



# Optimization of poly(vinylidene fluoride-trifluoroethylene) films as non-volatile memory for flexible electronics

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## ABSTRACT

The impact of thermal treatment and thickness on the polarization and leakage current of poly(vinylidene fluoride-trifluoroethylene) [P(VDF-TrFE)] copolymer thin film capacitors has been studied. The evolution of the film morphology, crystallinity and bonding orientation as a function of annealing temperature and thickness were characterized using multiple techniques. Electrical performance of the devices was correlated with the material properties. It was found that annealing at or slightly above the Curie temperature ( $T_c$ ) is the optimal temperature for high polarization, smooth surface morphology and low leakage current. Higher annealing temperature (but below the melting temperature  $T_m$ ) favors larger size  $\beta$  crystallites through molecular chain self-organization, resulting in increased film roughness, and the vertical polarization tends to saturate. Metal–Ferroelectric–Metal (MFM) capacitors consistently achieved  $P_s$ ,  $P_r$  and  $V_c$  of  $8.5 \mu\text{C}/\text{cm}^2$ ,  $7.4 \mu\text{C}/\text{cm}^2$  and  $10.2 \text{ V}$ , respectively.

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## 1. Introduction

Electrically addressable non-volatile memory is a key component for integrated logic circuits for flexible electronics. Ferroelectric Random Access Memory (FRAM) based on ferroelectric polymers is a leading candidate because of the compatibility with low temperature ( $<150^\circ\text{C}$ ) plastic substrates, low-operating voltage, long data retention time, and fast readout. Poly(vinylidene fluoride-trifluoroethylene) [P(VDF-TrFE)] copolymer is one of the most popular ferroelectric polymers for memory applications due to its excellent electrical switching behavior as well as chemical stability [1–3]. Poly(vinylidene fluoride-trifluoroethylene) based capacitors can also be integrated with flexible complementary metal oxide semiconductor devices [4].

The high polarization of the poly(vinylidene fluoride-trifluoroethylene) copolymer originates from the large difference in electronegativity between fluorine, carbon and

hydrogen (Pauling's value for fluorine, carbon and hydrogen are 4.0, 2.5 and 2.1, respectively) [5]. Most of the electrons are attracted to the fluorine side of the chain and polarization is created [6,7]. Similar to poly(vinylidene fluoride) [PVDF], poly(vinylidene fluoride-trifluoroethylene) has four known phases; phase I ( $\beta$ ), phase II ( $\alpha$ ), phase III ( $\gamma$ ) and phase IV ( $\delta$ ) [8]. The  $\beta$  phase is the all-trans zig-zag planar configuration, which generates the largest polarization along the  $b$  axis (parallel to C, F dipole moment) [9]. The amount of each phase can be controlled through deposition method and thermal treatment history. Also, a proper concentration of TrFE in the copolymer helps to increase and stabilize the  $\beta$  phase [10].

Many studies have focused on the film morphology, phase transition, crystallinity, thickness, and thermal annealing [10–14]. A detailed correlation between the film properties and electrical performance vs. different annealing temperatures has not been reported. High leakage current has been a significant problem that limits this material as a viable option for flexible electronics [15]. We report the impact of annealing temperature and film thickness on poly(vinylidene fluoride-trifluoroethylene)

