## RISC-V Supervisor Binary Interface Specification

RISC-V Platform Specification Task Group

Version 1.0-rc1

## **Table of Contents**

Copyright and license information	3
Change Log.	4
Version 1.0-rc1	4
Version 0.3.0	4
Version 0.3-rc1	4
Version 0.2	4
1. Introduction	5
2. Binary Encoding	6
3. Base Extension (EID #0x10)	8
3.1. Function: Get SBI specification version (FID #0)	8
3.2. Function: Get SBI implementation ID (FID #1)	8
3.3. Function: Get SBI implementation version (FID #2)	8
3.4. Function: Probe SBI extension (FID #3)	8
3.5. Function: Get machine vendor ID (FID #4)	8
3.6. Function: Get machine architecture ID (FID #5)	9
3.7. Function: Get machine implementation ID (FID #6)	9
3.8. Function Listing	9
3.9. SBI Implementation IDs	9
4. Legacy Extensions (EIDs #0x00 - #0x0F)	10
4.1. Extension: Set Timer (EID #0x00)	10
4.2. Extension: Console Putchar (EID #0x01)	10
4.3. Extension: Console Getchar (EID #0x02)	10
4.4. Extension: Clear IPI (EID #0x03)	10
4.5. Extension: Send IPI (EID #0x04)	
4.6. Extension: Remote FENCE.I (EID #0x05)	
4.7. Extension: Remote SFENCE.VMA (EID #0x06)	11
4.8. Extension: Remote SFENCE.VMA with ASID (EID #0x07)	11
4.9. Extension: System Shutdown (EID #0x08)	12
4.10. Function Listing	12
5. Timer Extension (EID #0x54494D45 "TIME")	13
5.1. Function: Set Timer (FID #0)	13
5.2. Function Listing	13
6. IPI Extension (EID #0x735049 "sPI: s-mode IPI")	
6.1. Function: Send IPI (FID #0).	14
6.2. Function Listing	
7. RFENCE Extension (EID #0x52464E43 "RFNC")	15
7.1. Function: Remote FENCE.I (FID #0)	15
7.2. Function: Remote SFENCE.VMA (FID #1)	15

7.3. Function: Remote SFENCE.VMA with ASID (FID #2)	16
7.4. Function: Remote HFENCE.GVMA with VMID (FID #3)	16
7.5. Function: Remote HFENCE.GVMA (FID #4)	17
7.6. Function: Remote HFENCE.VVMA with ASID (FID #5)	17
7.7. Function: Remote HFENCE.VVMA (FID #6)	
7.8. Function Listing	18
8. Hart State Management Extension (EID #0x48534D "HSM")	
8.1. Function: HART start (FID #0)	20
8.2. Function: HART stop (FID #1)	22
8.3. Function: HART get status (FID #2).	22
8.4. Function: HART suspend (FID #3).	22
8.5. Function Listing	24
9. System Reset Extension (EID #0x53525354 "SRST")	25
9.1. Function: System reset (FID #0).	25
9.2. Function Listing	26
10. Performance Monitoring Unit Extension (EID #0x504D55 "PMU")	27
10.1. Event: Hardware general events (Type #0)	27
10.2. Event: Hardware cache events (Type #1)	28
10.3. Event: Hardware raw events (Type #2).	29
10.4. Event: Firmware events (Type #15)	
10.5. Function: Get number of counters (FID #0)	
10.6. Function: Get details of a counter (FID #1).	
10.7. Function: Find and configure a matching counter (FID #2)	32
10.8. Function: Start a set of counters (FID #4)	33
10.9. Function: Stop a set of counters (FID #4)	
10.10. Function: Read a firmware counter (FID #5).	34
10.11. Function Listing	
11. Experimental SBI Extension Space (EIDs #0x08000000 - #0x08FFFFFF)	
12. Vendor-Specific SBI Extension Space (EIDs #0x09000000 - #0x09FFFFFF)	
13. Firmware Specific SBI Extension Space (EIDs #0x0A000000 - #0x0AFFFFFF)	

### Copyright and license information

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### **Change Log**

#### Version 1.0-rc1

• A typo fix

#### Version 0.3.0

- Few typo fixes
- Updated the LICENSE with detailed text instead of a hyperlink

#### Version 0.3-rc1

- · Improved document styling and naming conventions
- · Added SBI system reset extension
- Improved SBI introduction section
- Improved documentation of SBI hart state management extension
- Added suspend function to SBI hart state management extension
- Added performance monitoring unit extension
- Clarified that an SBI extension shall not be partially implemented

#### Version 0.2

• The entire v0.1 SBI has been moved to the legacy extension, which is now an optional extension. This is technically a backwards-incompatible change because the legacy extension is optional and v0.1 of the SBI doesn't allow probing, but it's as good as we can do.

### Chapter 1. Introduction

This specification describes the RISC-V Supervisor Binary Interface, known from here on as SBI. The SBI allows supervisor-mode (S-mode or VS-mode) software to be portable across all RISC-V implementations by defining an abstraction for platform (or hypervisor) specific functionality. The design of the SBI follows the general RISC-V philosophy of having a small core along with a set of optional modular extensions.

SBI extensions as whole are optional but they shall not be partially implemented. If sbi\_probe\_extension() signals that an extension is available, all functions present in the SBI version reported by sbi\_get\_spec\_version() must conform to that version of the SBI specification.

The higher privilege software providing SBI interface to the supervisor-mode software is referred as an SBI implementation or Supervisor Execution Environment (SEE). An SBI implementation (or SEE) can be platform runtime firmware executing in machine-mode (M-mode) (see below Figure 1) or it can be some hypervisor executing in hypervisor-mode (HS-mode) (see below Figure 2).

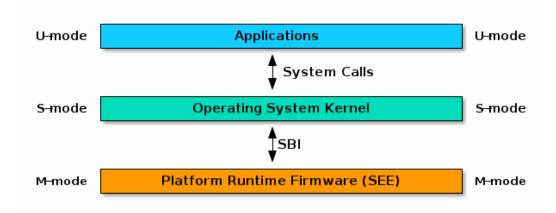


Figure 1. RISC-V System without H-extension

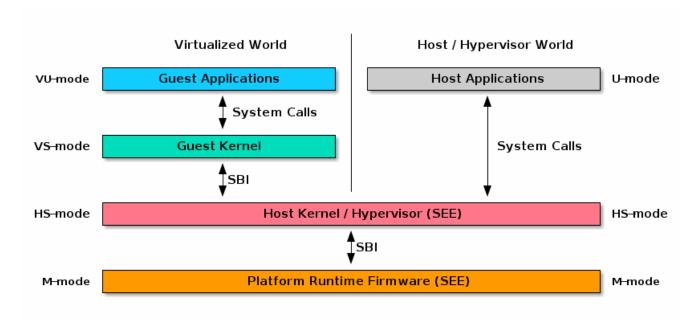


Figure 2. RISC-V System with H-extension

### **Chapter 2. Binary Encoding**

All SBI functions share a single binary encoding, which facilitates the mixing of SBI extensions. This binary encoding matches the RISC-V Linux syscall ABI, which itself is based on the calling convention defined in the RISC-V ELF psABI. In other words, SBI calls are exactly the same as standard RISC-V function calls except that:

- An ECALL is used as the control transfer instruction instead of a CALL instruction.
- a7 (or t0 on RV32E-based systems) encodes the SBI extension ID (EID), which matches how the system call ID is encoded in Linux system call ABI.

