

Izmir Institute of Technology  
**CENG 115**  
**Discrete Structures**

Slides are based on the Text  
*Discrete Mathematics & Its Applications* (6<sup>th</sup> Edition)  
by Kenneth H. Rosen

# Module #8: **The Growth of Functions**

Rosen 6<sup>th</sup> ed., § 3.2

# The Growth of Functions ( § 3.2)

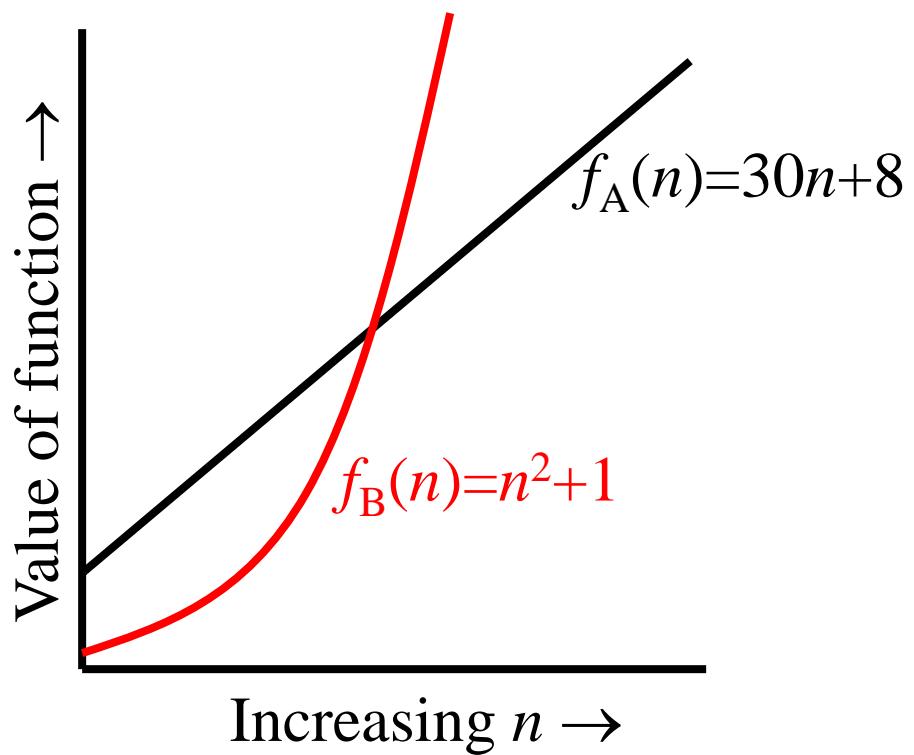
- For functions over numbers, we often need to know a rough measure of *how fast a function grows*.
- If  $f(x)$  is *faster growing* than  $g(x)$ , then  $f(x)$  always eventually becomes larger than  $g(x)$  *in the limit* (for large enough values of  $x$ ).
- Useful in engineering for showing that one design *scales* better or worse than another.

# Motivation

- Suppose you are designing a web site to process user data (*e.g.*, financial records).
- Suppose database program A takes  $f_A(n)=30n+8$  microseconds to process any  $n$  records, while program B takes  $f_B(n)=n^2+1$  microseconds to process  $n$  records.
- Which program do you choose, knowing you'll want to support many users? 

# Visualizing Orders of Growth

- On a graph, as you go to the right, a faster growing function eventually becomes larger...



# Concept of order of growth

- We say  $f_A(n)=30n+8$  is *order n*, or  $O(n)$ . It is, at most, roughly *proportional* to  $n$ .
- $f_B(n)=n^2+1$  is *order  $n^2$* , or  $O(n^2)$ . It is roughly proportional to  $n^2$ .
- Any  $O(n^2)$  function is faster-growing than any  $O(n)$  function.
- For large numbers of user records, the  $O(n^2)$  function will always take more time.