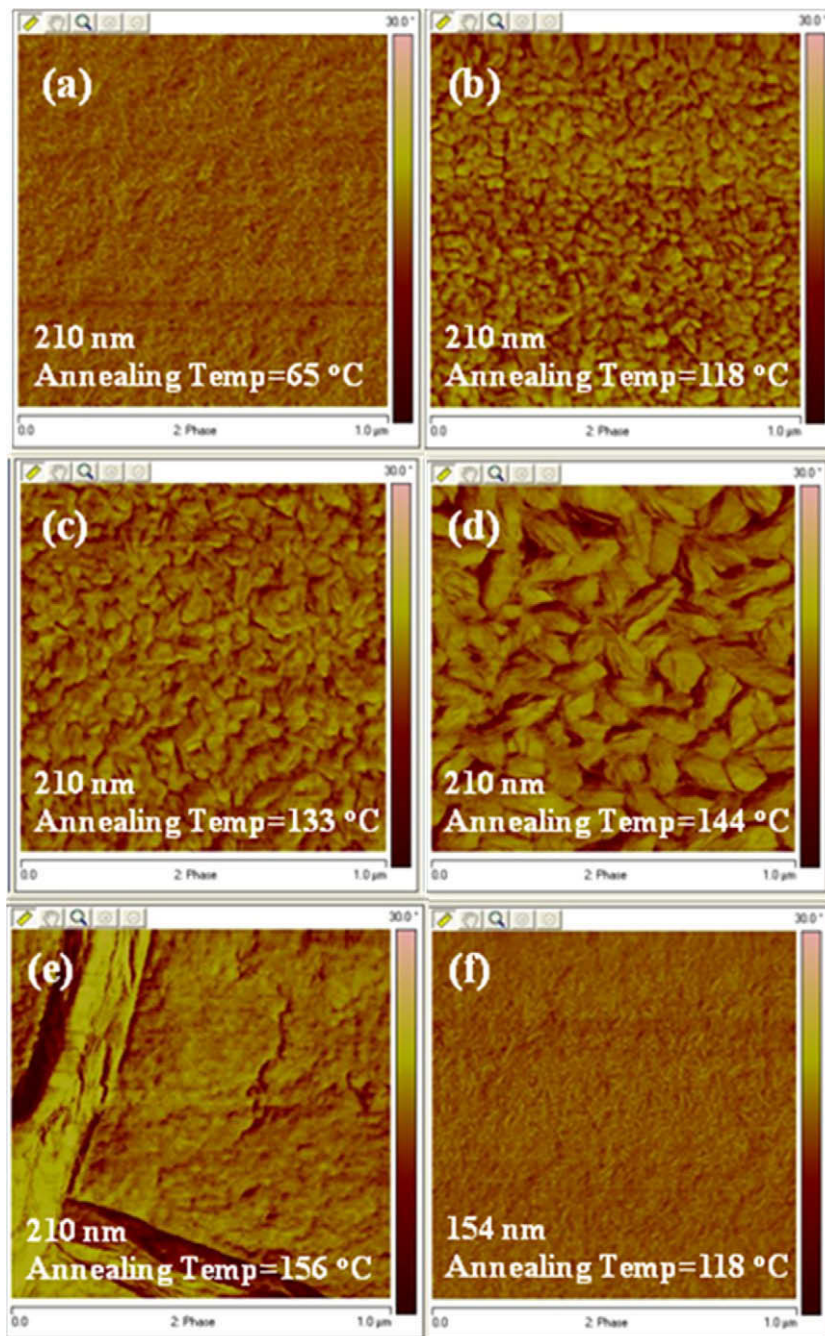
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film characteristics, and correlate the material properties with MFM device performance. These results demonstrate that through careful film processing this material may be a promising solution for a non-volatile memory element for flexible electronics.

## 2. Experimental

Metal–Ferroelectric–Metal capacitors were fabricated as follows. P(VDF-TrFE) copolymer powder with 70/30 mol

ratio (Hisense Electronics Co., China) was dissolved in 2-butanone without further purification as 3 and 4 wt.%, respectively. Chromium and gold (10/100 nm) were e-beam evaporated on a silicon substrate with a  $\text{Si}_3\text{N}_4$  buffer layer as the bottom contact, and patterned using photolithography. Copolymer films (154 and 210 nm) were then spin-coated on the Cr/Au contact from the 3 and 4 wt.% solutions, followed by drying and annealing at 65 °C, 118 °C, 133 °C, 144 °C and 156 °C ( $T_m$  is approximately 148 °C) for 2 h in a vacuum oven (pressure was approxi-



**Fig. 1.** (a)–(e) AFM phase images of 210 nm P(VDF-TrFE) films annealed from 65 °C to 156 °C and (f) shows 154 nm film annealed at 118 °C for comparison.

mately 20 Torr). All the samples were then left in the vacuum oven to slowly cool down to room temperature in order to maximize their grain size. Gold was thermally evaporated and photolithography patterned on the copolymer film as the top contact. The area of the MFM capacitors ranged from 0.0003 cm<sup>2</sup> to 0.0025 cm<sup>2</sup>. The electrical performance was characterized using Capacitance–Voltage (HP4284, Agilent), Displacement Current–Voltage (Keithley 4200 semiconductor parameter analyzer), and Displacement Charge Density–Voltage (virtual ground circuit, RT66B, Radiant Technology). Film thicknesses were determined using a profilometer (Dektak), surface morphology was studied using Atomic Force Microscopy (AFM, DM09 Veeco), crystallinity was measured using thin film X-ray diffraction (XRD, Rigaku), and molecular bonding and orientation were characterized using Fourier-transform infrared reflection–absorption spectroscopy (FT-IR, Magna-IR 560, Nicolet). The ferroelectric  $\beta$  phase increases with annealing temperature. We demonstrate that high polarization and low leakage current can be achieved by annealing at, or slightly above  $T_c$ , whereas annealing at higher temperature, but below the melting temperature increases leakage current.

