

## SOFTWARE

# “gnparser”: A powerful parser for scientific names based on parsing expression grammars

Dmitry Y. Mozzherin<sup>1\*</sup>, Alexander A. Myltsev<sup>2†</sup> and David J. Patterson<sup>3</sup>

\*Correspondence:

[mozzheri@illinois.edu](mailto:mozzheri@illinois.edu)

<sup>1</sup>University of Illinois, Illinois Natural History Survey, Species File Group, 1816 South Oak St., Champaign, IL, 61820, US

Full list of author information is available at the end of the article

<sup>†</sup>Equal contributor

## Abstract

**Background:** We are able to investigate biology on grander scales by integrating biological data from multiple sources. The use of scientific names of organisms allows aggregation of information on the same taxa out of many different places. There are impediments to such aggregation because there is often more than one name for a taxon, or one name may apply to more than one taxon. Names are often spelled with variations, sometimes misspelled, abbreviated, annotated. Author information often varies dramatically. To effectively match scientific names to each other we want to parse them — to divide them into their elements and establish the roles of each element. Once done, we significantly improve the matching of different spellings for the same species by relying on the most widely used elements of names and then fine-tune matching results using other elements of names.

**Results:** We introduce Global Names Parser (*gnparser*), a Java tool written in Scala language (a language for Java Virtual Machine) to parse scientific names. It is based on a Parsing Expression Grammar. The parser can be applied to scientific names of any complexity. It assigns a semantic meaning (such as genus name, species epithet, rank, year of publication, names of authors, annotations, etc.) to all elements of a name. It is able to work with nested structures like for example in hybrid formulas. *gnparser* performs with  $\approx 99\%$  accuracy and processes 30 million name-strings/hour per CPU thread. The *gnparser* library is compatible with Scala, Java, R, Jython, and JRuby. The parser can be used as a command line application, as a socket server, a web-app or as a RESTful http-service. It is released under an Open source MIT license.

**Conclusions:** Global Names Parser (*gnparser*) is a fast, high precision tool for bioinformaticians and biologists working with large numbers of scientific names. It can replace expensive and error-prone manual parsing and standardization of scientific names in many situations and can quickly enhance the interoperability of distributed biological information.

**Keywords:** biodiversity; biodiversity informatics; scientific name; parser; semantic parser; names-based cyberinfrastructure

## Conventions

Throughout the paper we distinguish “name”, “scientific name”, and “name-string”. “Name” refers to one or several words that act(s) as a label for a taxon. A “scientific name” is a name formed in compliance with a nomenclatural code (Code) or, if beyond the scope of the Codes, is consistent with the expectations of a Code. The term “name-string” is the sequence of characters (letters, numbers, punctuation, spaces, symbols) that forms the name. A name can be expressed by many name-strings (for example see Table 1). There are millions of legitimately formed scientific names and probably billions of possible name-strings for them. We use the term “element” for the components of a name or name-string. Traditionally, scientific names for genera, and taxa below genus are presented in *italics*. In this paper, where we wish to emphasize examples of name-strings, we use **bold font**.

## Background

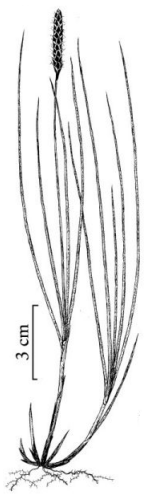
Biology is entering a “Big Data” age, where global and fast access to all knowledge is envisaged. Progress towards this vision is still limited in scope. One impediment, especially for the long tail of smaller sources (of which some are not yet digital), is the absence of devices to inter-connect distributed data. The names of organisms are invaluable in “Big Data” biology because they can be treated as metadata that can be used to discover, index, organize, and inter-connect distributed information about species and other taxa [1]. The use of names for informatics purposes is not straightforward because, for example, there may be many legitimate spellings for a name (Table 1). A names-based infrastructure must recognize which name-strings represent the same scientific name.

Table 1 illustrates that there is no single correct way to spell scientific names. Because of such variations, less than 15% of the names in comparisons of large biological databases could be matched based on exact spellings of name-strings [3]. In order to improve this simple metric for interoperability, we need to be able to identify such spelling variants as the same name, a process referred to as “lexical reconciliation”. Lexical reconciliation involves linking alternative spelling variants for the same taxon into a “lexical group”. Most biologists do this intuitively — they recognize that the name-strings in Table 1 refer to the same taxon. They do so by “parsing” the name-strings into elements (genus name, species name, authors, ranks etc.) and mentally discarding less significant elements such as annotations and authorship. It then becomes clear all of name-strings have a common “canonical form” — **Carex scirpoidea convoluta**. Further analysis of the name-strings would reveal two different lexical groups for, probably, one concept:

- **Carex scirpoidea var. convoluta** description by **Kükenthal**
- **Carex scirpoidea subsp. convoluta** rank determination by **Dunlop**.

The need to parse scientific names to achieve normalized names has mostly been addressed by manual means. A person familiar with rules of botanical

**Table 1** Some legitimate versions of the scientific name for the Northern Bulrush or Singlespike sedge. The genus (*Carex*), species (*scirpoidea*), and subspecies (*convoluta*) may be annotated (var., subsp., and ssp.) or include or omit the name of the original authority for the infraspecies (Kükenthal), the species (Michaux), the current infraspecific combination (Dunlop), sometimes abbreviated, differently spelled, and with or without initials and dates. This list is not complete. Image courtesy of [2].

