

# PhD

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# Abstract

## Acknowledgments

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# Chapter 1

## Notes

### 1.1 Basics of elliptic structures

#### 1.1.1 Elliptic functions and theta functions

**Definition 1.1.1.** An elliptic curve  $E$  (over  $\mathbb{C}$ ) is a smooth projective curve or Riemann surface of genus 1. These are of the form  $E \cong \mathbb{C}/\Lambda$  for  $\Lambda = \mathbb{Z} \oplus \tau\mathbb{Z}$  for  $\tau \in \mathbb{H} = \{z \in \mathbb{C} \mid \Im z > 0\}$ . This does not faithfully parametrize elliptic curves, but  $M_{1,1} := \mathbb{H}/SL_2(\mathbb{Z})$  does, where  $SL_2(\mathbb{Z})$  acts by Möbius transformations. Algebraically, every elliptic curve may be brought into the form

$$Y^2Z = 4X(X - Z)(X - \lambda Z),$$

where  $\lambda$  is the  $\lambda$ -invariant of  $E$ , which is also not faithful up to an action of  $SL_2(\mathbb{Z})/\Gamma(2) \cong S_3$ . The invariant combination

$$j = 2^8 \frac{(\lambda^2 - \lambda + 1)^3}{\lambda^2(\lambda - 1)^2}$$

is the  $j$ -invariant, yielding a bijection  $j : M_{1,1} \rightarrow \mathbb{C}$ . Stacky points are at  $j = 0$  and  $j = 12^3 = 1728$ , where  $\Lambda$  becomes the lattice of the Eisenstein and Gauss integers, respectively, with automorphism groups  $\mathbb{Z}_2$  and  $\mathbb{Z}_3$  (plus the usual involution  $Y \mapsto -Y$ , giving  $\mathbb{Z}_4$  and  $\mathbb{Z}_6$ ). There is an isomorphism

$$\mathbb{C}/\Lambda \rightarrow E(\mathbb{C}), \quad z + \Lambda \mapsto \begin{cases} [\wp(z|\tau) : \wp'(z|\tau) : 1], & z \notin \Lambda \\ [0 : 1 : 0], & z \in \Lambda. \end{cases}$$

An elliptic function is a meromorphic function  $f : \mathbb{C} \rightarrow \mathbb{C}$  that is  $\Lambda$ -periodic such that it descends to a meromorphic function on  $E$ . Theta functions are sections of certain line bundles over  $E$ , which may be represented as entire functions  $\vartheta : \mathbb{C} \rightarrow \mathbb{C}$  satisfying

$$\vartheta(z + 1|\tau) = \vartheta(z|\tau), \quad \vartheta(z + \tau|\tau) = \exp(-\pi i \tau - 2\pi i z) \vartheta(z|\tau)$$

This line bundle has in fact only one section up to a prefactor, and this is the Jacobi theta function.

### 1.1.2 Belavin's elliptic $R$ -matrix

[ES98]

**Definition 1.1.2.** Let  $\xi = \exp(2\pi i/\ell)$ . Define a projectively flat rank  $\ell$  vector bundle on  $E = \mathbb{C}/\Lambda$  by the two monodromies

$$A = \begin{pmatrix} 1 & 0 & \cdots & 0 \\ 0 & \xi & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \xi^{\ell-1} \end{pmatrix}, \quad B = \exp(-\pi i \frac{\ell-1}{\ell}) \begin{pmatrix} 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 \\ 1 & 0 & \cdots & 0 \end{pmatrix},$$

satisfying  $A^\ell, B^\ell = 1$  and  $BA = \xi AB$  giving a flat/holomorphic  $PGL_\ell$ -bundle  $P \rightarrow E$ . Belavin's elliptic  $R$ -matrix is the unique  $R$ -matrix satisfying the QYBE and unitarity as well as

- (i)  $R^B(z)$  has simple poles only at  $\eta + \Lambda$ ,
- (ii)  $R^B(0) = P$ ,
- (iii)  $R^B(z+1) = A_1 R^B(z) A_1^{-1} = A_2^{-1} R^B(z) A_2$ ,  $R^B(z+\tau) = B_1 R^B(z) B_1^{-1} = B_2^{-1} R^B(z) B_2$ .

