

Advances in the study of Siouan languages and linguistics

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Edited by

Catherine Rudin & Bryan J. Gordon

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To Bob, whose knowledge was matched only by his
generosity.

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Preface

This volume presents a group of papers representing a range of current work on Siouan¹ languages, in memory of our colleague Robert L. Rankin, a towering figure in Siouan linguistics throughout his long career, who passed away in February of 2014.

Beyond honoring a beloved colleague, our aim in this volume is to bring a variety of issues in Siouan linguistics to the attention of the linguistic community. The Siouan language family is a large and important one, with branches geographically distributed over a broad swath of the North American plains and parts of the southeastern United States. This puts it in contact historically with several other families of languages: Algonquian, Iroquoian, Caddoan, Uto-Aztec, and Muskogean. Siouan languages are, or were historically, spoken by the members of at least 25 ethnic/political groups. One Siouan language, Lakota, is among the handful of indigenous North American languages with younger speakers today. Siouan languages have occasionally risen to prominence in general linguistics, for instance in the study of reduplication (Shaw 1980); and Omaha and Crow (Apsaalooke) have lent their names to two of the basic categories of kinship systems in anthropology. Nonetheless, the Siouan family has been underrepresented in the descriptive and typological literature, and most of the languages in the family are severely understudied. The majority of work on Siouan languages is unpublished, existing only in the form of conference papers or manuscripts.² This volume is a step toward making information on Siouan languages more broadly available and encouraging deeper investigation of the myriad issues they raise.

From the perspective of linguistic typology, Siouan languages have many notable features. Many of these features stand to challenge typological generalizations. Here we briefly sketch a few of the most characteristic features of the Siouan family.

¹ “Siouan” is not to be confused with “Sioux”, a controversial term referring to Lakota and Dakota people, rarely to Nakota/Nakoda people too, but never correctly to people of other traditionally Siouan-language-speaking communities.

² Many of these unpublished works are collected in the electronic Siouan Archive, maintained by John Boyle at the University of California at Riverside.

All Siouan languages possess a rich variety of applicative affixes, confirming Polinsky's (2013) observation that applicatives are common in North America and adding another language family to her list of applicative-rich families in the area. **Helmbrecht2006** divides the applicatives into three templatic slots: locative applicatives, benefactive applicatives, and applicative markers; all of the Siouan languages sampled by Helmbrecht possess at least two applicative morphemes.

All Siouan languages are strongly head-final, and the consensus among syntacticians working with Siouan languages is that all but the supraclausal projections (and even some of these) are underlyingly head-final in Siouan languages, contra Kayne's (1994) Antisymmetry theory.

All Siouan languages have head-internal relative clauses. A series of strong claims regarding the typological implications of head-internal relative clauses (cf. Cole 1987; Murasugi 2000), including purported distinctions between "Japanese-type" and "Lakota-type" constructions (cf. Watanabe 2004; Williamson 1987; Bonneau 1992), propelled Lakota into the debates of theoretical syntax. It has been pointed out that head-internal relative clauses of the kind found in Lakota and other Siouan languages lack the island restrictions found in other languages. On the other hand, **Murasugi2000** argues that languages with head-internal relative clauses must also have head-external relative clauses, which is not true in Siouan languages.

All Siouan languages have verbal affixes which index subject possession of or relationship with the object. They vary with respect to contexts of obligatoriness of these affixes.

Many Siouan languages have grammaticalized systems of speaker-gender marking, with gender-specific morphology for speech-act markers, address terms, and kinship lexemes.³ Such usage varies depending on situational factors, however, especially in the case of speech-act markers; see for instance Trechter (1995).

Many Siouan languages have a modal CCV morpheme shape. This does not necessarily imply a preference for CCV phonetic realizations, but may indicate such a preference in the distant past. Another unusual prosodic feature is the preference for second-syllable stress in most Siouan languages. Hoocąk may be the only attested language with default third-syllable stress in the world.

