# Sudoku 4x3 GPU Exact Enumeration Research Log

#### Verification

Verification of 2006 Pettersen/Silver result used 1035 CPU-hours over a twelve-day period between 8 June 2022 and 20 June 2022.

#### Note on 3x4 vs 4x3

I have been using 3x4 and 4x3 somewhat interchangeably. I starting using just 3x4 because that's how the Wikipedia table of results had it. After reconnecting with Kjell after all these years, I saw that he used 3x4 and 4x3 to refer to two distinct methods for the exact enumeration.

- In a 4x3 count, the 144,578 gangsters (equivalence class representatives) of a four-box, 12x4 band are determined and used with the other two bands.
- In a 3x4 count, the 2230 gangsters of a three-box, 12x3 stack are determined and used with the other three stacks.

Both the original 2006 enumeration, and this 2022 verification, are 4x3. Both methods must give the same result, but both Kjell and I believe that 4x3 is more efficient. Kjell has recently been thinking about the 3x4 version, and he may yet develop an efficient way to do it using stack pairs.

I think Kjell is right that I should be naming all this work 4x3, not 3x4, but there is a lot of 3x4 in filenames and the like because that's where I started. If you see 3x4, keep in mind that the method under investigation is 4x3.

## Workload

CPU-hours is easy to tally but not a great way to measure the workload. It's very dependent on the machines I happened to have available, and tends to be biased by the slowest ones. It is a measure of serial hours, i.e. the time that would have been needed if the machines were not run in parallel. It also was affected by some difficulty I had in getting Windows and Ubuntu to run the cores at max speed, instead of trying to conserve power.

A parallel measure of CPU-hours would be easy to tally if all machines were run for the entire time—it would be essentially the same as the formula for parallel resistance. But that was not the case in practice.

Parallel execution on multiple cores of a single CPU is not the same as parallel execution on separate machines, because the parallel threads compete with each other for shared resources. Each of the 144,578 gangsters is enumerated independently, and the time for each one is defined and recorded as the elapsed time divided by the number of parallel threads.

# Computers

In the following table, the first six computers were used in the verification run. The speed is the average time to enumerate one gangster with a 32-gangster benchmark run of 865 – 896 (the first 32 in group 1). EPT2022 is the Nvidia Jetson AGX Xavier.

Name	GHz	Cores	Threads	СРИ	OS	Compiler	Speed
PT2017	3.10	4	8	x64 Xeon E3-1535M v6	Windows	MS VC++	16.5
PT2019	2.11	4	8	x64 i7-8650U	Windows	MS VC++	24
Judy7		4	8	x64	Windows	MS VC++	
PT2015		2	4	x64	Ubuntu	GCC	
Judy6		2	4	x64	Windows	MS VC++	
CGNX	2.90	2	4	x64 i7-7600U	Windows	MS VC++	
EPT2022	2.26	8	8	ARM-64 v8.2	Ubuntu	GCC	10

The speed (actually seconds/gangster) numbers are somewhat variable, run to run. For example, most of the PT2019 runs are in the 23.8 – 24.5 range, but a small number came in at around 22.1. I don't understand this 2 seconds/gangster bimodal variation on this particular machine. My current speculation is that the variation in parallel thread order may interact with the data caches and hyperthreading and occasionally produce this weird bimodal behavior.

