Analysis - Tristan da Cunha vessel traffic in ATBA

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Table of Contents

Background	1
Setup	2
Map of vessel activity around Tristan da Cunha	
Transits through the ATBA	5
Vessel traffic through the ATBA and EEZ	5
Identifying transits	8
Distance to shore	
Are vessels avoiding the ATBA?	23
Port to port tracking	24
Gaps in AIS transmission	29

Background

On March 16, 2011 the bulk carrier MS Oliva, en route from Brazil to Singapore, ran aground at Spinners Point on Nightingale Island. Soon afterwards, the vessel broke apart, spilling more than 300,000 gallons of oil and contaminating thousands of northern rockhopper penguins. The remoteness of Tristan da Cunha made mounting a rapid, large-scale containment logistically impossible. This event highlighted the elevated risk of environmental incidents due to the remoteness of Tristan da Cunha and its proximity to major shipping channels across the South Atlantic. To reduce the risk of another ship running aground, in April 2020 the government implemented a recommended 20 nautical mile exclusion zone around each island within the archipelago, and designated these as areas to be avoided (ATBA) by transiting vessels greater than 400 gross tonnage (gt).

The Blue Belt program is an initiative by the UK government to support the UK Overseas Territories with the protection and sustainable management of their marine environments. As part of this program, the UK Marine Management Organisation (MMO) has been providing support to Tristan da Cunha by detecting transits through the ATBA and coordinating efforts to communicate the presence of the ATBA to relevant flag states and vessel operators.

Through consultation with the MMO, we identified a number of complementary analyses that could add value to the ongoing monitoring of the ATBA. To that end, GFW analysed a global dataset of automatic identification system (AIS) transmissions to:

- 1. Quantify transits through the ATBA
- 2. Provide a full port-to-port track analysis of transiting vessels to identify which port authorities to prioritize in communicating the ATBA
- 3. Identify gaps in AIS transmission by fishing vessels potentially related to deliberate disabling of AIS devices

Setup

Load packages:

```
library(tidyverse)
                    # data manipulation and plotting
library(bigrquery)
                    # querying data through BigQuery
library(DBI)
                   # database interface
library(fishwatchr) # internal R package developed by Global Fishing Watch
for common in-house analyses and functions
                     # used to format SQL queries in R
library(glue)
library(lubridate) # format date time objects
                     # useful package for specifying file locations
library(here)
                     # simple features - used for spatial analysis
library(sf)
library(extrafont)
                   # load extra fonts for plotting
library(ggrepel)
                     # useful package for adding labels to applot objects
```

Establish connection to Big Query project:

For this analysis we queried data from the table at world-fishing-827:scratch_cian.tdc_vessel_traffic_atba_2019_2021 which was created using the following query. Note that this is a big table with more than 49 million rows. Therefore throughout this analysis we used queries to pull manageable subsets of these data.

Load shapefiles for mapping:

```
# Areas to be Avoided (ATBA)
atba_sf <-
sf::st_read("geodata/tdc_atba/ATBA_consolidate_25nm_buffer_wgs84.shp")
## Reading layer `ATBA_consolidate_25nm_buffer_wgs84' from data source
## `C:\Users\cianl\My
Drive\projects\pew_gfw_tristan_da_cunha\geodata\tdc_atba\ATBA_consolidate_25n
m_buffer_wgs84.shp'
## using driver `ESRI Shapefile'
## Simple feature collection with 1 feature and 13 fields</pre>
```

```
## Geometry type: MULTIPOLYGON
## Dimension:
                  XY
## Bounding box: xmin: -13.19894 ymin: -40.72459 xmax: -9.404225 ymax: -
36.69803
## Geodetic CRS: GCS unknown
st crs(atba sf) <- 4326
# Shapefiles of Tristan da Cunha and Gough Island - sourced from
OpenStreetMap
tdc sf <- sf::st read("geodata/tdc osm/tristan da cunha archipelago osm.shp")
## Reading layer `tristan da cunha archipelago osm' from data source
     `C:\Users\cianl\My
Drive\projects\pew gfw tristan da cunha\geodata\tdc osm\tristan da cunha arch
ipelago_osm.shp'
     using driver `ESRI Shapefile'
## Simple feature collection with 49 features and 1 field
## Geometry type: POLYGON
## Dimension:
                 XY
## Bounding box: xmin: -12.70632 ymin: -40.37117 xmax: -9.874093 ymax: -
37.06207
## Geodetic CRS: WGS 84
st crs(tdc sf) <- 4326
# Shapefile of Tristan EEZ only - sourced from Marine Regions
eez tdc <- fishwatchr::eez sf %>% filter(MRGID EEZ1 == 8382)
```

Map of vessel activity around Tristan da Cunha

Produce a map of total vessel traffic around Tristan da Cunha between 01 Jan 2019 and 30 Jun 2021.

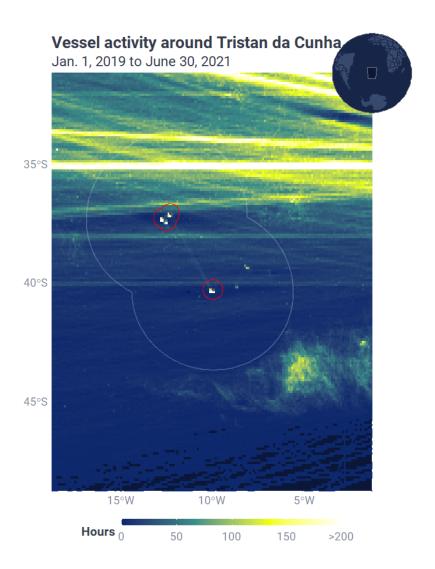
Load the query to create a grid of total vessel activity (hours) per grid cell (0.1° x 0.1°):

Alternatively, the queried data can be loaded locally here:

```
vt_gridded <- readr::read_rds("data_production/data/gridded-vessel-activity-
2019-2021.rds")</pre>
```

Map of total vessel activity:

```
# set bounding area
bounding 1 <- fishwatchr::transform box(xlim = c(-18, -2),
                                        ylim = c(-48, -32),
                                        output crs = "+proj=longlat
+ellps=WGS84 +datum=WGS84 +no defs")
# gridded map of vessel activity
m_grid <- vt_gridded %>%
ggplot() +
  geom raster(aes(x = lon bin, # this plots the gridded effort data as a
raster
                  y = lat bin,
                  fill = hours)) +
  fishwatchr::geom_gfw_eez(lwd = 1) + # eez boundaries
  geom sf(data = atba sf, colour = "red", linetype = 1, fill = NA) + # atba
boundaries
  geom_sf(data = tdc_sf, fill = gfw_palette("map_country_dark")[1]) + #
tristan da cunha archipelago
  labs(title = "Vessel activity around Tristan da Cunha",
       subtitle = "Jan. 1, 2019 to June 30, 2021") +
  scale fill gradientn(colours = gfw palette("map effort dark"),
                       limits = c(0,200),
                       oob = scales::squish,
                       na.value = NA,
                       name = "Hours",
                       labels = c("0", "50", "100", "150", ">200")) +
  theme gfw map cian() +
  theme(plot.title = element_text(size = 21),
        plot.subtitle = element_text(size = 18),
        axis.text = element_text(size = 14),
        legend.title = element text(size = 16),
        legend.text = element text(size = 14)) +
  coord_sf(xlim = c(bounding_1$box_out[['xmin']],
bounding_1$box_out[['xmax']]),
           ylim = c(bounding_1$box_out[['ymin']],
bounding_1$box_out[['ymax']]),
           crs = bounding_1$out_crs)
## Spherical geometry (s2) switched off
# add a small globe showing the location of the map area
m grid <- fishwatchr::add little globe(main map = m grid,</pre>
                                       main box = bounding_1,
                                       globe rel size = 0.3,
                                       globe_just = 'center',
                                       globe_position = 'upperright')
m_grid
```



