

Intravoxel Incoherent Motion (IVIM) and Multi-parametric MRI Analysis for Chemotherapy Response Evaluation in Bone Tumor

Esha Baidya Kayal



**CENTRE FOR BIOMEDICAL ENGINEERING
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by

Esha Baidya Kayal

Centre for Biomedical Engineering

Submitted

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to the



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Dedicated
to my Parents

CERTIFICATE

This is to certify that the thesis entitled “**Intravoxel Incoherent Motion (IVIM) and Multi-parametric MRI analysis for Chemotherapy Response Evaluation in Bone Tumor**”, being submitted by **Mrs. Esha Baidya Kayal**, to the Indian Institute of Technology Delhi, for the award of ‘**Doctor of Philosophy**’ in Centre for Biomedical Engineering is a record of the bonafide research work carried out by her under our supervision and guidance. She has fulfilled the requirements for submission of this thesis, which to the best of our knowledge has reached the requisite standard. The materials contained in the thesis has not been submitted in part or full to any other University or Institute for the award of any other degree or diploma.



Dr. Amit Mehndiratta

Associate Professor
Centre for Biomedical Engineering
Indian Institute of Technology, Delhi



Dr. Devasenathipathy Kandasamy

Additional Professor
Department of Radio Diagnosis
All India Institute of Medical Science, Delhi

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(Esha Baidya Kayal)

ABSTRACT

Osteosarcoma is the most common bone sarcoma and the third most common malignancy in children and adolescents with high morbidity and mortality. Early evaluation of chemotherapy response may help to prevent the patients from undergoing ineffective chemotherapy regimen, reducing side-effects, saving treatment time, cost and may improve patient management through personalized therapeutic options. The goal of this PhD thesis was to investigate the role of non-invasive imaging-based markers for monitoring and evaluating early therapeutic response in patients with osteosarcoma receiving neoadjuvant chemotherapy using Intravoxel incoherent motion (IVIM) and multi-parametric MRI analysis. Existing challenges in literature regarding anti-cancer therapeutic response evaluation in bone tumor were attempted to be addressed in this PhD research work.

The first objective of this thesis was to develop the methodology for reliable and reproducible IVIM parameter estimation as the existing widely used IVIM analysis methodologies, viz bi-exponential (BE) model and segmented BE techniques, evaluate IVIM parameters at each voxel independently, overlooking the spatial context in tissue which may lead to unreliable noisy solutions. To achieve this goal, two gradient-based adaptive penalty functions, Total Variation (TV) and Huber penalty function (HPF) were incorporated into the non-linear least-square (NLLS) optimization of standard BE model and two novel IVIM analysis methodologies 1) BE model with Total Variation Penalty function (BE+TV), and 2) BE model with Huber Penalty function (BE+HPF) were developed. Proposed BE+TV and BE+HPF methods, adaptively adjust the NLLS error and reduce the non-physiological spatial inhomogeneity and noise in parameter estimation by using TV/HPF penalty reduction at each iteration of NLLS optimization to produce reliable

and reproducible parametric images. Experimental results using simulation and empirical clinical datasets showed quantitatively and qualitatively improved IVIM parameter estimation by proposed BE+TV and BE+HPF methodologies than the existing BE and segmented BE techniques. The performance of the two developed methodologies were similar.

The second objective was to assess the potential of quantitative IVIM analysis for characterizing and evaluating early chemotherapeutic response in patients with osteosarcoma. IVIM parameters such as diffusion coefficient (D), perfusion coefficient (D^*) and perfusion fraction (f) were evaluated using state of the art IVIM analysis methodology BE+TV along with apparent diffusion coefficient (ADC) and histogram analysis was performed for these parameters. Experimental results demonstrated the potential of IVIM analysis for evaluating chemotherapy response in osteosarcoma with correlation to both the radiological and the histopathological response evaluation measurements as the reference standards. IVIM perfusion-related parameters (D^* , f) and their histogram parameters - standard-deviation, energy and entropy were effective to be used as surrogate markers for characterizing heterogeneity in tumor micro-vasculature and its changes during chemotherapy in osteosarcoma.

