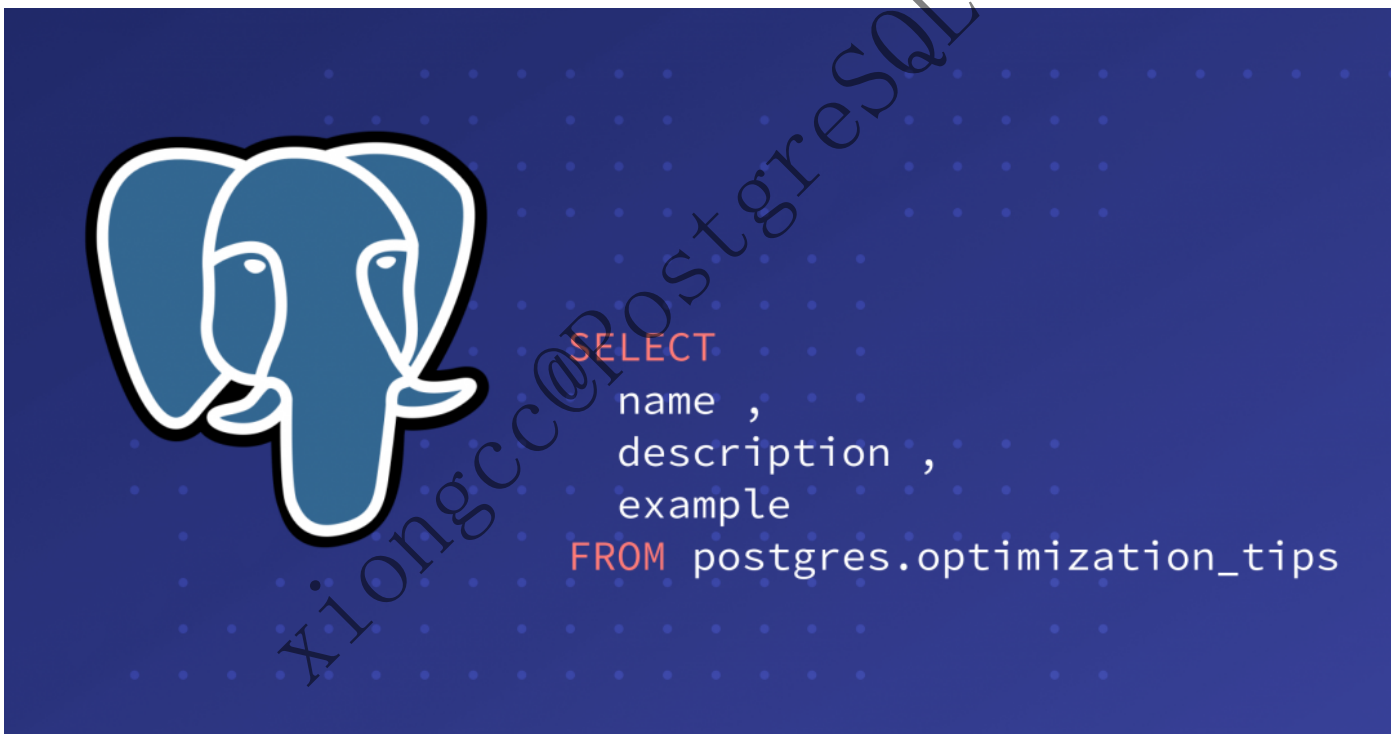
前言

SQL优化是一个任重而道远的活，有时线上可能仅仅是一条小小的不起眼SQL突然跑慢了，便可能引发业务系统雪崩，所以SQL优化的重要性不言而喻，但是，不少DBA或者开发都认为SQL优化很简单，加索引嘛，有啥难的，看老夫万能Btree打天下。遗憾的是，SQL优化是一件十分复杂的技术栈，不仅仅是：

1. analyze简单地收集一下统计信息
2. 根据where列、order by列等创建索引
3. 使用pg_hint_plan或者pg_plan_advsr建议一下优化器
4. 使用prepareStatements绑定一下执行计划减少解析时间
5. 无脑让开发降低并发，无脑scale out或者scale up，减少连接表的个数等

核心还要学会SQL的等价改写，但是这需要经历一个漫长的经验累积的过程，以我目前的功力还远远达不到去对SQL等价改写这个复杂的技术栈去评头论足 (此处推荐阅读罗炳森的《SQL等价改写核心思想》)，不过既然高级一点的不会，那我们就旁路，迂回战术，使用一些现有的工具，如pgMustard — review Postgres query plans quickly，pganalyze自动化性能诊断和优化产品等，助力我们优化SQL，达到事半功倍的效果。

今天分享的是PostgreSQL中，协助我们分析SQL的工具，让你假装是一个SQL优化大佬，带妹一绝。



Depesz' EXPLAIN ANALYZE visualizer

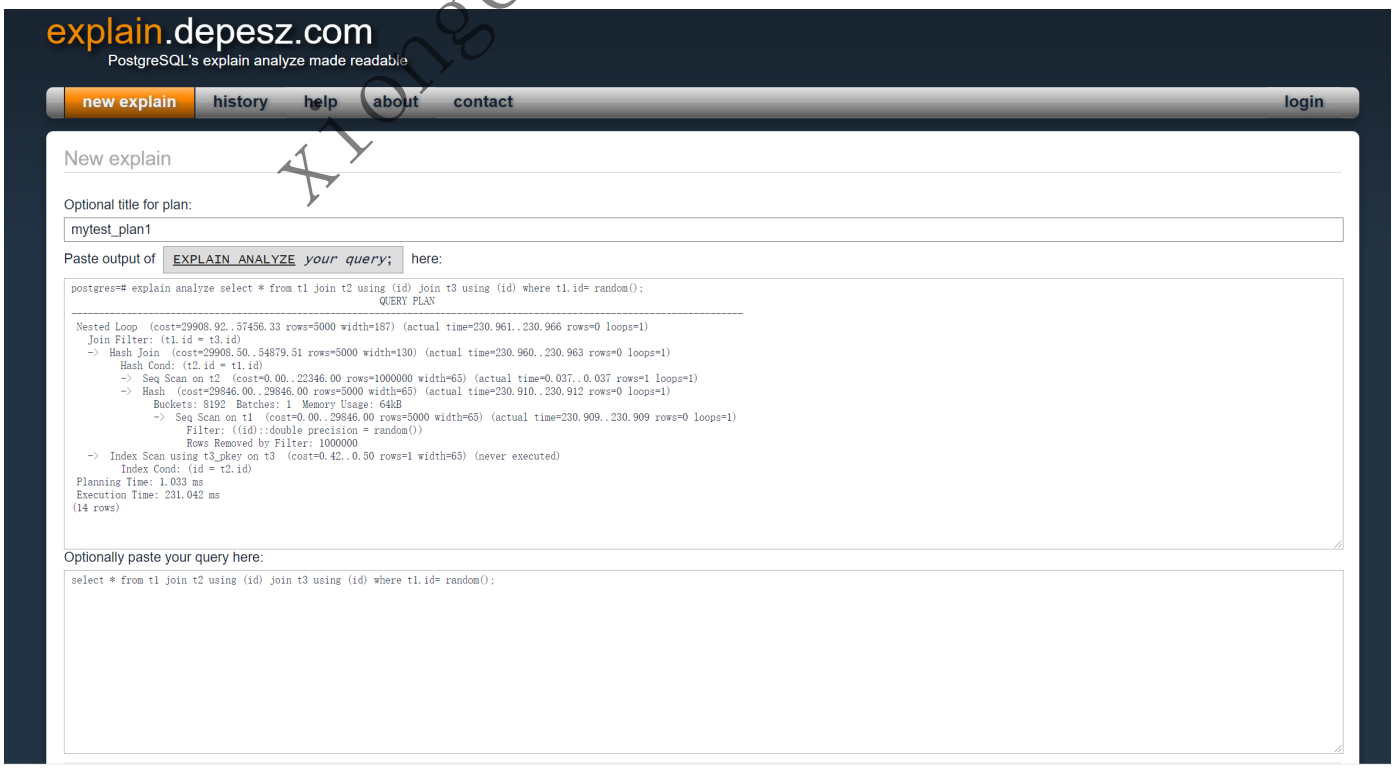
这个工具是Hubert Lubaczewski大师的杰作，地址在：https://explain.depesz.com/

使用很简单，先造个数据，模拟一下多表join

```
postgres=# create table t1(
postgres(#   id int primary key,
postgres(#   info text default 'tesssssssssssssssssssssssssssssssssssst',
postgres(#   state int default 0,
postgres(#   crt_time timestamp default now(),
```

```
postgres(#   mod_time timestamp default now()
postgres(# );
CREATE TABLE
postgres=# create table t2 (like t1 including all);
CREATE TABLE
postgres=# create table t3 (like t1 including all);
CREATE TABLE
postgres=# insert into t1 select generate_series(1,1000000);
INSERT 0 1000000
postgres=# insert into t2 select * from t1;
INSERT 0 1000000
postgres=# insert into t3 select * from t1;
INSERT 0 1000000
postgres=# explain select * from t1 join t2 using (id) join t3 using (id) where t1.id=
random();
                                  QUERY PLAN
------------------------------------------------------------------------------
 Nested Loop  (cost=29908.93..57468.83 rows=5000 width=178)
   Join Filter: (t1.id = t3.id)
   ->  Hash Join  (cost=29908.50..54879.51 rows=5000 width=130)
         Hash Cond: (t2.id = t1.id)
         ->  Seq Scan on t2  (cost=0.00..22346.00 rows=1000000 width=65)
         ->  Hash  (cost=29846.00..29846.00 rows=5000 width=65)
               ->  Seq Scan on t1  (cost=0.00..29846.00 rows=5000 width=65)
                     Filter: ((id)::double precision = random())
   ->  Index Scan using t3_pkey on t3  (cost=0.43..0.51 rows=1 width=56)
         Index Cond: (id = t2.id)
(10 rows)
```

使用很简单，分别上传explain analyze + SQL 的真实执行计划情况和对应的SQL



然后submit提交即可，就会基于当前的执行计划生成一份HTML的报告

Result: LatF : mytest_plan1

To delete this plan, you can use this link.

