

2.1 Exercise Sheet 1

2.1.1 Numerical and Exploration

Exercise 2.1.1. For an ordered pair (a, b) of rational numbers, consider the polynomial

$$f_{a,b}(x, y) := ax^2 + by^2 - 1 \in \mathbb{Q}[x, y].$$

Let $C(a, b) = C_{f_{a,b}} \subset \mathbb{A}_{\mathbb{Q}}^2$ be the rational affine plane algebraic curve defined by $f_{a,b}$.

- (a) Show that $C(2/5, 1/5) = \emptyset$.
- (b) Characterize all primes p such that $C(1/p, 1/p) = \emptyset$.
- (c) Characterize all pairs (a, b) such that $C(a, b) = \emptyset$.

Exercise 2.1.2.

- (a) Play around with graphs of real affine plane algebraic curves (RAPACs) on, say, Desmos or WolframAlpha. What is the coolest thing you can get a graph to do (cross itself thrice, look like a heart, etc.)?
- (b) How many pieces (i.e. connected components) can a RAPAC of degree $d = 2$ have? How about $d = 3$? What about $d \in \{4, 5, 6, 7\}$?
- (c) What can you say in general? Can you come up with upper or lower bounds for the number of pieces?
- (d) Does the number of pieces depend on the nesting relations¹ between them? Does it depend on (or dictate) their shapes (e.g. convexity)?²

Exercise 2.1.3.

- (a) Let $P \subset \mathbb{A}_{\mathbb{R}}^2$ be the polar curve implicitly defined by the equation

$$r^3 + r \cos \theta - \sin 4\theta = 0.$$

Find a nonconstant polynomial $f(x, y) \in \mathbb{R}[x, y]$ such that the curve $C_f \subset \mathbb{A}_{\mathbb{R}}^2$ defined by f contains P , i.e. satisfies $P \subset C_f$ ³

- (b) What is the degree of your f ? What is the smallest possible degree of such an f ?
- (c) By your choice of f , we have the containment $P \subset C_f$. Is P all of C_f ? If so, can you explain why (perhaps by retracing steps)? If not, how would you describe the extraneous components of $C_f \setminus P$? Could you have predicted them? Can you pick an f that provably minimizes the number of extraneous components?
- (d) Repeat the same analysis as in (a) through (c) for other such implicitly defined polar curves of your own devising.
- (e) Can you perform the same analysis as above for the Archimedean spiral, which is the polar curve implicitly defined by the equation $r = \theta$?

Draw pictures, or get a computer to draw them for you, but beware—is your software doing exactly what you think it is? For instance, plotting the equation

$$(x^2 + y^2)^{3/2} + x - \sin\left(4 \arctan\left(\frac{y}{x}\right)\right) = 0$$

in Desmos does not give you the correct picture for P . (Why?)

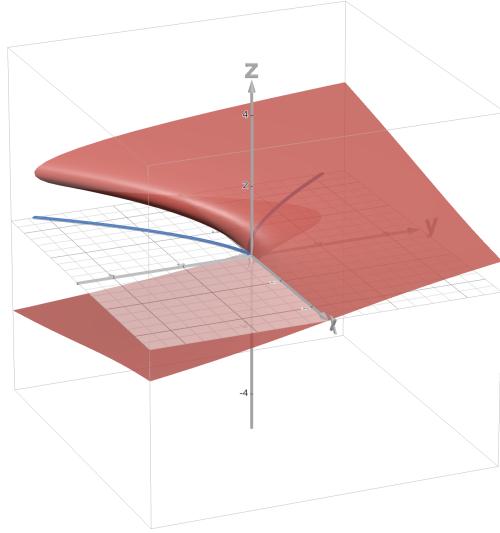
¹What does that mean? What are those?

²Here's a harder result to whet your appetite: if $d = 4$ and there is a nested pair of closed ovals, then the inner oval must be convex and there cannot be more components, although there may be up to 4 non-convex components in general. You may not be able to prove this now, but you should be able to solve this problem by the end of the course.

³I like to use the symbol \subset to mean “is contained in or equal to”. Others prefer the symbol \subseteq to denote the same thing. I will use the symbol \subsetneq when I want to exclude the possibility of equality.