More detailed timing follows. This version is slightly different than the baseline verification run. The order of the DoubleBoxCount outer loop was modified to try to achieve slightly better data cache performance. It may have made a very small improvement in speed, barely measurable. See discussion in *Radical Improvement in Data Cache Hit Rate* below.

```
PT2017
Using 8 threads
1,180,382 cache misses 4,087,416 code calls
Read count file gridCount_1-.txt, total time so far 0.46 hours
Profile tree:
Sudoku3x4
                                                                  535.947
                                      15400 *
                                                       0.0661 ->
  RowCode Init
                                                                    0.001
  ColCode Init
                                        5775 *
                                                       0.0579 \rightarrow
                                                                    0.000
                                     369600 *
  Row Tables
                                                       0.1185 ->
                                                                    0.044
                                     138600 *
                                                       0.1487 ->
  Column Tables
                                                                    0.021
                                         715 *
  BoxCompatible Init
                                                       1.6134 ->
                                                                    0.001
                                      31104 *
                                                      14.3970 ->
  Column Nodes
                                                                    0.448
  BandGang Construct
                                                                    0.247
  Verify BandGang Tables
                                                                    0.019
  Band Gangsters
                                                                    3.790
  Fix gang cache
Read/verify gangsters
                                  300155625 *
                                                       0.0022 ->
                                                                    0.672
                                     144578 *
                                                       1.2478 ->
                                                                    0.180
                                  300155625 *
  Replace cache codes
                                                       0.0021 \rightarrow
                                                                    0.645
                                    1998150 *
  Construct GridCounter
                                                       0.2037 ->
                                                                    0.407
                                                                  528.615
  Grid counter
    GridCounter Setup
                                                                    0.906
      Big tables
                                     119716 *
                                                       7.3130 ->
                                                                    0.875
      Sort
                                                                    0.026
      Overhead
                                                                    0.005
                                          32 * 16490904.0063 -> 527.709
    Main count loop
    Overhead
                                                                    0.000
  Overhead
                                                                    0.856
PT2019
Using 8 threads
1,175,430 cache misses 4,070,668 code calls
Read count file test1_1-.txt, total time so far 0.00 hours
Profile tree:
Sudoku3x4
                                                                  772.867
                                       15400 *
                                                       0.0625 ->
  RowCode Init
                                                                    0.001
  ColCode Init
                                        5775 *
                                                       0.0472 ->
                                                                    0.000
                                      369600 *
                                                       0.1273 ->
  Row Tables
                                                                    0.047
                                     138600 *
  Column Tables
                                                       0.1496 ->
                                                                    0.021
                                         715 *
  BoxCompatible Init
                                                       1.6615 ->
                                                                    0.001
                                       31104 *
                                                      15.4951 ->
  Column Nodes
                                                                    0.482
  BandGang Construct
                                                                    0.256
  Verify BandGang Tables
                                                                    0.020
  Band Gangsters
                                                                    5.599
  Fix gang cache
Read/verify gangsters
                                  300155625 *
                                                       0.0023 ->
                                                                    0.697
                                     144578 *
                                                       1.2698 ->
                                                                    0.184
                                  300155625 *
  Replace cache codes
                                                       0.0023 \rightarrow
                                                                    0.676
                                    1998150 *
  Construct GridCounter
                                                       0.2092 ->
                                                                    0.418
                                                                  763.586
  Grid counter
    GridCounter Setup
                                                                    0.951
                                     119716 *
                                                       7.6898 ->
                                                                    0.921
      Big tables
                                                                    0.030
      Sort
      Overhead
                                                                    0.000
    Main count loop
                                          32 * 23832356.1656 -> 762.635
    Overhead
                                                                    0.000
  Overhead
                                                                    0.879
```

```
Using 8 threads
8.5697 [144578]; 1,176,526 cache misses 4,075,140 code calls
1,176,526 cache misses 4,075,140 code calls
Profile tree:
Sudoku3x4
                                                                 719.754
                                      15400 *
                                                      0.0735 ->
                                                                   0.001
  RowCode Init
  ColCode Init
                                       5775 *
                                                      0.0550 ->
                                                                   0.000
  Row Tables
                                     369600 *
                                                      0.1282 ->
                                                                   0.047
                                     138600 *
                                                      0.1622 ->
  Column Tables
                                                                   0.022
                                        715 *
  BoxCompatible Init
                                                      1.8829 ->
                                                                   0.001
                                      31104 *
  Column Nodes
                                                     14.8154 ->
                                                                   0.461
  BandGang Construct
                                                                   0.259
  Verify BandGang Tables
                                                                   0.029
  Band Gangsters
                                                                   5.337
  Fix gang cache
Read/verify gangsters
                                 300155625 *
                                                      0.0023 ->
                                                                   0.702
                                     144578 *
                                                      1.2762 ->
                                                                   0.185
  Replace cache codes
                                  300155625 *
                                                      0.0023 ->
                                                                   0.676
                                                      0.2091 ->
  Construct GridCounter
                                  1998150 *
                                                                   0.418
                                                                 710.708
  Grid counter
    GridCounter Setup
                                                                   0.963
                                                      7.8065 ->
                                     119716 *
      Big tables
                                                                   0.935
                                                                   0.028
      Sort
      Overhead
                                                                   0.001
                                         32 * 22179517.5406 -> 709.745
    Main count loop
    Overhead
                                                                   0.000
  overhead
                                                                   0.909
EPT2022
Using 8 threads
1,158,310 cache misses 4,009,004 code calls
Read count file gridCount_1-.txt, total time so far 0.46 hours
Profile tree:
Sudoku3x4
                                                                 326.179
                                      15400 *
  RowCode Init
                                                      0.1489 \rightarrow
                                                                   0.002
                                       5775 *
  ColCode Init
                                                      0.0968 ->
                                                                   0.001
                                     369600 *
                                                      0.2255 ->
  Row Tables
                                                                   0.083
                                     138600 *
                                                      0.3447 ->
  Column Tables
                                                                   0.048
  BoxCompatible Init
                                       715 *
                                                      4.3080 ->
                                                                   0.003
                                                                   0.736
  Column Nodes
                                      31104 *
                                                     23.6487 ->
  BandGang Construct
                                                                   0.250
  Verify BandGang Tables
                                                                   0.022
                                                                   4.772
  Band Gangsters
 Fix gang cache
Read/verify gangsters
                                                      0.0019 ->
                                 300155625 *
                                                                   0.581
                                     144578 *
                                                      0.5562 ->
                                                                   0.080
                                  300155625 *
  Replace cache codes
                                                      0.0022 ->
                                                                   0.649
  Construct GridCounter
                                    1998150 *
                                                      0.2685 ->
                                                                   0.536
                                                                 317.587
  Grid counter
    GridCounter Setup
                                                                   2.208
      Big tables
                                     119716 *
                                                      6.0924 ->
                                                                   0.729
      Sort
                                                                   1.478
      Overhead
                                                                   0.001
                                         32 * <mark>985</mark>5592.8388 -> 315.379
    Main count loop
                                                                   0.000
    overhead
  Overhead
                                                                   0.829
```

