

# CLASSIFICATION OF SPHERICAL VARIETIES

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## 1. INTRODUCTION

This is my study note for the classification of spherical varieties over  $\mathbb{C}$  based on the papers [1], [2].

There is another approach studied by Cupit-Foutou [3] by means of a suitable class of invariant Hilbert schemes.

## 2. NOTATION

We will fix  $G$  a connected reductive group over  $\mathbb{C}$ ,  $A$  a maximal torus of  $G$ ,  $B$  contains  $A$  a Borel subgroup,  $S$  set of simple roots of  $G$  determined by  $B$ , the root datum will be denoted by  $\mathcal{R} = (\chi^*, \Phi, \chi_*, \Phi^\vee)$  with  $\chi^* = X^*(A)$ .

$X$  will be a spherical  $G$ -variety over  $\mathbb{C}$ .

## 3. INVARIANTS OF SPHERICAL VARIETIES

In this section, we will introduce some invariants for spherical varieties.

We will denote the characters of  $B$ -semiinvariant functions on  $X$  by  $\chi(X)$ , the associated parabolic subgroup of  $X$  is the standard parabolic subgroup

$$P(X) := \{g \in G \mid \mathring{X} \cdot g = \mathring{X}\}$$

From the local structure theorem, we have an isomorphism  $\mathring{X} \cong A_X \times U_{P(X)}$ , and it can be shown that  $\chi = X^*(A_X)$ .

We will denote

$$\Lambda(X) = \chi(X)^*, \quad \mathfrak{a}_X = \Lambda(X) \otimes \mathbb{Q}$$

we can think  $\Lambda(X)$  as the cocharacter lattice of  $X$ . An  $B$ -invariant,  $\mathbb{Q}$ -valued valuation on  $\mathbb{C}(X)$  which is trivial on  $\mathbb{C}^\times$  will induce an element of  $\Lambda(X)$  via restriction to  $\mathbb{C}(X)^B$  and we will denote  $\mathcal{V} \subset \mathfrak{a}_X$  the cone generated by the images of  $G$ -invariant valuations.  $\mathcal{V}$  contains the image of negative Weyl chamber under the natural map  $\mathfrak{a} \rightarrow \mathfrak{a}_X$ .  $\mathcal{V}$  contains the image of the negative Weyl chamber under the natural map  $\mathfrak{a} \rightarrow \mathfrak{a}_X$ . We will denote by  $\Lambda(X)^+ = \Lambda(X) \cap \mathcal{V}$ . The cone  $\mathcal{V} = \mathfrak{a}_X^+$  is the fundamental domain for a finite reflection group  $W_X \subset \text{End}(\mathfrak{a}_X)$ , called the *little Weyl group* of  $X$ .

Consider the strictly convex cone negative dual to  $\mathcal{V}$ :

$$\mathcal{V}^\perp = \{\chi \in \chi(X) \otimes \mathbb{R} \mid \langle \chi, v \rangle \leq 0 \text{ for every } v \in \mathcal{V}\}$$

The generators of the intersections of the extremal rays with  $\chi(X)$  are called the *spherical roots* of  $X$ .

The spherical roots are known to form the set of simple roots of a based root system with Weyl group  $W_X$ . This root system will be called the *spherical root system* of  $X$ , following the notation of [4], we will denote the set of simple roots by  $\Sigma_X$ .

*Remark 3.1.* There is also a different normalization of spherical roots proposed in [5], the normalized spherical roots which is aimed for application to representation theory.

#### 4. WONDERFUL VARIETIES

Wonderful varieties is a class of spherical varieties which arises in the embedding theory of spherical varieties.

**Definition 4.1.** An algebraic  $G$ -variety  $X$  is *wonderful* of rank  $r$  if:

- $X$  is smooth and complete.
- $G$  has a dense orbit in  $X$  whose complement is the union of  $r$  smooth prime divisors  $D_i$ ,  $i = 1, \dots, r$  with normal crossings.
- the intersection of the divisors  $D_i$  is nonempty and for all  $I \subseteq \{1, \dots, r\}$

$$(\cap_{i \in I} D_i) \setminus (\cup_{i \notin I} D_i)$$

is a  $G$ -orbit.

A wonderful  $G$ -variety is always projective and spherical, this is proved in [4].

**Definition 4.2.** A spherical variety  $H \backslash G$  is called *wonderful* if  $H \backslash G$  admits an embedding which is a wonderful variety.