The third objective was to develop a robust and novel automated methodology to delineate, visualize and quantify the proportion of necrosis and viable tissue present within the tumor and eventually evaluate the chemotherapeutic response using multi-parametric MRI. To achieve this goal, Simple linear iterative clustering supervoxel (SLICs) and Otsu multi-thresholding (Mth) were combined to develop the proposed SLICs+MTh methodology; while the former technique clusters the voxels with similar intensity levels in close proximity and latter technique selects and combines the clusters to sub-segment the targeted pathological region within the tumor. The proposed methodology SLICs+MTh is non-invasive and imaging based and uses diffusion

weighted image (DWI), T2 weighted fat-saturated image and ADC parametric map. SLICs+MTh was applied to the tumor before and after the chemotherapy regimen and it produced reliable approximation of amount of macro-necrosis and viable tumor volume in osteosarcoma which was in satisfactory agreement with the estimated histopathological necrosis after surgery.

The fourth objective was to assess the efficacy of multi-parametric 3D statistical texture analysis in characterizing tumor microstructure and its changes during chemotherapy in osteosarcoma and evaluating early therapeutic response. To meet the purpose, textural features based on Gray-level co-occurrence matrix (GLCM), Neighborhood gray-tone difference matrix (NGTDM) and Run length matrix (RLM) were evaluated on T1W, T2W & DWI images and ADC , D , D^* & f parametric maps. A linear discriminant analysis was performed to find the potential surrogate markers for tumor aggressiveness and responsiveness to chemotherapy. NGTDM features coarseness, busyness and strength for D , D^* & f and T1W, T2W images, acquired even before start of the chemotherapy; were found to be useful markers for predicting tumor aggressiveness and prognosis. GLCM features contrast, correlation; NGTDM features contrast, complexity and RLM features short run low gray-level emphasis; for D , D^* & f and the T2W image, were found to be effective markers for chemotherapy response early in the course of treatment.

This PhD research work developed methodologies for reliable quantitative IVIM parameter estimation and identified imaging-based markers for monitoring and evaluating chemotherapeutic response in osteosarcoma by applying various analyses using IVIM and multi-parametric MRI. The research findings of this thesis might help the oncologist and radiologists in performing early prediction and evaluation of the chemotherapy response in patients with osteosarcoma and therefore might be beneficial for the patients by enabling personalized treatment regime.

सार

ऑस्टियोसार्कोमा सबसे आम अस्थी कैंसर है और तीसरी सबसे आम कैंसर है, जो बच्चों और किशोरों में उच्च-रुग्णता और मृत्यु-दर के साथ पाए जाते हैं। कीमोथेरेपी की प्रारंभिक प्रतिक्रिया मूल्यांकन, अप्रभावी कीमोथेरेपी के दौर से गुजर रहे रोगियों में कीमोथेरेपी की पार्श्व-प्रभाव को कम करने में मदद कर सकती है, उपचार समय और लागत को बचत हो सकती है, और व्यक्तिगत चिकित्सीय विकल्प के माध्यम से रोगी प्रबंधन में सुधार हो सकता है। इस पीएचडी शोधलेख का लक्ष्य था, ऑस्टियोसार्कोमा रोगियों में कीमोथेरेपी उपचार के दौरान प्रारंभिक चिकित्सीय प्रतिक्रिया और निगरानी के लिए इंटरवॉक्सेल इनकोहेरेंट मोशन (आई.वी.आई.एम.) और मल्टी-पैरामीट्रिक एमआरआई द्वारा उपलब्ध गैर-हस्तक्षेप, इमेजिंग-आधारित मार्कर की भूमिका का मूल्यांकन करना। इस अनुसंधान कार्य में, अस्थी कैंसर में चिकित्सीय प्रतिक्रिया मूल्यांकन करने के लिए वैज्ञानिक साहित्य में मौजूदा चुनौतियों को संबोधित करने का प्रयास किया गया है।

