### Notes on PRB.67.092408

## 1 spin field effect transistor

A type of quantum field effect transistor that operates purely on the flow of spin current in the absence of charge current. The rotating field induces a time-independent dc spin current, and at the same time generates no charge current. The physical principle of our SFET is due to a spin flip mechanism provided by the field.

## 2 Hamiltonian

A rotating magnetic field is

$$B_x = B_0 \sin\theta \cos(\omega t) \tag{1}$$

$$B_y = B_0 \sin\theta \, \sin(\omega t) \tag{2}$$

$$B_z = B_0 \cos\theta. \tag{3}$$

The Hamiltonian of system is

$$H = \sum_{k,\sigma,\alpha=L,R} \epsilon_k C_{k\alpha\sigma}^+ C_{k\alpha\sigma} + \sum_{n\sigma} \left[ \epsilon_n + \sigma B_0 \cos \theta \right] d_{n\sigma}^+ d_{n\sigma}$$
$$+ H'(t) + \sum_{k,n,\sigma,\alpha=L,R} \left[ T_{k\alpha n} C_{k\alpha\sigma}^+ d_{n\sigma} + \text{c.c.} \right]$$
(4)

We assume that there are multiple orbits in the scattering region, which is different from the original paper, in which only one orbit is considered. The level energy is

$$\epsilon_n(t) = \epsilon_n^0 - qV_q(t),\tag{5}$$

which can be controlled by the gate voltage  $V_g$ . Energy level of lead  $\epsilon_{Lk} = \epsilon_{Rk} = \epsilon_k$ .

A counterclock-wise rotating field allows a spin-down electron to absorb a photon and flip to spin-up, and it does not allow a spin-up electron to absorb a photon and flip to spin-down.

$$H'(t) = \sum_{n} \gamma \left[ \exp(-i\omega t) d_{n\uparrow}^{+} d_{n\downarrow} + \exp(i\omega t) d_{n\downarrow}^{+} d_{n\uparrow} \right]$$
 (6)

$$\gamma = B_0 \sin \theta \tag{7}$$

We solve the transport properties (charge and spin currents) of the model in both adiabatic and nonadiabatic regimes using the standard Keldysh nonequilibrium Green's function technique.

# 3 Operator evolution

EoM of  $d_{\sigma}^{\dagger}$  is

$$\partial_{t'}d_{n\sigma}^{\dagger}(t') = i[H, d_{n\sigma}^{\dagger}] \tag{8}$$

central dot part:

$$\left[\sum_{n'\sigma'} \left[\epsilon_{n'} + \sigma B_0 \cos \theta\right] d_{n'\sigma'}^{\dagger} d_{n'\sigma'}, d_{n\sigma}^{\dagger}\right] = \left(\epsilon_n + \sigma B_0 \cos \theta\right) d_{n\sigma}^{\dagger} \tag{9}$$

rotating magnetic field part:

$$[d_{n'\uparrow}^{\dagger}d_{n'\downarrow}, d_{n\sigma}^{\dagger}] = d_{n'\uparrow}^{\dagger}\{d_{n'\downarrow}, d_{n\sigma}^{\dagger}\} - \{d_{n'\uparrow}^{\dagger}, d_{n\sigma}^{\dagger}\}d_{n'\downarrow}$$

$$= d_{\uparrow}^{\dagger}\delta_{nn'}\delta_{\sigma\downarrow}, \tag{10}$$

$$[d_{n'\downarrow}^{\dagger}d_{n'\uparrow}, d_{n\sigma}^{\dagger}] = d_{n'\downarrow}^{\dagger} \{d_{n'\uparrow}, d_{n\sigma}^{\dagger}\} - \{d_{n'\downarrow}^{\dagger}, d_{n\sigma}^{\dagger}\}d_{n'\uparrow}$$

$$= d_{n'\uparrow}^{\dagger}\delta_{nn'}\delta_{\sigma\uparrow}. \tag{11}$$

Then

$$[H'(t), d_{n\sigma}^{\dagger}] = \gamma (e^{-i\omega t} d_{n\uparrow}^{\dagger} \delta_{\sigma\downarrow} + e^{i\omega t} d_{n\downarrow}^{\dagger} \delta_{\sigma\uparrow})$$
(12)

Coupling part

$$\sum_{k,n',\sigma',\alpha=L,R} \left[ T_{n'k\alpha} C_{k\alpha\sigma'}^{\dagger} d_{n'\sigma'} + \text{c.c.}, d_{n\sigma}^{\dagger} \right] = \sum_{k,\alpha=L,R} T_{k\alpha n} C_{k\alpha\sigma}^{\dagger}$$
(13)

Substitute these three parts into Eq. (8), we get

$$\partial_{t'} d_{n\sigma}^{\dagger}(t') = i[(\epsilon_n^0 + \sigma B_0 \cos \theta) d_{n\sigma}^{\dagger} + \gamma (e^{-i\omega t} d_{n\uparrow}^{\dagger} \delta_{\sigma\downarrow} + e^{i\omega t} d_{n\downarrow}^{\dagger} \delta_{\sigma\uparrow}) + \sum_{k,\alpha = L,R} T_{k\alpha n} C_{k\alpha\sigma}^{\dagger}]$$
(14)

