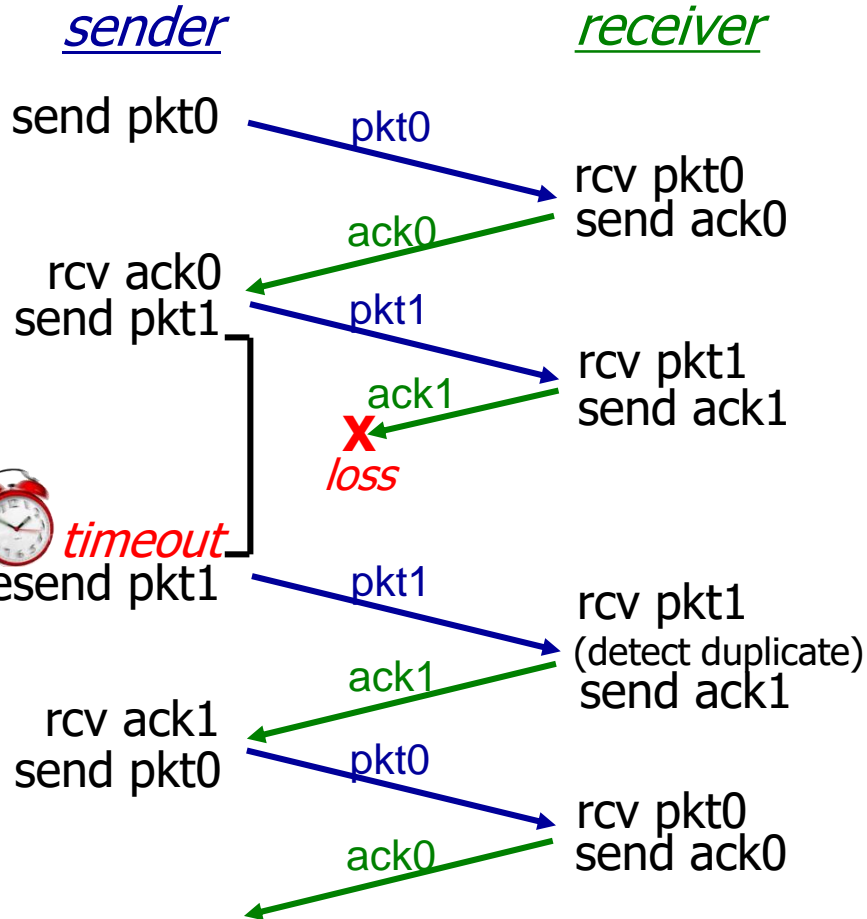
(a) no loss



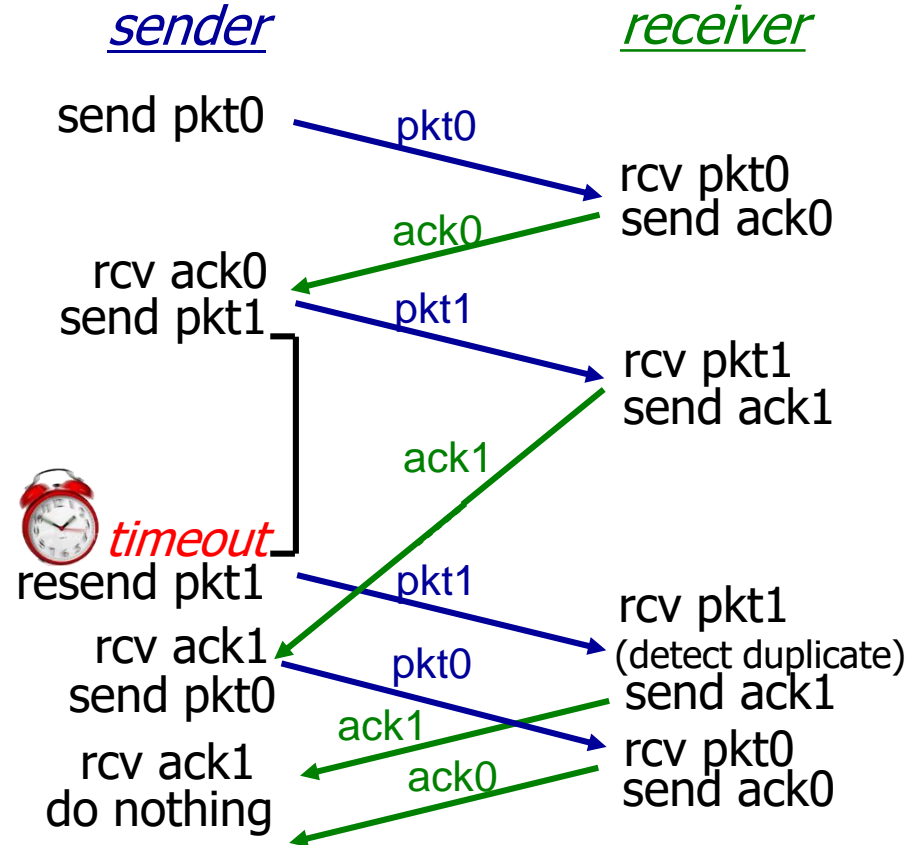
(b) packet loss



# rdt3.0 in action



(c) ACK loss



(d) premature timeout/ delayed ACK

# Summary of the Protocols

- ❑ Rdt1.0: all packets arrive correctly
- ❑ Rdt2.0: all packets arrive, with possible errors only in data packets, and introducing ACK and NAK (no error)
- ❑ Rdt2.1: corrupted ACKs/NAKs
- ❑ Rdt2.2: similar to rdt2.1 remove NAKs
- ❑ Rdt3.0: Allows packets to be lost and errors

# Performance of rdt3.0



- ❑ Rdt3.0 works, but performance stinks