# Definition: $O(g)$ , at most order $g$

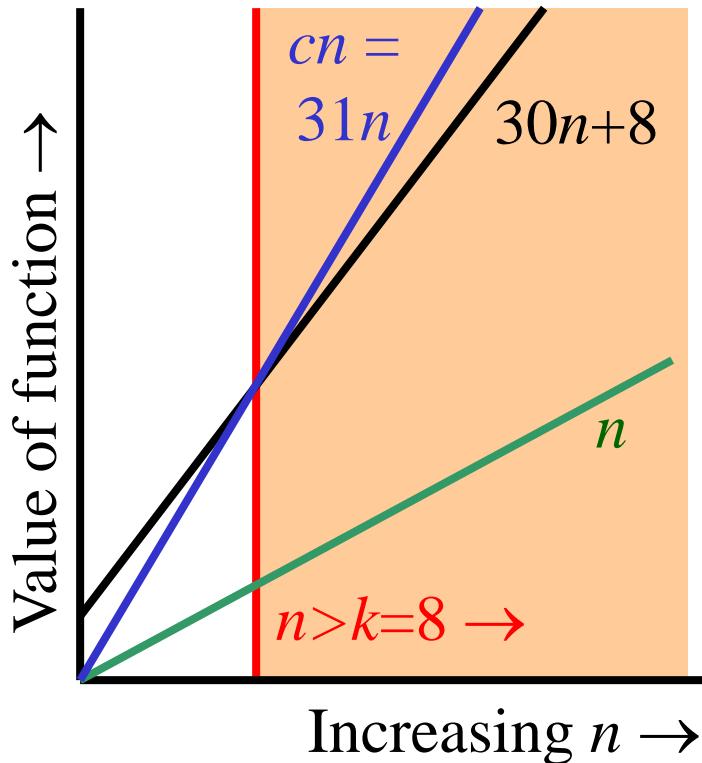
- Let  $g$  be any function  $\mathbf{R} \rightarrow \mathbf{R}$ .
- We say that  $f(x)$  is  $O(g(x))$  if  
 $\exists c,k: \forall x>k: |f(x)| \leq c|g(x)|$ 
  - When  $x$  is greater than  $k$ , function  $f$  is at most a constant  $c$  times  $g$  (i.e., proportional to  $g$ ).
- “ $f$  is at most order  $g$ ”, or “ $f$  is big-O of  $g$ ”
- Sometimes  $f=O(g)$  or  $f \in O(g)$  are also used.

# “Big-O” Proof Examples

- Show that  $30n+8$  is  $O(n)$ .
  - Show  $\exists c,k: \forall n>k: 30n+8 \leq cn$ .
    - Let  $c=31, k=8$ . So, when we consider  $n>k=8$ ,  
 $cn = 31n = 30n + n > 30n+8$ , that is  $30n+8 < cn$ .
- Show that  $n^2+1$  is  $O(n^2)$ .
  - Show  $\exists c,k: \forall n>k: n^2+1 \leq cn^2$ .
    - Let  $c=2, k=1$ . For  $n>k=1$ ,  
 $cn^2 = 2n^2 = n^2+n^2 > n^2+1$ , or  $n^2+1 < cn^2$ .

# Big-O example, graphically

- $30n+8$  is *nowhere* less than  $n$ .
- $30n+8$  is not less than  $31n$  *everywhere*.
- But it *is* less than  $31n$  everywhere to the right of  $n=8$ .
- This  $(c,k)$  pair are called witnesses.



$30n+8$   
is  $O(n)$

# Points about Big-O proof

- Note that  $f$  is  $O(g)$  as long as a pair of  $c$  and  $k$  exists that satisfy the definition.
- Important: The particular  $c, k$ , values that make the statement true are *not* unique: **Any larger value of  $c$  and/or  $k$  will also work.**
- You are **not** required to find the smallest  $c$  and  $k$  values that work. But you should **prove** that the values you choose do work.
- **Class exercise:** Show that  $7x^2$  is  $O(x^3)$ .

# Useful Facts about Big-O

- Big-O, as a relation, is transitive:  
 $f \in O(g) \wedge g \in O(h) \rightarrow f \in O(h)$
- When a polynomial function is used, the leading term ( $n$ ) dominates its growth:  
 $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$ ,  
then  $f \in O(x^n)$ .
- Sums of functions:  
If  $g \in O(f)$  and  $h \in O(f)$ , then  $g+h \in O(f)$ .