इस शोधलेख का पहला उद्देश्य था, विश्वसनीय और पुनरुत्तरीय आई.वी.आई.एम. मापदंडों का अनुमान करने के लिए कार्यप्रणाली विकसित करना; क्योंकि मौजूदा व्यापक रूप से उपयोग किया गया आई.वी.आई.एम. विश्लेषण के तरीके, यानी बीएक्सपोनेंशल (बी.ई.) मॉडल और खंडित बी.ई. तरीकों, स्थानिक संदर्भ की अनदेखी करते हुए प्रत्येक वॉक्सेल में स्वतंत्र रूप से आई.वी.आई.एम. मापदंडों का मूल्यांकन करते हैं, जिससे अविश्वसनीय और गलत मूल्यांकन हो सकता है। इस लक्ष्य को प्राप्त करने के लिए, दो ग्रेडिएंट-आधारित अनुकूली पेनल्टी फंक्शन, टोटल वेरिएशन (टी.वी.) और हूबर पेनल्टी फंक्शन (एच.प.फ.) को मानक बी.ई. मॉडल का नॉन-लीनियर-लीस्ट-स्क्वायर (न.ल.ल.स.) अनुकूलन प्रक्रिया में शामिल किये गए थे और दो नई आई.वी.आई.एम.

विश्लेषण के विधियाँ 1) बी.ई. मॉडल के साथ टोटल वेरिएशन पेनल्टी फ़ंक्शन (बी.ई.+टी.वी.), और 2) ह्यूबर पेनल्टी फ़ंक्शन के साथ बी.ई. मॉडल (बी.ई.+एच.प.फ.) विकसित किए गए थे। प्रस्तावित बी.ई.+टी.वी. और बी.ई.+एच.प.फ. विधियाँ, विश्वसनीय और पुनरवर्तनीय आई.वी.आई.एम. मापदंडों का अनुमान के लिए टी.वी./एच.प.फ. पेनल्टी फ़ंक्शन का उपयोग द्वारा आई.वी.आई.एम. मापदंडों के मूल्यांकन के दौरान न.ल.ल.स. प्रक्रिया में पाए गए गलत समाधानों को अनुकूलता से समायोजित किये थे और गैर-शारीरिक स्थानिक इनहोमोजेनिटी को कम किया था. कंप्यूटर अनुकरण डेटासेट और नैदानिक डेटासेट द्वारा प्रयोगात्मक परिणाम दर्शाता है कि प्रस्तावित बी.ई.+टी.वी. और बी.ई.+एच.प.फ. विधियाँ मौजूदा बी.ई. और खंडित बी.ई. तकनीकों की तुलना में मात्रात्मक और गुणात्मक रूप से बेहतर आई.वी.आई.एम. मापदंडों का अनुमान किया था। दो विकसित पद्धतियों का प्रदर्शन लगभग एक जैसा था।

दूसरा उद्देश्य था, ओस्टियोसार्कोमा के रोगियों में प्रारंभिक कीमोथेरेपी संबंधी प्रतिक्रिया का मूल्यांकन करने के लिए मात्रात्मक आई.वी.आई.एम. विश्लेषण की क्षमता का आकलन करना। अत्याधुनिक आई.वी.आई.एम. विश्लेषण पद्धति बी.ई.+टी.वी. का उपयोग करके आई.वी.आई.एम. मापदंडों जैसे कि डिफूशन गुणांक (D^*), परफ्यूजन गुणांक (F^*) और परफ्यूजन अंश (α) और साथ में अपरेंट डिफूशन गुणक ($E.D.S.$) का मूल्यांकन किया गया था और इन मापदंडों के लिए आयतचित्र विश्लेषण किया गया था। प्रयोगात्मक परिणामों ने संदर्भ मानकों के रूप में विकिरण-चिकित्सात्मक प्रतिक्रिया और ऊतकविकृति परीक्षालब्ध प्रतिक्रिया, दोनों से सहसंबंध कीमोथेरेपी प्रतिक्रिया के मूल्यांकन के लिए आई.वी.आई.एम. विश्लेषण की क्षमता का प्रदर्शन किया गया है। कीमोथेरेपी के दौरान ओस्टियोसार्कोमा में सूक्ष्म-वाहिका और इसके परिवर्तनों में विषमता को चिह्नित करने के लिए मार्कर के रूप में आई.वी.आई.एम. परफ्यूजन से संबंधित मापदंडों (F^* ,