Many SBI extensions also chose to encode an additional SBI function ID (**FID**) in a6, a scheme similar to the ioctl() system call on many UNIX operating systems. This allows SBI extensions to encode multiple functions within the space of a single extension.

In the name of compatibility, SBI extension IDs (**EIDs**) and SBI function IDs (**FIDs**) are encoded as signed 32-bit integers. When passed in registers these follow the standard RISC-V calling convention rules.

SBI functions must return a pair of values in a0 and a1, with a0 returning an error code. This is analogous to returning the C structure

```
struct sbiret {
   long error;
   long value;
};
```

The Table 1 below provides a list of Standard SBI error codes.

Error Type	Value
SBI_SUCCESS	0
SBI_ERR_FAILED	-1
SBI_ERR_NOT_SUPPORTED	-2
SBI_ERR_INVALID_PARAM	-3
SBI_ERR_DENIED	-4
SBI_ERR_INVALID_ADDRESS	-5
SBI_ERR_ALREADY_AVAILABLE	-6
SBI_ERR_ALREADY_STARTED	-7
SBI_ERR_ALREADY_STOPPED	-8

Table 1. Standard SBI Errors

An ECALL with an unsupported SBI extension ID (EID) or an unsupported SBI function ID (FID) must return the error code SBI\_ERR\_NOT\_SUPPORTED.

Every SBI function should prefer unsigned long as the data type. It keeps the specification simple and easily adaptable for all RISC-V ISA types (i.e. RV32, RV64 and RV128). In case the data is defined as 32bit wide, higher privilege software must ensure that it only uses 32 bit data only.

If an SBI function needs to pass a list of harts to the higher privilege mode, it must use a hart mask as defined below. This is applicable to any extensions defined in or after v0.2.

Any function, requiring a hart mask, need to pass following two arguments.

- unsigned long hart\_mask is a scalar bit-vector containing hartids
- unsigned long hart\_mask\_base is the starting hartid from which bit-vector must be computed.

In a single SBI function call, maximum number harts that can be set is always XLEN. If a lower privilege mode needs to pass information about more than XLEN harts, it should invoke multiple instances of the SBI function call. hart\_mask\_base can be set to -1 to indicate that hart\_mask can be ignored and all available harts must be considered.

Any function using hart mask may return error values listed in the Table 2 below which are in addition to function specific error values.

Error code	Description
SBI_ERR_INVALID_PARAM	Either hart_mask_base or any of the hartid from hart_mask is not valid i.e. either the hartid is not enabled by the platform or is not available to the supervisor.

Table 2. HART Mask Errors

### Chapter 3. Base Extension (EID #0x10)

The base extension is designed to be as small as possible. As such, it only contains functionality for probing which SBI extensions are available and for querying the version of the SBI. All functions in the base extension must be supported by all SBI implementations, so there are no error returns defined.

#### 3.1. Function: Get SBI specification version (FID #0)

```
struct sbiret sbi_get_spec_version(void);
```

Returns the current SBI specification version. This function must always succeed. The minor number of the SBI specification is encoded in the low 24 bits, with the major number encoded in the next 7 bits. Bit 31 must be 0 and is reserved for future expansion.

#### 3.2. Function: Get SBI implementation ID (FID #1)

```
struct sbiret sbi_get_impl_id(void);
```

Returns the current SBI implementation ID, which is different for every SBI implementation. It is intended that this implementation ID allows software to probe for SBI implementation quirks.

#### 3.3. Function: Get SBI implementation version (FID #2)

```
struct sbiret sbi_get_impl_version(void);
```

Returns the current SBI implementation version. The encoding of this version number is specific to the SBI implementation.

#### 3.4. Function: Probe SBI extension (FID #3)

```
struct sbiret sbi_probe_extension(long extension_id);
```

Returns 0 if the given SBI extension ID (**EID**) is not available, or an extension-specific non-zero value if it is available.

#### 3.5. Function: Get machine vendor ID (FID #4)

```
struct sbiret sbi_get_mvendorid(void);
```

#### 3.6. Function: Get machine architecture ID (FID #5)

```
struct sbiret sbi_get_marchid(void);
```

Return a value that is legal for the marchid CSR and 0 is always a legal value for this CSR.

#### 3.7. Function: Get machine implementation ID (FID #6)

```
struct sbiret sbi_get_mimpid(void);
```

Return a value that is legal for the mimpid CSR and 0 is always a legal value for this CSR.

#### 3.8. Function Listing

Function Name	SBI Version	FID	EID
sbi_get_sbi_spec_version	0.2	0	0x10
sbi_get_sbi_impl_id	0.2	1	0x10
sbi_get_sbi_impl_version	0.2	2	0x10
sbi_probe_extension	0.2	3	0x10
sbi_get_mvendorid	0.2	4	0x10
sbi_get_marchid	0.2	5	0x10
sbi_get_mimpid	0.2	6	0x10

Table 3. Base Function List

#### 3.9. SBI Implementation IDs

Implementation ID	Name
0	Berkeley Boot Loader (BBL)
1	OpenSBI
2	Xvisor
3	KVM
4	RustSBI
5	Diosix

Table 4. SBI Implementation IDs

## Chapter 4. Legacy Extensions (EIDs #0x00 - #0x0F)

The legacy SBI extensions ignores the SBI function ID field, instead being encoded as multiple SBI extension IDs. Each of these extension IDs must be probed for directly.

The legacy SBI extensions is deprecated in favor of the other extensions listed below. The legacy console SBI functions (sbi\_console\_getchar() and sbi\_console\_putchar()) are expected to be deprecated; they have no replacement.

#### 4.1. Extension: Set Timer (EID #0x00)

```
void sbi_set_timer(uint64_t stime_value)
```

Programs the clock for next event after **stime\_value** time. This function also clears the pending timer interrupt bit.