# More Big-O facts

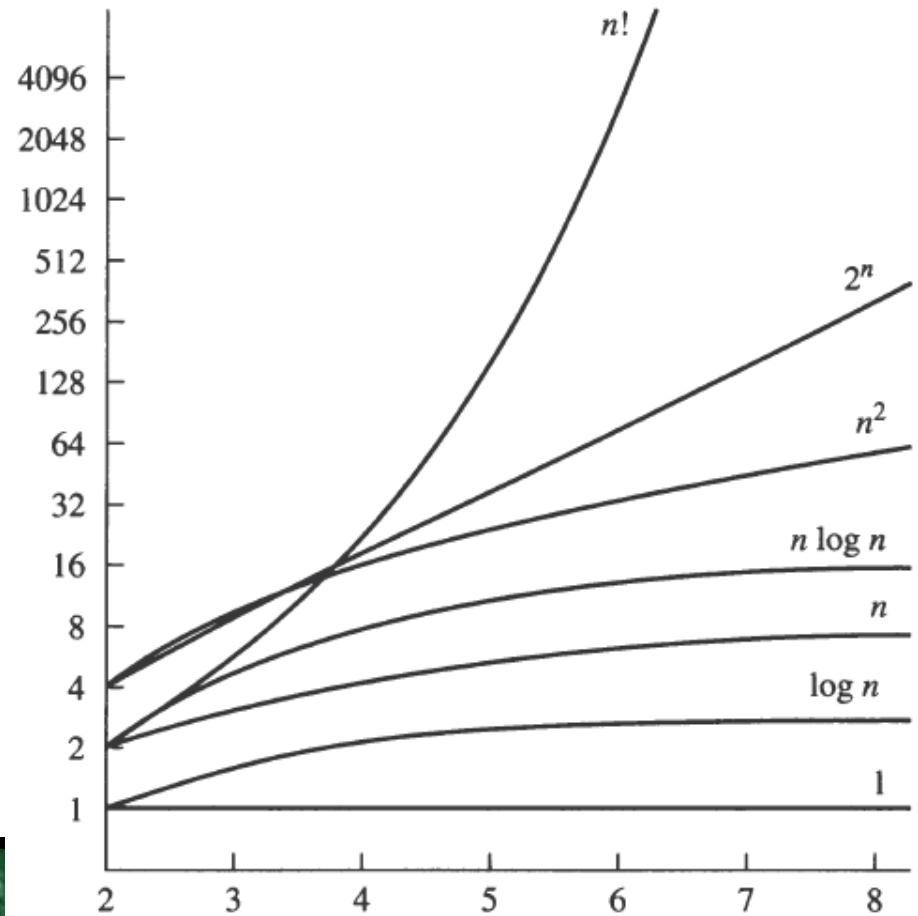
- Operations with a constant:  
 $\forall a>0, O(af) = O(f+a) = O(f-a) = O(f)$
- Combination of functions:  
 $f_1 \in O(g_1) \wedge f_2 \in O(g_2) \rightarrow$ 
  - 1)  $f_1 f_2 \in O(g_1 g_2)$
  - 2)  $f_1 + f_2 \in O(\max(g_1, g_2))$   
 $= O(\max(g_1, g_2))$   
 $= O(g_1)$  if  $g_2 \in O(g_1)$

# Big-O example

- Give smallest big-O estimate for  
 $(n \log n + n^2)(n^3 + 2)$
- Solution:  $(n \log n + n^2)(n^3 + 2) =$   
 $(O(n \log n) + O(n^2)) \cdot O(n^3) =$   
 $O(n^2) \cdot O(n^3) =$   
 $O(n^5)$

# Ordering of Functions

- Let's write  $f < g$  for  
*g is higher order than f*  
and let  $k > 1$  then:  
$$1 < \log n < n < n \log n$$
  
$$< n^k < k^n < n! < n^n$$



# Definition: $\Omega(g)$ , at least order $g$

- Let  $g$  be any function  $\mathbf{R} \rightarrow \mathbf{R}$ .
- We say that  $f(x)$  is  $\Omega(g(x))$  if  
 $\exists c,k: \forall x > k: |f(x)| \geq c/g(x)|$ 
  - When  $x$  is greater than  $k$ , function  $f$  is at least a constant  $c$  times  $g$  (i.e., proportional to  $g$ ).
- This serves as a lower bound for  $f(x)$ .
- “ $f$  is at least order  $g$ ”, or “ $f$  is big-Omega of  $g$ ”