Equation of motion of particle operator  $\hat{N}_{\alpha k\sigma}$  in the lead  $\alpha$  is

$$\frac{d}{dt}\hat{N}_{\alpha k\sigma} = \frac{i}{\hbar} [H, C_{\alpha k\sigma}^{\dagger} C_{\alpha k\sigma}] = \left[ \sum_{k', \sigma', \alpha' = L, R} \left[ T_{k'\alpha'} C_{k'\alpha'\sigma'}^{\dagger} d_{\sigma'} + \text{c.c.} \right], C_{\alpha k\sigma}^{\dagger} C_{\alpha k\sigma} \right] 
= \frac{i}{\hbar} \sum_{k', \sigma', \alpha' = L, R} \left[ -T_{k'\alpha'} C_{k'\alpha'\sigma'}^{\dagger} d_{\sigma'} \delta_{\alpha \alpha'} \delta_{kk'} \delta_{\sigma \sigma'} + \text{c.c.} \right] 
= \frac{i}{\hbar} \left[ -T_{k\alpha} C_{k\alpha\sigma}^{\dagger} d_{\sigma} + T_{k\alpha}^{*} d_{\sigma}^{\dagger} C_{k\alpha\sigma} \right]$$
(15)

## 4 Charge current

So, the charge current due to L(R) lead with spin  $\sigma$  is given by

$$I_{L\sigma}(t) = e \langle \frac{d}{dt} \hat{N}_{\sigma}(t) \rangle$$

$$= \frac{ie}{\hbar} \sum_{kn\alpha \in L} (\langle -T_{k\alpha n} C_{k\alpha \sigma}^{\dagger}(t) d_{n\sigma}(t) \rangle + \langle T_{k\alpha n}^{*} d_{n\sigma}^{\dagger}(t) C_{k\alpha \sigma}(t) \rangle)$$
(16)

Define the lesser Green's function

$$G_{n\sigma',k\alpha\sigma}^{<}(\tau,\tau') = i\langle C_{k\alpha\sigma}^{\dagger}(\tau')d_{n\sigma'}(\tau)\rangle, \tag{17}$$

the current becomes

$$I_{L\sigma}(t) = \frac{-e}{\hbar} \sum_{kn\alpha \in L} (T_{k\alpha n} G_{n,k\alpha\sigma}^{\langle}(t,t) - T_{k\alpha n}^* G_{k\alpha,n}^{\langle}(t,t)\rangle)$$
(18)

More generally, we define the contour Green's function

$$G_{n\sigma',k\alpha\sigma}(\tau,\tau') = -i\langle d_{n\sigma'}(\tau)C_{k\alpha\sigma}^{\dagger}(\tau')\rangle. \tag{19}$$

EoM of operator  $C_{k\alpha\sigma}^{\dagger}$  is

$$\partial_{t'}C_{k\alpha\sigma}^{\dagger}(t') = i[H, C_{k\alpha\sigma}^{\dagger}] = i(\varepsilon_k C_{k\alpha\sigma}^{\dagger} + \sum_n T_{k\alpha n} d_{n\sigma}^{\dagger})$$
(20)

The equation-of-motion for the time-ordered Green function

$$-i\frac{\partial}{\partial t'}G_{n\sigma',k\alpha\sigma}^{t}(t,t') = \delta(t-t')\langle\{d_{n\sigma'},C_{k\alpha\sigma}^{\dagger}\}\rangle - \langle T_{c}d_{n\sigma'}\partial_{t'}C_{k\alpha\sigma}^{\dagger}\rangle$$

$$= \varepsilon_{k}G_{n\sigma',k\alpha\sigma}^{t}(t,t') + \sum_{m}T_{k\alpha m}^{*}G_{n\sigma',m\sigma}^{t}(t,t')$$
(21)

So, we have

$$\left(-i\frac{\partial}{\partial t'} - \varepsilon_k\right) G_{n\sigma',k\alpha\sigma}^t \left(t,t'\right) = \sum_m T_{k\alpha m}^* G_{n\sigma',m\sigma}^t (t,t') \tag{22}$$

in which

$$G_{n\sigma',m\sigma}^{t}(t,t') = -i\langle T_{c}d_{n\sigma'}(t)d_{m\sigma}^{\dagger}(t')\rangle.$$
(23)

Similarly, we evaluate the EoM for free Green's function  $g_{k\alpha\sigma}^t(t,t')$  in lead  $\alpha$  (note that  $H=\sum_{k\sigma\alpha}\epsilon_k C_{k\alpha\sigma}^{\dagger}C_{k\alpha\sigma}$ ).

