

# Advanced topics on Algorithms

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# Approximation algorithms

## Episode II

# minimum Steiner Tree problem

# minimum Steiner Tree problem

## Input:

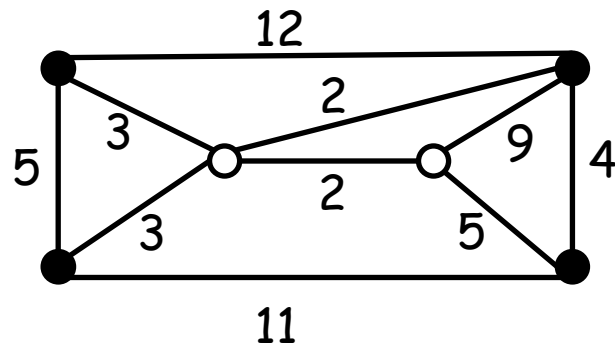
- undirected graph  $G=(V,E)$  with non-negative edge costs
- subset of *required* vertices  $R \subseteq V$ ;  $V-R$  are called *Steiner* vertices

## Feasible solution:

a tree  $T$  containing all the required vertices and any subset of Steiner ones

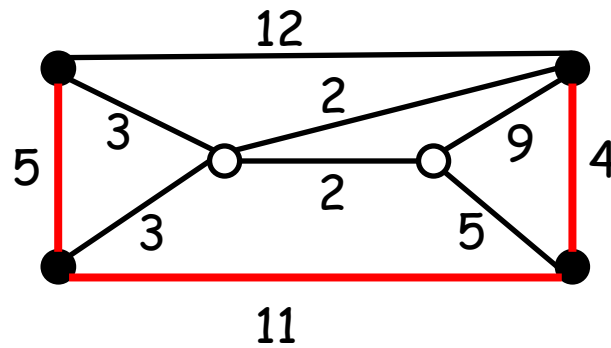
## measure (min):

cost of  $T$  :  $\sum_{e \in E(T)} c(e)$



● : required vertices

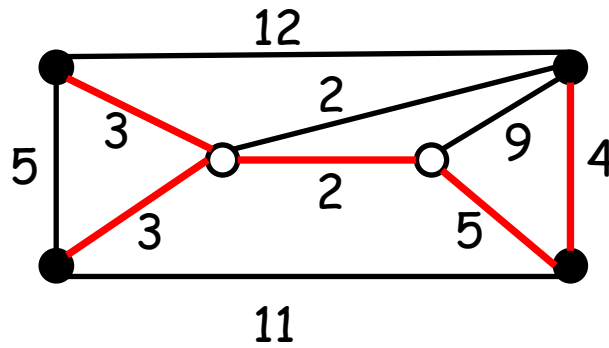
○ : Steiner vertices



a Steiner tree of cost 20

● : required vertices

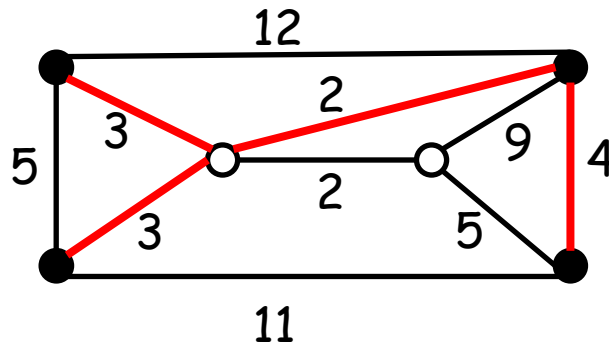
○ : Steiner vertices



a better Steiner tree of cost 17

● : required vertices

○ : Steiner vertices



a better Steiner tree of cost 12

special case:  $R=V$

● : required vertices

○ : Steiner vertices

- Minimum Spanning Tree (MST) problem
- poly-time solvable



# minimum Steiner Tree problem

## Input:

- undirected graph  $G=(V,E)$  with non-negative edge costs
- subset of *required* vertices  $R \subseteq V$ ;  $V-R$  are called *Steiner* vertices

## Feasible solution:

a tree  $T$  containing all the required vertices and any subset of Steiner ones

## measure (min):

$$\text{cost of } T : \sum_{e \in E(T)} c(e)$$

## metric Steiner tree problem:


- $G$  is complete, and
- edge costs satisfy the *triangle inequality*  
for every  $u,v,w$  :  $c(u,v) \leq c(u,w) + c(w,v)$

## Theorem

There is an approximation factor preserving reduction from the Steiner tree problem to the metric Steiner tree problem.