# Big-Omega Example

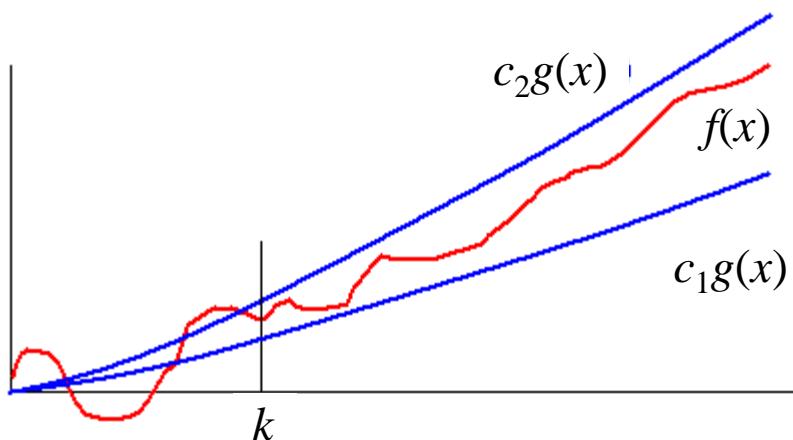
- $f(x) = 8x^3 + 5x^2 + 7$ .  $g(x) = x^3$ .
- $f(x)$  is  $\Omega(g(x))$ .
- Can you give a  $(c,k)$  pair to satisfy the definition of  $\Omega$ ?
- Also note that  $g(x)$  is  $O(f(x))$ .

# Definition: $\Theta(g)$ , exactly order $g$

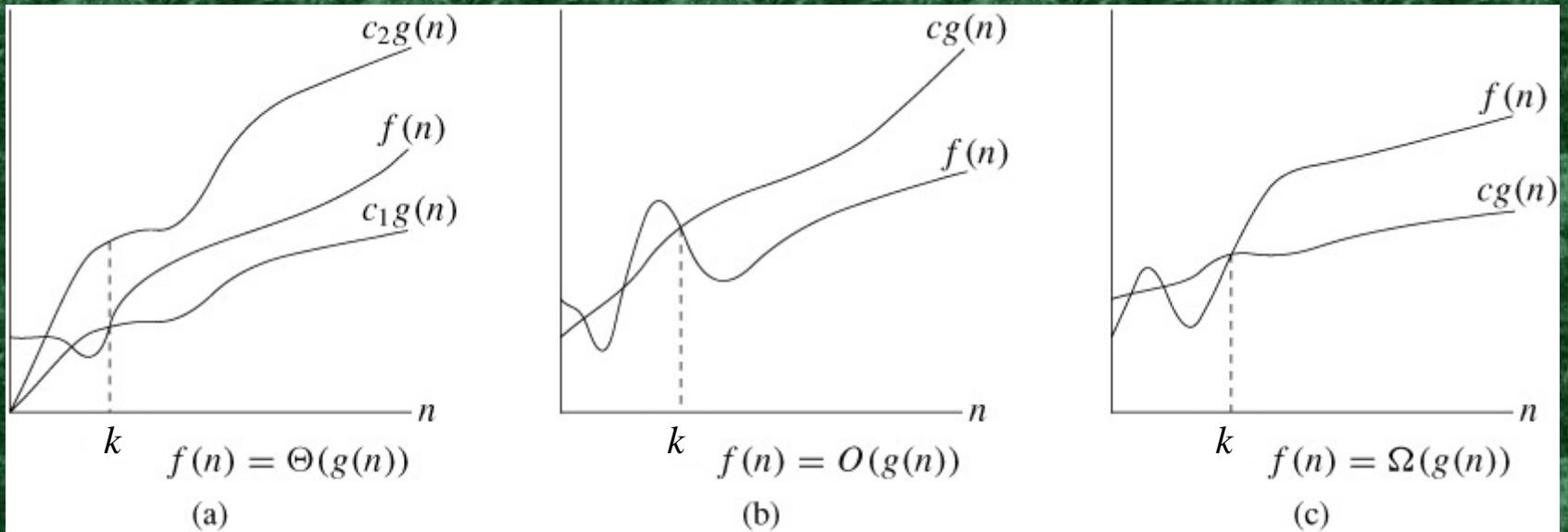
- If  $f \in O(g)$  and  $g \in O(f)$  then  $g$  and  $f$  are of the same order, we say “ $f$  is *order of*  $g$ ” or “ $f$  is big-Theta of  $g$ ” and write  $f$  is  $\Theta(g)$ .
- Another equivalent definition:  
 $f \in \Theta(g)$  if  
 $\exists c_1, c_2, k \quad \forall x > k: |c_1 g(x)| \leq |f(x)| \leq |c_2 g(x)|$
- E.g.  $3x^2 + 8x \log x$  is  $\Theta(x^2)$   
Can you give an example of  $(c_1, c_2, k)$ ?