- ❑ Example: 1 Gbps link, 15 ms e-e prop. delay, 1KB packet:

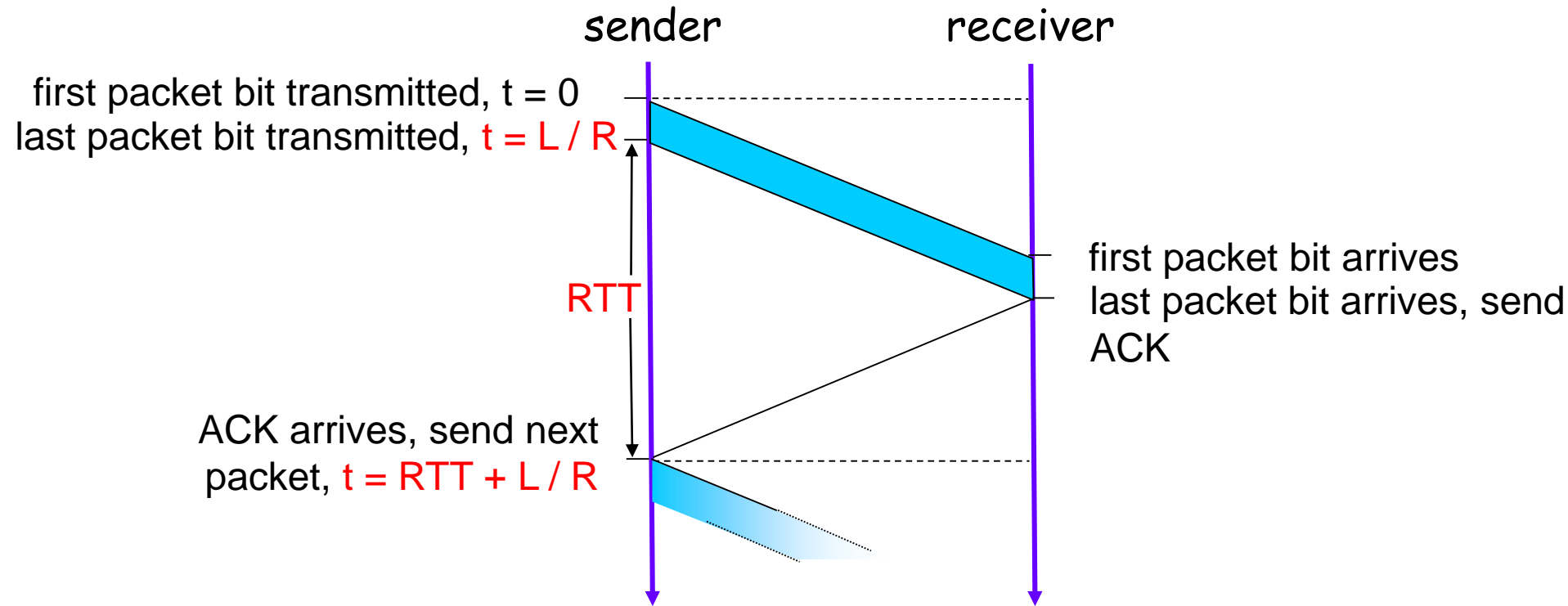
$$T_{\text{transmit}} = \frac{L \text{ (packet length in bits)}}{R \text{ (transmission rate, bps)}} = \frac{8\text{kb/pkt}}{10^9 \text{ b/sec}} = 8 \text{ microsec}$$

- $U_{\text{sender}}$ : **utilization** - fraction of time sender busy sending

$$U_{\text{sender}} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

- 1KB pkt every 30 msec -> 33kB/sec thruput over 1 Gbps link
- ❑ Network protocol limits use of physical resources!