<p><b>Carex scirpoidea convoluta</b></p> <p>Carex scirpoidea var. convoluta  Carex scirpoidea convoluta Kükenth.  Carex scirpoidea var. convoluta Kuk.  Carex scirpoidea var. convoluta Kük.  Carex scirpoidea var. convoluta Kükenth.  Carex scirpoidea var. convoluta Kükenthal  Carex scirpoidea Michx. var. convoluta Kük.  Carex scirpoidea Michx. var. convoluta Kükenth.  Carex scirpoidea Michaux var. convoluta Kükenthal</p> <p>Carex scirpoidea subsp. convoluta  Carex scirpoidea ssp. convoluta (Kük.) Dunlop  Carex scirpoidea subsp. convoluta (Kük.) Dunlop  Carex scirpoidea ssp. convoluta (Kukenth.) Dunlop  Carex scirpoidea subsp. convoluta (Kük.) D.A.Dunlop  Carex scirpoidea subsp. convoluta (Kük.) D.A. Dunlop  Carex scirpoidea Michx. ssp. convoluta (Kük.) Dunlop  Carex scirpoidea subsp. convoluta (Kuk.) D. A. Dunlop  Carex scirpoidea Michx. subsp. convoluta (Kük.) Dunlop  Carex scirpoidea Michx. ssp. convoluta (Kükenth.) Dunlop  Carex scirpoidea subsp. convoluta (Kükenthal) D.A. Dunlop  Carex scirpoidea Michx. subsp. convoluta (Kük.) D.A.Dunlop  Carex scirpoidea Michx. subsp. convoluta (Kük.) D.A. Dunlop  Carex scirpoidea subsp. convoluta (Kükenthal 1909) D.A. Dunlop 1998</p>	
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nomenclature would be able to analyse the example of 24 name-strings with relative ease, but not thousands or millions of name-strings to which more than one nomenclatural code may be applied. The manual splitting of names into even only two parts — the latinized elements of taxon names that make up the canonical form and the authorship — is expensive, slow, inflexible. To scale this exercise up requires an algorithmic solution, a scientific name parser!

The strategy of the algorithmic approach is to identify which combinations of the most atomic elements of a name-string (i.e. the UTF-8 encoded characters) represent words (such as genus name, species name, authors, annotations) or dates. An early algorithmic approach to parsing scientific names was with regular language implemented as regular expression [4]. A regular expression is a sequence of characters that describes a search pattern [5]. For example, a regular expression “[A-Z][a-z]{2}” recognizes any capitalized word that starts from a capital letter proceeded by two small letters (e.g. “Zoo”). Scientific names almost universally follow patterns such as the use of spaces to separate words, capitalization (of generic names and authors) or the inclusion of four digit dates between the middle of the 18th century and the present dates scientific names. This makes most names amenable to parsing by regular expressions. Examples of parsers based on regular expressions are GBIF’s *name-parser* [6], and YASMEEN [7].

While regular expression is a powerful approach to string parsing, it has limitations. It cannot elegantly deal with name-strings where an authorship element is present in the middle of the name (for example **Carex scirpoidea Michx. subsp. convoluta (Kük.) D.A.Dunlop**). Indeed regular expressions are not well suited to any targets with recursive (nested) elements [8], such as hybrid formulae (e.g. **Brassica oleracea L. subsp. capitata (L.) DC. convar. fruticosa (Metzg.) Alef. × B. oleracea L. subsp. capitata (L.) var. costata DC.**). Name parsing built on regular expressions is impractical for complex name-strings.

Another limitation with most regular expression software tools is that they are “black boxes” that allow limited interaction with the parsing process, and do not reveal much information about the parsing context. Developers cannot call a procedure during a parsing event. As a result complex regular expression-based parsers are difficult to implement and maintain, and functions such as error recovery, detailed warnings, descriptions of errors are missing.

We wanted an approach able to deal with scientific names of a very broad complexity scope to give more flexibility than can be achieved with a regular expression approach. We believe that a general use parser should satisfy the following requirements.

- 1 **High Quality.** A parser should be able to break names into their semantic elements to the same standards that can be achieved by a trained nomenclaturalist or better. This will give users confidence in the automated process and allow them to set aside tedious and expensive manual parsing.
- 2 **Global Scope.** A parser should be able to parse all types of scientific names, inclusive of the most complex name-strings such as hybrid formulae, multi-infraspecific names, names with multilevel authorships and so on. No name-strings should be left unparsed, otherwise information attached to them may remain undiscoverable.
- 3 **Parsing Completeness.** All information included in a name-string is important, not only the canonical form of the scientific name. Authorship, year, rank information allow us to distinguish homonyms, similar names, synonyms, spelling mistakes, or chresonyms. Access to such information improves the performance of subsequent reconciliation.
- 4 **Speed.** Users, especially large-scale aggregators of biodiversity data, are more satisfied with quick responses, as it allows them to move onto to more purposeful value-adding tasks. Speed reduces the costs of building or running the hardware used for parsing.
- 5 **Accessibility.** To be available to the widest possible audience a parser should be released as a stand-alone program, have a good documentation, be able to work as a library, to function as a command line tool, as a tool within a graphical interface, and to run as a socket or as RESTful services.

These requirements became our design goals. Based on our experience with prototype systems, we selected Parsing Expression Grammars and Scala language for the reasons described below.

#### Adoption of Parsing Expression Grammars

Parsing Expression Grammars (PEG) [9] have been recently introduced for parsing strings. PEG allows developers to define the rules (“grammar”) which describe general structure of target strings. In our case, such rules can be used to deconstruct scientific names. The rules are built from the ground up, starting from the simplest — such as a combination of “characters” separated by “spaces”. That process will identify most “words”. Characters can be divided into digits and other characters to make dates identifiable. Further rules can be applied, such as a “genus” rule would describe a part of a name-string in which the first word begins with combination of a “capital\_character” followed by several “lower\_case\_characters” that fall within a relatively small spectrum of allowed letters; “authorship” would consist of one or more capitalized words and optionally a “year” within, in some cases, authors grouped to form author-teams. PEG rules are designed to be recursive. They can be expanded to deal with increasingly complex name-strings, or address errors such as absent spaces, extra spaces, or OCR errors. Each rule can have programmatic logic attached, making the PEG approach very flexible. We believe that PEG suits our goals better than regular expressions for the following reasons:

- PEG is better suited for strings with recursive structure than, for example, regular expressions;
- the syntax of scientific names is formal enough to be closer to an algebraic structure rather than to a natural language. Inconsistencies and ambiguities in scientific names are relatively rare due to compliance with the conventions of nomenclatural codes;
- scientific name-strings are short enough to avoid problems with computational complexity and memory consumption;
- programming a parser is easier because PEG describes parsing rules in a domain-specific language;
- domain specific language offers great flexibility for logic within the rules, for example reporting errors in name-strings.