If the supervisor wishes to clear the timer interrupt without scheduling the next timer event, it can either request a timer interrupt infinitely far into the future (i.e., (uint64\_t)-1), or it can instead mask the timer interrupt by clearing sie.STIE CSR bit.

#### 4.2. Extension: Console Putchar (EID #0x01)

```
void sbi_console_putchar(int ch)
```

Write data present in **ch** to debug console.

Unlike sbi\_console\_getchar(), this SBI call will block if there remain any pending characters to be transmitted or if the receiving terminal is not yet ready to receive the byte. However, if the console doesn't exist at all, then the character is thrown away.

#### 4.3. Extension: Console Getchar (EID #0x02)

```
int sbi_console_getchar(void)
```

Read a byte from debug console; returns the byte on success, or -1 for failure. Note. This is the only SBI call in the legacy extension that has a non-void return type.

#### 4.4. Extension: Clear IPI (EID #0x03)

void sbi\_clear\_ipi(void)

Clears the pending IPIs if any. The IPI is cleared only in the hart for which this SBI call is invoked. sbi\_clear\_ipi() is deprecated because S-mode code can clear sip.SSIP CSR bit directly.

#### 4.5. Extension: Send IPI (EID #0x04)

```
void sbi_send_ipi(const unsigned long *hart_mask)
```

Send an inter-processor interrupt to all the harts defined in hart\_mask. Interprocessor interrupts manifest at the receiving harts as Supervisor Software Interrupts.

hart\_mask is a virtual address that points to a bit-vector of harts. The bit vector is represented as a sequence of unsigned longs whose length equals the number of harts in the system divided by the number of bits in an unsigned long, rounded up to the next integer.

#### 4.6. Extension: Remote FENCE.I (EID #0x05)

```
void sbi_remote_fence_i(const unsigned long *hart_mask)
```

Instructs remote harts to execute FENCE.I instruction. The hart\_mask is same as described in sbi\_send\_ipi().

#### 4.7. Extension: Remote SFENCE.VMA (EID #0x06)

Instructs the remote harts to execute one or more SFENCE.VMA instructions, covering the range of virtual addresses between start and size.

## 4.8. Extension: Remote SFENCE.VMA with ASID (EID #0x07)

Instruct the remote harts to execute one or more SFENCE.VMA instructions, covering the range of virtual addresses between start and size. This covers only the given ASID.

### 4.9. Extension: System Shutdown (EID #0x08)

void sbi\_shutdown(void)

Puts all the harts to shutdown state from supervisor point of view. This SBI call doesn't return.

### 4.10. Function Listing

Function Name	SBI Version	FID	EID	Replacement EID
sbi_set_timer	0.1	0	0x00	0x54494D45
sbi_console_putchar	0.1	0	0x01	N/A
sbi_console_getchar	0.1	0	0x02	N/A
sbi_clear_ipi	0.1	0	0x03	N/A
sbi_send_ipi	0.1	0	0x04	0x735049
sbi_remote_fence_i	0.1	0	0x05	0x52464E43
sbi_remote_sfence_vma	0.1	0	0x06	0x52464E43
sbi_remote_sfence_vma_asid	0.1	0	0x07	0x52464E43
sbi_shutdown	0.1	0	0x08	0x53525354
RESERVED			0x09-0x0F	

Table 5. Legacy Function List

## Chapter 5. Timer Extension (EID #0x54494D45 "TIME")

This replaces legacy timer extension (EID #0x00). It follows the new calling convention defined in v0.2.

#### 5.1. Function: Set Timer (FID #0)

```
struct sbiret sbi_set_timer(uint64_t stime_value)
```

Programs the clock for next event after **stime\_value** time. **stime\_value** is in absolute time. This function must clear the pending timer interrupt bit as well.

If the supervisor wishes to clear the timer interrupt without scheduling the next timer event, it can either request a timer interrupt infinitely far into the future (i.e., (uint64\_t)-1), or it can instead mask the timer interrupt by clearing sie.STIE CSR bit.

#### 5.2. Function Listing

Function Name	SBI Version	FID	EID
sbi_set_timer	0.2	0	0x54494D45

Table 6. TIME Function List

# Chapter 6. IPI Extension (EID #0x735049 "sPI: s-mode IPI")

This extension replaces the legacy extension (EID #0x04). The other IPI related legacy extension(0x3) is deprecated now. All the functions in this extension follow the  $hart_mask$  as defined in the binary encoding section.

#### 6.1. Function: Send IPI (FID #0)

Send an inter-processor interrupt to all the harts defined in hart\_mask. Interprocessor interrupts manifest at the receiving harts as the supervisor software interrupts.

The possible error codes returned in sbiret.error are shown in the Table 7 below.

Error code	Description
SBI_SUCCESS	IPI was sent to all the targeted harts successfully.

Table 7. IPI Send Errors

#### 6.2. Function Listing

Function Name	SBI Version	FID	EID
sbi_send_ipi	0.2	0	0x735049

Table 8. IPI Function List

## Chapter 7. RFENCE Extension (EID #0x52464E43 "RFNC")

This extension defines all remote fence related functions and replaces the legacy extensions (EIDs #0x05 - #0x07). All the functions follow the hart\_mask as defined in binary encoding section. Any function wishes to use range of addresses (i.e. start\_addr and size), have to abide by the below constraints on range parameters.

The remote fence function acts as a full TLB flush if

- start\_addr and size are both 0
- size is equal to 2^XLEN-1

#### 7.1. Function: Remote FENCE.I (FID #0)

Instructs remote harts to execute FENCE, I instruction.

The possible error codes returned in sbiret.error are shown in the Table 9 below.

Error code	Description
SBI_SUCCESS	IPI was sent to all the targeted harts successfully.

Table 9. RFENCE Remote FENCE.I Errors

#### 7.2. Function: Remote SFENCE.VMA (FID #1)

Instructs the remote harts to execute one or more SFENCE.VMA instructions, covering the range of virtual addresses between start and size.

The possible error codes returned in sbiret.error are shown in the Table 10 below.

Error code	Description
SBI_SUCCESS	IPI was sent to all the targeted harts successfully.
SBI_ERR_INVALID_ADDRESS	start_addr or size is not valid.

Table 10. RFENCE Remote SFENCE.VMA Errors

#### 7.3. Function: Remote SFENCE.VMA with ASID (FID #2)

Instruct the remote harts to execute one or more SFENCE.VMA instructions, covering the range of virtual addresses between start and size. This covers only the given ASID.

The possible error codes returned in sbiret.error are shown in the Table 11 below.