$$-i\frac{\partial}{\partial t'}g_{k\alpha\sigma}^{t}(t,t') = \delta(t-t')\langle\{C_{k\alpha\sigma},C_{k\alpha\sigma}^{\dagger}\}\rangle - \langle T_{c}C_{k\alpha\sigma}\partial_{t'}C_{k\alpha\sigma}^{\dagger}\rangle$$
$$= \delta(t-t') + \varepsilon_{k}g_{k\alpha\sigma}^{t}(t,t'),$$
(24)

we have

$$(-i\frac{\partial}{\partial t'} - \varepsilon_k)g_{k\alpha\sigma}^t(t, t') = \delta(t - t'). \tag{25}$$

Substitute Eq. (25) into Eq. (22) and integrate on both sides, we get an equation analogous to Jauho's notation [2],

$$G_{n,k\alpha}(\tau,\tau') = \sum_{m} \int d\tau_1 G_{nm}(\tau,\tau_1) t_{k\alpha m}^* g_{k\alpha}(\tau_1,\tau'),$$

we have

$$G_{n\sigma',k\alpha\sigma}^{t}(t,t') = \sum_{m} \int dt_{1} G_{n\sigma',m\sigma}(t,t_{1}) T_{k\alpha m}^{*} g_{k\alpha\sigma}^{t}(\tau_{1},\tau').$$

$$(26)$$

When there is only one orbit presents, this equation reduces to

$$G_{\sigma',k\alpha\sigma}^{t}(t,t') = \int dt_1 G_{\sigma',\sigma}(t,t_1) T_{k\alpha}^* g_{k\alpha\sigma}^{t}(\tau_1,\tau').$$
(27)

Since the contour Green's function has the same structure as real-time Green's function, the we have relation

$$G_{n\sigma',k\alpha\sigma}(\tau,\tau') = \sum_{m} \int d\tau_1 G_{n\sigma',m\sigma}(\tau,\tau_1) T_{k\alpha m}^* g_{k\alpha\sigma}(\tau_1,\tau')$$
(28)

where  $G_{n\sigma',k\alpha\sigma}(\tau,\tau')$  is contour Green's function defined in Eq. (17), and similarly the contour Green's function for non-interacting lead is defined as

$$g_{k\alpha\sigma}(\tau,\tau') = -i\langle T_c C_{k\alpha\sigma}(\tau) C_{k\alpha\sigma}^{\dagger}(\tau') \rangle \tag{29}$$

After analytic continuation, the current is formulated as

$$I_{\alpha\sigma}(t) = -\frac{e}{\hbar} \int dt_1 \operatorname{Tr} \left[ G^r(t, t_1) \Sigma_{\alpha}^{<}(t_1, t) + G^{<}(t, t_1) \Sigma_{\alpha}^{a}(t_1, t) \right] + h.c.$$
(30)

Following Eq. (224) in WangJ's note, its Fourier transformation is

$$I_{\alpha\sigma}(\omega_1) = -\frac{e}{\hbar} \int \frac{dE}{2\pi} \frac{dE'}{2\pi} \text{Tr} \left[ G^r \left( E + \omega_1, E' \right) \Sigma_{\alpha}^{<} \left( E', E \right) + G^{<}(E + \omega_1, E') \Sigma_{\alpha}^{a}(E', E) \right] + c.c.$$
(31)

Here,  $G^{r,<} \equiv G^{r,<}_{n\sigma',m\sigma}$ , notation Tr means sum over QD level index n, and matrix element

$$\Sigma_{\alpha,mn}^{\gamma}(t_1, t_2) = \sum_{k} T_{k\alpha m}^*(t_1) g_{k\alpha}^{\gamma}(t_1, t_2) T_{k\alpha n}(t_2), \tag{32}$$

and

$$\Sigma_{\alpha,mn}^{\gamma}(t_1, t_2) = \sum_{k} T_{k\alpha m}^{*}(t_1) g_{k\alpha}^{\gamma}(t_1, t_2) T_{k\alpha n}(t_2)$$
(33)

in which,  $g_{k\sigma}$  is the free propagator of lead, and  $\gamma$  is  $\{>, <, r, a\}$ . From Keldysh equation, we have (different from Eq. (33) in Chap. II?)

$$G^{<} = G^r \Sigma^{<} G^a \tag{34}$$

whose Fourier transformation gives

$$G^{<}(E_1, E_2) = \int \frac{dE}{2\pi} \frac{dE'}{2\pi} G^r(E_1, E) \Sigma^{<}(E, E') G^a(E', E_2)$$
(35)

