

# The Ocean Health Index Inform Phase

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Congratulations! You have successfully *Conducted* your independent OHI+ assessment, and you are in the last phase of OHI+, **Inform**. In this phase, you will focus on communicating the results of your assessment to a wide range of audience, from academics to policy makers.

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## 1 Introduction to Informing Decision-Making

Informing decision making with the findings of your OHI assessment is perhaps the most sensitive phase of the process because of political and social implications, as well as potential consequences for particular stakeholder groups. Prior to the publication of the findings, the convener should host a workshop to present the findings of the assessment to the stakeholders who have participated in the process, so they understand the methods employed, the reference points used, sources of data and information, etc. This is an opportunity for them to ask questions to experts, clarify facts, and provide initial feedback to the technical team. Likewise, the experts can use this as an opportunity to present their preliminary recommendations based on the findings.

Following this initial consultation period, the convener and the technical team should prepare a detailed report of the OHI+ assessment detailing the following (but not limited to):

- Purpose of the assessment: policy and management needs

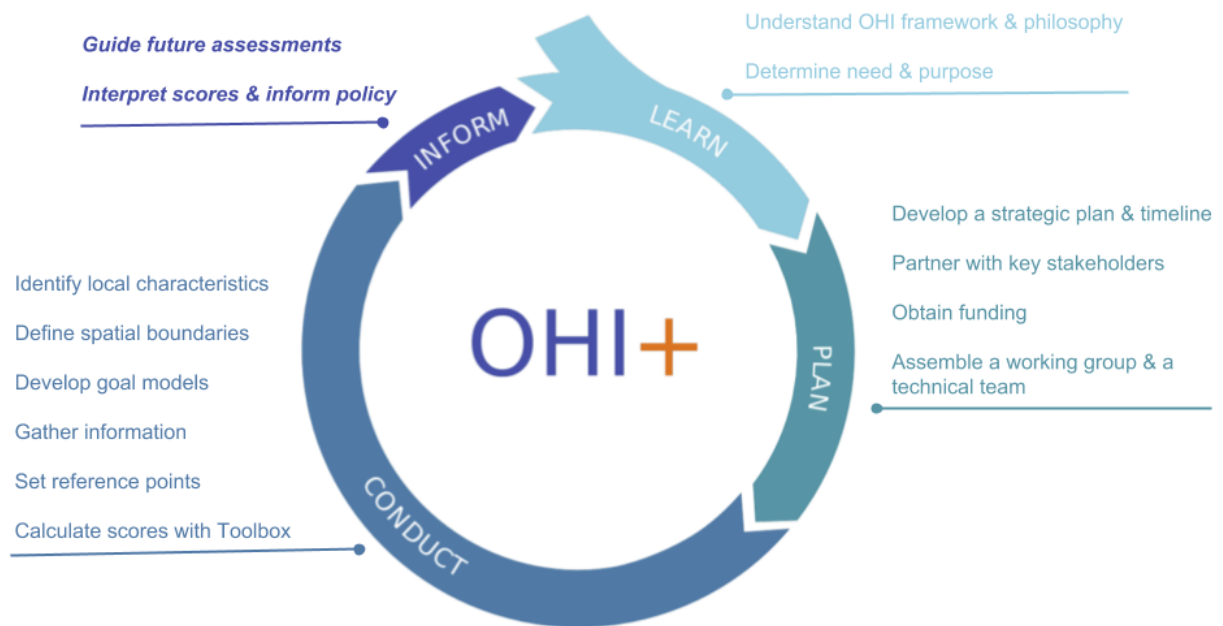


Figure 1: Inform Phase tasks

- Description of the entire process, including the role of various stakeholders
- Description of the study area and rationale for selection of spatial boundaries
- Description of the methods:
- Goal model choice, including rationale and alternatives with ideal data sources
- Reference point, including rationale and alternatives with ideal data sources
- Data layers for status, pressures, and resilience
- Description of the methodology and tools used
- Description of the scores and explanation of the variables leading to the scores
- Results of management scenarios tests (if conducted — see sections below)
- Spatial and thematic recommendations based on identified priorities
- Identified data gaps and recommendations for collecting the necessary information for future assessments
- Management intervention recommendations

Should stakeholders decide to go beyond simply obtaining a report with recommendations, the OHI process should continue into a consensus building exercise aimed at reaching and implementing agreements over the short-to-medium term.

## 2 Test management options (scenarios)

One of the key features of OHI+ assessments is the capacity to conduct management scenarios to test the magnitudes and types of changes in the scores as a result of common or proposed management interventions. This allows stakeholders and decision-makers alike to identify the potential consequences of a decision. Because the OHI goals are strongly connected, testing management scenarios allows stakeholders to better understand the interactions between the goals, for instance, by highlighting how a decision in one sector can affect other sectors. This testing is also a good mechanism to identify spatially where should a specific management intervention take place, as it allows for the identification of proportional changes in scores due to the management intervention across the geographies assessed. In doing so, decision-makers can identify the most cost-effective management interventions to improve goal scores in specific geographies, increasing the impact of limited conservation financial resources.

