NEGF Notes

October 19, 2020

0.1 Hamiltonian

$$H = H_L + H_R + H_d + H_T + H_{sd} (1)$$

$$H_L = \sum_{k\sigma} \epsilon_{k\sigma,L} c_{k\sigma}^{\dagger} c_{k\sigma} \tag{2}$$

$$H_R = \sum_q \omega_q a_q^{\dagger} a_q \tag{3}$$

$$H_d = \sum_{n\sigma} \epsilon_{n\sigma} d_{n\sigma}^{\dagger} d_{n\sigma} \tag{4}$$

$$H_T = \sum_{k\sigma n} \left(t_{k\sigma n} c_{k\sigma}^{\dagger} d_{n\sigma} + t_{k\sigma n}^* d_{n\sigma}^{\dagger} c_{k\sigma} \right) \tag{5}$$

$$H_{sd} = -\sum_{qnm} J_q \left(d_{n\uparrow}^{\dagger} d_{m\downarrow} a_q^{\dagger} + a_q d_{m\downarrow}^{\dagger} d_{n\uparrow} \right) \delta \left(\epsilon_{n\uparrow} - \epsilon_{m\downarrow} - \omega_q \right)$$
 (6)

$$s_q^+ = \sum_{nm} d_{n\uparrow}^{\dagger} d_{m\downarrow} \delta_{\uparrow\downarrow} \tag{7}$$

$$s_q^- = \sum_{nm} d_{m\downarrow}^{\dagger} d_{n\uparrow} \delta_{\uparrow\downarrow} \tag{8}$$

0.1.1 check operators

$$i\dot{a}_q = \omega_q a_q - J_q s_q^+ \tag{9}$$

$$i\dot{c}_{k\sigma} = \epsilon_{k\sigma,L}c_{k\sigma} + \sum_{k'} t_{k\sigma n}d_{n\sigma}$$
(10)

$$i\dot{d}_{n\uparrow} = \epsilon_{n\uparrow}d_{n\uparrow} + \sum_{k} t_{k\uparrow n}^* c_{k\uparrow} - \sum_{q,m} J_q a_q^{\dagger} d_{m\downarrow} \delta(\epsilon_{n\uparrow} - \epsilon_{m\downarrow} - \omega_q)$$
 (11)

$$i\dot{d}_{n\downarrow} = \epsilon_{n\downarrow}d_{n\downarrow} + \sum_{k} t_{k\downarrow n}^* c_{k\downarrow} - \sum_{q,m} J_q a_q d_{m\uparrow} \delta(\epsilon_{m\uparrow} - \epsilon_{n\downarrow} - \omega_q)$$
(12)

0.2 spin current ???

$$-i\partial_{\tau'}G_{d,R}\left(\tau,\tau'\right) = \omega_q G_{d,R}\left(\tau,\tau'\right) - J_q G_d \tag{13}$$

or

$$G_{d,R}g_{Rg}^{-1} = -J_qG_d (14)$$

or

$$G_{d,R}(\tau,\tau') = -J_q \int G_d(\tau,\tau_1) g_{Rq}(\tau_1,\tau') d\tau_1$$
(15)

the minus before J_q originates from the minus in H_{sd} .

$$I_{s} = i \sum_{q} J_{q} \left(\left\langle s_{q}^{+} a_{q}^{\dagger} \right\rangle - \left\langle a_{q} s_{q}^{-} \right\rangle \right)$$

$$= \sum_{q} J_{q} \left(G_{d,R}^{<}(t,t') - G_{R,d}^{<}(t,t') \right)$$

$$= -2 \operatorname{Re} \sum_{q} \int \operatorname{Tr} \left[G_{d}^{r}(t,t_{1}) \Sigma_{R}^{<}(t_{1},t') + G_{d}^{<}(t,t_{1}) \Sigma_{R}^{a}(t_{1},t') \right] dt_{1}$$

$$= -2 \operatorname{Re} \sum_{q} \int \operatorname{Tr} \left[\left(G_{d}^{>} - G_{d}^{<} \right) \Sigma_{Rq}^{<} + G_{d}^{<} \left(\Sigma_{Rq}^{a} - \Sigma_{Rq}^{r} \right) \right] dt_{1}$$

$$(16)$$

$$\Sigma_{Rq}(\tau, \tau') = J_q^2 g_{Rq}(\tau, \tau') \tag{17}$$

0.3 Calculation of G_d

Definition:

$$G_{d}(\tau, \tau') = -i \left\langle T_{c} S s_{q}^{+}(\tau) s_{q}^{-}(\tau') \right\rangle$$

$$= -i \sum_{mnm'n'} \left\langle T_{c} S d_{n\uparrow}^{\dagger} d_{m\downarrow} d_{m'\downarrow}^{\dagger} d_{n'\uparrow} \right\rangle \delta\left(\epsilon_{n\uparrow} - \epsilon_{m\downarrow} - \omega_{q}\right) \delta\left(\epsilon_{n'\uparrow} - \epsilon_{m'\downarrow} - \omega_{q}\right)$$
(18)