Error code	Description	
SBI_SUCCESS	IPI was sent to all the targeted harts successfully.	
SBI_ERR_INVALID_ADDRESS	start_addr or size is not valid.	

Table 11. RFENCE Remote SFENCE.VMA with ASID Errors

## 7.4. Function: Remote HFENCE.GVMA with VMID (FID #3)

Instruct the remote harts to execute one or more HFENCE.GVMA instructions, covering the range of guest physical addresses between start and size only for the given VMID. This function call is only valid for harts implementing hypervisor extension.

The possible error codes returned in sbiret.error are shown in the Table 12 below.

Error code	Description
SBI_SUCCESS	IPI was sent to all the targeted harts successfully.
SBI_ERR_NOT_SUPPORTED	This function is not supported as it is not implemented or one of the target hart doesn't support hypervisor extension.
SBI_ERR_INVALID_ADDRESS	start_addr or size is not valid.

Table 12. RFENCE Remote HFENCE.GVMA with VMID Errors

#### 7.5. Function: Remote HFENCE.GVMA (FID #4)

Instruct the remote harts to execute one or more HFENCE.GVMA instructions, covering the range of guest physical addresses between start and size for all the guests. This function call is only valid for harts implementing hypervisor extension.

The possible error codes returned in sbiret.error are shown in the Table 13 below.

Error code	Description
SBI_SUCCESS	IPI was sent to all the targeted harts successfully.
SBI_ERR_NOT_SUPPORTED	This function is not supported as it is not implemented or one of the target hart doesn't support hypervisor extension.
SBI_ERR_INVALID_ADDRESS	start_addr or size is not valid.

Table 13. RFENCE Remote HFENCE.GVMA Errors

## 7.6. Function: Remote HFENCE.VVMA with ASID (FID #5)

Instruct the remote harts to execute one or more HFENCE.VVMA instructions, covering the range of guest virtual addresses between start and size for the given ASID and current VMID (in hgatp CSR) of calling hart. This function call is only valid for harts implementing hypervisor extension.

The possible error codes returned in sbiret.error are shown in the Table 14 below.

Error code	Description
SBI_SUCCESS	IPI was sent to all the targeted harts successfully.
SBI_ERR_NOT_SUPPORTED	This function is not supported as it is not implemented or one of the target hart doesn't support hypervisor extension.
SBI_ERR_INVALID_ADDRESS	start_addr or size is not valid.

#### 7.7. Function: Remote HFENCE.VVMA (FID #6)

Instruct the remote harts to execute one or more HFENCE.VVMA instructions, covering the range of guest virtual addresses between start and size for current VMID (in hgatp CSR) of calling hart. This function call is only valid for harts implementing hypervisor extension.

The possible error codes returned in sbiret.error are shown in the Table 15 below.

Error code	Description
SBI_SUCCESS	IPI was sent to all the targeted harts successfully.
SBI_ERR_NOT_SUPPORTED	This function is not supported as it is not implemented or one of the target hart doesn't support hypervisor extension.
SBI_ERR_INVALID_ADDRESS	start_addr or size is not valid.

Table 15. RFENCE Remote HFENCE.VVMA Errors

#### 7.8. Function Listing

Function Name	SBI Version	FID	EID
sbi_remote_fence_i	0.2	0	0x52464E43
sbi_remote_sfence_vma	0.2	1	0x52464E43
sbi_remote_sfence_vma_asid	0.2	2	0x52464E43
sbi_remote_hfence_gvma_vmid	0.2	3	0x52464E43
sbi_remote_hfence_gvma	0.2	4	0x52464E43
sbi_remote_hfence_vvma_asid	0.2	5	0x52464E43
sbi_remote_hfence_vvma	0.2	6	0x52464E43

Table 16. RFENCE Function List

# Chapter 8. Hart State Management Extension (EID #0x48534D "HSM")

The Hart State Management (HSM) Extension introduces a set of hart states and a set of functions which allow the supervisor-mode software to request a hart state change.

The Table 17 shown below describes all possible **HSM states** along with a unique **HSM state id** for each state:

State ID	State Name	Description
0	STARTED	The hart is physically powered-up and executing normally.
1	STOPPED	The hart is not executing in supervisor-mode or any lower privilege mode. It is probably powered-down by the SBI implementation if the underlying platform has a mechanism to physically power-down harts.
2	START_PENDING	Some other hart has requested to start (or power-up) the hart from the <b>STOPPED</b> state and the SBI implementation is still working to get the hart in the <b>STARTED</b> state.
3	STOP_PENDING	The hart has requested to stop (or power-down) itself from the <b>STARTED</b> state and the SBI implementation is still working to get the hart in the <b>STOPPED</b> state.
4	SUSPENDED	This hart is in a platform specific suspend (or low power) state.
5	SUSPEND_PENDING	The hart has requested to put itself in a platform specific low power state from the <b>STARTED</b> state and the SBI implementation is still working to get the hart in the platform specific <b>SUSPENDED</b> state.
6	RESUME_PENDING	An interrupt or platform specific hardware event has caused the hart to resume normal execution from the <b>SUSPENDED</b> state and the SBI implementation is still working to get the hart in the <b>STARTED</b> state.

Table 17. HSM Hart States

At any point in time, a hart should be in one of the above mentioned hart states. The hart state transitions by the SBI implementation should follow the state machine shown below in the Figure 3.

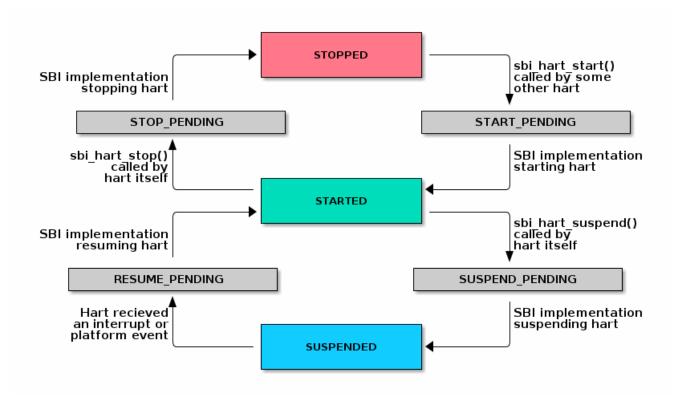


Figure 3. SBI HSM State Machine

A platform can have multiple harts grouped into a hierarchical topology groups (namely cores, clusters, nodes, etc) with separate platform specific low-power states for each hierarchical group. These platform specific low-power states of hierarchical topology groups can be represented as platform specific suspend states of a hart. An SBI implementation can utilize the suspend states of higher topology groups using one of the following approaches:

- Platform-coordinated: In this approach, when a hart becomes idle the supervisor-mode power-managment software will request deepest suspend state for the hart and higher topology groups. An SBI implementation should choose a suspend state at higher topology group which is:
  - a. Not deeper than the specified suspend state
  - b. Wake-up latency is not higher than the wake-up latency of the specified suspend state
- 2. **OS-inititated:** In this approach, the supervisor-mode power-managment software will directly request a suspend state for higher topology group after the last hart in that group becomes idle. When a hart becomes idle, the supervisor-mode power-managment software will always select suspend state for the hart itself but it will select a suspend state for a higher topology group only if the hart is the last running hart in the group. An SBI implementation should:
  - a. Never choose a suspend state for higher topology group different from the specified suspend state
  - b. Always prefer most recent suspend state requested for higher topology group

#### 8.1. Function: HART start (FID #0)

Request the SBI implementation to start executing the target hart in supervisor-mode at address specified by start\_addr parameter with specific registers values described in the Table 18 below.