Transits through the ATBA

Vessel traffic through the ATBA and EEZ

Firstly, we analysed the total traffic, in terms of vessel hours, by vessels greater than 400 gross tons between 1 Jan. 2019 and 30 June 2021.

This next query pulls vessel hours by over 400 gross ton vessels inside the **ATBA** between 01 Jan 2019 and 30 Jun 2021:

```
query_2 <- readr::read_file(str_c("queries",
    "q_tdc_atba_vessel_hours_atba.sql", sep="/"))</pre>
```

Run the query:

Alternatively, the queried data can be loaded locally here:

```
vt_atba_summary <- readr::read_rds("data_production/data/summary-vessel-
traffic-atba-2019-2021.rds")
```

This next query pulls vessel hours by over 400 gross ton vessels inside the **EEZ** between 01 Jan 2019 and 30 Jun 2021:

```
query_3 <- readr::read_file(str_c("queries",
   "q_tdc_atba_vessel_hours_eez.sql", sep="/"))
Run the query:</pre>
```

Alternatively, the queried data can be loaded locally here:

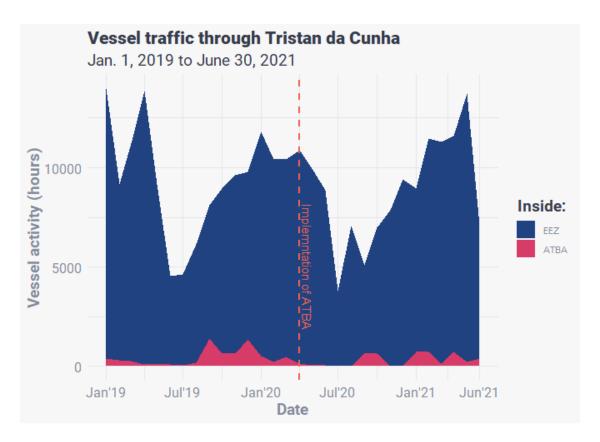
```
vt_eez_summary <- read_rds("data_production/data/summary-vessel-traffic-eez-
2019-2021.rds")
```

Generate monthly summaries of vessel activity per month within the ATBA and EEZ, and combine the two datasets into one:

```
# Summary of vessel hours per month within the ATBA
vt atba by month <- vt atba summary %>%
  # this code rounds every date down to the beginning of the month
  mutate(month_bin = floor_date(date, unit = "month")) %>%
  group_by(month_bin) %>%
  summarise(atba = sum(total_hours))
# Summary of vessel hours per month within the EEZ
vt eez by month <- vt eez summary %>%
  mutate(month_bin = floor_date(date, unit = "month")) %>%
  group_by(month bin) %>%
  summarise(eez = sum(total_hours))
# Merge both summaries by month
vt_by_month <- merge(vt_atba_by_month, vt_eez_by_month, by = "month_bin") %>%
  # restructure the data so that we have one column for inside (atba/eez) and
another for total hours
  pivot_longer(cols = c(atba, eez),
               names to = "inside",
               values to = "total hours")
```

Plot vessel activity per month inside the EEZ and ATBA:

```
vt by month %>%
ggplot() +
  geom_area(aes(x = month_bin, y = total_hours,
                 # reorder inside so that EEZ is on top
                 fill = fct_reorder(inside, total_hours, .desc = TRUE)),
            position = "identity", alpha = 1) +
  scale fill manual(name = "Inside:",
                     labels = c("EEZ", "ATBA"),
                     values = gfw_palette("chart")[c(1,4)]) +
  # add and annotate a vertical line at the date the ATBA was implemented
  geom_vline(xintercept = lubridate::date("2020-04-01"), colour =
gfw_palette("chart")[5], size = 1, linetype = 2) +
  annotate("text", x = ymd("2020-04-20"), y = 5000, colour =
gfw_palette("chart")[5],
           label = "Implemntation of ATBA", angle = -90, size = 4) +
  labs(title = "Vessel traffic through Tristan da Cunha",
       subtitle = "Jan. 1, 2019 to June 30, 2021",
       x = "Date",
       y = "Vessel activity (hours)") +
  scale_x_date(date_labels = "%b'%y",
               breaks = ymd("2019-01-01", "2019-07-01", "2020-01-01", "2020-07-01", "2021-01-01", "2021-06-01")) +
  theme gfw cian() +
  theme(plot.title = element_text(size = 16),
        plot.subtitle = element_text(size = 14),
        axis.title = element text(size = 14),
        axis.text = element text(size = 12),
        legend.title = element_text(size = 14),
        legend.text = element text(12))
```



Identifying transits

To identify transits through the ATBA we first selected all of the locations by vessels over 400 gross tons within the ATBA. We then identified the trip_id associated with each of these positions, and selected every location associated with each trip_id.

A trip_id is associated with all of the vessel locations between two **port visits**. For the purposes of this analysis, we considered that a port visit had occurred when a vessel:

- 1. Entered port (vessel within 3km of port)
- 2. Was stationary (speed less than 0.2 knots) or stopped transmitting its location for more than 4 hours
- 3. Exited port (vessel more than 4km from port)

This query pulls all positions from port-to-port trips that passed within the ATBA:

Alternatively, the queried data can be loaded locally here:

```
vt_atba_tracks <- readr::read_rds("data_production/data/vessl-tracks-over-
400t-atba-only.rds")</pre>
```

Reformat the data so that inside_eez, inside_atba, are formatted correctly and extract date from timestamp:

Because some vessels may have transited through the ATBA more than once, we needed to create a transit_id to differentiate between individual vessel transits. To do this, we wrote a custom function called create_transit_id() to create a transit_id for each transit, and used purrr::map() to iterate through a list of unique vessel ids (ssvid). We applied this function to create two transit_ids; one for each transit through the EEZ and another for each transit through the ATBA. The steps of the function are:

- 1. filter the dataframe to the ssvid in question
- 2. arrange by timestamp
- 3. for the first row assign a transit_no as t or NA depending on whether the point is inside the EEZ/ATBA
- 4. loop through every subsequent row and:
 - a. IF inside atba/eez AND previous position outside: transit no <- t
 - IF inside AND previous position inside AND previous position within 7 days: transit_no <- t
 - c. IF inside AND previous position inside AND previous position outside 7 days: transit no <- t+1
 - d. IF outside AND previous position inside: t <- t+1

The function was defined as:

```
# ssvid: unique vessel identifier
# df: dataframe including vessel positions
# col_name: name of new column to filter by
# output: output the original dataframe with the new column included, or
output the new column as a vector
# time_dif: to avoid assigning multiple transit_ids to a vessel that skirted
the edge of the EEZ/ATBA, we included a minimum time period that had to pass
before a vessel was assigned a new transit_id (default 7 days)

create_transit_id <- function(ssvid, df, col_name = "inside_atba", output =
"df", time_dif = 7){

# 1. filter df by ssvid and arrange by timestamp
df_temp <- df %>%
    filter(ssvid == {{ssvid}}) %>%
    arrange(timestamp) %>%
    # create a numericcolumn called transit_no populated with NA
```

```
mutate(transit no = as.numeric(NA))
  # 2. set an initial transit no value
  t <- 1
  # 3. for the first row, if inside atba set transit no to t
    if(df_temp[[1, as.character(col_name)]] == TRUE){
    df_temp[[1, "transit_no"]] <- t</pre>
  } else {
    # else do nothing
  # 4. Loop through each row of df temp, starting with the second row
  for(i in 2:nrow(df temp)){
    # if outside atba and previous position was inside
    # increase t by + 1
    if(df_temp[[i, as.character(col_name)]] == FALSE &
              df temp[[i - 1, as.character(col name)]] == TRUE){
         t < -t + 1
    # if inside atba and previous position outside
    # assign transit no t
    } else if(df_temp[[i, as.character(col_name)]] == TRUE &
              df temp[[i - 1, as.character(col name)]] == FALSE){
       df_temp[[i,"transit_no"]] <- t</pre>
    # if inside atba and previous position was also inside atba
    # and within 1 week
    # then assign transit no t
    } else if(df_temp[[i, as.character(col_name)]] == TRUE &
       df_temp[[i - 1, as.character(col_name)]] == TRUE &
       as.numeric(df_temp[[i, "date"]] - df_temp[[i - 1, "date"]]) <=</pre>
time_dif){
        df_temp[[i,"transit_no"]] <- t</pre>
    # if inside atba and previous position was also inside atba
    # but more than 1 week later
    # then increase t + 1, and assign transit_no t
    } else if(df_temp[[i, as.character(col_name)]] == TRUE &
       df temp[[i - 1, as.character(col name)]] == TRUE &
       as.numeric(df_temp[[i, "date"]] - df_temp[[i - 1, "date"]]) >
time_dif){
        t < -t + 1
        df temp[[i,"transit no"]] <- t</pre>
```

```
} else {
       # do nothing
  }
  # append ssvid and transit no to create transit id
  df temp <- df temp %>%
    mutate(transit_id = if_else(!is.na(transit_no), str_c(ssvid,
as.character(transit_no), sep = "_"), as.character(NA)))
  # return full df or vector depending on specified output
  if(output == "df"){
    return(df temp)
  } else if(output == "vector"){
    return(df temp$transit id)
  } else {
    stop("Invalid output. Must be 'df' or 'vector'")
  }
}
Next define the list of ssvids to iterate through:
ssvid_list <- vt_atba_tracks %>% distinct(ssvid) %>% .$ssvid
names(ssvid list) <- ssvid list</pre>
Then iterate create transit_id() over ssvid_list using purrr::map().
# first, create a transit_id for each transit through the EEZ
# iterate the function create_transit_id over full ssvid_list using
purrr::map()
vt transits eez <- purrr::map(.x = ssvid list,
                          .f = create transit id,
                         df = vt atba tracks,
                         col_name = "inside_eez",
                         output = "df",
                         time dif = 7) %>%
  # bind the resulting list of dataframes together
  dplyr::bind_rows() %>%
  # next rename these new columns to include the suffix eez
  rename(transit id eez = transit id,
         transit_no_eez = transit_no)
# then create a transit_id for each transit through the ATBA
# iterate the function create transit id over full ssvid list using
purrr::map()
vt_transits_atba <- purrr::map(.x = ssvid_list,</pre>
                          .f = create transit id,
```

Alternatively, the processed data can be loaded locally here:

```
vt_transits <- readr::read_rds("data_production/data/vessel-traffic-eez-only-
transit_id_fixed_v4.rds")
```

For each transit_id_eez we then determined if the vessel:

- 1. passed through the ATBA
- 2. slowed below 0.2 knots while inside the ATBA

```
# which transits passed through the atba
transit_info <- vt_transits %>%
    group_by(transit_id_eez) %>%
    summarise(through_atba = sum(as.numeric(inside_atba)) > 0)

vt_transits <- vt_transits %>%
    merge(transit_info, by = "transit_id_eez", all.x = TRUE)

# which transits slowed while in the atba
transit_speed <- vt_transits %>%
    filter(inside_atba == TRUE) %>%
    group_by(transit_id_eez) %>%
    summarise(slowed_in_atba = min(speed_knots) <= 0.2)

vt_transits <- vt_transits %>%
    merge(transit_speed, by = "transit_id_eez", all.x = TRUE)
```

Which vessels made the most transits? First we summarised the number of transits made through the ATBA, per vessel, per year. Including year allows us to merge these data with the identity information associated with that vessel id that year.

```
group by(ssvid, year) %>%
  summarise(n transits = n distinct(transit id atba, na.rm = TRUE),
           .groups = "keep") # count the number of transit id atba values
vessels_by_n_transits
## # A tibble: 133 x 3
              ssvid, year [133]
## # Groups:
##
     ssvid
                year n_transits
##
     <chr>
               <int>
                          <int>
## 1 111111234 2021
                              1
                              1
## 2 200006639 2021
## 3 209447000 2020
                              1
## 4 209682000 2020
                              1
## 5 209854000 2020
                              1
## 6 210043000 2020
                              1
## 7 210655000 2020
                              1
## 8 212460000 2021
                              1
                              1
## 9 227498430 2021
## 10 227837860 2020
                              1
## # ... with 123 more rows
```

These data were uploaded to BigQuery as world-fishing-827.scratch_cian.atba_ssvids. The following query merges the data in vessels_by_n_transits with the best information available on vessel identity including the most commonly transmitted vessel name, flag, vessel class, and gross tonnage:

Alternatively, the queried data can be loaded locally here:

```
vessels_by_n_transits <-
readr::read_rds("data_production/data/vessels_by_n_transits_v2.rds")</pre>
```

After inspecting the data, there appeared to be a number of sailing vessels that passed through the ATBA as part of the Vendée Globe round-the-world race. The algorithm used to predict gross tonnage of vessels generally performs well, with a predictive score of 0.77 (see Kroodsma et al. 2018), but the training data didn't include long-haul sailing vessels such as these, and subsequently the algorithm may not perform as well for these vessels. Additionally, the risk of these vessels running aground and causing a serious environmental incident, such as that caused by the MS Oliva, is low. Therefore we excluded these vessels from further analysis:

First we created a list of ssvids which most commonly self-reported as Sailing, and then filtered out these ssvids from the transit data:

```
sailing_vessels <- vessels_by_n_transits %>%
  unnest(shiptype) %>%
  group_by(ssvid) %>%
  top_n(1, wt = count) %>%
  filter(value == "Sailing")
```

Looking at these vessels we could see that all of these vessels, with the exception of the LU HUANG YUAN YU 107 was flagged to FRA. The LU HUANG YUAN YU 107 is definitely not a sailing vessel, so the self-reported value in this case was not accurate.

