## Algorithm Lab

## Week 7: Sequence Alignment

We have 2 sequences  $A = (a_1, a_2, ..., a_m)$  and  $B = (b_1, b_2, ..., b_n)$ . We can insert void symbols into either sequence at arbitrary place. An alignment is to make 2 sequences with the same length by do previous operation on A and B; Assume the length of made sequences is k, we can denote the sequence from A by  $A' = (a'_1, a'_2, ..., a'_k)$  and denote the sequence from B by  $B' = (b'_1, b'_2, ..., b'_k)$ . When we align A' and B', for each pair symbol have 3 different states: match if  $a'_i = b'_i$ ; gap if each of them is a void symbol; mismatch if  $a'_i \neq b'_i$ . Every state will correspond to a score (or penalty), named  $s_{match}$ ,  $s_{gap}$ , and  $s_{mismatch}$ . Score of the alignment is the summation of score of each paired symbol. Sequence alignment problem ask to find an alignment can maximize the alignment score. Longest common subsequence problem is a specialized version of sequence alignment problem that  $s_{match} = 1$ ,  $s_{gap} = 0$ , and  $s_{mismatch} = 0$ .

Instance: sequences A, B and score  $s_{match}$ ,  $s_{gap}$ ,  $s_{mismatch}$ 

Result: sequences A', B' where |A'| = |B'| and maximize the alignment score

## Description

We denote the substring of A that contains i leading symbols by  $A_i$  and substring of B that contains j leading symbols by  $B_j$ . For convenient, we define 3 functions as followed:

- $f(i,j) \rightarrow A'_i, B'_i$ : Find the alignment that can maximize alignment score of  $A_i$  and  $B_j$ .
- $g(i,j) \rightarrow Score$ : The alignment score of f(i,j).
- $p(i,j) \rightarrow Score$ : The alignment score of  $a_i$  and  $b_j$ . Should be  $s_{match}$  or  $s_{mismatch}$ .

Since every symbol of A and B will be the trailing symbol of some  $A_i$  or  $B_j$ , thus, we can consider only trailing symbols. For f(i,j). Under the policy, the only operation we need to try is append(insert) void symbol to  $A_i$ , append void symbol to  $B_j$ , or leave the original paring. If  $b_j$  maps to a void symbol, means we'll append a void symbol to  $A_i$ ; if  $a_i$  maps to a void symbol, we'll append a void symbol to  $B_j$ ; If we append nothing to  $A_i$  and  $B_j$ , then  $a_i$  and  $b_j$  should be paired and be match or be mismatch.

If nothing to match, our score should be 0, thus, f(0,0) = ((),()) and g(0,0) = 0.

$$g(i,j) = \max \begin{cases} g(i-1,j) + s_{gap} \\ g(i,j-1) + s_{gap} \\ g(i-1,j-1) + p(i,j) \end{cases}$$

$$f(i,j) = \begin{cases} f(i-1,j) \ appends \ (a_i,void), & g(i,j) \ choose \ g(i-1,j) \\ f(i,j-1) \ appends \ (void,b_j), & g(i,j) \ choose \ g(i,j-1) \\ f(i-1,j-1) \ appends \ (a_i,b_j), & g(i,j) \ choose \ g(i-1,j-1) \end{cases}$$

When implement this algorithm, we usually cache values of g(i,j) by a 2-dimension array.

## Questions

- 1. In normally implementation, f(m,n) can find one of optimal alignment. How to find out all of optimal alignments?
- 2. How many optimal alignments may exist? Please construct a set of input to explain your answer.
- 3. Suppose both A and B are very long, that we can't maintain all  $m \times n$  scores in memory. Please find the way which only cache n values.
- 4. Analyze space complexity, time complexity in best case and worst case in Q1 and Q2.
- 5. Solve http://oj.csie.ndhu.edu.tw/problem/ALG04C.