For example, you may test what would happen to the scores if:

- Bycatch is reduced
- Protected areas are increased
- Pollution is decreased
- Habitat restoration is conducted

Ideally, these management scenarios are not arbitrary, but instead reflect actual needs identified during the assessment process or are actual proposed actions being considered. In doing so, this information makes it possible for stakeholders to discuss the merits of each action and proceed towards reaching multi-stakeholder management agreements.

## 3 Reach agreements between stakeholders, and implement agreements

Based on the findings of management scenario testing, stakeholders and decision-makers can now focus on achieving a shared vision. At this stage individual stakeholders are empowered to make contributions towards reaching agreements by: focusing on interests and not positions, establishing objective criteria, and proposing options for mutual gain. A skilled convener can prove very useful in this stage of the process, as this is where stakeholders will explore options for improving the current status of ocean health.

Some potential options for reaching agreements at this stage are:

- focus solely on identifying broadly supported priority management actions and monitoring progress; or
- focus on monitoring progress only; let potential implementers determine priority actions independent of integrated stakeholder dialogue

Once the scope has been determined, the stakeholders must reach agreements of what will be accomplished. “A consensus agreement is one that all participants can accept or ‘live with’” (CBI). It is ideal that there is strong support for the agreement from all stakeholders. But it is not necessary that all stakeholders strongly support all elements of the agreement to reach a consensus based decision. Some possible agreements may include (but not limited to):

- Fisheries catch limits, gear bans, close seasons, quota systems
- Adopting mariculture best practices
- Increasing access to resources for artisanal fisher peoples
- Regulations on the harvesting and trade of some natural products
- Coastal habitat protection and restoration
- Policies for increasing wages of ocean dependent workers, incentive ocean economies
- Sustainable tourism practices, tourism taxes
- Increasing area and management of protected areas and key species
- Increasing waste management facilities, water treatment, reduce runoff
- Protection of key habitats and management plans for sensitive endangered species

Each agreement reached should be described in detail, considering potential implications for specific groups that may be negatively affected by the decision, costs incurred, specific roles and responsibilities of stakeholders involved, and timeline for the implementation. Objective criteria for monitoring progress towards the agreements should be established, taking into consideration any resources necessary.

In many cases stakeholder agreements must be approved by regulatory agencies or elected officials, in which case, all proposed recommendations should be organized in a document highlighting the benefits and costs of each agreement. It is recommended stakeholders meet periodically to review progress made towards joint agreements, which also promotes greater trust and collaboration among individuals and organizations

## 4 Outreach and Communications Strategy

It is important to have a clear strategy for how to communicate the results of your assessment to your various audiences. You will want to have a clear message and way to reach to your various audiences, choosing the tools that will best suit your objective or call to action for each audience. To do this, it is helpful to revisit your initial assessment plan and stakeholder analysis and identify key messages and recommendations that are relevant to each group.

Your strategy will consist of both communication materials and a strategic outreach plan that details how you will distribute findings and materials.

In addition to highlighting key findings of specific geographies and goals, it can be important to provide interpretation of results and recommendations to decision-makers and managers so they can create policies to increase goal scores and ultimately ocean health.

### 4.1 Communications Strategy

The following materials may be useful to you:

- **Summary of findings:** findings to general audience, press, managers, and various decision-makers (via email or printed materials)

- **Policy paper/report/memos:** interpretation and recommendations to key decision-makers, including information and knowledge gaps, priority geographies and themes, and management interventions
- **Website:** broader distribution of findings and materials. Contact programs at [oceanhealthindex.org](http://oceanhealthindex.org) to discuss options for using an OHI template
- **Peer-reviewed academic manuscripts**

## 4.2 Outreach Strategy

Examples of how to increase your presence include:

- **Stakeholder workshops and/or town hall meetings:** present findings, develop a strategic plan of next steps, evaluate different management scenarios.
- **Work with network of decision-makers** who were part of the planning team to organize public meetings, hearing, inter-agency meetings
- **Consider perspective of the decision-maker:** clarify issue and why of interest, highlight action to take and the relevant science to support the action
- **Maintain engagement in the decision-making process:** councils, partnerships with stakeholders, periodic meetings with technical working groups and government agencies
- **Provide clear recommendations and courses of actions** that create the conditions to sustainable maximize ocean productivity
- **Clearly articulate geographic priorities and sectorial problems** affecting local oceans
- **Be available to support** ocean and coastal resource management planning and/or policy development processes

## 5 Disseminate Findings

Even before your assessment has been completed it is critical to plan how you will communicate the findings of your assessment to your multiple audiences.

The ultimate purpose of conducting an assessment is ultimately to improve ocean health, with intermediate steps of inform decision-making and tracking changes through time. To do this, it is imperative that the results be properly communicated.

