

# **UESTC 3018 - Communication Systems and Principles**

Lecture 18 — Digital Passband Communication

Dr Hasan Abbas

[Hasan.abbas@glasgow.ac.uk](mailto:Hasan.abbas@glasgow.ac.uk)

# Passband Communication

# Why Modulate?

- Signal  $m(t)$  is at low frequencies (near DC).
- Requires huge antennas ( $\lambda/4 \approx 25$  km for voice).
- We shift the signal to a Carrier Frequency  $f_c$ .

$$s(t) = A(t) \cos(2\pi f_c t + \phi(t))$$

We can vary:

1. **Amplitude** ( $A$ ) → ASK
2. **Frequency** ( $f$ ) → FSK
3. **Phase** ( $\phi$ ) → PSK

# Binary Digital Modulation

We switch a parameter of a sinusoidal carrier in accordance with the binary symbols 0 and 1.

The Carrier:

$$c(t) = A_c \cos(2\pi f_c t + \phi_c)$$

We can vary:

1. **Amplitude ( $A_c$ )**: ASK (Amplitude Shift Keying).
  2. **Frequency ( $f_c$ )**: FSK (Frequency Shift Keying).
  3. **Phase ( $\phi_c$ )**: PSK (Phase Shift Keying).
- Assumption: Carrier frequency  $f_c \gg$  Bit rate  $R_b$ .

# Geometric Representation of Signals

- To understand modern comms, we don't draw waves; we draw **Vectors**.
- We define two **Basis Functions** (Axes) that are Orthogonal:

1. In-Phase ( $I$ ):

$$\phi_1(t) = \sqrt{\frac{2}{T}} \cos(2\pi f_c t)$$

2. Quadrature ( $Q$ ):

$$\phi_2(t) = \sqrt{\frac{2}{T}} \sin(2\pi f_c t)$$

Any signal  $s_i(t)$  is a point:

$$\mathbf{s}_i = [s_{i1}, s_{i2}]$$

- Energy: Distance from origin squared.

$$E = \|\mathbf{s}_i\|^2$$

# Geometric Representation of Signals

To analyse performance (Probability of Error), we use **Vector Space Analysis**.

- 💡 Any set of  $M$  energy signals  $\{s_i(t)\}$  can be represented as a linear combination of  $N$  orthonormal **Basis Functions**  $\{\phi_j(t)\}$ .

$$s_i(t) = \sum_{j=1}^N s_{ij} \phi_j(t), \quad 0 \leq t \leq T$$

- Orthonormal Condition:

$$\int_0^T \phi_i(t) \phi_j(t) dt = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases}$$

# Binary Digital Modulation ( $M = 2$ )

We transmit **1 bit per symbol**.

We need 2 distinct signals:  $s_1(t)$  and  $s_2(t)$ .

## 1. Binary Amplitude Shift Keying (BASK)

"On-Off Keying"

- Bit 1: Send Carrier ( $\sqrt{E_b}$ ).
- Bit 0: Send Nothing (0).

**Constellation:**

- Points at  $[0]$  and  $[\sqrt{E}]$ .
- Simple (Light bulb on/off).
- Susceptible to noise (Amplitude varies naturally).

## 2. Binary Frequency Shift Keying (BFSK)

We use frequency to distinguish bits.

- Bit 1: Send  $f_1 = f_c + \Delta f$ .
- Bit 0: Send  $f_2 = f_c - \Delta f$ .

Orthogonality Condition:

- To detect these independently, the frequencies must be spaced by  $\Delta f = \frac{1}{2T_b}$ .

Constellation:

- Vectors are orthogonal (90 degrees apart).
- Points at  $[1, 0]$  and  $[0, 1]$  in frequency space.
- Uses **more Bandwidth** than ASK/PSK.

## 3. Binary Phase Shift Keying (BPSK)

The most robust binary scheme. We flip the phase by  $180^\circ$ .

$$s(t) = \pm A \cos(2\pi f_c t)$$

- Constellation:
- Points at  $+\sqrt{E_b}$  and  $-\sqrt{E_b}$  on the I-axis.
- **Antipodal:** Max separation distance  $d = 2\sqrt{E_b}$ .
- **Q-Component:** Zero.

