

# The structural repertoire of the human V<sub>κ</sub> domain

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In humans, the gene for the V<sub>κ</sub> domain is produced by the recombination of one of 40 functional V<sub>κ</sub> segments and one of five functional J<sub>κ</sub> segments. We have analysed the sequences of these germline segments and of 736 rearranged V<sub>κ</sub> genes to determine the repertoire of main chain conformations, or canonical structures, they encode. Over 96% of the sequences correspond to one of four canonical structures for the first antigen binding loop (L1) and one canonical structure for the second antigen binding loop (L2). Junctional diversity produces some variation in the length of the third antigen binding loop (L3) and in the identity of residues at the V<sub>κ</sub>–J<sub>κ</sub> join. However, this is limited and 70% of the rearranged sequences correspond to one of three known canonical structures for the L3 region. Furthermore, we show that the canonical structures selected during the primary response are conserved during affinity maturation: the key residues that determine the conformations of the antigen binding loops are unmutated or undergo conservative mutation. The implications of these results for immune recognition are discussed.

**Keywords:** antibodies/canonical structures/human/kappa/V<sub>κ</sub>

## Introduction

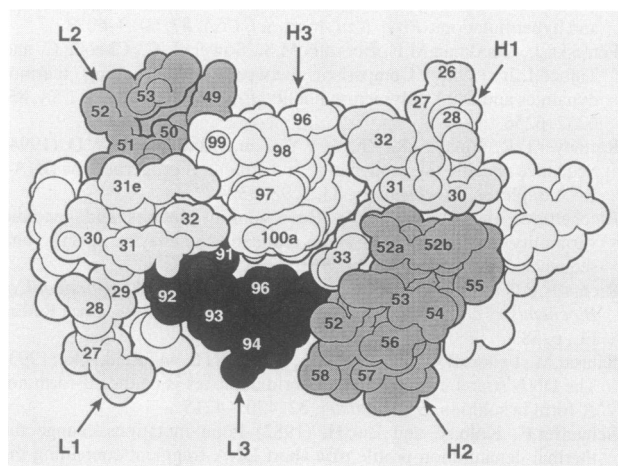
The antigen binding site of an antibody is formed by six loops of polypeptide: three from the light chain variable domain (V<sub>L</sub>) and three from the heavy chain variable domain (V<sub>H</sub>) (see Figure 1). A diverse repertoire of V genes that encode the V<sub>L</sub> and V<sub>H</sub> domains is produced by the combinatorial rearrangement of gene segments (Tonegawa, 1983), that are drawn from pools of moderate size. The V<sub>L</sub> gene is produced by the recombination of two gene segments, V<sub>L</sub> and J<sub>L</sub>. The V<sub>L</sub> segment codes for residues 1–95 which include the regions that form the first and second antigen binding loops. The third antigen binding loop is formed by the end of the V<sub>L</sub> segment and the beginning of the J<sub>L</sub> segment. Antibodies encoded by these rearranged genes are believed to be sufficiently diverse to recognize almost all antigens with at least moderate affinity. High-affinity antibodies are produced

by somatic mutation of the rearranged genes, followed by selection for improved binding (Berek and Milstein, 1987).

V<sub>L</sub> domains are divided into two classes: kappa (κ) and lambda (λ). Work over the past 15 years has resulted in what is probably a complete description of the sequences that encode the human V<sub>κ</sub> domain [see Zachau (1993) for a review]. The V<sub>κ</sub> segments are arranged in two separate regions on chromosome 2, named after their positions relative to the five J<sub>κ</sub> segments. The J<sub>κ</sub>-distal region, that contains 36 V<sub>κ</sub> segments, is a partial duplicate of the larger J<sub>κ</sub>-proximal region, that contains 40 V<sub>κ</sub> segments.

Analysis of the structures and sequences of antibodies from humans and mice has shown that the three antigen binding loops of the V<sub>κ</sub> domain have a small repertoire of main chain conformations, or canonical structures, that is largely shared by both species (Chothia and Lesk, 1987; Chothia *et al.*, 1989; Brünger *et al.*, 1991; He *et al.*, 1992). The canonical structures are determined by (i) the length of the antigen binding loop and (ii) particular residues, or types of residues, at key sites in the loop itself and in the antibody framework. These sequence features have been described in detail (see the references above) and can be used to predict the canonical structures of the three antigen binding loops of the V<sub>κ</sub> domain.

In this paper, we describe the canonical structures implicit in human V<sub>κ</sub> sequences. To describe the structural repertoire available to V<sub>κ</sub> domains in the primary repertoire, the sequences of the germline V<sub>κ</sub> segments are first examined. We go on to look at 736 rearranged V<sub>κ</sub>



**Fig. 1.** The antigen binding site of an antibody. A space-filling model of the McPC603 antigen binding site depicting the superposition of five sections cut at 2 Å intervals is shown (Segal *et al.*, 1974). Residues 31a, 31b, 31c, 31d of the V<sub>L</sub> domain and residue 52c of the V<sub>H</sub> domain are above the plane of the paper. The structural repertoire available to the H1 and H2 regions of the human V<sub>H</sub> domain has been previously described (Chothia *et al.*, 1992). Here we discuss the repertoire of main chain conformations available to the L1, L2 and L3 regions of the human V<sub>κ</sub> domain.

**Table I.** Genetic and structural defects in the human germline V<sub>K</sub> segments<sup>a</sup>

V <sub>K</sub> segment	Genetic defects	Structural defects <sup>b</sup>	
O14/O4		88G (C)	Loss of disulphide bridge and creation of an internal cavity
A4	Frame shift in V <sub>K</sub> exon (insertion of one nucleotide at residue 57)		
A21	Frame shift in V <sub>K</sub> exon (insertion of one nucleotide at residue 39)		
A7		23F (C)	Loss of disulphide bridge and small residue replaced by a larger one at an internal site
A29	No start codon at beginning of leader sequence (ATG replaced by ATA)		
A13	No start codon at beginning of leader sequence (ATG replaced by ATA)	37P (L/Q)	Loss of β-sheet hydrogen bonds by P
L22		51 (T) 22I (N/S/T) 24W (R) 56P (S/T) 76I (N/S)	A large number of mutations, at sites on the surface of the V <sub>L</sub> domain, replace hydrophilic residues by hydrophobic residues
L10	Defective acceptor splice site in leader intron (AG replaced by GG)		
L13	No start codon at beginning of leader sequence (ATG replaced by GTG)	75F (I) 87C (F/Y)	Increase in size of an absolutely conserved buried residue Large reduction in size of a residue buried in V <sub>L</sub> -V <sub>H</sub> interface
B1	No start codon at beginning of leader sequence (ATG replaced by ATA)		

<sup>a</sup>Genetic and structural defects in the 51 V<sub>K</sub> segments which were described as 'potentially functional' or having 'minor defects' (Schäble and Zachau, 1993). References: O14/O4, Pargent *et al.* (1991); A4, Jaenichen *et al.* (1984); A21, Schäble *et al.* (1994); A7, A29, A13, Lautner-Rieske *et al.* (1992); L22, Pech *et al.* (1985); L10, Pech and Zachau (1994); L13, Huber *et al.* (1993); B1, Lorenz *et al.* (1988). For defects in the 25 'pseudogenes', see Schäble *et al.* (1994).