### 3. Results and discussions

To correlate the film's physical and chemical characteristics with device performance, P(VDF-TrFE) films were studied before top electrode deposition. We studied the microstructure, surface morphology, crystallinity and molecular phase configuration. Fig. 1a–e shows the AFM phase images (tapping mode) of 210 nm films annealed at temperatures ranging from 65 °C to 156 °C. Films annealed at 65 °C, below the Curie temperature ( $T_c = 118$  °C), show a smooth surface with relatively small grain size (Fig. 1a). As the annealing temperature increases near, and above  $T_c$  (Fig. 1b and c), the polymer grain size increases, as expected. Films annealed at 144 °C (just below  $T_m = 148$  °C) show the largest grain size (approximately 180 nm long) (Fig. 1d). Annealing at temperatures above  $T_m$  at 156 °C, results in films with a completely different morphology. This is due to film re-crystallization during cooling [11,16]. We also compare 154 nm (Fig. 1e) with 210 nm films (Fig. 1b) annealed using the same conditions. While the thinner films follow the same trend as thicker films (Fig. 2a), it can be seen that the thinner film shows smaller grains, even though the films are annealed using the same conditions and temperature. This indicates that P(VDF-TrFE) crystallization is a function of not only the annealing temperature, but also film thickness, and demonstrates that annealing has to be optimized for each film thickness. The evolution of film roughness as a function of annealing temperature and film thickness is shown in Fig. 2b. It is well known that P(VDF-TrFE) copolymer is a polycrystalline material, and that its ferroelectric response is attributed to the  $\beta$  phase (all-trans), which shows a rod-like shape with a relatively rough surface [15]. Fig. 2b shows a clear increase in the Root-Mean-Square (RMS) roughness as a function of annealing temperature, suggesting the presence of the  $\beta$  phase. Although higher annealing temperature favors

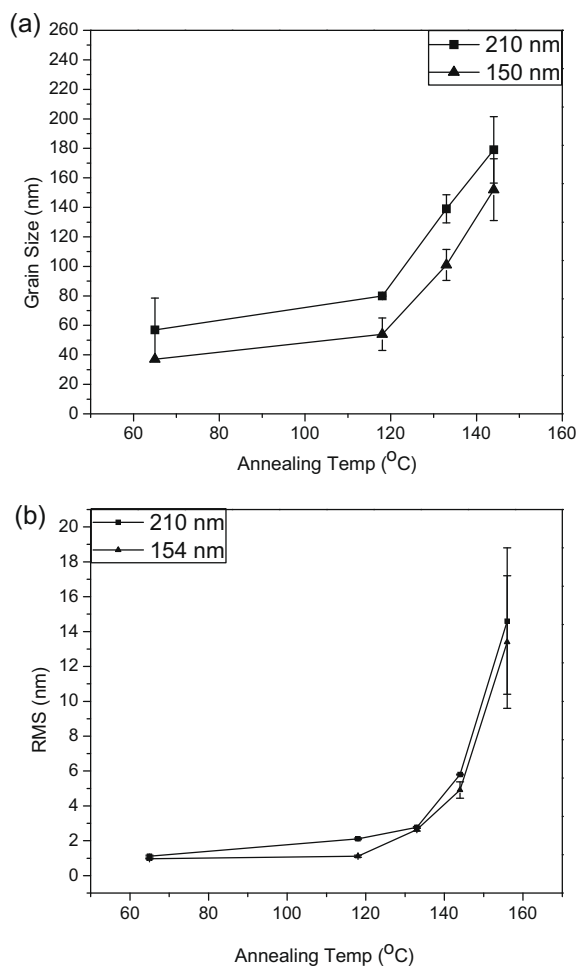


Fig. 2. (a) Average  $\beta$  phase crystallite grain size of 210 nm and 154 nm film at different annealing temperature treatment below  $T_m$ . (b) Surface roughness (RMS) of 210 nm and 154 nm films at different annealing temperatures.