### 5 Calculate $G^r$

For  $G^r$ , the Dyson equation gives (refer to [3])

$$G^{r}(t_{1}, t_{2}) = G^{0r}(t_{1} - t_{2}) + \int dt \ G^{0r}(t_{1} - t)H'(t)G^{r}(t, t_{2})$$
(36)

in which,  $G^{0r}$  is not the free propagator of central dot, but the equilibrium Green's function when the pumping potential H is set to zero, i.e. the hamiltonian is H - H', not  $\varepsilon_n d_{n\sigma}^{\dagger} d_{n\sigma}$ . Multiply  $e^{iE_1t_1 - iE_2t_2}$  and integrate on both sides, we have

$$G^{r}(E_{1}, E_{2}) \equiv \int dt_{1}dt_{2}e^{iE_{1}t_{1} - iE_{2}t_{2}}G^{r}(t_{1}, t_{2})$$

$$= 2\pi G^{0r}(E_{1})\delta(E_{1} - E_{2})$$

$$+ \int dt_{1}G^{0r}(t_{1} - t)e^{iE_{1}(t_{1} - t)}H'(t)e^{i(E_{1} - E)t} \iint dtdt_{2}G^{r}(t, t_{2})e^{iEt - E_{2}t_{2}}$$

$$= 2\pi G^{0r}(E_{1})\delta(E_{1} - E_{2}) + \int \frac{dE}{2\pi}G^{0r}(E_{1})H'(E_{1} - E)G^{r}(E, E_{2}),$$

$$(37)$$

in which, we inserted the inverse Fourier transformation

$$H'(t) = \int \frac{dE}{2\pi} e^{-i(E_1 - E)t} H'(E_1 - E). \tag{38}$$

### 5.1 Calcualte $G^{0r}$

Using Eq. (9) and Eq. (13), we have

$$\partial_t d_{n\sigma}^{\dagger} = i(\epsilon_n + \sigma B_0 \cos \theta) d_{n\sigma}^{\dagger} + i \sum_{k,\alpha = L,R} T_{k\alpha n} C_{k\alpha \sigma}^{\dagger}$$
(39)

$$\partial_t d_{n\sigma} = -i(\epsilon_n + \sigma B_0 \cos \theta) d_{n\sigma} - i \sum_{k,\alpha = L,R} T_{k\alpha n}^* C_{k\alpha \sigma}^{\dagger}$$
(40)

then

$$d_{n\sigma}^{\dagger}(t) = d_{n\sigma}^{\dagger}(0)e^{i(\epsilon_n + \sigma B_0 \cos \theta)t} + it \sum_{k,\alpha = L,R} T_{k\alpha n} C_{k\alpha \sigma}^{\dagger}$$

$$\tag{41}$$

$$d_{n\sigma}(t) = d_{n\sigma}(0)e^{-i(\epsilon_n + \sigma B_0 \cos \theta)} - it \sum_{k,\alpha = L,R} T_{k\alpha n}^* C_{k\alpha \sigma}$$
(42)

Note  $\epsilon_n$  is time-dependent,  $G^{0r}(t,t')$  depends only on time difference.

$$G_{n\sigma}^{0r}(t,t') = -i\theta(t-t')\langle \{d_{n\sigma}(t), d_{n\sigma}^{\dagger}(t')\}\rangle$$
=?
(43)

The hamiltonian H-H' cannot flip spin, so in the spin space  $\{d_{1,\uparrow}^{\dagger}, d_{1,\downarrow}^{\dagger}, d_{2\uparrow}^{\dagger}, d_{2\downarrow}^{\dagger}, \dots; d_{1\uparrow}, d_{1\downarrow}, d_{2\uparrow}, d_{2\downarrow}, \dots\}, G^{0r}$  is diagonal

$$G^{0r}(E) = \bigotimes_{n} G_n^{0r}(E) \tag{44}$$

$$G_n^{0r}(E) = \begin{pmatrix} G_{n\uparrow}^{0r}(E) & 0\\ 0 & G_{n\downarrow}^{0r}(E) \end{pmatrix}. \tag{45}$$

#### 5.2 Calcualte $G^r$

In spin space

$$G^{r} \equiv \begin{pmatrix} G_{n\uparrow,m\uparrow}^{r} & G_{n\uparrow,m\downarrow}^{r} \\ G_{n\downarrow,m\uparrow}^{r} & G_{n\downarrow,m\downarrow}^{r} \end{pmatrix}$$

$$\tag{46}$$

is a matrix of 2N dimension, where N is the total number of levels in central area. H' in Eq. (36) is a matrix of same dimension, given by

$$H' = \bigotimes_{n} H'_{n},\tag{47}$$

$$H'_n = \begin{pmatrix} 0 & \gamma e^{-i\omega t} \\ \gamma e^{i\omega t} & 0 \end{pmatrix}. \tag{48}$$

For simplicity, we consider only one energy level and neglect level index. we have

$$H'(E_1 - E) = \int dt e^{i(E_1 - E)t} H'(t)$$

$$= \begin{pmatrix} 0 & \gamma \int dt e^{i(E_1 - E - \omega)t} \\ \gamma \int dt e^{i(E_1 - E + \omega)t} & 0 \end{pmatrix}$$

$$= 2\pi \gamma \begin{pmatrix} 0 & \delta(E_1 - E - \omega) \\ \delta(E_1 - E + \omega) & 0 \end{pmatrix}$$
(49)