#### **Jetson AGX Xavier GPU Basics**

```
Clock rate 1377000 kHz
L2 cache size 524288
Max blocks per multiprocessor 32
Max grid size 2147483647.65535.65535
Max block dimension 1024.1024.64
Max threads per block 1024
Max threads per multiprocessor 2048
Multiprocessor count 8
Reserved shared memory per block 0 bytes
Shared memory per block 49152 bytes
Shared memory per multiprocessor 98304 bytes
Total global memory on device 32517738496 bytes
Warp size in threads 32
```

#### **Cuda First Cut**

First cut at a Cuda GPU program for executing the main grid counting loop for the Sudoku 4x3 exact count. It makes very poor use of GPU resources, and is actually slower than running the CPU code on the Jetson's 8-core ARM v8.2 processors. The purpose of this first cut is to confirm that I understand the Nvidia tool chain and the most basic operations of Cuda. The code runs and gets the correct results.

The first step in using the GPU properly will be to deal with the poor memory access pattern, which radically degrades the GPU's memory bandwidth and stalls the compute elements.

Here is a pure GPU run—one thread runs all the setup, and calls Cuda code to run 16 blocks of 32 threads to do the main counting loops.

```
Using 1 thread
1,052,036 cache misses 3,653,317 code calls
Read count file gridCount_1-.txt, total time so far 0.46 hours
Profile tree:
Sudoku3x4
                                                              389.234
                                    15400 *
                                                    0.1559 ->
                                                                0.002
  RowCode Init
                                     5775 *
  ColCode Init
                                                    0.1008 ->
                                                                0.001
                                   369600 *
                                                    0.2489 ->
  Row Tables
                                                                0.092
                                   138600 *
715 *
                                                   0.3945 ->
  Column Tables
                                                                0.055
                                                    5.3005 ->
  BoxCompatible Init
                                                                0.004
                                    31104 *
  Column Nodes
                                                   23.1097 ->
                                                                0.719
  BandGang Construct
                                                                0.252
  Verify BandGang Tables
                                                                0.028
  Band Gangsters
                                                               10.687
                                300155625 *
                                                    0.0019 ->
  Fix gang cache
                                                                0.580
  Read/verify gangsters
                                   144578 *
                                                    0.5620 ->
                                                                0.081
                                300155625 *
  Replace cache codes
                                                    0.0019 ->
                                                                0.562
                                  1998150 *
                                                    0.2820 ->
  Construct GridCounter
                                                                0.564
                                                                0.795
  Give band counts to GPU
                                300155625 *
                                                    0.0026 ->
                                                              373.769
  Grid counter
    GridCounter Setup
                                                                2.582
                                   119716 *
                                                    6.3462 ->
      Big tables
                                                                0.760
      Sort
                                                                1.616
      Tables -> GPU
                                                                0.205
      Overhead
                                                                0.001
                                        32 * 11599569.5722 -> 371.186
    Main count loop
    Overhead
                                                                0.000
  Overhead
                                                                1.045
```