In 2008, as a part of Global Names project, we created a specialized parsing library *biodiversity* [10] written in Ruby and based on PEG. We used an excellent *TreeTop* Ruby library [11] as the underlying PEG implementation.

The PEG approach allowed us to deal with complex scientific names gracefully. It gave us flexibility to incorporate edge cases and to detect common mistakes during the parsing process. The library *biodiversity* enjoyed considerable popularity. At the time of writing, it had been downloaded more than 150,000 times [12], it is used by many taxon name resolution projects (e.g. Encyclopedia of Life [13], Canadian Register of Marine Species (CARMS) [14], the iPlant TNRS [15], and World Registry of Marine Species (WoRMS) [16]. According to

statistics compiled by BioRuby, *biodiversity*, at the time of writing, has been the most popular bio-library in the Ruby language [17].

We were pleased with PEG approach for scientific names parsing, but now regard the *biodiversity* parser library as a working prototype. It has allowed us to identify problems and implement improvements in order to build a better, faster parser.

### Adoption of Scala

The *biodiversity* package has performance and scalability issues because of the choice of Ruby as its programming language. Ruby is one of the best languages for rapid prototyping, but it is an interpreted dynamic language with, originally, a single-threaded runtime during execution. This makes it slow and inappropriate for rapid service or “Big Data” tasks. We determined that we needed an environment with the following properties:

- a mature technology;
- multi-threaded, with high performance and scalability;
- an active support community with Open source friendly culture;
- a wide range of libraries: utilities, web frameworks, etc.;
- a powerful development environment with IDEs, testing frameworks, debuggers, profilers and the like;
- mature libraries for search and cluster computations;
- interoperable with languages popular in scientific community (R, Python, Matlab);
- natural support of domain specific languages embedded in the hosted language.

While many of the properties are true for Ruby, others, such as high performance, scalability and interoperability are lacking. To meet all requirements, and exploiting what we had learned from *biodiversity*, we refactored the code using the Java virtual machine, Scala programming language [18], and the Open source *parboiled2* library [19, 20] (with our improvements [21]). The *parboiled2* library implements PEG in Scala<sup>[1]</sup>.

The functional programming features of Scala allowed us to build a domain specific language that describes the rules of the grammars to parse scientific names. This produces a Parsing Expression Grammar with considerably more flexibility than external lexers such as Bison or Yacc. As this domain specific language is within *parboiled2* it can take advantage of the Macro capacity of Scala [23] to optimize the compilation of the code and the subsequent running of the program. As a result the software performs with high efficiency. With this combination, the *gnparser* library achieved a significant boost in speed, scalability, and portability.

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<sup>[1]</sup>An alternative to *parboiled2* is the Scala parser combinators library [22] but it has known problems with speed and memory consumption making *parboiled2* our preferred choice.

We limited this version to work with scientific names that comply with the botanical, zoological, and prokaryotic codes of nomenclature, but not with names of viruses because they are formed in different ways [24, 3] and would need a different PEG.

## Implementation

The *gnparser* project is entirely written in Scala. It supports two major Scala versions: 2.10.6+ and 2.11.x. The code is organized into four modules:

- 1 “*parser*” is the core module used by all other modules. It contains the components for parsing of scientific names from the most atomic elements to semantically-defined terms. It includes the parsing grammar, abstract syntax tree (AST) composed of the components of scientific names, and warning and error facilities. When the parsing is complete and semantic elements of name-strings have been assigned to AST nodes, then the elements can be recombined and formatted to meet further needs. For example:

- *normalizer* can convert input name-strings into a consistent style;
- *canonizer* creates canonical forms of the latinized elements of names; and with
- *JSON renderer*, the parsing result is converted to JSON [25] to allow developers to work with the output from other languages. The output (Figure 1, also see Discussion) has the following information: **details** contains the JSON-representation of a parsed scientific name; **quality\_warnings** describes potential problems if names are not well-formed; **quality** depicts a quality level of the parsed name; and **positions** maps the positions of every element in a parsed name to the semantic meaning of the element. Full and formal explanation of all parser fields is given as a JSON schema and can be found online [26] [also see additional file gnparser.json].