# Rdt3.0: Stop-and-Wait Operation

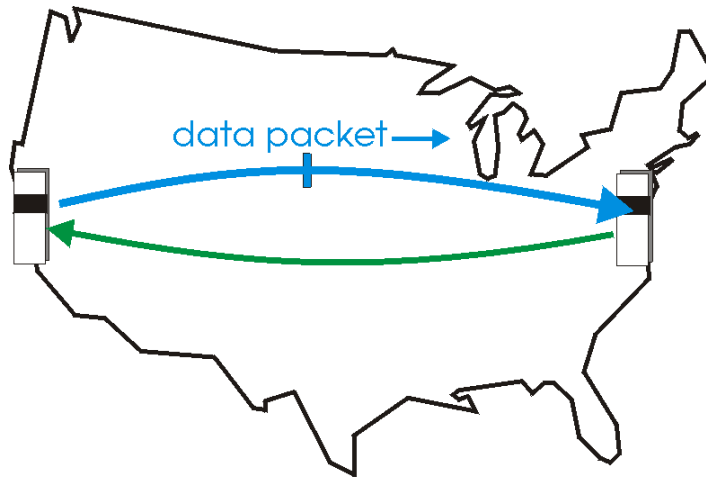


$$U_{\text{sender}} = \frac{L/R}{RTT + L/R} = \frac{.008}{30.008} = 0.00027$$

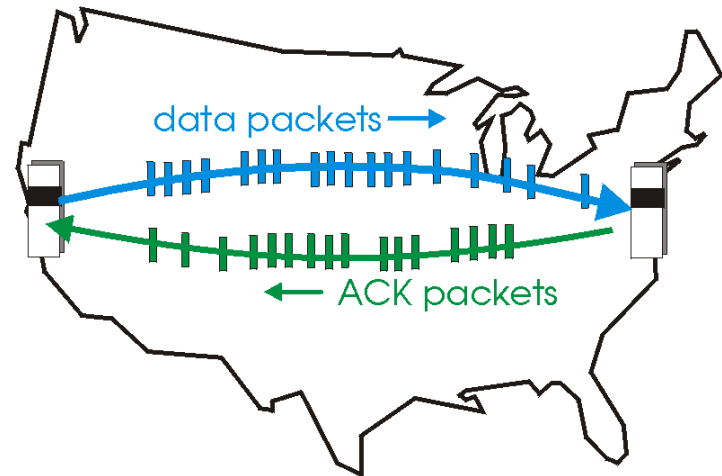
# Pipelined Protocols

**Pipelining:** sender allows multiple, “in-flight”, yet-to-be-acknowledged pkts

- Range of sequence numbers must be increased
- Buffering at sender and/or receiver



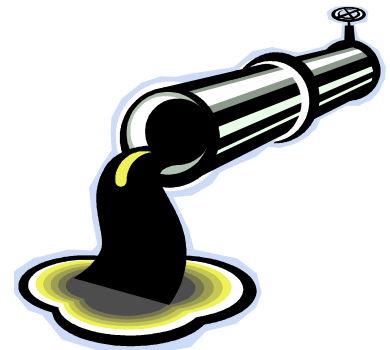
(a) a stop-and-wait protocol in operation