Register Name	Register Value	
satp	0	
sstatus.SIE	0	
a0	hartid	
a1 opaque parameter		
All other registers remain in an undefined state.		

Table 18. HSM Hart Start Register State

This call is asynchronous—more specifically, the <code>sbi\_hart\_start()</code> may return before the target hart starts executing as long as the SBI implementation is capable of ensuring the return code is accurate. If the SBI implementation is a platform runtime firmware executing in machine-mode (M-mode) then it MUST configure PMP and other M-mode state before transferring control to supervisor-mode software.

The hartid parameter specifies the target hart which is to be started.

The start\_addr parameter points to a runtime-specified physical address, where the hart can start executing in supervisor-mode.

The opaque parameter is a XLEN-bit value which will be set in the a1 register when the hart starts executing at start\_addr.

The possible error codes returned in sbiret.error are shown in the Table 19 below.

Error code	Description
SBI_SUCCESS	Hart was previously in stopped state. It will start executing from start_addr.
SBI_ERR_INVALID_ADDRESS	<ul><li>start_addr is not valid possibly due to following reasons:</li><li>* It is not a valid physical address.</li><li>* The address is prohibited by PMP to run in supervisor mode.</li></ul>
SBI_ERR_INVALID_PARAM	hartid is not a valid hartid as corresponding hart cannot started in supervisor mode.
SBI_ERR_ALREADY_AVAILABLE	The given hartid is already started.
SBI_ERR_FAILED	The start request failed for unknown reasons.

Table 19. HSM Hart Start Errors

#### 8.2. Function: HART stop (FID #1)

```
struct sbiret sbi_hart_stop(void)
```

Request the SBI implementation to stop executing the calling hart in supervisor-mode and return it's ownership to the SBI implementation. This call is not expected to return under normal conditions. The sbi\_hart\_stop() must be called with the supervisor-mode interrupts disabled.

The possible error codes returned in sbiret.error are shown in the Table 20 below.

Error code	Description
SBI_ERR_FAILED	Failed to stop execution of the current hart

Table 20. HSM Hart Stop Errors

#### 8.3. Function: HART get status (FID #2)

```
struct sbiret sbi_hart_get_status(unsigned long hartid)
```

Get the current status (or HSM state id) of the given hart in sbiret.value, or an error through sbiret.error.

The hartid parameter specifies the target hart for which status is required.

The possible status (or HSM state id) values returned in sbiret.value are described in Table 17.

The possible error codes returned in sbiret.error are shown in the Table 21 below.

Error code	Description
SBI_ERR_INVALID_PARAM	The given hartid is not valid

Table 21. HSM Hart Get Status Errors

The harts may transition HSM states at any time due to any concurrent <code>sbi\_hart\_start()</code> or <code>sbi\_hart\_stop()</code> or <code>sbi\_hart\_suspend()</code> calls, the return value from this function may not represent the actual state of the hart at the time of return value verification.

#### 8.4. Function: HART suspend (FID #3)

Request the SBI implementation to put the calling hart in a platform specific suspend (or low power) state specified by the suspend\_type parameter. The hart will automatically come out of

suspended state and resume normal execution when it receives an interrupt or platform specific hardware event.

The platform specific suspend states for a hart can be either retentive or non-retentive in nature. A retentive suspend state will preserve hart register and CSR values for all privilege modes whereas a non-retentive suspend state will not preserve hart register and CSR values.

Resuming from a retentive suspend state is straight forward and the supervisor-mode software will see SBI suspend call return without any failures. The resume\_addr parameter is unused during retentive suspend.

Resuming from a non-retentive suspend state is relatively more involved and requires software to restore various hart registers and CSRs for all privilege modes. Upon resuming from non-retentive suspend state, the hart will jump to supervisor-mode at address specified by resume\_addr with specific registers values described in the Table 22 below.

Register Name	Register Value	
satp	0	
sstatus.SIE	0	
a0	hartid	
a1 opaque parameter		
All other registers remain in an undefined state.		

Table 22. HSM Hart Resume Register State

The suspend\_type parameter is 32 bits wide and the possible values are shown in Table 23 below.

Value	Description
0x00000000	Default retentive suspend
0x00000001 - 0x0FFFFFF	Reserved for future use
0x10000000 - 0x7FFFFFF	Platform specific retentive suspend
0x80000000	Default non-retentive suspend
0x80000001 - 0x8FFFFFF	Reserved for future use
0x90000000 - 0xffffffff	Platform specific non-retentive suspend
> 0xFFFFFFF	Reserved (and non-existent on RV32)

Table 23. HSM Hart Suspend Types

The resume\_addr parameter points to a runtime-specified physical address, where the hart can resume execution in supervisor-mode after a non-retentive suspend.

The opaque parameter is a XLEN-bit value which will be set in the all register when the hart resumes execution at resume\_addr after a non-retentive suspend.

The possible error codes returned in sbiret.error are shown in the Table 24 below.

Error code	Description
SBI_SUCCESS	Hart has suspended and resumed back successfully from a retentive suspend state.
SBI_ERR_INVALID_PARAM	suspend_type is not valid.
SBI_ERR_NOT_SUPPORTED	suspend_type is valid but not implemented.
SBI_ERR_INVALID_ADDRESS	resume_addr is not valid possibly due to following reasons:  * It is not a valid physical address.  * The address is prohibited by PMP to run in supervisor mode.
SBI_ERR_FAILED	The suspend request failed for unknown reasons.