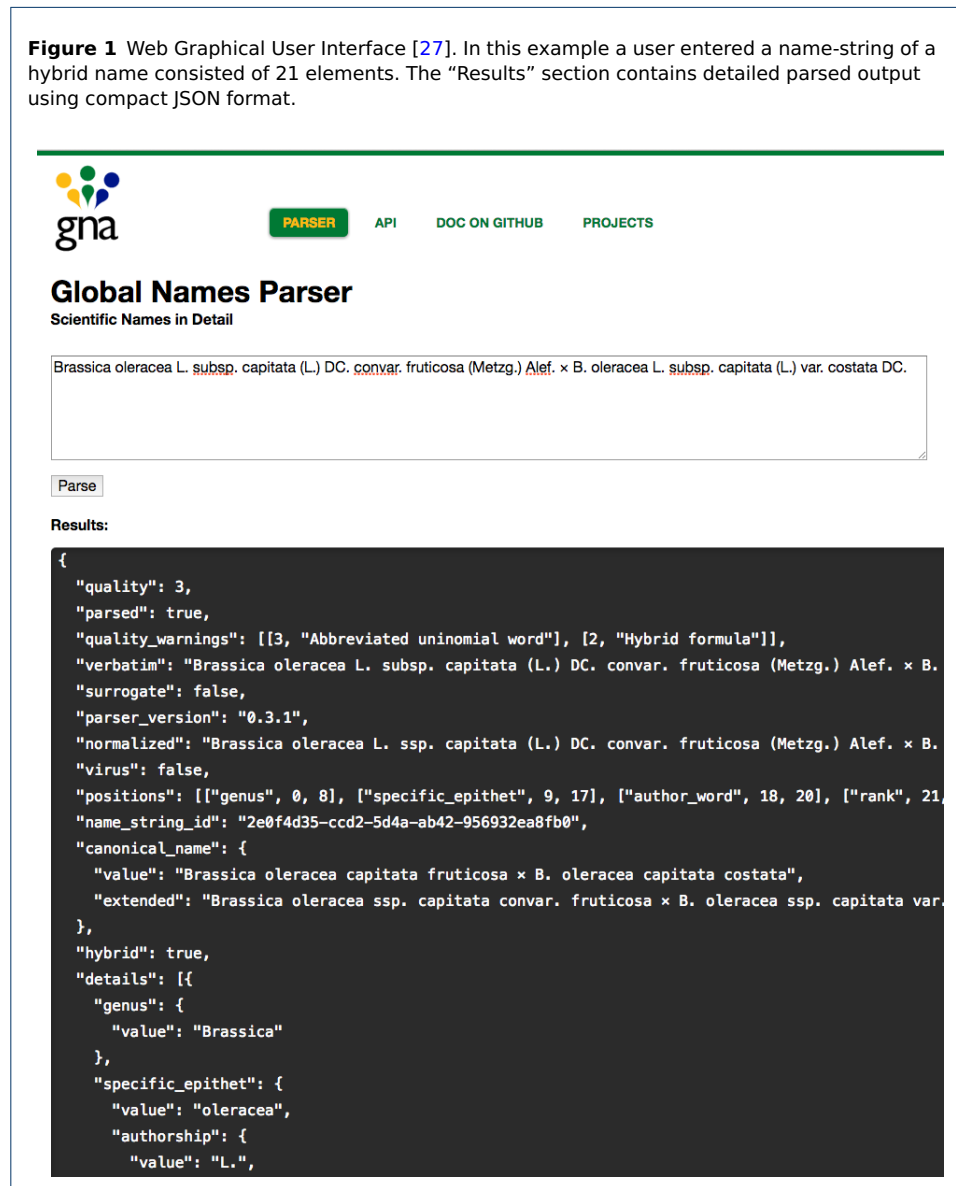
- 2 “*spark-python*” contains facilities to use “*gnparser*” from Python Spark.
- 3 “*examples*” contains examples to assist developers in adding “*parser*” functionality into other popular programming languages such as Java, Scala, Jython, JRuby, and R.
- 4 “*runner*” contains the code that allows users to run “*parser*” from a command line as a standalone tool or to run it as a TCP/IP socket or HTTP web server. “*runner*” depends on the “*parser*”. The core part is the launch script “*gnparse*” (for Linux/Mac and Windows) that creates a JVM instance and runs “*parser*” on multiple threads against the input provided via a socket or file. The “*runner*” also contains a web application and a RESTful interface as simpler methods to access “*parser*”. It depends on the “*parser*” library. “*web*” achieves interactions with “*parser*” via HTTP protocol. It works both with simple HTML and REST API interfaces. Figure 1 illustrates a parsing example using the web-interface. Socket and

Should we describe PySpark support in details?

REST services use Akka framework which makes them highly concurrent and scalable.

“*parser*” and “*examples*” can run in JVM 1.6+. “*runner*” requires JVM 1.8+. Documentation is available in a README file [see additional file README.rst.html].

**Figure 1** Web Graphical User Interface [27]. In this example a user entered a name-string of a hybrid name consisted of 21 elements. The “Results” section contains detailed parsed output using compact JSON format.



## Installation

“*gnparser*” is available for launch in three bundles.

- A *parser* artifact is provided via the Maven central repository of Java code [28]. Physically it is a relatively small jar file without embedded external dependencies. The artifact can be accessed by a build system such as Maven, Gradle, or SBT in custom projects. The build system then identifies and provides access to all dependent jars.



- A Zip-archived “fat jar” is located at the project’s GitHub repository. The jar contains the compiled files of *gnparser* along with all necessary dependencies to launch it within JVM. The archive is also bundled with a launch script (for Windows, OS X and Linux) that can run a command line interface to *gnparser*.
- The project’s Docker container image is located at Docker Hub [29]. Docker provides an additional layer of abstraction and automation of operating-system-level virtualization on Linux. It can be thought as a lightweight virtualization technology within a Linux OS host. When it is setup properly, everything — starting from JVM and ending with Scala and SBT — can be run with simple commands that will, for example, pull the *gnparser*’s Docker image from the DockerHub, and run the socket or web server on an appropriate port.

### Testing Methods

Data for our tests were packages of 1,000 and 100,000 name-strings randomly chosen from 24 million name-strings of the Global Names Index [30]. The resulting datasets consisted of strings acquired from a variety of data sources and were a mixture of well-formed names, names with formatting and spelling mistakes, and name-strings that were misrepresented as names.

We compared performance of *gnparser* with two other projects: *biodiversity parser* [31, 10] (also developed by Global Names team), and GBIF *name-parser* [6]. For comparison, we calculated *Precision*, *Recall* and *Accuracy* (as described below) using a dataset consisted of 1000 name-strings. Another project we considered was the YASMEEN parser from iMarine [7]. We found that with our dataset it generated dramatically more mistakes than other parsers (*Precision* 0.534, *Recall* 1.0, *F1* 0.6962), and was unable to finish a full dataset without crashing. We excluded it from further tests.