<sup>b</sup>Normal residues are given in parentheses.

sequences to determine how the assembly of V<sub>K</sub> and J<sub>K</sub> segments influences the canonical structure of the third antigen binding loop. In addition, the extent to which canonical structures are conserved during affinity maturation is determined. The implications of our results for understanding the mechanisms of immune recognition are then discussed.

### The repertoire of human germline V<sub>K</sub> segments

For a germline V<sub>K</sub> segment to be functional, it must have (i) intact coding and regulatory sequences, (ii) functional recombination signal sequences (RSS) and (iii) encode a protein that can form a stable three-dimensional structure. On inspection of the sequences of the 76 V<sub>K</sub> segments, Schäble and Zachau (1993) described 25 as pseudogenes (see Schäble *et al.*, 1994).

### Genetic and structural defects

The remaining 51 V<sub>K</sub> segments were described as being 'potentially functional' or having 'minor defects' (Schäble and Zachau, 1993). Segments with minor defects are those with one or two point mutations in the coding or regulatory regions that might render the segment non-functional, but which may exist as functional alleles in certain individuals (Schäble and Zachau, 1993). To examine the expression of each V<sub>K</sub> segment and its alleles, we compiled a large database of rearranged V<sub>K</sub> genes, derived from many individuals, containing 736 sequences (I.M.Tomlinson and J.P.L.Cox, in preparation), which extends previous studies of the expressed V<sub>K</sub> repertoire (Klein *et al.*, 1993; Cox *et al.*, 1994).

Eleven of the V<sub>K</sub> segments described as 'potentially

functional' or having 'minor defects' have serious genetic and/or structural defects, such as the absence of start codons or mutations which are likely to seriously destabilize the three-dimensional structure of the protein (Table I); these segments are not expressed and are therefore classified as non-functional.

### Functional V<sub>K</sub> segments

Thirty-eight of the remaining 40 V<sub>K</sub> segments are seen rearranged *in vivo*. Since the other two segments (A14 and L25) have no obvious defects which would prevent their functional expression, our failure to see them rearranged *in vivo* is probably due to them being located in the rarely used J<sub>K</sub>-distal portion of the V<sub>K</sub> locus (Cox *et al.*, 1994). The amino acid sequences of the 40 functional V<sub>K</sub> segments and their alleles are given in Table II [see Schäble and Zachau (1993) and Cox *et al.* (1994) for nucleotide sequences]. The functional segments are derived from six families: 19 V<sub>K</sub>I, nine V<sub>K</sub>II, seven V<sub>K</sub>III, one V<sub>K</sub>IV, one V<sub>K</sub>V and three V<sub>K</sub>VI. Fifteen pairs of functional segments differ by <11 amino acids, some even encode identical gene products (Table II). Known alleles of the functional segments can alter the sequence of the V<sub>K</sub> exon, but only by up to three amino acids (see footnote to Table II). The introduction of stop codons in the V<sub>K</sub> exons of L4, L16 and A18, in the leader exon of O12, or the introduction of structural defects in O11, means that there may be as few as 35 functional segments in some individuals. The segments listed in Table II should therefore be regarded as the maximum number of functional V<sub>K</sub> segments in any one individual.