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तीसरा उद्देश्य एक मजबूत और नई स्वचालित पद्धति का विकास करना था, मल्टी-पैरामीट्रिक एम.आर.आई. में जिसके उपयोग से ट्यूमर के भीतर मौजूद परिगलन और कैंसर ऊतक के अनुपात और अंततः कीमोथेरेपी प्रतिक्रिया का मूल्यांकन किया जा सकता था। इस लक्ष्य को पाने के लिये सिंपल लीनियर इटरेटिव क्लस्टरिंग सुपरवॉक्सेल (एस.एल.आई.सि.एस.) और ओट्सु मल्टी-थ्रेसहोल्डिंग (एम.टी.एच.) पद्धतियों को संयुक्त किया गया और प्रस्तावित एस.एल.आई.सि.एस.+एम.टी.एच. कार्यप्रणाली को विकसित किया गया; जबकि पूर्व तकनीक समीप और करीब इंटेंसिटी वाले वोक्सलो को संग्रह करता है और बाद की तकनीक ट्यूमर के भीतर लक्षित रोगात्मक क्षेत्रों को उप-खंडित करने के लिए चुनिंदा क्लस्टर समूहों को जोड़ता है। प्रस्तावित कार्यप्रणाली एस.एल.आई.सि.एस.+एम.टी.एच. गैर-हस्तक्षेप और प्रतिकृति-आधारित है और डिफूशन-वेटेड, टी2-वेटेड फैट-सैचुरेटेड एमआरआई और *ए.डी.सी.* मापदंड प्रतिकृति का उपयोग करती है। एस.एल.आई.सि.एस.+एम.टी.एच. कार्यप्रणाली कीमोथेरेपी के पहले और बाद में ट्यूमर पर लागू किया गया था और यह ओस्टियोसारकोमा में स्थूल-परिगलन और कैंसर ऊतक की मात्रा का विश्वसनीय अनुमान लगाया था जो शल्यचिकित्सा के बाद अनुमानित ऊतकविकृति परीक्षालब्ध परिगलन के साथ संतोषजनक सन्निपतन में था।

चौथा उद्देश्य ओस्टियोसारकोमा में कीमोथेरेपी के दौरान ट्यूमर माइक्रोस्ट्रक्चर और इसके परिवर्तनों को चिह्नित और प्रारंभिक चिकित्सीय प्रतिक्रिया का मूल्यांकन करने में मल्टी-पैरामीट्रिक 3डी टेक्सचर विश्लेषण की प्रभावकारिता का आकलन करना था। उद्देश्य को पूरा करने के लिए, टी1-वेटेड, टी2-वेटेड और डिफूशन-वेटेड एम.आर.आई., और *ए.डी.सी.*, डी, डी* और एफ मापदंडों की