To estimate the quality of the parsers being compared, we used a combination of canonical form and terminal authorship as this is a feature that is common to all three parsers. A canonical form represents the essential scientific elements of a name, while the terminal authorship refers to the author of the lowest sub-taxon found in the name. For example, with **Oriastrum lycopodioides Wedd. var. glabriusculum Reiche**, the canonical form is **Oriastrum lycopodioides glabriusculum** and the terminal authorship is **Reiche**, not **Wedd.**

When both the canonical form and the terminal authorship were determined correctly we marked the result as true positive ( $N_{tp}$ ). If one or both of them were determined incorrectly, the result was marked a false positive ( $N_{fp}$ ). Name-strings correctly discarded from parsing were marked as true negatives ( $N_{tn}$ ). False negatives ( $N_{fn}$ ) were “suitable” name-strings which should be parsed, but were not. The following parameters were used for analysis:

*Accuracy* — the proportion of correct results to all results. It is calculated as:

$$Accuracy = \frac{N_{tp} + N_{tn}}{N_{tp} + N_{tn} + N_{fp} + N_{fn}}$$

*Precision* — the proportion of name-strings parsed correctly compared to all detected name-strings. It is calculated as:

$$Precision = \frac{N_{tp}}{N_{tp} + N_{fp}}$$

*Recall* — the proportion of correctly detected name-strings relative to all parseable name-strings and calculated as:

$$Recall = \frac{N_{tp}}{N_{tp} + N_{fn}}$$

*F1-measure* is a balanced harmonic mean (where *Precision* and *Recall* have the same weight). When *Precision* and *Recall* differ, *F1-measure* allows results to be compared. It is calculated as

$$F1 = \frac{2 \times Precision \times Recall}{Precision + Recall}$$

Some names in the dataset were not well-formed. If a human could extract the canonical form and the terminal authorship from them, we included them. Examples of such name-strings are **"Hieracium nobile subsp. perclusum (Arv. -Touv. ) O. Bolòs & Vigo"** (the issue here is an introduced space within an author's name), **"Campylidium gollanii C. M?ller ex Vohra 1970 [1972]"** (with a miscoded UTF-8 symbol and an additional year in square brackets), **"Myosorex muricauda (Miller, 1900)."** (with a period after the authorship).

Parsers analyze the structure of name-strings, but they cannot determine if a string is a "real" name. For example, in the case of a name-string that has the same form as a subspecies such as **"Example name Word var. something Capitalized Words, 1900"**. In such a case, the identification of a canonical form as **"Example name something"** and terminal authorship as **"Capitalized Words, 1900"** would be considered a true positive. Clearly, it will be important for name-management services to distinguish between name-strings of scientific names, names of viruses, surrogate names, and non-names. To find out how well parsers distinguished strings which are not scientific names, we calculated *Precision* for discarded/non-parsed strings. If done correctly, not-parsed strings would include only names of viruses and terms that do not comply with the Codes of zoological, prokaryotic, and botanical nomenclature.

We processed 100,000 name-strings with each parser. Each parser discarded close to 1000 name-strings as not-parseable. *Precision* in this case showed per-

centage of correctly discarded names. We do not know *Recall*, as it was not reasonable to manually determine this for 100,000 names. To get a sense of names which should be discarded but were parsed instead, we analysed intersections and differences of the results between the three parsers. The following versions had been used for quality comparisons: *gnparser* v. 0.2.0, GBIF *name-parser* v. 0.1.0, *biodiversity* v. 3.4.1.

To establish the throughput of parsing we used a computer with an Intel i7-4930K CPU (6 cores, 12 threads, at 3.4 GHz), 64GB of memory, and 250GB Samsung 840 EVO SSD, running Ubuntu version 14.04. Throughput was determined by processing of 1,000,000 random name-strings from GNI.

To study the effects of parallel execution on throughput we used the *ParallelParser* class from *biodiversity* parser. We used *gnparse* (a command line-based script) for *gnparser*. For GBIF *name-parser*, we created a thin wrapper with multi-threaded capabilities [32]. The following versions had been used for throughput benchmarks: *gnparser* v. 0.3.1, GBIF *name-parser* v. 0.1.0, *biodiversity* v. 3.4.1.

## Results and Discussion

We discuss and compare *gnparser*, GBIF *name-parser* and *biodiversity* parser in terms of our requirements of quality, global scope, parsing completeness, speed, and accessibility.

### High Quality Parsing

Quality is the most important of the 5 requirements. We compared *gnparser* together with 2 other approaches that represent state of the art for parsing biological scientific names. GBIF *name-parser* uses regular expressions approach, while *gnparser* and *biodiversity* parsers use the PEG approach. Results for quality measurements are shown in Table 2.

If test data contain a large proportion of true negatives ( $N_{tn}$ ) *Accuracy* will not be a good measure as it favors algorithms which distinguish negative results, rather than finding positive ones. We manually checked our test datasets and established that  $\approx 1\%$  were not scientific names. Given that true negatives are rare, they will have very limited influence on *Accuracy*. *Recall* for all parsers was high, which means that false negatives are not important.

We hold that *Accuracy* is probably the best measure for our tests. All 3 parsers performed very well, with *Accuracy* values higher than 95%. Both *gnparser* and *biodiversity* parser approached 99% mark which we regard as production quality. Moreover, most of the false positives came from name-strings with mistakes. For example, out of 11 false positives (below) that *gnparser* found in the 1000 name-string test data set, only 2 (the first 2) were well-formed names.

**Eucalyptus subser. Regulares Brooker**  
**Jacquemontia spiciflora (Choisy) Hall. fil.**

**Acanthocephala declivis variety guianensis Osborn, 1904**

**Atysa (?) frontalis**  
**Bumetopia (bumetopia) quadripunctata Breuning, 1950**  
**Cyclotella k  tzingiana Thwaites**  
**Elaphidion (romaleum) t  niatum Leconte, 1873**  
**Hieracium nobile subsp. perclusum (Arv. -Touv. ) O. Bol  s & Vigo**  
**Leptomit   vitreus (Roth) Agardh{?}**  
**Myosorex muricauda (Miller, 1900).**  
**Papillaria amblyacis (M<81>ll.Hal.) A.Jaeger}**

We do expect a parser to deal with names that are not well-formed. That means overcoming problems such as aberrant characters which might arise from Unicode character miscodings, inappropriate annotations, or other mistakes. To alert users, *gnparser* generates a warning when it identifies a problem in a name-string. The other parsers do not have this feature.