Bandwidth Efficiency: 1 bit / Hz.

# Part 3: Quadrature Modulation (QPSK)

## The Engineering Breakthrough:

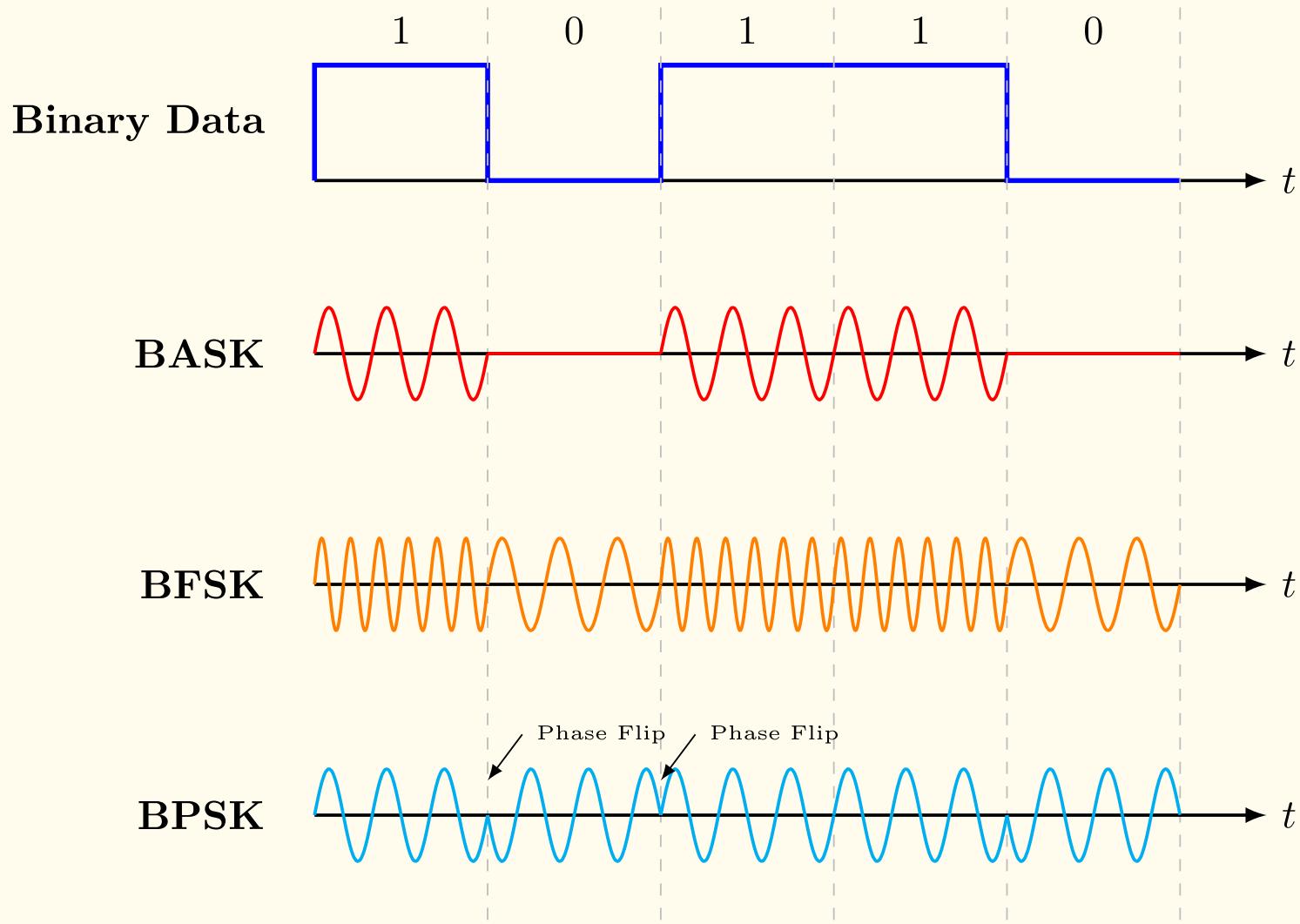
Since  $\cos(t)$  and  $\sin(t)$  are orthogonal, we can transmit two separate BPSK signals on the same frequency **simultaneously**.