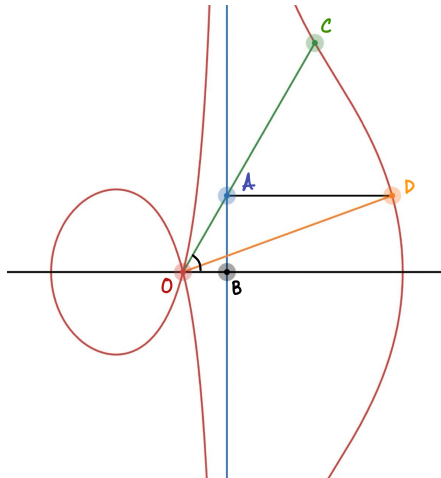
2.1.

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Exercise 2.1.6. Show that over $k = \mathbb{C}$, every affine conic section, i.e. plane curve of degree 2 defined by a polynomial of the form

$$f(x, y) = ax^2 + 2hxy + by^2 + 2ex + 2fy + c \in \mathbb{C}[x, y]$$

for some $a, b, c, e, f, h \in \mathbb{C}$, not all zero, can be brought by an affine change of coordinates into one of the following forms:

- (a) an ellipse/circle/hyperbola defined by $x^2 + y^2 = 1$,
- (b) a parabola defined by $y = x^2$, or
- (c) a pair of lines defined by $xy = 0$, or
- (d) a double line defined by $x^2 = 0$.

Note that the equivalence of the circle $x^2 + y^2 = 1$ and hyperbola $x^2 - y^2 = 1$ in $\mathbb{A}_{\mathbb{C}}^2$ uses that \mathbb{C} contains a square root of -1 (how?). Can you come up with a similar classification over $k = \mathbb{R}$? What about other fields like $k = \mathbb{F}_q$?

2.1.2 PODASIPs

Prove or disprove and salvage if possible the following statements.

Exercise 2.1.7. Let k be a field, $C \subset \mathbb{A}_k^2$ be an algebraic curve, and $\ell \subset \mathbb{A}_k^2$ be a line. Then the intersection $C \cap \ell \subset \mathbb{A}_k^2$ of C and ℓ is finite.

Exercise 2.1.8. Given any field k and function $f : k \rightarrow k$, we define its **graph** to be the subset

$$\Gamma_f := \mathbb{V}(y - f(x)) = \{(x, f(x)) : x \in k\} \subset \mathbb{A}_k^2.$$

- (a) When $k = \mathbb{R}$ and $f(x) = \sin x$, the graph $\Gamma_f \subset \mathbb{A}_{\mathbb{R}}^2$ is an algebraic curve.
- (b) When $k = \mathbb{R}$ and $f(x) = e^x$, the graph $\Gamma_f \subset \mathbb{A}_{\mathbb{R}}^2$ is an algebraic curve.
- (c) In the setting of (b), every line $\ell \subset \mathbb{A}_{\mathbb{R}}^2$ meets Γ_f in at most two points.
- (d) When $k = \mathbb{C}$ and $f(x) = e^x$, the graph $\Gamma_f \subset \mathbb{A}_{\mathbb{C}}^2$ is an algebraic curve.

[Possible Hints: For (a), see Exercise 2.1.7. For (b), the exponential function grows *very fast*, so that your solution to (a) may not work for (b) thanks to (c). You may either use this growth to your advantage, or you may first solve (d) and use a little bit of complex analysis.]

Exercise 2.1.9 (Apparently Transcendental Curves).

- (a) The curve $C_1 \subset \mathbb{A}_{\mathbb{R}}^2$ given parametrically as

$$C_1 = \{(e^{2t} + e^t + 1, e^{3t} - 2) : t \in \mathbb{R}\}$$

is an algebraic curve.

- (b) The curve $C_2 \subset \mathbb{A}_{\mathbb{R}}^2$ defined by the vanishing of the function f defined by

$$f(x, y) = x^2 + y^2 + \sin^2(x + y)$$

is an algebraic curve.

These examples are a little silly, but they illustrate important points (what?). Can we improve our definition of a plane algebraic curve to avoid such silliness?

Exercise 2.1.10. Given any $g(r, c, s) \in \mathbb{R}[r, c, s]$, there is a unique polynomial $f(x, y) \in \mathbb{R}[x, y]$ such that the polar algebraic curve P_g implicitly defined by g (see §1.2.2) is contained in the algebraic curve C_f defined by f , i.e. satisfies $P_g \subset C_f$.