When parsers reach  $\approx 80\%$  *Accuracy*, they hit a “long tail” of problems where each particular type of a problem is rare, yet every new manual test against a new test set of 1,000–10,000 name-strings reveals new issues. Examples of these challenges are given elsewhere [3]. For all three parsers, developers had to perform the meticulous task of adding rules to address one rare case after another. That is, parsers need to be subject to continuous improvement. The problems found during preparation of this paper are being addressed in the next version of *gnparser*. As the parsing rules improve, we believe that *gnparser* can reach  $> 99.5\%$  *Accuracy* without diminishing *Recall*.

As we incorporate new rules to increase *Recall*, we have to consider the risks of reducing *Precision* by introducing new false positives. For example, the GBIF *name-parser* allows the genus element of a name-string to start with a lower-case character. As a result the name-strings below were parsed as if they were scientific names, while other parsers ignored them:

**acid mine drainage metagenome**  
**agricultural soil bacterium CRS5639T18-1**  
**agricultural soil bacterium SC-I-8**  
**algal symbiont of Cladonia variegata MN075**  
**alpha proteobacterium AP-24**  
**anaerobic bacterium ANA No.5**  
**anoxygenic photosynthetic bacterium G16**  
**archaeon enrichment culture clone AOM-SR-A23**  
**bacterium endosymbiont of Plateumaris fulvipes**  
**bacterium enrichment culture DGGE band 61\_3\_FG\_L**  
**barley rhizosphere bacterium JJ-220**  
**bovine rumen bacterium niuO17**

Strategies like these might increase *Recall* with certain low-quality datasets, but they decrease *Precision*. Many “dirty” datasets contain recurring problems. As an example, DRYAD contains many name-strings in which elements of scientific names are concatenated with an interpolated character such as ‘\_’ (e.g. “Homo\_sapiens” and “Pinoyscincus\_jagori\_grandis”) [3]. For them, our solution was to include a “preparser” script which “normalizes” known problems that

are inherent within that dataset and then apply a high quality parser to the result.

Our testing also revealed differences between regular expressions and PEG approaches. Both can achieve high quality results with canonical forms of scientific names, but the regular expressions are less suitable for more complex name-strings. The reason for this is the recursive or nested nature of some scientific names that lead to greater problems that at some point become unsurmountable for regular expressions.

### Global Scope

If we want to connect biological data using scientific names, no name-strings should be missed or rejected, no matter how complex they are. During our testing we found that *Accuracy* of GBIF's *name-parser* was negatively affected because of how it managed (or did not manage) hybrid formulae and infrasub-specific names (names with more than one infraspecific epithet). This is where the regular expression approach reveals its limitations. For example the following names were not parsed by the GBIF *name-parser*:

**Crataegus chlorosarca subtaxon pubescens E.L.Wolf**  
**Erigeron peregrinus ssp.callianthemus var. eucallianthemus**  
**Salvelinus fontinalis x Salmo gairdneri**  
**Echinocereus fasciculatus var. bonkeriae × E. fasciculatus var. fasciculatus**

The PEG approach supports nested parsing rules to create progressively more complex rules that do manage such examples. Its capacity to address recursion allows *gnparser* to handle the full spectrum of scientific names that we have presented to it.

**Table 2** Precision/Recall for parsers applied to 1000 name-strings

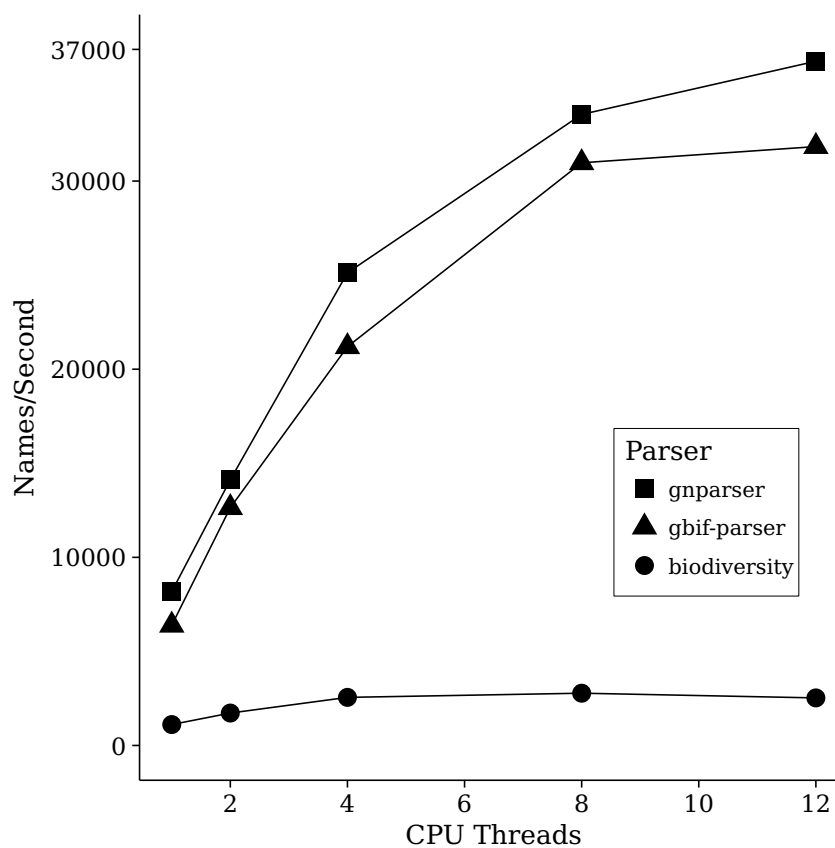
	gnparser	gbif-parser	biodiversity
<i>True Positive</i>	976	955	971
<i>True Negative</i>	13	12	13
<i>False Positive</i>	11	32	16
<i>False Negative</i>	0	1	0
<i>Precision</i>	0.9888551	0.967578	0.9837893
<i>Recall</i>	1.0	0.998954	1.0
<i>F1</i>	0.9943963	0.983016	0.9918284
<i>Accuracy</i>	0.989	0.967	0.984

**Table 3** Precision for discarded by parsers names, out of 100 000 name-strings

	gnparser	gbif-parser	biodiversity
<i>Total discarded</i>	1131	1082	1161
<i>True Positive</i>	1129	940	1152
<i>False Positive</i>	2	142	9
<i>Precision</i>	0.998231	0.868761	0.9922481

**Figure 2** Names parsed per second by GN, GBIF and Biodiversity parsers (running on 1–12 parallel threads).

Threads	gnparser	gbif-paser	biodiversity	Ratio		
				gn	gbif	bio
1	8178	6389	1111	1	0.78	0.14
2	14125	12638	1722	1	0.89	0.12
4	25125	21994	2556	1	0.88	0.10
8	33541	30972	2777	1	0.92	0.08
12	36369	31833	2527	1	0.88	0.07



### Parsing Completeness

The extraction of canonical forms from name-strings representing scientific names is the most useful and widely used parsing goal. Sometimes, however, this may not be sufficient because the canonical form does not determine a name completely.