In particular, the  $R$ -matrix lives on the  $\ell$ -fold cover  $\bar{E} = \mathbb{C}/\ell\Lambda$ , where  $P$  is trivialized. We may view the  $R$ -matrix as an element of

$$\text{End } \mathbb{C}^\ell \otimes \Gamma(E, P \ltimes \text{End } \mathbb{C}^\ell),$$

where  $\Gamma(E, P \ltimes \text{End } \mathbb{C}^\ell)$  are meromorphic sections of the associated bundle  $P \ltimes \text{End } \mathbb{C}^\ell$ .

### 1.1.3 RTT representations

[ES98]

**Definition 1.1.3.** Define the category  $\mathcal{C}_B$  whose objects are vector spaces  $V$  equipped with an invertible element

$$L(z) \in \text{Mat}_\ell(\text{End } V \otimes \mathcal{M}(\bar{E})),$$

thus having values in  $\text{End } \mathbb{C}^\ell \otimes \text{End } V$ , satisfying

$$R_{12}^B(z-w) L_1(z) L_2(w) = L_2(w) L_1(z) R_{12}^B(z-w).$$

Morphisms are linear maps  $f : V \rightarrow V'$  satisfying  $\varphi L(z) = L'(z) \varphi$ . There is a tensor structure via

$$(V, L(z)) \otimes (V', L'(z)) := (V \otimes V', L_{12}(z) L'_{13}(z))$$

and finite-dimensional objects  $(V, L(z))$  have duals  $(V^*, L^*(z))$  with  $L^*(z) = (L(z)^{-1})^{t_2}$ . Belavin's  $R$ -matrix ensures the existence of a vector representation  $(\mathbb{C}^\ell, R^B(z))$ .

### 1.1.4 Elliptic Drinfeld functor

In order to define an elliptic Drinfeld functor, we first need an analog of the Yangian representation on  $\mathbb{C}^\ell[y]$ . For fixed  $z$ , we can view the coefficients of  $R^B(z-y)$  as meromorphic  $\text{End } \mathbb{C}^\ell$ -valued functions  $f_{ij}(y)$  with at most a simple pole at  $z - \eta + \Lambda$ . They satisfy  $f_{ij}(y+1) = \text{Ad}_A^{-1} f_{ij}(y)$  and  $f_{ij}(y+\tau) = \text{Ad}_B^{-1} f_{ij}(y)$  and are thus meromorphic sections of the adjoint bundle  $\text{Ad } P$ . These naturally act on sections of the bundle associated to  $\mathbb{C}^\ell$ . Let us abbreviate the space of such sections as

$$\Theta := \{f : \mathbb{C} \rightarrow \mathbb{C}^\ell \text{ meromorphic} \mid f(y+1) = A^{-1}f(y), f(y+\tau)B^{-1}f(y)\}.$$

We obtain an RTT representation on  $\Theta$ . More generally, we may define

$$L_N(z) := R_{01}^B(z-y_1) \cdots R_{0N}^B(z-y_N)$$

whose coefficients act on the space

$$\Theta_N := \{f : \mathbb{C}^N \rightarrow (\mathbb{C}^\ell)^{\otimes N} \text{ meromorphic} \mid f(y_i+1) = A_i^{-1}f(y_i), f(y_i+\tau)B_i^{-1}f(y_i)\}.$$

These are the sections of a vector bundle  $V_N \rightarrow E^N$ , which can be pulled back to the ( $\eta$ -deformed) configuration space of points of  $E$  or even on  $M_{1,1+N}$  such that the  $R$ -matrix allows us to put an RTT representation on its sections.