Most Siouan languages have ejective stops. The Dhegiha branch is notable for a four-way glottal-state distinction in its stop series (voiced/lenis, tense/pre-aspirated, ejective and aspirated). Outside of the Dhegiha branch are many Siouan languages which have the unusual feature of a phonemic voicing distinction in

³ In the case of kinship terms, lexical choice is driven by the gender of the "ego" deictic center, which coincides with speaker gender when there is 1st-person inflection.

fricatives but not in stops.

Verbs play some typologically unusual, prominent roles in Siouan languages. Diachronically, many grammatical items which rarely grammaticalize from verbs in other languages tend to derive from verbs in Siouan languages. For instance, Rankin (1977) documents the derivation of classifiers and articles from verbs. In some Siouan languages, the source verbs and target grammatical items continue to exist in parallel with substantial semantic overlap. The Omaha positional article *tʰoⁿ* ‘obviative animate specific standing’, for instance, is homophonous with the root of *átʰoⁿ* ‘stand on’.

This diachronic tendency is mirrored by synchronic flexibility. Siouan languages tend to verb freely — to use nearly any open-class stem as a verb. Thus Lakota *wimačhaša* ‘I am a man’ is derived from the nominal stem *wičhaša* ‘man/person’ with the 1st-person stative pronominal *ma-*.

Dhegiha articles (which have many features in common with positional classifiers in e.g. Mayan languages; see Gordon, 2009) are homophonous with postverbal and postclausal functional items like subordinating conjunctions and aspect and evidentiality markers. They have considerable semantic overlap with them too, a fact which comprises another area of blurriness between nominal and verbal syntax: In Ponca, *niášhiⁿga-ama* may mean ‘the [proximate animate plural specific] people’, but also may mean either ‘they are people’ or ‘I am told s/he was a person’. Plurality is a part of the semantics of *-ama* in both the nominal and the first clausal interpretation. To make matters more interesting, these kinds of ambiguity are not always easily resolved by context alone, and may suggest a “simultaneity” (cf. Woolard 1998) at work as part of speakers’ competence.

This flexibility, that is, the ability of one and the same root to function in both nominal and verbal contexts, has led to some discussion on the status and quality of the noun/verb distinction in Siouan languages (see e.g. Helmbrecht, 2002, and Ingham, 2001).

Nominal arguments in general are not required in Siouan languages, thematic relations being signaled by pronominal or agreement markers within the verb — including zero markers. This makes Siouan languages relevant to debates about the existence of “pronominal argument” languages (Jelinek 1984) and to the related issues of whether there are languages with truly nonconfigurational or flat structure. The preponderance of evidence in Siouan is for the existence of hierarchical structure, specifically including a VP (for instance, West, 1998; Johnson, this volume; Johnson et al, this volume; and Rosen, this volume).

Although Siouan languages have many remarkable features in common, they vary on many others. Some Siouan languages have noun incorporation, while

others do not. Some Siouan languages have stress-accent systems, and others have pitch-accent systems. Dhegiha languages are notable in having as many as eleven definite/specific articles indexing features such as animacy, proximity/obviation (or case), posture/position, number, visibility, motion and dispersion; meanwhile other Siouan languages have no fully grammaticalized articles at all.

Some Siouan languages reflect longtime cultural presence on the Plains, while others are located as far east as the Atlantic Coast, and many more show cultural aspects of both regions. Dhegiha-speaking peoples (Quapaw, Osage, Kaw, Omaha and Ponca, and likely Michigamea as well (Kasak (this volume), Koontz 1995) likely lived at the metropolis at Cahokia, perhaps at a time before any of the descendant groups had separated, and have many Eastern Woodlands-style features of traditional governance and religion, in sharp contrast with the more Plains-typical cultural features of close Lakota and Dakota neighbors and relatives.