When right lead is absent,

$$G_d(\tau, \tau') = -i \sum_{mnm'n'} G_{L,n'n\uparrow}(\tau', \tau) G_{L,mm'\downarrow}(\tau, \tau') \delta(\epsilon_{n\uparrow} - \epsilon_{m\downarrow} - \omega_q) \delta(\epsilon_{n'\uparrow} - \epsilon_{m'\downarrow} - \omega_q)$$
(19)

where

$$G_{L,mn\sigma}(\tau,\tau') = -i\langle T_c d_{m\sigma}(\tau) d_{n\sigma}^{\dagger}(\tau') \rangle$$

$$= g_{n\sigma}(\tau,\tau') \delta_{mn}$$

$$+ \iint d\tau_1 d\tau_2 g_{m\sigma}(\tau,\tau_2) \sum_k t_{k\sigma n} t_{k\sigma m}^* g_{k\sigma}(\tau_2,\tau_1) g_{n\sigma}(\tau_1,\tau')$$

$$+ \cdots$$

$$= g_{n\sigma}(\tau,\tau') \delta_{mn} + \iint d\tau_1 d\tau_2 g_{m\sigma}(\tau,\tau_2) \Sigma_{L,mn\sigma}(\tau_2,\tau_1) g_{n\sigma}(\tau_1,\tau')$$

$$+ \cdots$$

$$= ?$$

$$(20)$$

$$g_{n\sigma}(\tau, \tau') = -i \langle T_c d_n(\tau) d_{n\sigma}^{\dagger}(\tau') \rangle_0$$
(21)

Self-energy of left lead

$$\Sigma_{L,mn\sigma}(\tau_2,\tau_1) = \sum_{k} t_{k\sigma n} t_{k\sigma m}^* g_{k\sigma}(\tau_2,\tau_1)$$
(22)

where

$$g_{k\sigma}(\tau_2, \tau_1) = -i \langle T_c c_{k\sigma}(\tau_2) c_{k\sigma}^{\dagger}(\tau_1) \rangle_0 \tag{23}$$

When left lead is absent,

$$G_{d}(\tau,\tau') = -i\sum_{mn} g_{n\uparrow}(\tau',\tau) g_{m\downarrow}(\tau,\tau') \delta(\varepsilon_{n\uparrow} - \varepsilon_{m\downarrow} - \omega_{q})$$

$$-\int d\tau_{1} \int d\tau_{2} \sum_{mnm'n'} g_{n\uparrow}(\tau_{1},\tau) g_{m\downarrow}(\tau,\tau_{1}) \Sigma_{R,mnm'n'}(\tau_{1},\tau_{2}) g_{n'\uparrow}(\tau',\tau_{2}) g_{m'\downarrow}(\tau_{2},\tau')$$

$$\times \delta(\varepsilon_{n\uparrow} - \varepsilon_{m\downarrow} - \omega_{q_{1}}) \delta(\varepsilon_{n'\uparrow} - \varepsilon_{m'\downarrow} - \omega_{q_{1}})$$

$$+ \cdots$$

$$= g_{d}(\tau,\tau') + \int \int d\tau_{1} d\tau_{2} g_{d}(\tau,\tau_{1}) \Sigma_{R}(\tau_{1},\tau_{2}) G_{d}(\tau_{2},\tau')$$

$$= g_d(\tau, \tau') + \iint d\tau_1 d\tau_2 g_d(\tau, \tau_1) \Sigma_R(\tau_1, \tau_2) G_d(\tau_2, \tau')$$
(24)

in which,

$$g_d(\tau, \tau') = -i \sum_{mn} g_{n\uparrow}(\tau', \tau) g_{m\downarrow}(\tau, \tau') \delta(\varepsilon_{n\uparrow} - \varepsilon_{m\downarrow} - \omega_q), \qquad (25)$$

the self-energy of right lead is

$$\Sigma_{R,mnm'n'}(\tau_1,\tau_2) = -i\sum_{q_1} J_{q_1}^2 g_{Rq_1}(\tau_1,\tau_2) \delta(\varepsilon_{n\uparrow} - \varepsilon_{m\downarrow} - \omega_{q_1}) \delta(\varepsilon_{n'\uparrow} - \varepsilon_{m'\downarrow} - \omega_{q_1})$$
(26)

$$g_{Rq_1}(\tau_1, \tau_2) = -i \langle T_c a_{q_1}(\tau_1) a_{q_1}^{\dagger}(\tau_2) \rangle_0$$
 (27)