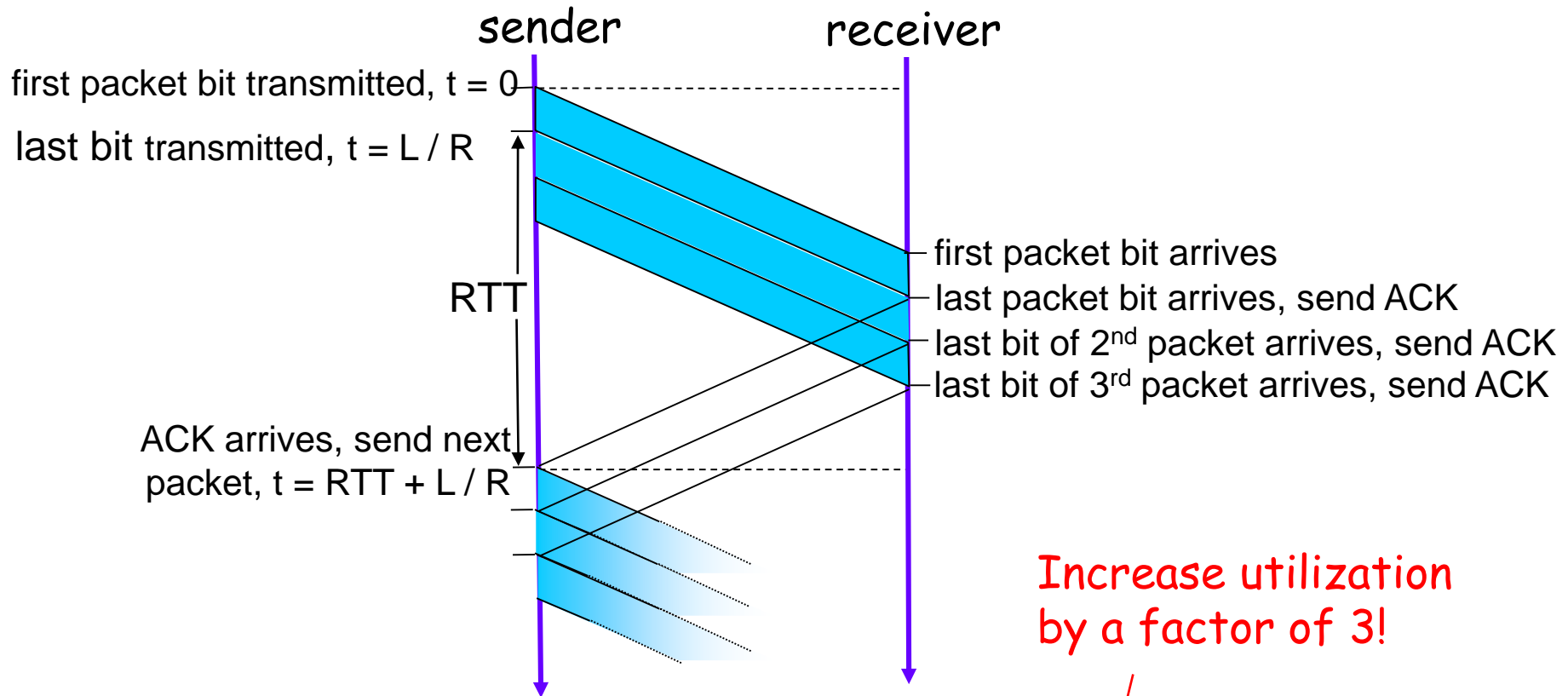
(b) a pipelined protocol in operation

# Pipelined Protocols

- ❑ Advantage: much better bandwidth utilization than stop-and-wait
- ❑ Disadvantage: More complicated to deal with reliability issues, e.g., corrupted, lost, out of order data.
  - Two generic approaches to solving this
    - *Go-Back-N* protocols
    - *Selective repeat* protocols
- ❑ Note: *TCP is not exactly either*



# Pipelining: Increased Utilization



Increase utilization  
by a factor of 3!

$$U_{\text{sender}} = \frac{3 * L / R}{RTT + L / R} = \frac{.024}{30.008} = 0.0008$$

# Pipelined protocols: overview

## Go-back-N:

- ❑ sender can have up to N unacked packets in pipeline
- ❑ receiver only sends *cumulative ack*
  - doesn't ack packet if there's a gap
- ❑ sender has timer for oldest unacked packet
  - when timer expires, retransmit *all* unacked packets

## Selective Repeat:

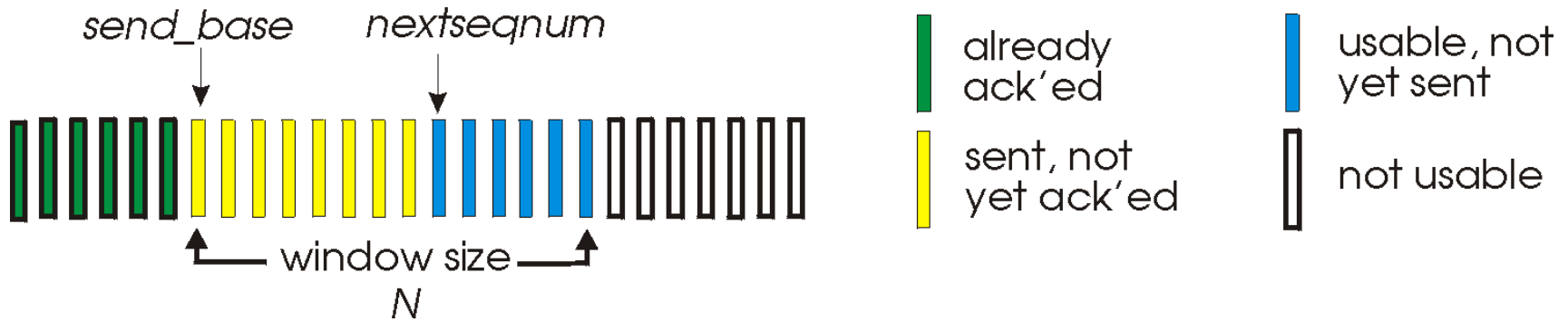
- ❑ sender can have up to N unack'ed packets in pipeline
- ❑ rcvr sends *individual ack* for each packet
- ❑ sender maintains timer for each unacked packet
  - when timer expires, retransmit only that unacked packet