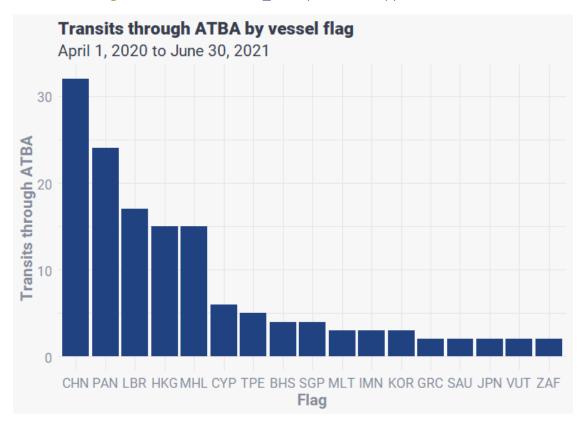
If we instead filtered for only French-flagged vessels we got a more conservative list of sailing vessels, and so this was the exclusion criteria we used:

```
sailing_vessels <- vessels_by_n_transits %>%
  unnest(shiptype) %>%
  group_by(ssvid) %>%
  top_n(1, wt = count) %>%
  filter(best_flag == "FRA")
```

So with that in mind, how many transits occurred before and after the implementation of the ATBA? To answer this we counted the number of distinct transit_id values:

```
# all transits between 01 Jan 2019 - 30 Jun 2020
vt_transits %>%
  filter(slowed_in_atba == FALSE,
         through_atba == TRUE,
         # exclude sailing vessels
         !ssvid %in% sailing_vessels$ssvid) %>%
  mutate(after atba = date >= ymd("2020-04-01"),
         month = date %>% floor_date("months")) %>%
  group_by(after_atba) %>%
  summarise(n_transits = n_distinct(transit_id_atba, na.rm = TRUE),
            n vessels = n distinct(ssvid, na.rm = TRUE))
## # A tibble: 2 x 3
##
     after_atba n_transits n_vessels
                    <int>
     <lgl>
                               <int>
##
## 1 FALSE
                       454
                                 416
## 2 TRUE
                                 123
                       125
# all transits limited to 12 months before/after the implementation of the
ATBA
# (01 Apr 2019 - 31 Mar 2020)
vt transits %>%
  filter(slowed_in_atba == FALSE,
         through_atba == TRUE,
         date %>% between(ymd("2019-04-01"), ymd("2021-03-31")),
         # exclude sailing vessels
```

```
!ssvid %in% sailing vessels$ssvid) %>%
  mutate(after atba = date >= ymd("2020-04-01"),
         month = date %>% floor_date("months")) %>%
  group by(after atba) %>%
  summarise(n transits = n distinct(transit id atba, na.rm = TRUE),
            n_vessels = n_distinct(ssvid, na.rm = TRUE))
## # A tibble: 2 x 3
     after_atba n_transits n_vessels
     <lgl>
                               <int>
##
                     <int>
                                 304
## 1 FALSE
                       327
## 2 TRUE
                        96
                                  96
Plot the number of transits per vessel flag:
# summarise the number of transits per flag
flag transit summary <- vt transits %>%
  filter(date >= ymd("2020-04-01"),
         through_atba == TRUE,
         slowed in atba == FALSE,
         # exclude sailing vessels
         !ssvid %in% sailing vessels$ssvid) %>%
  mutate(best_flag = best_flag %>% recode_factor("TWN" = "TPE")) %>%
  group by(best flag) %>%
  summarise(n_transits = n_distinct(transit_id_atba, na.rm = TRUE)) %>%
  # important for plotting - arrange in descending order by n transits
  arrange(desc(n transits))
# plot the number of transits per flag
vt transits %>%
  filter(date >= ymd("2020-04-01"),
         through_atba == TRUE,
         slowed in atba == FALSE,
         !is.na(best flag),
         # exclude sailing vessels
         !ssvid %in% sailing_vessels$ssvid) %>%
  group by(best flag) %>%
  summarise(n transits = n distinct(transit id atba)) %>%
  # reorder the factor levels of best flag according to the number of
transits per flag
  # useful for making a pretty plot
  mutate(best_flag = best_flag %>%
           recode_factor("TWN" = "TPE") %>%
           factor(levels = flag transit summary$best flag)) %>%
  ggplot() +
    geom col(aes(x = best flag, y = n transits), fill =
gfw_palette("chart")[1]) +
    labs(x = "Flag", y = "Transits through ATBA",
         title = "Transits through ATBA by vessel flag",
         subtitle = "April 1, 2020 to June 30, 2021") +
```



