

## Sizes of infinite sets

A function from set  $A$  to  $B$  is *1-1* if it never maps two elements of  $A$  to the same element of  $B$ . It is *onto* if for every  $b \in B$  there is an  $a \in A$  such that  $f(a) = b$ .

With a 1-1 onto function  $f : A \rightarrow B$  we can pair every element in  $A$  with every element in  $B$ . A set  $A$  is *countable* if it is finite or if there is a 1-1 mapping  $f : A \rightarrow \mathbb{N}$

**Note:** It is sufficient that there is a 1-1 mapping from  $A$  to  $\mathbb{N}$  (i.e. it does not need to be onto)

e.g. the even numbers, rational numbers.

## Paradoxes

- **The Paradox of the Liar:** "This sentence is not true."
- **The Barber's Paradox:** The barber cuts the hair of everyone in the town who doesn't cut his or her own hair.

## Cantor's Theorem

- The proof is an example of a **diagonalization argument**.
- Let  $S$  be a countably infinite set, say  $S = \{x_1, x_2, \dots\}$ .  $\mathcal{P}(S)$  is the set of all subsets of  $S$ . This set is infinite but not countably infinite, i.e., it is **uncountably infinite**.
- **Proof:** Suppose to the contrary that  $\mathcal{P}(S)$  is countable. Let  $f$  be a 1-1 and onto function from  $N$  to  $\mathcal{P}(S)$ . Define the set  $T = \{x_i \mid x_i \notin f(i)\}$ .
- Since  $T$  is in  $\mathcal{P}(S)$  there must be some  $j$  such that  $f(j) = T$ .
- Is  $x_j$  in  $T$ ?
- Neither Yes nor No. Hence our assumption, that  $\mathcal{P}(S)$  was countable is wrong.

## A counting argument

- **Theorem:** There are languages which are not Turing recognizable.
- Say  $\Sigma = \{0, 1\}$ , so every binary string can be assigned a distinct natural number by just putting a 1 in the front – the set of binary strings is thus countably infinite.
- The set of all possible languages over  $\{0, 1\}$  is the power set of the set of binary strings. By Cantor's Theorem, this set is uncountably infinite.
- The set of TM's is countable because it can be described by a finite string over a finite alphabet – every TM can be encoded by a unique binary string.
- Since each Turing machine accepts one language, there are only countably infinite Turing recognizable languages.
- Hence, since there are an uncountable number of languages, there are languages which are not recognized by any TM.
- Can we show an *explicit* language which is not Turing recognizable? We will start by showing a language that is not decidable.

## The Acceptance Problem is undecidable

**Theorem:**  $A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$  is undecidable.

**Proof:** Assume it's decidable and show a contradiction. Let  $H$  be a TM which decides  $A_{TM}$ , i.e.,  $H$  is halting, and  $H$  accepts input  $\langle M, w \rangle$  iff  $M$  accepts  $w$

We construct a new TM  $D$  which uses  $H$  as a subroutine.  $D$  takes as input any TM description  $\langle M \rangle$  and simulates  $H$  on  $\langle M, \langle M \rangle \rangle$ . When  $H$  halts,  $D$  enters the opposite final state. So

- $D$  accepts  $\langle M \rangle$  if  $M$  rejects  $\langle M \rangle$
- $D$  rejects  $\langle M \rangle$  if  $M$  accepts  $\langle M \rangle$

Now, what happens when  $D$  is given  $\langle D \rangle$  as input?  $D$  accepts  $\langle D \rangle$  iff  $D$  rejects  $\langle D \rangle$ !

This is a contradiction. Therefore  $D$  and  $H$  can't exist.

Can view as a diagonalization argument in a table.

## $A_{TM}$ is Turing recognizable

Recall that we are assuming a standard way of encoding the pair  $\langle M, w \rangle$  as a string

Given this input, we want to *simulate* the computation of  $M$ . Use a 4-tape TM, which we call  $U$ .

- Tape 1 stores the string encoding  $\langle M, w \rangle$ , (the input to  $U$ )
- Tape 2 stores the simulated tape of  $M$ .
- Tape 3 stores the state of  $M$
- Tape 4 is scratch.

## Steps of the simulation

- Examine the code to make sure it's for a legitimate TM. If not, halt without accepting.
- Initialize the second tape by putting  $w$  on it
- Place the start state 1 on tape 3. Move the head of the second tape to the leftmost simulated cell.
- To simulate a move:
  - Based on the state on tape 3, and symbol scanned on tape 2, search through the description of  $M$  on tape 1 until we find the appropriate transition.
  - Update the contents of tape 2, and the state on tape 3, based on this transition
- If  $M$  has no transition that matches the symbol being read,  $U$  halts.
- If  $M$  enters an accepting state,  $U$  accepts.

## A Turing Unrecognizable Language

A language is *co-Turing recognizable* if its complement is Turing recognizable.

**Theorem:** If a language is Turing-recognizable *and* co-Turing recognizable, then it is decidable.

Proof: Run the TM for recognizing the language and the TM for recognizing its complement in parallel. If the former accepts, accept. If the latter accepts, reject.

**Corollary:** The complement of  $A_{TM}$  is not Turing recognizable.



## Decidable languages and their complements

From the preceding slides, we see that there are languages which are Turing-recognizable, but whose complements are not Turing recognizable. What about decidable languages?

**Theorem:** If a language  $L$  is decidable, so is its complement.

**Proof:** Let  $M$  be the halting TM which accepts  $L$ .

- Change accepting states to nonaccepting states.
- Make a new accepting state and a transition to it from every nonaccepting state labeled with every tape symbol such that there was no transition out of that state with that label (in the old machine).