## proof

let  $I$  be an instance of the ST problem consisting of graph  $G=(V,E)$  and required vertices  $R$ .

  
in poly-time

instance  $I'$  of metric ST problem:

- $G'=(V,E')$  complete;  $c'(u,v)$  in  $G'$  = cost of any  $u$ - $v$  shortest path in  $G$
- $R'=R$

since for every  $(u,v) \in E$ ,  $c'(u,v) \leq c(u,v)$ ,  $\text{OPT}(I') \leq \text{OPT}(I)$ .

any steiner tree  $T'$  of  $I'$  can be converted in poly-time into a steiner tree  $T$  of  $I$  of at most the same cost:

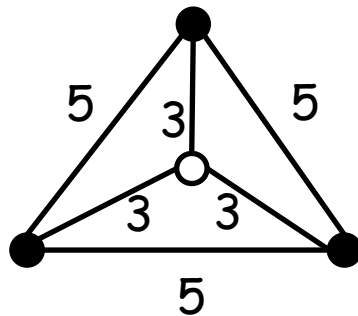
- replace each edge  $(u,v)$  of  $T'$  with the shortest path in  $G$
- pick any spanning tree  $T$  of the obtained subgraph of  $G$

$$\text{cost}(T) \leq \text{cost}(T')$$



# Algorithm

output a Minimum Spanning Tree (MST) of the subgraph of  $G$  induced by  $R$

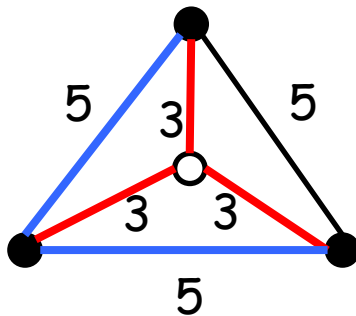


● : required vertices

○ : Steiner vertices

# Algorithm

output a Minimum Spanning Tree (MST) of the subgraph of  $G$  induced by  $R$



$OPT=9$

returned tree  $T$  has cost: 10

● : required vertices

○ : Steiner vertices

## Theorem

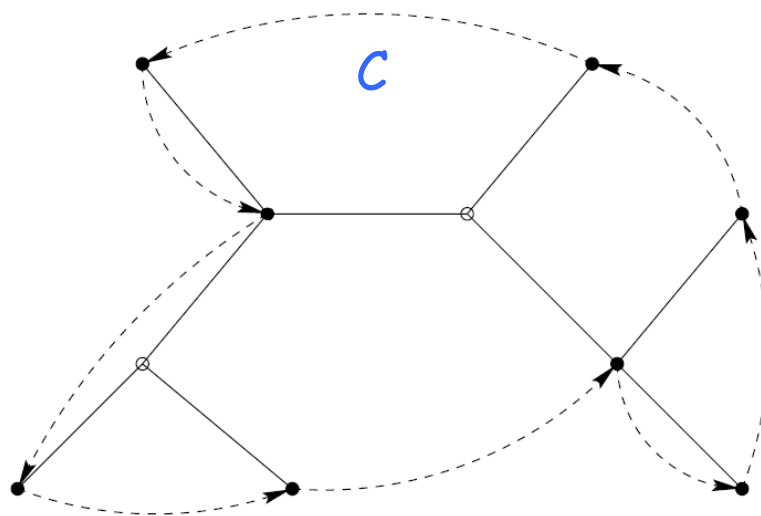
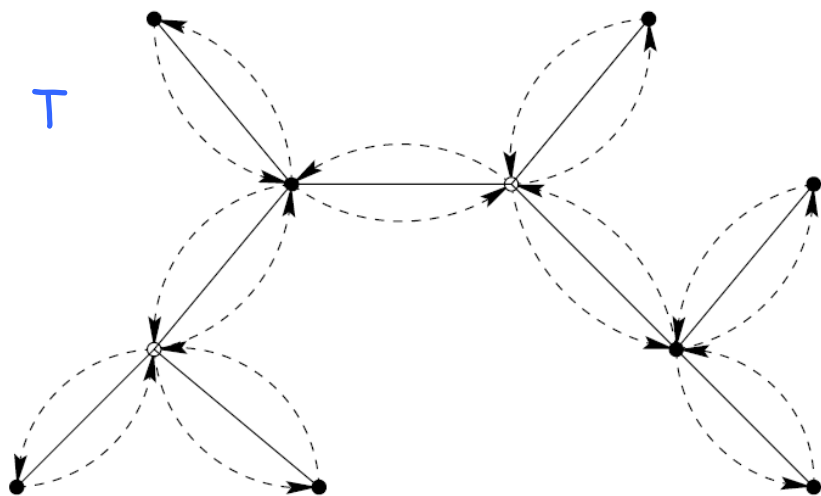
The algorithm is a 2-approximation algorithm for metric ST problem.