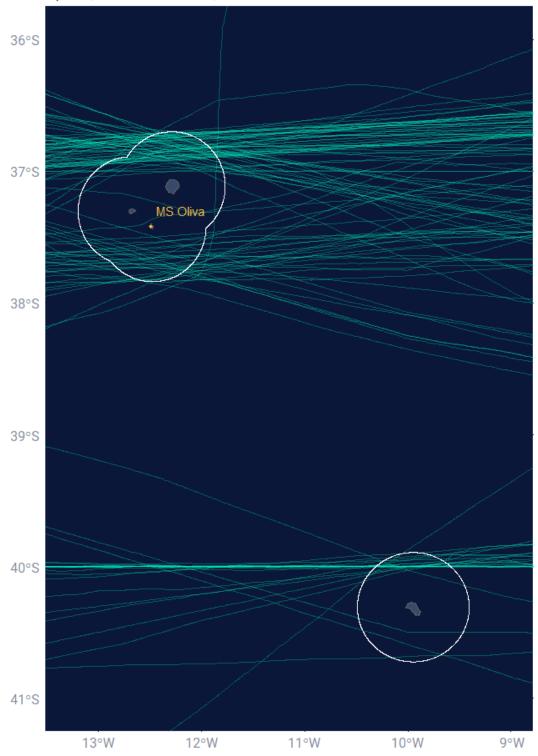
Number of transits by vessel class:

```
# summarise the number of transits per flag
vt transits %>%
  filter(date >= ymd("2020-04-01"),
         through_atba == TRUE,
         slowed in atba == FALSE,
         # exclude sailing vessels
         !ssvid %in% sailing_vessels$ssvid) %>%
  group by(best vessel class) %>%
  summarise(n_transits = n_distinct(transit_id_atba, na.rm = TRUE)) %>%
  arrange(desc(n_transits))
## # A tibble: 10 x 2
      best_vessel_class n_transits
##
##
      <chr>
                              <int>
## 1 cargo
                                 90
   2 squid_jigger
                                 20
```

```
## 3 specialized reefer
                                  4
## 4 tanker
                                  3
## 5 cargo_or_tanker
                                  2
                                  2
## 6 drifting longlines
## 7 fishing
                                  1
## 8 gear
                                  1
## 9 non fishing
                                  1
## 10 set_longlines
                                  1
Map the transits through the ATBA:
# create a dataframe of the coordinates of where the MS Oliva ran aground
ms oliva <- data.frame(name = "MV Oliva",</pre>
                       lon = -12.493
                       lat = -37.419)
# This code sets a bounding box for the map area
bounding atba <- fishwatchr::transform box(xlim = c(-13.3, -9),
                                           ylim = c(-41, -36),
                                           output crs = "+proj=longlat
+ellps=WGS84 +datum=WGS84 +no defs")
# use agplot2 to create a map of transits
vt transits %>%
  # filter data to only include transits after the ATBA was established
  filter(date >= ymd("2020-04-01"),
         through atba == TRUE,
         slowed_in_atba == FALSE,
         # exclude sailing vessels
         !ssvid %in% sailing_vessels$ssvid) %>%
  group_by(as.factor(transit_id_eez)) %>%
  arrange(timestamp) %>%
  ggplot() +
  geom_path(aes(x = lon, y = lat, group = transit_id_eez), colour =
gfw_palette("map_presence_dark")[3], alpha = 0.3) +
  geom_sf(data = atba_sf, colour = "white", linetype = 1, fill = NA) +
  geom_sf(data = tdc_sf, fill = gfw_palette("map_country_dark")[1]) +
  geom point(data = ms oliva, aes(x = lon, y = lat), shape = 18, colour =
gfw_palette("sand")[1]) +
  annotate("text", x = -12.2, y = -37.3, colour = gfw_palette("sand")[1],
label = "MS Oliva") +
  labs(title = "Vessel transits through Tristan da Cunha ATBA",
       subtitle = "April 1, 2020 to June 30, 2021") +
  theme gfw map cian() +
  theme(plot.title = element_text(size = 16),
        plot.subtitle = element_text(size = 14),
        axis.text = element text(size = 12),
        legend.title = element text(size = 14),
        legend.text = element_text(size = 12),
        legend.position = c(0.7, 0.95)) +
```

Vessel transits through Tristan da Cunha ATBA

April 1, 2020 to June 30, 2021



For additional context, we plotted the tracks of all squid jiggers that actually transited through the ATBA. First, we made a list of all squid jiggers that transited through the ATBA.

```
ssvid squid 2 <- vt transits %>%
  filter(slowed in atba == FALSE,
         through_atba == TRUE,
         best vessel class == "squid jigger") %>%
  distinct(ssvid) %>%
  pull(ssvid)
We then ran a modified version of the original query to select all of the port-to-port
positions from the squid jigger vessels in vt transits.
query 6 <- readr::read file(str c("queries",</pre>
"q tdc atba squid jigger transits fishing.sql", sep="/"))
Run the query:
squid_tracks_2 <- fishwatchr::gfw_query(query = query_6,</pre>
                                          run_query = TRUE,
                                          con = con)$data
Filter to only keep trips that transit through the ATBA:
squid_tracks_2 <- squid_tracks_2 %>%
  group_by(trip_id) %>%
  summarise(through atba = sum(inside atba) > 0) %>%
  merge(squid_tracks_2, by = "trip_id", all.y = TRUE) %>%
  filter(through_atba == TRUE)
Alternatively, the queried data can be loaded locally here:
squid_tracks 2 <-
readr::read rds("data production/data/squid jigger tracks full.rds")
Create a map of these tracks including locations of fishing activity:
# filter out trips that cross 180 longitude
# these are difficult to map
trip sum <- squid tracks 2 %>%
  group by(trip id) %>%
  summarise(keep = min(lon) > -120) %>%
  filter(keep == TRUE)
# set the bounding area for the map
bounding_3 <- fishwatchr::transform_box(xlim = c(-120, 180),
                                          ylim = c(-55, 50),
                                          output crs = "+proj=longlat
+ellps=WGS84 +datum=WGS84 +no defs")
# use gaplot to create the map
squid tracks 2 %>%
  filter(trip id %in% trip sum$trip id) %>%
  arrange(timestamp) %>%
```

ggplot() +

```
geom_path(aes(x = lon, y = lat, group = trip_id), colour =
gfw palette("tracks")[1], alpha = 0.2) +
    geom_sf(data = eez_tdc, fill = NA, colour = "white", size = 1) +
    fishwatchr::geom gfw land() +
    geom sf(data = atba_sf, colour = "red", linetype = 1, fill = NA) +
    geom_sf(data = tdc_sf, fill = gfw_palette("map_country_dark")[1]) +
    geom point(data = squid tracks 2 %>% filter(fishing hours > 0),
               aes(x = lon, y = lat),
               colour = gfw_palette("yellow")[1], size = 1, alpha = 0.2) +
    labs(title = "Squid jigger fishing vessels transiting through ATBA",
         subtitle = "Jan. 1, 2019 to June 30, 2021") +
    theme_gfw_map_cian() +
    theme(plot.title = element text(size = 20),
          plot.subtitle = element_text(size = 16),
          axis.text = element_text(size = 16),
          legend.title = element_text(size = 20),
          legend.text = element_text(size = 16)) +
    coord sf(xlim = c(bounding 3$box out[['xmin']],
bounding_3$box_out[['xmax']]),
             ylim = c(bounding_3$box_out[['ymin']],
bounding 3$box out[['ymax']]),
             crs = bounding_3$out_crs)
```

Squid jigger fishing vessels transiting through ATBA





Distance to shore

Here we used the sf (simple features) package to work out the distance to shore for every position within the ATBA. To save computing time we excluded all points outside of the ATBA. We created a row_id in vt_transits to merge results.