# Go-Back-N

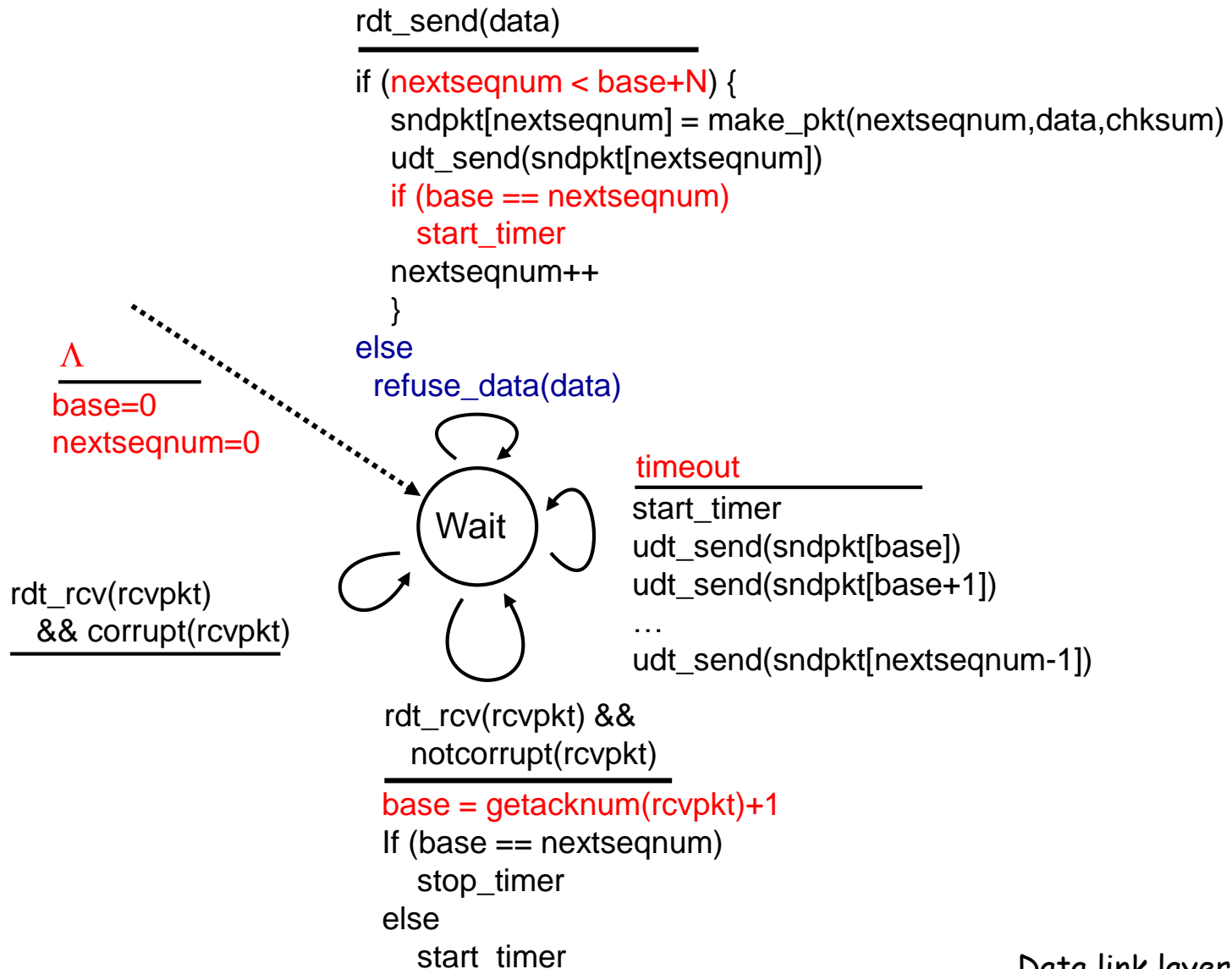
## Sender:

- ❑ k-bit seq # in pkt header
- ❑ "Window" of up to N, consecutive unack'ed pkts allowed