## proof

let  $T$  be an optimal Steiner tree of cost  $OPT$ , and  $M$  the MST on  $R$ .

double the edges of  $T$  obtaining an Eulerian graph of cost  $2 OPT$

consider an Eulerian tour of cost  $2 OPT$



obtain a Hamiltonian cycle  $C$  on  $R$  by traversing the Eulerian tour and "shortcutting" Steiner vertices and previously visited vertices of  $R$

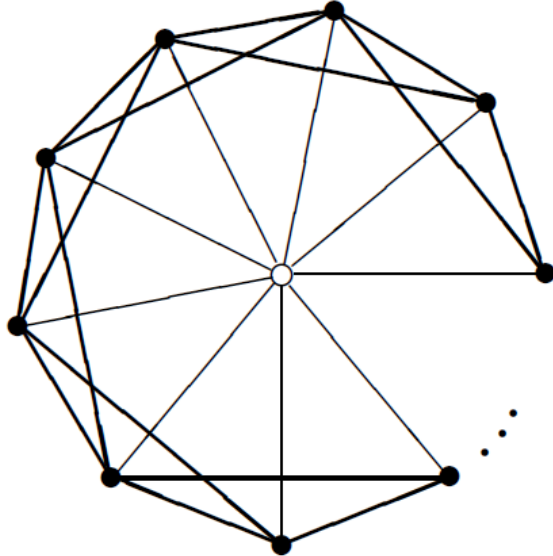
by triangle inequality:  $\text{cost}(C) \leq 2 OPT$

Since  $C$  is a spanning subgraph of  $G[R]$ :  $\text{cost}(M) \leq \text{cost}(C)$



## tight example

$n+1$   
vertices



- edges incident to the Steiner vertex have cost 1
- all the other edges have cost 2

returned solution has  
cost  $2(n-1)$

$\text{OPT} = n$

# Steiner Tree: state of the art

2	[Takahashi & Matsuyama, J.of Math. Jap, 1980]
$11/6 = 1.834$	[Zelikovsky, Algorithmica 93]
1.746	[Berman & Ramaiyer, SODA 92]
$1 + \ln 2 + \varepsilon = 1.693$	[Zelikovsky, Tech. Rep. 96]
$5/3 + \varepsilon = 1.667$	[Promel & Steger, STACS 96]
1.644	[Karpinski & Zelikovsky, JOCO 97]
1.598	[Hougardy & Promel, SODA 99]
$1 + (\ln 3)/2 + \varepsilon = 1.55$	[Robins & Zelikovsky, SODA 2000]
$\ln 4 + \varepsilon = 1.39$	[Byrka et al., STOC 2010]