This link will not be shown any more, so you might want to bookmark it, just in case.

Settings

HTML | SOURCE | QUERY | REFORMATTED QUERY | STATS

Add optimization

#	exclusive	inclusive	rows x	rows	loops	node
1.	0.003	230.966	↓ 0.0	0	1	→ Nested Loop (cost=29,908.92..57,456.33 rows=5,000 width=187) (actual time=230.961..230.966 rows=0 loops=1) Join Filter: (t1.id = t3.id)
2.	0.014	230.963	↓ 0.0	0	1	→ Hash Join (cost=29,908.50..54,879.51 rows=5,000 width=130) (actual time=230.960..230.963 rows=0 loops=1) Hash Cond: (t2.id = t1.id)
3.	0.037	0.037	↑ 1,000,000.0	1	1	→ Seq Scan on t2 (cost=0.00..22,346.00 rows=1,000,000 width=65) (actual time=0.037..0.037 rows=1 loops=1)
4.	0.003	230.912	↓ 0.0	0	1	→ Hash (cost=29,846.00..29,846.00 rows=5,000 width=65) (actual time=230.910..230.912 rows=0 loops=1) Buckets: 8,192 Batches: 1 Memory Usage: 64kB
5.	230.909	230.909	↓ 0.0	0 - 1,000,000	1	→ Seq Scan on t1 (cost=0.00..29,846.00 rows=5,000 width=65) (actual time=230.909..230.909 rows=0 loops=1) Filter: ((id)::double precision = random()) Rows Removed by Filter: 1,000,000
6.	0.000	0.000	↓ 0.0		0	→ Index Scan using t3_pkey on t3 (cost=0.42..0.50 rows=1 width=65) (never executed) Index Cond: (id = t2.id)

Planning time	:	1.033 ms
Execution time	:	231.042 ms

当然，还可以加上一些附加的explain选项，如buffers查看缓冲区的使用情况，这样会更加方便我们分析

```
postgres=# explain (analyze,buffers) select * from t1 join t2 using (id) join t3 using
(id) where t1.id= random();
```

Result: Bx8T : mytest_plan2

To delete this plan, you can use this link.

This link will not be shown any more, so you might want to bookmark it, just in case.

Settings

HTML | SOURCE | QUERY | REFORMATTED QUERY | STATS

Add optimization

#	exclusive	inclusive	rows x	rows	loops	node
1.	0.002	255.296	↓ 0.0	0	1	→ Nested Loop (cost=29,908.92..57,456.33 rows=5,000 width=187) (actual time=255.291..255.296 rows=0 loops=1) Join Filter: (t1.id = t3.id) Buffers: shared hit=64 read=12,283 Planning: Buffers: shared hit=64
2.	0.015	255.294	↓ 0.0	0	1	→ Hash Join (cost=29,908.50..54,879.51 rows=5,000 width=130) (actual time=255.290..255.294 rows=0 loops=1) Hash Cond: (t2.id = t1.id) Buffers: shared hit=64 read=12,283
3.	0.011	0.011	↑ 1,000,000.0	1	1	→ Seq Scan on t2 (cost=0.00..22,346.00 rows=1,000,000 width=65) (actual time=0.011..0.011 rows=1 loops=1) Buffers: shared hit=1
4.	0.004	255.268	↓ 0.0	0	1	→ Hash (cost=29,846.00..29,846.00 rows=5,000 width=65) (actual time=255.265..255.268 rows=0 loops=1) Buckets: 8,192 Batches: 1 Memory Usage: 64kB Buffers: shared hit=63 read=12,283
5.	255.264	255.264	↓ 0.0	0 - 1,000,000	1	→ Seq Scan on t1 (cost=0.00..29,846.00 rows=5,000 width=65) (actual time=255.264..255.264 rows=0 loops=1) Filter: ((id)::double precision = random()) Rows Removed by Filter: 1,000,000 Buffers: shared hit=63 read=12,283
6.	0.000	0.000	↓ 0.0		0	→ Index Scan using t3_pkey on t3 (cost=0.42..0.50 rows=1 width=65) (never executed) Index Cond: (id = t2.id)

Planning time	:	0.992 ms
Execution time	:	255.380 ms

这里简单回顾一下执行计划：

1. explain 命令的输出结果中每个cost 就是该执行节点的代价估计。它的格式是xxx..xxx，在.. 之前的是预估的启动代价，即找到符合该节点条件的第一个结果预估所需要的代价，在..之后的是预估的总代价。而父节点的启动代价包含子节点的总代价。
2. actual time 执行时间，格式为xxx..xxx，在.. 之前的是该节点实际的启动时间，即找到符合该节点条件的第一个结果实际需要的时间，在..之后的是该节点实际的执行时间
3. rows 指的是该节点实际的返回行数

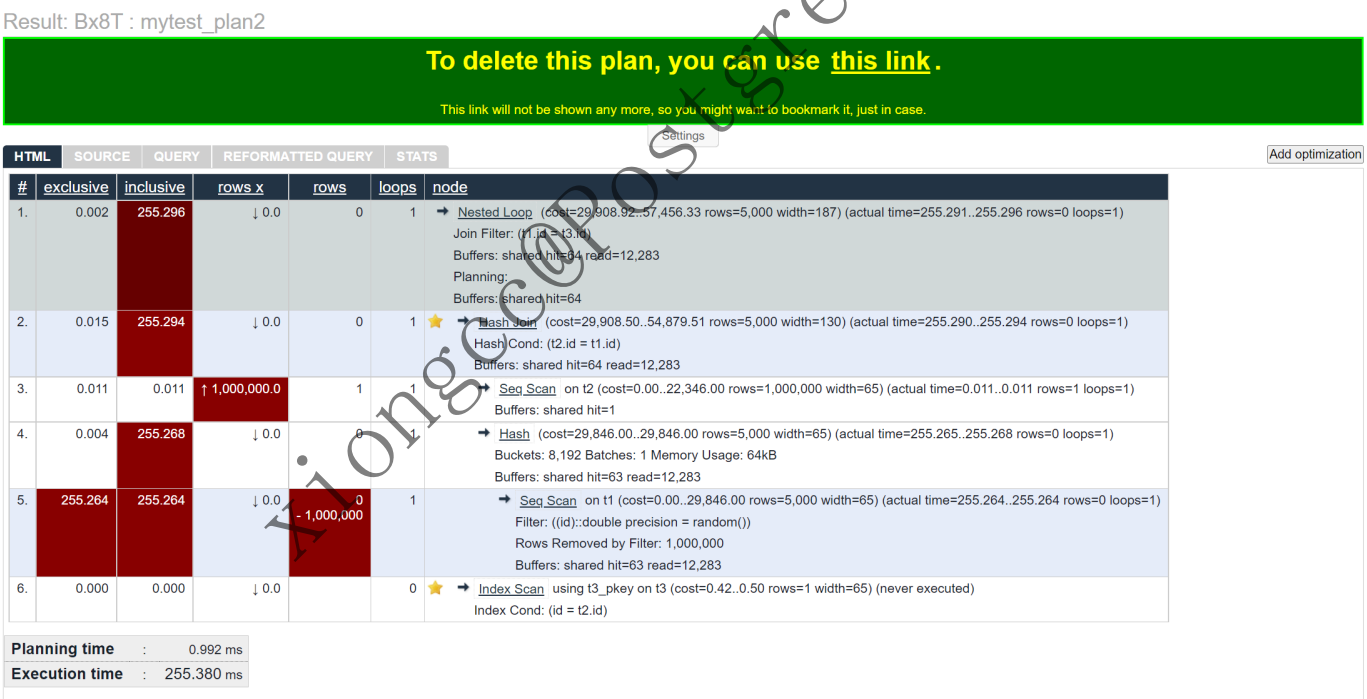
4. loops 指的是该节点实际的重启次数。如果一个计划节点在运行过程中，它的相关参数值（如绑定变量）发生了变化，就需要重新运行这个计划节点。