Table 24. HSM Hart Suspend Errors

### 8.5. Function Listing

Function Name	SBI Version	FID	EID
sbi_hart_start	0.2	0	0x48534D
sbi_hart_stop	0.2	1	0x48534D
sbi_hart_get_status	0.2	2	0x48534D
sbi_hart_suspend	0.3	3	0x48534D

Table 25. HSM Function List

## Chapter 9. System Reset Extension (EID #0x53525354 "SRST")

The System Reset Extension provides a function that allow the supervisor software to request system-level reboot or shutdown. The term "system" refers to the world-view of supervisor software and the underlying SBI implementation could be machine mode firmware or hypervisor.

#### 9.1. Function: System reset (FID #0)

```
struct sbiret sbi_system_reset(uint32_t reset_type, uint32_t reset_reason)
```

Reset the system based on provided reset\_type and reset\_reason. This is a synchronous call and does not return if it succeeds.

The reset\_type parameter is 32 bits wide and it's possible values are shown in the Table 26 below.

Value	Description
0x00000000	Shutdown
0x00000001	Cold reboot
0x00000002	Warm reboot
0x00000003 - 0xEFFFFFF	Reserved for future use
0xF0000000 - 0xFFFFFFF	Vendor or platform specific reset type
> 0xFFFFFFF	Reserved (and non-existent on RV32)

Table 26. SRST System Reset Types

The reset\_reason is an optional parameter representing the reason for system reset. This parameter is 32 bits wide with possible values shown in the Table 27 below

Value	Description
0x00000000	No reason
0x00000001	System failure
0x00000002 - 0xDFFFFFF	Reserved for future use
0xE0000000 - 0xEFFFFFF	SBI implementation specific reset reason
0xF0000000 - 0xFFFFFFF	Vendor or platform specific reset reason
> 0xFFFFFFF	Reserved (and non-existent on RV32)

Table 27. SRST System Reset Reasons

When supervisor software is running natively, the SBI implementation is machine mode firmware. In this case, shutdown is equivalent to physical power down of the entire system and cold reboot is equivalent to physical power cycle of the entire system. Further, warm reboot is equivalent to a

power cycle of main processor and parts of the system but not the entire system. For example, on a server class system with a BMC (board management controller), a warm reboot will not power cycle the BMC whereas a cold reboot will definitely power cycle the BMC.

When supervisor software is running inside a virtual machine, the SBI implementation is a hypervisor. The shutdown, cold reboot and warm reboot will behave functionally the same as the native case but might not result in any physical power changes.

The possible error codes returned in sbiret.error are shown in the Table 28 below.

Error code	Description
SBI_ERR_INVALID_PARAM	reset_type or reset_reason is not valid.
SBI_ERR_NOT_SUPPORTED	reset_type is valid but not implemented.
SBI_ERR_FAILED	Reset request failed for unknown reasons.

Table 28. SRST System Reset Errors

#### 9.2. Function Listing

Function Name	SBI Version	FID	EID
sbi_system_reset	0.3	0	0x53525354

Table 29. SRST Function List

# Chapter 10. Performance Monitoring Unit Extension (EID #0x504D55 "PMU")

The RISC-V hardware performance counters such as mcycle, minstret, and mhpmcounterX CSRs are accessible as read-only from supervisor-mode using cycle, instret, and hpmcounterX CSRs. The SBI performance monitoring unit (PMU) extension is an interface for supervisor-mode to configure and use the RISC-V hardware performance counters with assistance from the machine-mode (or hypervisor-mode). These hardware performance counters can only be started, stopped, or configured from machine-mode using mcountinhibit and mhpmeventX CSRs. Due to this, a machine-mode SBI implementation may choose to disallow SBI PMU extension if mcountinhibit CSR is not implemented by the RISC-V platform.

A RISC-V platform generally supports monitoring of various hardware events using a limited number of hardware performance counters which are up to 64 bits wide. In addition, a SBI implementation can also provide firmware performance counters which can monitor firmware events such as number of misaligned load/store instructions, number of RFENCEs, number of IPIs, etc. The firmware counters are always 64 bits wide.

The SBI PMU extension provides:

- 1. An interface for supervisor-mode software to discover and configure per-HART hardware/firmware counters
- 2. A typical perf compatible interface for hardware/firmware performance counters and events
- 3. Full access to microarchitecture's raw event encodings

To define SBI PMU extension calls, we first define important entities counter\_idx, event\_idx, and event\_data. The counter\_idx is a logical number assigned to each hardware/firmware counter. The event\_idx represents a hardware (or firmware) event whereas the event\_data is 64 bits wide and represents additional configuration (or parameters) for a hardware (or firmware) event.

The event\_idx is a 20 bits wide number encoded as follows:

```
event_idx[19:16] = type
event_idx[15:0] = code
```

#### 10.1. Event: Hardware general events (Type #0)

The event\_idx.type (i.e. event type) should be 0x0 for all hardware general events and each hardware general event is identified by an unique event\_idx.code (i.e. event code) described in the Table 30 below.

General Event Name	Code	Description
SBI_PMU_HW_NO_EVENT	0	Unused event because event_idx
		cannot be zero

General Event Name	Code	Description
SBI_PMU_HW_CPU_CYCLES	1	Event for each CPU cycle
SBI_PMU_HW_INSTRUCTIONS	2	Event for each completed instruction
SBI_PMU_HW_CACHE_REFERENCES	3	Event for cache hit
SBI_PMU_HW_CACHE_MISSES	4	Event for cache miss
SBI_PMU_HW_BRANCH_INSTRUCTIONS	5	Event for a branch instruction
SBI_PMU_HW_BRANCH_MISSES	6	Event for a branch misprediction
SBI_PMU_HW_BUS_CYCLES	7	Event for each BUS cycle
SBI_PMU_HW_STALLED_CYCLES_FRONTEND	8	Event for a stalled cycle in microarchitecture frontend
SBI_PMU_HW_STALLED_CYCLES_BACKEND	9	Event for a stalled cycle in microarchitecture backend
SBI_PMU_HW_REF_CPU_CYCLES	10	Event for each reference CPU cycle

Table 30. PMU Hardware Events

**NOTE:** The event\_data (i.e. event data) is unused for hardware general events and all non-zero values of event\_data are reserved for future use.

**NOTE:** A RISC-V platform might halt the CPU clock when it enters WAIT state using the WFI instruction or enters platform specific SUSPEND state using the SBI HSM HART suspend call.

**NOTE:** The **SBI\_PMU\_HW\_CPU\_CYCLES** event counts CPU clock cycles as counted by the **cycle** CSR. These may be variable frequency cycles, and are not counted when the CPU clock is halted.

**NOTE:** The **SBI\_PMU\_HW\_REF\_CPU\_CYCLES** counts fixed-frequency clock cycles while the CPU clock is not halted. The fixed-frequency of counting might, for example, be the same frequency at which the mtime CSR counts.