## Quadrature PSK:

- **I-Channel:** Carries Bit 1 ( $\cos$ ).
- **Q-Channel:** Carries Bit 2 ( $\sin$ ).

## Result:

We send **2 bits per symbol**.



# Quadriphase-Shift Keying (QPSK)

We use **4 Phases** to transmit **2 bits** (a dabit) per symbol.

Phases:  $\frac{\pi}{4}, \frac{3\pi}{4}, \frac{5\pi}{4}, \frac{7\pi}{4}$ .

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos \left[ 2\pi f_c t + (2i - 1) \frac{\pi}{4} \right], \quad i = 1, 2, 3, 4$$

**Trigonometric Expansion:**

$$s_i(t) = \underbrace{\sqrt{\frac{2E}{T}} \cos(\theta_i) \cos(2\pi f_c t)}_{\text{In-Phase (I)}} - \underbrace{\sqrt{\frac{2E}{T}} \sin(\theta_i) \sin(2\pi f_c t)}_{\text{Quadrature (Q)}}$$

## QPSK Derivation - Expansion

Using the identity  $\cos(A + B) = \cos A \cos B - \sin A \sin B$ :

Let  $A = 2\pi f_c t$  and  $B = (2i - 1)\frac{\pi}{4}$ .

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos\left((2i - 1)\frac{\pi}{4}\right) \cos(2\pi f_c t) - \sqrt{\frac{2E}{T}} \sin\left((2i - 1)\frac{\pi}{4}\right) \sin(2\pi f_c t)$$

This decomposes the signal into two orthogonal components:

1. **In-Phase Component:** Multiplies  $\cos(2\pi f_c t)$
2. **Quadrature Component:** Multiplies  $\sin(2\pi f_c t)$

# QPSK Derivation: Basis Projection

We recall our basis functions:

$$\phi_1(t) = \sqrt{\frac{2}{T}} \cos(2\pi f_c t) \text{ and } \phi_2(t) = \sqrt{\frac{2}{T}} \sin(2\pi f_c t).$$

Substituting these back into our expanded equation:

$$s_i(t) = \underbrace{\sqrt{E} \cos(\theta_i)}_{\text{Scalar } s_{i1}} \phi_1(t) - \underbrace{\sqrt{E} \sin(\theta_i)}_{\text{Scalar } s_{i2}} \phi_2(t)$$

Thus, the signal vector is:

$$\mathbf{s}_i = \left[ \sqrt{E} \cos(\theta_i), \quad -\sqrt{E} \sin(\theta_i) \right]$$

# QPSK as two BPSK signals

The QPSK signal is literally the sum of two orthogonal BPSK signals.

1. **Odd Bits** modulate the In-Phase carrier ( $\phi_1$ ).
2. **Even Bits** modulate the Quadrature carrier ( $\phi_2$ ).

$$s_i(t) = \pm \sqrt{\frac{E}{2}} \phi_1(t) \pm \sqrt{\frac{E}{2}} \phi_2(t)$$

- ! Since  $\phi_1$  and  $\phi_2$  are orthogonal, we can detect them separately. This doubles the data rate without increasing bandwidth.