The use of these segments in the expressed repertoire

**Table II.** Amino acid translations of the human germline V<sub>K</sub> segments

	1	2	3	4	5	6	7	8	9	CANONICAL STRUCTURE	SEQUENCE REFERENCES
	0	0	0 abcdef	0	0	0	0	0	0	L1 L2 L3	
			+++++		+++				+++++		
SUBGROUP I											
O12*/O2	DIQMTQSPSSLSASVGDRTVITCRASQSISS-----	YLNWYQKPGKAPKLLIYAASSLQSGVPSRFSGSGSGTDFTLTISSLQPEDFATYYCQQSYSTP	2	1	(1)	O12/O2	a/a				
O18/O8	DIQMTQSPSSLSASVGDRTVITCRASQDISN-----	YLNWYQKPGKAPKLLIYDASNLETGVPSRFSGSGSGTDFTLTISSLQPEDIATYYCQQYDNL	2	1	(1)	O18/O8	b/b				
A20	DIQMTQSPSSLSASVGDRTVITCRASQGISN-----	YLAWYQKPGKVPKLLIYAASLTQSGVPSRFSGSGSGTDFTLTISSLQPEDFATYYCQQYNSAP	2	1	(X)	A20	c				
A30	DIQMTQSPSSLSASVGDRTVITCRASQGISN-----	DLGWYQKPGKAPKRLIYAASSLQSGVPSRFSGSGSGTGTEFTLTISSLQPEDFATYYCQLHNSYP	2	1	(1)	A30	d				
L14	NIQMTQSPSAMSASVGDRTVITCRASQGISN-----	LAWYQKPGKVPKHLIYAASSLQSGVPSRFSGSGSGTGTEFTLTISSLQPEDFATYYCQLHNSYP	2	1	(1)	L14	d				
L1*	DIQMTQSPSSLSASVGDRTVITCRASQGISN-----	YLAWYQKPGKAPKSLIYAASSLQSGVPSRFSGSGSGTDFTLTISSLQPEDFATYYCQQYNSYP	2	1	(1)	L1	e				
L15*	DIQMTQSPSSLSASVGDRTVITCRASQGISN-----	WLAWYQKPEKAPKSLIYAASSLQSGVPSRFSGSGSGTDFTLTISSLQPEDFATYYCQQYNSYP	2	1	(1)	L15	e				
L4/18a*	AIQLTQSPSSLSASVGDRTVITCRASQGISN-----	ALAWYQKPGKAPKLLIYDASSLESQVPSRFSGSGSGTDFTLTISSLQPEDFATYYCQQFNSYP	2	1	(1)	L4/18a	f				
L5/L19	DIQMTQSPSSVSASVGDRTVITCRASQGISN-----	WLAWYQKPGKAPKLLIYAASSLQSGVPSRFSGSGSGTDFTLTISSLQPEDFATYYCQQNSFP	2	1	(1)	L5/L19	f/g				
L8	DIQLTQSPSFLASVGDRTVITCRASQGISN-----	YLAWYQKPGKAPKLLIYAASLTQSGVPSRFSGSGSGTGTEFTLTISSLQPEDFATYYCQQNLNSP	2	1	(1)	L8	f				
L23	AIRMTQSPFSLASVGDRTVITCRASQGISN-----	YLAWYQKPAKAPKLPFIYASSLQSGVPSRFSGSGSGTDVTLTISSLQPEDFATYYCQQYYSTP	2	1	(1)	L23	d				
L9	AIRMTQSPSSFSAS*GDRVTITCRASQGISN-----	YLAWYQKPGKAPKLLIYAASLTQSGVPSRFSGSGSGTDFTLTISCLQSEDFATYYCQQYYSYP	2	1	(1)	L9	f				
L24	VIWMTQSPSLLSASVGDRTVITCRMSQGISN-----	YLAWYQKPGKAPPELLIYAASLTQSGVPSRFSGSGSGTDFTLTISCLQSEDFATYYCQQYYSFP	X	1	(1)	L24	h				
L11	AIQMTQSPSSLSASVGDRTVITCRASQGISN-----	DLGWYQKPGKAPKLLIYAASSLQSGVPSRFSGSGSGTDFTLTISSLQPEDFATYYCQLDYNYP	2	1	(1)	L11	b				
L12*	DIQMTQSPSTLSASVGDRTVITCRASQSISS-----	WLAWYQKPGKAPKLLIYDASSLESQVPSRFSGSGSGTGTEFTLTISSLQPEDFATYYCQQYNSYS	2	1	(X)	L12	i				
SUBGROUP II											
O11*/O1	DIVMTQTPLSLPTVTPGPASISCRSSQSLSDSDGNTYLDWYQKPGQSPQLLIYTLASRASGVDPDRFSGSGSGTDFTLKISRVEAEDVGVYVCMQRIEFP	3	1	(1)	O11/O1	a/a					
A17	DVVMTPSPSLPVTLGQPASISCRSSQSLVYS-DGNTYLNWYQKPGQSPRLLIYKVNDRSGVDPDRFSGSGSGTDFTLKISRVEAEDVGVYVCMQTHWP	4	1	(1)	A17	c					
A1	DVVMTPSPSLPVTLGQPASISCRSSQSLVYS-DGNTYLNWYQKPGQSPRLLIYKVNDRSGVDPDRFSGSGSGTDFTLKISRVEAEDVGVYVCMQTHWP	4	1	(1)	A1	c					
A18*	DIVMTQTPLSLPTVTPGPASISCRSSQSLVYS-DGNTYLNWYQKPGQSPQLLIYKVNDRSGVDPDRFSGSGSGTDFTLKISRVEAEDVGVYVCMQTHWP	4	1	(1)	A18	c					
A2	DIVMTQTPLSLPTVTPGPASISCRSSQSLVYS-DGNTYLNWYQKPGQSPQLLIYKVNDRSGVDPDRFSGSGSGTDFTLKISRVEAEDVGVYVCMQTHWP	4	1	(1)	A2	j					
A19/A3	DIVMTQTPLSLPTVTPGPASISCRSSQSLVYS-DGNTYLNWYQKPGQSPQLLIYKVNDRSGVDPDRFSGSGSGTDFTLKISRVEAEDVGVYVCMQTHWP	4	1	(1)	A19/A3	c/k					
A23	DIVMTQTPLSLPTVTPGPASISCRSSQSLVYS-DGNTYLNWYQKPGQSPQLLIYKVNDRSGVDPDRFSGSGSGTDFTLKISRVEAEDVGVYVCMQTHWP	4	1	(1)	A23	k					
SUBGROUP III											
A27	EIVLTQSPGTLSPGERATLSCRASQSVSSS-----	YLAWYQKPGQAPRLLIYGASSRATGIPDRFSGSGSGTDFTLTISRLEPEDFATYYCQQYSSSP	6	1	(1)	A27	l				
A11	EIVLTQSPATLSPGERATLSCRASQSVSSS-----	YLAWYQKPGQAPRLLIYDASSRATGIPDRFSGSGSGTDFTLTISRLEPEDFATYYCQQYSSSP	6	1	(1)	A11	m				
L2/L16*	EIVMTQSPATLSPGERATLSCRASQSVSSS-----	NLAWYQKPGQAPRLLIYGASTRATGIPARFSGSGSGTGTEFTLTISSLQSEDFATYYCQQYNNYP	2	1	(1)	L2/L16	n/o				
L6	EIVLTQSPATLSPGERATLSCRASQSVSSS-----	YLAWYQKPGQAPRLLIYDASSRATGIPARFSGSGSGTDFTLTISRLEPEDFATYYCQQYSSSP	2	1	(1)	L6	p				
L20	EIVLTQSPATLSPGERATLSCRASQSVSSS-----	YLAWYQKPGQAPRLLIYDASSRATGIPARFSGSGSGTGTDFTLTISRLEPEDFATYYCQQYSSSP	2	1	(X)	L20	g				
L25	EIVMTQSPATLSPGERATLSCRASQSVSSS-----	YLSWYQKPGQAPRLLIYGASTRATGIPARFSGSGSGTDFTLTISRLEPEDFATYYCQQYNNYP	6	1	(1)	L25	d				
SUBGROUP IV											
B3	DIVMTQSPDLSLAVSLGERATINCKSSQSVLYSSNNKNYLAWYQKPGQPPKLLIYASTRESGVDPDRFSGSGSGTDFTLTISSLQAEADVAVVYVCMQYSSP	3	1	(1)	B3	q					
SUBGROUP V											
B2	ETTLTQSPAFMSATPGDKVNICKASQDIDD-----	DMNWYQKPGEAIIIEQATTLVPGIPPRFSGSGSGTDFTLTINNIESEDAAYYFCLQHDNFP	2	1	(1)	B2	r				
SUBGROUP VI											
A26/A10	EIVLTQSPDFQSVTPKEKVTITCRASQSIGS-----	SLHWYQKPGQSPKLLIYASQSFSGVPSRFSGSGSGTDFTLTINSLEAEDAATYYCHQSSSLP	2	1	(1)	A26/A10	c/s				
A14	DVVMTPSPAFSLVTPGEKVTITCRASEGIN-----	YLYWYQKPGQAPKLLIYASQSIGVPSRFSGSGSGTDFTLTINSLEAEDAATYYCHQGNKHP	2	1	(1)	A14	s				

