

1. a) the optimal policy should get to state 3 as soon as possible and pay less cost to reach state 1 and 2. But if both state 1 and 2 aim directly to state 3, it has low probability and large cost. It can try action B in state 1 and action A in state 2, it's a better way of cost.

1. b)

$$u \leftarrow \langle -1, -2, 0 \rangle, P \leftarrow \langle b, b \rangle$$

$$u_1 = -1 + 0.2u_3 + 0.8u_1, \quad u_2 = -2 + 0.2u_3 + 0.8u_2, \quad u_3 = 0$$

$$u_1 = -5, \quad u_2 = -10$$

$$\text{In state 1: } \sum_j T(1, a, j) u_j = 0.6 \times (-10) + 0.4 \times (-5) = -8$$

$$\sum_j T(1, b, j) u_j = 0.2 \times 0 + 0.8 \times (-5) = -4$$

action B is preferred

$$\text{In state 2: } \sum_j T(2, a, j) u_j = 0.6 \times (-5) + 0.4 \times (-10) = -7$$

$$\sum_j T(2, b, j) u_j = 0.2 \times 0 + 0.8 \times (-10) = -8$$

action a is preferred

$$\text{now } u_1 = -5 \text{ and } u_2 = -2 + 0.6u_1 + 0.4u_2, \quad u_3 = 0$$

$$0.6u_2 = -2 + 0.6 \times (-5)$$

$$u_2 = -8.3$$

$$\text{now state 1: } \sum_j T(1, a, j) u_j = 0.6 \times -8.3 + 0.4 \times -5 = -6.98$$

$$\sum_j T(1, b, j) u_j = 0.2 \times 0 + 0.8 \times (-5) = -4$$

action b is still preferred

$$\text{state 2: } \sum_j T(2, a, j) u_j = 0.6 \times (-5) + 0.4 \times (-8.3) = -6.32$$

$$\sum_j T(2, b, j) u_j = 0.2 \times 0 + 0.8 \times (-8.3) = -6.64$$

action a is still preferred

so state 2 move to state 1 and in state 1 to move to state 3

c) it will change the form to :

$$u_1 = -1 + 0.4 u_1 + 0.6 u_2$$

$$u_2 = -2 + 0.6 u_1 + 0.24 u_2$$

$$u_3 = 0$$

We can solve this function of  $u_1, u_2$

the value will tend to  $-\infty$

the discounting will not help. The discount factor will affect the policy and results. It will cause we can choose action  $b$  in state 2. The discount short-term cost will outweighs the discount long-term cost of action  $b$ , and it will finally repeatedly leaving the agent in state 2.

2.

Alexnet: numbers of parameters: 61 M parameters

training and test times: separate from different computers

reference: 90 epochs trained for 6 days on two GTX 580 GPU

performance: first use of ReLU, heavy data augmentation, dropout 0.5, 8 layers, 16.4 score on Imagenet board, more than 75% accuracy.

VGG : numbers of parameters: 138 million parameters.

training and test times: for resnet 50 runs to 76% + top 1 accuracy on 90 epochs in roughly 7.3 hours on a v2-8 TPU device.

performance: 2nd in classification, 1st in localization, has better feature generalization, 19 layers, 73 scores, around 70% accuracy but large operations and parameters.

Resnet: numbers of parameters: 11 million parameters.

training and test times: new training time of 224 seconds with validation accuracy of 75.03% using Tesla V100 GPUs

performance: ultra-deep in ImageNet 152-layer nets, the deeper networks achieve lower training error, 75% accuracy, with 152 layers

googlenet: number of parameters: 7 million parameters.

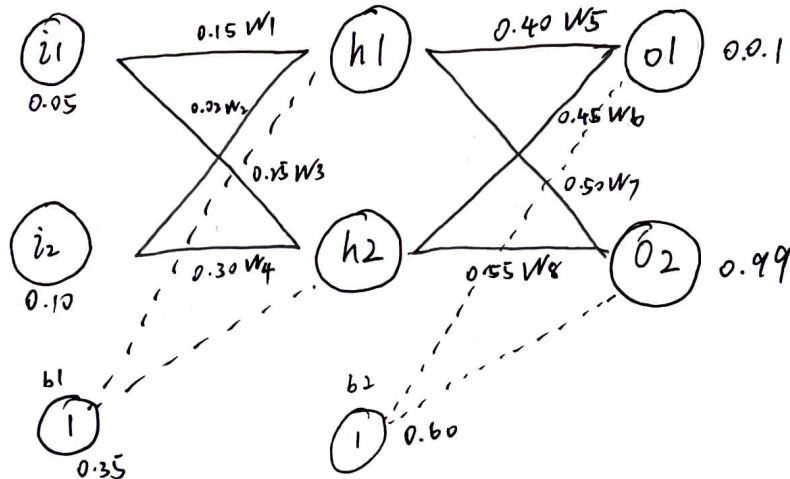
training and test times: close with the time as VGG, it needs to train 22 layers which more than vgg16

performance: 22 layers, 6.7 score in imagenet scale, 70% lower accuracy, less parameters and operations, is most efficient network architecture.