Substitute these equations into Eq. (37), we get

$$\begin{pmatrix}
G_{\uparrow,\uparrow}^{r}(E_{1}, E_{2}) & G_{\downarrow,\downarrow}^{r}(E_{1}, E_{2}) \\
G_{\downarrow,\uparrow}^{r}(E_{1}, E_{2}) & G_{\downarrow,\downarrow}^{r}(E_{1}, E_{2})
\end{pmatrix} = \begin{pmatrix}
G_{\uparrow}^{0r}(E_{1}) & 0 \\
0 & G_{\downarrow}^{0r}(E_{1})
\end{pmatrix} 2\pi\delta(E_{1} - E_{2}) 
+ \gamma \int dE \begin{pmatrix}
G_{\uparrow}^{0r}(E_{1}) & 0 \\
0 & G_{\downarrow}^{0r}(E_{1})
\end{pmatrix} 
\times \begin{pmatrix}
0 & \delta(E_{1} - E - \omega) \\
\delta(E_{1} - E + \omega) & 0
\end{pmatrix} \begin{pmatrix}
G_{\uparrow,\uparrow}^{r} & G_{\uparrow,\downarrow}^{r} \\
G_{\downarrow,\uparrow}^{r} & G_{\downarrow,\downarrow}^{r}
\end{pmatrix}$$

$$= \begin{pmatrix}
G_{\uparrow}^{0r}(E_{1}) & 0 \\
0 & G_{\downarrow}^{0r}(E_{1})
\end{pmatrix} 2\pi\delta(E_{1} - E_{2}) 
+ \begin{pmatrix}
G_{1}(E_{1}, E_{2}) & G_{2}(E_{1}, E_{2}) \\
G_{3}(E_{1}, E_{2}) & G_{4}(E_{1}, E_{2})
\end{pmatrix}.$$
(50)

where we have omitted the independent variables  $(E, E_2)$  of  $G^r_{n\sigma,m\sigma'}$  for sake of convenience. In the above equations  $G_1, G_2, G_3, G_4$  are

$$G_1(E_1, E_2) = \gamma \int dE G_{\uparrow}^{0r}(E_1) \,\delta(E_1 - E - \omega) G_{\downarrow,\uparrow}^r(E, E_2)$$

$$\tag{51}$$

$$G_2(E_1, E_2) = \gamma \int dE G_{\uparrow}^{0r}(E_1) \,\delta(E_1 - E - \omega) G_{\downarrow,\downarrow}^r(E, E_2)$$

$$(52)$$

$$G_3(E_1, E_2) = \gamma \int dE G_{\downarrow}^{0r}(E_1) \,\delta(E_1 - E + \omega) G_{\uparrow,\uparrow}^r(E, E_2)$$

$$\tag{53}$$

$$G_4(E_1, E_2) = \gamma \int dE G_{\downarrow}^{0r}(E_1) \,\delta(E_1 - E + \omega) G_{\uparrow,\downarrow}^r(E, E_2) \tag{54}$$

So, the  $G_1$  term

$$G_{\uparrow,\uparrow}^{r}(E_{1}, E_{2}) = G_{\uparrow}^{0r}(E_{1}) 2\pi\delta(E_{1} - E_{2}) + G_{1}(E_{1}, E_{2})$$

$$= 2\pi G_{\uparrow}^{0r}(E_{1}) \delta(E_{1} - E_{2}) + \gamma G_{\uparrow}^{0r}(E_{1}) G_{\downarrow,\uparrow}^{r}(E_{1} - \omega, E_{2})$$
(55)

The  $G_2$  term

$$G_{\uparrow,\downarrow}^{r}(E_{1}, E_{2}) = G_{2}(E_{1}, E_{2})$$

$$= \gamma G_{\uparrow}^{0r}(E_{1}) G_{\downarrow\downarrow}^{r}(E_{1} - \omega, E_{2})$$
(56)

The  $G_3$  term

$$G_{\downarrow,\uparrow}^{r}(E_{1}, E_{2}) = G_{3}(E_{1}, E_{2})$$

$$= \gamma G_{\downarrow}^{0r}(E_{1}) G_{\uparrow,\uparrow}^{r}(E_{1} + \omega, E_{2})$$
(57)

and the  $G_4$  term

$$G_{\downarrow,\downarrow}^{r}(E_{1}, E_{2}) = g_{\downarrow}^{r}(E_{1}) 2\pi\delta(E_{1} - E_{2}) + G_{4}(E_{1}, E_{2})$$

$$= 2\pi G_{\downarrow}^{0r}(E_{1}) \delta(E_{1} - E_{2}) + \gamma G_{\downarrow}^{0r}(E_{1}) G_{\uparrow\downarrow}^{r}(E_{1} + \omega, E_{2})$$
(58)