**NOTE:** The **SBI\_PMU\_HW\_BUS\_CYCLES** counts fixed-frequency clock cycles. The fixed-frequency of counting might be the same frequency at which the **mtime** CSR counts, or may be the frequency of the clock at the boundary between the HART (and it's private caches) and the rest of the system.

#### 10.2. Event: Hardware cache events (Type #1)

The event\_idx.type (i.e. event type) should be 0x1 for all hardware cache events and each hardware cache event is identified by an unique event\_idx.code (i.e. event code) which is encoded as follows:

```
event_idx.code[15:3] = cache_id
event_idx.code[2:1] = op_id
event_idx.code[0:0] = result_id
```

Below tables show possible values of: event\_idx.code.cache\_id (i.e. cache event id), event\_idx.code.op\_id (i.e. cache operation id) and event\_idx.code.result\_id (i.e. cache result id).

Cache Event Name	Event ID	Description
SBI_PMU_HW_CACHE_L1D	0	Level1 data cache event
SBI_PMU_HW_CACHE_L1I	1	Level1 instruction cache event
SBI_PMU_HW_CACHE_LL	2	Last level cache event
SBI_PMU_HW_CACHE_DTLB	3	Data TLB event
SBI_PMU_HW_CACHE_ITLB	4	Instruction TLB event
SBI_PMU_HW_CACHE_BPU	5	Branch predictor unit event
SBI_PMU_HW_CACHE_NODE	6	NUMA node cache event

Table 31. PMU Cache Event ID

Cache Operation Name	Operation ID	Description
SBI_PMU_HW_CACHE_OP_READ	0	Read cache line
SBI_PMU_HW_CACHE_OP_WRITE	1	Write cache line
SBI_PMU_HW_CACHE_OP_PREFETCH	2	Prefetch cache line

Table 32. PMU Cache Operation ID

Cache Result Name	Result ID	Description
SBI_PMU_HW_CACHE_RESULT_ACCESS	0	Cache access
SBI_PMU_HW_CACHE_RESULT_MISS	1	Cache miss

Table 33. PMU Cache Operation Result ID

**NOTE:** The event\_data (i.e. event data) is unused for hardware cache events and all non-zero values of event\_data are reserved for future use.

#### 10.3. Event: Hardware raw events (Type #2)

The event\_idx.type (i.e. event type) should be 0x2 for all hardware raw events and event\_idx.code (i.e. event code) should be zero.

On RISC-V platform with 32 bits wide mhpmeventX CSRs, the event\_data configuration (or parameter) should have the 32-bit value to to be programmed in the mhpmeventX CSR.

On RISC-V platform with 64 bits wide mhpmeventX CSRs, the event\_data configuration (or parameter) should have the 48-bit value to be programmed in the lower 48-bits of mhpmeventX CSR and the SBI implementation shall determine the value to be programmed in the upper 16 bits of mhpmeventX CSR.

**Note:** The RISC-V platform hardware implementation may choose to define the expected value to be written to mhpmeventX CSR for a hardware event. In case of hardware general/cache events, the RISC-V platform hardware implementation may use the zero-extended event\_idx as the expected value for simplicity.

### 10.4. Event: Firmware events (Type #15)

The event\_idx.type (i.e. event type) should be 0xf for all firmware events and each firmware event is identified by an unique event\_idx.code (i.e. event code) described in the Table 34 below.

Firmware Event Name	Code	Description
SBI_PMU_FW_MISALIGNED_LOAD	0	Misaligned load trap event
SBI_PMU_FW_MISALIGNED_STORE	1	Misaligned store trap event
SBI_PMU_FW_ACCESS_LOAD	2	Load access trap event
SBI_PMU_FW_ACCESS_STORE	3	Store access trap event
SBI_PMU_FW_ILLEGAL_INSN	4	Illegal instruction trap event
SBI_PMU_FW_SET_TIMER	5	Set timer event
SBI_PMU_FW_IPI_SENT	6	Sent IPI to other HART event
SBI_PMU_FW_IPI_RECEIVED	7	Received IPI from other HART event
SBI_PMU_FW_FENCE_I_SENT	8	Sent FENCE.I request to other HART event
SBI_PMU_FW_FENCE_I_RECEIVED	9	Received FENCE.I request from other HART event
SBI_PMU_FW_SFENCE_VMA_SENT	10	Sent SFENCE.VMA request to other HART event
SBI_PMU_FW_SFENCE_VMA_RECEIVED	11	Received SFENCE.VMA request from other HART event
SBI_PMU_FW_SFENCE_VMA_ASID_SENT	12	Sent SFENCE.VMA with ASID request to other HART event
SBI_PMU_FW_SFENCE_VMA_ASID_RECEIVED	13	Received SFENCE.VMA with ASID request from other HART event
SBI_PMU_FW_HFENCE_GVMA_SENT	14	Sent HFENCE.GVMA request to other HART event
SBI_PMU_FW_HFENCE_GVMA_RECEIVED	15	Received HFENCE.GVMA request from other HART event
SBI_PMU_FW_HFENCE_GVMA_VMID_SENT	16	Sent HFENCE.GVMA with VMID request to other HART event
SBI_PMU_FW_HFENCE_GVMA_VMID_RECEIVED	17	Received HFENCE.GVMA with VMID request from other HART event
SBI_PMU_FW_HFENCE_VVMA_SENT	18	Sent HFENCE.VVMA request to other HART event
SBI_PMU_FW_HFENCE_VVMA_RECEIVED	19	Received HFENCE.VVMA request from other HART event

Firmware Event Name	Code	Description
SBI_PMU_FW_HFENCE_VVMA_ASID_SENT	20	Sent HFENCE.VVMA with ASID request to other HART event
SBI_PMU_FW_HFENCE_VVMA_ASID_RECEIVED	21	Received HFENCE.VVMA with ASID request from other HART event

Table 34. PMU Firmware Events

**NOTE:** the event\_data (i.e. event data) is unused for firmware events and all non-zero values of event\_data are reserved for future use.

#### 10.5. Function: Get number of counters (FID #0)

```
struct sbiret sbi_pmu_num_counters()
```

**Returns** the number of counters (both hardware and firmware) in sbiret.value and always returns SBI SUCCESS in sbiret.error.

#### 10.6. Function: Get details of a counter (FID #1)

```
struct sbiret sbi_pmu_counter_get_info(unsigned long counter_idx)
```

Get details about the specified counter such as underlying CSR number, width of the counter, type of counter hardware/firmware, etc.