Hence, when both leads are present, we have

?
$$G_{d}(\tau, \tau') = -i \sum_{mnm'n'} G_{L,nn'\uparrow}(\tau', \tau) G_{L,mm'\downarrow}(\tau, \tau') \delta\left(\epsilon_{n\uparrow} - \epsilon_{m\downarrow} - \omega_{q}\right) \delta\left(\epsilon_{n'\uparrow} - \epsilon_{m'\downarrow} - \omega_{q}\right)$$

$$-i \sum_{mnm'n'} G_{L,nn'\uparrow}(\tau_{1}, \tau) G_{L,mm'\downarrow}(\tau, \tau_{1}) \Sigma_{R,mnm'n'}(\tau_{1}, \tau_{2}) G_{d}(\tau_{2}, \tau') \delta\left(\epsilon_{n\uparrow} - \epsilon_{m\downarrow} - \omega_{q}\right)$$

$$\times \delta\left(\epsilon_{n'\uparrow} - \epsilon_{m'\downarrow} - \omega_{q}\right)$$

$$(28)$$

For the sack of convenience, we rewrite the above formula as follows.

?
$$G_d(\tau, \tau') = -iG_{L\uparrow}(\tau', \tau) G_{L\downarrow}(\tau, \tau') - iG_{L\uparrow}(\tau_1, \tau) G_{L\downarrow}(\tau, \tau_1) \Sigma_R(\tau_1, \tau_2) G_d(\tau_2, \tau')$$
 (29)

0.4 continuation on Eq. (29)

$$A(\tau_1, \tau') \equiv \int d\tau_2 \Sigma_R(\tau_1, \tau_2) G_d(\tau_2, \tau')$$
(30)

$$B(\tau, \tau_1) \equiv G_{L\uparrow}(\tau_1, \tau) G_{L\downarrow}(\tau, \tau_1) \tag{31}$$

$$C(\tau, \tau') \equiv -iG_{L\uparrow}(\tau_1, \tau) G_{L\downarrow}(\tau, \tau_1) A(\tau_1, \tau') \to$$
(32)

$$C(\tau, \tau') = -i \int d\tau_1 B(\tau, \tau_1) A(\tau_1, \tau')$$
(33)

$$D(\tau, \tau') \equiv -iG_{L\uparrow}(\tau', \tau) G_{L\downarrow}(\tau, \tau')$$
(34)

So, we have

$$G_d(\tau, \tau') = D + C \tag{35}$$

Using the analytic continuation theorem, we have

$$D^{<} = -iG_{L\uparrow}^{>}G_{L\downarrow}^{<} \tag{36}$$

$$C^{<} = -i(B^{r}A^{<} + B^{<}A^{a}) \tag{37}$$

where

$$B^r = G_{L\uparrow}^a G_{L\downarrow}^{<} + G_{L\uparrow}^{>} G_{L\downarrow}^r + G_{L\uparrow}^a G_{L\downarrow}^r$$
(38)

$$A^{<} = \Sigma_R^r G_d^{<} + \Sigma_R^{<} G_d^a \tag{39}$$

$$B^{<} = G_{L\uparrow}^{>} G_{L\downarrow}^{<} \tag{40}$$

$$A^a = \Sigma_R^a G_d^a \tag{41}$$

Then, the analytic continuation theorem on Eq.(29) yields

$$G_d^{<} = -iG_{L\uparrow}^{>}G_{L\downarrow}^{<} - i\left[(G_{L\uparrow}^a G_{L\downarrow}^{<} + G_{L\uparrow}^{>}G_{L\downarrow}^r + G_{L\uparrow}^a G_{L\downarrow}^r)(\Sigma_R^r G_d^{<} + \Sigma_R^{<}G_d^a) + (G_{L\uparrow}^{>}G_{L\downarrow}^{<})(\Sigma_R^a G_d^a) \right]$$
(42)