执行计划看起来与原有的执行计划有点相似，但从外观上看起来更加清晰。还有一些有用的附加特性：详细参考 https://explain.depesz.com/help#col-inclusive

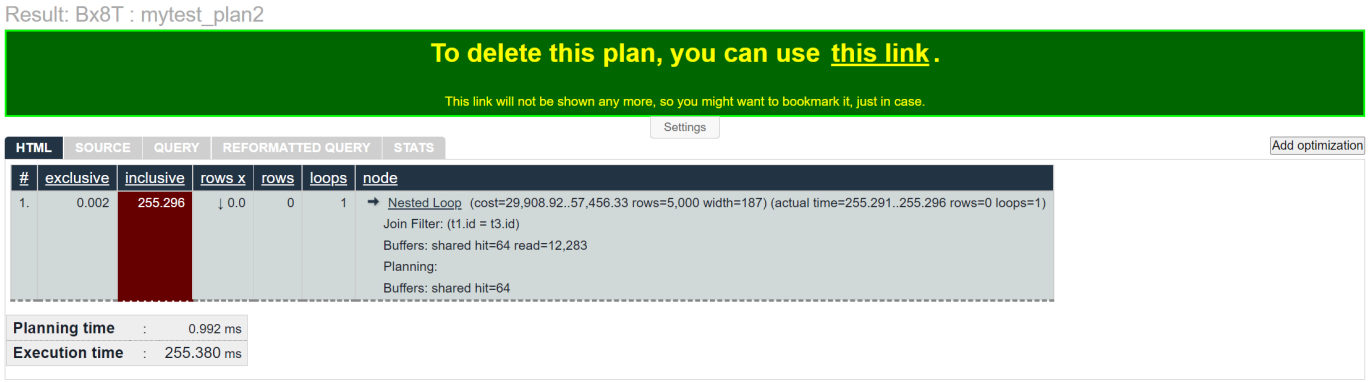
1. 每个节点的总执行时间和净执行时间，耗时最高的节点用红色背景高亮显示，重点观察对象
2. inclusive：包含启动成本的总执行时间，会以由浅到深的颜色标识，对于特别大的会高亮显示，提醒我们这一块是优化重点
3. exclusive：较下层Node增长了多少时间，会以由浅到深的颜色标识，对于增长特别多的会高亮显示，提醒我们这一块是优化重点
4. rows x：这一列可以帮我们协助分析是什么因素让PostgreSQL错误地高估或低估了返回的行数。错误的估算会用红色背景高亮，此例中我们可以看到实际返回了1行，但是预估的行数是1000000，100万，可以看到，此时优化器严重估算错误 (实际原因是因为等于条件为random())
5. rows：就是实际的返回行数，以及通过过滤条件过滤了多少行，比如第5行，过滤了-1000000行，实际返回了0行，说明选择率极高，可以考虑建合适的索引，比如万能Btree，适用于数组、多列的倒排Gin、时序数据Brin等
6. loops：就是实际的循环重启次数

当然还有一些其他有趣的特性：

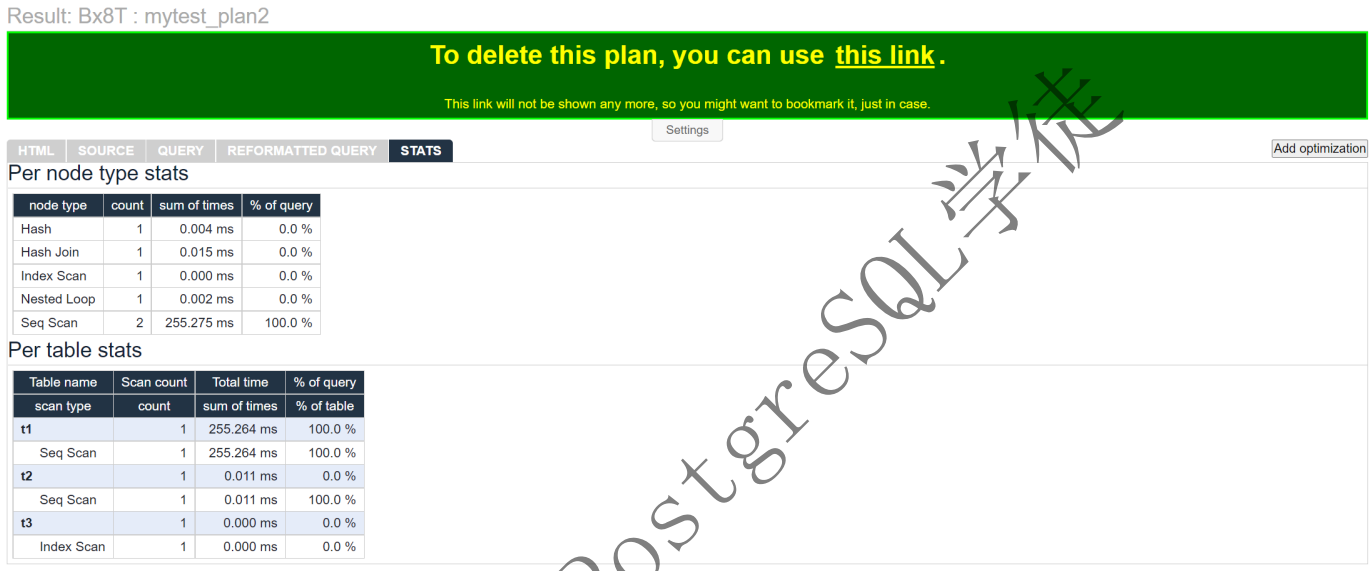
我将鼠标放至顶层Node节点，会将子节点用⭐标记出来，这会很方便我们看下层Node节点



点击一下上层Node节点，会将子节点隐藏起来，对于特别长特别复杂的执行计划，会很方便我们分析SQL



最后一个stats列则是SQL的总览信息



这里我们可以看到，我们的SQL实际跑起来，和统计信息的差距相差很多，因为我们的条件是等于random()，换成一个具体的值再看一下：

```
postgres=# explain (analyze,buffers) select * from t1 join t2 using (id) join t3 using
(id) where t1.id= 5;
                                                                  QUERY PLAN

-----------------------------------------------------------------------------------------
-----------------------------------
 Nested Loop  (cost=1.27..25.35 rows=1 width=187) (actual time=0.656..0.661 rows=1
loops=1)
   Buffers: shared hit=11 read=1
   ->  Nested Loop  (cost=0.85..16.90 rows=1 width=126) (actual time=0.641..0.643
rows=1 loops=1)
         Buffers: shared hit=7 read=1
         ->  Index Scan using t1_pkey on t1  (cost=0.42..8.44 rows=1 width=65) (actual
time=0.613..0.614 rows=1 loops=1)
               Index Cond: (id = 5)
               Buffers: shared hit=3 read=1
         ->  Index Scan using t2_pkey on t2  (cost=0.42..8.44 rows=1 width=65) (actual
time=0.021..0.022 rows=1 loops=1)
```

```
                Index Cond: (id = 5)
                Buffers: shared hit=4
   ->  Index Scan using t3_pkey on t3  (cost=0.42..8.44 rows=1 width=65) (actual
time=0.012..0.013 rows=1 loops=1)
         Index Cond: (id = 5)
         Buffers: shared hit=4
 Planning Time: 0.206 ms
 Execution Time: 0.730 ms
(15 rows)
```

Result: eqJ : mytest_plan4

To delete this plan, you can use this link.

This link will not be shown any more, so you might want to bookmark it, just in case.

