## Notes on PRB.67.092408

## 1 spin field effect transistor

A type of quantum field effect transistor that operates purely on the flow of spin current in the absence of charge current. The rotating field induces a time-independent dc spin current, and at the same time generates no charge cur- rent. The physical principle of our SFET is due to a spin flip mecha- nism provided by the field.

#### 2 Hamiltonian

A rotating magnetic field is

$$B_x = B_0 \sin\theta \, \cos(\omega t) \tag{1}$$

$$B_{\nu} = B_0 \sin\theta \, \sin(\omega t) \tag{2}$$

$$B_z = B_0 \cos\theta. \tag{3}$$

The Hamiltonian of system is

$$H = \sum_{k,\sigma,\alpha=L,R} \epsilon_k C_{k\alpha\sigma}^+ C_{k\alpha\sigma} + \sum_{n\sigma} \left[ \epsilon_n + \sigma B_0 \cos \theta \right] d_{n\sigma}^+ d_{n\sigma}$$
$$+ H'(t) + \sum_{k,n,\sigma,\alpha=L,R} \left[ T_{k\alpha n} C_{k\alpha\sigma}^+ d_{n\sigma} + \text{c.c.} \right]$$
(4)

We assume that there are multiple orbits in the scattering region, which is different from the original paper, in which only one orbit is considered. Energy level of lead  $\epsilon_{Lk} = \epsilon_{Rk} = \epsilon_k$ .

A counterclock-wise rotating field allows a spin-down electron to absorb a photon and flip to spin-up, and it does not allow a spin-up electron to absorb a photon and flip to spin-down.

$$H'(t) = \sum_{n} \gamma \left[ \exp(-i\omega t) d_{n\uparrow}^{+} d_{n\downarrow} + \exp(i\omega t) d_{n\downarrow}^{+} d_{n\uparrow} \right]$$
 (5)

$$\gamma = B_0 \sin \theta \tag{6}$$

The scattering region is characterized by an energy level  $\epsilon_n = \epsilon_n^0 - qV_g$ , controlled by the gate voltage  $V_a$ .

We solve the transport properties (charge and spin currents) of the model in both adiabatic and nonadiabatic regimes using the standard Keldysh nonequilibrium Green's function technique.

## 3 Operator evolution

EoM of  $d_{\sigma}^{\dagger}$  is

$$\partial_{t'} d_{n\sigma}^{\dagger}(t') = i[H, d_{n\sigma}^{\dagger}] \tag{7}$$

central dot part:

$$\left[\sum_{n'\sigma'} \left[\epsilon_{n'} + \sigma B_0 \cos \theta\right] d_{n'\sigma'}^{\dagger} d_{n'\sigma'}, d_{n\sigma}^{\dagger}\right] = \left(\epsilon_n + \sigma B_0 \cos \theta\right) d_{n\sigma}^{\dagger} \tag{8}$$

rotating magnetic field part:

$$[d_{n'\uparrow}^{\dagger}d_{n'\downarrow}, d_{n\sigma}^{\dagger}] = d_{n'\uparrow}^{\dagger}\{d_{n'\downarrow}, d_{n\sigma}^{\dagger}\} - \{d_{n'\uparrow}^{\dagger}, d_{n\sigma}^{\dagger}\}d_{n'\downarrow}$$

$$= d_{\uparrow}^{\dagger}\delta_{nn'}\delta_{\sigma\downarrow}, \tag{9}$$

$$[d_{n'\downarrow}^{\dagger}d_{n'\uparrow}, d_{n\sigma}^{\dagger}] = d_{n'\downarrow}^{\dagger}\{d_{n'\uparrow}, d_{n\sigma}^{\dagger}\} - \{d_{n'\downarrow}^{\dagger}, d_{n\sigma}^{\dagger}\}d_{n'\uparrow}$$

$$= d_{n'\uparrow}^{\dagger}\delta_{nn'}\delta_{\sigma\uparrow}.$$
(10)

Then

$$[H'(t), d_{n\sigma}^{\dagger}] = \gamma (e^{-i\omega t} d_{n\uparrow}^{\dagger} \delta_{\sigma\downarrow} + e^{i\omega t} d_{n\downarrow}^{\dagger} \delta_{\sigma\uparrow})$$
(11)