Similarly,

$$C^{r} = -iB^{r}A^{r}$$

$$= -i(G_{L\uparrow}^{a}G_{L\downarrow}^{<} + G_{L\uparrow}^{>}G_{L\downarrow}^{r} + G_{L\uparrow}^{a}G_{L\downarrow}^{r})(\Sigma_{R}^{r}G_{d}^{r})$$

$$(43)$$

$$D^{r} = -i(G_{L\uparrow}^{a}G_{L\downarrow}^{<} + G_{L\uparrow}^{>}G_{L\downarrow}^{r} + G_{L\uparrow}^{a}G_{L\downarrow}^{r}), \tag{44}$$

we have

$$G_{d}^{r} = -i(G_{L\uparrow}^{a}G_{L\downarrow}^{<} + G_{L\uparrow}^{>}G_{L\downarrow}^{r} + G_{L\uparrow}^{a}G_{L\downarrow}^{r}) - i(G_{L\uparrow}^{a}G_{L\downarrow}^{<} + G_{L\uparrow}^{>}G_{L\downarrow}^{r} + G_{L\uparrow}^{a}G_{L\downarrow}^{r})(\Sigma_{R}^{r}G_{d}^{r})$$

$$= \frac{-i(G_{L\uparrow}G_{L\downarrow})^{r}}{1 + i(G_{L\uparrow}G_{L\downarrow})^{r}\Sigma_{R}^{r}}$$

$$(45)$$

$$(G_{L\uparrow}G_{L\downarrow})^r \equiv G_{L\uparrow}^a G_{L\downarrow}^{<} + G_{L\uparrow}^{>} G_{L\downarrow}^r + G_{L\uparrow}^a G_{L\downarrow}^r$$

$$\tag{46}$$

Now we calculate G_d^a .

$$C^a = -iB^a A^a (47)$$

$$B^{a} = G_{L\uparrow}^{r} G_{L\downarrow}^{<} + G_{L\uparrow}^{>} G_{L\downarrow}^{a} + G_{L\uparrow}^{r} G_{L\downarrow}^{a} \tag{48}$$

$$D^{a} = -i(G_{L\uparrow}^{r}G_{L\downarrow}^{<} + G_{L\uparrow}^{>}G_{L\downarrow}^{a} + G_{L\uparrow}^{r}G_{L\downarrow}^{a})$$
(49)

So we have

$$G_d^a = -i(G_{L\uparrow}^r G_{L\downarrow}^{\langle} + G_{L\uparrow}^{\rangle} G_{L\downarrow}^a + G_{L\uparrow}^r G_{L\downarrow}^a) - i(G_{L\uparrow}^r G_{L\downarrow}^{\langle} + G_{L\uparrow}^{\rangle} G_{L\downarrow}^a + G_{L\uparrow}^r G_{L\downarrow}^a) (\Sigma_R^a G_d^a)$$
 (50)

From Eq.(42) we have

$$G_{d}^{\leq} = -iG_{L\uparrow}^{>}G_{L\downarrow}^{\leq} \left(1 + \Sigma_{R}^{a}G_{d}^{a}\right) - i\left(G_{L\uparrow}G_{L\downarrow}\right)^{r} \left(\Sigma_{q}^{<}G_{d}^{a} + \Sigma_{R}^{r}G_{d}^{<}\right)$$

$$= \frac{-iG_{L\uparrow}^{>}G_{L\downarrow}^{<} \left(1 + \Sigma_{R}^{a}G_{d}^{a}\right) - i\left(G_{L\uparrow}G_{L\downarrow}\right)^{r} \Sigma_{R}^{<}G_{d}^{a}}{1 + i\left(G_{L\uparrow}G_{L\downarrow}\right)^{r} \Sigma_{R}^{r}}$$

$$= \frac{-iG_{L\uparrow}^{>}G_{L\downarrow}^{<} \left(1 + \Sigma_{R}^{a}G_{d}^{a}\right)}{1 + i\left(G_{L\uparrow}G_{L\downarrow}\right)^{r} \Sigma_{R}^{r}} + G_{d}^{r}\Sigma_{R}^{<}G_{d}^{a}$$

$$= -i(G_{d}^{r}\Sigma_{R}^{r} + 1)G_{L\uparrow}^{>}G_{L\downarrow}^{<} \left(1 + \Sigma_{R}^{a}G_{d}^{a}\right) + G_{d}^{r}\Sigma_{R}^{<}G_{d}^{a}$$

$$= -i(G_{d}^{r}\Sigma_{R}^{r} + 1)G_{L\uparrow}^{>}G_{L\downarrow}^{<} \left(1 + \Sigma_{R}^{a}G_{d}^{a}\right) + G_{d}^{r}\Sigma_{R}^{<}G_{d}^{a}$$
(51)