Settings

HTML | SOURCE | QUERY | REFORMATTED QUERY | STATS

Add optimization

#	exclusive	inclusive	rows x	rows	loops	node
1.	0.005	0.661	↑ 1.0	1	1	→ Nested Loop (cost=1.27..25.35 rows=1 width=187) (actual time=0.656..0.661 rows=1 loops=1) Buffers: shared hit=11 read=1
2.	0.007	0.643	↑ 1.0	1	1	→ Nested Loop (cost=0.85..16.90 rows=1 width=126) (actual time=0.641..0.643 rows=1 loops=1) Buffers: shared hit=7 read=1
3.	0.614	0.614	↑ 1.0	1	1	→ Index Scan using t1_pkey on t1 (cost=0.42..8.44 rows=1 width=65) (actual time=0.613..0.614 rows=1 loops=1) Index Cond: (id = 5) Buffers: shared hit=3 read=1
4.	0.022	0.022	↑ 1.0	1	1	→ Index Scan using t2_pkey on t2 (cost=0.42..8.44 rows=1 width=65) (actual time=0.021..0.022 rows=1 loops=1) Index Cond: (id = 5) Buffers: shared hit=4
5.	0.013	0.013	↑ 1.0	1	1	→ Index Scan using t3_pkey on t3 (cost=0.42..8.44 rows=1 width=65) (actual time=0.012..0.013 rows=1 loops=1) Index Cond: (id = 5) Buffers: shared hit=4

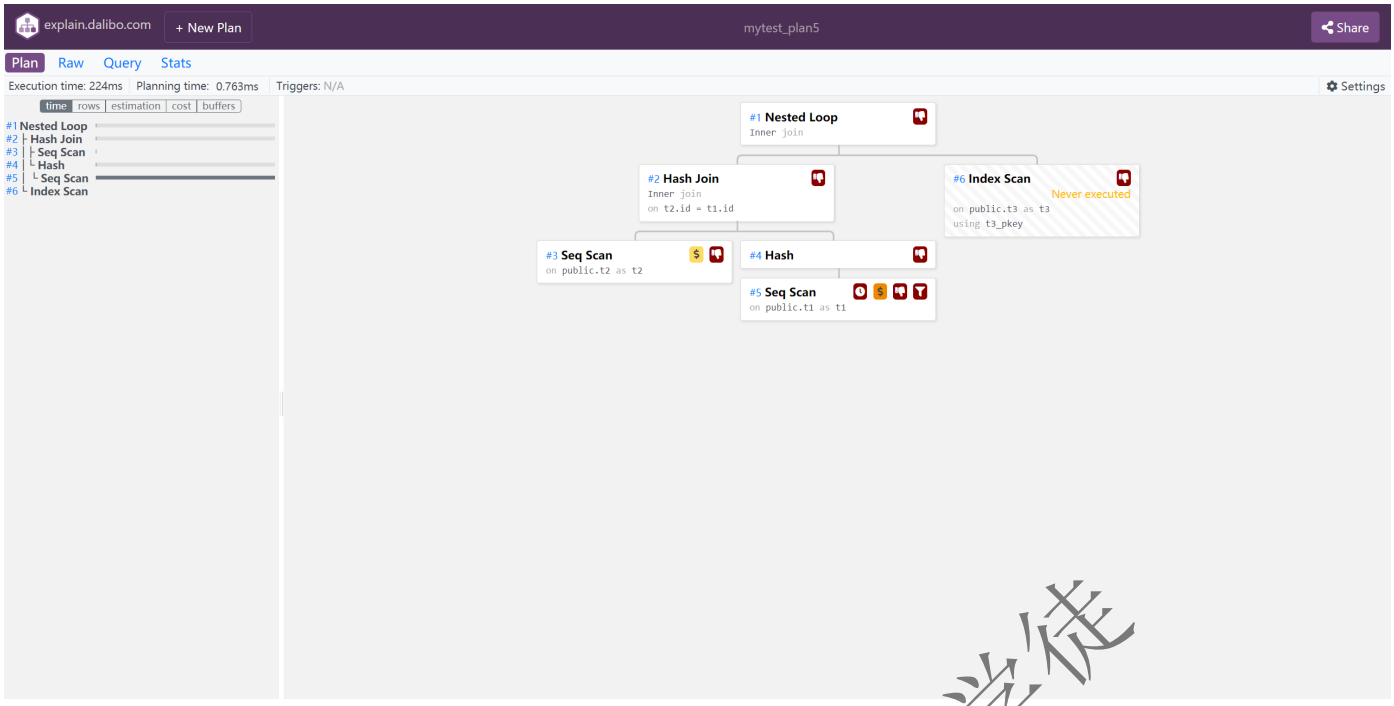
Planning time	:	0.206 ms
Execution time	:	0.730 ms

Dalibo's EXPLAIN ANALYZE visualizer

又名 大力波！网址：https://explain.dalibo.com/，大力波官方建议我们使用

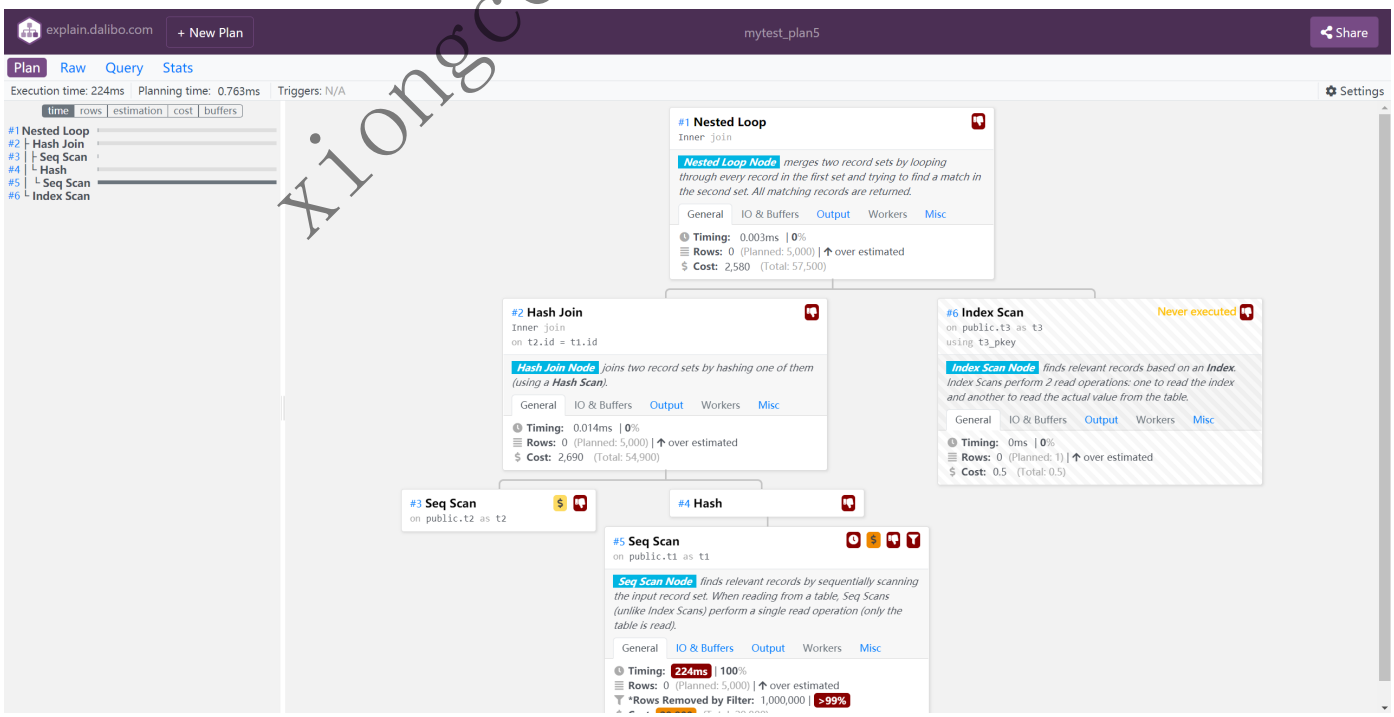
> For best results, use `EXPLAIN (ANALYZE, COSTS, VERBOSE, BUFFERS, FORMAT JSON)`