In the example in Table 1 **Carex scirpoidea convoluta** is a canonical form for **Carex scirpoidea var. convoluta Kükenthal** and **Carex scirpoidea ssp. convoluta (Kük.) Dunlop**. The first unparsed name-string refers to the variety **convoluta** of **Carex scirpoidea** that had been described by **Kükenthal**. The second captures Dunlop's reclassification of **convoluta** as a subspecies. We are not able to distinguish between these two different names without knowing the rank and/or the corresponding authorship. Furthermore, it is useful to see in the second example that **(Kük.)** was the original author and **Dunlop** was the author of the new combination.

After matching by canonical form, the ranks, authors, and "types" of authorship help users to distinguish name-strings with similar or identical canonical names from each other. The name-string **Carex scirpoidea Michx. var. convoluta Kükenth.** adds new information not evident in the examples in the paragraph above, that the species **Carex scirpoidea** was described by **Michx.**

Another area in which parsers with limited abilities can give misleading results is with negated names [3]. In these cases, the name-string includes some annotation or marks to indicate that the information associated with the name does NOT refer to the taxon with the scientific name that is included. Examples include **Gambierodiscus aff toxicus** or **Russula xerampelina-like sp.**

All components of a name may be important and need to be parsed and categorized. With *gnparser*, we describe the meaning of every word in the parsed name-string and present the results in JSON format. Parsing of **Carex scirpoidea Michx. subsp. convoluta (Kük.) D.A. Dunlop** gives the following JSON output

```

1 {
2   "name_string_id" : "203213f3-99d1-5f5e-810a-4453c4d220cb",
3   "parsed" : true, "quality" : 1, "parser_version" : "0.3.1",
4   "verbatim" : "Carex scirpoidea Michx. subsp. convoluta (Kük.) D.A. Dunlop",
5   "normalized" : "Carex scirpoidea Michx. ssp. convoluta (Kük.) D. A. Dunlop",
6   "canonical_name" : {
7     "value" : "Carex scirpoidea convoluta", "extended" : "Carex scirpoidea ssp. convoluta"
8   },
9   "hybrid" : false, "surrogate" : false, "virus" : false,
10  "details" : [ {
11    "genus" : { "value" : "Carex" },
12    "specific_epithet" : {
13      "value" : "scirpoidea",
14      "authorship" : {
15        "value" : "Michx.",
16        "basionym_authorship" : { "authors" : [ "Michx." ] }
17      }
18    },
19    "infraspecific_epithets" : [ {
20      "value" : "convoluta", "rank" : "ssp.",
21      "authorship" : {
22        "value" : "(Kük.) D. A. Dunlop",
23        "basionym_authorship" : { "authors" : [ "Kük." ] },
24        "combination_authorship" : { "authors" : [ "D. A. Dunlop" ] }

```

```

25     }
26   } ]
27 } ],
28 "positions" : [ [ "genus", 0, 5 ], [ "specific_epithet", 6, 16 ], [ "author_word", 17, 23 ],
29 [ "rank", 24, 30 ], [ "infraspecific_epithet", 31, 40 ], [ "author_word", 42, 46 ],
30 [ "author_word", 48, 50 ], [ "author_word", 50, 52 ], [ "author_word", 53, 59 ] ]
31 }

```

The output includes the semantic meaning of all parsed elements in a name-string, indicates if the name-string was parsed successfully, if it is a virus name, a hybrid, or a surrogate. Surrogates are name-strings that are alternatives to names (such as acronyms) and they may or may not include part of a scientific or colloquial name (e.g. **Coleoptera sp. BOLD:AAV0432**). The output also includes a statement of the position of each element in the name-string. Last, but not least, the JSON output contains UUID version 5 calculated from the verbatim name-string. This UUID is guaranteed to be the same for the same name-string, promoting its use to globally connect information and annotations.

The output usually covers every semantic element in the name-string. The fields in the output illustrated above have the following meanings.

**name\_string\_id:** UUID v5 identifier;

**parsed:** whether a name-string was successfully parsed (true/false);

**quality:** how well-formed a name-string is (range from 1 to 3, 1 is the best);

**parser\_version:** version of a parser used;

**verbatim:** name-string as was submitted to *gnparser*;

**normalized:** name-string modified by the parser to give a normalized style;

**canonical\_name:** a special form of normalization that includes only the scientific elements of the name, this form is contained within most name-strings;

**hybrid:** whether the name-string refers to a hybrid (true/false);

**surrogate:** whether a name-string is a surrogate name (true/false);

**details:** describes the semantic elements within the name-string inclusive of the following;

**genus:** reports the genus part of the name (in this case *Carex*);

**specific epithet:** reports the species epithet (*scirpoidea*);

**authorship:** reports the authorship of the combination (*Michx.*);

**basionym authorship:** reports the authorship of the basionym (*Michx.*)

**infraspecific epithets:** reports the infraspecies name if present (*convoluta*) with rank (*ssp.*)

**authorship:** reports the authors of the infraspecies name ((*Kük.*) *D. A. Dunlop*)

**basionym authorship:** reports the author of the basionym of infraspecies name element (*["Kük."]*);

**combination authorship:** reports the author of the infraspecies name combination (*D. A. Dunlop*); and

**positions:** identifies each name element and where it starts and ends.



The complete list of fields for the *gnparser*'s output exists as a JSON Schema file [26].