<sup>a</sup>V<sub>K</sub> segments marked with an asterisk have alleles that alter the amino acid sequence: O11a (ref. a) (35W→C 64G→D); O12a (ref. a) (10S→F 90Q→C 91S→G); for A18, the only known allele has a stop codon at position 88 (ref. c). Two of the rearranged counterparts of A18 have a Cys at position 88. These rearranged genes are probably derived from a functional allele of A18 which has a Cys at position 88; LFKV431 is an allele of L1 (ref. t) (61R→K); L15a (ref. i) (26S→R); the allele L4/18a is functional, but has not been unambiguously mapped to L4 and/or L18 (Schäble and Zachau, 1993). Mapped alleles are L4 (ref. f) (35W→\* 93S→N) and L18 (ref. g) (93S→N); L16c (ref. n) (5T→M 94W→\*); L12a (ref. d) (50D→K).

First publication of full-length sequence: (a) Pargent *et al.* (1991); (b) Scott *et al.* (1991); (c) Lautner-Rieske *et al.* (1992); (d) Huber *et al.* (1993); (e) Bentley and Rabbitts (1983); (f) Pech *et al.* (1984); (g) Pech *et al.* (1985); (h) Jaenichen *et al.* (1984); (i) Bentley and Rabbitts (1980); (j) Scott *et al.* (1989); (k) Staubinger *et al.* (1988a); (l) Radoux *et al.* (1986); (m) Chen *et al.* (1986); (n) Liu *et al.* (1989); (o) Chen *et al.* (1987); (p) Pech and Zachau (1984); (q) Klobbeck *et al.* (1985); (r) Stavnezer *et al.* (1985); (s) Staubinger *et al.* (1988b); (t) Foroni (1990).

is highly biased. Not only is there a strong bias towards use of V<sub>K</sub> segments from the J<sub>K</sub>-proximal region of the locus (97% of the expressed repertoire is derived from V<sub>K</sub> segments in the J<sub>K</sub>-proximal region), but there is also a bias towards the use of particular V<sub>K</sub> segments. For example, the single segment A27 accounts for almost 30% of the expressed repertoire, whereas the 22 least used V<sub>K</sub> segments account for only 6% of the expressed repertoire. Those less frequently used segments include several with defects in the promoter region (L8, L9) or heptamer RSS (A20, A26, A10, A14, L4, L18) which are likely to reduce the efficiency of transcription or rearrangement (Akamatsu *et al.*, 1994; Stiernholm and Berinstein, 1995).

### Canonical structures of the first antigen binding loop of the V<sub>K</sub> domain

Sequence variation of residues 24–34 in the V<sub>L</sub> domain led Kabat and Wu (1971) to predict that this region is involved in antigen binding and to call it the first complementarity determining region (CDR1). Later, an analysis of the three-dimensional structures showed that

**Table III.**

L1 Canonical structure	Length of L1 region (26–32 inclusive) and the residues between 29 and 32
2	7: 30, 31
6	8: 30, 31, 31a
4	12: 30, 31, 31a, 31c, 31d, 31e, 31f
3	13: 30, 31, 31a, 31b, 31c, 31d, 31e, 31f

residues 24–25 and 33–34 are part of the β-sheet framework: sequence changes at these positions do not alter the main chain conformation (Chothia and Lesk, 1987). The region outside the β-sheet framework, 26–32, is called L1.

Further analysis suggested that nearly all L1 regions in V<sub>K</sub> domains have one of six canonical structures (Chothia and Lesk, 1987; Chothia *et al.*, 1989; unpublished data). All have residues 26, 27, 28, 29 and 32 packed against the framework in the same extended conformation (Figure 2). They differ in the number of residues between positions 29 and 32 (Figure 2). All six are found in murine

**Table IV.** Residues at key sites for the canonical structures of the V<sub>K</sub> domain

## (A) L1 region

Canonical structure	Number of residues in L1 region (26–32 inclusive)	Sequence characteristics of key residues in known structures							Number of germline segments with this canonical structure
L1: 2	7	2	25	29	33	71			
		I: 18	A: 18	I: 16 V: 2	I: 1 L: 15 V: 2	F: 7 Y: 11			26
L1: 6	8	2	25	29	33	71			
		N: 1 <sup>a</sup>	A: 1	V: 1	L: 1	Y: 1			3
L1: 4	12	2	25	29	33	71			
		L: 1 V: 9	S: 9 P: 1	I: 3 L: 7	G: 10	F: 1 L: 9	F: 10		7
L1: 3	13	2	25	29	33	71			
		I: 5	S: 5	L: 4 V: 1	E: 1 Q: 3 S: 1	L: 5	F: 5		3

<sup>a</sup>For L1 canonical structure 6, there is only a single crystal structure (the murine antibody 1F7; Haynes *et al.*, 1994). Although the Asn at position 2 in this structure (see Figure 2) is unusual (it is normally a medium-sized hydrophobic residue, either Ile, Leu or Val), one-third of murine V<sub>K</sub>VI sequences also contain Asn at this position (Kabat *et al.*, 1991). However, in the 1F7 structure it does not seem to affect the main chain conformation of residues 26–29, which is conserved in all known L1 structures. We would expect Thr 2 in the human V<sub>K</sub> segment B2 to be accommodated in a similar manner.

## (B) L2 region

Canonical structure	Number of residues in L2 region (50–52 inclusive)	Sequence characteristics of key residues in known structures		Number of germline segments with this canonical structure
L2: 1	3	48	64	
		I: 39 V: 2	G: 41	40

## (C) L3 region

Canonical structure	Number of residues in L3 region (91–96 inclusive)	Sequence characteristics of key residues in known structures									Number of rearranged sequences with this canonical structure
L3: 1	6	90	91	92	93	94	95	96	97		
		H: 2 N: 5 Q: 30	–	–	–	–	P: 37	–	S: 1 T: 36		324
L3: 2	6	90	91	92	93	94	95	96	97		
		Q: 1	–	–	–	P: 1	–	–	T: 1		1
L3: 3	5	90	91	92	93	94	95	–	97		
		Q: 1	–	–	–	–	–	–	–		49
L3: 4	4	90	91	92	93	94	–	–	97		
		Q: 1	–	–	–	–	–	–	S: 1		1
L3: 5	7	90	91	92	93	94	95	96	97		
		Q: 1	–	–	–	–	–	P: 1	–	T: 1	67

antibodies, but only four of the six are present in humans. In humans, the four structures are listed in Table III.