There is a commuting action of  $S_N$  from the right on  $\Theta_N$  via  $R$ -matrices:  $(i \ j) \mapsto R_{ij}^B(y_i - y_j)$ , as well as sections of the structure sheaf of the configuration space of points on  $E$ . Together, these form a generalization of the degenerate affine Hecke algebra. If these sections of the structure sheaf are replaced by the theta functions of [Has95], we may let the elliptic difference operators act. This space is

$$Th^l = \{f : \mathbb{C}^N \rightarrow \mathbb{C} \text{ holomorphic} \mid f(y+e_i) = f(y), f(y+\tau e_i) = \exp(-\pi i l \tau - 2\pi i l y_i) f(y)\}.$$

Then the  $S_N$ -invariant subspace is spanned by the  $\binom{N+l}{l}$   $\hat{\mathfrak{gl}}_N$ -characters of level  $l$ . This defines a line bundle  $L$  on  $E^N$ .

All in all, we may twist the vector bundle  $V_N$  by  $L$ , obtaining  $V_N \otimes L \rightarrow E^N$  and we have an action of  $S_N$  giving the descent data for a vector bundle  $W$  on the quotient stack  $E^N // S_N$ . Its space of meromorphic sections gives an object in  $\mathbb{C}_B$  via  $L_N(z)$ . This defines a functor from quasi-coherent modules on  $E^N // S_N$  to  $\mathbb{C}_B$ .

Define the space

$$\Theta_{\ell,N}^l = \{f : \mathbb{C}^N \xrightarrow{\text{mer.}} (\mathbb{C}^\ell)^{\otimes N} \mid f(y_i+1) = A_i^{-1}f(y_i), f(y_i+\tau) = \exp(-\pi i l \tau - 2\pi i l y_i) B_i^{-1}f(y_i)\}.$$

These are sections of a vector bundle on  $E^N$  and we have actions

$$E(\mathfrak{gl}_\ell) \curvearrowright \Theta_{\ell,N}^\bullet \curvearrowright S_N \ltimes Th^\bullet$$

via  $L_N(z)$ , permutations acting via  $R$ -matrices, and theta functions acting by scalar multiplication. This is graded by the level  $l$ . We now want to compute  $\Theta_{\ell,N}^l \otimes_{S_N \ltimes Th^l} Th^l$ . This is done by projecting out the action of  $S_N$  on  $\Theta_{\ell,N}^\bullet$ . This is the Hilbert space of the elliptic spin RS model, you might call it the space of non-abelian characters of  $A_{N-1}^{(1)}$  graded by level. Then we let Ruijsenaars difference operators act after Hasegawa. The reason this also acts on the sections of the vector bundle is the existence of a connection.

### 1.1.5 Generalized Schur-Weyl duality

In general, we would like to construct a Schur-Weyl duality for any bundle of conformal blocks for any genus. Braid/Hecke generators are obtained as monodromies along the configuration space, which become  $R$ -matrices, the coordinates become one set of generators and tangent vectors give a second set of generators. For genus zero, this gives the Schur-Weyl duality between the loop Yangian and the degenerate double affine Hecke algebra, while for genus one, this gives a Schur-Weyl duality between a degenerate elliptic double affine Hecke algebra and a loop elliptic quantum group  $LE(\mathfrak{gl}_\ell)$ .

Instead of coordinates plus tangent vectors, the generators can also come from the product of two surfaces, going into 4d CS theory.