### Parsing Speed

In the areas of performance discussed above, there is little difference between *biodiversity* parser and *gnparser*. There is, however, a dramatic difference in their parsing speed and ability to scale. Parsing tasks that took 20 hours with earlier *biodiversity* parsers can now be completed in a few minutes on a multithreaded computer. Parsing is a key to other services such as name-reconciliation and subsequent resolution. Improving the parser will increase user satisfaction elsewhere.

Results on the speed performance are given in Figure 2. Depending on a number of CPU threads, *gnparser* had been  $\approx 10 - 25\%$  faster than *gbif-parser*. On 1 thread *gnparser* was 7 times faster than *biodiversity*, 10 times faster on 4 threads, and 14 times faster on 12 threads.

*gnparser* displays functionality not presented in the GBIF *name-parser* as described in previous sections. In spite of this additional functionality *gnparser* outperformed other tested parsers.

### Accessibility

By 'accessibility' we refer to the ability of the software code to be used by a wide audience. For Open source projects, accessibility is very important, because, when more people use a software, the more cost-effective is its development.

Parsing of scientific names is essential for organizing biodiversity data, such that many biodiversity database environments and projects include a parsing algorithm. Examples are uBio [33], the Botanical Society of Britain and Ireland [34], FAT [35], NetiNeti [36], and Taxonome [37]. A modular approach offers an option of re-use and avoids replication of effort. *biodiversity* was the first biodiversity parser to be released as a stand-alone package that could be used as a module — as it was with the iPlant project [31]. The same approach has now been adopted with the GBIF *name-parser* [6], *YASMEEN* [7], and *gnparser*.

We designed *gnparser* with accessibility in mind from the outset. Scala language allows the use of *gnparser* as a library in Scala, Java, Jython, JRuby, R and a variety of other languages based on Java Virtual Machine. If users want to use *gnparser* in some non-JVM language they can connect to the parser via a socket server interface. There is also a command line tool, a web interface, and a RESTful API.

We pay close attention to documentation, trying to keep it detailed, clear, and up to date. We have an extensive test suite [see additional file test\_data.txt] that describes the parser's behavior and is a source of examples of parser functionality and output format.

This commitment to accessibility creates a larger potential audience for the parser, and will help many researchers and programmers to deal with the problems that arise from variant forms of scientific names.

The summary of results and discussion is depicted in Table 4

**Table 4** Summary comparison of Scientific Name Parsers

	gnparser	gbif-parser	biodiversity
<i>Accuracy</i>	98.9%	96.7%	98.4%
<i>Hybrid formulas support</i>	Yes	No	Yes
<i>Infrasubspecies support</i>	Yes	No	Yes
<i>Throughput (names/s/thread)</i>	8178	6389	1111
<i>Parsing details</i>	Complete	Partial	Complete
<i>Library for the same language</i>	Yes	Yes	Yes
<i>Library for other languages</i>	Yes	Yes	No
<i>Command line tool</i>	Yes	No	Yes
<i>Socket server</i>	Yes	No	Yes
<i>Web Interface</i>	Yes	Yes	Yes
<i>RESTful service</i>	Yes	Yes	Yes

## Conclusions

This paper describes *gnparser*, a powerful tool designed to break names of taxa into their semantic elements. This then allows standardization of names by transforming them into a canonical form, a step that in turn dramatically improves name matching and data integration. Parsing aids the discovery of names in sources, and sharing them in standardised forms (for example to create a common index). Parsing further allows users to extract, compare and analyse metadata within the name-strings, and allowing comparisons of the efforts of individuals or to map trends over time. The *gnparser* tool is released under MIT Open source license, contains command line executable, socket, web, and REST services, and is optimized for use as a library in languages like Scala, Java, R, Jython, JRuby.

## Availability and Requirements

**Project Name:** gnparser

**Project home page:** <https://github.com/GlobalNamesArchitecture/gnparser>

**Operating System:** Any platform able to run JVM 1.8

**Programming Language:** Scala

**License:** The MIT License

**Any restrictions to use by non-academic:** no restriction

## Additional Files

Additional file 1 — gnparser.json

Full and formal explanation of all parser fields is given as a JSON schema.

Additional file 2 — test\_data.txt

Extensive test suite that describes the parser's behavior. It is also a source of examples of parser functionality and output format. Test suite consists of a pipe delimited input (scientific name) and parsed output in JSON format.

Additional file 3 — README.rst.html

README.rst file that is converted to HTML format. It is also available at project home page [38].

## Abbreviations

**AAM** – Alexander A. Myltsev  
**API** – Application Program Interface  
**AST** – Abstract Syntax Tree  
**BHL** – Biodiversity Heritage Library  
**DJP** – David J. Patterson  
**DYM** – Dmitry Y. Mozzherin  
**GBIF** – Global Biological Informatics Facility  
**GNA** – Global Names Architecture  
**JSON** – JavaScript Object Notation  
**JVM** – Java Virtual Machine  
**PEG** – Parsing Expression Grammar  
**REST** – Representational State Transfer

## Competing Interests

The authors declare that they have no competing interests.

## Author's Contributions

DYM and AAM designed *gnparser*. DYM created requirements and test suite. AAM optimized *gnparser* for speed, refactored it into three internal subprojects. DYM set Docker containers and Kubernetes scripts. DYM and AAM wrote online documentation and JSON schema to formalize output. DJP corrected parser's results, calibrated quality output and errors output. DYM and AAM drafted manuscript and DJP edited its final version. All authors read and approved the final manuscript.

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### Author details

<sup>1</sup>University of Illinois, Illinois Natural History Survey, Species File Group, 1816 South Oak St., Champaign, IL, 61820, US. <sup>2</sup>IP Myltsev, Kaslinskaya St., Chelyabinsk, , 454084, Russia. <sup>3</sup>University of Sydney, Sydney, Australia.

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