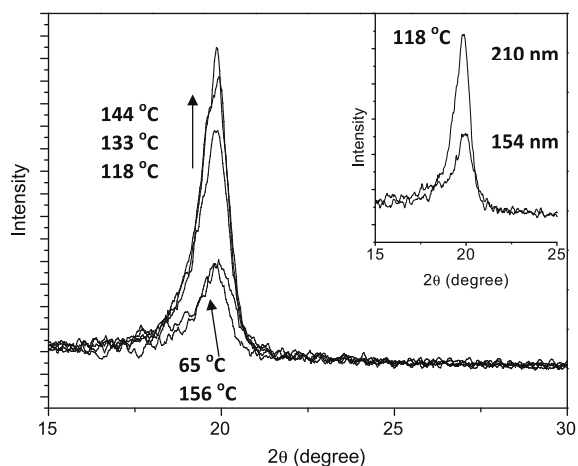
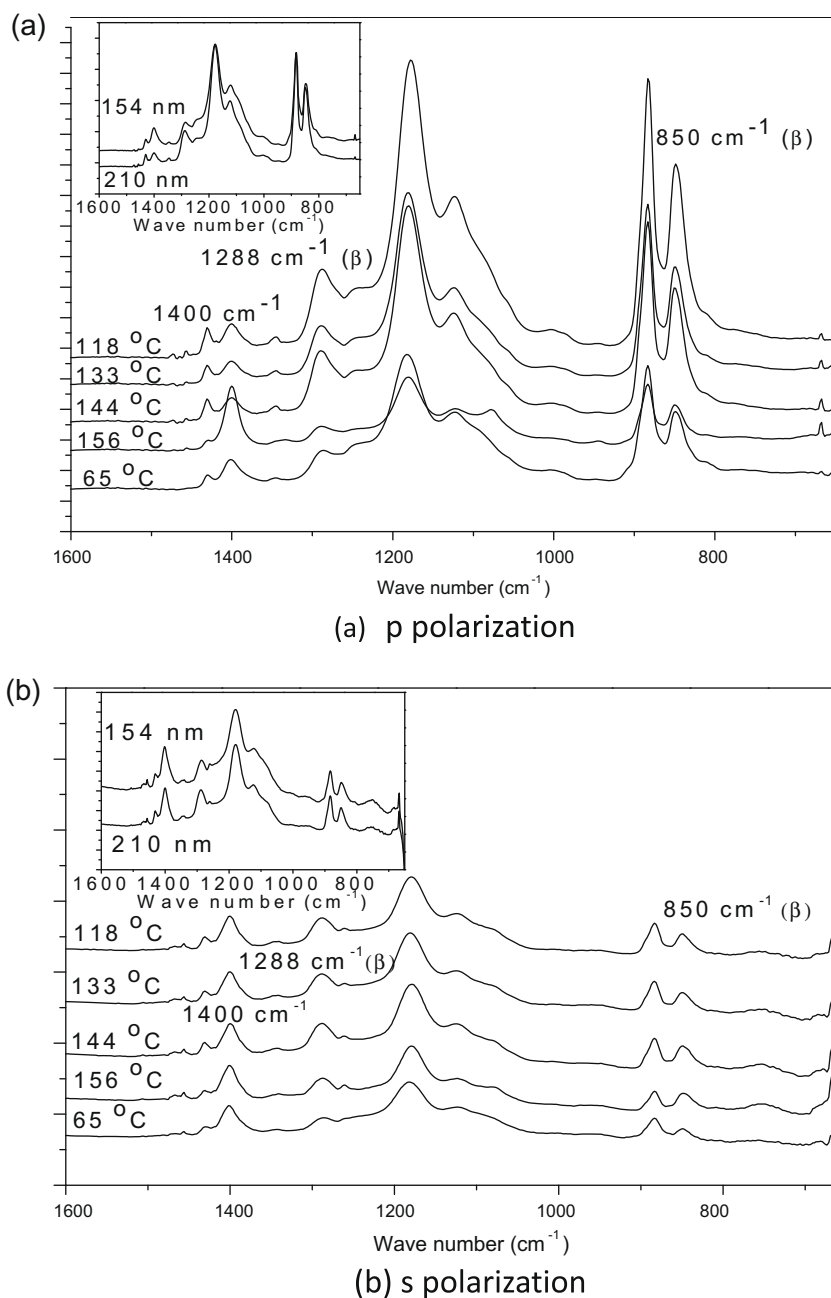


Fig. 3. XRD of 210 nm P(VDF-TrFE) films annealed at different temperatures. Inset shows both of the 210 nm and 154 nm films annealed at 118 °C.