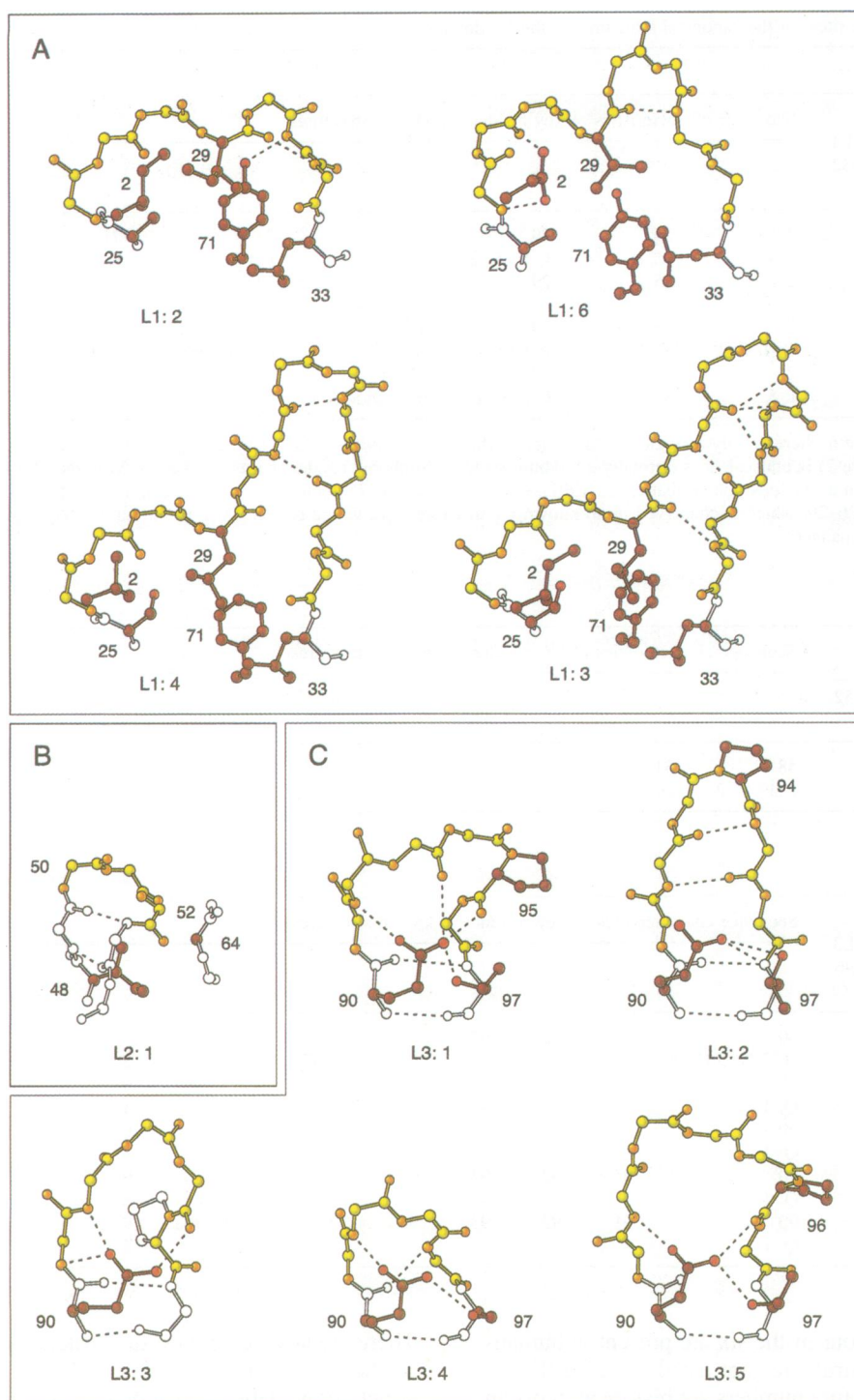
The canonical structure numbers are historical in origin. Mice also encode canonical structure 1, that has one residue between 29 and 32, and canonical structure 5, with six residues between 29 and 32. The non-functional human V<sub>K</sub> segment B1 has the sequence characteristics of canonical structure 5 (Table I).

The key residues that determine the conformation of the known L1 canonical structures for the V<sub>K</sub> domain are at positions 2, 25, 29, 33 and 71, which are involved in the packing of L1 against the framework (Figure 2). In canonical structures 3 and 4, residue 31d has positive  $\phi, \psi$  values which produce some steric strain in residues other than Gly, Asn and Asp. The conformation is facilitated by the presence of these residues, but they are not essential.

There are now several crystal structures available for each of the L1 canonical structures 2, 3 and 4, and a single structure available for canonical structure 6. The residues at the key sites in these structures are given in Table IVA.

### The L1 structures implicit in the germline repertoire

Thirty-nine human V<sub>K</sub> segments have L1 regions that correspond in size and key residues to one of four canonical structures (Tables II and IVA). The segment L24 (subgroup I) has all the characteristics of canonical structure 2, except that the key residue at position 25 is Met, rather than Ala or Ser. It is difficult to determine whether the large Met side chain at this site would produce a strained protein with canonical structure 2 or a change in conformation to form a new canonical structure.



**Fig. 2.** Canonical structures of the antigen binding loops encoded by human  $V_{\kappa}$  domains. Each drawing is labelled La:b, where La corresponds to the antigen binding loop, L1 (A), L2 (B) or L3 (C), and b is the number of the canonical structure. In each case, we show the main chain of the antigen binding loops (yellow) and the C $\alpha$  atom/side chain of the key residues which are the main determinants of its conformation (red). In addition, the conformations of L1: 3 and L1: 4 are facilitated by the presence of Gly, Asn and Asp at 31d which have positive  $\phi, \psi$  values (see the text). The conformations shown here are taken from antibodies whose structures have been determined by X-ray crystallography: L1: 2 from H52 (Eigenbrot *et al.*, 1994); L1: 6 from 1F7 (Haynes *et al.*, 1994); L1: 4 from 4-4-20 (Whitlow, 1995); L1: 3 from 17/9 (Rini *et al.*, 1992); L2: 1 from KOL (Marquart *et al.*, 1980); L3: 1 from REI (Epp *et al.*, 1975); L3: 2 from J539 (Suh *et al.*, 1986); L3: 3 from HyHEL5 (Sheriff *et al.*, 1987); L3: 4 from 3D6 (He *et al.*, 1992); L3: 5 from AN02 (Brünger *et al.*, 1991).