我们继续用原来的SQL看一下效果，和Depesz的是两种不同风格，左边是一个总览Overview，右边是具体的细节Details

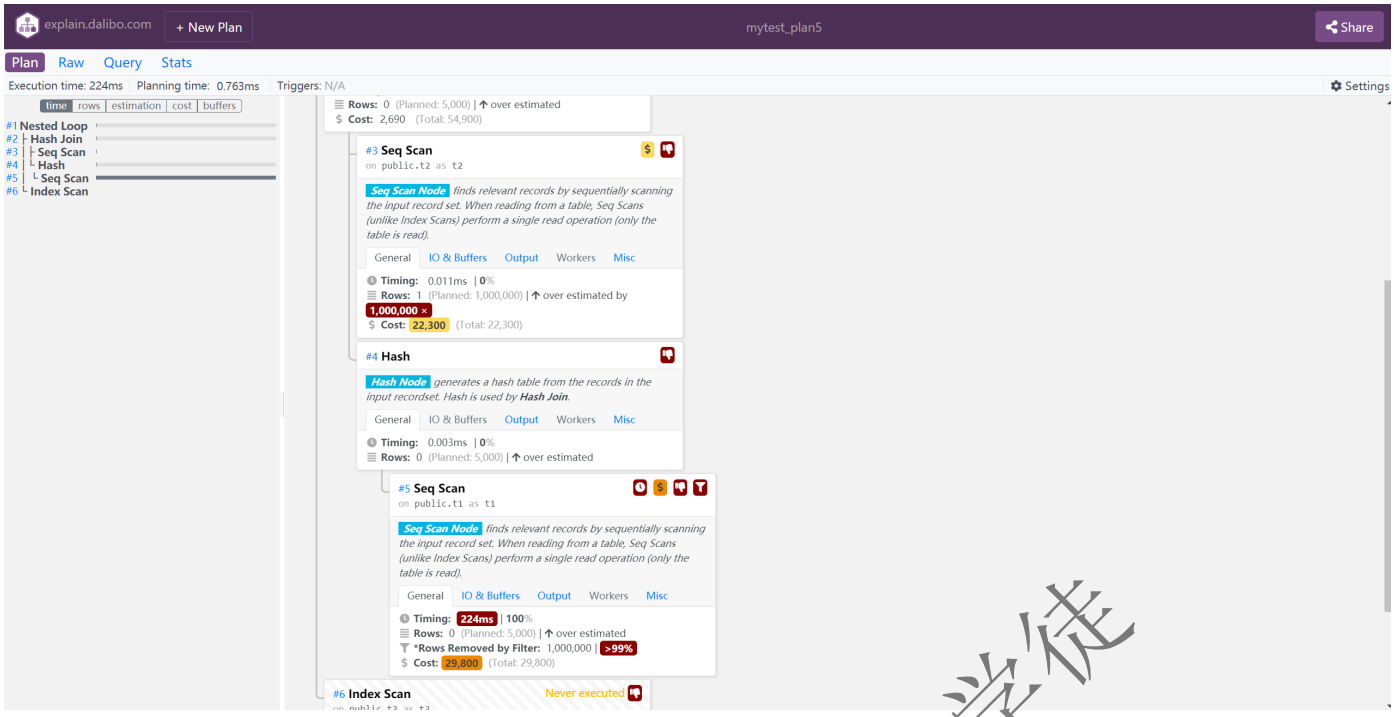


点开即可看到Details，有一个很贴心的地方在于，大力波会把Scan Node的意思告诉你，所以对于看不懂Node的，通过这里也可以看出一二

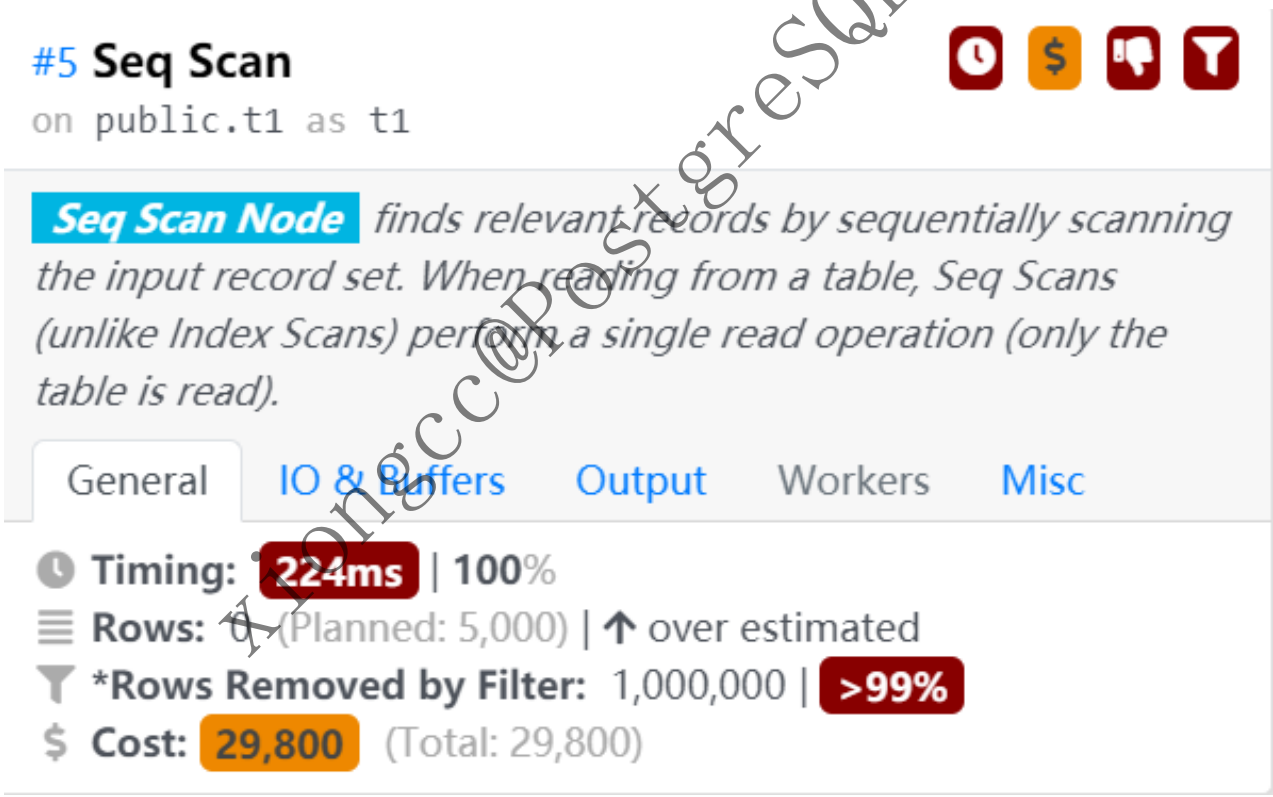
> *Seq Scan Node finds relevant records by sequentially scanning the input record set. When reading from a table, Seq Scans (unlike Index Scans) perform a single read operation (only the table is read).*
>
> *Index Scan Node finds relevant records based on an Index. Index Scans perform 2 read operations: one to read the index and another to read the actual value from the table.*
>
> *Nested Loop Node merges two record sets by looping through every record in the first set and trying to find a match in the second set. All matching records are returned.*
>
> *Hash Join Node joins two record sets by hashing one of them (using a Hash Scan).*



这种方式假如觉得抽象不易阅读的话，可以转化为传统风格，通过右上角的settings设置classic：



通过Node的Details，我们可以获取到如下信息，以Seq Scan为例：



#5 Seq Scan

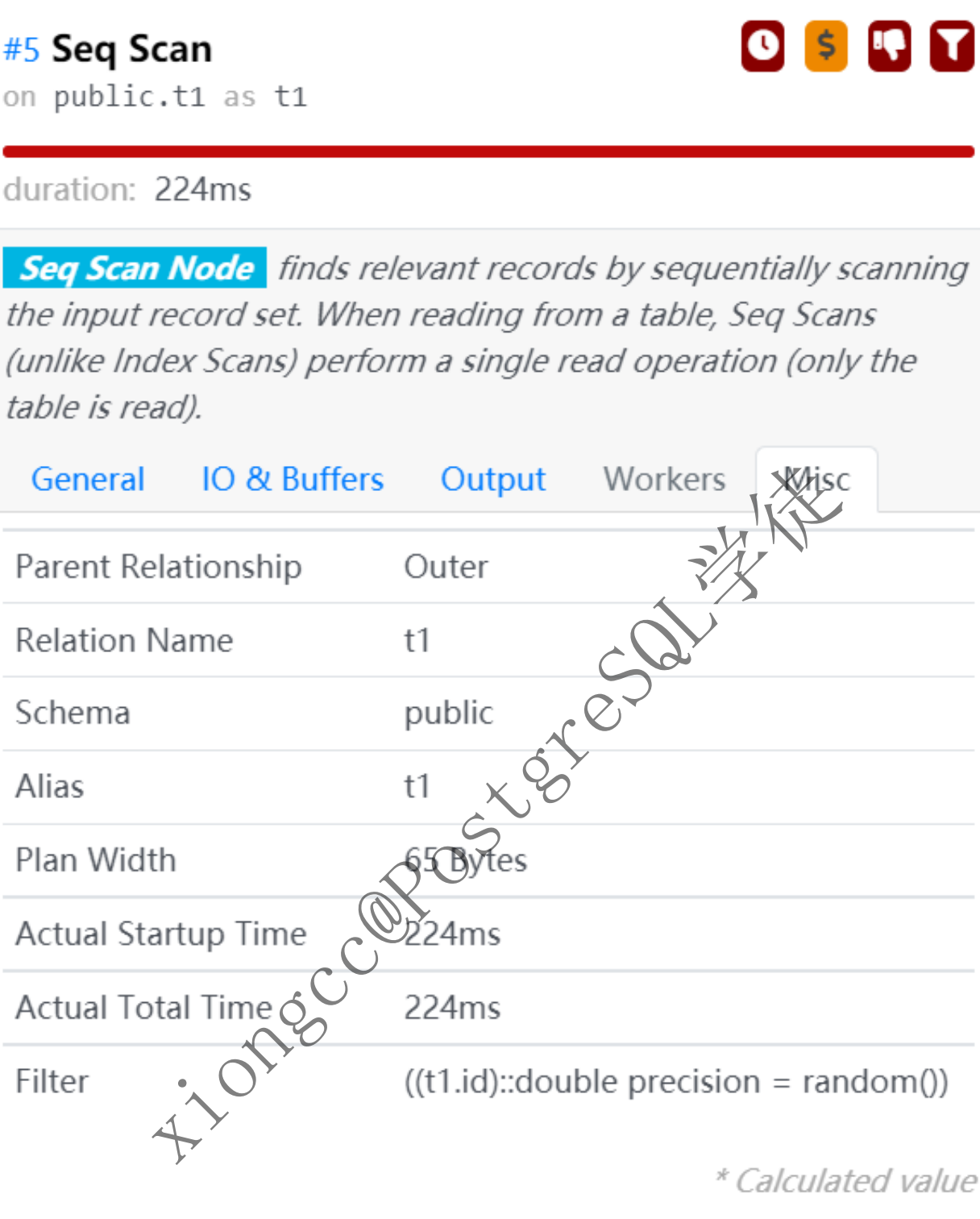
on public.t1 as t1

Seq Scan Node *finds relevant records by sequentially scanning the input record set. When reading from a table, Seq Scans (unlike Index Scans) perform a single read operation (only the table is read).*