Coupling part

$$\sum_{k,n',\sigma',\alpha=L,R} \left[ T_{n'k\alpha} C_{k\alpha\sigma'}^{\dagger} d_{n'\sigma'} + \text{c.c.}, d_{n\sigma}^{\dagger} \right] = \sum_{k,\alpha=L,R} T_{k\alpha n} C_{k\alpha\sigma}^{\dagger}$$
(12)

Substitute these three parts into Eq. (7), we get

$$\partial_{t'} d_{n\sigma}^{\dagger}(t') = i[(\epsilon_n^0 + \sigma B_0 \cos \theta) d_{n\sigma}^{\dagger} + \gamma (e^{-i\omega t} d_{n\uparrow}^{\dagger} \delta_{\sigma\downarrow} + e^{i\omega t} d_{n\downarrow}^{\dagger} \delta_{\sigma\uparrow}) + \sum_{k,\alpha = L,R} T_{k\alpha n} C_{k\alpha\sigma}^{\dagger}]$$
(13)

Equation of motion of particle operator  $\hat{N}_{\alpha k\sigma}$  in the lead  $\alpha$  is

$$\frac{d}{dt}\hat{N}_{\alpha k\sigma} = \frac{i}{\hbar} [H, C_{\alpha k\sigma}^{\dagger} C_{\alpha k\sigma}] = \left[ \sum_{k', \sigma', \alpha' = L, R} \left[ T_{k'\alpha'} C_{k'\alpha'\sigma'}^{\dagger} d_{\sigma'} + \text{c.c.} \right], C_{\alpha k\sigma}^{\dagger} C_{\alpha k\sigma} \right] 
= \frac{i}{\hbar} \sum_{k', \sigma', \alpha' = L, R} \left[ -T_{k'\alpha'} C_{k'\alpha'\sigma'}^{\dagger} d_{\sigma'} \delta_{\alpha \alpha'} \delta_{kk'} \delta_{\sigma \sigma'} + \text{c.c.} \right] 
= \frac{i}{\hbar} [-T_{k\alpha} C_{k\alpha\sigma}^{\dagger} d_{\sigma} + T_{k\alpha}^{*} d_{\sigma}^{\dagger} C_{k\alpha\sigma}]$$
(14)

## 4 Charge current

So, the charge current due to L(R) lead with spin  $\sigma$  is given by

$$I_{L\sigma}(t) = e \langle \frac{d}{dt} \hat{N}_{\sigma}(t) \rangle$$

$$= \frac{ie}{\hbar} \sum_{kn\alpha \in L} (\langle -T_{k\alpha n} C_{k\alpha \sigma}^{\dagger}(t) d_{n\sigma}(t) \rangle + \langle T_{k\alpha n}^{*} d_{n\sigma}^{\dagger}(t) C_{k\alpha \sigma}(t) \rangle)$$
(15)

Define the lesser Green's function

$$G_{n\sigma',k\alpha\sigma}^{\langle}(\tau,\tau') = i\langle C_{k\alpha\sigma}^{\dagger}(\tau')d_{n\sigma'}(\tau)\rangle, \tag{16}$$

the current becomes

$$I_{L\sigma}(t) = \frac{-e}{\hbar} \sum_{kn\alpha \in L} (T_{k\alpha n} G_{n,k\alpha\sigma}^{\langle}(t,t) - T_{k\alpha n}^* G_{k\alpha,n}^{\langle}(t,t)\rangle)$$

$$\tag{17}$$

More generally, we define the contour Green's function

$$G_{n\sigma',k\alpha\sigma}(\tau,\tau') = -i\langle d_{n\sigma'}(\tau)C_{k\alpha\sigma}^{\dagger}(\tau')\rangle. \tag{18}$$

EoM of operator  $C_{k\alpha\sigma}^{\dagger}$  is

$$\partial_{t'}C_{k\alpha\sigma}^{\dagger}(t') = i[H, C_{k\alpha\sigma}^{\dagger}] = i(\varepsilon_k C_{k\alpha\sigma}^{\dagger} + \sum_n T_{k\alpha n} d_{n\sigma}^{\dagger})$$
(19)

The equation-of-motion for the time-ordered Green function

$$-i\frac{\partial}{\partial t'}G_{n\sigma',k\alpha\sigma}^{t}(t,t') = \delta(t-t')\langle\{d_{n\sigma'},C_{k\alpha\sigma}^{\dagger}\}\rangle - \langle T_{c}d_{n\sigma'}\partial_{t'}C_{k\alpha\sigma}^{\dagger}\rangle$$

$$= \varepsilon_{k}G_{n\sigma',k\alpha\sigma}^{t}(t,t') + \sum_{m}T_{k\alpha m}^{*}G_{n\sigma',m\sigma}^{t}(t,t')$$
(20)