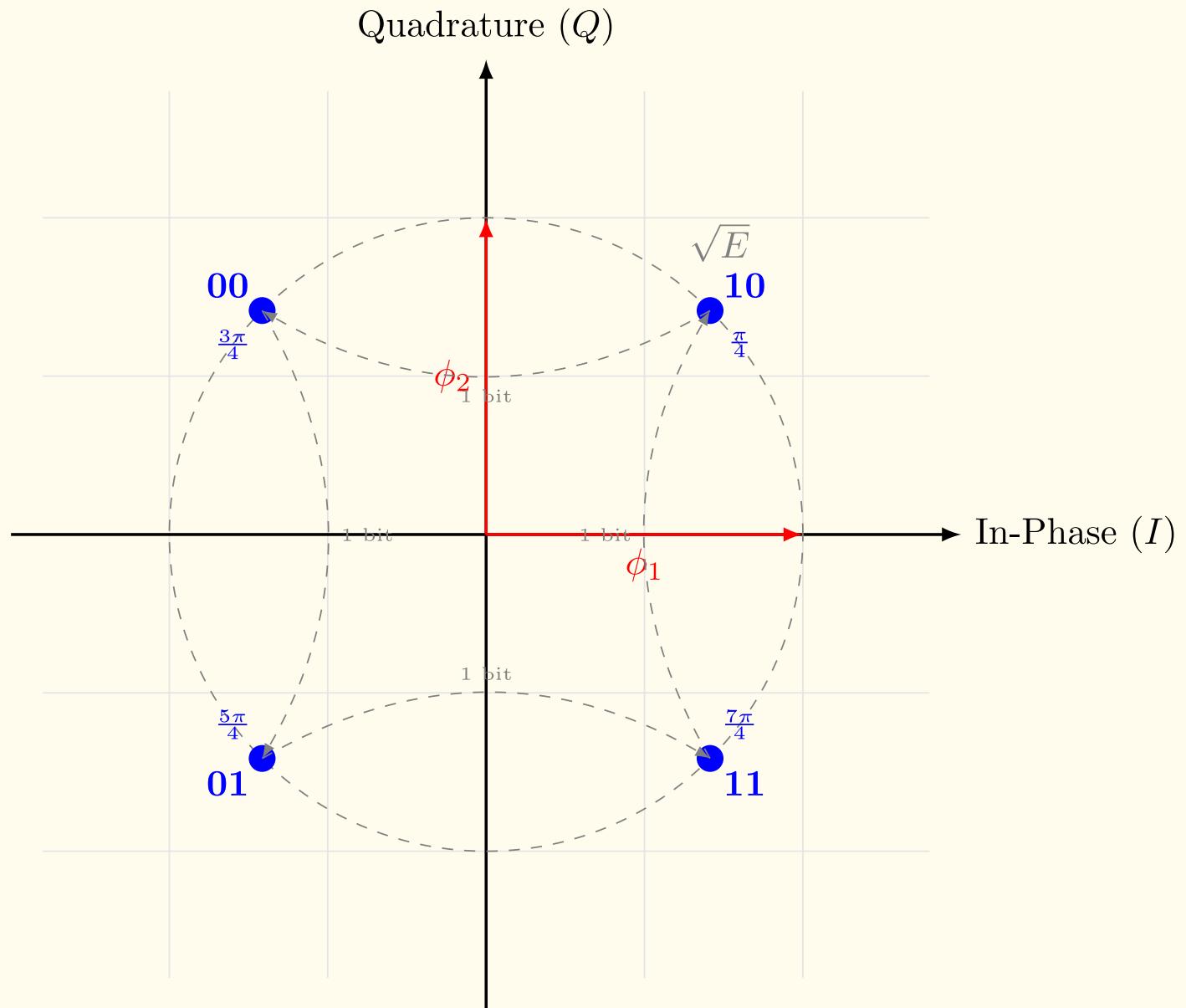
# QPSK Constellation

## Gray Coding:

- Adjacent points differ by 1 bit.
- $10 \rightarrow 00 \rightarrow 01 \rightarrow 11$ .

## Bandwidth:

- $R_{sym} = R_b/2$ .
- Bandwidth =  $R_b/2$  Hz.
- Half the bandwidth of BPSK for the same bit rate.



# Example

You are designing a digital radio link to stream high-quality audio.

Required Data Rate ( $R_b$ ): 3 Mbps. Available Channel Bandwidth ( $B$ ): 2 MHz.

Can you use BPSK for this link? If not, will QPSK work?

# Solution

## BPSK Efficiency:

BPSK transmits 1 bit/symbol (or 1 bit/Hz).

Capacity: With 2 MHz bandwidth, the maximum speed is:

$$R_{\text{BPSK}} = 1 \times 2 \text{ MHz} = \mathbf{2 \text{ Mbps}}$$

$2 \text{ Mbps} < 3 \text{ Mbps}$ , hence BPSK Fails

# Solution

## QPSK Efficiency:

QPSK transmits 2 bits/symbol (or 2 bits/Hz). Capacity: With 2 MHz bandwidth, the maximum speed is:

$$R_{\text{QPSK}} = 2 \times 2 \text{ MHz} = 4 \text{ Mbps}$$

4 Mbps > 3 Mbps. QPSK Works!

# M-ary Modulation

Binary Modulation ( $M = 2$ ):

- Sends 1 bit per symbol ( $T = T_b$ ).
- Simple, robust, but bandwidth inefficient.