Next, we will fix  $X$  a wonderful variety for  $G$ . The following proposition can be viewed as a localization principle

**Proposition 4.3.** *Let  $z \in X$  be the unique fixed point of  $B^-$  and consider the orbit  $Z = G \cdot z$  which is the unique closed orbit in  $X$ , then the spherical roots are the  $T$ -weights appearing in  $T_z X / T_z Z$ .*

*One can associate to each spherical root  $\gamma$  a  $G$ -stable prime divisor  $D^\gamma$  such that  $\gamma$  is the  $T$ -weight of  $T_z X / T_z D^\gamma$ . Consider the intersection of all  $G$ -invariant prime divisors of  $X$  different from  $D^\gamma$ , this intersection is a wonderful variety of rank 1, and having  $\gamma$  as its spherical root.*

If  $H$  is wonderful then  $H$  has finite index in  $N_G(H)$ , and if  $H = N_G(H)$  then it is wonderful.

We will denote the set of spherical roots of all wonderful  $G$ -varieties of rank 1 by  $\Sigma(G)$ , for  $G$  of adjoint type the elements of  $\Sigma(G)$  are always linear combinations of simple roots with nonnegative integer coefficients.

Now let's recall some lemmas on colors: Let  $X$  be a wonderful  $G$ -variety,  $S$  the set of simple roots associated to  $B$ , for  $\alpha \in S$ , we let  $P_\alpha$  be the standard parabolic subgroup associated to  $\alpha$ . Let  $\Delta_X(\alpha)$  denote the set of non  $P_\alpha$ -stable colors, we will say that  $\alpha$  moves the colors in  $\Delta_X(\alpha)$ , and a color is always moved by some simple roots.

**Lemma 4.4.** ([4]) *For all  $\alpha \in S$ ,  $\Delta_X(\alpha)$  has at most two elements and only the following four cases can appear:*

(1)  $\Delta_X(\alpha) = \emptyset$ , this happens when the open Borel orbit  $\overset{\circ}{X}$  is stable under  $P_\alpha$ , and the set of all such  $\alpha$  will be denote by  $S_X^p$ .

(2)  $\Delta_X(\alpha)$  has two elements, this happens exactly when  $\alpha \in \Sigma_X$ , the two colors in  $\Delta_X(\alpha)$  will be denoted by  $D_\alpha^+, D_\alpha^-$  and we have

$$\langle \rho(D_\alpha^+), \gamma \rangle + \langle \rho(D_\alpha^-), \gamma \rangle = \langle \alpha^\vee, \gamma \rangle$$

for every  $\gamma \in \Sigma_X$ . We will denote by  $\mathcal{A}_X$  the union of all  $\Delta_X(\alpha)$  for every  $\alpha \in S \cap \Sigma_X$ .

(3)  $\Delta_X(\alpha)$  has one element and  $2\alpha \in \Sigma_X$ , the color in  $\Delta_X(\alpha)$  is denoted by  $D'_\alpha$  and we have:

$$\langle \rho(D'_\alpha), \gamma \rangle = \frac{1}{2} \langle \alpha^\vee, \gamma \rangle$$

(4) The remaining case, i.e.  $\Delta_X(\alpha)$  has one element but  $2\alpha \notin \Sigma_X$ . In this case, the color in  $\Delta_X(\alpha)$  is denoted by  $D_\alpha$  and

$$\langle \rho(D_\alpha), \gamma \rangle = \langle \alpha^\vee, \gamma \rangle$$

for every  $\gamma \in \Sigma_X$ .

**Lemma 4.5.** ([6]) *For all  $\alpha, \beta \in S$ , the condition  $\Delta_X(\alpha) \cap \Delta_X(\beta) \neq \emptyset$  occurs only in the following two cases:*

(1) if  $\alpha, \beta \in S \cap \Sigma_X$  then it can happen that the cardinality of  $\Delta_X(\alpha) \cup \Delta_X(\beta)$  is equal to 3.

(2) if  $\alpha$  and  $\beta$  are orthogonal and  $\alpha + \beta$  or  $\frac{1}{2}(\alpha + \beta)$  belongs to  $\Sigma_X$ , then  $D_\alpha = D_\beta$ .

The relations in these two lemmas come from the study of some analysis of the cases in rank 1 and rank 2, they will appear in the next section as the axioms for *spherical systems*. The spherical systems for a wonderful variety  $X$  consists of  $S_X^p$  the simple roots moving no colors,  $\Sigma_X$  the set of spherical roots, and  $\mathcal{A}_X$  a subset of colors.