3. The idea of dropout is to removing neurons or input node in a neural network. The process searching the best ~~dropout way~~ is when in a large enough network, At each iteration step of the algorithm, we first retain each node together with it's income and outcome ~~and~~ connecting with probability  $P$  and remove the node with probability  $1-P$ . Then train the remaining nodes according to the selected algorithm. So, in each step, a different subnetwork is trained. After the train is over, each parameter is multiplied by probability  $P$  and then one combines the trained subnetworks by an averaging rationale.

To find a best neural architecture, we need to find it in different use. A basic neural network need a input layer, a first hidden layer and second hidden layer. Each layer formed a different representation of input and different levels of abstraction. usually we can use three layers to form a simple task but when meet more complex tasks, we need maybe various layers to learn the complex concepts. For more efficient, we expect compact representation to result in better generalization performance. Also, for a class of deep networks and target functions, one needs a substantially smaller number of nodes to achieve a predefined accuracy compared to a shallow one. So, the way to find a best neural network is base on the problem we need to solve. We should choose a network with appropiate layers, efficient parameters and quick runing time.

4.



first, forward pass

The net input for  $h_1$  is calculated as the sum of the product of each weight value and input value and bias value

$$\begin{aligned} \text{net input } h_1 &= W_1 \times i_1 + W_2 \times i_2 + b_1 \times 1 \\ &= 0.15 \times 0.05 + 0.25 \times 0.1 + 0.35 \times 1 = 0.3775 \end{aligned}$$

then use sigmoid function to calculate the output of  $h_1$

$$\text{out } h_1 = \frac{1}{1 + e^{-\text{net } h_1}} = \frac{1}{1 + e^{-0.3775}} = 0.5933$$

$$\text{so input } h_2 = 0.25 \times 0.05 + 0.30 \times 0.1 + 0.35 \times 1 = 0.3925$$

$$\text{out } h_2 = \frac{1}{1 + e^{-0.3925}} = 0.59688$$

$$\begin{aligned} \text{input } o_1 &= W_5 \times \text{out } h_1 + W_6 \times \text{out } h_2 + b_2 \times 1 \\ &= 0.4 \times 0.5933 + 0.45 \times 0.59688 + 0.6 \times 1 = 1.10591 \end{aligned}$$

$$\text{out } o_1 = \frac{1}{1 + e^{-\text{net } o_1}} = \frac{1}{1 + e^{-1.10591}} = 0.7514$$

$$\text{same input } o_2 = 0.5 \times 0.5933 + 0.55 \times 0.59688 + 0.6 \times 1 = 1.2249$$

$$\text{out } o_2 = \frac{1}{1 + e^{-1.2249}} = \cancel{0.77293} 0.77293$$

Then calculate error  $E_{\text{total}} = \sum \frac{1}{2} (\text{target} - \text{output})^2$

$$E_{o_1} = \frac{1}{2} (0.01 - 0.75136)^2 = 0.2748$$

$$E_{o_2} = \frac{1}{2} (0.99 - 0.77293)^2 = 0.02356$$

$$E_{\text{total}} = E_{o_1} + E_{o_2} = 0.27481 + 0.02356 = 0.29837$$

→ next



finally use the back propagation to update each weight in the network.

$$\text{update } W_5^* = W_5 - n \times \left( \frac{\partial E_{\text{total}}}{\partial W_5} \right)$$

the rate of change error

$$\frac{\partial E_{\text{total}}}{\partial W_5} = \frac{\partial E_{\text{total}}}{\partial \text{out } 01} \times \frac{\partial \text{out } 01}{\partial \text{net } 01} \times \frac{\partial \text{net } 01}{\partial W_5}$$

$$\frac{\partial E_{\text{total}}}{\partial \text{out } 01} = -(\text{target } 01 - \text{out } 01) = -(0.01 - 0.75136) = 0.741365$$

$$\frac{\partial \text{out } 01}{\partial \text{net } 01} = \text{out } 01 (1 - \text{out } 01) = 0.751365 \times (1 - 0.751365) = 0.18682$$

$$\frac{\partial \text{net } 01}{\partial W_5} = 1 \times \text{out } h1 \times W_5^{(-1)} + 0 + 0 = \text{out } h1 = 0.59326$$

$$\therefore \frac{\partial E_{\text{total}}}{\partial W_5} = 0.741365 \times 0.18682 \times 0.59326 = 0.082167$$