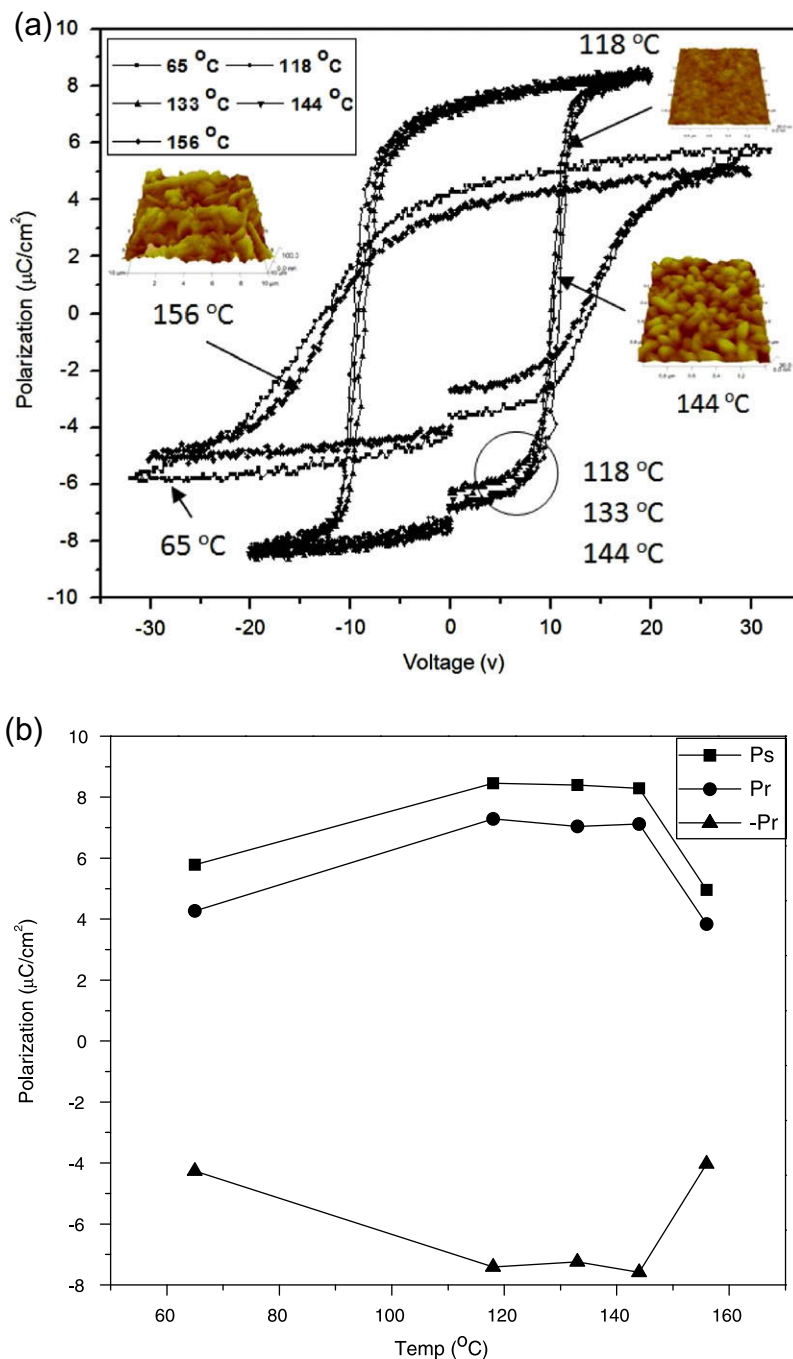


**Fig. 4.** (a) p Polarization (for molecular dipole interaction with direction perpendicular to substrate) and (b) s polarization (for molecular dipole interaction with direction parallel to substrate) of FT-IR of 210 nm P(VDF-TrFE) films annealed at different temperatures. Inset shows both of the 210 nm and 154 nm films annealed at 118 °C.

larger crystallites, it also increases surface roughness, which could result in increased leakage current. The RMS roughness increases from 1.1 nm to 14.6 nm for 210 nm films and from 1.0 nm to 13.4 nm for 154 nm films, as shown in Fig. 2.