So, we have

$$(-i\frac{\partial}{\partial t'} - \varepsilon_k)G^t_{n\sigma',k\alpha\sigma}(t,t') = \sum_m T^*_{k\alpha m}G^t_{n\sigma',m\sigma}(t,t')$$
(21)

in which

$$G_{n\sigma',m\sigma}^{t}(t,t') = -i\langle T_{c}d_{n\sigma'}(t)d_{m\sigma}^{\dagger}(t')\rangle.$$
(22)

Similarly, we evaluate the EoM for free Green's function  $g_{k\alpha\sigma}^t(t,t')$  in lead  $\alpha$  (note that  $H = \sum_{k\sigma\alpha} \epsilon_k C_{k\alpha\sigma}^{\dagger} C_{k\alpha\sigma}$ ).

$$-i\frac{\partial}{\partial t'}g_{k\alpha\sigma}^{t}(t,t') = \delta(t-t')\langle\{C_{k\alpha\sigma},C_{k\alpha\sigma}^{\dagger}\}\rangle - \langle T_{c}C_{k\alpha\sigma}\partial_{t'}C_{k\alpha\sigma}^{\dagger}\rangle$$
$$= \delta(t-t') + \varepsilon_{k}g_{k\alpha\sigma}^{t}(t,t'),$$
(23)

we have

$$(-i\frac{\partial}{\partial t'} - \varepsilon_k)g_{k\alpha\sigma}^t(t, t') = \delta(t - t'). \tag{24}$$

Substitute Eq. (24) into Eq. (21) and integrate on both sides, we get an equation analogous to Jauho's notation [2],

$$G_{n,k\alpha}(\tau,\tau') = \sum_{m} \int d\tau_1 G_{nm}(\tau,\tau_1) t_{k\alpha m}^* g_{k\alpha}(\tau_1,\tau'),$$

we have

$$G_{n\sigma',k\alpha\sigma}^{t}(t,t') = \sum_{m} \int dt_{1} G_{n\sigma',m\sigma}(t,t_{1}) T_{k\alpha m}^{*} g_{k\alpha\sigma}^{t}(\tau_{1},\tau').$$
 (25)

When there is only one orbit presents, this equation reduces to

$$G_{\sigma',k\alpha\sigma}^{t}(t,t') = \int dt_1 G_{\sigma',\sigma}(t,t_1) T_{k\alpha}^* g_{k\alpha\sigma}^{t}(\tau_1,\tau').$$
(26)

Since the contour Green's function has the same structure as real-time Green's function, the we have relation

$$G_{n\sigma',k\alpha\sigma}(\tau,\tau') = \sum_{m} \int d\tau_1 G_{n\sigma',m\sigma}(\tau,\tau_1) T_{k\alpha m}^* g_{k\alpha\sigma}(\tau_1,\tau')$$
(27)

where  $G_{n\sigma',k\alpha\sigma}(\tau,\tau')$  is contour Green's function defined in Eq. (16), and similarly the contour Green's function for non-interacting lead is defined as

$$g_{k\alpha\sigma}(\tau,\tau') = -i\langle T_c C_{k\alpha\sigma}(\tau) C_{k\alpha\sigma}^{\dagger}(\tau') \rangle$$
 (28)

After analytic continuation, the current is formulated as

$$I_{\alpha\sigma}(t) = -\frac{e}{\hbar} \int dt_1 \operatorname{Tr} \left[ G^r(t, t_1) \Sigma_{\alpha}^{<}(t_1, t) + G^{<}(t, t_1) \Sigma_{\alpha}^{a}(t_1, t) \right] + h.c.$$
(29)

Following Eq. (224) in WangJ's note, its Fourier transformation is

$$I_{\alpha\sigma}(\omega) = -\frac{e}{\hbar} \int \frac{dE}{2\pi} \frac{dE'}{2\pi} \text{Tr} \left[ G^r \left( E + \omega, E' \right) \Sigma_{\alpha}^{<} \left( E', E \right) + G^{<}(E + \omega, E') \Sigma_{\alpha}^{a}(E', E) \right] + c.c.$$
(30)