General | IO & Buffers | Output | Workers | Misc

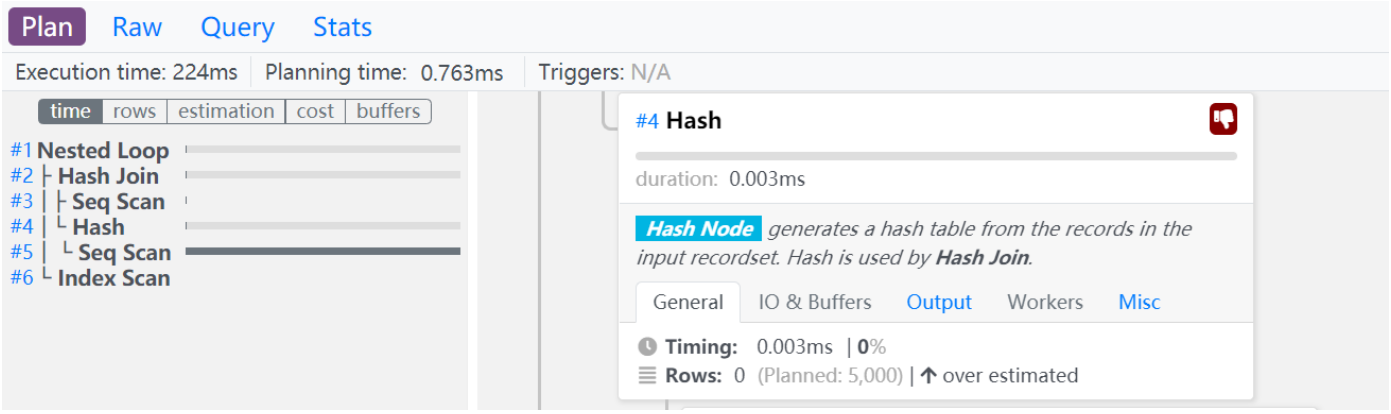
Blocks:

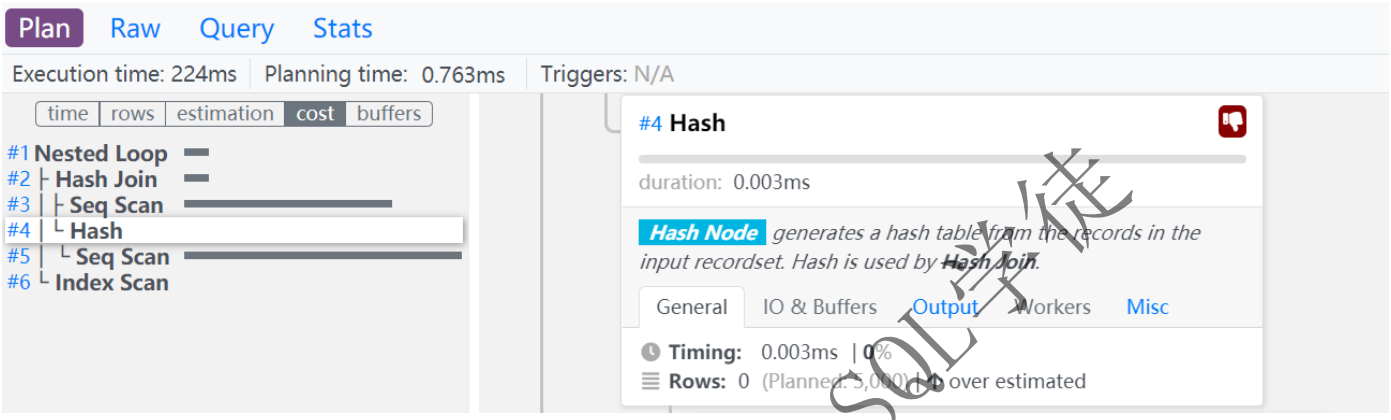
	Hit	Read	Dirtied	Written
Shared	192 1.5 MB	12,154 94.95 MB		-
Temp	-	-		-
Local	-	-	-	-



1. 预估严重偏差，优化评估结果较真实结果多了5000行
2. 过滤了99%的行，意味着选择率极高，可以考虑建索引
3. 时间占用总SQL 100%的时间，也就是单条SQL基本全部耗时花在了这里，那么是我们重点观察的对象
4. 成本较高29800，颜色是较高级别橙色
5. buffers命中了1.5MB，读取了95MB，另外还会显示出Dirtyed，比如shared_buffer太小了，bgwriter进程没有维护好足够多的buffer，那么backend process得自己去找合适的block，假如更不巧还是脏块的话，还得做刷脏的累活