## 1.2 Loop Yangian

### 1.2.1 As quantization of rational spin RS Poisson algebra

[AF98]

The Hamiltonian reduction in the classical case is done as follows: Start with  $(A, g, S) \in \mathfrak{gl}_N^* \times GL_N \times \mathfrak{gl}_N^*$  and factorize  $S_{ij} = \sum_\alpha a_i^\alpha b_j^\alpha$ , defining  $S_{ij}^{\alpha\beta} := a_i^\alpha b_j^\beta$ , where  $\alpha, \beta$  can range in  $1, \dots, \ell$ . Then

$$T^{\alpha\beta}(z) = \delta^{\alpha\beta} + \text{tr} \frac{S^{\alpha\beta}}{z - A} = \delta^{\alpha\beta} + \sum_{n \geq 0} T_n^{\alpha\beta} z^{-n-1}, \quad T_n^{\alpha\beta} = \text{tr} A^n S^{\alpha\beta}$$

generates the classical Yangian. Letting  $J_n^{\alpha\beta} := \text{tr} g^n S^{\alpha\beta}$ , we define

$$J^{\alpha\beta}(z) = \sum_{n=-\infty}^{\infty} J_n^{\alpha\beta} z^{-n-1},$$

which generates the classical loop algebra. On the reduced phase space, they are also given by

$$J_n^{\alpha\beta} = \sum_{ij} (\mathbf{L}^{n-1})_{ij} \mathbf{a}_j^\alpha \mathbf{c}_i^\beta,$$

where  $\mathbf{L}, \mathbf{a}, \mathbf{c}$  are the invariant versions of  $L = TgT^{-1}$ ,  $a$ , and  $c$  ( $T$  begin the diagonalizer for  $A$ ). This makes it clear how the Lax matrix corresponds to the monodromy around a loop. Thus, it



is known that

$$J_1^{\alpha\beta} = \sum_i S_i^{\beta\alpha}, \quad S_i^{\alpha\beta} = \mathbf{c}_i^\alpha \mathbf{a}_i^\beta, \quad \mathbf{c}_i^\alpha = \sum_\beta S_i^{\alpha\beta}, \quad \mathbf{a}_i^\alpha = \frac{S_i^{\beta\alpha}}{\sum_\gamma S_i^{\beta\gamma}}$$

Recall that the loop Yangian  $LY(\mathfrak{gl}_\ell)$  is Schur-Weyl dual to the degenerate double affine Hecke algebra  $\ddot{H}_N$  and that the center of the Yangian  $Y(\mathfrak{gl}_\ell)$  generated by the quantum determinant gives Hamiltonians for the quantum trigonometric spin CM model, while the center of the loop algebra  $L(\mathfrak{gl}_\ell)$  gives Hamiltonians for the quantum rational spin RS model. This gives natural quantizations to  $T_n^{\alpha\beta}$  and  $J_n^{\alpha\beta}$ . The formula for  $J_1^{\alpha\beta}$  suggests the quantization rule

$$S_i^{\alpha\beta} \rightarrow e_i^{\alpha\beta} \otimes X_i,$$

where  $e_i^{\alpha\beta}$  is a matrix unit acting on the  $i$ th tensorand and  $X_i$  is the  $i$ th Laurent generator of  $\ddot{H}_N$ . The following Poisson rules remain to be checked:

$$\{S_i^{\alpha\beta}, S_j^{\mu\nu}\} = \frac{1}{y_i - y_j} (S_i^{\mu\beta} S_j^{\alpha\nu} + S_i^{\alpha\nu} S_j^{\mu\beta}) - \frac{\delta^{\beta\mu}}{y_i - y_j + \eta} (S_i S_j)^{\alpha\nu} + \frac{\delta^{\alpha\nu}}{y_j - y_i + \eta} (S_j S_i)^{\mu\beta}$$

and  $\{y_i, S_j^{\alpha\beta}\} = S_j^{\alpha\beta} \delta_{ij}$ . This second rule follows directly, the first is very non-trivial and should be checked using Mathematica. The first term seems unusual, but the last two terms look like the usual commutation relations in  $\mathfrak{gl}_\ell$ .