Here is a heterogeneous run—seven threads run on the ARM cores and one thread calls the Cuda/GPU code:
Using 8 threads

Using 8 threads
1,158,288 cache misses 4,009,055 code calls
Read count file gridCount\_1-.txt, total time so far 0.46 hours

Profile tree:				
Sudoku3x4				336.808
RowCode Init	15400	*	0.1379 -	
ColCode Init	5775	*	0.0980 -	
Row Tables	303000	*	0.1976 -	
Column Tables	±30000	*	0.2959 -	
BoxCompatible Init	715	*	3.6988 -	> 0.003
Column Nodes	31104	*	24.4196 -	
BandGang Construct				0.253
Verify BandGang Tables				0.022
Band Gangsters				4.569
Fix gang cache	300133023	*	0.0020 -	
Read/verify gangsters	144578	*	0.5550 -	
Replace cache codes	300155625	*	0.0021 -	
Construct GridCounter	1330130	*	0.2684 -	
Give band counts to GPU	300155625	*	0.0028 -	
Grid counter				327.460
GridCounter Setup				36.896
Big tables	119716	*	6.1662 -	
Sort				35.850
Tables -> GPU				0.306
Overhead				0.001
Main count loop	32	*	<mark>908</mark> 0136.8877 -	
Overhead				0.000
Overhead				0.935

## **Compilation Command Line**

nvcc --m64 --std c++17 --compiler-options -std=c++17,-march=armv8-a+simd,-Ofast,-Wno-format,-DJETSON --linker-options -pthread --include-path . -o sudoku3x4 bignumMT.cpp profile.cpp general.cpp timer.cpp Sudoku3x4.cpp gridCount.cu

# **GangSets**

As further described in the source comments, the 144,578 gangsters fall into 9 sets, where every gangster in each set has the same box0 and box1 codes. box0 is always 0, and box1 is one of the 9 codes associated with what the source calls nodes. Here are the sets:

Set	Box1 Code	Gangsters	StartIndex	Unique Codes	GCD
0	0	865	0	602	64
1	1	11989	865	2393	16
2	9	10518	12854	2664	16
3	36	63042	23372	3427	8
4	39	10337	86414	2130	8
5	44	44982	96751	3945	8
6	324	1273	141733	753	8
7	325	1519	143006	769	8
8	2537	53	144525	60	8

A 1.4GB lookup table (GridCounter::gcPackets\_) is created (by GangCounter::setup\_) for each GangSet. It takes about a second to create, after which hours are spent enumerating the GangSet.

Pettersen's version also has 9 GangSets (math is math), but they are slightly different:

Set	Box1 Code	Gangsters
0	0	865
1	1	11989
1 2 3	9	10518
3	36	63042
4	44	54060
5	66	1259
6	604	1273
7	614	1519
8	716	53

The box1 codes are different, and gangsters are distributed differently among sets 4 and 5. This is presumably due to different canonical forms and class representatives. The 4/5 differences are most interesting.

## Radical Improvement in Data Cache Hit Rate

The current version did the complete 4x3 enumeration in 46.4 CPU/GPU-hours on my Jetson AGX Xavier (heterogeneous computation using 8 CPU cores and the GPU). This is entirely due to loop reorganization to achieve a much higher data cache hit rate. CPU speeds improve (empirically) by 10x on my 4-core x64 machines, and 5x on the Jetson's 8-core ARMs. GPU performance is about 3x better, still slower than the CPUs running 8 parallel threads, and much worse than I'm hoping for.