Here,  $G^{r,<} \equiv G^{r,<}_{n\sigma',m\sigma}$ , and matrix element

$$\Sigma_{\alpha,mn}^{\gamma}(t_1, t_2) = \sum_{k} T_{k\alpha m}^*(t_1) g_{k\alpha}^{\gamma}(t_1, t_2) T_{k\alpha n}(t_2), \tag{31}$$

in which,  $g_{k\sigma}$  is the free propagator of lead. From Dyson equation, we have (different from Eq. (77) in Chap. II ?)

$$G^{<} = G^r \Sigma^{<} G^a \tag{32}$$

whose Fourier transformation gives

$$G^{<}(E_1, E_2) = \int \frac{dE}{2\pi} \frac{dE'}{2\pi} G^r(E_1, E) \Sigma^{<}(E, E') G^a(E', E_2)$$
(33)

For  $G^r$ , the analytic continuation gives

$$G^r = g^r + g^r H' G^r (34)$$

$$G^{r}(E_{1}, E_{2}) = g^{r}(E_{1}, E_{2}) + \int \frac{dE}{2\pi} \frac{dE'}{2\pi} g^{r}(E_{1}, E) H'(E, E') G^{r}(E', E_{2})$$
(35)

in which,  $g^r$  is the free propagator of central dot(?). Using Eq. (8), we have

$$g_{n\sigma}^{r}(t,t') = -i\theta(t-t')\langle\{d_{n\sigma}(t),d_{n\sigma}^{\dagger}(t')\}\rangle_{0}$$

$$= -i\theta(t-t')e^{-i(\epsilon_{n}+\sigma B_{0}\cos\theta)(t-t')}$$
(36)

note  $\epsilon_n$  is time-dependent,  $g^r(t,t')$  depends only on time difference, so

$$g^{r}(E_{1}, E_{2}) = 2\pi g^{r}(E_{1}) \delta(E_{1} - E_{2})$$
(37)

and

$$g_{n\sigma}^{r}(E) = \frac{1}{E - (\epsilon_n + \sigma B_0 \cos \theta) + i0^+}$$
(38)

In the spin space  $\{d_{\uparrow}^{\dagger}, d_{\downarrow}^{\dagger}; d_{\uparrow}, d_{\uparrow}\},$ 

$$G^{r} \equiv \begin{pmatrix} G_{n\uparrow,m\uparrow}^{r} & G_{n\uparrow,m\downarrow}^{r} \\ G_{n\downarrow,m\uparrow}^{r} & G_{n\downarrow,m\downarrow}^{r} \end{pmatrix}$$

$$(39)$$

$$g^{r}(E) = \begin{pmatrix} g_{n\uparrow}^{r}(E) & 0\\ 0 & g_{n\downarrow}^{r}(E) \end{pmatrix}. \tag{40}$$

H' is given by

$$H' = \begin{pmatrix} 0 & \gamma e^{-i\omega t} \\ \gamma e^{i\omega t} & 0 \end{pmatrix} \tag{41}$$

we have

$$H'(E, E') = \begin{pmatrix} 0 & \gamma \delta(E - \omega) \\ \gamma \delta(E + \omega) & 0 \end{pmatrix} 2\pi \delta(E - E'). \tag{42}$$

Substitute these equations into Eq. (35), we get

$$\begin{pmatrix}
G_{n\uparrow,m\uparrow}^{r}(E_{1},E_{2}) & G_{n\uparrow,m\downarrow}^{r}(E_{1},E_{2}) \\
G_{n\downarrow,m\uparrow}^{r}(E_{1},E_{2}) & G_{n\downarrow,m\downarrow}^{r}(E_{1},E_{2})
\end{pmatrix} = \begin{pmatrix}
g_{n\uparrow}^{r}(E_{1}) & 0 \\
0 & g_{n\downarrow}^{r}(E_{1})
\end{pmatrix} 2\pi\delta(E_{1} - E_{2})$$

$$+ \int \frac{dE}{2\pi} \frac{dE'}{2\pi} \begin{pmatrix}
g_{n\uparrow}^{r}(E_{1}) & 0 \\
0 & g_{n\downarrow}^{r}(E_{1})
\end{pmatrix} 2\pi\delta(E_{1} - E)2\pi\delta(E - E')$$

$$\times \begin{pmatrix}
0 & \gamma\delta(E - \omega) \\
\gamma\delta(E + \omega) & 0
\end{pmatrix} \begin{pmatrix}
G_{n\uparrow,m\uparrow}^{r} & G_{n\uparrow,m\downarrow}^{r} \\
G_{n\downarrow,m\uparrow}^{r} & G_{n\downarrow,m\downarrow}^{r}
\end{pmatrix}$$