After collecting terms, we get

$$G_{\uparrow,\uparrow}^{r}(E_{1}, E_{2}) = 2\pi G_{\uparrow}^{0r}(E_{1}) \,\delta(E_{1} - E_{2}) + \gamma G_{\uparrow}^{0r}(E_{1}) \,\gamma G_{\downarrow}^{0r}(E_{1} - \omega) G_{\uparrow,\uparrow}^{r}(E_{1}, E_{2})$$

$$= \frac{2\pi G_{\uparrow}^{0r}(E_{1}) \,\delta(E_{1} - E_{2})}{1 - \gamma^{2} G_{\uparrow}^{0r}(E_{1}) \,G_{\downarrow}^{0r}(E_{1} - \omega)}$$
(59)

and

$$G_{\downarrow,\downarrow}^{r}(E_{1}, E_{2}) = \frac{2\pi G_{\downarrow}^{0r}(E_{1}) \delta(E_{1} - E_{2})}{1 - \gamma^{2} G_{\downarrow}^{0r}(E_{1}) G_{\downarrow}^{0r}(E_{1} + \omega)}$$
(60)

and

$$G_{\uparrow,\downarrow}^{r}(E_{1}, E_{2}) = \gamma G_{\uparrow}^{0r}(E_{1}) G_{\downarrow,\downarrow}^{r}(E_{1} - \omega, E_{2})$$

$$= \frac{2\pi \gamma G_{\uparrow}^{0r}(E_{1}) G_{\downarrow}^{0r}(E_{1} - \omega) \delta(E_{1} - \omega - E_{2})}{1 - \gamma^{2} G_{\downarrow}^{0r}(E_{1} - \omega) G_{\downarrow}^{0r}(E_{1})}$$
(61)

$$G_{\downarrow,\uparrow}^{r}(E_{1}, E_{2}) = \gamma G_{\downarrow}^{0r}(E_{1}) G_{\uparrow,\uparrow}^{r}(E_{1} + \omega, E_{2})$$

$$= \frac{2\pi \gamma G_{\uparrow}^{0r}(E_{1} + \omega) G_{\downarrow}^{0r}(E_{1}) \delta(E_{1} + \omega - E_{2})}{1 - \gamma^{2} G_{\downarrow}^{0r}(E_{1} + \omega) G_{\downarrow}^{0r}(E_{1})}$$
(62)

Define

$$g_{\sigma}^{r,a}(E) \equiv \frac{G_{\sigma}^{0r,a}(E)}{1 - \gamma^2 G_{\sigma}^{0r,a}(E) G_{\bar{\sigma}}^{0r,a}(E + \bar{\sigma}\omega)},\tag{63}$$

then we can write

$$G_{\uparrow,\uparrow}^r(E_1, E_2) = 2\pi g_{\uparrow}^r(E_1)\delta(E_1 - E_2).$$
 (64)

$$G_{\downarrow,\downarrow}^r(E_1, E_2) = 2\pi g_{\downarrow}^r(E_1)\delta(E_1 - E_2). \tag{65}$$

$$G_{\uparrow,\downarrow}^r(E_1, E_2) = 2\pi\gamma G_{\uparrow}^{0r}(E_1)g_{\downarrow}^r(E_1 - \omega)\delta(E_1 - \omega - E_2). \tag{66}$$

$$G_{\downarrow,\uparrow}^r(E_1, E_2) = 2\pi\gamma G_{\downarrow}^{0r}(E_1)g_{\uparrow}^r(E_1 + \omega)\delta(E_1 + \omega - E_2). \tag{67}$$

Thus we get  $G^r$  in Eq. (46).

## 6 Calculate $G^{<}$

### 6.1 Calcualte $\Sigma_{\alpha}$

In Eq. (32),

$$\Sigma_{\alpha}^{\gamma}(t_1, t_2) = \sum_{k} T_{k\alpha}^{*}(t_1) g_{k\alpha}^{\gamma}(t_1, t_2) T_{k\alpha}(t_2).$$
 (68)

Here we consider parametric pumping, thus no bias presents in the leads as demonstrated in the system Hamiltonian, i.e.  $\Sigma_a(t_1, t_2) \to \Sigma_a(t_1 - t_2)$ . Using free propagators  $g_{k\alpha\sigma}^{\gamma}$ , Fourier-transform to

$$\Sigma_{\alpha\sigma}^{<}(E_1, E_2) = 2\pi \Sigma_{\alpha\sigma}^{<}(E_1)\delta(E_1 - E_2), \tag{69}$$

in which

$$\Sigma_{\alpha\sigma}^{<}(E) = if(E)\Gamma_{\alpha}(E). \tag{70}$$

The linewidth function  $\Gamma$  is defined as

$$\Gamma_{\alpha}(E) \equiv 2\pi \sum_{k} T_{k\alpha}^* T_{k\alpha} \delta\left(E - \epsilon_k\right), \tag{71}$$

thus we have  $\Sigma_{\sigma}$ , which is a number in spin space, not a matrix, since  $\Sigma^{<}$  is independent of spin  $\sigma$ . Similarly,

$$\Sigma_{\alpha\sigma}^{a}(E_1, E_2) = 2\pi \Sigma_{\alpha\sigma}^{a}(E_1)\delta(E_1 - E_2), \tag{72}$$

and the retarted (advanced) self-energy is (?)