All of the gangsters in each of the 9 GangSets have the same box0 and box1. There are 346 \* 346 = 119716 compatible box4-box5, box8-box9 combinations, of which only 60204 have to be run due to the box4-box8 symmetry. That's the outer loop for each gangster in the original enumeration method used for the verification run.

In the original verification run, each gangster was enumerated independently. Each of the parallel threads in the Rope took the next gangster in turn until all in the current GangSet were done. The loop structure for each gangster was

```
Do 60204 iterations
Get [5775][5775] cache level for band1 and band2
Do 346 iterations
Get [5775] line for band1 and band2
Do 346 iterations
Fetch band counts from band1 and band2 lines
multiply-accumulate
```

The innermost loop looks like this:

```
for (int b3 = 0; b3 < 346; ++b3)
  count += (uint64_t)band1CacheLine[box7[b3]] * band2CacheLine[box11[b3]];</pre>
```

box7 and box11 are 346-element arrays of uint16\_t, accessed sequentially. These will fit in L1 cache and the sequential access is favorable, although the values are used only once and will compete for L1 with the band lines.

(I use *cache* for two distinct purposes. When referring to the source code, this is the int32\_[9][5775][5775] BangGang::gangCache\_array (1.2 GB), whose first purpose is as an actual cache for finding gangsters. At the counting stage it holds band counts. I also use *cache* 

to refer to the CPU and GPU hardware data caches. When referring to the hardware I'll use data cache, or L1/L2/L3 cache.)

Each cache line in the inner loop is one 5775-element array. The inner loop touches 346 elements of the 5775-element lines, scattered at the offsets specified by box7 and box11.

Data cache hit rate was terrible because

- The two [5775][5775] cache levels change for each iteration of the outer loop.
- The two [5775] cache lines change for each iteration of the middle loop.

Thus accesses to the gangster cache jumps around in the 1.2GB array, and, more significantly, in the 133MB [5775][5775] gangster cache levels. These arrays are way too big for the L2 or L3 data caches on any contemporary processor.

The level change for outer loop iterations is reduced by sorting the huge table (1.4GB) that drives the 60204 iterations for a more favorable order. The sort groups indices with the same levels together, and with the groups in this order:

```
00 01 02 03 04 05 06 07 08

18 17 16 15 14 13 12 11

22 23 24 25 26 27 28

38 37 36 35 34 33

44 45 46 47 48

58 57 56 55

66 67 68

78 77

88
```

Note that not all of these groups may exist in a given GangSet. The speed improvement due to this sort is barely measurable because the real problem is in the middle loop, but the sort is easy to do and may give better results when combined with the middle loop improvements.

The key to the radical improvement is that each GangSet has long sequences where box2 is the same. Such sequences vary from a dozen or so gangsters to over a thousand, typically hundreds. The sequences are called box2Groups in the source.

The current version rearranges the loops like this:

```
Do 346 iterations
Get [5775] cache line for band1 and band2
Do box2GroupSize iterations
Do 346 iterations
Fetch band counts from band1 and band2 lines
multiply-accumulate
```

Here the same 5775-element band cache lines are used for each of the gangsters in the box2Group, giving hundreds of times more references to those lines that the 346 of the original. The exact same number of iterations of the exact same inner loop are executed, but the speed is an order of magnitude faster due to the data cache hit rate.

In this version, each parallel thread takes one of the 60204 box4-box5, box8-box9 combinations.

# **Heterogeneous Computation**

There are four places in the code where multiple parallel threads can be used—three associated with finding gangsters and their properties, and one for the main counting loops. The Rope class is a simple, uniform way to handle the threads, as described in source comments.

The number of threads to use is specified on the command line, and is usually the total number of available virtual processors (cores \* hyperthreading). The GPU code is written to do the same thing one CPU thread would do, using many GPU blocks (88) and threads (32) internally. When GPU counting is enabled (by command line option), one extra thread is created to be the host side of the GPU, so that we can have all ARM cores and the GPU working in parallel. I assume that this extra CPU thread spends almost all of its time waiting for the GPU to be ready to receive a new kernel launch or data transfer command, and that this is not a spin-wait, so that the extra CPU thread does not consume any significant CPU time competing with the other threads.