# Traveling Salesman Problem (TSP)



# Traveling Salesman Problem

## Input:

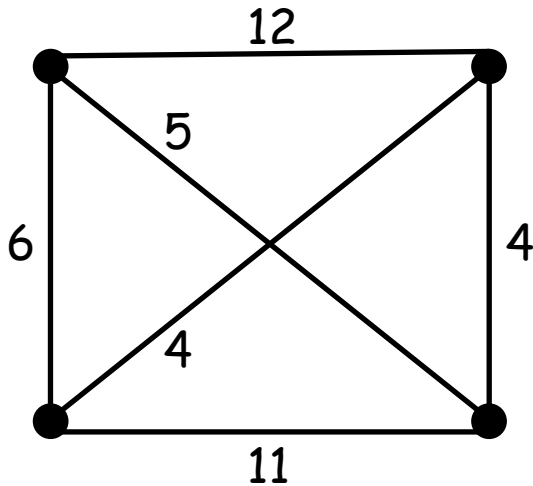
undirected complete graph  $G=(V,E)$  with non-negative edge costs

## Feasible solution:

a cycle  $C$  visiting every vertex exactly once

## measure (min):

cost of  $C$  :  $\sum_{e \in E(C)} c(e)$



# Traveling Salesman Problem

## Input:

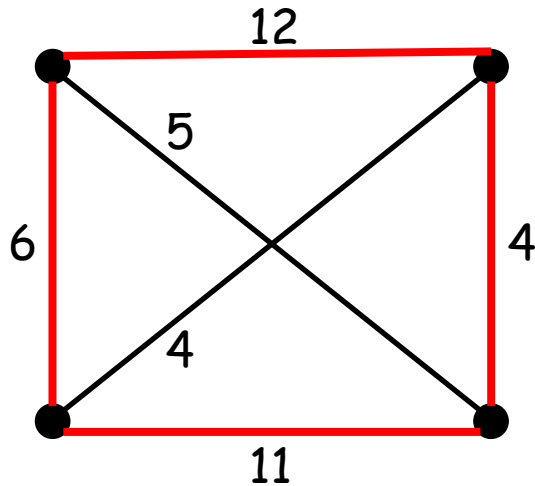
undirected complete graph  $G=(V,E)$  with non-negative edge costs

## Feasible solution:

a cycle  $C$  visiting every vertex exactly once

## measure (min):

cost of  $C$  :  $\sum_{e \in E(C)} c(e)$



a tour of cost 33

# Traveling Salesman Problem

## Input:

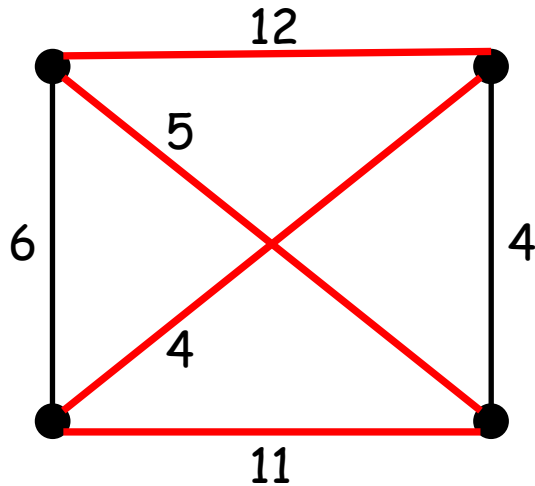
undirected complete graph  $G=(V,E)$  with non-negative edge costs