M-ary Modulation:

- We group  $m$  bits into one Symbol.
- Number of Symbols:  $M = 2^m$ .
- Symbol Duration:  $T = mT_b$ .
- 😊 We send more bits in the same amount of time/spectrum.
- 😞 Requires more Power and Complexity.

# Defining Error Rates

Before comparing schemes, we must define how we measure "failure".

## 1. Bit Error Rate (BER):

The probability that a single bit is corrupted ( $P_b$ ).

- Typically  $10^{-3}$  for voice,  $10^{-6}$  for data.

## 2. Symbol Error Rate (SER):

The probability that the receiver mistakes one symbol for another ( $P_M$ ).

For Gray coding (where errors usually flip only 1 bit):

$$BER \approx \frac{SER}{\log_2 M}$$

# The Metric: $E_b/N_0$

How do we compare apples (BPSK) to oranges (16-QAM)?

We normalise everything to the **Energy per Bit ( $E_b$ )**.

$$SNR = \frac{\text{Signal Power}}{\text{Noise Power}} \propto \frac{E_b}{N_0}$$

- As we increase  $M$  (more bits/symbol), the points on the constellation get closer.
- $\implies$  We need a higher  $E_b/N_0$  to maintain the same BER.

# M-ary Phase Shift Keying (M-PSK)

We keep the amplitude constant ( $A_c$ ) and vary the phase.

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos \left( 2\pi f_c t + \frac{2\pi}{M} (i - 1) \right), \quad i = 1, \dots, M$$

- **QPSK ( $M = 4$ )**: Phases separated by  $90^\circ$ .
- **8-PSK ( $M = 8$ )**: Phases separated by  $45^\circ$ .
- **16-PSK ( $M = 16$ )**: Phases separated by  $22.5^\circ$ .
- All points lie on a circle of radius  $\sqrt{E}$ .

## 8-PSK Constellation

- 3 bits per symbol ( $m = 3$ ).
- 8 points on the circle.
- Phase step:  $2\pi/8 = 45^\circ$ .
- 3 times more efficient than BPSK.
- Bandwidth =  $R_b/3$  Hz.

# M-ary Quadrature Amplitude Modulation (QAM)

Phase modulation has a limit. As  $M$  increases, points get too close together on the circle.

💡 Change Amplitude AND Phase.

$$s_i(t) = a_i\phi_1(t) + b_i\phi_2(t)$$

We usually construct M-QAM as a **Square Grid**.

- **16-QAM:**  $4 \times 4$  grid ( $m = 4$  bits).
- **64-QAM:**  $8 \times 8$  grid ( $m = 6$  bits).