### **The L1 structures implicit in the expressed repertoire**

Our database of rearranged  $V_{\kappa}$  sequences has 736 entries, although some of them are incomplete. Of these, 401

(55%) are derived from germline  $V_{\kappa}$  segments which encode canonical structure 2 for the L1 region, 54 (7%) from segments which encode canonical structure 3, 65 (9%) from segments which encode canonical structure 4

**Table V.** Somatic mutation at the key sites for the L1 and L2 regions in the human V<sub>κ</sub> domain

Region	Key residue	Number of sequences containing key residue	Number of sequences with no change in key residue	Conservative changes and their occurrences	Non-conservative changes and their occurrences
L1	2	333	254	I→F: 2 I→L: 67 I→T: 4 I→V: 5 V→I: 1 Total: 79 <sup>a</sup>	
	25	715	686	A→G: 1 A→S: 9 A→T: 12 S→A: 1 S→T: 1 Total: 24	A→E: 2 A→V: 2 S→L: 1 Total: 5
	29	714	630	I→L: 1 I→M: 1 I→V: 11 V→I: 44 V→L: 18 V→M: 1 V→T: 1 Total: 77	I→K: 1 V→A: 2 V→F: 3 V→G: 1 Total: 7
	31d	115	108	N→D: 1 Total: 1	G→E: 1 G→R: 2 N→K: 2 N→S: 1 Total: 6
	33	717	701	L→F: 1 L→V: 11 Total: 12	L→S: 4 Total: 4
	71	730	723	F→Y: 3 Total: 3	F→L: 3 Y→C: 1 Total: 4
L2	48	723	709	I→L: 1 I→M: 5 I→V: 4 Total: 10	I→F: 3 I→Y: 1 Total: 4
	64	722	713	G→A: 7 Total: 7	G→S: 1 G→V: 1 Total: 2

<sup>a</sup>Most of these changes are probably introduced by PCR primers at the beginning of framework 1.

and 216 (29%) from segments which encode canonical structure 6.

We examined their sequences to determine whether they have insertions, deletions or mutations that produce L1 conformations different to those encoded by the germline segments. None has insertions or deletions, relative to the corresponding germline segments. The number of sequences in which changes have occurred at the key sites, and the nature of these changes, are given in Table V. The changes are classified as conservative or non-conservative. Conservative changes are those that are unlikely to make any large changes in the conformation of the loop, but which may introduce small distortions or slightly shift its mean position and the envelope of alternative low-energy positions available to it. Non-conservative changes are likely to make a major alteration in the conformation or lower its stability. At four sites, 25, 31d, 33 and 71, only a few sequences contain changes. Two other sites, 2 and 29, are more frequently changed. Although the majority of changes at residue 2 are likely to have been introduced by primers based at the beginning of framework 1, those that occur at the other key residues probably represent genuine somatic mutations. The majority of these changes are conservative. Thus, the key sites for L1 are unmutated, or undergo only conservative changes, in >96% of the rearranged sequences and undergo non-conservative changes in <4% of the rearranged sequences.

### **Canonical structure of the second antigen binding loop of the V<sub>κ</sub> domain**

Sequence variation of residues 50–56 in V<sub>L</sub> domains led Kabat and Wu (1971) to predict that this region is involved in antigen binding and to call it the second complementarity determining region (CDR2). Later, an analysis of the three-dimensional structures showed that residues 53–56 are part of the β-sheet framework structure: sequence changes at these positions do not alter the main chain conformation (Chothia and Lesk, 1987). The region outside the β-sheet framework, 50–52, is called L2.

Further analysis indicated that L2 regions in V<sub>κ</sub> domains have a single canonical structure defined by there being a single residue between 50 and 52, Ile or Val at 48 and Gly at 64 (Chothia and Lesk, 1987; Steipe *et al.*, 1992) (Figure 2 and Table IVB).

### **The L2 structure implicit in the germline and expressed repertoires**

All 40 functional germline V<sub>κ</sub> segments have residues Ile at site 48, Gly at site 64 and one residue between residues 50 and 52 (Tables II and IVB); this means they all have the features of the single canonical structure known for the L2 region. Consequently, all 736 rearranged sequences are derived from germline V<sub>κ</sub> segments with this canonical structure. None of these has insertions or deletions in the L2 region, relative to the corresponding germline segments, and only six have non-conservative changes at L2

Table VI.

J <sub>κ</sub> segment	Sequence <sup>a</sup>
1	W T F G Q G T K V E I K R
2	Y T F G Q G T K L E I K R
3	F T F G P G T K V D I K R
4	L T F G G G T K V E I K R
5	I T F G Q G T R L E I K R

<sup>a</sup>First residue is position 96, last residue is position 108.

key sites (Table V). Thus, the key sites for L2 are unmutated or undergo conservative changes in >99% of the rearranged sequences and undergo non-conservative changes in <1% of the rearranged sequences.

### Canonical structures of the third antigen binding loop of the V<sub>κ</sub> domain

Sequence variation of residues 89–97 in V<sub>L</sub> domains led Kabat and Wu (1971) to predict that this region is involved in antigen binding and to call it the third complementarity determining region (CDR3). Later, an analysis of the three-dimensional structures showed that residues 89–90 and 97 are part of the β-sheet framework structure (Chothia and Lesk, 1987). The region outside the β-sheet framework, 91–96, is called L3.

The L3 region is formed by the joining of the V<sub>κ</sub> and J<sub>κ</sub> segments. The joining process involves trimming and repair of the V and J segments, as well as nucleotide insertions (Milstein *et al.*, 1992). This produces variations in both the length of the L3 region and in the identity of residues at the join. The structure of the L3 region is therefore determined not only by the sequence of the germline V<sub>κ</sub> and J<sub>κ</sub> segments, but also by the way in which they are assembled. There are five J<sub>κ</sub> segments, all functional and with the sequences listed in Table VI (Hieter *et al.*, 1982).

### The L3 canonical structures known at present

The analysis of the three-dimensional structures of V<sub>κ</sub> L3 regions has so far identified five canonical structures (Chothia and Lesk, 1987; Chothia *et al.*, 1989; Brünger *et al.*, 1991; He *et al.*, 1992). The five structures are illustrated in Figure 2 and in Table IVC we describe their size and sequence characteristics.