प्रतिकृति पर ग्रे-लेवल को-अकरंस मैट्रिक्स (जि.ल.सी.एम.), नेबरहुड ग्रे-टोन डिफरेंस मैट्रिक्स (न.जी.टी.डी.एम.) और रन लेंथ मैट्रिक्स (र.ल.म.) का मूल्यांकन किया गया था। ट्यूमर की आक्रामकता और कीमोथेरेपी के प्रति प्रतिक्रिया के लिए संभावित मार्कर को खोजने के लिए लीनियर डिस्क्रिमिनन्ट विश्लेषण किया गया था। कीमोथेरेपी की शुरुआत से पहले ट्यूमर की आक्रामकता और रोग का निदान की पूर्वानुमान करने के लिए; डी, डी* और एंफ्र मापदंडों की प्रतिकृति और टी1-वेटेड, टी2-वेटेड एम.आर.आई. पर मूल्यांकित न.जी.टी.डी.एम. विशेषताएं करसेनेस्स, बुसिनेस्स और स्ट्रेंथ उपयोगी मार्कर पाए गए। उपचार के प्रारंभिक चरण पर कीमोथेरेपी प्रतिक्रिया के लिए; डी, डी* और एंफ्र मापदंडों की प्रतिकृति और टी2-वेटेड एम.आर.आई. पर मूल्यांकित जि.ल.सी.एम. विशेषताएं कंट्रास्ट, करेलशन; न.जी.टी.डी.एम. विशेषताएं कंट्रास्ट, कम्प्लेक्सिटी; र.ल.म. विशेषता शार्ट-रन लौ-ग्रे-लेवल एम्फेसिस प्रभावी मार्कर पाए गए।

यह पीएचडी शोध कार्य विश्वसनीय मात्रात्मक आई.वी.आई.एम. मापदंडों का अनुमान के लिए कार्यप्रणाली विकसित किया हैं और ओस्टियोसारकोमा में कीमोथेरेपी की निगरानी और प्रतिक्रिया मूल्यांकन के लिए आई.वी.आई.एम. और मल्टी-पैरामीट्रिक एम.आर.आई. का उपयोग करके और विभिन्न विश्लेषणों को लागू करके प्रतिकृति-आधारित मार्कर समूह का आकलन और खोज की हैं। इस शोधलेख के निष्कर्षों से कैंसर-चिकित्सकों और विकिरण-चिकित्सकों को ओस्टियोसारकोमा के रोगियों में कीमोथेरेपी प्रतिक्रिया की पूर्वानुमान और प्रारंभिक मूल्यांकन प्रदर्शन करने में मदद मिल सकती है और इस रूप से व्यक्तिगत उपचार व्यवस्था को सक्षम करके रोगियों के लिए फायदेमंद हो सकती है।

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Ground-truth Tumor-diameter at baseline: $9.72 \pm 2.88 \text{ cm}$; 10.27 ± 3.17 and at 2nd follow-up: $8.51 \pm 3.03 \text{ cm}$; $8.85 \pm 3.38 \text{ cm}$ for observer-1 and observer-2 respectively;

Ground-truth Tumor-volume at baseline: $514.26 \pm 504.57 \text{ cc}$ and at 2nd follow-up: $435.97 \pm 413.29 \text{ cc}$; *ADC* for ground-truth Tumor-volume at baseline: $1.3 \pm 0.33 \times 10^3 \text{ mm}^2/\text{sec}$ and at 2nd follow-up: $1.72 \pm 0.27 \times 10^3 \text{ mm}^2/\text{sec}$.

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GLOSSARY

Abbreviation	Full form / Meaning
1D	One-dimensional
3D	Three-dimensional
AC	Active contour
ACC	average correlation coefficients
<i>ADC</i>	Apparent diffusion coefficient
AIIMS	All India Institute of Medical Sciences
AUC	Area under curve
bCV	Between-subject coefficient of variation
BE	Bi-exponential
BEseg-2	Segmented BE method with 2-parameter fitting
BEseg-1	Segmented BE method with 1-parameter fitting
BE+TV	BE model with Total Variation Penalty function
BE+HPF	BE model with Huber Penalty function
BF	Blood flow
BV	Blood volume
b-value	Diffusion weighting factor
CAD	Computer aided diagnostic
CBV	Cerebral blood volume
CR	Complete-response
CT	Computed tomography
<i>D</i>	Diffusion coefficient
<i>D*</i>	Perfusion coefficient
DC	Dice-coefficient
DCE	Dynamic contrast enhanced
<i>D_{rel}</i>	Relative diffusion coefficient
<i>D_{rel}[*]</i>	Relative perfusion coefficient
DNN	Deep feed-forward neural-network
DSC	Dynamic susceptibility contrast-enhanced
DW	Diffusion weighted