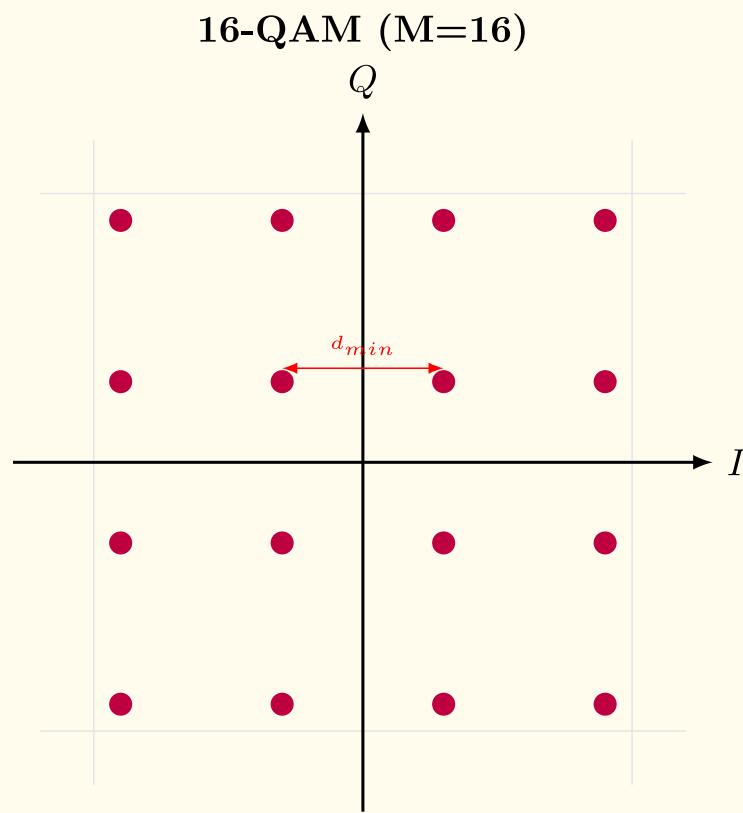
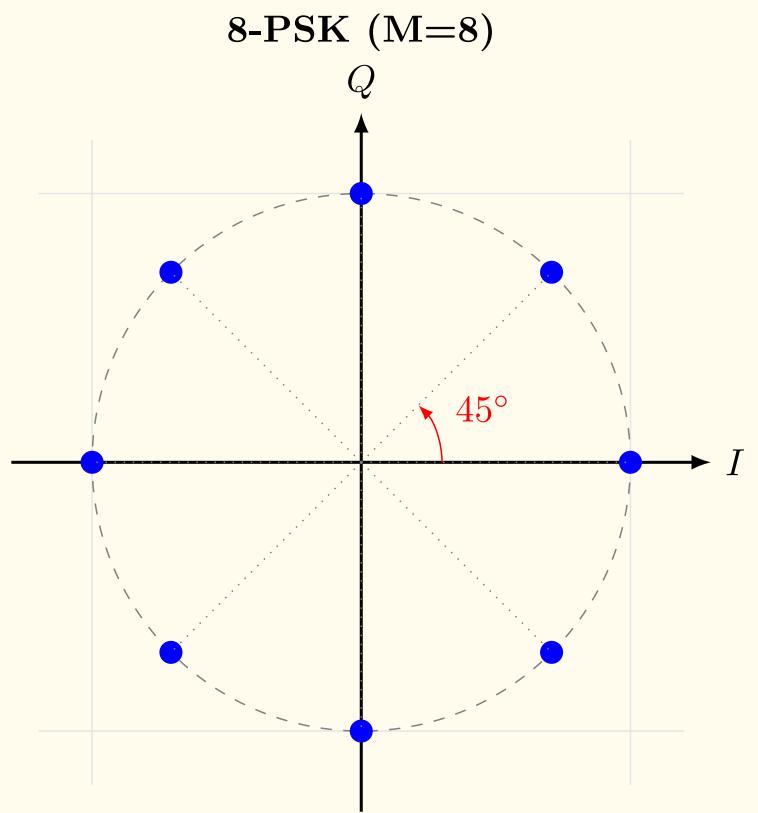
# 16-QAM Signal Space

## Constellation:

- 16 Points.
- Each point represents **4 bits**.
- Amplitudes are not constant!

## Efficiency:

- 4 bits/sec per Hz.
- Widely used in 4G LTE and Wi-Fi.



# The Great Trade-off: Power vs Bandwidth

As we increase  $M$  (e.g., QPSK → 16-QAM → 64-QAM):

1. **Bandwidth Efficiency ( $\eta$ ) Increases:**  $\eta = \log_2 M$  bits/s/Hz.
  2. **Euclidean Distance Decreases:** Points are packed tighter.
  3. **Error Rate Increases:** Noise easily causes confusion.
- **Result:** To maintain the same Bit Error Rate (BER),  $M$ -ary schemes require **Higher Signal-to-Noise Ratio (SNR)**.

# Performance Comparison

Scheme	Bits/Sym	Bandwidth Req.	Power Req. (SNR)
BPSK	1	$1 \times B$	Low (Robust)
QPSK	2	$0.5 \times B$	Low (Efficient)
8-PSK	3	$0.33 \times B$	Medium
16-QAM	4	$0.25 \times B$	High
64-QAM	6	$0.16 \times B$	Very High

- QPSK is unique because it doubles efficiency without increasing Power.

# Questions ?

- You can ask on Menti

# Further Reading

- Sections 6.9  
Modern Digital and Analog Communication Systems, 5<sup>th</sup> Edition
- B P Lathi and Zhi Ding

## Get in touch

[Hasan.Abbas@glasgow.ac.uk](mailto:Hasan.Abbas@glasgow.ac.uk)