Fig. 3 shows XRD results for the 210 nm films annealed at different temperatures. The sharp peak at  $2\theta = 19.9^\circ$  is assigned to the (1 1 0) and (2 0 0) orientations, and is attributed to the ferroelectric  $\beta$  phase. The diffraction angle

of this peak does not change with the annealing conditions, indicating similar inter-chain spacing for all the annealing conditions [17]. The inter-planar distance was calculated to be approximately 4.5 Å, in agreement with an earlier report [10]. Higher annealing temperatures, but below  $T_m$ , produces more intense diffraction peaks, indicating a higher degree of crystallinity. Annealing above  $T_m$  causes a dramatic reduction in the peak intensity for the (1 1 0) and (2 0 0) peaks, which suggests a change in the crystalline



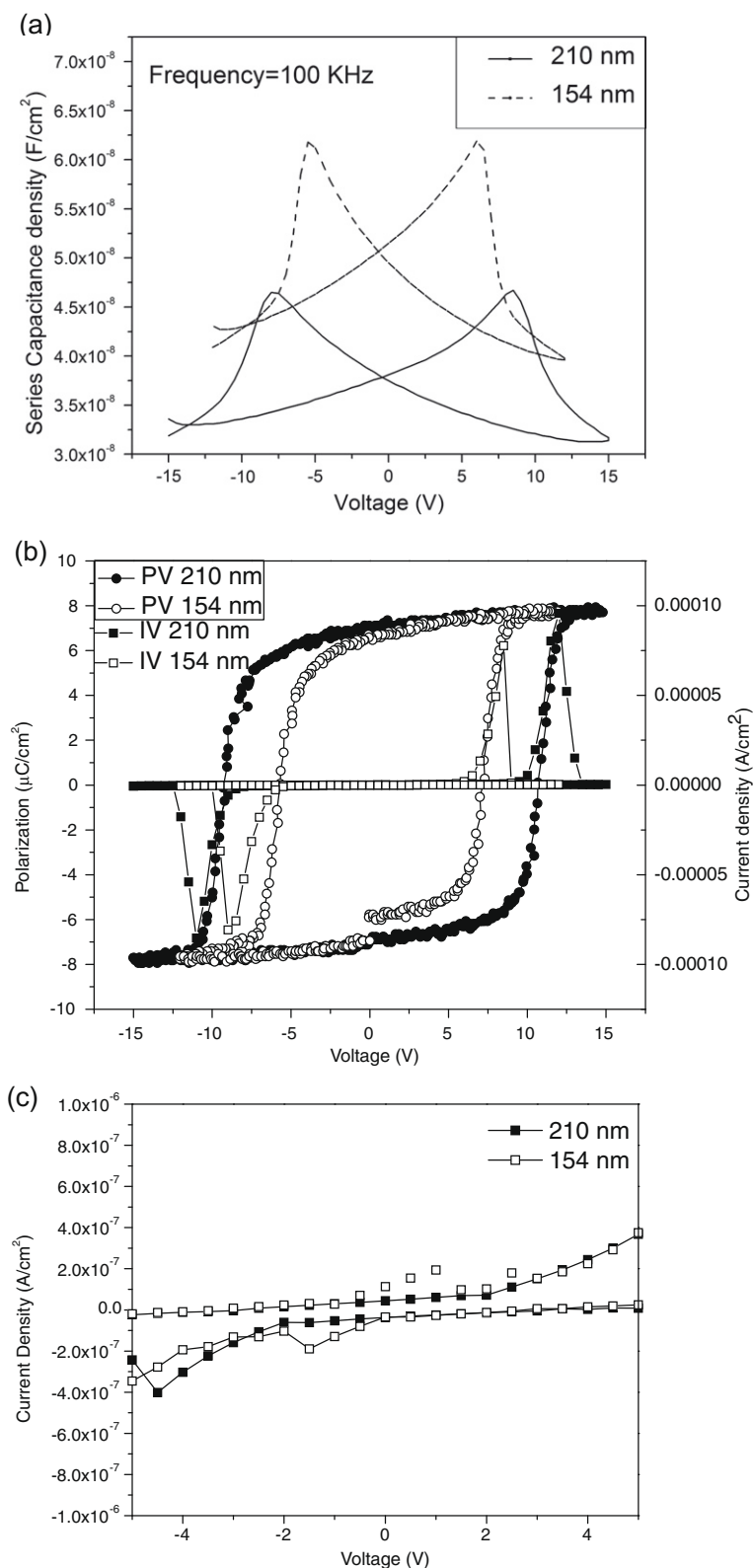
**Fig. 5.** (a) Hysteresis loops of 210 nm P(VDF-TrFE) copolymer films annealed at different temperatures. Inset AFM images show the morphologies of corresponding temperature annealed devices. (b) Saturation and remanent polarizations at different temperatures.

characteristics of the films, with a likely reduction in the ferroelectric  $\beta$  phase. The 154 nm films follow a similar trend. The 210 nm film shows a higher degree of crystallinity, as shown in the inset of Fig. 3.