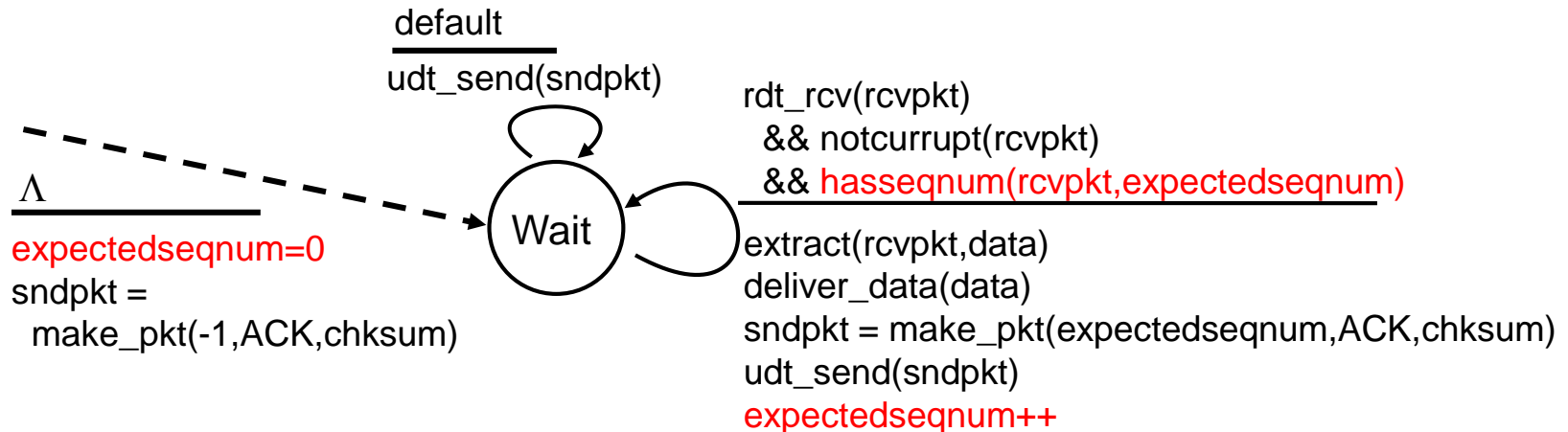


- ❑ ACK(n): ACKs all pkts up to, including seq # n - "cumulative ACK"
  - May receive duplicate ACKs (see receiver)
- ❑ Timer for oldest in-flight pkt
- ❑ Timeout(n): retransmit pkt n and all higher seq # pkts in window
- ❑ Called a sliding-window protocol

# GBN: sender extended FSM



# GBN: receiver extended FSM



- ACK-only: always send ACK for correctly-received pkt with highest *in-order* seq #
  - may generate duplicate ACKs
  - need only remember **expectedseqnum**
- out-of-order pkt:
  - discard (don't buffer): *no receiver buffering!*
  - re-ACK pkt with highest in-order seq #

# GBN in action

sender window (N=4)

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8

sender

send pkt0  
 send pkt1  
 send pkt2  
 send pkt3  
 (wait)

rcv ack0, send pkt4  
 rcv ack1, send pkt5

ignore duplicate ACK



*pkt 2 timeout*

send pkt2  
 send pkt3  
 send pkt4  
 send pkt5

receiver

rcv pkt0, deliver; send ack0  
 rcv pkt1, deliver; ack1

receive pkt3, discard;  
 (re)send ack1

receive pkt4, discard;  
 (re)send ack1

receive pkt5, discard;  
 (re)send ack1

rcv pkt2, deliver; send ack2  
 rcv pkt3, deliver; send ack3  
 rcv pkt4, deliver; send ack4  
 rcv pkt5, deliver; send ack5