An ecosystem-based management approach outlines a series of principles to guide management towards long-term sustainability of, in this case, marine and coastal ecosystems. This information seeks to assist decision makers in taking steps toward sustainably managing their coastal resources. For more information on how to set up and implement an ecosystem-based management approach, consult the United Nations Environment Program's guide at [www.unep.org/pdf/GPA/Ecosystem\\_based\\_Management\\_Markers\\_for\\_Assessing\\_Progress.pdf](http://www.unep.org/pdf/GPA/Ecosystem_based_Management_Markers_for_Assessing_Progress.pdf).

When disseminating the results of your assessment to policy makers, it is important to interpret what goal scores mean (what they represent, what information is included, how reference points were set) as well as possibilities for improving how well these goals are met (reducing pressures, increasing resilience).

It is crucial to the success of these assessments to consistently re-assess your study region through time to see how it is responding to management decisions. It is only through continued monitoring of these resources that you will better understand how effective your management strategy and policies are and it is only through effective communication that informed decisions can be made.

## 6 Communicate Results with Partners and Others

Once you have identified your different groups and clarified the objectives for each, brainstorm different channels you can use for your communications and sort them by which would be most appropriate for each group. Think about the different needs of each group of your stakeholders. What information does each group need from you to meet their objectives or to perform your desired action/outcome? For example, stakeholders involved in decision making and planning that you would like to help engage in informed management actions would be more receptive to a well-laid out report rather than a newsletter, article or social media post.

After selecting the proper communication tool for each group, begin planning the messages for each group. Here it might be helpful to start with the broadest group and end with the most specific. For each group, aim to answer questions such as: What are the main facts that the audience needs to know? How much background does your audience already have on this topic? When will these facts need to be communicated? What is the best channel to reach the audience?

Make sure that each message is clear to each audience. For example, what are the absolutely necessary facts that a policy maker will need to know from your assessment to help them better manage your marine resources? How can you convey this information in the most direct, easily accessible way? This message will vastly differ from the one sent to the general public, whose main aim will be to increase awareness of ocean health and resource management. For examples, a past Ocean Health Index Global Assessment press release can be found at [www.pacificlife.com/content/dam/pacific\\_life/corp/public/about\\_pacific\\_life/foundation\\_community/strategic\\_focus/health](http://www.pacificlife.com/content/dam/pacific_life/corp/public/about_pacific_life/foundation_community/strategic_focus/health) and the report for policy makers from our West coast case study can be found at [www.oceanhealthindex.org/Apps/OHI/Vault/O](http://www.oceanhealthindex.org/Apps/OHI/Vault/O)

It is important to remember that you may also want to include some of your stakeholders in this process. Partnering with some of your stakeholders might give you access to a broader demographic to help you amplify your message, and the OHI team can help too.

For more information on how to identify and communicate with various stakeholders, use planning and strategy tools such as the ones listed under “Communications Skills” at [www.mindtools.com](http://www.mindtools.com).

## 7 Implement Policies and Management Interventions that Respond to the Priorities

A well-designed and communicated Ocean Health Index assessment should provide important information to stakeholders and decision-makers. Assessment findings can be used to identify geographic and sectorial priorities among the regions assessed and for the entire study area.

You should consider involving stakeholders to develop and implement an **action plan**. Much like you planned for your assessment, you must now plan for the actions and policies you will enact to reduce pressures and increase resilience, the two possible pathways to improving ocean health and thus moving closer towards your targets.

### 7.1 Resources

- Conservation Gateway: Conservation Action Planning: [www.conservationgateway.org/Documents/CAP\\_2pg\\_Description](http://www.conservationgateway.org/Documents/CAP_2pg_Description)
- Conservation Action Planning Handbook: [www.conservationgateway.org/Documents/Cap%20Handbook\\_June2007.pdf](http://www.conservationgateway.org/Documents/Cap%20Handbook_June2007.pdf)

## 8 Reassess: establish new relevant targets and gather new information

The first OHI+ assessment conducted in a given geography effectively establishes a baseline of knowledge upon which it is now possible to make future comparisons. In assessing ocean health periodically, decision-makers

and stakeholders are able to determine the progress that has been made towards the targets, evaluate the effectiveness of specific management interventions, understand the effects of exogenous variables such as climate change on local ocean health, and assess the progress towards agreements made between stakeholders. There is no rule about how often you should reassess. However, considering the need to balance variances in the temporal scales at which change takes place in ecological and social dimensions, we recommend OHI+ assessments are conducted at least every 2 or 3 years. Reassessing also gives you the opportunity to refine methods and use newly available information and knowledge, continuously helping you to design an approach that best reflects ocean health at the scale of the assessment. Lastly, this exercise should serve as an opportunity to discuss the validity of the reference points established in the first assessment to ensure they remain pertinent to the management vision previously established.

Other more complex, emergent tradeoffs become visible only when OHI assessments are done over time to track how goal scores change. Because of the complexity of ecosystem responses, full attribution of a change in one goal causing a change in another goal is difficult, but such patterns can provide insight on where to direct further exploration of such possible tradeoffs. The ability to calculate past status scores, and then correlate changes with past management actions, illustrates one way it can be used to assess management effectiveness.

OHI+ assessment updates can be easily done using the OHI Toolbox and require the same degree of time and resources as the initial assessment (although this may vary depending on local conditions).