# Definition: $\Theta(g)$ , exactly order $g$

- A function  $f(x)$  is  $\Theta(g(x))$  if there exist positive constants  $c_1$  and  $c_2$  such that  $f(x)$  can be *sandwiched* between  $c_1g(x)$  and  $c_2g(x)$ , for points beyond  $k$ .



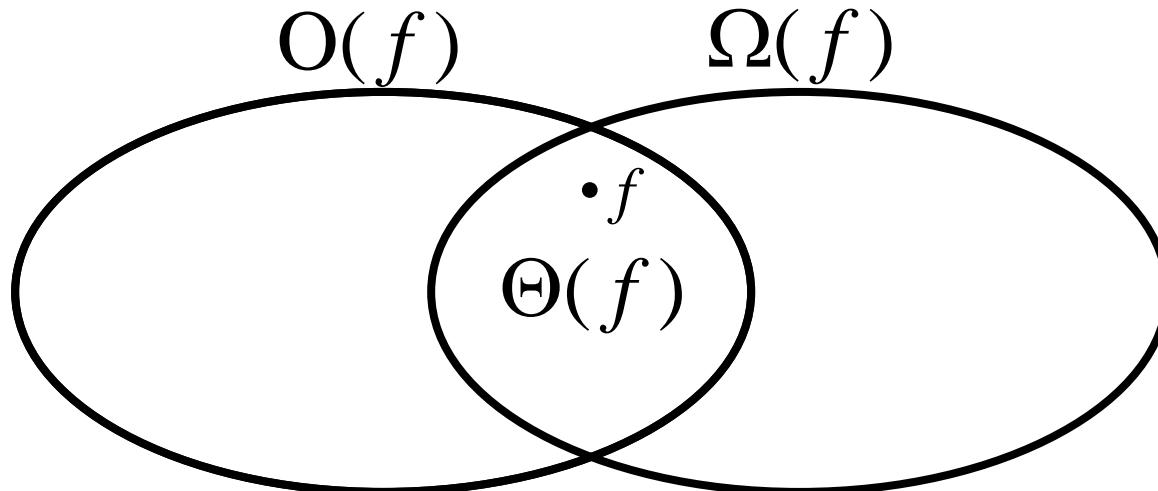
# $\Theta(g)$ , $O(g)$ , and $\Omega(g)$



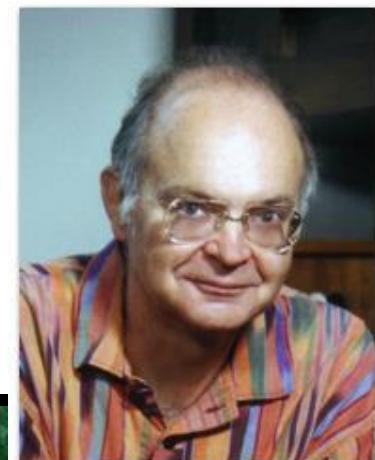
$$f(n) \text{ is } \Theta(g(n)) \Leftrightarrow (f(n) \text{ is } O(g(n))) \wedge (f(n) \text{ is } \Omega(g(n)))$$

# Relations Between $\Theta$ , $O$ , and $\Omega$

- Subset relations between order-of-growth sets.



- Big-Omega and big-Theta notations are introduced by Donald Knuth (1938-...)



# $\Theta$ example

- Determine whether:  $\left( \sum_{i=1}^n i \right) ? \in \Theta(n^2)$
- Quick solution:

$$\begin{aligned}\left( \sum_{i=1}^n i \right) &= n(n+1)/2 \\ &= n\Theta(n)/2 \\ &= n\Theta(n) \\ &= \Theta(n^2)\end{aligned}$$

# $\Theta$ example

- Home exercise: Which one is correct?

a)  $\left( \sum_{i=1}^n (2i-1)^2 \right) \in \Theta(n^2)$

b)  $\left( \sum_{i=1}^n (2i-1)^2 \right) \in \Theta(n^3)$

c)  $\left( \sum_{i=1}^n (2i-1)^2 \right) \in \Theta(n^4)$