$$= \begin{pmatrix}
g_{n\uparrow}^{r}(E_{1}) & 0 \\
0 & g_{n\downarrow}^{r}(E_{1})
\end{pmatrix} 2\pi\delta(E_{1} - E_{2})$$

$$+ \begin{pmatrix}
G_{1}(E_{1}, E_{2}) & G_{2}(E_{1}, E_{2}) \\
G_{3}(E_{1}, E_{2}) & G_{4}(E_{1}, E_{2})
\end{pmatrix}.$$
(43)

In which  $G_1, G_2, G_3, G_4$  are

$$G_1(E_1, E_2) = \int dE dE' g_{n\uparrow}^r(E_1) \, \delta(E_1 - E) \gamma \delta(E - \omega) \delta(E - E') G_{n\downarrow, m\uparrow}^r(E', E_2) \tag{44}$$

$$G_2(E_1, E_2) = \int dE dE' g_{n\uparrow}^r(E_1) \, \delta(E_1 - E) \gamma \delta(E - \omega) \delta(E - E') G_{n\downarrow, m\downarrow}^r(E', E_2)$$

$$(45)$$

$$G_3(E_1, E_2) = \int dE dE' g_{n\downarrow}^r (E_1) \, \delta(E_1 - E) \gamma \delta(E + \omega) \delta(E - E') G_{n\uparrow, m\uparrow}^r (E', E_2)$$
 (46)

$$G_4(E_1, E_2) = \int dE dE' g_{n\uparrow}^r(E_1) \, \delta(E_1 - E) \gamma \delta(E + \omega) \delta(E - E') G_{n\uparrow, m\downarrow}^r(E', E_2)$$
(47)

So,

$$G_{n\downarrow,m\uparrow}^{r}(E',E_{2}) = g_{n\uparrow}^{r}(E_{1}) 2\pi\delta(E_{1}-E_{2}) + G_{1}(E_{1},E_{2})$$
 (48)

$$G_{n\uparrow,m\downarrow}^r(E_1, E_2) = G_2(E_1, E_2) \tag{49}$$

$$G_{n \downarrow .m \uparrow}^{r}(E_1, E_2) = G_3(E_1, E_2)$$
 (50)

$$G_{n\downarrow,m\downarrow}^{r}(E_{1},E_{2}) = g_{n\downarrow}^{r}(E_{1}) 2\pi\delta(E_{1}-E_{2}) + G_{4}(E_{1},E_{2})$$
 (51)

## 5 Appendix

#### 5.1 Double Fourier transformation

Note the double Fourier transformation, if

$$F(t) = \int dt_1 G_1(t, t_1) G_2(t_1, t)$$
(52)

then

$$F(\omega) = \int \frac{dE}{2\pi} \frac{dE'}{2\pi} G_1 \left( E + \omega, E' \right) G_2 \left( E', E \right). \tag{53}$$

If

$$F(t_1, t_2) = \int dt G_1(t_1, t) G_2(t, t_2), \qquad (54)$$

then

$$F(E_1, E_2) = \int \frac{dE}{2\pi} G_1(E_1, E) G_2(E, E_2).$$
 (55)

If  $F(t_1, t_2)$  depends only on  $t_1 - t_2$ ,

$$F(E_1, E_2) = 2\pi F(E_1) \,\delta(E_1 - E_2) \tag{56}$$

Note that

$$\int_{-\infty}^{\infty} \delta(x)dx = 1. \tag{57}$$

$$\int_{a-\epsilon}^{a+\epsilon} f(x)\delta(x-a)dx = f(a)$$
 (58)

# 6 Adiabatic regime( $\omega$ is small)

So, the charge current is given by (why? DC?)

$$dQ_{\alpha\sigma}(t)/dt = q \int \frac{dE}{2\pi} \left(-\partial_E f\right) \left[\Gamma_{\alpha} \mathbf{G}^r(t) \mathbf{\Delta} \mathbf{G}^a(t)\right]_{\sigma\sigma}$$
(59)

## References

- [1] Y, K, Kato. Observation of the Spin Hall Effect in Semiconductors[J]. Science, 2004.
- [2] Antti-Pekka Jauho, Quantum Kinetics in Transport and Optics of Semiconductors, P188.