DWI	Diffusion weighted imaging
DWI ₈₀₀	DWI with b-value=800sec/mm ²
$\Delta 1$	Relative percentage changes between t0–t1
$\Delta 2$	Relative percentage changes between t0–t2
ESFT	Ewing’s sarcoma
f	Perfusion fraction
FCM	Fuzzy c-means clustering
FDG	Fluorodeoxyglucose
f_{rel}	Relative Perfusion fraction
GC	Energy-based graph cut
GLCM	Gray-level co-occurrence matrix
GLN	Gray-Level Nonuniformity
GLRM	Generalized linear regression model
GMs	Gradient magnitudes
GTSDM	Gray-tone spatial dependence matrices
HCC	Hepatocellular carcinoma
HGRE	High Gray-Level Run Emphasis
HNSCC	Head and neck squamous cell carcinoma
HPE	Histopathological evaluation
HPF	Huber penalty function
IVIM	Intravoxel Incoherent Motion
JI	Jacquard-index
KS test	Nonparametric two-sample Kolmogorov-Smirnov test
LARC	locally advanced rectal cancer
LGRE	Low Gray-Level Run Emphasis
LM	Levenberg-marquardt
LR	Logistic regression
LRE	Long Run Emphasis
LRHGE	Long Run High Gray-Level Emphasis
LRLGE	Long Run Low Gray-Level Emphasis
L-SVM	Linear support-vector-machines
Mth	Otsu multi-thresholding
MR	Magnetic resonance
MRI	Magnetic resonance imaging

ME	Mono-exponential
MER	Misclassification error rate
MTT	Mean transit time
MWW	Mann-Whitney-Wilcoxon
NACT	Neoadjuvant chemotherapy
NHTDM	Neighborhood gray-tone difference matrix
NLLS	Non-linear least-squares
NRes	Non-responder
OS	Osteosarcoma
OT	Otsu-thresholding
OT-RG	Otsu-threshold-based region growing
P	Precision
P _H	Horizontal
P _{LD}	Left-diagonal
P _{RD}	Right-diagonal
P _V	Vertical
PD	Progressive-disease
PET	Positron emission tomography
PGSE	Pulsed Gradient Spin Echo
POE	classification error probability
PR	Partial response
PWI	Perfusion weighted imaging
r	Pearson-correlation-coefficient
R	Recall
R^2	Coefficient-of-determination
RB	Relative bias
RF	Radio frequency
ROI	Region of interest
RECIST	Response evaluation criteria in solid tumors
Res	Responder
RLM	Run length matrix
RLN	Run Length Nonuniformity
ROC	Receiver-operating-characteristic-curve
RP	Run Percentage

RPC	Relative percentage change
RRMSE	Relative root mean-square error
S_b	Diffusion weighted signal/image at diffusion weighting factor value b sec/mm ²
SD	Stable disease
SLICs	Simple linear iterative clustering supervoxels
SLICs+MTh	Simple linear iterative clustering supervoxel and Otsu multi-thresholding
Sn	Sensitivity
SNR	Signal to Noise Ratio
SNR _{S0}	SNR in diffusion weighted image S_0
Sp	Specificity
SRE	Short Run Emphasis
SRHGE	Short Run High Gray-Level Emphasis
SRLGE	Short Run Low Gray-Level Emphasis
Std-Dev	Standard deviation
SUV	Standardized uptake value
t0	Baseline (before chemotherapy)
t1	1 st follow-up (after 1 st cycle of chemotherapy)
t2	2 nd follow-up (after 3 rd cycle of chemotherapy)
T1W	T1 Weighted
T2W	T2 Weighted
T2W-fatsat	T2-weighted fat-saturated
T2W-nonfatsat	T2-weighted non-fat-saturated
TA	Texture analysis
TE	Time of echo
Th	Threshold
TR	Repetition time
TV	Total variation
VOI	Volume of interest
wCV	Within-subject coefficient of variation