Canonical structure 1 has six residues, a Gln, Asn or His at position 90, a *cis*-Pro at 95 and a Ser or Thr at 97. Thirty-seven of the 40 functional V<sub>κ</sub> segments have Gln at position 90 and Pro at position 95 (Table II). All five J<sub>κ</sub> segments have Thr at 97 (Table VI). Therefore, these 37 V<sub>κ</sub> segments can form canonical structure 1 if the joining process juxtaposes the last codon of the V<sub>κ</sub> segment with the first codon of the J<sub>κ</sub> segment. The two V<sub>κ</sub> segments that have Ser and His at position 95 (Table II) can also produce this canonical structure if V<sub>κ</sub>-J<sub>κ</sub> joining modifies this codon to produce a Pro at position 95.

All the other known canonical structures are produced by modification of the germline segments by the joining process. Canonical structure 2 has six residues, but the Pro is at position 94 rather than 95. Since none of the functional V<sub>κ</sub> segments has a Pro at position 94 and V<sub>κ</sub>-J<sub>κ</sub> joining events that modify this codon are infrequent (I.M.Tomlinson and J.P.L.Cox, in preparation), this con-

Table VII.

Number of residues in the L3 region, 91–96	Number of rearranged sequences
1	1
2	2
3	2
4	1
5	54
6	427
7	119
8	26
9	1

formation is likely to be rare in human V<sub>κ</sub> domains. In canonical structure 3, the joining process has deleted one residue, leaving only five (Chothia *et al.*, 1989). In canonical structure 4, the joining process has deleted two residues leaving only four (He *et al.*, 1992). In canonical structure 5, the joining process adds a residue to produce a seven-residue L3 region.

### The L3 structures implicit in the expressed repertoire

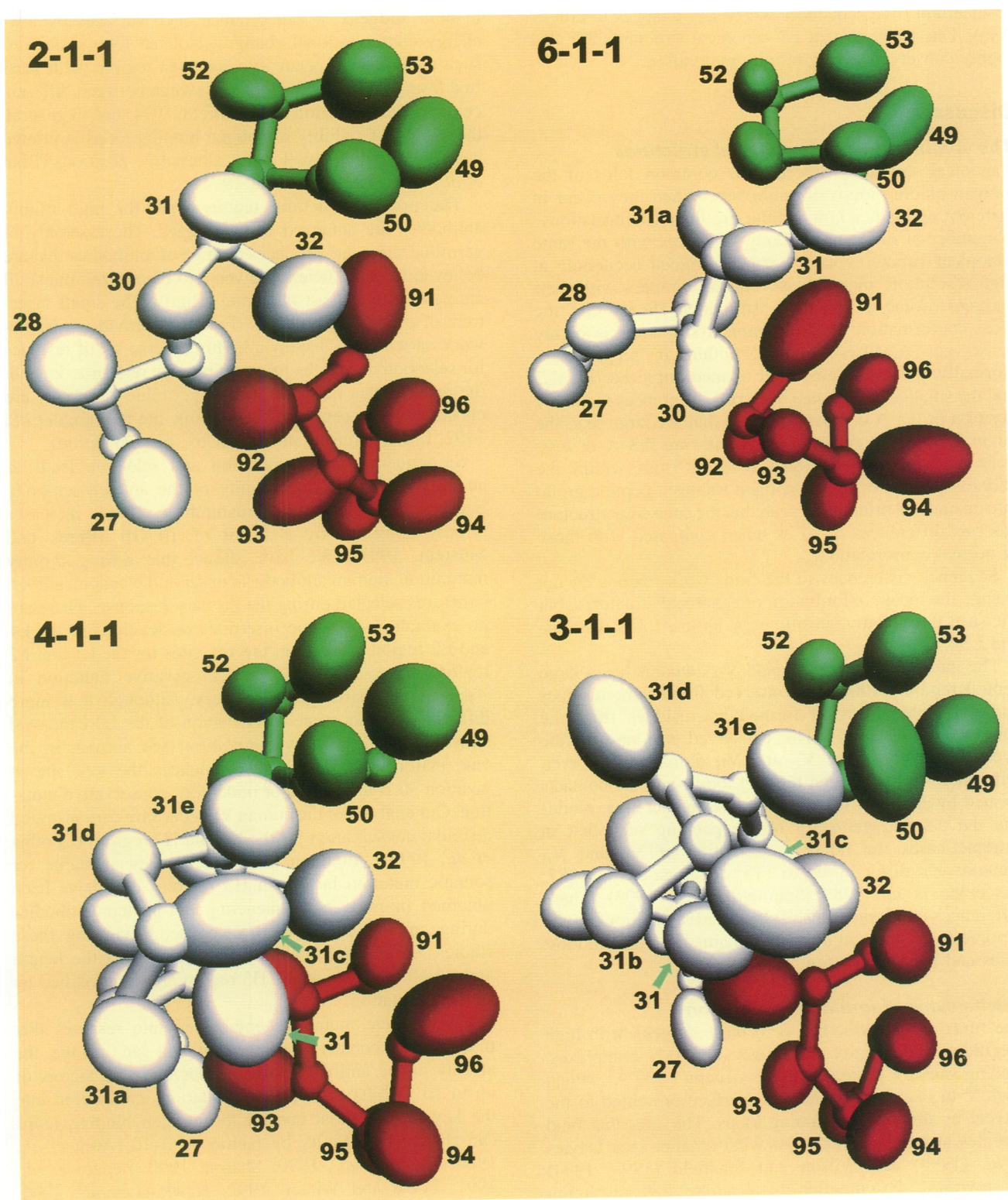
Of the 736 rearranged V<sub>κ</sub> sequences in our database, 633 contain complete L3 regions without frame shifts. Junctional diversity has produced some variations in the length of L3, but it is limited: in 99% of the rearranged sequences the number of residues in the L3 region, 91–96, is between five and eight (Table VII).

We examined the L3 regions to determine which have the characteristics of one of the known canonical structures (Table IVC). Of those with six residues, three-quarters have the sequence characteristics of canonical structure 1. Most of those with five residues have the characteristics of canonical structure 3 and most of those with seven residues have the characteristics of canonical structure 5. Canonical structures 2 and 4 are rare, each occurring in only one sequence. In total, 70% of the rearranged sequences have the characteristics of one of the five known canonical structures (Table IVC).

The sequences of the remaining 191 rearranged genes do not correspond to a known L3 canonical structure. However, it is likely that most of these will have one of a small number of conformations. For example, we would expect most of the six-residue regions that do not have a Pro at positions 94 or 95 to have a simple hairpin structure with a conventional two-residue turn at its tip. A total of four or five canonical structures (including three for which crystal structures are available) probably describe the conformations of >90% of human L3 regions.