# Exercise

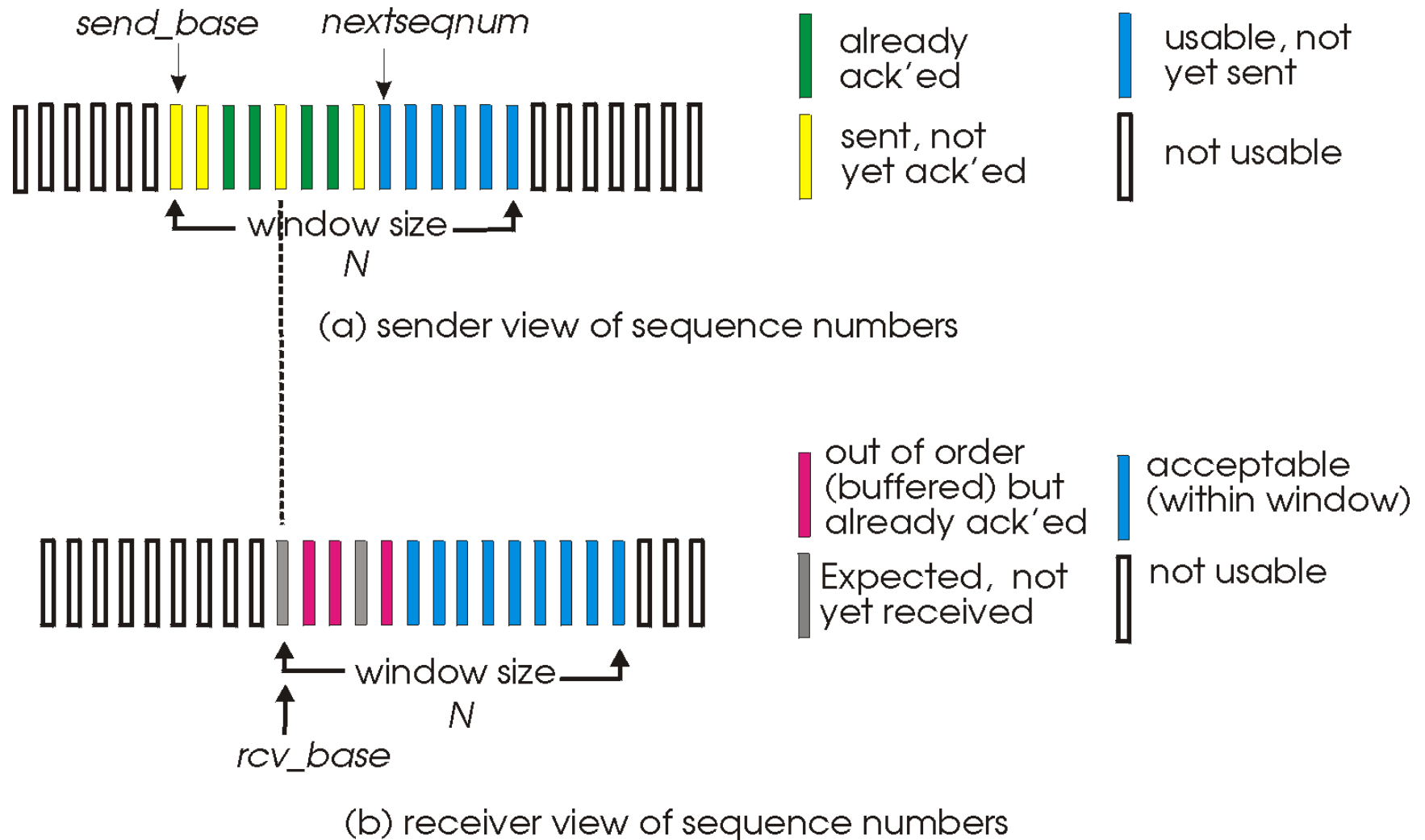
- Via the GBN protocol, a sender sent packets with seq # from 0 to 5. If the sender has only received ACK0 and ACK2 when a timeout happens, which packets should the sender retransmit?

- ❑ **GBN** is easy to code but might have performance problems
- ❑ In particular, if many packets are in pipeline at one time (**bandwidth-delay** product large) then one error can force retransmission of huge amounts of data!
- ❑ **Selective Repeat** protocol allows receiver to buffer data and only forces retransmission of required packets

# Selective Repeat

- ❑ Receiver *individually* acknowledges all correctly received pkts
  - *Buffers pkts*, as needed, for eventual in-order delivery to upper layer
- ❑ Sender only resends pkts for which ACK not received
  - Sender timer for *each* unACKed pkt
  - Compare to GBN which only had timer for base packet
- ❑ Sender window
  - N consecutive seq #'s
  - Again limits seq #'s of sent, unACKed pkts

# Selective Repeat: Sender, Receiver Windows





# Selective Repeat

## —sender—

### Data from above :

- ❑ If next available seq # in window, send pkt

### Timeout(n):

- ❑ Resend pkt n, restart timer

### ACK(n) in [sendbase, sendbase+N]:

- ❑ Mark pkt n as received
- ❑ If n smallest unACKed pkt, advance window base to next unACKed seq #

## —receiver—

### pkt n in [rcvbase, rcvbase+N-1]

- ❑ Send ACK(n)
- ❑ Out-of-order: buffer
- ❑ In-order: deliver (also deliver buffered, in-order pkts), advance window to next not-yet-received pkt

### pkt n in [rcvbase-N, rcvbase-1]

- ❑ ACK(n)

### Otherwise:

- ❑ Ignore

# Selective repeat in action

sender window (N=4)  
(after receipt)