Polarized FT-IR is used to characterize the localized chain orientation and configuration of the P(VDF-TrFE) films. We use the fact that the different P(VDF-TrFE) phases have different responses to the external electro-

magnetic field associated with FT-IR spectroscopy [18]. The large dipoles in P(VDF-TrFE) strongly interact with the applied IR electric field when the field is perpendicular to the dipole direction. Fig. 4a shows the FT-IR results (from  $1600\text{ cm}^{-1}$  to  $650\text{ cm}^{-1}$ ) for 210 nm thick films annealed at different temperatures. IR with the electric field component parallel to the plane of the substrate (p polarization) is used for this measurement. The  $1288\text{ cm}^{-1}$  and





**Fig. 6.** (a) C–V measured at 100 kHz, (b) P–V and I–V data and (c) Leakage current for low voltage sweep for 210 nm and 154 nm P(VDF-TrFE) MFM devices annealed at 118 °C.

850  $\text{cm}^{-1}$  bands are assigned to  $\text{CF}_2$  symmetric stretching characteristics of the  $\beta$  phase, with three or more trans sequence of isomers [19]. These IR absorption bands indicate transition dipole moments  $\mu$  parallel to the polar  $b$  axis [9]. For samples annealed at 118 °C, 133 °C and 144 °C, these two absorption bands can be clearly seen, indicating that the  $b$  axis of the copolymer film is perpendicular to the substrate. For the samples annealed at 65 °C and 156 °C, these two bands are relatively weak, indicating that the  $b$  axis is tilted away from the direction normal to the surface. Moreover, the 1400  $\text{cm}^{-1}$  band (the wagging vibration of  $\text{CH}_2$ ), which has  $\mu$  parallel to the polymer chain  $c$  axis, increases for the film annealed above  $T_m$ , indicating that a significant portion of the copolymer chain tilts vertically, which is undesirable for vertical polarization [20]. In contrast,  $s$  polarized (the electric field component is perpendicular to the substrate) IR spectra of samples annealed at low temperature (Fig. 4b) show a large absorption ratio between 1400  $\text{cm}^{-1}$  and 1288  $\text{cm}^{-1}$  bands, indicating the strong wagging vibration of  $\text{CH}_2$  along the  $c$  axis, and confirming that most of the copolymer chains are oriented parallel to the substrate when the films are annealed below  $T_m$ .

The electrical performance of the devices with area of 0.0005  $\text{cm}^2$  was evaluated after fabrication as a function of annealing conditions and film thickness. The Polarization–Voltage ( $P$ – $V$ ) hysteresis loops (1 Hz) for 210 nm MFM devices with different annealing temperatures measured at room temperature are shown in Fig. 5a. For devices annealed at 65 °C, PV shows a saturation polarization ( $P_s$ ) of 5.8  $\mu\text{C}/\text{cm}^2$  and a remanent polarization ( $P_r$ ) of 4.3  $\mu\text{C}/\text{cm}^2$  with a coercive voltage ( $V_c$ ) of approximately 13.3 V (corresponding to a coercive field  $E_c = 0.63 \text{ MV}/\text{cm}$ ). These data are for a voltage sweep from  $-32 \text{ V}$  to  $32 \text{ V}$  ( $E$  field of  $-1.52 \text{ MV}/\text{cm}$  to  $1.52 \text{ MV}/\text{cm}$ ). The low polarization and high  $V_c$  are consistent with a low concentration of the ferroelectric  $\beta$  phase, as confirmed by the AFM, FT-IR and XRD results. When the annealing temperature is increased to 118 °C, the polarization increases sharply, with a concurrent decrease of  $V_c$ . The  $P$ – $V$  loops show a typical square shape with  $P_s$ ,  $P_r$  and  $V_c$  of 8.5  $\mu\text{C}/\text{cm}^2$ , 7.4  $\mu\text{C}/\text{cm}^2$  and 10.2 V, respectively. This corresponds to an  $E_c$  of approximately 0.5  $\text{MV}/\text{cm}$  (measured at  $\pm 20 \text{ V}$  for a field of  $\pm 0.95 \text{ MV}/\text{cm}$ ). These results are in agreement with previous reports [21]. The polarization saturates and the hysteresis loops remain almost constant as the annealing temperature increases to 133 °C and 144 °C. This saturation demonstrates that, although the grain size is different for each of these temperatures, annealing these films at 118 °C is enough to achieve acceptable ferroelectric performance. As the annealing temperature increases above  $T_m$  to 156 °C,  $P_s$  and  $P_r$  decrease, while  $V_c$  increases. This indicates the tilted chain orientation and a reduction of the ferroelectric  $\beta$  phase. This is also in agreement with changes in morphology seen with AFM, XRD and FT-IR. The discontinuities at the origin of the hysteresis loops are due to non-switchable polarization, as reported in Ref. [22].