Similarly,

$$G_d^{>} = -i \left(G_d^r \Sigma_R^r + 1 \right) G_{L\uparrow}^{<} G_{L\downarrow}^{>} \left(1 + \Sigma_R^a G_d^a \right) + G_d^r \Sigma_R^{>} G_d^a$$
 (52)

0.5 DC spin current

We have

$$G_{d}^{>}(E) - G_{d}^{<}(E) = -i\left(G_{d}^{r}\Sigma_{R}^{r} + 1\right)\left(G_{L\uparrow}^{<}G_{L\downarrow}^{>} - G_{L\uparrow}^{>}G_{L\downarrow}^{<}\right)\left(1 + \Sigma_{R}^{a}G_{d}^{a}\right) + G_{d}^{r}\left(\Sigma_{R}^{>} - \Sigma_{R}^{<}\right)G_{d}^{a}$$
 (53)

$$\left(G_d^> - G_d^<\right) \Sigma_R^< = \left[-i \left(G_d^r \Sigma_R^r + 1\right) \left(G_{L\uparrow}^< G_{L\downarrow}^> - G_{L\uparrow}^> G_{L\downarrow}^<\right) \left(1 + \Sigma_R^a G_d^a\right) + G_d^r \left(\Sigma_R^> - \Sigma_R^<\right) G_d^a \right] \Sigma_R^<$$
 (54)

Fourier transformation

$$G_d^{<}(E) = \int_{-\infty}^{+\infty} dt G_d^{<}(t - t') e^{iE(t - t')}$$
(55)

and inverse Fourier transformation

$$G_d^{<}(t - t') = \frac{1}{2\pi} \int_{-\infty}^{+\infty} d\omega G_d^{<}(E) e^{-iE(t - t')}$$
(56)

Then,

$$G_{L,mn\uparrow}(E) = \tag{57}$$

$$G_{L\uparrow}^{>} = 1 - f_{L\uparrow} \tag{58}$$

$$G_{L\downarrow}^{<} = f_{L\downarrow} \tag{59}$$

$$\Sigma_R^{\leq}(t,t') = -i\sum_{q_1} J_{q_1}^2 g_{Rq_1}^{\leq}(t,t')$$
(60)

$$\Sigma_R^a - \Sigma_R^r = \tag{61}$$

$$G_d^{<}\left(\Sigma_{Ra}^a - \Sigma_{Ra}^r\right) = \tag{62}$$

?
$$G_{L\sigma}^{<} = iG_{L\sigma}^{r}\Gamma_{L\sigma}f_{L\sigma}G_{L\sigma}^{a} \equiv iD_{L\sigma}f_{L\sigma}$$
 (63)

The following formula exists

$$(f_{L\uparrow} - 1) f_{L\downarrow} = -(f_{L\uparrow} - f_{L\downarrow}) f_L^B \tag{64}$$

where,

$$f_{L\sigma}(\epsilon) = \frac{1}{\exp\left(\beta_L \left(\epsilon - \mu_\sigma\right)\right) + 1} \tag{65}$$

$$f_L^B(\omega) = \frac{1}{\exp(\beta_L (\omega + \Delta \mu_s)) - 1}$$
(66)

and $\omega = \varepsilon_{\downarrow} - \varepsilon_{\uparrow}, \Delta \mu_s = \mu_{\uparrow} - \mu_{\downarrow}.$

0.6 Spin current from the left lead

Define spin density operator

$$N_{sk} = d_{k\uparrow}^{\dagger} d_{k\uparrow} - d_{k\downarrow}^{\dagger} d_{k\downarrow} \tag{67}$$

$$I_{sL} = (1/2)\partial_t N_s = (1/2)(I_{\uparrow} - I_{\downarrow})$$
 (68)

$$I_{\sigma} = \text{Tr}\left[\left(G_{d\sigma}^{r} - G_{d\sigma}^{a} \right) \Sigma_{L\sigma}^{<} + G_{d\sigma}^{<} \left(\Sigma_{L\sigma}^{a} - \Sigma_{L\sigma}^{r} \right) \right]$$

$$\tag{69}$$

$$[G_{d\sigma}]_{nm} = -i \left\langle T_c S d_{n\sigma} d_{m\sigma}^{\dagger} \right\rangle \tag{70}$$

the factor of 1/2 comes from spin of electron while spin of magnon is 1.

References

[1] Y, K, Kato. Observation of the Spin Hall Effect in Semiconductors[J]. Science, 2004.