0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8  
[ ]

0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8

sender

send pkt0  
send pkt1  
send pkt2  
send pkt3  
(wait)

rcv ack0, send pkt4  
rcv ack1, send pkt5

record ack3 arrived



*pkt 2 timeout*

send pkt2

record ack4 arrived

record ack5 arrived

*Q: what happens when ack2 arrives?*

receiver

rcv & deliver pkt0; send ack0  
rcv & deliver pkt1; send ack1

rcv pkt3, buffer; send ack3

receive pkt4, buffer;  
send ack4  
receive pkt5, buffer;  
send ack5

rcv pkt2;  
deliver pkt2~pkt5;  
send ack2

*X loss*

# Selective repeat: dilemma

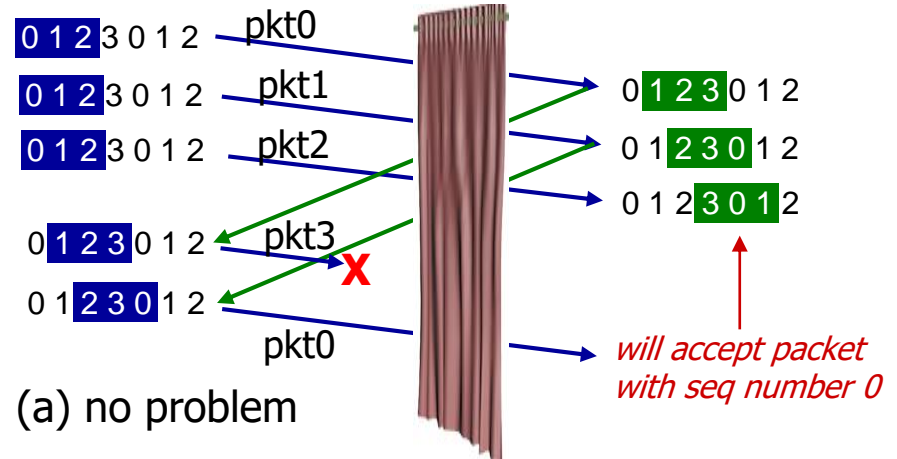
example:

- seq #'s: 0, 1, 2, 3
- window size=3
- receiver sees no difference in two scenarios!
- duplicate data accepted as new in (b)

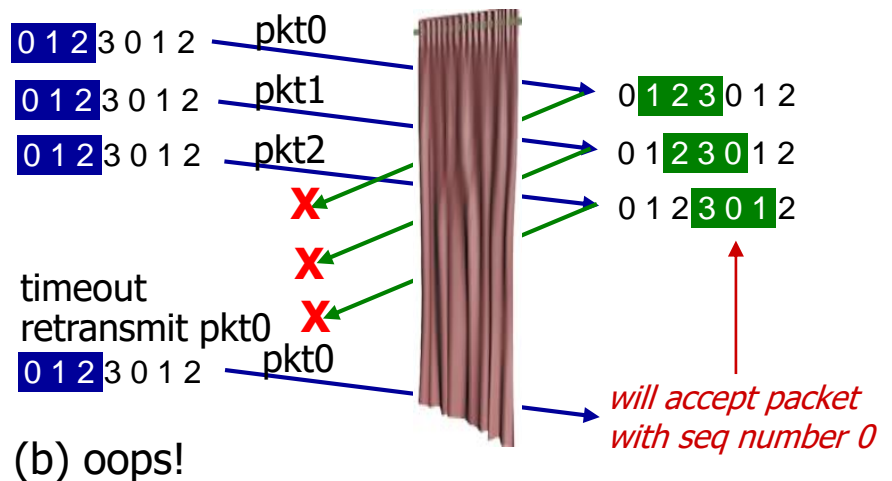
**Q:** what relationship between seq # size and window size to avoid problem in (b)?

sender window  
(after receipt)

receiver window  
(after receipt)

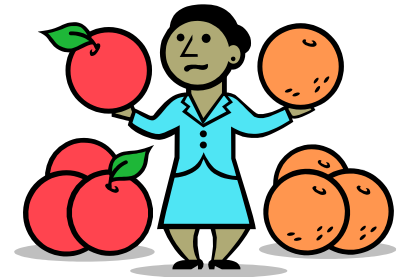


*receiver can't see sender side.  
receiver behavior identical in both cases!  
something's (very) wrong!*



# GBN vs. Selective Repeat

- ❑ **Selective repeat** is more complicated as it needs buffering at the receiver, but only retransmit packets required for retransmission



- ❑ **GBN** is simpler, but can lead to large number of unnecessary retransmission