$$\Sigma_{\alpha\sigma}^{r,a}(E) = \Lambda_{\alpha}(E) \mp \frac{i}{2} \Gamma_{\alpha}(E), \tag{73}$$

since

$$\Sigma_{\alpha\sigma}^{a}(E) = [\Sigma_{\alpha\sigma}^{r}(E)]^{*} \tag{74}$$

and

$$\Sigma_{\alpha\sigma}^{a}(E) - \Sigma_{\alpha\sigma}^{r}(E) = i\Gamma_{\alpha\sigma}(E)$$

$$= 2i\operatorname{Im}\{\Sigma_{\alpha\sigma}^{a}(E)\}.$$
(75)

### **6.2** Calculate $G^a$

We have relation

$$G_{\sigma,\sigma'}^{a}(E_1, E_2) = (G_{\sigma',\sigma}^{r}(E_2, E_1))^*, \tag{76}$$

$$G^{r} = \begin{pmatrix} G_{\uparrow,\uparrow}^{r} & G_{\downarrow,\uparrow}^{r} \\ G_{\uparrow,\downarrow}^{r} & G_{\downarrow,\downarrow}^{r} \end{pmatrix}$$
 (77)

so in spin space,

$$G^{a} = \begin{pmatrix} (G_{\uparrow,\uparrow}^{r})^{*} & (G_{\uparrow,\downarrow}^{r})^{*} \\ (G_{\downarrow,\uparrow}^{r})^{*} & (G_{\downarrow,\downarrow}^{r})^{*} \end{pmatrix}$$

$$(78)$$

### **6.3** Calculate $G^{<}$

Substitute  $G^r, \Sigma^<, G^a$  into Eq. (34), we get  $G^<$ ,

$$G^{<}(E_{1}, E_{2}) = \int \frac{dE}{2\pi} \frac{dE'}{2\pi} G^{r}(E_{1}, E) \Sigma^{<}(E, E') G^{a}(E', E_{2})$$

$$= \int \frac{dE}{2\pi} G^{r}(E_{1}, E) \Sigma^{<}(E) G^{a}(E, E_{2})$$

$$= \int \frac{dE}{2\pi} i f(E) \Gamma(E) G^{r}(E_{1}, E) G^{a}(E, E_{2})$$
(79)

$$G^{r}(E_{1}, E) G^{a}(E, E_{2}) = \begin{pmatrix} G_{1} & G_{2} \\ G_{3} & G_{4} \end{pmatrix}$$
 (80)

in which, the  $G_1$  term is

$$G_{1} = G_{\uparrow,\uparrow}^{r}(E_{1}, E)G_{\uparrow,\uparrow}^{r,*}(E, E_{2}) + G_{\downarrow,\uparrow}^{r}(E_{1}, E)G_{\downarrow,\uparrow}^{r,*}(E, E_{2})$$

$$= 2\pi g_{\uparrow}^{r}(E_{1}) \delta(E_{1} - E) 2\pi g_{\uparrow}^{a}(E) \delta(E - E_{2})$$

$$+$$
(81)

the  $G_2$  term is

$$G_2 = G_{\uparrow,\uparrow}^r(E_1, E)G_{\uparrow,\downarrow}^{r,*}(E, E_2) + G_{\downarrow,\uparrow}^r(E_1, E)G_{\downarrow,\downarrow}^{r,*}(E, E_2)$$
(82)

the  $G_3$  term is

$$G_3 = G_{\uparrow,\downarrow}^r(E_1, E)G_{\uparrow,\uparrow}^r(E, E_2) + G_{\downarrow,\downarrow}^r(E_1, E)G_{\downarrow,\uparrow}^{r,*}(E, E_2)$$

$$\tag{83}$$

the  $G_4$  term is

$$G_4 = G_{\uparrow,\downarrow}^r(E_1, E)G_{\uparrow,\downarrow}^r(E, E_2) + G_{\downarrow,\downarrow}^r(E_1, E)G_{\downarrow,\downarrow}^{r,*}(E, E_2)$$
(84)

So, the matrix element of  $G^{<}$  is

$$G^{<}(E_1, E_2) = \begin{pmatrix} G_{11}^{<} & G_{12}^{<} \\ G_{21}^{<} & G_{22}^{<} \end{pmatrix}$$
 (85)

$$G_{11}^{<}(E_{1}, E_{2}) = \int \frac{dE}{2\pi} i f(E) \Gamma(E) \frac{2\pi G_{\uparrow}^{0r}(E_{1}) \, \delta\left(E_{1} - E\right)}{1 - \gamma^{2} G_{\uparrow}^{0r}(E_{1}) \, G_{\downarrow}^{0r}(E_{1} - \omega)} \times \frac{2\pi G_{\uparrow}^{0a}(E) \, \delta\left(E - E_{2}\right)}{1 - \gamma^{2} G_{\uparrow}^{0a}(E) \, G_{\downarrow}^{0a}(E - \omega)}$$