### 1.2.2 Fock space representation

[Kod16]

We construct the level one Fock space. Let  $U = \mathbb{C}^\ell[X^{\pm 1}]$ . Note that we have an isomorphism

$$(\mathbb{C}^\ell)^{\otimes N} \otimes_{S_N} \mathbb{C}[X_1^{\pm 1}, \dots, X_N^{\pm 1}] \cong \bigwedge^N U, \quad X_1^{m_1} \dots X_N^{m_N} \otimes (e_{j_1} \otimes \dots \otimes e_{j_N}) \mapsto e_{j_1} X^{m_1} \wedge \dots \wedge e_{j_N} X^{m_j}.$$

This clearly has an action of the affine Yangian by Schur-Weyl duality. Note that we recover the trigonometric Calogero-Moser system. The Fock space is obtained by the inverse limit over  $N$  respecting a certain grading.

We clearly have an  $R$ -matrix  $R(y_1 - y_2) \in \text{End}(U^{\otimes 2})$  acting via matrix-differential operators. This should generalize to an  $R$ -matrix in  $\text{End}((\bigwedge^N U)^{\otimes 2})$ . If the affine Yangian acts faithfully, we may obtain an RTT presentation this way.

### 1.2.3 Conjectural presentation from 4d CS

Consider 4d CS theory on  $\mathbb{P}^1 \times S^1 \times [0, 1]$ . Wilson lines at constant  $z \in \mathbb{P}^1$  can be represented pictorially on a 2d surface with a seam, giving the cylinder after gluing. The Yangian gives the 2-Hilbert space for  $D^\times \times *$  and the relations can be reconstructed from lines on a cylinder: The  $R$ -matrix is a crossing of two normal lines labeled by the vector representation of  $\mathfrak{gl}_\ell$ , the  $T$ -matrix is a crossing of a normal line and a wavy line. The normal line crossing the seam

gives the twist matrix  $g$  parametrizing the background  $GL_\ell$ -principal bundle (Maybe add  $e^{h\partial}$ ). A wavy line crossing the seam gives an additional element  $A(z)$ , and we can derive an analog of the RTT relation:

$$gT(z-y)A(z) = A(z)T(z-y)g.$$

Writing

$$T(z-y) = \sum_{m=0}^{\infty} T^{(m)}(z-y)^{-m-1} = \sum_{m=0}^{\infty} \sum_{k=m+1}^{\infty} \frac{(k-m) \cdots (k-1)}{m!} T^{(m)} y^{k-m-1} z^{-k}$$

and

$$A(z) = \sum_{n=-\infty}^{\infty} a^{(n)} z^{-n-1}$$

gives

$$\sum_{m=0}^{\infty} \sum_{k=m+1}^{\infty} \sum_{n=-\infty}^{\infty} \frac{(k-m) \cdots (k-1)}{m!} g T^{(m)} a^{(n)} y^{k-m-1} z^{-k-n-1} = \sum_{m=0}^{\infty} \sum_{k=m+1}^{\infty} \sum_{n=-\infty}^{\infty} \frac{(k-m) \cdots (k-1)}{m!} a^{(n)} T^{(m)} g y^{k-m-1} z^{-k-n-1}$$

which would imply  $gT^{(m)}a^{(n)} = a^{(n)}T^{(m)}g$ , so the coefficients satisfy

$$\gamma_i t_{ij}^{(m)} a^{(n)} = a^{(n)} t_{ij}^{(m)} \gamma_j.$$

Hence we might think of the twist as a kind of  $R$ -matrix commuting  $A(z)$  and  $T(z-y)$ . We have the consistency condition  $R(z)(g \otimes g) = (g \otimes g)R(z)$  plus invertibility.  $A(z)$  also has to be invertible.

There is the Gauss decomposition  $T(z) = F(z)H(z)E(z)$  constructed from quantum minors with diagonal  $H(z)$ . The coefficients are  $f_{ij}(z), h_i(z), e_{ij}(z)$ . Let  $e_i(z) = e_{i,i+1}(z)$  and  $f_i = f_{i+1,i}(z)$ . Then we can present the Yangian in Drinfeld form using the coefficients of  $f_i(z), h_i(z), e_i(z)$ .

# Notation

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## Erklärung