左侧是可选的输出什么，比如输出时间还是输出cost





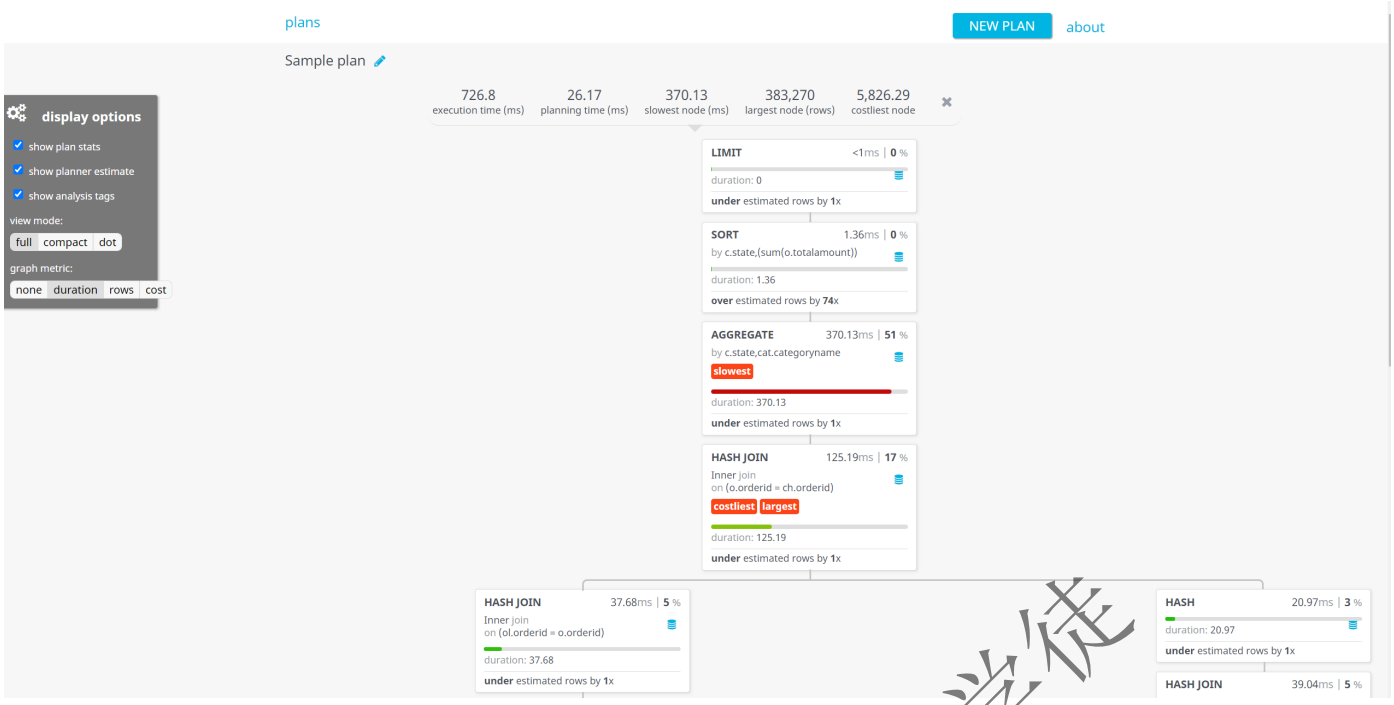
同理，还有一个总览stats

Tatiyants's EXPLAIN ANALYZE visualizer

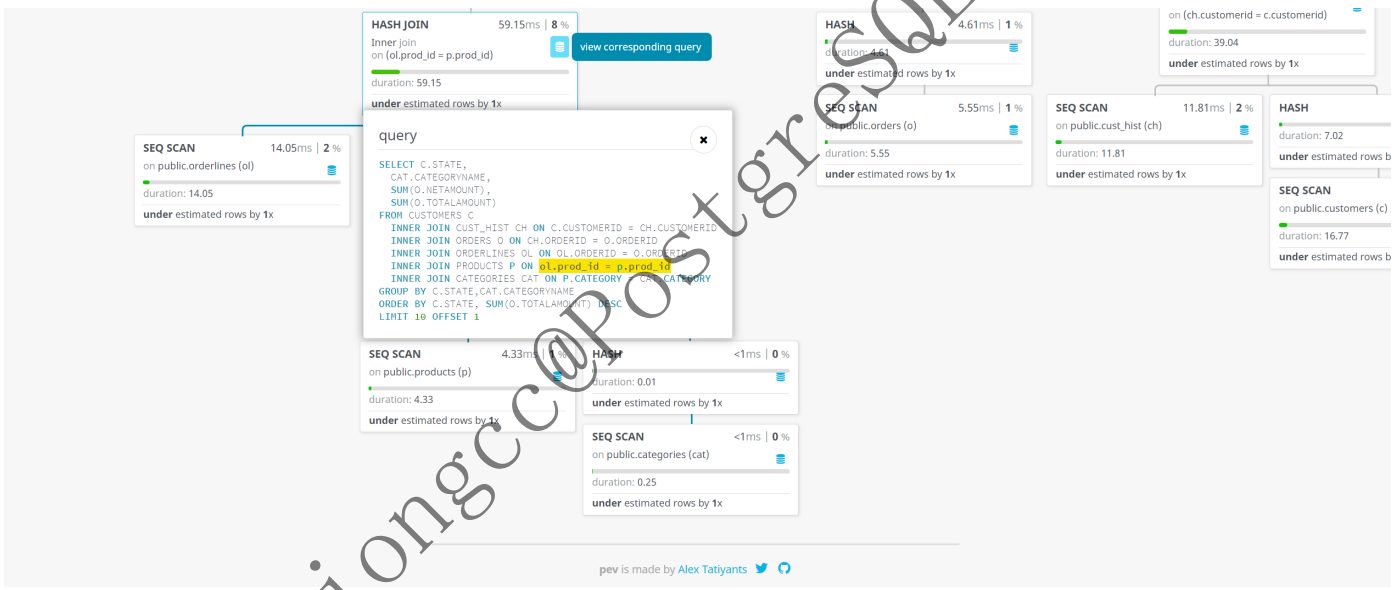
https://tatiyants.com/pev/#/plans/new

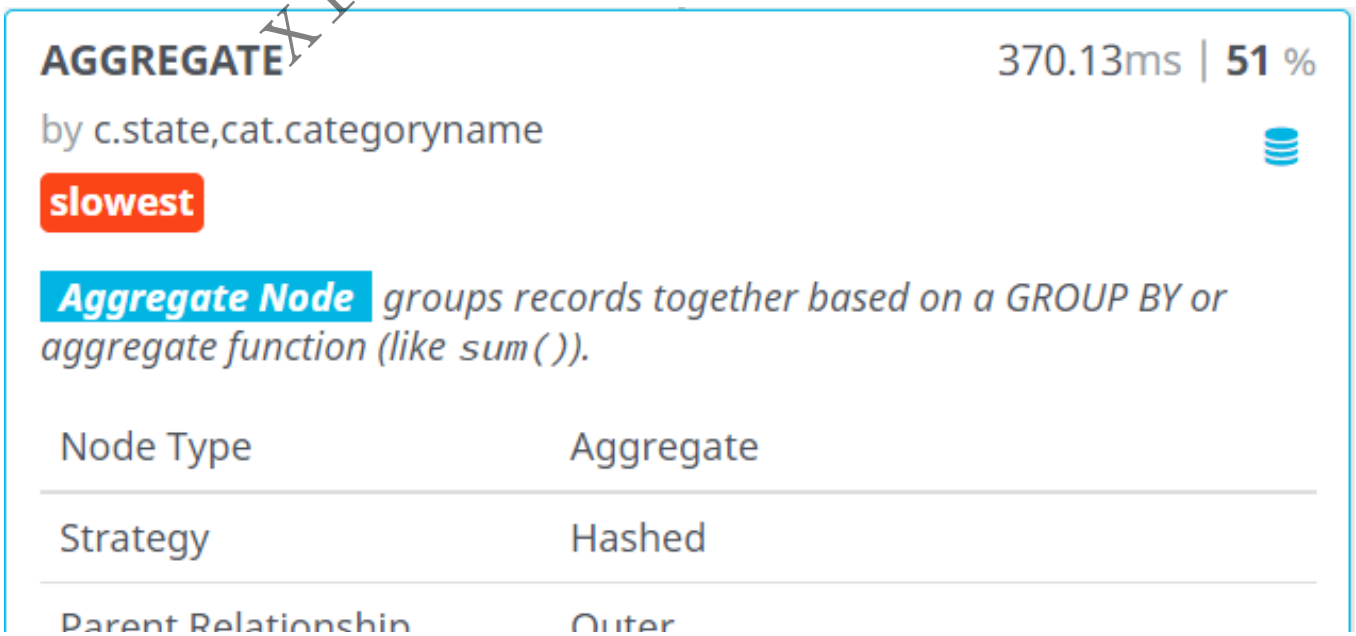
这里我要吐槽一下，我复制了半天始终提示我不是JSON格式，所以用一下样例吧。

这个和大力波十分类似，可以看到slowest最慢的，costliest最昂贵的，返回结果集最大的largest的Node节点



不过有一点很棒，可以看到具体Node的SQL，如下，其余就不再赘述，和大力波类似。



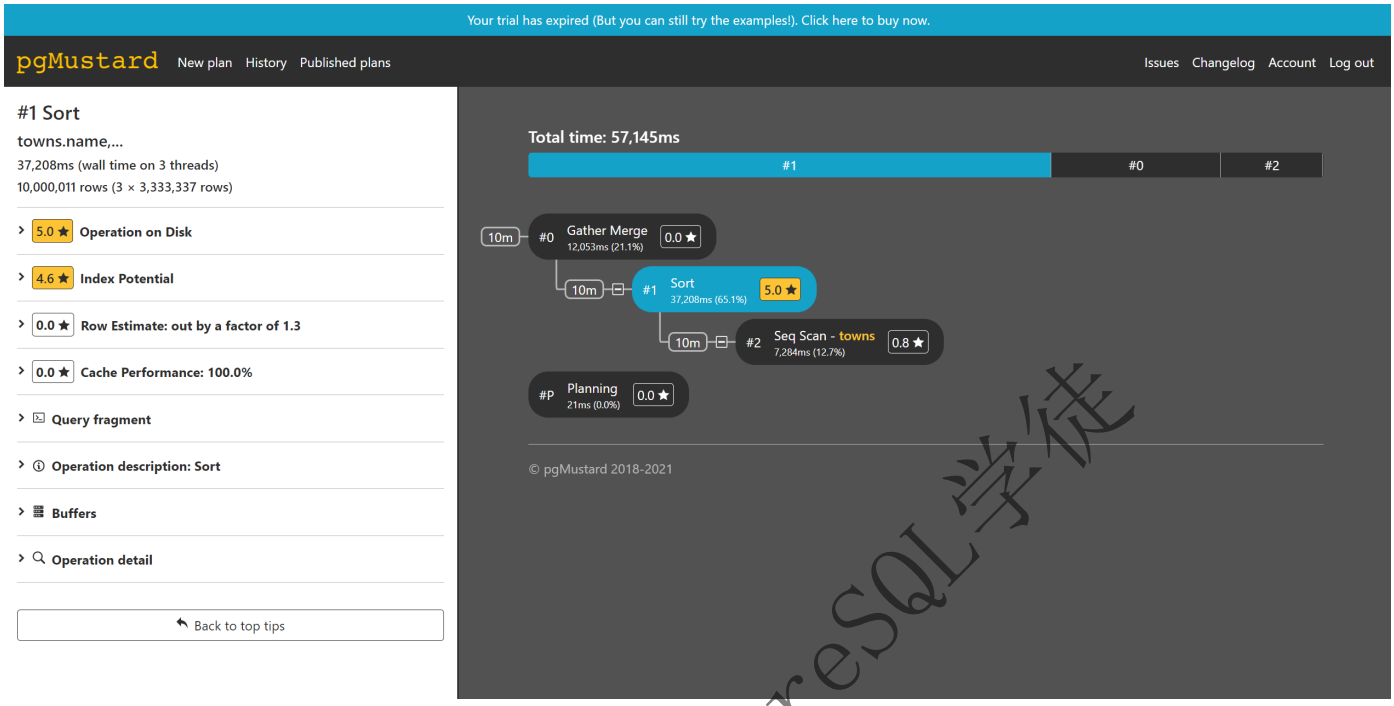


Parent Relationship	Outer
Startup Cost	16994.41
Total Cost	17006.65
Plan Rows	816
Plan Width	133
Actual Startup Time	723.877
Actual Total Time	724.417
Actual Rows	832
Actual Loops	1
Output	c.state,cat.categoryname,sum(o.netamount),sum(o.totalamount)
Group Key	c.state,cat.categoryname
Shared Hit Blocks	13
Shared Read Blocks	1392
Shared Dirtied Blocks	0
Shared Written Blocks	0
Local Hit Blocks	0
Local Read Blocks	0
Local Dirtied Blocks	0
Local Written Blocks	0
Temp Read Blocks	0
Temp Written Blocks	0
I/O Read Time	0