Create an sf object of points within the ATBA, and only include necessary columns to save computing time:

```
# create a row id col in vt transits for merging
vt transits <- vt transits %>%
  mutate(row_id = seq(1, nrow(vt_transits), length.out = nrow(vt_transits)))
# filter to just positions inside the atba
vt_atba_sf <- vt_transits %>%
  filter(inside atba == TRUE) %>%
  dplyr::select(row_id, lat, lon) %>%
  st_as_sf(coords = c('lon', 'lat'), crs = 4326)
sf::st_ditance(vt_atba_sf, tdc_sf) calculates the Euclidean distance (in metres)
between each point of vt atba sf and each feature of tdc sf (shapefile of the Tristan da
Cunha arhcipelago), returning a distance matrix. apply(1, min) then iterates through each
row of this matrix and selects the minimum distance for each row - i.e. the minimum
distance between each point of vt atba sf and the closest feature of tdc sf.
vt_atba_sf$dist_to_shore_m <- st_distance(vt_atba_sf, tdc_sf) %>%
  apply(1, min)
Merged back with vt transits:
vt_transits <- vt_transits %>%
  merge(vt_atba_sf %>% st_drop_geometry(),
        by = "row id", all.x = TRUE)
Converted distance from metres to kilometres and round to 2 decimal places:
vt transits <- vt transits %>%
  mutate(dist_to_shore_km = round(dist_to_shore_m/1000, 2))
```

Updated the list of vessels to include min distance to shore. First we calculated the min distance to shore for each transit, and then merged the results with vt_transits using transit_id_atba:

```
# minimum distance to shore for every transit passing through the atba
transit_dist <- vt_transits %>%
    # slowed_in_atba == FALSE key to eliminate non transits
filter(date >= ymd("2020-04-01"),
    !is.na(transit_id_atba),
    inside_atba == TRUE,
    slowed_in_atba == FALSE) %>%
group_by(transit_id_atba) %>%
```

```
summarise(min dist to shore km = min(dist to shore km, na.rm = TRUE))
# merge distances with vt transits
vt transits <- vt transits %>%
  merge(transit_dist, by = "transit_id_atba", all.x = TRUE)
Updated the list of transiting vessels:
vessels by dist <- vt transits %>%
  filter(date >= ymd("2020-04-01"),
         !is.na(transit id atba),
         through atba == TRUE,
         slowed in atba == FALSE) %>%
  group_by(ssvid) %>%
  summarise(min dist to shore km = min(min dist to shore km, na.rm = TRUE))
  merge(vessels_by_n_transits) %>%
  arrange(min dist to shore km, best flag)
# create a version that excludes sailing vessels
vessels by dist no sailing <- vessels by dist %>% filter(!ssvid %in%
sailing_vessels$ssvid)
```

Are vessels avoiding the ATBA?

What proportion of transits that passed through the EEZ also passed through the ATBA? Does this proportion change during the 12 months before and after the implementation of the ATBA?

```
# before ATBA
vt transits %>%
  filter(date %>% between(ymd("2019-04-01"), ymd("2020-03-31")),
         # exclude sailing vessels
        !ssvid %in% sailing_vessels$ssvid) %>%
  group by(transit id eez) %>%
  summarise(n transits = n distinct(transit id eez, na.rm = TRUE),
            through atba = n distinct(transit id atba, na.rm = TRUE) > 0) %>%
  summarise(n_transits_eez = sum(n_transits),
            n transits atba = sum(through atba),
            prop through atba = n transits atba/n transits eez)
## # A tibble: 1 x 3
##
     n_transits_eez n_transits_atba prop_through_atba
##
                                                <dbl>
              <int>
                             <int>
                                                0.988
## 1
                344
                                340
# after ATBA
vt transits %>%
  filter(date %>% between(ymd("2020-04-01"), ymd("2021-03-31")),
         # exclude sailing vessels
        !ssvid %in% sailing vessels$ssvid) %>%
```

```
group_by(transit_id eez) %>%
  summarise(n transits = n distinct(transit id eez, na.rm = TRUE),
            through_atba = n_distinct(transit_id_atba, na.rm = TRUE) > 0) %>%
  summarise(n transits eez = sum(n transits),
            n_transits_atba = sum(through_atba),
            prop_through_atba = n_transits_atba/n_transits_eez)
## # A tibble: 1 x 3
## n_transits_eez n_transits_atba prop_through_atba
              <int>
                              <int>
                                                <dbl>
##
## 1
                                103
                                                0.920
                112
```

Did vessel traffic (hours of vessel activity) through the EEZ and ATBA change after the ATBA was implemented?

```
# eez
vt transits %>%
  filter(inside_eez == TRUE,
         !ssvid %in% sailing_vessels$ssvid,
         date %>% between(ymd("2019-04-01"), ymd("2021-03-31"))) %>%
  mutate(after atba = date >= ymd("2020-04-01")) %>%
  group by(after atba) %>%
  summarise(total vessel hours = sum(hours, na.rm = TRUE))
## # A tibble: 2 x 2
     after_atba total_vessel_hours
     <lgl>
                             <dbl>
## 1 FALSE
                            17924.
## 2 TRUE
                             7205.
# atba
vt transits %>%
  filter(inside_atba == TRUE,
         !ssvid %in% sailing_vessels$ssvid,
         date %>% between(ymd("2019-04-01"), ymd("2021-03-31"))) %>%
  mutate(after atba = date >= ymd("2020-04-01")) %>%
  group by(after atba) %>%
  summarise(total vessel hours = sum(hours, na.rm = TRUE))
## # A tibble: 2 x 2
     after atba total vessel hours
    <lgl>
                             <dbl>
##
## 1 FALSE
                             5754.
## 2 TRUE
                             3107.
```

Port to port tracking

Using the same methodology, as described above, to identify likely port visits, we wanted to identify the port that each vessel was travelling from and to when it transited through the ATBA. To do this, we used the trip id associated with every trip that included a transit

through the ATBA, to look up the name of the ports visited before and and after each transit.

First, we created a list of the trip_ids for transits passing through the ATBA, post-implementation:

Then we defined a query to identify the names and locations of ports visited before and after transiting through the ATBA:

Alternatively, the queried data can be loaded locally here:

```
transit ports <- readr::read rds("data production/data/transit ports c4.rds")</pre>
```

con = con)\$data

To make a map of origin and destination ports we needed to reformat the transit_ports dataframe into a long version, with port label, lon, lat, and number of voyages starting and ending there. Some ports had multiple anchorage points associated with them. In these cases we grouped the anchorages by port_label and averaged the lon and lat coordinates.

Here we created a map with points showing the locations of each port and the points sized in proportion to the number of transits beginning or ending there:

```
# set the bounding are for the map
bounding ports <- fishwatchr::transform box(xlim = c(min(ports long$lon) - 1,
                                                     max(ports long$lon) +
1),
                                            ylim = c(min(ports_long$lat) - 1,
                                                     max(ports long$lat) +
1),
                                            output crs = "+proj=longlat
+ellps=WGS84 +datum=WGS84 +no defs")
# create the map using gaplot2
ports long %>%
  # here we wanted to summarise the number of pots starting OR ending in each
  # so we used group_by(port_label), excluding the origin/destination
category
  group by(port label) %>%
  summarise(n voyages = sum(n voyages), .groups = "keep",
            lon = mean(lon),
            lat = mean(lat)) %>%
    ggplot() +
  fishwatchr::geom gfw eez(theme = 'dark') +
  fishwatchr::geom gfw land(theme = 'dark') +
  geom_point(aes(x = lon, y = lat, size = n_voyages), alpha = 0.5, colour =
gfw_palette("map_reception_light")[4]) +
  scale size continuous(name = "No. visits") +
  geom sf(data = atba sf, colour = gfw palette("diverging")[1], linetype = 1,
fill = NA) +
  geom sf(data = tdc sf, fill = gfw palette("map country dark")[1]) +
  labs(title = "Ports visited by vessels transiting through ATBA",
       subtitle = "April 1, 2020 to June 30, 2021") +
```