## Feasible solution:

a cycle  $C$  visiting every vertex exactly once

## measure (min):

cost of  $C$  :  $\sum_{e \in E(C)} c(e)$



a better tour of cost 32

# Traveling Salesman Problem

## Input:

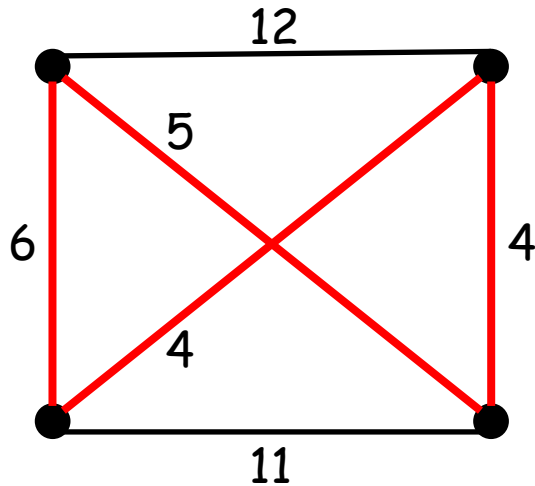
undirected complete graph  $G=(V,E)$  with non-negative edge costs

## Feasible solution:

a cycle  $C$  visiting every vertex exactly once

## measure (min):

cost of  $C$  :  $\sum_{e \in E(C)} c(e)$



a better tour of cost 19

# Traveling Salesman Problem

## Input:

undirected complete graph  $G=(V,E)$  with non-negative edge costs

## Feasible solution:

a cycle  $C$  visiting every vertex exactly once

## measure (min):

cost of  $C$  :  $\sum_{e \in E(C)} c(e)$

## metric TSP:

edge costs satisfy the *triangle inequality*

for every  $u,v,w$  :  $c(u,v) \leq c(u,w) + c(w,v)$

## Theorem

For any polynomial time computable function  $\alpha(n)$ , TSP cannot be approximated within a factor of  $\alpha(n)$ , unless  $P=NP$ .

## proof

by contradiction: let  $A$  be a  $\alpha(n)$ -apx algorithm.

We use  $A$  to decide Hamiltonian cycle.

Let  $G$  be an instance of the Hamiltonian cycle. Define  $G'$ :

- $G'=(V,E')$  complete;
- $c(u,v)=1$  if  $(u,v)\in E(G)$ ;  $c(u,v)=n\alpha(n)$  otherwise

Clearly:

- if  $G$  has a Hamiltonian cycle, then optimal TSP tour in  $G'$  costs  $n$
- if  $G$  does not have a Hamiltonian cycle, then optimal TSP tour is of cost  $> n\alpha(n)$



$G$  has an Hamiltonian cycle iff  $A$  returns a tour of cost  $n$



## Algorithm (metric TSP – factor 2)

1. Find an MST  $T$  of  $G$
2. Double every edge of  $T$  to obtain an Eulerian graph
3. Find an Eulerian tour  $\tau$  on this graph
4. Output the tour that visits vertices of  $G$  in the order of their first appearance in  $\tau$ . Let  $C$  be this tour.

### Theorem

The above algorithm is a 2-approximation algorithm for metric TSP.

### proof

removing an edge from an optimal TSP tour gives us a spanning tree of  $G$

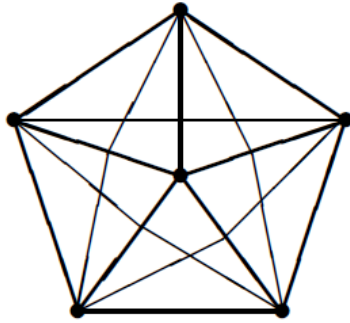
Thus:  $\text{cost}(T) \leq \text{OPT}$

We have:

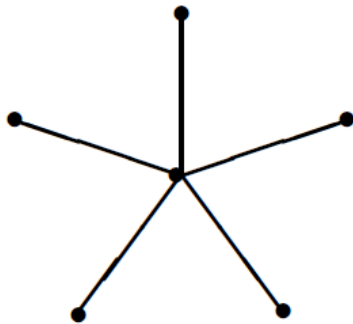
$$\text{cost}(C) \leq \text{cost}(\tau) = 2\text{cost}(T) \leq 2 \text{ OPT}$$