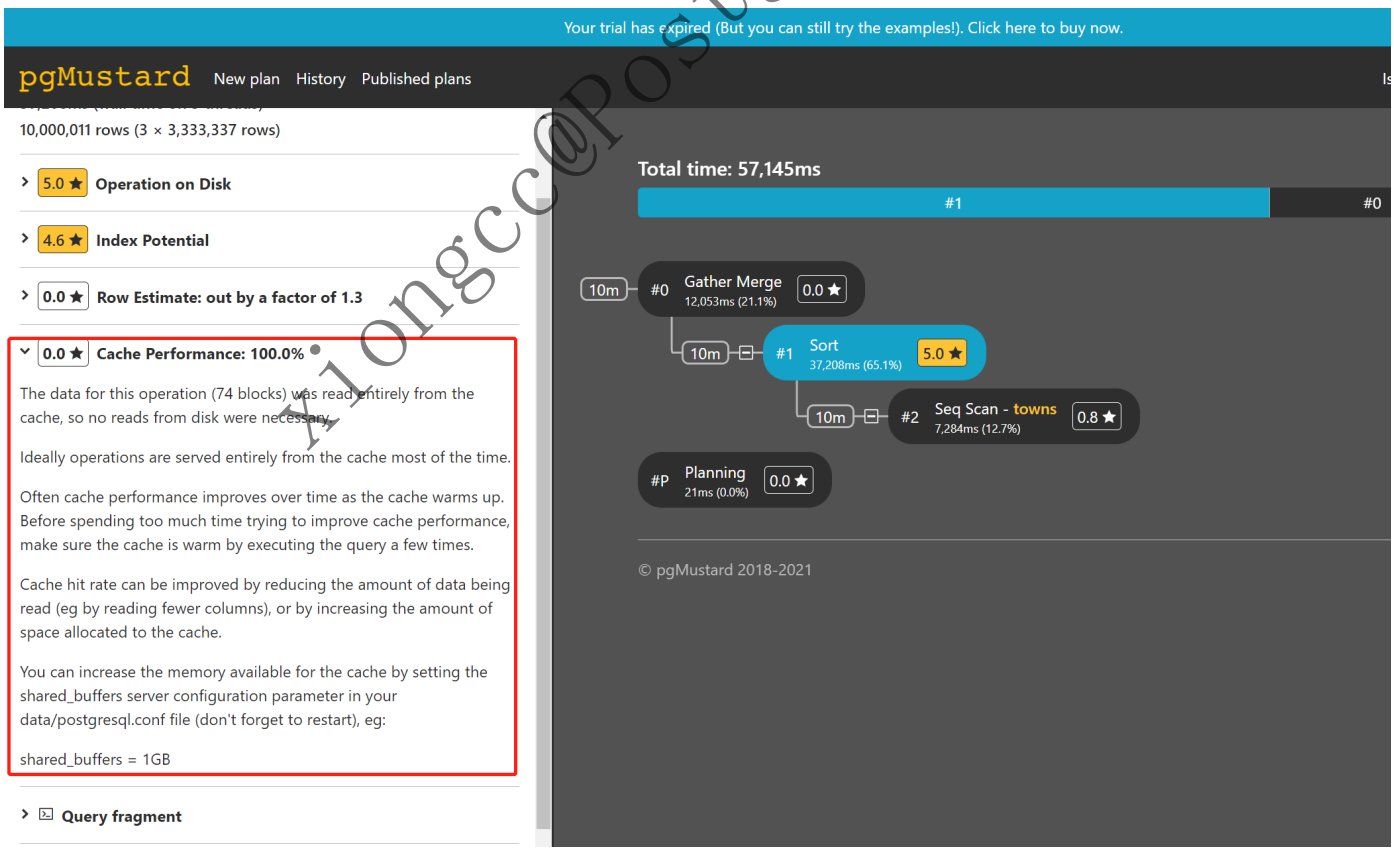
I/O Write Time 0

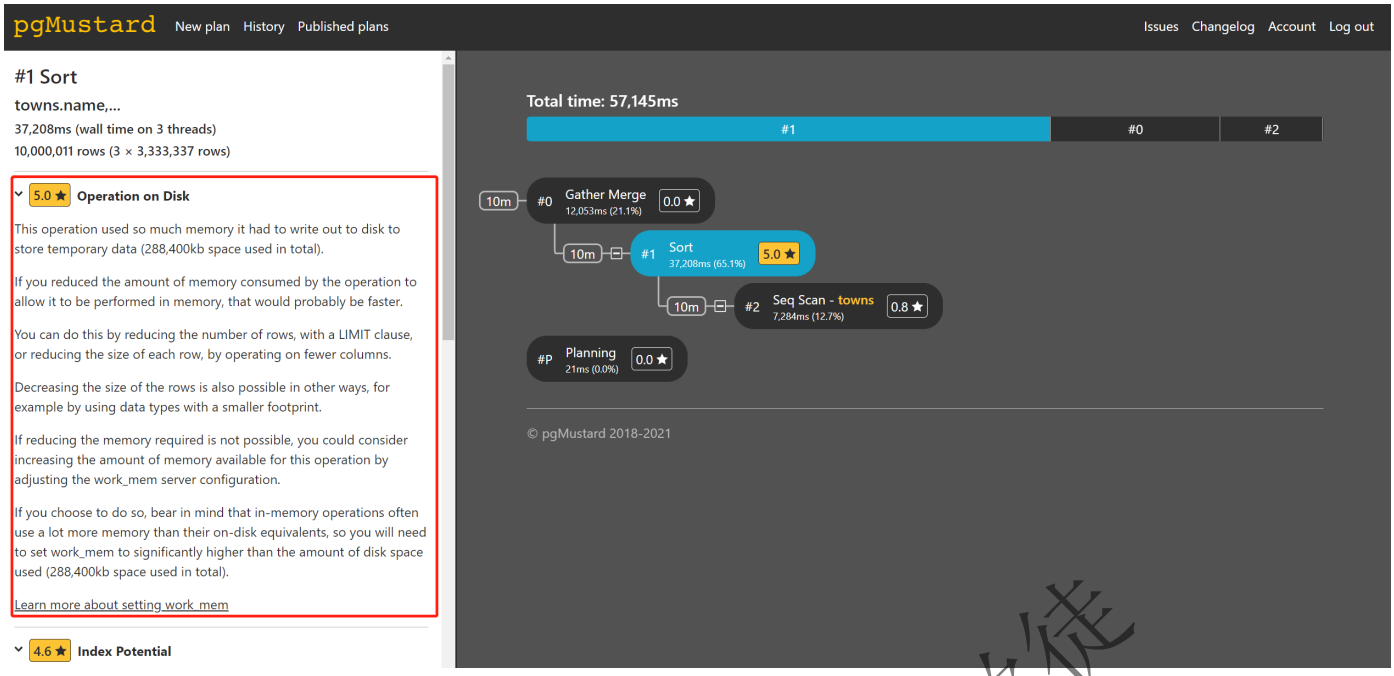
pgMustard

这个是一项收费的产品，https://www.pgmustard.com/，不过可以免费试用5次



毕竟收费嘛，功能更加高级一点，会输出相应的建议，对于新手来说，聊胜于无





pganalyze

pganalyze应该称作是一项解决方案，监控、调优、告警等，都囊括其中了。在此就不做演示了，感兴趣的可以自行尝试，有15天的试用期，虽然要收费，不过难不倒我们白嫖党，

我们可以当作是一个很好的学习案例，每个Node都会有解释：https://pganalyze.com/docs/explain/other-nodes/set-op

‹ Documentation: EXPLAIN - Other Nodes

EXPLAIN - SetOp

Description:

Combines two datasets for set operations like UNION, INTERSECT, and EXCEPT. Note that the query structure for SetOps is different than you may expect: rather than being the direct parent of the sets on which it operates, a SetOp node only has a single Append child, which has a Subquery Scan for each node to combine.

Important Fields:

- Command
- Strategy

除此之外，pganalyze比较体系化，可以通过阅读文档，了解该产品的思想，以及对各种瓶颈的分析和建议。

> Postgres Query Analysis & Postgres Explain Plans
>
> Discover the root cause of critical issues, optimize slow queries, and find missing indices.

小结

利用好工具，避免重复造轮子，最大化利用身边的资源，可以起到事半功倍的效果，待到神功练成之时，也就是各位出师之时。