Ports visited by vessels transiting through ATBA

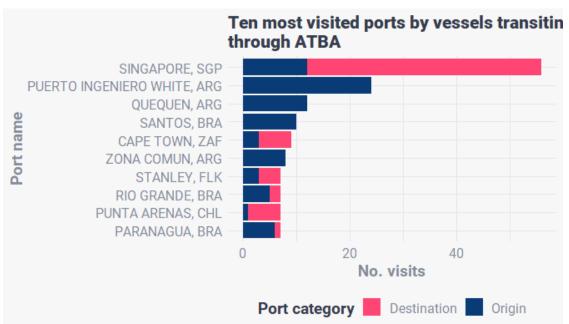




Next we plotted the number of transits that started or ended in the 10 ports most frequently visited by transiting vessels:

```
# create a summary table of the top 10 most visited ports
# useful for plotting
ports_sum_top10 <- ports_long %>%
    group_by(port_label) %>%
    summarise(n_voyages = sum(n_voyages)) %>%
    top_n(10, n_voyages) %>%
    arrange(n_voyages)
# create a horizontal barplot coloured by the number of transits that started or ended in each port
ports_long %>%
```

```
filter(port label %in% ports sum top10$port label) %>%
  ggplot(aes(y = port label %>% factor(levels = ports sum top10 %>%
pull(port_label)),
             x = n \text{ voyages, fill } = \text{cat})) +
  geom_col() +
  scale_fill_manual(values = gfw_palette('map_reception_light', type =
"discrete")[c(4,2)],
                    name = "Port category",
                    labels = c("Destination", "Origin")) +
  labs(x = "No. visits",
       y = "Port name",
       title = "Ten most visited ports by vessels transiting\nthrough ATBA")
  theme_gfw_cian() +
  theme(axis.title = element_text(size = 14),
        axis.text = element text(size = 12),
        plot.title = element_text(size = 16),
        legend.title = element text(size = 14),
        legend.text = element text(size = 12),
        legend.position = "bottom")
```



We created a wide table of transits ending and beginning in each port to be included as an appendix in the final report.

Gaps in AIS transmission

To understand how gaps in AIS transmission may affect our ability to detect transiting vessels, we used a dataset of suspected AIS disabling events. The methodology is currently under peer review, but in short, this dataset applies a classification model to gap events longer than 12 hours to identify potential disabling of AIS devices. This model accounts for the quality of satellite reception, the location and duration of a gap event, and the rate of AIS transmission before and after the gap occurred.

This query pulls all AIS gap events longer than 12 hours near Tristan da Cunha (between - 25° and 5° longitude, and -25° and -50° latitude) between Jan. 2019 and June 2021. The query includes only gap events longer than 12 hours as satellite reception can vary a lot in shorter time periods. We used a minimum distance to shore of 10 nautical miles to exclude vessels stationary vessels at Tristan. We only kept AIS gap events where the gap started or ended in a location from where 5 or more locations had been transmitted to exclude gaps occurring in low reception areas. Finally, based on previous analysis, we considered gap events where the vessel had transmitted 19 or more AIS positions in the 12 hours previous to a 12 hour gap to represent potential disabling of AIS devices.

Alternatively, the queried data can be loaded locally here:

```
gap_events <-
readr::read_rds("data_production/data/ais_gaps_intentional.rds")</pre>
```

We reformatted the data into a structure including a column for:

- gap id: the identity code assigned to each gap event
- ssvid: unique vessel identifier
- gap_hours: duration of the gap event in hours
- gap_start: date and timestamp of when the gap event begun (i.e. when the AIS device was turned off)
- gap_end: date and timestamp of when the gap event ended (i.e. when the AIS device was turned back on)
- vessel tonnage gt: gross tonnage of the vessel
- vessel_class: best known vessel class in this dataset all vessels are fishing vessel
- gap_event: off (AIS turned off) or on (AIS device turned on)
- lon: longitude in decimal degrees
- lat: latitude in decimal degrees

Note, that with the exception of gap_event, lon, and lat, the data for each gap_id are duplicated, with one row for the on and one row for the off position. This is for mapping purposes, and to avoid double counting gaps, we use n_distinct(gap_id) to count the number of distinct values of gap_id.

Which gaps crossed the EEZ? Here we converted transits into spatial objects and use the spatial function sf::st_intersects() to return a TRUE/FALSE column for which transits intersected with the EEZ

```
# convert transits to a spatial linestring object
gaps_sf <- gap_events_long %>%
    st_as_sf(coords = c('lon', 'lat'), crs = 4326) %>%
    group_by(gap_id) %>%
    summarise(do_union = FALSE) %>%
    st_cast('LINESTRING')

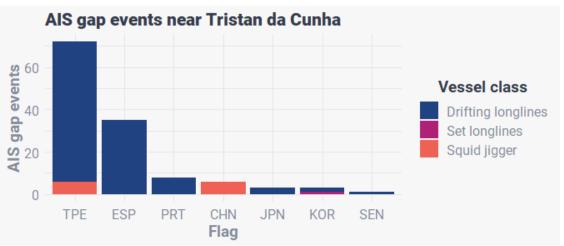
# use sf::st_intersects to return TRUE/FALSE for each transit that crossed the EEZ
gaps_sf$crosses_eez <- st_intersects(gaps_sf, eez_tdc, sparse = FALSE) %>%
as.logical()

# merge this information to the gap_events_long dataframe using gap_id
gap_events_long <- merge(
    x = gap_events_long,
    y = gaps_sf %>% st_drop_geometry(),
    by = "gap_id",
    all.x = TRUE
)
```

How many gap events were detected by vessels belonging to each vessel class and vessel flag?

```
# summarise gap events per flag and vessel class
# arrange in descending order of number of gaps
# this allows us to arrange the vessel flags in the next plot by number of
gap events
```

```
gap summary <- gap events %>%
  group by(flag, vessel class) %>%
  summarise(n_gaps = n_distinct(gap_id),
            gap duration = mean(gap hours)) %>%
  arrange(desc(n_gaps))
# plot the number of gap events per flag as a bar plot
# colour each barplot by vessel category
gap summary %>%
  mutate(flag = flag %>%
           factor(levels = distinct(gap_summary, flag) %>%
                    pull(flag)) %>%
                    recode factor("TWN" = "TPE")) %>%
ggplot() +
    geom\ col(aes(x = flag, y = n gaps, fill = vessel class)) +
    scale_fill_manual(values = gfw_palette("chart")[c(1,3,5)],
                      name = "Vessel class",
                      labels = c("Drifting longlines", "Set longlines",
"Squid jigger")) +
    labs(x = "Flag",
         y = "AIS gap events",
         title = "AIS gap events near Tristan da Cunha") +
    theme_gfw_cian() +
    theme(plot.title = element_text(size = 16),
          plot.subtitle = element text(size = 14),
          axis.title = element text(size = 14),
          axis.text = element text(size = 12),
          legend.title = element_text(size = 14),
          legend.text = element_text(size = 12))
     AIS gap events near Tristan da Cunha
```