Because it is difficult to distinguish between residue changes introduced during V<sub>κ</sub>-J<sub>κ</sub> joining and those introduced by somatic mutation at key residues 95 and 97, we cannot exclude the possibility that the canonical structure of L3 changes during affinity maturation due to mutation at these positions. However, of the 729 rearranged sequences that include key residue 90, 90% are unmutated (657 sequences), 5% undergo conservative changes (Gln changes to His in 38 sequences), 4% undergo non-conservative changes (29 sequences with Gln to residues other than Asn or His) and in 1% the nature of the changes





**Fig. 3.** Structures of the common antigen binding sites in human V $\kappa$  domains. Residues are represented by their C $\alpha$  atoms and ellipsoids for the sidechains. The numbers on the top left of each drawing correspond to the canonical structure of the three antigen binding loops (L1, L2 and L3). More than 96% of the human V $\kappa$  domains have canonical structures 2, 3, 4 or 6 for the first antigen binding loop (L1) and canonical structure 1 for the second antigen binding loop (L2). Approximately half of the human V $\kappa$  domains have canonical structure 1 for the third antigen binding loop (L3).



is uncertain (five sequences where Lys changes to Gln or Asn). This suggests that L3 canonical structures are also highly conserved during affinity maturation.

## Discussion

### *The conformations of canonical structures*

Canonical structures describe the common folds of the antigen binding loops of antibodies. When they occur in different antibodies how similar are their conformations? A number of different antibody structures with the same canonical structures have been determined accurately at high resolution, including several in complex with their antigens. Analysis of these structures shows that the local conformation of the canonical structures is highly conserved (A.M.Lesk and C.Chothia, in preparation). Normally, those that are small or medium sized (e.g. L2 and the smaller L1 regions) have rms differences in atomic position of 0.5 Å or less. For those that are large (e.g. the larger L1 and L3 regions) the values are 0.8 Å or less. Very occasionally, hydrogen bonding from within the variable domain or by the antigen rotates a peptide group into an unusual orientation such that the canonical structure has rms differences of ~1 Å when compared with those of normal conformations.

Sequence differences in the framework regions do not change the local conformation of canonical structures, but can shift their relative positions by up to ~1.3 Å (Chothia and Lesk, 1987).

The interface between  $V_L$  and  $V_H$  domains has a large central region formed by conserved framework residues and a peripheral region formed by residues from the antigen binding loops. The conserved residues fix the overall packing of the  $V_L$  and  $V_H$  domains. However, differences in the antigen binding loops, and the contacts formed by antigens that penetrate the contact area, modulate the detailed geometry of the packing such that in extreme cases the relative orientation of  $V_L$  and  $V_H$  domains can differ by up to ~15° (Colman *et al.*, 1987; Lascombe *et al.*, 1989; Stanfield *et al.*, 1993). These differences in orientation shift the relative positions of the canonical structures in the two domains by 1–2 Å in most cases and by 3 Å in a few.

### *Mechanisms of immune recognition*

The function of antibodies is to bind antigens with high specificity and affinity. In antigen–antibody complexes, binding sites for protein antigens occupy ~800 Å<sup>2</sup>, which is close to one-third of the total surface presented to the solvent by the antigen binding loops. The sites that bind peptides and haptens are somewhat smaller [see Davies *et al.* (1990) and Wilson and Stanfield (1993, 1994) for reviews]. Examination of accurate high-resolution complexes demonstrates that the antigen–antibody interface is close packed, internal cavities are rare, and hydrogen bonding, van der Waals contacts and solvent molecules combine to make the packing density like that in crystals. These features are the same as those found in the recognition sites of non-immunoglobulin protein–protein complexes (Janin and Chothia, 1990).

The purpose of the genetic mechanisms that produce high-affinity antibodies is therefore to create structures that either have complementary surfaces of sufficient size

to bind antigens or can produce such surfaces by low-energy conformational changes. Isolated loop structures, such as those that occur in certain H3 regions with parts free from tertiary constraints, can switch between different conformations (Wilson and Stanfield, 1994) but, in general, the intrinsic flexibility of antigen binding loops is limited with only a small envelope of alternative positions (Bhat *et al.*, 1990; Gerstein *et al.*, 1994).

The precise structural requirements for high-affinity antibodies are achieved in two stages. The assembly of germline segments produces a range of antibodies that are believed to be sufficiently diverse to recognize almost all antigens with at least moderate affinity. The small repertoire of canonical structures (Figure 3) provides a framework on which to display a highly diverse set of residues for selection during the primary immune response. Indeed, the residues that have the highest germline variability are clustered at the centre of the binding site (Chothia *et al.*, 1992; I.M.Tomlinson and J.P.L.Cox, in preparation).

Subsequent somatic mutation and selection leads to an improvement in the affinity of the antigen–antibody interaction. Typically, this maturation process increases affinity constants by a factor of 10<sup>2</sup>–10<sup>3</sup> (Berek and Milstein, 1987). We have shown that during affinity maturation, human antibodies conserve the canonical loop structures selected during the primary response. There are no examples of somatic insertions or deletions in the L1 and L2 regions. Together, the key sites for the L1 and L2 regions have undergone non-conservative mutation in <4% of the rearranged sequences. Although it is more difficult to demonstrate conservation of the L3 canonical structure during affinity maturation (see above), in the vast majority of rearranged sequences the key site at position 90 is unmutated or undergoes conservative mutation. Our analysis of the human  $V_H$  repertoire demonstrated a similar conservation for the H1 and H2 regions (Chothia *et al.*, 1992). Although there are no data available for somatic mutation in human H3 regions, data have been obtained in mice by sequencing anti-hapten antibodies during an ongoing immune response. Reviewing these studies, Berek and Milstein (1987) noted that the length and certain residues of the H3 region are not modified by somatic mutation.

Conservative mutations engineered into residues that make direct contact with the antigen can change the affinity of the antibody–antigen interaction by factors of up to 10<sup>3</sup>, whilst conservative mutations engineered into the key residues that contact the antigen binding loops can change the affinity by factors of 3–10 (Amit *et al.*, 1986; Alzari *et al.*, 1990; Sharon, 1990; Denzin *et al.*, 1991; Foote and Winter, 1992; Hawkins *et al.*, 1992, 1993; Schindbach *et al.*, 1993; Chacko *et al.*, 1995; Chen *et al.*, 1995). Here, we have shown that although non-conservative mutations at key residues that contact the antigen binding loops are rarely observed, >17% of rearranged sequences have undergone conservative mutation at these sites. Whilst these changes are unlikely to disrupt or change the canonical structures of the antigen binding loops (see above), they may well play a role in affinity maturation by producing small shifts in their geometry.

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