One seemingly minor but in fact quite significant issue in Siouan linguistics is the matter of language names and their spelling. Often this involves a self-designation in competition with a name imposed by outsiders. Even when an autonym gains currency among linguists there is sometimes no agreed spelling; so for instance the Otoe self-designation is written Jiwere or Chiwere. For the most part in this volume the choice of language designations has been left to the individual chapter authors. However, after a volume reviewer pointed out that the language of the Ho-Chunk or Winnebago people was spelled no less than ten different ways in various chapters, we encouraged authors to choose one of the two spellings used on the tribe's web site: Ho-Chunk or Hoocąk. Most have voluntarily complied. In a related move, we decided to retranscribe all Lakota data throughout the volume using the now-standard orthography of the *New Lakota Dictionary* (Ullrich et al, 2008).

The volume is divided into four broad areas (Historical, Applied, Formal/Analytical, and Comparative/Cross-Siouan) described in more detail in separate introductions to each part of the volume. Part I consists of five chapters on historical themes: Ryan Kasak evaluates the evidence for a relationship between Yuchi and Siouan; David Kaufman discusses the participation of some Siouan languages in a Southeastern sprachbund; Rory Larson summarizes current knowledge of Siouan sound changes; and Kathleen Danker and Anthony Grant investigate early attempts to write Hoocąk, Kanza, and Osage. Part II opens with Linda Cumberland's interview with Robert Rankin about his work with Kaw language programs. Jimm Goodtracks, Saul Schwartz, and Bryan Gordon present three different perspectives on Baxoje-Jiwere language retention. Justin McBride ap-

plies formal syntax to the solution of a pedagogical problem in teaching Kaw. This applied-linguistics section ends with Jill Greer's sketch grammar of Baxoje-Jiwere. Part III contains formal analyses of individual Siouan languages. David Rood proposes an analysis of /b/ and /g/ in Lakota using the tools of autosegmental phonology and feature geometry. John Boyle elucidates the structure of relative clauses in Hidatsa. Meredith Johnson, Bryan Rosen, and Mateja Schuck, in a series of three interrelated chapters, discuss syntactic constructions in Hoocąk including resultatives and VP ellipsis, which they argue show the language has VP and an adjective category. Part IV consists of three chapters which take a broader view of grammar, considering data from across the Siouan family. Catherine Rudin compares coordination constructions across Siouan; Bryan Gordon does the same with information structure and intonation, and Johannes Helmbrecht with nominal possession constructions.

All four of the areas represented by this volume are ones to which Bob Rankin contributed. His scholarly publications centered primarily around Siouan historical phonology, but included works ranging from dictionaries to toponym studies, from philological investigation of early Siouanists to description of grammaticalization pathways. He was deeply involved in language retention efforts with the Kaw Language Project. Other interests included archeology, linguistic typology, Iroquoian and Muskogean languages, and the history of linguistics.

Bob was a major figure in Siouan linguistics, a mentor to nearly all living Siouanists, and a mainstay of the annual Siouan and Caddoan Linguistics Conference meetings for decades. Trained in Romance and Indo-European linguistics, with a specialty in Romanian (Ph.D. University of Chicago 1972), he shifted gears soon after leaving graduate school, and became an expert in Siouan languages, especially the Dhegiha branch, with special focus on Kaw. From the mid 1970s through the end of his life, he devoted himself to Siouan studies, both practical and scholarly. His long association with the Kaw Tribe led to a grammar and dictionary of that language (see Cumberland, this volume), and he also produced a grammar of Quapaw, and briefly conducted field work on Omaha-Ponca and Osage. At the University of Kansas he directed dissertations on Lakota (Trechter, 1995) and Tutelo (Oliverio, 1996) as well as several M.A. theses on Siouan languages, and taught a wide variety of courses including field methods and structure of Lakota and Kansa as well as more theoretical courses in phonology, syntax, and historical linguistics. Perhaps Bob's greatest gift to the field was his encouragement of others. At conferences and on the Siouan List email forum, he was unfailingly patient and encouraging, answering all questions seriously, explaining linguistic terms to non-linguist participants and basic facts of Siouan

languages to general linguists with equal enthusiasm and lack of condescension.