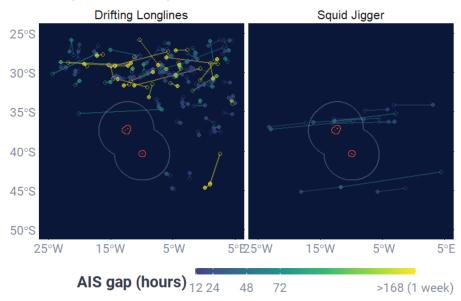
Map of AIS gap events by fishing vessels, faceted by vessel class (excluding set_longlines):

```
# create vessel category labels for labelling map facets
vcat_labs <- c(squid_jigger = "Squid Jigger", drifting_longlines = "Drifting
Longlines")</pre>
```

```
# set bounding area
bounding 2 <- fishwatchr::transform box(xlim = c(-25, 5),
                                        ylim = c(-50, -25),
                                        output crs = "+proj=longlat
+ellps=WGS84 +datum=WGS84 +no defs")
# map of gaps
gap_events_long %>%
  # create a new column gap hours alt that associates a gap duration with the
off point
  # this allows us to map the colour fill of the point to the gap duration
  mutate(gap_hours_alt = if_else(gap_event == "off", gap_hours,
as.numeric(NA))) %>%
  filter(vessel_class != "set_longlines") %>%
  ggplot() +
  geom path(aes(x = lon, y = lat, colour = gap hours, group = gap id), size =
1, alpha = 0.4) +
  geom point(aes(x = lon, y = lat, colour = gap_hours, fill = gap_hours_alt),
size = 2, shape = 21, alpha = 0.6) +
  fishwatchr::geom_gfw_eez(lwd = 1) +
  geom_sf(data = atba_sf, colour = gfw_palette("primary")[1], linetype = 1,
fill = NA) +
  geom sf(data = tdc sf, fill = gfw palette("map country dark")[1]) +
  facet_grid(~ vessel_class,
             labeller = labeller(vessel class = vcat labs)) +
  labs(title = "AIS gap events near Tristan da Cunha",
       subtitle = "Jan. 1, 2019 to June 30, 2021") +
  scale_colour_viridis_c(name = "AIS gap (hours)",
                         begin = 0.2,
                         end = 1.0,
                         option = "viridis",
                         limits = c(12, 168),
                         oob = scales::squish,
                         breaks = c(12, 24, 48, 72, 168),
                         labels = c("12", "24", "48", "72", ">168 (1 week)"))
  scale_fill_viridis_c(name = "AIS gap (hours)",
                         begin = 0.2,
                         end = 1.0,
                         option = "viridis",
                         limits = c(12, 168),
                         oob = scales::squish,
                         breaks = c(12, 24, 48, 72, 168),
                         labels = c("12", "24", "48", "72", ">168 (1 week)"),
                       na.value = NA) +
  scale_alpha_manual(values = c(0, 1)) +
  scale x continuous(breaks = c(-25, -15, -5, 5)) +
  guides(fill = "none", alpha = "none") +
  theme gfw map cian() +
```

AIS gap events near Tristan da Cunha

Jan. 1, 2019 to June 30, 2021



Looking at this map we can see that the straight-line interpolations between off and on positions for a number of AIS gap events traversed Tristan da Cunha's EEZ. Most of these gap events were associated with squid jigger fishing vessels. Next, we wanted to look at the extended tracks of these vessels to assess whether these gap events were atypical of normal vessel activity.

```
# create a list of vessel ids associated with squid jigger fishing vessels
# with AIS gap events
ssvid_squid <- gap_events %>%
  filter(vessel_class == "squid_jigger") %>%
  distinct(ssvid) %>%
  pull(ssvid)
```

Tweak my original track query to pull the above tracks for 2019-2020 from the pipe_production_YYYYMMDD_fishing table

```
query_9 <- readr::read_file(str_c("queries",
    "q_tdc_atba_squid_jigger_voyages_gaps.sql", sep="/"))</pre>
```

```
Run the query:
squid_tracks <- fishwatchr::gfw_query(query = query_9,</pre>
                                     run_query = TRUE,
                                     con = con)$data
Alternatively, the gueried data can be loaded locally here:
squid tracks <-
readr::read_rds("data_production/data/squid_jigger_tracks_gaps.rds")
Ouerv all the gap events along these tracks for these 10 vessels:
query 10 <- readr::read file(str c("queries",</pre>
"q tdc atba squid jigger gap events.sql", sep="/"))
Run the query:
squid_gaps <- fishwatchr::gfw_query(query = query_10,</pre>
                                      run query = TRUE,
                                      con = con)$data
Alternatively, the queried data can be loaded locally here:
squid gaps <- readr::read rds("data production/data/ais gaps squid.rds")</pre>
Create a map of these tracks with all associated gap events:
# filter out trips that cross 180° longitude
# these are complicated to map
trip_sum <- squid_tracks %>%
  group_by(trip_id) %>%
  summarise(keep = min(lon) > -120) %>%
  filter(keep == TRUE)
# set bounding area
bounding 3 <- fishwatchr::transform box(xlim = c(-120, 180),
                                          ylim = c(-55, 50),
                                          output_crs = "+proj=longlat
+ellps=WGS84 +datum=WGS84 +no defs")
# map tracks and gap events
squid_tracks %>%
  # keep only tracks that don't cross 180° longitude
  filter(trip id %in% trip sum$trip id) %>%
  arrange(timestamp) %>%
  ggplot() +
    geom path(aes(x = lon, y = lat, group = trip id), colour =
gfw palette("tracks")[1], alpha = 0.2) +
    geom_sf(data = eez_tdc, fill = NA, colour = "white", size = 1) +
    fishwatchr::geom gfw land() +
    geom_sf(data = atba_sf, colour = "red", linetype = 1, fill = NA) +
```

```
geom_sf(data = tdc_sf, fill = gfw_palette("map_country_dark")[1]) +
# add gap events as points
geom_point(data = squid_gaps, aes(x = off_lon, y = off_lat), colour =
gfw_palette("orange")[1], size = 1, alpha = 0.4) +
    labs(title = "AIS gap events of squid jigger fishing vessels",
        subtitle = "Jan. 1, 2019 to June 30, 2021") +
    theme_gfw_map_cian() +
    theme(plot.title = element_text(size = 16),
        plot.subtitle = element_text(size = 14),
        axis.text = element_text(size = 12)) +
    coord_sf(xlim = c(bounding_3$box_out[['xmin']],
        bounding_3$box_out[['xmax']]),
        ylim = c(bounding_3$box_out[['ymin']],
        bounding_3$box_out[['ymax']]),
        crs = bounding_3$out_crs)
```

AIS gap events of squid jigger fishing vessels

Jan. 1, 2019 to June 30, 2021