## 5. SPHERICAL SYSTEMS

The following definition comes from the classification of wonderful varieties of rank less or equal to 2 and some geometric properties of colors studied by Luna 4.4, 4.5.

**Definition 5.1.** Given a root datum  $\mathcal{R} = (\chi^*, \Phi, \chi_*, \Phi^\vee)$  of a connected reductive algebraic group  $G$  and a set of positive roots  $S$ , a triple  $\mathcal{S} = (S^p, \Sigma, \mathcal{A})$  such that  $S^p \subseteq S$ ,  $\Sigma \subset \Sigma(G)$ ,  $\mathcal{A}$  is a finite set endowed with a map  $\rho : \mathcal{A} \rightarrow \chi^\vee$ , where  $\chi = \langle \Sigma \rangle$ ,  $\mathcal{S}$  will be called a *spherical systems* if the following axioms are satisfied:

- (A1)  $\forall D \in \mathcal{A}$ ,  $\rho(D)(\alpha) \leq 1$  for all  $\alpha \in \Sigma$ , equality holds if and only if  $\alpha \in S \cap \Sigma$ .
- (A2)  $\forall \alpha \in S \cap \Sigma$ ,  $\mathcal{A}(\alpha) := \{D \in \mathcal{A} \mid \rho(D)(\alpha) = 1\} = \{D_\alpha^+, D_\alpha^-\}$ , and  $\rho(D_\alpha^+) + \rho(D_\alpha^-) = \alpha^\vee$ .
- (A3)  $\mathcal{A} = \cup_{\alpha \in S \cap \Sigma} \mathcal{A}(\alpha)$ .
- (Σ1) If  $2\alpha \in \Sigma \cap 2S$ , then  $\frac{1}{2}\langle \alpha^\vee, \beta \rangle$  is a non-positive integer,  $\forall \beta \in \Sigma \setminus \{2\alpha\}$ , furthermore  $\alpha \notin \chi$  and  $\frac{1}{2}\langle \alpha^\vee, \beta \rangle$  is an integer for all  $\beta \in \chi$ .
- (Σ2) If  $\alpha, \beta \in S$  are orthogonal and  $\alpha + \beta$  belongs to  $\Sigma$  or  $2\Sigma$ , then  $\langle \alpha^\vee, \gamma \rangle = \langle \beta^\vee, \gamma \rangle$ ,  $\forall \gamma \in \chi$ .
- (S) For all  $\alpha \in \Sigma$ , there is a wonderful  $G$ -variety  $X$  of rank 1 with  $S_X^p = S^p$ , and  $\Sigma_X = \{\alpha\}$ .

The cardinality of  $\Sigma$  will be called the *rank* of the spherical system.

Let's note that for the spherical systems of a wonderful variety  $X$ , the spherical root system  $(\Phi_X, \Sigma_X)$  is not part of the axiom.

The definition of the spherical system is such that the following lemmas holds:

**Lemma 5.2.** *For every wonderful  $G$ -variety  $X$  the triple  $(S_X^p, \Sigma_X, \mathcal{A}_X)$  is a spherical system.*

Let's sketch the proof for this lemma: axioms (A2), (A3) correspond to lemma 4.4 (2), axiom (Σ1) correspond to lemma 4.4 (3), axiom (Σ2) corresponds to 4.5 (2) and axiom (S) follows from the definition of  $\Sigma_X$  and  $\Sigma(G)$ .

**Lemma 5.3.** *The map  $X \mapsto (S_X^p, \Sigma_X, \mathcal{A}_X)$  is a bijection between rank one (resp. rank two) wonderful varieties (up to  $G$ -isomorphisms) and rank one (resp. rank two) spherical systems.*

This lemma is a reformulation of the result of Wasserman [7].

In [8] it is proven that spherical systems classify wonderful  $G$ -varieties for  $G$  adjoint of type  $A$  and he conjectured that wonderful varieties are classified by spherical systems, this program is completed in [2]

**Theorem 5.4.** ([2]) *There is a bijection  $X \leftrightarrow (S_X^p, \Sigma_X, \mathcal{A}_X)$  between wonderful  $G$ -varieties and spherical systems.*

It is obvious that two  $G$ -isomorphic wonderful varieties have the same spherical systems, however, if two wonderful varieties are isomorphic, namely  $G$ -isomorphic up to outer automorphism of  $G$ , their spherical systems are equal up to a *permutation of the set  $S$  of simple roots*.

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