Following his untimely passing, a special session was held at the 2014 Siouan and Caddoan Linguistics Conference to organize several projects in Bob's honor: The first of these was publication of the Comparative Siouan Dictionary, an immense project comparing cognates across all the Siouan languages, undertaken by Rankin and a group of colleagues in the 1980s. It had been circulated in various manuscript forms but never published. Thanks to David Rood (another founding member of the CSD project), with help from Iren Hartmann, the CSD is now available online (Rankin et al, 2015). The second project was a volume of Bob's conference papers and other previously unpublished or less accessible work, to be collected and edited by a group headed by John P. Boyle and David Rood; that volume, tentatively titled *Siouan Studies: Selected Papers by Robert L. Rankin*, is currently in progress. The third project was a volume of Siouan linguistic work in Bob's memory, which has taken the shape of the present volume.

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Part I

**Historical Linguistics and
Philology**

Introduction to Part I

The relative degree of “genetic” relatedness of the major branches of the Siouan language family is quite well-established: the Catawban languages split off first, then the Missouri Valley Siouan languages, followed by the Southeastern Siouan and Mississippi Valley Siouan languages. Among the latter branch, the Dakotan languages split off first, followed by the Dhegiha and Jiwere-Ho-Chunk sub-branches. Rankin (1988; 1998 Rankin, Carter & Jones 1998; etc.) contributed much to developing and supporting this understanding, alongside advances in rigorous application of the comparative method. Open questions include the possibility of relationships with Yuchi, Iroquoian languages and Caddoan languages, and areal connections. Chapters in Part I of this volume address some of these issues, as well as considering what we can learn from early attempts to write Siouan languages.

Ryan Kasak (“A distant genetic relationship between Siouan-Catawban and Yuchi”) argues that evidence exists to link Yuchi to the Siouan family. Though scarce and not fully conclusive, the evidence includes phonological and morphological correspondences strong enough to make a reasonable case for a genetic relationship between Yuchi and Siouan-Catawban.

David Kaufman (“Two Siouan languages walk into a Sprachbund”) details the effects on Ofo and Biloxi of their participation in the Lower Mississippi Valley (LMV) language area; these languages share many lexical, phonetic and grammatical traits with genetically unrelated languages across the southeastern present-day United States.

Rory Larson (“Regular sound shifts in the history of Siouan”) summarizes the current state of knowledge of the sound changes and correspondences distinguishing each branch and sub-branch of the Siouan family. These phonetic correspondences were worked out as part of the Comparative Siouan Dictionary project (recently made available on line as Rankin et al. (2015)), of which Bob Rankin was a central member. This concise catalog of all the known sound changes will be invaluable to anyone working with Siouan etymologies or cognates in the future.

Kathleen Danker (“Ba-be-bi-bo-ra: Refinement of the Ho-Chunk syllabary in

the 19th and 20th centuries”) presents a glimpse into the process of formation of a Native writing system. This syllabary was inspired by one used by neighboring Algonquian peoples, then progressively changed to better represent the phonologically quite different Ho-Chunk language before being supplanted by writing in English, and by an alphabetic Ho-Chunk orthography.

Anthony Grant (“A forgotten figure in Siouan and Caddoan linguistics: Samuel Stehman Haldeman (1812–1880)”) is another study of writing systems, in this case an early attempt to write Kanza and Osage. Haldeman developed a universal phonetic orthography, one of several precursors to the modern IPA, which he tried out on a variety of languages including these two Siouan ones. While not entirely successful at representing all the sounds of Kanza and Osage, Haldeman’s word lists do provide some insights into the pronunciation of these languages at a time earlier than other available information on them.

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