The counter\_info returned by this SBI call is encoded as follows:

```
counter_info[11:0] = CSR (12bit CSR number)
counter_info[17:12] = Width (One less than number of bits in CSR)
counter_info[XLEN-2:18] = Reserved for future use
counter_info[XLEN-1] = Type (0 = hardware and 1 = firmware)
```

If counter\_info.type == 1 then counter\_info.csr and counter\_info.width should be ignored.

**Returns** the counter\_info described above in sbiret.value.

The possible error codes returned in sbiret.error are shown in the Table 35 below.

Error code	Description	
SBI_SUCCESS	counter_info read successfully.	
SBI_ERR_INVALID_PARAM	counter_idx points to an invalid counter.	

Table 35. PMU Counter Get Info Errors

## 10.7. Function: Find and configure a matching counter (FID #2)

Find and configure a counter from a set of counters which is not started (or enabled) and can monitor the specified event. The counter\_idx\_base and counter\_idx\_mask parameters represent the set of counters whereas the event\_idx represent the event to be monitored and event\_data represents any additional event configuration.

The config\_flags parameter represent additional counter configuration and filter flags. The bit definitions of the config\_flags parameter are shown in the Table 36 below.

Flag Name	Bits	Description
SBI_PMU_CFG_FLAG_SKIP_MATCH	0:0	Skip the counter matching
SBI_PMU_CFG_FLAG_CLEAR_VALUE	1:1	Clear (or zero) the counter value in counter configuration
SBI_PMU_CFG_FLAG_AUTO_START	2:2	Start the counter after configuring a matching counter
SBI_PMU_CFG_FLAG_SET_VUINH	3:3	Event counting inhibited in VU-mode
SBI_PMU_CFG_FLAG_SET_VSINH	4:4	Event counting inhibited in VS-mode
SBI_PMU_CFG_FLAG_SET_UINH	5:5	Event counting inhibited in U-mode
SBI_PMU_CFG_FLAG_SET_SINH	6:6	Event counting inhibited in S-mode
SBI_PMU_CFG_FLAG_SET_MINH	7:7	Event counting inhibited in M-mode
RESERVED	8:(XLEN-1)	All non-zero values are reserved for future use

Table 36. PMU Counter Config Match Flags

**NOTE:** When **SBI\_PMU\_CFG\_FLAG\_SKIP\_MATCH** is set in config\_flags, the SBI implementation will unconditionally select the first counter from the set of counters specified by the counter\_idx\_base and counter\_idx\_mask.

**NOTE:** The **SBI\_PMU\_CFG\_FLAG\_AUTO\_START** flag in **config\_flags** has no impact on the counter value.

**NOTE:** The config\_flags[3:7] bits are event filtering hints so these can be ignored or overridden by the SBI implementation for security concerns or due to lack of event filtering support in the underlying RISC-V platform.

**Returns** the counter\_idx in sbiret.value upon success.

In case of failure, the possible error codes returned in sbiret.error are shown in the Table 37 below.

Error code	Description
SBI_SUCCESS	counter found and configured successfully.
SBI_ERR_INVALID_PARAM	set of counters has an invalid counter.
SBI_ERR_NOT_SUPPORTED	none of the counters can monitor specified event.

Table 37. PMU Counter Config Match Errors

#### 10.8. Function: Start a set of counters (FID #4)

Start or enable a set of counters on the calling HART with the specified initial value. The counter\_idx\_base and counter\_idx\_mask parameters represent the set of counters whereas the initial\_value parameter specifies the initial value of the counter.

The bit definitions of the start\_flags parameter are shown in the Table 38 below.

Flag Name	Bits	Description
SBI_PMU_START_SET_INIT_VALUE	0:0	Set the value of counters based on the initial_value parameter
RESERVED	1:(XLEN-1)	All non-zero values are reserved for future use

Table 38. PMU Counter Start Flags

**NOTE:** When SBI\_PMU\_START\_SET\_INIT\_VALUE is not set in start\_flags, the counter value will not be modified and event counting will start from current counter value.

The possible error codes returned in sbiret.error are shown in the Table 39 below.

Error code	Description
SBI_SUCCESS	counter started successfully.
SBI_ERR_INVALID_PARAM	some of the counters specified in parameters are invalid.
SBI_ERR_ALREADY_STARTED	some of the counters specified in parameters are already started.

Table 39. PMU Counter Start Errors

#### 10.9. Function: Stop a set of counters (FID #4)

Stop or disable a set of counters on the calling HART. The counter\_idx\_base and counter\_idx\_mask parameters represent the set of counters. The bit definitions of the stop\_flags parameter are shown in the Table 40 below.

Flag Name	Bits	Description
SBI_PMU_STOP_FLAG_RESET	0:0	Reset the counter to event mapping.
RESERVED	1:(XLEN-1)	All non-zero values are reserved for future use

Table 40. PMU Counter Stop Flags

The possible error codes returned in sbiret.error are shown in the Table 41 below.

Error code	Description
SBI_SUCCESS	counter stopped successfully.
SBI_ERR_INVALID_PARAM	some of the counters specified in parameters are invalid.
SBI_ERR_ALREADY_STOPPED	some of the counters specified in parameters are already stopped.

Table 41. PMU Counter Stop Errors

#### 10.10. Function: Read a firmware counter (FID #5)

```
struct sbiret sbi_pmu_counter_fw_read(unsigned long counter_idx)
```

Provide the current value of a firmware counter in sbiret.value.

The possible error codes returned in sbiret.error are shown in the Table 42 below.

Error code	Description
SBI_SUCCESS	firmware counter read successfully.
SBI_ERR_INVALID_PARAM	<pre>counter_idx points to a hardware counter or an invalid counter.</pre>

Table 42. PMU Counter Firmware Read Errors

### **10.11. Function Listing**

Function Name	SBI Version	FID	EID
sbi_pmu_num_counters	0.3	0	0x504D55
sbi_pmu_counter_get_info	0.3	1	0x504D55
sbi_pmu_counter_config_matching	0.3	2	0x504D55
sbi_pmu_counter_start	0.3	3	0x504D55
sbi_pmu_counter_stop	0.3	4	0x504D55
sbi_pmu_counter_fw_read	0.3	5	0x504D55

Table 43. PMU Function List

# Chapter 11. Experimental SBI Extension Space (EIDs #0x08000000 - #0x08FFFFFF)

No management.

# Chapter 12. Vendor-Specific SBI Extension Space (EIDs #0x09000000 - #0x09FFFFFF)

Low bits from mvendorid.

# Chapter 13. Firmware Specific SBI Extension Space (EIDs #0x0A000000 - #0x0AFFFFFF)

Low bits is SBI implementation ID. The firmware specific SBI extensions are for SBI implementations. It provides firmware specific SBI functions which are defined in the external firmware specification.