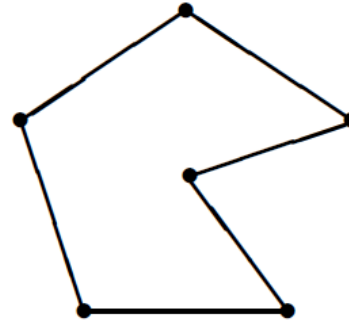
## tight example



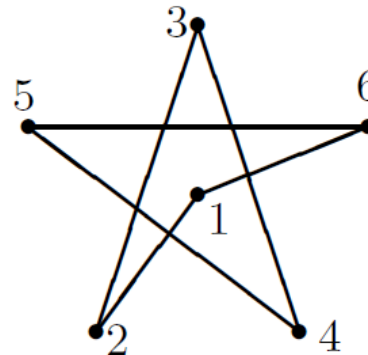
- $n$  vertices
- think edges have cost 1 (star+( $n-1$ )-cycle)
- all the other edges have cost 2



feasible MST



optimal tour of cost  $OPT=n$



returned tour of cost  $2n-2$   
(for the feasible specified order)



**idea:** find a cheaper Eulerian subgraph/tour

**recall:**

- a graph is Eulerian iff all vertices have even degree
- in every undirected graph, the number of odd-degree vertices is even

Christofides, 1976

**Algorithm** (metric TSP – factor  $3/2$ )

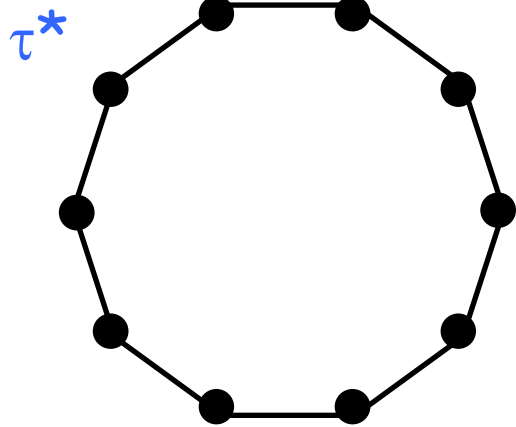
1. Find an MST  $T$  of  $G$
2. Compute a minimum cost perfect matching,  $M$ , on the set  $V'$  of odd-degree vertices of  $T$ . Add  $M$  to  $T$  and obtain an Eulerian graph
3. Find an Eulerian tour  $\tau$  on this graph
4. Output the tour that visits vertices of  $G$  in the order of their first appearance in  $\tau$ . Let  $C$  be this tour.

### Lemma

Let  $V' \subseteq V$ , such that  $|V'|$  is even, and let  $M$  be a minimum cost perfect matching on  $V'$ . Then,  $\text{cost}(M) \leq \text{OPT}/2$ .

### proof

let  $\tau^*$  be an optimal TSP of cost  $\text{OPT}$ .

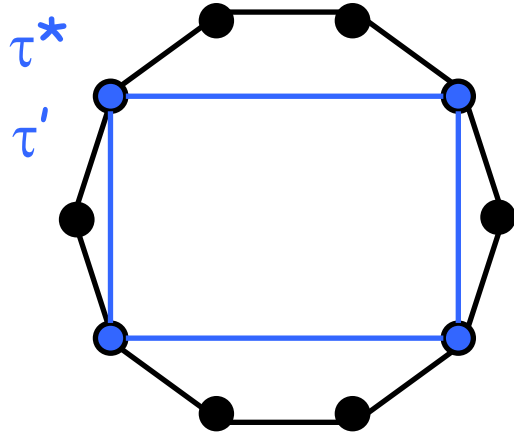


### Lemma

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### proof

let  $\tau^*$  be an optimal TSP of cost  $\text{OPT}$ .



$$\text{cost}(\tau') \leq \text{cost}(\tau^*)$$

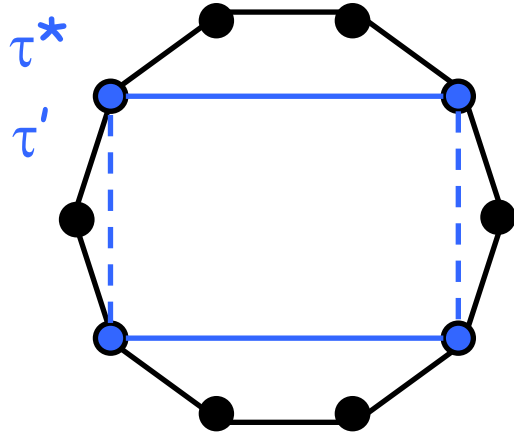
let  $\tau'$  be the tour on  $V'$  obtained by shortcutting  $\tau^*$ .