$P_s$ ,  $P_r$  and  $-P_r$  for the different annealing temperatures are plotted in Fig. 5b. While most of the polarization of P(VDF-TrFE) originates from the  $\beta$  phase, the  $\beta$  phase crystallization also impacts polarization [20]. The data sug-

gest that annealing at temperatures equal to or slightly above  $T_c$  is sufficient to align the  $\beta$  phase dipole moment ( $\mu$ ) perpendicular to the substrate, which is the requirement for high  $P_s$  and  $P_r$  and low  $V_c$ . Although annealing at temperatures higher than  $T_c$ , but below  $T_m$  favors a higher degree of crystallinity with larger grains, the increased film roughness (Fig. 2) might be an issue for leakage current. The high surface roughness will cause a non-uniform electric field, with the concomitant increase in leakage current in the film. This will result in devices with lower electrical stability. Therefore, the best annealing temperature is at, or just above  $T_c$ .

Fig. 6 shows the  $C$ – $V$ ,  $P$ – $V$  and  $I$ – $V$  plots for 210 nm and 154 nm films annealed at 118 °C. Hysteresis was measured at  $\pm 15 \text{ V}$  and  $\pm 12 \text{ V}$ , respectively. Fig. 6a shows peak capacitance densities of 47  $\text{nF}/\text{cm}^2$  (210 nm) and 62  $\text{nF}/\text{cm}^2$  (154 nm), measured at 100 kHz. The dielectric constants of the films with the two thicknesses show similar values, between 7.8 and 11 depending on the measurement voltage, slightly higher than the value reported in the literature [21], probably due to the improved annealing treatment. The polarizations were measured to be 7.7  $\mu\text{C}/\text{cm}^2$  and 7.0  $\mu\text{C}/\text{cm}^2$  for  $P_s$  and  $P_r$  with a peak switching current of approximately 85  $\mu\text{A}/\text{cm}^2$  (Fig. 6b). The leakage current is lower than  $5 \times 10^{-7} \text{ A}/\text{cm}^2$  before the electric field reaches  $E_c$  (Fig. 6c). The maximum polling slope ( $dP/dV$ ) of the two thicknesses of the devices shows similar values and the coercive field is consistent, indicating similar switching behavior. This indicates that film orientation might be more important than grain size. For the same annealing conditions, thicker films show larger grains, but the electrical performance of the films is similar for different thicknesses.

#### 4. Conclusion

The transition of the  $\beta$  phase crystallite and the rearrangement of the molecular chain orientation to favor vertical ferroelectric polarization can be achieved with thermal annealing at or just above  $T_c$ . The polarization of the MFM devices after bias tends to saturate as annealing temperature increases. Higher annealing temperature (but below  $T_m$ ) results in films with larger grains, higher degree of crystallinity and increased roughness, but less effect on molecular chain orientation. Annealing above the  $T_m$ , results in a shift of molecular chain orientation, degraded  $\beta$  phase crystallization and decreased polarization. Thicker films produce larger grains and a higher degree of crystallinity, however, the polarization does not increase because it is more related to molecular orientation. When reducing the thickness of the film, the annealing process needs to be optimized to reach high polarization as well as smooth surface morphology. We conclude that annealing at or slightly above  $T_c$  for the P(VDF-TrFE) copolymer is optimal to achieve maximum polarization and low leakage, and is compatible with low temperature substrates for flexible electronics applications.

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