$$+ \int \frac{dE}{2\pi} i f(E) \Gamma(E) \frac{2\pi \gamma G_{\uparrow}^{0r}(E_{1} + \omega) \, G_{\downarrow}^{0r}(E_{1}) \, \delta\left(E_{1} + \omega - E\right)}{1 - \gamma^{2} G_{\uparrow}^{0r}(E_{1} + \omega) \, G_{\downarrow}^{0r}(E_{1})} \times \frac{2\pi \gamma G_{\uparrow}^{0a}(E + \omega) \, G_{\downarrow}^{0a}(E) \, \delta\left(E + \omega - E_{2}\right)}{1 - \gamma^{2} G_{\uparrow}^{0a}(E + \omega) \, G_{\downarrow}^{0a}(E)}$$

$$= i f(E_{1}) \Gamma(E_{1}) \frac{G_{\uparrow}^{0r}(E_{1})}{1 - \gamma^{2} G_{\uparrow}^{0r}(E_{1}) \, G_{\downarrow}^{0r}(E_{1} - \omega)} \times \frac{2\pi G_{\uparrow}^{0a}(E_{1}) \, \delta\left(E_{1} - E_{2}\right)}{1 - \gamma^{2} G_{\uparrow}^{0a}(E_{1}) \, G_{\downarrow}^{0a}(E_{1} - \omega)}$$

$$+ i f(E_{1} + \omega) \Gamma(E_{1} + \omega) \frac{\gamma G_{\uparrow}^{0r}(E_{1} + \omega) \, G_{\downarrow}^{0r}(E_{1})}{1 - \gamma^{2} G_{\uparrow}^{0r}(E_{1} + \omega) \, G_{\downarrow}^{0r}(E_{1})} \times \frac{2\pi \gamma G_{\uparrow}^{0a}(E_{1} + 2\omega) \, G_{\downarrow}^{0a}(E_{1} + \omega) \, \delta\left(E_{1} + 2\omega - E_{2}\right)}{1 - \gamma^{2} G_{\uparrow}^{0a}(E_{1} + 2\omega) \, G_{\downarrow}^{0a}(E_{1} + \omega)}$$

$$+ i f(E_{1} + \omega) \Gamma(E_{1} + \omega) \frac{\gamma G_{\uparrow}^{0r}(E_{1} + \omega) \, G_{\downarrow}^{0r}(E_{1})}{1 - \gamma^{2} G_{\uparrow}^{0r}(E_{1} + \omega) \, G_{\downarrow}^{0r}(E_{1})} \times \frac{2\pi \gamma G_{\uparrow}^{0a}(E_{1} + 2\omega) \, G_{\downarrow}^{0a}(E_{1} + \omega) \, \delta\left(E_{1} + 2\omega - E_{2}\right)}{1 - \gamma^{2} G_{\uparrow}^{0a}(E_{1} + 2\omega) \, G_{\downarrow}^{0a}(E_{1} + \omega)}$$

## 7 Spin-up current

$$G_{11}^{<}(E_{1}, E_{2}) = if(E_{1})\Gamma(E_{1})g_{\uparrow}^{r}(E_{1}) \times 2\pi\delta (E_{1} - E_{2}) g_{\uparrow}^{a}(E_{1}) + if(E_{1} + \omega)\Gamma(E_{1} + \omega)\gamma g_{\uparrow}^{r}(E_{1} + \omega)G_{\downarrow}^{0r}(E_{1}) \times 2\pi\gamma g_{\uparrow}^{a}(E_{1} + 2\omega)G_{\downarrow}^{0a}(E_{1} + \omega)\delta(E_{1} + 2\omega - E_{2}),$$
(87)

and The spin-up current is

$$I_{\alpha\uparrow,\uparrow}(\omega_{1}) = -\frac{e}{\hbar} \int \frac{dE}{2\pi} \frac{dE'}{2\pi} \left[ G_{\uparrow,\uparrow}^{r} \left( E + \omega_{1}, E' \right) 2\pi i f(E') \Gamma_{\alpha}(E') \delta(E' - E) \right.$$

$$\left. + G_{11}^{<}(E + \omega_{1}, E') 2\pi \Sigma_{\alpha}^{a}(E') \delta(E' - E) \right] + c.c.$$

$$= -\frac{e}{\hbar} \int \frac{dE}{2\pi} \left[ G_{\uparrow,\uparrow}^{r} \left( E + \omega_{1}, E \right) i f(E) \Gamma_{\alpha}(E) \right.$$

$$\left. + G_{11}^{<}(E + \omega_{1}, E) \Sigma_{\alpha}^{a}(E) \right] + c.c.$$

$$(88)$$

Assume (?)

$$\Sigma_{\alpha}^{a}(E) = \frac{i}{2} \Gamma_{\alpha}(E) \tag{89}$$

or

$$\Sigma_{\alpha}^{a}(E) = \sum_{k} T_{k\alpha}^{*} \frac{1}{E - \epsilon_{k} - i0^{+}} T_{k\alpha}(E)$$

$$\tag{90}$$

# References

- [1] Y, K, Kato. Observation of the Spin Hall Effect in Semiconductors[J]. Science, 2004.
- [2] Antti-Pekka Jauho, Quantum Kinetics in Transport and Optics of Semiconductors, P188.
- [3] PRB 67, 092408 (2003).