### Lemma

Let  $V' \subseteq V$ , such that  $|V'|$  is even, and let  $M$  be a minimum cost perfect matching on  $V'$ . Then,  $\text{cost}(M) \leq \text{OPT}/2$ .

### proof

let  $\tau^*$  be an optimal TSP of cost  $\text{OPT}$ .



$$\text{cost}(\tau') \leq \text{cost}(\tau^*)$$

let  $\tau'$  be the tour on  $V'$  obtained by shortcutting  $\tau^*$ .

$\tau'$  is the union of 2 perfect matching on  $V'$ , say  $M_1$  and  $M_2$ .

$$\text{cost}(M) \leq \min\{\text{cost}(M_1), \text{cost}(M_2)\} \leq \frac{1}{2} \text{cost}(\tau') \leq \frac{1}{2} \text{OPT}$$



## Theorem

Christofides's algorithm is a  $3/2$ -approximation algorithm for metric TSP.

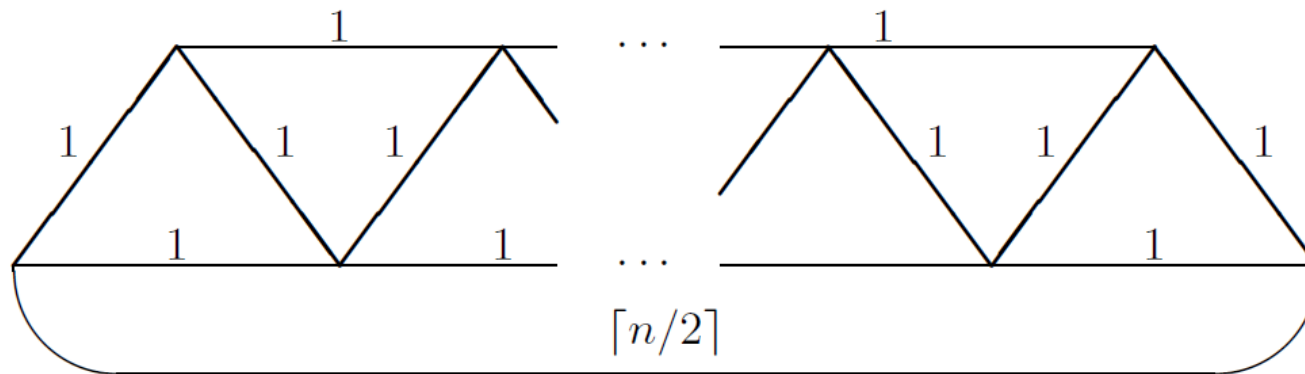
## proof

We have:

$$\text{cost}(C) \leq \text{cost}(\tau) = \text{cost}(T) + \text{cost}(M) \leq \text{OPT} + \frac{1}{2} \text{OPT} \leq \frac{3}{2} \text{OPT}$$



## tight example



- $n$  vertices with  $n$  odd
- feasible MST: a path of  $n-1$  edges
- matching: a single edge of cost  $\lceil n/2 \rceil$

$$\text{OPT} = n$$

returned tour of cost  $n-1 + \lceil n/2 \rceil$

# TSP: state of the art

3/2 [Christofides, 1976]

STOC 2021

1 / 93 | — 100% + | □ ◇

## A (Slightly) Improved Approximation Algorithm for Metric TSP

Anna R. Karlin\*, Nathan Klein<sup>†</sup>, and Shayan Oveis Gharan<sup>‡</sup>

University of Washington

March 16, 2022

### Abstract

For some  $\epsilon > 10^{-36}$  we give a randomized  $3/2 - \epsilon$  approximation algorithm for metric TSP.