$$\text{update } W_5^* = 0.4 - 0.5 \times 0.082167 = 0.35892$$

as the same level backward,  $W_6^* = 0.408666$

$$W_7^* = 0.511301$$

$$W_8^* = 0.5613701$$

then go to next level layer, start with  $W_1$

$$W_1^* = W_1 - n \times \left( \frac{\partial E_{\text{total}}}{\partial W_1} \right)$$

$$\frac{\partial E_{\text{total}}}{\partial W_1} = \frac{\partial E_{\text{total}}}{\partial \text{out } h1} \times \frac{\partial \text{out } h1}{\partial \text{net } h1} \times \frac{\partial \text{net } h1}{\partial W_1}$$

$$\frac{\partial E_{\text{total}}}{\partial \text{out } h1} = \frac{\partial E_{01}}{\partial \text{out } h1} + \frac{\partial E_{02}}{\partial \text{out } h1} = \frac{\partial E_{01}}{\partial \text{net } 01} \times \frac{\partial \text{net } 01}{\partial \text{out } h1} + \frac{\partial E_{01}}{\partial \text{net } 01} \times \frac{\partial \text{net } 01}{\partial \text{out } h1}$$

$$= 0.741365 \times 0.186815 + 0.1384985 \times 0.40$$

$$= 0.15539445 + (-0.019044) = 0.03635$$

$$\frac{\partial \text{out } h1}{\partial \text{net } h1} = \text{out } h1 (1 - \text{out } h1) = 0.593269 (1 - 0.593269) = 0.2413$$

$$\frac{\partial \text{net } h1}{\partial W_1} = \text{net } h1 = 0.05$$

$$\therefore W_1^* = W_1 - n \times \frac{\partial E_{\text{total}}}{\partial W_1} = 0.15 - 0.5 \times 0.03635 \times 0.2413 \times 0.05$$

$$= 0.15 - 0.5 \times 0.0004385 = 0.14978$$

as the same  $W_2^* = 0.19956143$

$$W_3^* = 0.24975114$$

$$W_4^* = 0.29950229$$

addition:

$$W_7^* = W_7 - n \times \left( \frac{\partial E_{total}}{\partial W_7} \right)$$

$$\frac{\partial E_{total}}{\partial W_7} = \frac{\partial E_{total}}{\partial out_2} \times \frac{\partial out_2}{\partial net_2} \times \frac{\partial net_2}{\partial W_7}$$

$$\frac{\partial E_{total}}{\partial out_2} = - (target_2 - out_2) = - (0.99 - 0.77293) = -0.21707$$

$$\frac{\partial out_2}{\partial net_2} = out_2 (1 - out_2) = 0.77293 (1 - 0.77293) = 0.17551$$

$$\frac{\partial net_2}{\partial W_7} = 1 \times out_{h1} \times W_7^{(1-1)} + 0 + 0 = out_{h1} = 0.59327$$

$$W_7^* = 0.5 - 0.5 \times [-0.21707 \times 0.17551 \times 0.59327] = 0.511301$$

$$W_3^* = W_3 - n \times \frac{\partial E_{total}}{\partial W_3}$$

$$\frac{\partial E_{total}}{\partial W_3} = \frac{\partial E_{total}}{\partial out_{h2}} \times \frac{\partial out_{h2}}{\partial net_{h2}} \times \frac{\partial net_{h2}}{\partial W_3}$$

$$\frac{\partial E_{total}}{\partial out_{h2}} = \frac{\partial E_{O1}}{\partial out_{h2}} + \frac{\partial E_{O2}}{\partial out_{h2}}$$

$$= \frac{\partial E_{O1}}{\partial net_{O2}} \times \frac{\partial net_{O2}}{\partial out_{h2}} + \frac{\partial E_{O2}}{\partial net_{O2}} \times \frac{\partial net_{O2}}{\partial out_{h2}}$$

$$= \frac{\partial E_{O1}}{\partial out_{h2}} \times \frac{\partial out_{h1}}{\partial net_{O2}} \times \frac{\partial net_{O2}}{\partial out_{h2}} + \frac{\partial E_{O2}}{\partial out_{h1}} \times \frac{\partial out_{h1}}{\partial net_{O2}} \times \frac{\partial net_{O2}}{\partial out_{h2}}$$

$$= -0.21707 \times 0.17551 \times 0.55 + 0.44 - 0.41707 \times 0.17551 \times 0.55 = 0.041371$$

$$\frac{\partial out_{h2}}{\partial net_{h2}} = out_{h2} (1 - out_{h2}) = (0.59688) \times (1 - 0.59688) = 0.240614$$

$$\frac{\partial net_{h2}}{\partial W_3} = i_1 = 0.05$$

$$\therefore W_3^* = 0.25 - 0.5 \times 0.041371 \times 0.240614 \times 0.05 = 0.24975114$$